



Office of the NEW YORK STATE

COMPTROLLER

Comptroller's Annual Report to the Legislature on State Funds Cash Basis of Accounting

FISCAL YEAR ENDED MARCH 31, 2016

Office of Operations

Division of Payroll, Accounting and Revenue Services

NYS Comptroller

THOMAS P. DINAPOLI

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**STATE OF NEW YORK
OFFICE OF OPERATIONS
DIVISION OF PAYROLL, ACCOUNTING AND REVENUE SERVICES
BUREAU OF FINANCIAL REPORTING AND OIL SPILL REMEDIATION**

**COMPTROLLER'S ANNUAL REPORT TO THE LEGISLATURE ON STATE FUNDS - CASH BASIS OF ACCOUNTING
FISCAL YEAR ENDED MARCH 31, 2016**

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OFFICE OF OPERATIONS
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BUREAU OF FINANCIAL REPORTING AND OIL SPILL REMEDIATION**

COMPTROLLER'S ANNUAL REPORT TO THE LEGISLATURE ON STATE FUNDS - CASH BASIS OF ACCOUNTING FISCAL YEAR ENDED MARCH 31, 2016
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GLOSSARY OF ACRONYMS

(ACTION)	Accelerated Capacity and Transportation Improvements of the Nineties
(ARRA)	American Recovery and Reinvestment Act of 2009
(CIO)	Chief Information Officer
(COPS)	Certificates of Participation
(CUNY)	City University of New York
(CUTRA)	City University Tuition Reimbursement Account
(CW/CA)	Clean Water / Clean Air
(DASNY)	Dormitory Authority of the State of New York
(DDSO)	Developmental Disabilities Services Office
(DEC)	New York State Department of Environmental Conservation
(DHCR)	Division of Housing and Community Renewal
(DMV)	Department of Motor Vehicles
(DOCCS)	Department of Corrections and Community Supervision
(DOH)	Department of Health
(DOT)	Department of Transportation
(DSAS)	Division of Substance Abuse Services
(EFC)	New York State Environmental Facilities Corporation
(EHS)	Employee Health Services
(ENCON)	New York State Department of Environmental Conservation
(EPIC)	Elderly Pharmaceutical Insurance Coverage
(ERDA)	Energy Research and Development Authority
(FBI)	Federal Bureau of Investigation
(FMAP)	Federal Medical Assistance Percentage
(HCRA)	Health Care Reform Act
(HESC)	Higher Education Services Corporation
(HFA)	Housing Finance Agency
(HHC)	Health and Hospital Corporation
(HSC)	Health Science Center
(IOLA)	Interest on Lawyer Account
(LGAC)	New York Local Government Assistance Corporation
(LGATF)	Local Government Assistance Tax Fund
(LLC)	Limited Liability Company
(MAC)	Municipal Assistance Corporation
(MBBA)	Municipal Bond Bank Agency
(MCTD)	Metropolitan Commuter Transportation District
(MMIS)	Medicaid Management Information System
(MTA)	Metropolitan Transportation Authority
(NYC)	New York City
(NYCCC)	New York City County Clerk

**STATE OF NEW YORK
OFFICE OF OPERATIONS
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BUREAU OF FINANCIAL REPORTING AND OIL SPILL REMEDIATION**

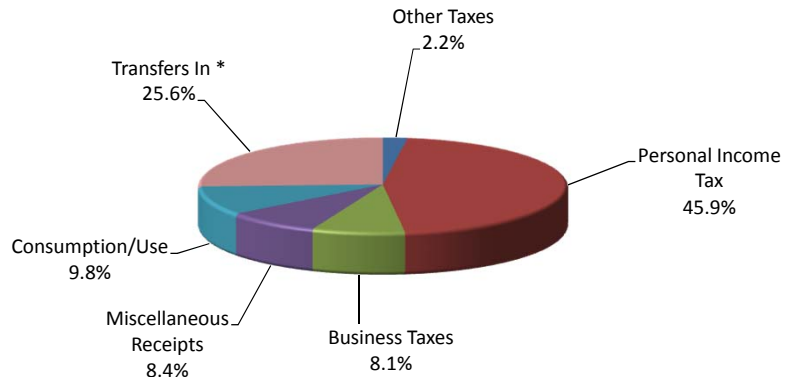
COMPTROLLER'S ANNUAL REPORT TO THE LEGISLATURE ON STATE FUNDS - CASH BASIS OF ACCOUNTING FISCAL YEAR ENDED MARCH 31, 2016
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GLOSSARY OF ACRONYMS

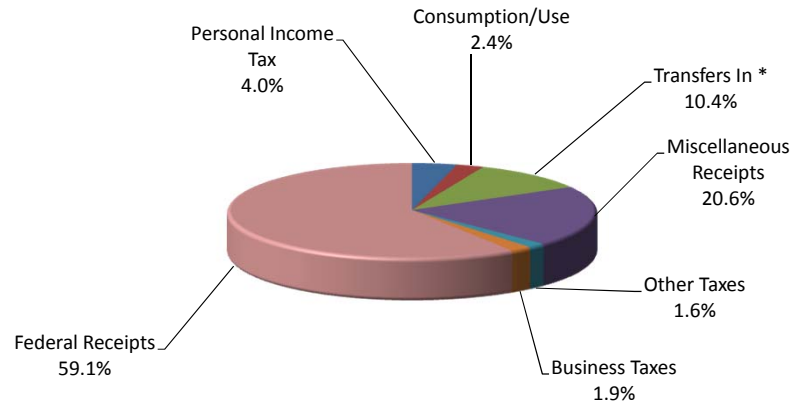
(NYT)	New York Network
(OASAS)	Office of Alcoholism and Substance Abuse Services
(OGS)	Office of General Services
(OMH)	Office of Mental Health
(OPWDD)	Office for People with Developmental Disabilities
(OSC)	Office of the State Comptroller
(OSHA)	Occupational Safety and Health Administration
(PASNY)	Power Authority of the State of New York
(PIT)	Personal Income Tax
(RBTF)	Revenue Bond Tax Fund
(RPI)	Rensselaer Polytechnic Institute
(SPARCS)	Statewide Planning and Research Cooperative System
(SSI)	Supplemental Security Income
(SSP)	New York State Supplement Program
(STAR)	School Tax Relief
(STARC)	Sales Tax Asset Receivable Corporation
(STRBTF)	Sales Tax Revenue Bond Tax Fund
(SUNY)	State University of New York
(TANF)	Temporary Assistance for Needy Families
(TFA)	Transitional Finance Agency
(UDC)	Urban Development Corporation
(UI)	Unemployment Insurance
(USDA)	United States Department of Agriculture
(VLT)	Video Lottery Terminal
(WGS)	WIC Grants to States
(WIC)	Women, Infants and Children Program

**GOVERNMENTAL FUNDS - RECEIPTS
FISCAL YEAR ENDED MARCH 31, 2016**

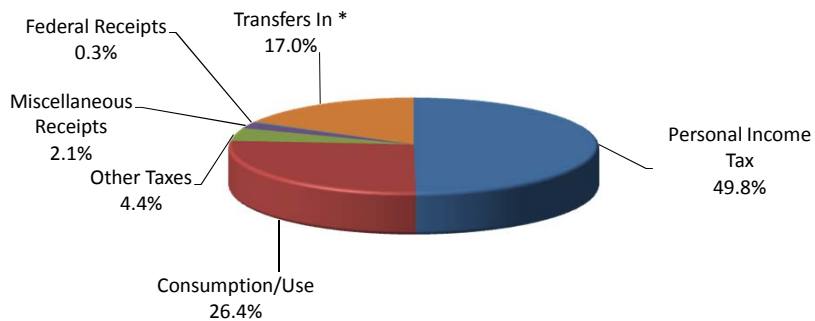
GENERAL



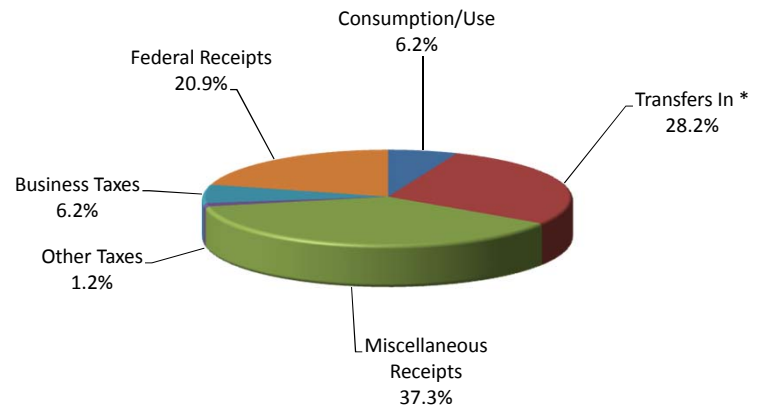
SPECIAL REVENUE



DEBT SERVICE



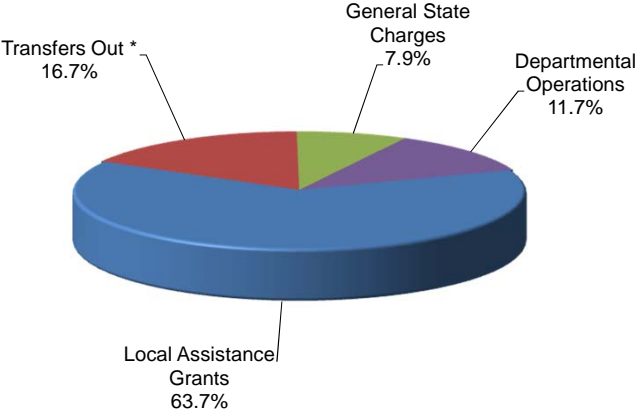
CAPITAL PROJECTS



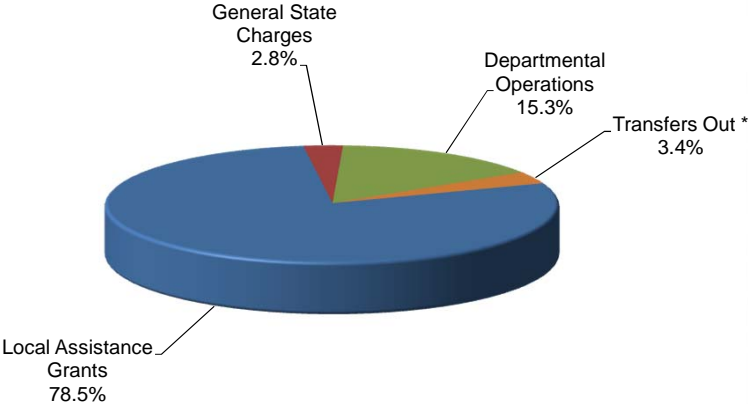
*Transfers from Other Funds

**GOVERNMENTAL FUNDS - DISBURSEMENTS
FISCAL YEAR ENDED MARCH 31, 2016**

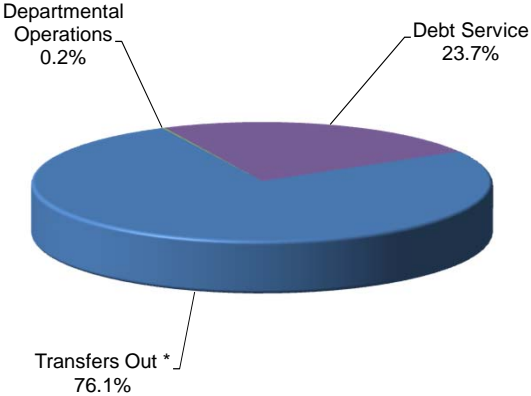
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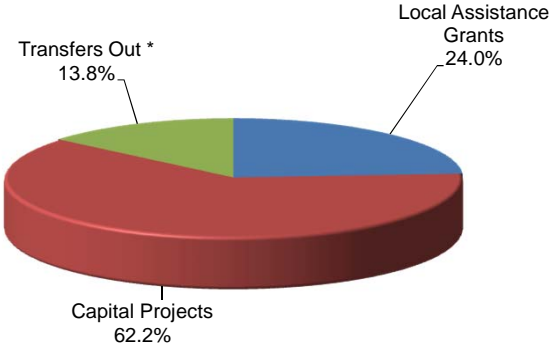
SPECIAL REVENUE



DEBT SERVICE



CAPITAL PROJECTS



*Transfers to Other Funds

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
COMBINED STATEMENT OF CASH RECEIPTS,
DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2016
(Amounts in thousands)**

EXHIBIT A

	GENERAL	SPECIAL REVENUE	DEBT SERVICE	CAPITAL PROJECTS	TOTAL GOVERNMENTAL FUNDS	
					2015-16	2014-15
RECEIPTS:						
Personal Income Tax.....	\$ 31,956,762	\$ 3,334,700	\$ 11,763,821	\$ -	\$ 47,055,283	\$ 43,709,833
Consumption/Use Taxes	6,819,371	2,027,752	6,242,519	635,701	15,725,343	15,384,984
Business Taxes.....	5,647,308	1,596,956	-	639,479	7,883,743	8,502,645
Other Taxes.....	1,539,409	1,306,235	1,043,960	119,100	4,008,704	3,436,918
Miscellaneous Receipts.....	5,842,208	17,117,055	486,548	3,822,525	27,268,336	29,437,525
Federal Receipts.....	229	49,104,679	73,247	2,145,374	51,323,529	48,636,693
Total Receipts.....	51,805,287	74,487,377	19,610,095	7,362,179	153,264,938	149,108,598
DISBURSEMENTS:						
Local Assistance Grants:						
Education.....	25,133,656	10,187,651	-	28,258	35,349,565	33,347,534
Environment and Recreation.....	7,420	7,709	-	306,350	321,479	315,309
General Government.....	1,010,655	259,882	-	303,423	1,573,960	1,358,364
Public Health:						
Medicaid.....	13,005,715	36,658,576	-	-	49,664,291	47,642,930
Other Public Health	860,319	5,868,252	-	144,858	6,873,429	5,154,862
Public Safety	132,884	1,994,342	-	101,835	2,229,061	2,718,169
Public Welfare	2,932,762	4,744,636	-	129,381	7,806,779	7,597,686
Support and Regulate Business	119,567	107,434	-	569,911	796,912	657,668
Transportation.....	111,351	4,673,193	-	913,607	5,698,151	5,931,129
Total Local Assistance Grants.....	43,314,329	64,501,675	-	2,497,623	110,313,627	104,723,651
Departmental Operations:						
Personal Service.....	6,010,892	7,587,259	-	-	13,598,151	13,162,852
Non-Personal Service.....	1,944,289	4,993,192	36,616	-	6,974,097	6,977,664
General State Charges.....	5,397,230	2,341,957	-	-	7,739,187	7,337,109
Debt Service, Including Payments on Financing Agreements.....	-	-	5,598,485	-	5,598,485	6,182,817
Capital Projects.....	-	1,749	-	6,483,181	6,484,930	5,506,585
Total Disbursements.....	56,666,740	79,425,832	5,635,101	8,980,804	150,708,477	143,890,678
Excess (Deficiency) of Receipts over Disbursements.....	(4,861,453)	(4,938,455)	13,974,994	(1,618,625)	2,556,461	5,217,920
OTHER FINANCING SOURCES (USES):						
Bond and Note Proceeds, net.....	-	-	-	-	-	161,343
Transfers from Other Funds.....	17,870,827	8,668,925	4,006,566	2,895,606	33,441,924	29,807,742
Transfers to Other Funds.....	(11,374,787)	(2,785,176)	(17,940,507)	(1,443,344)	(33,543,814)	(29,866,485)
Investment.....	-	(5)	-	-	(5)	-
Total Other Financing Sources (Uses).....	6,496,040	5,883,744	(13,933,941)	1,452,262	(101,895)	102,600
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	1,634,587	945,289	41,053	(166,363)	2,454,566	5,320,520
Fund Balances (Deficits) at April 1.....	7,299,517	2,661,797	118,660	(724,419)	9,355,555	4,034,506
Fund Balances (Deficits) at March 31.....	\$ 8,934,104	\$ 3,607,086	\$ 159,713	\$ (890,782)	\$ 11,810,121	\$ 9,355,026

See Accompanying Notes

**STATE OF NEW YORK
GOVERNMENTAL FUNDS - STATE OPERATING
COMBINED STATEMENT OF CASH RECEIPTS,
DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2016
(Amounts in thousands)**

**EXHIBIT A
SUPPLEMENTAL**

	STATE			TOTAL STATE OPERATING FUNDS	
	GENERAL	SPECIAL REVENUE	DEBT SERVICE	2015-16	2014-15
RECEIPTS:					
Personal Income Tax.....	\$ 31,956,762	\$ 3,334,700	\$ 11,763,821	\$ 47,055,283	\$ 43,709,833
Consumption/Use Taxes.....	6,819,371	2,027,752	6,242,519	15,089,642	14,784,464
Business Taxes.....	5,647,308	1,596,956	-	7,244,264	7,849,377
Other Taxes.....	1,539,409	1,306,235	1,043,960	3,889,604	3,317,818
Miscellaneous Receipts.....	5,842,208	16,926,235	486,548	23,254,991	25,300,841
Federal Receipts.....	229	72	73,247	73,548	74,718
Total Receipts.....	51,805,287	25,191,950	19,610,095	96,607,332	95,037,051
DISBURSEMENTS:					
Local Assistance Grants:					
Education.....	25,133,656	6,529,102	-	31,662,758	30,130,698
Environment and Recreation.....	7,420	4,754	-	12,174	9,539
General Government.....	1,010,655	204,724	-	1,215,379	1,192,301
Public Health:					
Medicaid.....	13,005,715	5,308,442	-	18,314,157	18,019,396
Other Public Health	860,319	2,405,162	-	3,265,481	3,352,872
Public Safety	132,884	150,340	-	283,224	331,569
Public Welfare	2,932,762	2,809	-	2,935,571	2,829,894
Support and Regulate Business	119,567	99,718	-	219,285	351,665
Transportation.....	111,351	4,633,878	-	4,745,229	4,834,029
Total Local Assistance Grants.....	43,314,329	19,338,929	-	62,653,258	61,051,963
Departmental Operations:					
Personal Service.....	6,010,892	6,969,859	-	12,980,751	12,549,597
Non-Personal Service.....	1,944,289	3,620,872	36,616	5,601,777	5,607,541
General State Charges.....	5,397,230	2,055,232	-	7,452,462	7,033,234
Debt Service, Including Payments on Financing Agreements...	-	-	5,598,485	5,598,485	6,182,817
Capital Projects.....	-	1,749	-	1,749	1,322
Total Disbursements.....	56,666,740	31,986,641	5,635,101	94,288,482	92,426,474
Excess (Deficiency) of Receipts over Disbursements.....	(4,861,453)	(6,794,691)	13,974,994	2,318,850	2,610,577
OTHER FINANCING SOURCES (USES):					
Bond and Note Proceeds, net.....	-	-	-	-	-
Transfers from Other Funds.....	17,870,827	9,165,180	4,006,566	31,042,573	28,850,719
Transfers to Other Funds.....	(11,374,787)	(1,295,754)	(17,940,507)	(30,611,048)	(26,360,135)
Investment.....	-	(5)	-	(5)	-
Total Other Financing Sources (Uses).....	6,496,040	7,869,421	(13,933,941)	431,520	2,490,584
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	1,634,587	1,074,730	41,053	2,750,370	5,101,161
Fund Balances at April 1	7,299,517	2,472,624	118,660	9,890,801	4,789,111
Fund Balances at March 31.....	\$ 8,934,104	\$ 3,547,354	\$ 159,713	\$ 12,641,171	\$ 9,890,272

See Accompanying Notes

STATE OF NEW YORK
 PROPRIETARY FUNDS
 COMBINED STATEMENT OF CASH RECEIPTS,
 DISBURSEMENTS AND CHANGES IN FUND BALANCES
 FISCAL YEAR ENDED MARCH 31, 2016
 (Amounts in thousands)

EXHIBIT B

	ENTERPRISE	INTERNAL SERVICE	TOTAL PROPRIETARY FUNDS	
			2015-16	2014-15
RECEIPTS:				
Miscellaneous Receipts.....	\$ 65,640	\$ 544,739	\$ 610,379	\$ 636,011
Federal Receipts.....	29,962	-	29,962	45,484
Unemployment Taxes.....	2,281,243	-	2,281,243	2,457,194
Total Receipts.....	2,376,845	544,739	2,921,584	3,138,689
DISBURSEMENTS:				
Departmental Operations:				
Personal Service.....	4,831	85,673	90,504	91,773
Non-Personal Service.....	70,581	402,919	473,500	664,624
General State Charges.....	1,298	46,519	47,817	53,152
Unemployment Benefits.....	2,284,639	-	2,284,639	2,497,008
Total Disbursements.....	2,361,349	535,111	2,896,460	3,306,557
Excess (Deficiency) of Receipts over Disbursements.....	15,496	9,628	25,124	(167,868)
OTHER FINANCING SOURCES (USES):				
Transfers from Other Funds.....	-	98,216	98,216	85,736
Transfers to Other Funds.....	(12)	(38,322)	(38,334)	(53,739)
Total Other Financing Sources (Uses).....	(12)	59,894	59,882	31,997
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	15,484	69,522	85,006	(135,871)
Fund Balances (Deficits) at April 1.....	50,650	(196,694)	(146,044)	(10,173)
Fund Balances (Deficits) at March 31.....	\$ 66,134	\$ (127,172)	\$ (61,038)	\$ (146,044)

See Accompanying Notes

STATE OF NEW YORK
TRUST FUNDS
COMBINED STATEMENT OF CASH RECEIPTS,
DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2016
(Amounts in thousands)

EXHIBIT C

	PENSION TRUST	PRIVATE PURPOSE TRUST	TOTAL TRUST FUNDS	
			2015-16	2014-15
RECEIPTS:				
Miscellaneous Receipts.....	\$ 127,349	\$ 485	\$ 127,834	\$ 92,878
Total Receipts.....	127,349	485	127,834	92,878
DISBURSEMENTS:				
Departmental Operations:				
Personal Service.....	56,593	282	56,875	53,501
Non-Personal Service.....	22,911	8	22,919	22,190
General State Charges.....	30,896	162	31,058	29,583
Total Disbursements.....	110,400	452	110,852	105,274
Excess (Deficiency) of Receipts over Disbursements.....	16,949	33	16,982	(12,396)
Fund Balances (Deficits) at April 1.....	(16,889)	11,519	(5,370)	7,026
Fund Balances (Deficits) at March 31.....	\$ 60	\$ 11,552	\$ 11,612	\$ (5,370)

See Accompanying Notes

STATE OF NEW YORK
GOVERNMENTAL FUNDS
BUDGETARY BASIS REPORT - FINANCIAL PLAN AND ACTUAL - GENERAL FUND
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS
AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2016
(Amounts in thousands)

EXHIBIT D

	Financial Plan Amounts			Actual	Final to Actual Over/ (Under) Variance
	Original	Mid-Year	Final		
RECEIPTS:					
Personal Income Tax.....	\$ 31,924,000	\$ 32,096,000	\$ 31,983,000	\$ 31,956,800	\$ (26,200)
Consumption/Use Taxes.....	6,890,000	6,783,000	6,781,000	6,819,400	38,400
Business Taxes.....	5,897,000	5,877,000	6,202,000	5,647,300	(554,700)
Other Taxes.....	1,069,000	1,376,000	1,466,000	1,539,400	73,400
Miscellaneous Receipts.....	4,365,000	5,367,000	5,820,000	5,842,200	22,200
Federal Receipts.....	-	-	-	200	200
Transfers from Other Funds:					
PIT in excess of Revenue Bond Debt Service.....	10,215,000	10,435,000	10,397,000	10,159,100	(237,900)
Sales Tax in excess of LGAC/STRBTF Debt Service.....	5,733,000	5,462,000	5,462,000	5,487,000	25,000
Real Estate Taxes in excess of CW/CA Debt Service.....	894,000	956,000	956,000	972,200	16,200
All Other.....	1,298,000	1,263,000	1,227,000	1,252,500	25,500
Total Receipts.....	68,285,000	69,615,000	70,294,000	69,676,100	(617,900)
DISBURSEMENTS:					
Local Assistance Grants.....	44,356,000	44,447,000	44,153,000	43,314,300	(838,700)
Departmental Operations.....	8,263,000	8,326,000	8,222,000	7,955,200	(266,800)
General State Charges.....	5,195,000	5,168,000	5,188,000	5,397,200	209,200
Transfers to Other Funds:					
Debt Service.....	886,000	934,000	1,282,000	1,195,700	(86,300)
Capital Projects.....	5,947,000	6,030,000	6,148,000	2,720,900	(3,427,100)
State Share of Medicaid.....	2,162,000	2,162,000	2,159,000	2,406,300	247,300
SUNY Operations.....	998,000	998,000	998,000	998,100	100
Other Purposes.....	4,283,000	4,265,000	4,433,000	4,053,800	(379,200)
Total Disbursements.....	72,090,000	72,330,000	72,583,000	68,041,500	(4,541,500)
Excess (Deficiency) of Receipts over Disbursements.....	(3,805,000)	(2,715,000)	(2,289,000)	1,634,600	3,923,600
Fund Balances (Deficits) at April 1.....	7,300,000	7,300,000	7,300,000	7,299,500	(500)
Fund Balances (Deficits) at March 31.....	\$ 3,495,000	\$ 4,585,000	\$ 5,011,000	\$ 8,934,100	\$ 3,923,100

See Accompanying Notes

STATE OF NEW YORK
GOVERNMENTAL FUNDS
BUDGETARY BASIS REPORT - FINANCIAL PLAN AND ACTUAL - SPECIAL REVENUE
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS
AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2016
(Amounts in thousands)

EXHIBIT D
(continued)

	Financial Plan Amounts			Actual	Eliminations Actual	Total Actual	Final to Actual Over/ (Under) Variance
	Original	Mid-Year	Final				
RECEIPTS:							
Personal Income Tax.....	\$ 3,382,000	\$ 3,337,000	\$ 3,337,000	\$ 3,334,700	\$ -	3,334,700	\$ (2,300)
Consumption/Use Taxes.....	2,027,000	2,013,000	2,018,000	2,027,800	-	2,027,800	9,800
Business Taxes.....	1,618,000	1,583,000	1,576,000	1,597,000	-	1,597,000	21,000
Other Taxes.....	1,346,000	1,337,000	1,331,000	1,306,200	-	1,306,200	(24,800)
Miscellaneous Receipts.....	15,276,000	15,246,000	15,440,000	17,117,000	-	17,117,000	1,677,000
Federal Receipts.....	49,627,000	50,382,000	49,779,000	49,104,700	-	49,104,700	(674,300)
Transfers from Other Funds.....	8,747,000	8,732,000	8,793,000	9,204,600	(535,700)	8,668,900	(124,100)
Total Receipts.....	82,023,000	82,630,000	82,274,000	83,692,000	(535,700)	83,156,300	882,300
DISBURSEMENTS:							
Local Assistance Grants.....	64,400,000	64,998,000	64,540,000	64,501,700	-	64,501,700	(38,300)
Departmental Operations.....	12,011,000	12,014,000	12,024,000	12,580,400	-	12,580,400	556,400
General State Charges.....	2,445,000	2,445,000	2,444,000	2,342,000	-	2,342,000	(102,000)
Capital Projects.....	1,000	1,000	1,000	1,700	-	1,700	700
Transfers to Other Funds.....	3,058,000	3,022,000	2,864,000	3,320,900	(535,700)	2,785,200	(78,800)
Total Disbursements.....	81,915,000	82,480,000	81,873,000	82,746,700	(535,700)	82,211,000	338,000
Excess (Deficiency) of Receipts over Disbursements.....	108,000	150,000	401,000	945,300	-	945,300	544,300
Fund Balances (Deficits) at April 1.....	2,661,000	2,661,000	2,661,000	2,661,800	-	2,661,800	800
Fund Balances (Deficits) at March 31.....	\$ 2,769,000	\$ 2,811,000	\$ 3,062,000	\$ 3,607,100	\$ -	\$ 3,607,100	\$ 545,100

See Accompanying Notes

STATE OF NEW YORK
GOVERNMENTAL FUNDS
BUDGETARY BASIS REPORT - FINANCIAL PLAN AND ACTUAL - SPECIAL REVENUE - STATE
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS
AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2016
(Amounts in thousands)

EXHIBIT D
(continued)

	Financial Plan Amounts			Actual	Final to Actual Over/ (Under) Variance
	Original	Mid-Year	Final		
RECEIPTS:					
Personal Income Tax.....	\$ 3,382,000	\$ 3,337,000	\$ 3,337,000	\$ 3,334,700	\$ (2,300)
Consumption/Use Taxes.....	2,027,000	2,013,000	2,018,000	2,027,800	9,800
Business Taxes.....	1,618,000	1,583,000	1,576,000	1,597,000	21,000
Other Taxes.....	1,346,000	1,337,000	1,331,000	1,306,200	(24,800)
Miscellaneous Receipts.....	15,179,000	15,045,000	15,239,000	16,926,200	1,687,200
Federal Receipts.....	1,000	1,000	1,000	100	(900)
Transfers from Other Funds.....	8,711,000	8,696,000	8,757,000	9,165,200	408,200
Total Receipts.....	32,264,000	32,012,000	32,259,000	34,357,200	2,098,200
DISBURSEMENTS:					
Local Assistance Grants.....	18,949,000	18,855,000	18,879,000	19,338,900	459,900
Departmental Operations.....	10,181,000	10,184,000	10,212,000	10,590,700	378,700
General State Charges.....	2,139,000	2,139,000	2,138,000	2,055,300	(82,700)
Capital Projects.....	1,000	1,000	1,000	1,700	700
Transfers to Other Funds.....	1,380,000	1,344,000	1,304,000	1,295,800	(8,200)
Total Disbursements.....	32,650,000	32,523,000	32,534,000	33,282,400	748,400
Excess (Deficiency) of Receipts over Disbursements.....	(386,000)	(511,000)	(275,000)	1,074,800	1,349,800
Fund Balances (Deficits) at April 1.....	2,472,000	2,472,000	2,472,000	2,472,600	600
Fund Balances (Deficits) at March 31.....	\$ 2,086,000	\$ 1,961,000	\$ 2,197,000	\$ 3,547,400	\$ 1,350,400

STATE OF NEW YORK
GOVERNMENTAL FUNDS
BUDGETARY BASIS REPORT - FINANCIAL PLAN AND ACTUAL - SPECIAL REVENUE - FEDERAL
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS
AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2016
(Amounts in thousands)

EXHIBIT D
(continued)

	Financial Plan Amounts			Actual	Final to Actual Over/ (Under) Variance
	Original	Mid-Year	Final		
RECEIPTS:					
Personal Income Tax.....	\$ -	\$ -	\$ -	\$ -	\$ -
Consumption/Use Taxes.....	-	-	-	-	-
Business Taxes.....	-	-	-	-	-
Other Taxes.....	-	-	-	-	-
Miscellaneous Receipts.....	97,000	201,000	201,000	190,800	(10,200)
Federal Receipts.....	49,626,000	50,381,000	49,778,000	49,104,600	(673,400)
Transfers from Other Funds.....	36,000	36,000	36,000	39,400	3,400
Total Receipts.....	49,759,000	50,618,000	50,015,000	49,334,800	(680,200)
DISBURSEMENTS:					
Local Assistance Grants.....	45,451,000	46,143,000	45,661,000	45,162,800	(498,200)
Departmental Operations.....	1,830,000	1,830,000	1,812,000	1,989,700	177,700
General State Charges.....	306,000	306,000	306,000	286,700	(19,300)
Capital Projects.....	-	-	-	-	-
Transfers to Other Funds.....	1,678,000	1,678,000	1,560,000	2,025,100	465,100
Total Disbursements.....	49,265,000	49,957,000	49,339,000	49,464,300	125,300
Excess (Deficiency) of Receipts over Disbursements.....	494,000	661,000	676,000	(129,500)	(805,500)
Fund Balances (Deficits) at April 1.....	189,000	189,000	189,000	189,200	200
Fund Balances (Deficits) at March 31.....	\$ 683,000	\$ 850,000	\$ 865,000	\$ 59,700	\$ (805,300)

STATE OF NEW YORK
GOVERNMENTAL FUNDS
BUDGETARY BASIS REPORT - FINANCIAL PLAN AND ACTUAL - DEBT SERVICE
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS
AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2016
(Amounts in thousands)

EXHIBIT D
(continued)

	Financial Plan Amounts			Actual	Final to Actual Over/ (Under) Variance
	Original	Mid-Year	Final		
RECEIPTS:					
Personal Income Tax.....	\$ 11,769,000	\$ 11,811,000	\$ 11,773,000	\$ 11,763,800	\$ (9,200)
Consumption/Use Taxes.....	6,325,000	6,220,000	6,220,000	6,242,500	22,500
Business Taxes.....	-	-	-	-	-
Other Taxes.....	966,000	1,028,000	1,028,000	1,044,000	16,000
Miscellaneous Receipts.....	470,000	470,000	488,000	486,500	(1,500)
Federal Receipts.....	73,000	73,000	73,000	73,200	200
Transfers from Other Funds.....	3,897,000	3,888,000	4,121,000	4,006,600	(114,400)
Total Receipts.....	23,500,000	23,490,000	23,703,000	23,616,600	(86,400)
DISBURSEMENTS:					
Departmental Operations.....	44,000	44,000	44,000	36,600	(7,400)
Debt Service.....	5,122,000	5,101,000	5,452,000	5,598,500	146,500
Transfers to Other Funds.....	18,251,000	18,262,000	18,123,000	17,940,500	(182,500)
Total Disbursements.....	23,417,000	23,407,000	23,619,000	23,575,600	(43,400)
Excess (Deficiency) of Receipts over Disbursements.....	83,000	83,000	84,000	41,000	(43,000)
Fund Balances (Deficits) at April 1.....	118,000	118,000	118,000	118,700	700
Fund Balances (Deficits) at March 31.....	\$ 201,000	\$ 201,000	\$ 202,000	\$ 159,700	\$ (42,300)

See Accompanying Notes

STATE OF NEW YORK
GOVERNMENTAL FUNDS
BUDGETARY BASIS REPORT - FINANCIAL PLAN AND ACTUAL - CAPITAL PROJECTS
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS
AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2016
(Amounts in thousands)

EXHIBIT D
(continued)

	Financial Plan Amounts			Actual	Eliminations Actual	Total Actual	Final to Actual Over/ (Under) Variance
	Original	Mid-Year	Final				
RECEIPTS:							
Personal Income Tax.....	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Consumption/Use Taxes.....	608,000	609,000	621,000	635,700	-	635,700	14,700
Business Taxes.....	622,000	628,000	628,000	639,500	-	639,500	11,500
Other Taxes.....	119,000	119,000	119,000	119,100	-	119,100	100
Miscellaneous Receipts.....	5,299,000	4,854,000	4,585,000	3,822,500	-	3,822,500	(762,500)
Federal Receipts.....	1,696,000	1,844,000	2,476,000	2,145,400	-	2,145,400	(330,600)
Transfers from Other Funds.....	6,237,000	6,320,000	6,435,000	3,172,300	(276,700)	2,895,600	(3,539,400)
Total Receipts.....	14,581,000	14,374,000	14,864,000	10,534,500	(276,700)	10,257,800	(4,606,200)
DISBURSEMENTS:							
Local Assistance Grants.....	3,154,000	2,889,000	3,156,000	2,497,600	-	2,497,600	(658,400)
Capital Projects.....	7,159,000	7,066,000	6,854,000	6,483,200	-	6,483,200	(370,800)
Transfers to Other Funds.....	1,515,000	1,458,000	1,460,000	1,720,100	(276,700)	1,443,400	(16,600)
Total Disbursements.....	11,828,000	11,413,000	11,470,000	10,700,900	(276,700)	10,424,200	(1,045,800)
Excess (Deficiency) of Receipts over Disbursements.....	2,753,000	2,961,000	3,394,000	(166,400)	-	(166,400)	(3,560,400)
OTHER FINANCING SOURCES (USES):							
Bond and Note Proceeds, net.....	685,000	485,000	474,000	-	-	-	(474,000)
Total Other Financing Sources (Uses).....	685,000	485,000	474,000	-	-	-	(474,000)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	3,438,000	3,446,000	3,868,000	(166,400)	-	(166,400)	(4,034,400)
Fund Balances (Deficits) at April 1.....	(724,000)	(724,000)	(724,000)	(724,400)	-	(724,400)	(400)
Fund Balances (Deficits) at March 31.....	\$ 2,714,000	\$ 2,722,000	\$ 3,144,000	\$ (890,800)	\$ -	\$ (890,800)	\$ (4,034,800)

See Accompanying Notes

STATE OF NEW YORK
GOVERNMENTAL FUNDS
BUDGETARY BASIS REPORT - FINANCIAL PLAN AND ACTUAL - CAPITAL PROJECTS - STATE
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS
AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2016
(Amounts in thousands)

EXHIBIT D
(continued)

	Financial Plan Amounts			Actual	Final to Actual Over/ (Under) Variance
	Original	Mid-Year	Final		
RECEIPTS:					
Personal Income Tax.....	\$ -	\$ -	\$ -	\$ -	\$ -
Consumption/Use Taxes.....	608,000	609,000	621,000	635,700	14,700
Business Taxes.....	622,000	628,000	628,000	639,500	11,500
Other Taxes.....	119,000	119,000	119,000	119,100	100
Miscellaneous Receipts.....	5,299,000	4,854,000	4,585,000	3,821,600	(763,400)
Federal Receipts.....	5,000	5,000	5,000	5,000	-
Transfers from Other Funds.....	6,237,000	6,320,000	6,435,000	3,172,300	(3,262,700)
Total Receipts.....	12,890,000	12,535,000	12,393,000	8,393,200	(3,999,800)
DISBURSEMENTS:					
Local Assistance Grants.....	2,438,000	2,173,000	2,440,000	1,886,300	(553,700)
Capital Projects.....	6,439,000	6,198,000	5,424,000	5,057,400	(366,600)
Transfers to Other Funds.....	1,503,000	1,446,000	1,448,000	1,438,600	(9,400)
Total Disbursements.....	10,380,000	9,817,000	9,312,000	8,382,300	(929,700)
Excess (Deficiency) of Receipts over Disbursements.....	2,510,000	2,718,000	3,081,000	10,900	(3,070,100)
OTHER FINANCING SOURCES (USES):					
Bond and Note Proceeds, net.....	685,000	485,000	474,000	-	(474,000)
Total Other Financing Sources (Uses).....	685,000	485,000	474,000	-	(474,000)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	3,195,000	3,203,000	3,555,000	10,900	(3,544,100)
Fund Balances (Deficits) at April 1.....	(342,000)	(342,000)	(342,000)	(342,400)	(400)
Fund Balances (Deficits) at March 31.....	\$ 2,853,000	\$ 2,861,000	\$ 3,213,000	\$ (331,500)	\$ (3,544,500)

STATE OF NEW YORK
GOVERNMENTAL FUNDS
BUDGETARY BASIS REPORT - FINANCIAL PLAN AND ACTUAL - CAPITAL PROJECTS - FEDERAL
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS
AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2016
(Amounts in thousands)

EXHIBIT D
(continued)

	Financial Plan Amounts			Actual	Final to Actual Over/ (Under) Variance
	Original	Mid-Year	Final		
RECEIPTS:					
Personal Income Tax.....	\$ -	\$ -	\$ -	\$ -	\$ -
Consumption/Use Taxes.....	-	-	-	-	-
Business Taxes.....	-	-	-	-	-
Other Taxes.....	-	-	-	-	-
Miscellaneous Receipts.....	-	-	-	900	900
Federal Receipts.....	1,691,000	1,839,000	2,471,000	2,140,400	(330,600)
Transfers from Other Funds.....	-	-	-	-	-
Total Receipts.....	1,691,000	1,839,000	2,471,000	2,141,300	(329,700)
DISBURSEMENTS:					
Local Assistance Grants.....	716,000	716,000	716,000	611,300	(104,700)
Capital Projects.....	720,000	868,000	1,430,000	1,425,800	(4,200)
Transfers to Other Funds.....	12,000	12,000	12,000	281,500	269,500
Total Disbursements.....	1,448,000	1,596,000	2,158,000	2,318,600	160,600
Excess (Deficiency) of Receipts over Disbursements.....	243,000	243,000	313,000	(177,300)	(490,300)
OTHER FINANCING SOURCES (USES):					
Bond and Note Proceeds, net.....	-	-	-	-	-
Total Other Financing Sources (Uses).....	-	-	-	-	-
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	243,000	243,000	313,000	(177,300)	(490,300)
Fund Balances (Deficits) at April 1.....	(382,000)	(382,000)	(382,000)	(382,000)	-
Fund Balances (Deficits) at March 31.....	\$ (139,000)	\$ (139,000)	\$ (69,000)	\$ (559,300)	\$ (490,300)

NOTES TO THE COMPTROLLER'S 2016 ANNUAL REPORT TO THE LEGISLATURE ON THE STATE FUNDS - CASH BASIS OF ACCOUNTING

NOTE 1 BASIS OF PRESENTATION:

As set forth in State Finance Law, this report is prepared on a cash basis of accounting and conforms substantially to the Financial Plan presentation by the Division of the Budget for the fiscal year ended March 31, 2016. The State Financial Plan sets forth projections of receipts and disbursements in the governmental fund types based initially upon the recommendations in the Executive Budget. After the budget is enacted, the State Financial Plan is adjusted to reflect revenue measures, appropriation bills, and certain related bills enacted by the Legislature. The Financial Plan is updated quarterly, or more frequently when necessary, by the Division of the Budget.

NOTE 2 FUND TYPES:

The State records its transactions in the following fund types:

Governmental Funds:

General - the major operating fund of the State. It accounts for all receipts that are not required by law to be deposited into another fund. The General Fund's income finances disbursements from the Local Assistance Account, the State Operations Account, the Contingency Reserve Fund, the Universal Pre-Kindergarten Reserve Fund, the Refund Reserve Account, the Fringe Benefit Escrow Account, and the Tobacco Revenue Guarantee Fund. Receipts in excess of General Fund requirements in the Local Assistance Account and State Operations Account are transferred to the Tax Stabilization Reserve Fund at year-end (see Schedule 28). Receipts retained in the Rainy Day Reserve Fund may only be used in an economic downturn or catastrophic event as defined in State Finance Law Section 92-cc.

Special Revenue - to account for State receipts of specific revenue sources (other than debt service or major capital projects) such as Federal grants, that are legally restricted to disbursements for specified purposes. These restrictions may be imposed by the State or Federal government.

Debt Service - to account for the accumulation of resources for, and the payment of principal and interest on general long-term debt and State debt under lease/purchase and contractual obligation financing agreements. Debt service in relation to general obligation debt and certain lease/purchase and contractual obligation payments that are paid from the General Debt Service Fund are funded by transfers from the General Fund. Also disbursed from Debt Service funds are debt service related activities for the Health and Mental Hygiene facilities, for highway construction, reconstruction, reconditioning and preservation and for certain local assistance payments made under contractual agreements with public authorities. Such activities are primarily funded by dedicated tax receipts and patient income.

Capital Projects - to account for resources used for the acquisition or construction of capital facilities. Capital assistance grants to local governments and advances for capital construction costs reimbursable by public authorities of the State, Federal or local governments are also accounted for in these funds. Financial resources are generated primarily from bond issuances, dedicated taxes, reimbursement of advances, Federal grants and transfers from the General Fund.

State Operating Funds:

State Operating Funds - are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal Funds) and Debt Service Funds.

Proprietary Funds:

Enterprise - to account for activities for which a fee is charged to users for goods or services. Enterprise funds include services provided where either the costs are intended to be recovered primarily through charges to users outside of the State entity, or where the potential exists for significant financing through user charges, even if the legislative intent is not to self-finance the service and instead subsidize it from general governmental resources.

Internal Service - to account for any activity that provides goods or services to other funds, other State agencies or other governmental units on a cost-reimbursement basis. Internal Service funds should be used only if the reporting government is the predominant participant in the activity.

Fiduciary Funds:

Private Purpose Trust - to account for all other trust arrangements where the principal and income benefits individuals, private organizations or other governments.

Pension Trust - to account for the cash basis results of operations for the administrative portion of the State's Common Retirement Fund. It does not reflect investment activity, balances, or other assets available to this fund. In addition, pension contributions and payments to retirees are excluded since these payments are not required to be appropriated.

Agency - to account for funds held by the State in purely custodial capacity. Cash is held temporarily until disbursements are made to individuals, private organizations or other governmental units.

NOTES TO THE COMPTROLLER'S 2016 ANNUAL REPORT TO THE LEGISLATURE ON THE STATE FUNDS - CASH BASIS OF ACCOUNTING

NOTE 3 DISBURSEMENT DESCRIPTIONS:

The State's Cash report includes payments made pursuant to an appropriation, as well as non-appropriated payments from funds held in a fiduciary capacity.

Local Assistance Grants - includes payments to counties, cities, towns, villages, school districts, private schools and other local entities as well as certain financial assistance to, or on behalf of, individuals and nonprofit organizations. Schedule 7 contains further information relating to local assistance disbursements by program.

Departmental Operations - includes the payment of salaries and compensation for State employees, miscellaneous contractual payments, supplies and materials, travel, rentals and repairs, utilities, postage and shipping, printing, telephone, and other miscellaneous operating costs of State departments and agencies.

General State Charges - includes costs mandated either by statute, collective bargaining agreements or court order. Charges in this category include contributions to pension systems, to the employer's share of social security contributions, employer contributions toward the cost of medical and dental insurance, workers' compensation and unemployment insurance, and contributions to union employee benefit funds which provide vision care and other services. Also included are fixed costs for State payments in lieu of taxes, as well as payments for local assessments on State-owned land, judgments against the State pursuant to the Court of Claims Act, defense(s) by private counsel or alternately, payments on behalf of State officers and employees in civil judicial proceedings.

Debt Service - includes debt service on long-term debt and payments on certain lease/purchase or contractual obligation contracts accounted for in Debt Service Funds (see Schedules 15 and 19). Under lease/purchase or contractual obligation financing arrangements, public authorities and certain municipalities have issued debt to finance the acquisition, construction or rehabilitation of State facilities or equipment and expect to receive rental or contractual payments from the State in an amount equal to the debt issued by the authority or municipality.

Capital Projects - includes payments made for the acquisition or construction of the State's capital facilities. Included in this category are planning, land acquisition, design, construction, engineering services, and equipment costs attributable to highways, parkways, rail preservation, outdoor recreation, and environmental conservation projects, as well as payments to local government units and public authorities to help finance highways, parkways, bridges, mass transportation, aviation, economic development, port development, community colleges, community and State mental hygiene buildings, outdoor recreational parks, correctional and State-assisted housing and environmental quality projects. Advances are made for capital construction cost reimbursable by public benefit corporations, the Federal or local governments, or from the proceeds of State bonds and note sales.

NOTE 4 OTHER FINANCING SOURCES (USES):

Bond Proceeds - includes the proceeds from the sale of general obligation bonds. Schedule 15 provides an analysis of State debt activity during the fiscal year.

Operating Transfers - constitutes legally authorized transfers from a fund receiving revenues to a fund through which disbursements will ultimately be made.

The more significant General Fund transfers include transfers to the following Funds/Accounts (amounts in millions):

Alcoholic Beverage Control	\$	14.9
Banking Services		52.2
Centralized Technology Services		8.4
Certificates of Participation		21.8
Charter School Stimulus		4.8
Correctional Facilities Capital Improvements		21.5
Correctional Industries Revolving		10.5
Court Facilities Incentive Aid		106.8
Dedicated Highway and Bridge Trust		681.0
Dedicated Infrastructure Investment		856.9
Dedicated Mass Transportation - Railroad		8.8
Dedicated Mass Transportation - Transit Authority		48.9
Dedicated Mass Transportation - Non MTA		5.0
Energy Research		4.3
Environmental Protection		23.0
Federal Miscellaneous Operating Grants		39.5
Financial Crime Revenue		14.3
General Debt Service		1,195.7
Hazardous Waste Remediation Oversight and Assistance		8.5
Health Insurance Revolving		5.4
Indigent Legal Services		30.1
Mass Transportation Financial Assistance		332.0
Mass Transportation Operating Assistance		35.9
Medical Marijuana Health Operating and Oversight		6.7
Mental Hygiene Patient Income		1,712.2
Mental Hygiene Program		1,482.8
New York City County Clerks Operations Offset		4.6
Recruitment Incentive		2.1
Spinal Cord Injury		8.5
State Capital Projects		1,130.0
State Housing Debt		2.7
SUNY Hospital Income Fund Reimbursable		87.9
State University Income		998.1
Total	\$	8,965.8

NOTES TO THE COMPTROLLER'S 2016 ANNUAL REPORT TO THE LEGISLATURE ON THE STATE FUNDS - CASH BASIS OF ACCOUNTING

In addition, reported General Fund Transfers to Other Funds include transfers representing payments for patients residing in State-operated Health, Mental Hygiene and State University facilities to the Health Income Fund (\$15.6m), the Mental Hygiene Program Fund (\$2,035.5m), the State University Income Fund (\$355.0m) and Miscellaneous State Special Revenue Fund (\$0.2m).

The Special Revenue Funds, Transfer to Other Funds include transfers to Debt Service Funds representing the federal share of Medicaid payments for patients residing in State-operated Health, SUNY, Education and Mental Hygiene facilities (\$1,333.5m), as well as, transfers to the Revenue Bond Tax Fund (\$64.5m) and to the General Debt Service Fund (\$21.3m).

Additionally, Special Revenue Funds include Transfers to the General Fund from the following Funds/Accounts (amounts in millions):

Business and Licensing Services	\$	92.4
Criminal Justice Improvement		22.6
Certificate of Need		18.5
Chemical Dependence Service		292.9
Clean Air		6.0
Department of Labor Fee and Penalty		9.3
DMV Compulsory		11.8
ENCON Special Revenue		2.6
Examination & Miscellaneous Revenue		2.4
Federal Health and Human Services		130.1
Federal Operating Grants		1.7
Federal USDA/Food and Nutrition Services		2.5
Fingerprint Identification Technology		7.0
HESC Insurance Premium		13.0
Mental Hygiene Program		79.6
Miscellaneous State Special Revenue		18.1
MTA Financial Assistance		2.6
Professional Education Services		2.8
Professional Medical Conduct		4.9
Public Service		3.6
Quality of Care		30.0
State Lottery		4.7
State Police Motor Vehicle Law Enforcement		100.8
State University Income		56.2
Statewide Planning and Research Cooperative System		4.6
Statewide Public Safety Communications		49.8
System and Technology		5.4
Training and Education Program on OSHA		1.7
Transportation Surplus Property		1.8
Tribal State Compact Revenue		115.7
Unemployment Insurance Administration		20.8

Unemployment Insurance Interest and Penalty		3.3
Vital Records Management		3.6
Workers' Compensation Board		13.6
Youth Facilities Per Diem		8.2
Total	\$	1,144.6

Also included in Special Revenue Fund's transfers are transfers to finance capital projects in the State Capital Projects Fund (\$43.7m), the State University Capital Projects Fund (\$54.4m), the Hazardous Waste Remedial Fund (\$12.8m) and the SUNY Residence Halls Rehabilitation and Repair Fund (\$50.5m).

Debt Service Funds, Transfers to Other Funds include transfers to the General Fund from the following funds (amounts in millions):

Clean Water/Clean Air	\$	972.2
Local Government Assistance Tax		2,728.3
Revenue Bond Tax		10,159.1
Sales Tax Revenue Bond Tax		2,758.7
Total	\$	16,618.3

Also included in the Debt Service Funds transfers are transfers to Special Revenue Funds representing receipts in excess of lease/purchase obligations that are used to finance a portion of the operating expenses for the Department of Health (\$159.9m) and Mental Hygiene Facilities (\$1,162.3m).

Capital Projects Funds, Transfers to Other Funds include transfers to the General Fund from the following funds (amounts in millions):

Dedicated Highway and Bridge Trust	\$	46.6
Environmental Protection		25.0
Hazardous Waste Remedial		23.8
Total	\$	95.4

Also included in Capital Projects Funds transfers are transfers to the General Debt Service Fund (\$631.5m) and the Revenue Bond Tax Fund (\$711.7m).

Transfer Eliminations:

The Special Revenue Funds and Capital Project Funds include transfers of resources between Federal and State accounts within each fund group. To avoid recording spending twice, initially as a transfer of resources to another account and subsequently when payments are made, these transfers are eliminated in these funds.

NOTES TO THE COMPTROLLER'S 2016 ANNUAL REPORT TO THE LEGISLATURE ON THE STATE FUNDS - CASH BASIS OF ACCOUNTING

NOTE 5 FUTURE DEBT SERVICE REQUIREMENTS:

Future debt service payments reflect gross principal and interest due to bondholders as of March 31, 2016. Actual amounts paid by the State may vary from these estimates, due to offsetting interest earnings, actual variable rate results, related expenses and future bond sales conducted between the fiscal year end date and the date the Annual report was released.

Schedules 18 and 20 provide a complete detail of future debt service requirements.

NOTE 6 MEDICAID AND HEALTH GRANTS:

The State receives monies that represent refunds, pharmacy rebates, reimbursements, and disallowances of medical assistance payments previously made from appropriated State and Federal funds. These monies are initially credited to an agency escrow account and shortly after receipt are allocated and refunded to State and Federal fund appropriations from which the medical assistance payments were originally made.

At the end of 2015-16, a balance of \$32.6m remained in the escrow fund to be returned, in fiscal year 2016-17, to the General Fund and the Special Revenue fund as shown below (amounts in millions):

Allocation of Year-End Balance

	General Fund	Special Revenue Federal Fund
Medicaid Recoveries - Audit	\$ -	\$ 4.6
Medicaid Recoveries - Health Facilities	-	-
Medicaid Recoveries - Third Parties	-	26.2
Pharmacy Rebates	-	1.8
Medicare Catastrophic Recovery	-	-
Medicare "Windfall" Recovery	-	-
Total	\$ -	\$ 32.6

NOTE 7 CAPITAL PROJECTS REIMBURSED DISBURSEMENTS:

Disbursements from Capital Projects Funds are financed by operating transfers from other funds, proceeds from State bonds and notes, and reimbursements received from public authorities and the Federal Government. The following is a list of capital projects spending by agency and sources of financing (amounts in millions).

Capital Projects Spending	2015-16
Agriculture and Markets	\$ 36.4
Alcoholism and Substance Abuse Services	44.9
Children and Family Services	21.2
City University of New York	36.7
Corrections and Community Supervision	272.8
Dormitory Authority	206.4
Education	20.4
Energy Research and Development Authority	13.7
Environmental Conservation and Parks	621.1
Health	128.7
Housing and Community Renewal	83.9
Mental Hygiene	207.1
Military and Naval Affairs	162.4
Motor Vehicle (Operating Expense)	189.9
Office of General Services	116.3
Other	134.1
State	24.6
State Police	44.5
State University	946.8
Temporary and Disability Assistance	45.9
Thruway Authority	608.4
Transportation	4,371.3
UDC (Empire State Development Corp)	643.3
Total	\$ 8,980.8

Source of Financing	2015-16	Percentage of Spending Supported By:
Dedicated Taxes	\$ 51.1	0.57%
Federal Funds	2,145.4	23.89%
Non-Public Authority Receipts	837.8	9.33%
Public Authority Financing	2,884.5	32.12%
Short-term Investment Pool Loans	166.4	1.85%
State Debt	-	0.00%
Tax Transfers from General Fund	1,130.0	12.58%
Transfers from Other Funds	1,765.6	19.66%
Total	\$ 8,980.8	

NOTES TO THE COMPTROLLER'S 2016 ANNUAL REPORT TO THE LEGISLATURE ON THE STATE FUNDS - CASH BASIS OF ACCOUNTING

The Comptroller certifies monthly, to the Division of the Budget, the Assembly Ways and Means Committee and the State Finance Committee, capital disbursements that are eligible to be reimbursed by Public Authority financing or State-issued General Obligation Debt.

The unreimbursed capital disbursements are financed in the "first instance" with General Fund resources or loans from the State's short-term investment pool. As reimbursements are received, the transfers and loans are repaid.

The amounts shown below represent disbursements as of March 31, 2016 and March 31, 2015 respectively, which are eligible to be reimbursed in the following fiscal year from the funding sources listed below (amounts in millions):

	Unreimbursed Balances	
	2015-16	2014-15
Dormitory Authority (Mental Hygiene)	\$ 447.5	\$ 407.4
Dormitory Authority and State University Income Fund	172.7	159.4
Federal Capital Projects	559.3	382.0
Housing Finance Agency (HFA)	144.3	129.8
Housing Assistance Fund (HAF)	10.8	14.0
State Bond and Note Proceeds	26.3	23.2
Urban Development Corporation (Correctional Facilities)	33.0	38.4
Urban Development Corporation (Youth Facilities)	22.6	7.6
Total	\$ 1,416.5	\$ 1,161.8

NOTE 8 SCHOOL TAX RELIEF:

A portion of Personal Income Tax receipts is transferred to the State Special Revenue - School Tax Relief (STAR) Fund and used to reimburse school districts for the STAR property tax exemptions for homeowners and payments to homeowners for the STAR Property Rebate program. School Tax Relief payments totaled \$3,334.7m for fiscal year 2015-16.

Schedule 2 provides a complete analysis of Personal Income Tax receipts.

NOTE 9 AMERICAN RECOVERY AND REINVESTMENT ACT OF 2009:

On February 17, 2009, President Obama signed into Law The American Recovery and Reinvestment Act of 2009 (ARRA). This Act contains provisions for direct Federal aid for fiscal relief, consisting of increases in the Federal matching rate for eligible State Medicaid expenditures and funds provided through the Federal State Fiscal Stabilization Fund to restore proposed reductions in education and higher education, and to maintain essential governmental services.

For a complete listing of State Disbursements supported by ARRA funds, see Schedule 10.

NOTE 10 SPECIAL REVENUE BEGINNING BALANCE ADJUSTMENT:

The State Special Revenue April 1, 2015 balance has been adjusted by \$0.5m to reverse out a prior period adjustment.

NOTE 11 OPWDD FEDERAL DISALLOWANCES:

Pursuant to a settlement agreement between New York State Department of Health and the Centers for Medicare and Medicaid Services (CMS), Medicaid spending and revenue in Special Revenue - Federal Funds has been reduced by \$850 million and spending has been increased in the General Fund by \$850 million to reflect the initial payment pursuant to the agreement. The agreement resolves a disallowance for prior year claims that the State paid for services related to development centers and other intermediate care facilities for individuals with intellectual disabilities operated by the New York State Office for People with Developmental Disabilities (OPWDD). The spending is reclassified to Transfer To and From Other Funds in the respective funds. The impact to the financial statements is an increase in the General Fund Transfer To Other Funds and a decrease in Special Revenue - Federal Funds by the \$850 million to reflect the additional Medicaid costs.

NOTES TO THE COMPTROLLER'S 2016 ANNUAL REPORT TO THE LEGISLATURE ON THE STATE FUNDS - CASH BASIS OF ACCOUNTING

NOTE 12 NEW YORK LOCAL GOVERNMENT ASSISTANCE CORPORATION:

An amount equal to twenty-five percent of the State's sales tax, less refunds to taxpayers, is to be deposited in the Local Government Assistance Tax Fund (LGATF). The monies of such Fund are reserved for payment to the New York Local Government Assistance Corporation to enable it to meet principal and interest on its bonds.

Pursuant to Section 92-r(5) of the State Finance Law, monies in the LGATF in excess of debt service requirements and administrative expenses of the New York Local Government Assistance Corporation are required to be transferred to the General Fund.

Following is a summary of the transactions in the LGATF during Fiscal Years 2015-16 and 2014-15 (Amounts in thousands):

FY 2015-16													
	2015									2016			FISCAL YEAR
	April	May	June	July	August	September	October	November	December	January	February	March	TOTALS
Beginning Balance	\$ -	\$ 2,103	\$ 202,092	\$ 2,215	\$ 1,977	\$ 48,339	\$ 3,026	\$ 2,715	\$ 2,478	\$ 1,224	\$ 1,192	\$ 204,684	\$ -
Sales Tax Receipts	239,965	232,711	319,833	248,391	241,780	320,313	218,318	241,151	297,178	254,160	206,865	300,595	3,121,260
Interest Income	-	-	5	-	-	3	-	-	-	-	1	52	61
Total Receipts	239,965	232,711	319,838	248,391	241,780	320,316	218,318	241,151	297,178	254,160	206,866	300,647	3,121,321
Contractual Services	-	-	863	-	-	863	-	-	863	-	-	864	3,453
Debt Service	-	-	-	-	-	45,916	-	-	-	-	-	343,634	389,550
Transfer to General Fund for STARC/NYC Payment	-	-	170,000	-	-	-	-	-	-	-	-	-	170,000
Transfer to General Fund	237,862	32,722	348,852	248,629	195,418	318,850	218,629	241,388	297,569	254,192	3,374	160,833	2,558,318
Total Disbursements	237,862	32,722	519,715	248,629	195,418	365,629	218,629	241,388	298,432	254,192	3,374	505,331	3,121,321
Ending Balance	\$ 2,103	\$ 202,092	\$ 2,215	\$ 1,977	\$ 48,339	\$ 3,026	\$ 2,715	\$ 2,478	\$ 1,224	\$ 1,192	\$ 204,684	\$ -	\$ -
FY 2014-15													
	2014									2015			FISCAL YEAR
	April	May	June	July	August	September	October	November	December	January	February	March	TOTALS
Beginning Balance	\$ -	\$ 3,591	\$ 144,445	\$ 3,173	\$ 3,455	\$ 56,825	\$ 3,704	\$ 3,143	\$ 3,969	\$ 3,404	\$ 3,073	\$ 205,674	\$ -
Sales Tax Receipts	215,848	228,118	300,951	234,539	232,976	309,901	232,831	236,129	306,191	242,422	200,407	286,255	3,026,568
Interest Income	-	-	4	-	-	2	-	-	-	-	-	4	10
Total Receipts	215,848	228,118	300,955	234,539	232,976	309,903	232,831	236,129	306,191	242,422	200,407	286,259	3,026,578
Contractual Services	-	-	1,212	-	-	1,212	-	-	213	-	-	1,212	3,849
Debt Service	200	143	-	23	262	51,621	130	394	-	-	-	338,164	390,937
Transfer to General Fund for STARC/NYC Payment	-	-	170,000	-	-	-	-	-	-	-	-	-	170,000
Transfer to General Fund	212,057	87,121	271,015	234,234	179,344	310,191	233,262	234,909	306,543	242,753	(2,194)	152,557	2,461,792
Total Disbursements	212,257	87,264	442,227	234,257	179,606	363,024	233,392	235,303	306,756	242,753	(2,194)	491,933	3,026,578
Ending Balance	\$ 3,591	\$ 144,445	\$ 3,173	\$ 3,455	\$ 56,825	\$ 3,704	\$ 3,143	\$ 3,969	\$ 3,404	\$ 3,073	\$ 205,674	\$ -	\$ -

NOTES TO THE COMPTROLLER'S 2016 ANNUAL REPORT TO THE LEGISLATURE ON THE STATE FUNDS - CASH BASIS OF ACCOUNTING

NOTE 13 NEW YORK REVENUE BOND TAX FUND:

An amount equal to twenty-five percent of the State's Personal Income Tax (PIT) receipts, less refunds to taxpayers, is to be deposited in the Revenue Bond Tax Fund (RBTF). The monies of such Fund are reserved for payment of debt service on Personal Income Tax Revenue Bonds.

Pursuant to Section 92-z(5) of the State Finance Law, monies in the RBTF in excess of debt service requirements are required to be transferred to the General Fund.

Following is a summary of the transactions in the RBTF during Fiscal Years 2015-16 and 2014-15 (Amounts in thousands):

FY 2015-16													
	2015						2016						FISCAL YEAR TOTALS
	April	May	June	July	August	September	October	November	December	January	February	March	
Opening Balance	\$ -	\$ 206	\$ 41,842	\$ 82	\$ 156,044	\$ 452,402	\$ 82	\$ 50,082	\$ 204,807	\$ 82	\$ 1,240,208	\$ 1,330,520	\$ -
PIT Receipts	1,679,597	580,187	1,201,228	680,460	636,206	1,280,717	612,657	585,167	1,248,907	1,805,319	749,372	704,004	11,763,821
Federal Subsidies (*)	-	-	-	-	1,587	33,146	-	-	-	-	1,596	33,325	69,654
Transfer from - Health Care Reform Act (HCRA) Resources Fund (**)	-	-	-	-	1,306	10,237	-	-	-	-	1,306	51,692	64,541
Transfer from - Dedicated Highway and Bridge Trust Fund (**)	-	-	-	-	4,292	130,428	-	-	-	-	4,292	572,681	711,693
Transfer from - Mental Health Services Fund (**)	-	-	-	-	67,093	-	-	-	-	-	164,116	-	231,209
Transfer from - Centralized Services Fund (**)	-	-	-	-	-	7,802	-	-	-	-	-	22,272	30,074
Total Receipts	1,679,597	580,187	1,201,228	680,460	710,484	1,462,330	612,657	585,167	1,248,907	1,805,319	920,682	1,383,974	12,870,992
Non-Personal Service	-	-	124	-	2,136	1,384	-	-	447	-	748	8,111	12,950
Debt Service	-	-	41,802	-	151,426	451,542	-	-	204,259	-	295,962	1,553,939	2,698,930
Transfer to General Fund	1,679,391	538,551	1,201,062	524,498	260,564	1,461,724	562,657	430,442	1,248,926	565,193	533,660	1,152,444	10,159,112
Total Disbursements	1,679,391	538,551	1,242,988	524,498	414,126	1,914,650	562,657	430,442	1,453,632	565,193	830,370	2,714,494	12,870,992
Ending Balance	\$ 206	\$ 41,842	\$ 82	\$ 156,044	\$ 452,402	\$ 82	\$ 50,082	\$ 204,807	\$ 82	\$ 1,240,208	\$ 1,330,520	\$ -	\$ -

FY 2014-15													
	2014						2015						FISCAL YEAR TOTALS
	April	May	June	July	August	September	October	November	December	January	February	March	
Opening Balance	\$ -	\$ 103	\$ 170,443	\$ 29	\$ 247,696	\$ 540,788	\$ 29	\$ 200,029	\$ 468,481	\$ 29	\$ 943,145	\$ 1,245,760	\$ -
PIT Receipts	1,338,331	525,472	1,061,187	641,368	597,612	1,057,589	621,866	459,174	1,240,945	1,818,538	852,226	713,150	10,927,458
Federal Subsidies (*)	-	-	-	1,589	33,182	-	-	-	-	-	34,733	-	69,504
Transfer from - Health Care Reform Act (HCRA) Resources Fund (**)	-	-	-	-	1,306	9,321	-	-	-	-	1,307	40,971	52,905
Transfer from - Dedicated Highway and Bridge Trust Fund (**)	-	-	-	-	74,624	35,747	-	-	-	-	4,293	336,085	450,749
Transfer from - Mental Health Services Fund (**)	-	-	-	-	52,940	-	-	-	-	-	127,982	-	180,922
Transfer from - Centralized Services Fund (**)	-	-	977	-	-	16,472	-	-	-	-	-	31,563	49,012
Total Receipts	1,338,331	525,472	1,062,164	642,957	759,664	1,119,129	621,866	459,174	1,240,945	1,818,538	1,020,541	1,121,769	11,730,550
Non-Personal Service	-	-	932	-	1,379	1,624	-	-	914	-	139	7,592	12,580
Debt Service	-	-	168,980	-	198,873	435,061	-	-	466,887	-	241,776	1,547,877	3,059,454
Transfer to General Fund	1,338,228	355,132	1,062,666	395,290	266,320	1,223,203	421,866	190,722	1,241,596	875,422	476,011	812,060	8,658,516
Total Disbursements	1,338,228	355,132	1,232,578	395,290	466,572	1,659,888	421,866	190,722	1,709,397	875,422	717,926	2,367,529	11,730,550
Ending Balance	\$ 103	\$ 170,443	\$ 29	\$ 247,696	\$ 540,788	\$ 29	\$ 200,029	\$ 468,481	\$ 29	\$ 943,145	\$ 1,245,760	\$ -	\$ -

(*) Pursuant to section 73 of the State Finance Law, as added by Chapter 56 of the Laws of 2010 and amended by Chapter 59 of the Laws of 2012, the Federal Subsidies are Federal Interest Subsidy receipts solely used for debt service on Build America Bonds and Qualified School Construction Bonds, as authorized pursuant to ARRA, under section 54AA and 54F of the Internal Revenue Code.

(**) Transfers represent reimbursements for debt service paid from the Revenue Bond Tax Fund. These reimbursements are made pursuant to State Finance Law sections 92-dd, 89-b, 97-f, and 97-g.

NOTES TO THE COMPTROLLER'S 2016 ANNUAL REPORT TO THE LEGISLATURE ON THE STATE FUNDS - CASH BASIS OF ACCOUNTING

NOTE 14 NEW YORK SALES TAX REVENUE BOND FUND:

An amount equal to twenty-five percent of the State's sales tax, less refunds to taxpayers, is to be deposited in the Sales Tax Revenue Bond Tax Fund (STRBTF). The monies of such Fund are reserved for payment of debt service on Sales Tax Revenue Bonds.

Pursuant to Section 92-h(5) of the State Finance Law, monies in the STRBTF in excess of debt service requirements are required to be transferred to the General Fund.

Following is a summary of the transactions in the STRBTF during Fiscal Years 2015-16 and 2014-15 (Amounts in thousands):

FY 2015-16														
	2015												2016	FISCAL YEAR
	April	May	June	July	August	September	October	November	December	January	February	March	TOTALS	
Beginning Balance	\$ -	\$ 16,442	\$ 32,826	\$ 49,238	\$ 65,651	\$ 158,667	\$ 121,443	\$ 163,564	\$ 188,794	\$ 227,695	\$ 266,596	\$ 305,497	\$ -	
Sales Tax Receipts	239,965	232,532	320,012	248,391	241,780	320,313	231,097	228,371	297,178	254,160	206,865	300,595	3,121,259	
Total Receipts	239,965	232,532	320,012	248,391	241,780	320,313	231,097	228,371	297,178	254,160	206,865	300,595	3,121,259	
Non-Personal Service	-	-	-	-	-	558	-	-	-	-	-	62	620	
Debt Service	-	-	-	-	-	56,715	-	-	-	-	-	305,181	361,896	
Transfer to General Fund	223,523	216,148	303,600	231,978	148,764	300,264	188,976	203,141	258,277	215,259	167,964	300,849	2,758,743	
Total Disbursements	223,523	216,148	303,600	231,978	148,764	357,537	188,976	203,141	258,277	215,259	167,964	606,092	3,121,259	
Ending Balance	\$ 16,442	\$ 32,826	\$ 49,238	\$ 65,651	\$ 158,667	\$ 121,443	\$ 163,564	\$ 188,794	\$ 227,695	\$ 266,596	\$ 305,497	\$ -	\$ -	
FY 2014-15														
	2014												2015	FISCAL YEAR
	April	May	June	July	August	September	October	November	December	January	February	March	TOTALS	
Beginning Balance	\$ -	\$ 8,235	\$ 16,277	\$ 24,416	\$ 32,554	\$ 40,693	\$ 21,519	\$ 35,756	\$ 49,991	\$ 64,225	\$ 53,856	\$ 64,404	\$ -	
Sales Tax Receipts	215,848	228,118	300,951	234,539	232,976	309,901	232,831	236,129	306,190	242,421	200,408	286,256	3,026,568	
Total Receipts	215,848	228,118	300,951	234,539	232,976	309,901	232,831	236,129	306,190	242,421	200,408	286,256	3,026,568	
Non-Personal Service	-	-	-	-	-	6	-	-	-	-	-	1	7	
Debt Service	-	-	-	-	-	22,740	-	-	-	-	-	63,946	86,686	
Transfer to General Fund	207,613	220,076	292,812	226,401	224,837	306,329	218,594	221,894	291,956	252,790	189,860	286,713	2,939,875	
Total Disbursements	207,613	220,076	292,812	226,401	224,837	329,075	218,594	221,894	291,956	252,790	189,860	350,660	3,026,568	
Ending Balance	\$ 8,235	\$ 16,277	\$ 24,416	\$ 32,554	\$ 40,693	\$ 21,519	\$ 35,756	\$ 49,991	\$ 64,225	\$ 53,856	\$ 64,404	\$ -	\$ -	

STATE OF NEW YORK
GENERAL FUND
COMBINING STATEMENT OF CASH RECEIPTS,
DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2016
(Amounts in thousands)

EXHIBIT A-1

	LOCAL ASSISTANCE ACCOUNT (10000-10049)	STATE OPERATIONS ACCOUNT (10050-10099)	TAX STABILIZATION RESERVE (10100-10149)	CONTINGENCY RESERVE (10150-10199)	UNIVERSAL PRE-KINDERGARTEN RESERVE (10200-10249)	COMMUNITY PROJECTS (10250-10299)
RECEIPTS:						
Personal Income Tax (*).....	\$ -	\$ 31,956,762	\$ -	\$ -	\$ -	\$ -
Consumption/Use Taxes (*).....	-	6,819,371	-	-	-	-
Business Taxes.....	-	5,647,308	-	-	-	-
Other Taxes.....	-	1,539,409	-	-	-	-
Miscellaneous Receipts.....	259	5,841,949	-	-	-	-
Federal Receipts.....	-	229	-	-	-	-
Total Receipts.....	259	51,805,028	-	-	-	-
DISBURSEMENTS:						
Local Assistance Grants (*):						
Education.....	25,133,656	-	-	-	-	-
Environment and Recreation.....	7,420	-	-	-	-	-
General Government.....	998,490	-	-	-	-	12,165
Public Health:						
Medicaid.....	13,005,715	-	-	-	-	-
Other Public Health.....	860,319	-	-	-	-	-
Public Safety.....	132,884	-	-	-	-	-
Public Welfare.....	2,932,762	-	-	-	-	-
Support and Regulate Business.....	119,567	-	-	-	-	-
Transportation.....	111,351	-	-	-	-	-
Total Local Assistance Grants.....	43,302,164	-	-	-	-	12,165
Departmental Operations:						
Personal Service.....	-	6,010,892	-	-	-	-
Non-Personal Service.....	-	1,944,289	-	-	-	-
General State Charges.....	-	5,397,230	-	-	-	-
Total Disbursements.....	43,302,164	13,352,411	-	-	-	12,165
Excess (Deficiency) of Receipts over Disbursements.....	(43,301,905)	38,452,617	-	-	-	(12,165)
OTHER FINANCING SOURCES (USES):						
Transfers from Other Funds (*).....	45,717,654	23,106,965	-	-	-	1,000
Transfers to Other Funds (*).....	(2,415,749)	(61,559,582)	-	-	-	-
Total Other Financing Sources (Uses).....	43,301,905	(38,452,617)	-	-	-	1,000
Excess of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	-	-	-	-	-	(11,165)
Fund Balances at April 1.....	-	-	1,257,763	20,624	-	74,449
Fund Balances at March 31.....	\$ -	\$ -	\$ 1,257,763	\$ 20,624	\$ -	\$ 63,284

(*) See Accompanying Notes

STATE OF NEW YORK
GENERAL FUND
COMBINING STATEMENT OF CASH RECEIPTS,
DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2016
(Amounts in thousands)

EXHIBIT A-1
(continued)

	RAINY DAY RESERVE ACCOUNT (10300-10349)	REFUND RESERVE ACCOUNT (10400-10449)	FRINGE BENEFIT ESCROW ACCOUNT (10500-10549)	TOBACCO REVENUE GUARANTEE (10550-10599)	ELIMINATIONS	COMBINED TOTALS	
						2015-16	2014-15
RECEIPTS:							
Personal Income Tax (*).....	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 31,956,762	\$ 29,485,425
Consumption/Use Taxes (*).....	-	-	-	-	-	6,819,371	6,690,543
Business Taxes.....	-	-	-	-	-	5,647,308	6,264,807
Other Taxes.....	-	-	-	-	-	1,539,409	1,127,735
Miscellaneous Receipts.....	-	-	2,516,731	-	(2,516,731)	5,842,208	8,409,675
Federal Receipts.....	-	-	-	-	-	229	1,629
Total Receipts.....	-	-	2,516,731	-	(2,516,731)	51,805,287	51,979,814
DISBURSEMENTS:							
Local Assistance Grants (*):							
Education.....	-	-	-	-	-	25,133,656	23,575,336
Environment and Recreation.....	-	-	-	-	-	7,420	5,052
General Government.....	-	-	-	-	-	1,010,655	1,006,902
Public Health:							
Medicaid.....	-	-	-	-	-	13,005,715	12,914,524
Other Public Health.....	-	-	-	-	-	860,319	859,158
Public Safety.....	-	-	-	-	-	132,884	184,586
Public Welfare.....	-	-	-	-	-	2,932,762	2,825,910
Support and Regulate Business.....	-	-	-	-	-	119,567	122,662
Transportation.....	-	-	-	-	-	111,351	97,583
Total Local Assistance Grants.....	-	-	-	-	-	43,314,329	41,591,713
Departmental Operations:							
Personal Service.....	-	-	-	-	-	6,010,892	5,805,805
Non-Personal Service.....	-	-	118,826	-	(118,826)	1,944,289	1,858,549
General State Charges.....	-	-	2,397,905	-	(2,397,905)	5,397,230	4,998,687
Total Disbursements.....	-	-	2,516,731	-	(2,516,731)	56,666,740	54,254,754
Excess (Deficiency) of Receipts over Disbursements.....	-	-	-	-	-	(4,861,453)	(2,274,940)
OTHER FINANCING SOURCES (USES):							
Transfers from Other Funds (*).....	-	7,052,890	-	-	(58,007,682)	17,870,827	15,940,378
Transfers to Other Funds (*).....	-	(5,407,138)	-	-	58,007,682	(11,374,787)	(8,601,133)
Total Other Financing Sources (Uses).....	-	1,645,752	-	-	-	6,496,040	7,339,245
Excess of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	-	1,645,752	-	-	-	1,634,587	5,064,305
Fund Balances at April 1.....	539,544	5,407,137	-	-	-	7,299,517	2,235,212
Fund Balances at March 31.....	\$ 539,544	\$ 7,052,889	\$ -	\$ -	\$ -	\$ 8,934,104	\$ 7,299,517

(*) See Accompanying Notes

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
COMBINING STATEMENT OF CASH RECEIPTS,
DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2016
(Amounts in thousands)

EXHIBIT A-2

	ARTS CAPITAL REVOLVING (21850-21899)	BIODIVERSITY STEWARDSHIP AND RESEARCH (21600-21649)	CHARTER SCHOOL STIMULUS (20600-20649)	CHEMICAL DEPENDENCE SERVICE (22700-22749)	CHILD PERFORMER'S PROTECTION (20400-20449)	CITY UNIVERSITY TUITION REIMBURSEMENT (23250-23449)
RECEIPTS:						
Personal Income Tax (*).....	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Consumption/Use Taxes	-	-	-	-	-	-
Business Taxes.....	-	-	-	-	-	-
Other Taxes.....	-	-	-	-	-	-
Miscellaneous Receipts.....	11	-	4	321,377	115	131,771
Federal Receipts.....	-	-	-	-	-	-
Total Receipts.....	11	-	4	321,377	115	131,771
DISBURSEMENTS:						
Local Assistance Grants (*):						
Education.....	-	-	2,812	-	-	-
Environment and Recreation.....	-	-	-	-	-	-
General Government.....	-	-	-	-	-	-
Public Health:						
Medicaid.....	-	-	-	-	-	-
Other Public Health	-	-	-	4,327	-	-
Public Safety	-	-	-	-	-	-
Public Welfare	-	-	-	-	-	-
Support and Regulate Business	-	-	-	-	-	-
Transportation	-	-	-	-	-	-
Total Local Assistance Grants.....	-	-	2,812	4,327	-	-
Departmental Operations:						
Personal Service	-	-	-	-	250	46,870
Non-Personal Service	-	-	1,733	6,102	28	39,822
General State Charges.....	-	-	-	-	115	7,892
Capital Projects.....	-	-	-	-	-	-
Total Disbursements.....	-	-	4,545	10,429	393	94,584
Excess (Deficiency) of Receipts over Disbursements.....	11	-	(4,541)	310,948	(278)	37,187
OTHER FINANCING SOURCES (USES):						
Transfers from Other Funds.....	-	-	4,837	-	300	-
Transfers to Other Funds (*).....	-	-	-	(292,888)	-	-
Investment.....	-	-	-	-	-	-
Total Other Financing Sources (Uses).....	-	-	4,837	(292,888)	300	-
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	11	-	296	18,060	22	37,187
Fund Balances (Deficits) at April 1 (*).....	818	-	5,667	17,178	61	133,755
Fund Balances (Deficits) at March 31.....	\$ 829	\$ -	\$ 5,963	\$ 35,238	\$ 83	\$ 170,942

(*) See Accompanying Notes

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
COMBINING STATEMENT OF CASH RECEIPTS,
DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2016
(Amounts in thousands)

EXHIBIT A-2
(continued)

	CLEAN AIR (21450-21499)	COMBINED EXPENDABLE TRUST (20100-20299)	COMBINED NON- EXPENDABLE TRUST (21650-21699)	COMBINED STUDENT LOAN (20950-20999)	CONSERVATION (21150-21199)	COURT FACILITIES INCENTIVE AID (22500-22549)
RECEIPTS:						
Personal Income Tax (*).....	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Consumption/Use Taxes	-	-	-	-	-	-
Business Taxes.....	-	-	-	-	-	-
Other Taxes.....	-	-	-	-	-	-
Miscellaneous Receipts.....	42,932	7,251	8	26,568	44,997	69
Federal Receipts.....	-	-	-	-	-	-
Total Receipts.....	42,932	7,251	8	26,568	44,997	69
DISBURSEMENTS:						
Local Assistance Grants (*):						
Education.....	-	-	-	-	-	-
Environment and Recreation.....	-	-	-	-	-	-
General Government.....	-	-	-	-	-	112,204
Public Health:						
Medicaid.....	-	-	-	-	-	-
Other Public Health	-	112	-	-	-	-
Public Safety	-	2,894	-	-	-	-
Public Welfare	-	90	-	-	-	-
Support and Regulate Business	-	-	-	-	-	-
Transportation	-	-	-	-	-	-
Total Local Assistance Grants.....	-	3,096	-	-	-	112,204
Departmental Operations:						
Personal Service	19,723	574	-	-	25,431	1,632
Non-Personal Service	4,742	3,643	3,035	27,361	12,139	6
General State Charges.....	10,962	463	-	-	14,348	771
Capital Projects.....	-	1,749	-	-	-	-
Total Disbursements.....	35,427	9,525	3,035	27,361	51,918	114,613
Excess (Deficiency) of Receipts over Disbursements.....	7,505	(2,274)	(3,027)	(793)	(6,921)	(114,544)
OTHER FINANCING SOURCES (USES):						
Transfers from Other Funds.....	-	838	-	-	-	106,848
Transfers to Other Funds (*).....	(5,960)	-	-	-	(2,040)	(1,308)
Investment.....	-	-	(5)	-	-	-
Total Other Financing Sources (Uses).....	(5,960)	838	(5)	-	(2,040)	105,540
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	1,545	(1,436)	(3,032)	(793)	(8,961)	(9,004)
Fund Balances (Deficits) at April 1 (*).....	(20,254)	66,718	3,488	11,386	86,228	12,462
Fund Balances (Deficits) at March 31.....	\$ (18,709)	\$ 65,282	\$ 456	\$ 10,593	\$ 77,267	\$ 3,458

(*) See Accompanying Notes

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
COMBINING STATEMENT OF CASH RECEIPTS,
DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2016
(Amounts in thousands)

EXHIBIT A-2
(continued)

	DEDICATED MASS TRANSPORTATION TRUST (20850-20899)	DRINKING WATER PROGRAM MANAGEMENT AND ADMINISTRATION (23100-23149)	EMPLOYMENT TRAINING (22550-22599)	ENCON SPECIAL REVENUE (21050-21149)	ENVIRONMENTAL PROTECTION AND OIL SPILL COMPENSATION (21200-21249)	EQUIPMENT LOAN FUND FOR THE DISABLED (21350-21399)
RECEIPTS:						
Personal Income Tax (*).....	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Consumption/Use Taxes	104,993	-	-	-	-	-
Business Taxes.....	367,007	-	-	-	-	-
Other Taxes.....	-	-	-	-	-	-
Miscellaneous Receipts.....	138,798	6,300	-	90,454	47,417	47
Federal Receipts.....	-	-	-	-	-	-
Total Receipts.....	610,798	6,300	-	90,454	47,417	47
DISBURSEMENTS:						
Local Assistance Grants (*):						
Education.....	-	-	-	-	-	-
Environment and Recreation.....	-	-	-	-	-	-
General Government.....	-	-	-	-	-	-
Public Health:						
Medicaid.....	-	-	-	-	-	-
Other Public Health	-	-	-	-	-	-
Public Safety	-	-	-	-	-	-
Public Welfare	-	-	-	-	-	-
Support and Regulate Business	-	-	-	-	-	-
Transportation	665,750	-	-	-	-	-
Total Local Assistance Grants.....	665,750	-	-	-	-	-
Departmental Operations:						
Personal Service	-	3,735	-	53,208	10,663	-
Non-Personal Service	-	499	-	21,685	12,222	27
General State Charges.....	-	1,964	-	21,258	5,939	-
Capital Projects.....	-	-	-	-	-	-
Total Disbursements.....	665,750	6,198	-	96,151	28,824	27
Excess (Deficiency) of Receipts over Disbursements.....	(54,952)	102	-	(5,697)	18,593	20
OTHER FINANCING SOURCES (USES):						
Transfers from Other Funds.....	62,661	-	-	12,852	-	-
Transfers to Other Funds (*).....	-	-	-	(2,792)	(16,119)	-
Investment.....	-	-	-	-	-	-
Total Other Financing Sources (Uses).....	62,661	-	-	10,060	(16,119)	-
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	7,709	102	-	4,363	2,474	20
Fund Balances (Deficits) at April 1 (*).....	62,726	(5,516)	49	(20,819)	15,631	499
Fund Balances (Deficits) at March 31.....	\$ 70,435	\$ (5,414)	\$ 49	\$ (16,456)	\$ 18,105	\$ 519

(*) See Accompanying Notes

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
COMBINING STATEMENT OF CASH RECEIPTS,
DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2016
(Amounts in thousands)

EXHIBIT A-2
(continued)

	FEDERAL REVENUE MAXIMIZATION CONTRACT (22900-22949)	HEALTH CARE REFORM ACT RESOURCES (20800-20849)	HOUSING DEVELOPMENT (22950-22999)	INDIGENT LEGAL SERVICES (23550-23599)	JUDICIARY DATA PROCESSING OFFSET (23200-23249)	LAKE GEORGE PARK TRUST (22750-22799)
RECEIPTS:						
Personal Income Tax (*).....	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Consumption/Use Taxes	-	928,379	-	-	-	-
Business Taxes.....	-	-	-	-	-	-
Other Taxes.....	-	-	-	-	-	-
Miscellaneous Receipts.....	-	4,726,629	16	97,229	34,493	1,204
Federal Receipts.....	-	-	-	-	-	-
Total Receipts.....	-	5,655,008	16	97,229	34,493	1,204
DISBURSEMENTS:						
Local Assistance Grants (*):						
Education.....	-	-	-	-	-	-
Environment and Recreation.....	-	-	-	-	-	-
General Government.....	-	-	-	-	-	-
Public Health:						
Medicaid.....	-	4,475,403	-	-	-	-
Other Public Health	-	929,886	-	-	-	-
Public Safety	-	-	-	58,068	-	-
Public Welfare	-	-	295	-	-	-
Support and Regulate Business	-	-	-	-	-	-
Transportation	-	-	-	-	-	-
Total Local Assistance Grants.....	-	5,405,289	295	58,068	-	-
Departmental Operations:						
Personal Service	-	9,831	-	1,211	18,622	683
Non-Personal Service	-	24,860	-	33,233	8,302	230
General State Charges.....	-	5,781	-	599	8,382	360
Capital Projects.....	-	-	-	-	-	-
Total Disbursements.....	-	5,445,761	295	93,111	35,306	1,273
Excess (Deficiency) of Receipts over Disbursements.....	-	209,247	(279)	4,118	(813)	(69)
OTHER FINANCING SOURCES (USES):						
Transfers from Other Funds.....	-	-	-	30,135	-	-
Transfers to Other Funds (*).....	-	(145,803)	-	-	-	-
Investment.....	-	-	-	-	-	-
Total Other Financing Sources (Uses).....	-	(145,803)	-	30,135	-	-
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	-	63,444	(279)	34,253	(813)	(69)
Fund Balances (Deficits) at April 1 (*).....	23	14,125	10,631	122,931	3,568	372
Fund Balances (Deficits) at March 31.....	\$ 23	\$ 77,569	\$ 10,352	\$ 157,184	\$ 2,755	\$ 303

(*) See Accompanying Notes

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
COMBINING STATEMENT OF CASH RECEIPTS,
DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2016
(Amounts in thousands)

EXHIBIT A-2
(continued)

	LAWYERS' FUND FOR CLIENT PROTECTION (21300-21349)	LEGISLATIVE COMPUTER SERVICES (21550-21599)	LOCAL GOVERNMENT RECORDS MANAGEMENT IMPROVEMENT (20500-20549)	MASS TRANSPORTATION OPERATING ASSISTANCE (21400-21449)	MEDICAL MARIHUANA TRUST (23750-23799)	MENTAL HEALTH GIFTS AND DONATIONS (20000-20099)
RECEIPTS:						
Personal Income Tax (*).....	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Consumption/Use Taxes	-	-	-	874,211	11	-
Business Taxes.....	-	-	-	1,229,949	-	-
Other Taxes.....	-	-	-	-	-	-
Miscellaneous Receipts.....	8,147	1,473	9,018	16,547	1,430	82
Federal Receipts.....	-	-	-	-	-	-
Total Receipts.....	8,147	1,473	9,018	2,120,707	1,441	82
DISBURSEMENTS:						
Local Assistance Grants (*):						
Education.....	-	-	4,442	-	-	-
Environment and Recreation.....	-	-	-	-	-	-
General Government.....	-	-	-	-	-	-
Public Health:						
Medicaid.....	-	-	-	-	-	-
Other Public Health	-	-	-	-	-	-
Public Safety	-	-	-	-	-	-
Public Welfare	-	-	-	-	-	-
Support and Regulate Business	-	-	-	-	-	-
Transportation	-	-	-	2,116,632	-	-
Total Local Assistance Grants.....	-	-	4,442	2,116,632	-	-
Departmental Operations:						
Personal Service	634	-	1,628	2,810	1,229	-
Non-Personal Service	11,900	1,469	340	595	2,855	81
General State Charges.....	219	-	922	1,577	621	-
Capital Projects.....	-	-	-	-	-	-
Total Disbursements.....	12,753	1,469	7,332	2,121,614	4,705	81
Excess (Deficiency) of Receipts over Disbursements.....	(4,606)	4	1,686	(907)	(3,264)	1
OTHER FINANCING SOURCES (USES):						
Transfers from Other Funds.....	-	-	-	35,907	6,740	-
Transfers to Other Funds (*).....	-	-	(1,350)	(20,000)	(768)	-
Investment.....	-	-	-	-	-	-
Total Other Financing Sources (Uses).....	-	-	(1,350)	15,907	5,972	-
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	(4,606)	4	336	15,000	2,708	1
Fund Balances (Deficits) at April 1 (*).....	9,735	10,894	2,615	160,839	-	2,210
Fund Balances (Deficits) at March 31.....	\$ 5,129	\$ 10,898	\$ 2,951	\$ 175,839	\$ 2,708	\$ 2,211

(*) See Accompanying Notes

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
COMBINING STATEMENT OF CASH RECEIPTS,
DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2016
(Amounts in thousands)

EXHIBIT A-2
(continued)

	MISCELLANEOUS STATE SPECIAL REVENUE (21900-22499)	MTA FINANCIAL ASSISTANCE (23650-23699)	MUSICAL INSTRUMENT REVOLVING (21750-21799)	NEW YORK CITY COUNTY CLERKS' OPERATIONS OFFSET (23150-23199)	NEW YORK GREAT LAKES PROTECTION (22850-22899)	NEW YORK INTEREST ON LAWYER ACCOUNT (20300-20349)
RECEIPTS:						
Personal Income Tax (*).....	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Consumption/Use Taxes	-	120,158	-	-	-	-
Business Taxes.....	-	-	-	-	-	-
Other Taxes.....	-	1,306,235	-	-	-	-
Miscellaneous Receipts.....	2,232,712	180,772	-	25,228	261	38,103
Federal Receipts.....	72	-	-	-	-	-
Total Receipts.....	2,232,784	1,607,165	-	25,228	261	38,103
DISBURSEMENTS:						
Local Assistance Grants (*):						
Education.....	18,138	-	-	-	-	-
Environment and Recreation.....	4,754	-	-	-	-	-
General Government.....	83,122	-	-	-	-	-
Public Health:						
Medicaid.....	833,039	-	-	-	-	-
Other Public Health	1,470,837	-	-	-	-	-
Public Safety	78,517	-	-	-	-	6,789
Public Welfare	2,424	-	-	-	-	-
Support and Regulate Business	99,718	-	-	-	-	-
Transportation	-	1,851,496	-	-	-	-
Total Local Assistance Grants.....	2,590,549	1,851,496	-	-	-	6,789
Departmental Operations:						
Personal Service	3,204,703	-	-	19,937	69	674
Non-Personal Service	1,208,357	-	-	3,052	140	393
General State Charges.....	1,568,840	-	-	9,448	50	377
Capital Projects.....	-	-	-	-	-	-
Total Disbursements.....	8,572,449	1,851,496	-	32,437	259	8,233
Excess (Deficiency) of Receipts over Disbursements.....	(6,339,665)	(244,331)	-	(7,209)	2	29,870
OTHER FINANCING SOURCES (USES):						
Transfers from Other Funds.....	6,990,889	332,046	-	4,602	-	-
Transfers to Other Funds (*).....	(534,351)	(2,602)	-	-	-	-
Investment.....	-	-	-	-	-	-
Total Other Financing Sources (Uses).....	6,456,538	329,444	-	4,602	-	-
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	116,873	85,113	-	(2,607)	2	29,870
Fund Balances (Deficits) at April 1 (*).....	849,509	29,973	1	(50,130)	220	10,692
Fund Balances (Deficits) at March 31.....	\$ 966,382	\$ 115,086	\$ 1	\$ (52,737)	\$ 222	\$ 40,562

(*) See Accompanying Notes

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
COMBINING STATEMENT OF CASH RECEIPTS,
DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2016
(Amounts in thousands)

EXHIBIT A-2
(continued)

	NEW YORK STATE ARCHIVES PARTNERSHIP TRUST (20350-20399)	NEW YORK STATE COMMERCIAL GAMING REVENUE (23700-23749)	NYS DOT HIGHWAY SAFETY PROGRAM (23000-23049)	NEW YORK STATE INFRASTRUCTURE TRUST (21500-21549)	NOT-FOR-PROFIT SHORT-TERM REVOLVING LOAN (20650-20699)	SCHOOL TAX RELIEF (20550-20599)
RECEIPTS:						
Personal Income Tax (*).....	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 3,334,700
Consumption/Use Taxes	-	-	-	-	-	-
Business Taxes.....	-	-	-	-	-	-
Other Taxes.....	-	-	-	-	-	-
Miscellaneous Receipts.....	273	151,000	2,115	-	-	-
Federal Receipts.....	-	-	-	-	-	-
Total Receipts.....	273	151,000	2,115	-	-	3,334,700
DISBURSEMENTS:						
Local Assistance Grants (*):						
Education.....	-	-	-	-	-	3,334,700
Environment and Recreation.....	-	-	-	-	-	-
General Government.....	-	9,398	-	-	-	-
Public Health:						
Medicaid.....	-	-	-	-	-	-
Other Public Health	-	-	-	-	-	-
Public Safety	-	-	-	-	-	-
Public Welfare	-	-	-	-	-	-
Support and Regulate Business	-	-	-	-	-	-
Transportation	-	-	-	-	-	-
Total Local Assistance Grants.....	-	9,398	-	-	-	3,334,700
Departmental Operations:						
Personal Service	223	974	2,999	-	-	-
Non-Personal Service	43	1,530	416	-	-	-
General State Charges.....	115	823	-	-	-	-
Capital Projects.....	-	-	-	-	-	-
Total Disbursements.....	381	12,725	3,415	-	-	3,334,700
Excess (Deficiency) of Receipts over Disbursements.....	(108)	138,275	(1,300)	-	-	-
OTHER FINANCING SOURCES (USES):						
Transfers from Other Funds.....	300	-	-	-	-	-
Transfers to Other Funds (*).....	(34)	(2)	-	-	-	-
Investment.....	-	-	-	-	-	-
Total Other Financing Sources (Uses).....	266	(2)	-	-	-	-
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	158	138,273	(1,300)	-	-	-
Fund Balances (Deficits) at April 1 (*).....	17	(1,993)	(6,416)	67	-	-
Fund Balances (Deficits) at March 31.....	\$ 175	\$ 136,280	\$ (7,716)	\$ 67	\$ -	\$ -

(*) See Accompanying Notes

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
COMBINING STATEMENT OF CASH RECEIPTS,
DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2016

EXHIBIT A-2
(continued)

(Amounts in thousands)

	SEWAGE TREATMENT PROGRAM MANAGEMENT AND ADMINISTRATION (21000-21049)	STATE LOTTERY (20900-20949)	STATE POLICE MOTOR VEHICLE LAW ENFORCEMENT & MOTOR VEHICLE THEFT & INSURANCE FRAUD PREVENTION (22800-22849)	STATE UNIVERSITY DORMITORY INCOME (40350-40399)	STATE UNIVERSITY INCOME (22650-22699)	TRAINING AND EDUCATION PROGRAM ON OCCUPATIONAL SAFETY AND HEALTH (21250-21299)	TUITION REIMBURSEMENT (20450-20499)
RECEIPTS:							
Personal Income Tax (*).....	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Consumption/Use Taxes	-	-	-	-	-	-	-
Business Taxes.....	-	-	-	-	-	-	-
Other Taxes.....	-	-	-	-	-	-	-
Miscellaneous Receipts.....	4,384	3,471,919	123,541	322,423	4,561,140	41,319	4,842
Federal Receipts.....	-	-	-	-	-	-	-
Total Receipts.....	4,384	3,471,919	123,541	322,423	4,561,140	41,319	4,842
DISBURSEMENTS:							
Local Assistance Grants (*):							
Education.....	-	3,169,009	-	-	-	-	-
Environment and Recreation.....	-	-	-	-	-	-	-
General Government.....	-	-	-	-	-	-	-
Public Health:							
Medicaid.....	-	-	-	-	-	-	-
Other Public Health	-	-	-	-	-	-	-
Public Safety	-	-	4,072	-	-	-	-
Public Welfare	-	-	-	-	-	-	-
Support and Regulate Business	-	-	-	-	-	-	-
Transportation	-	-	-	-	-	-	-
Total Local Assistance Grants.....	-	3,169,009	4,072	-	-	-	-
Departmental Operations:							
Personal Service	3,782	17,760	4,107	-	3,491,310	20,442	1,834
Non-Personal Service	9	105,931	4,998	-	2,065,913	11,640	1,124
General State Charges.....	2,028	9,670	41	-	370,292	9,126	1,223
Capital Projects.....	-	-	-	-	-	-	-
Total Disbursements.....	5,819	3,302,370	13,218	-	5,927,515	41,208	4,181
Excess (Deficiency) of Receipts over Disbursements.....	(1,435)	169,549	110,323	322,423	(1,366,375)	111	661
OTHER FINANCING SOURCES (USES):							
Transfers from Other Funds.....	-	-	-	-	1,893,460	-	-
Transfers to Other Funds (*).....	(82)	(4,732)	(101,100)	(322,586)	(152,631)	(1,671)	(657)
Investment.....	-	-	-	-	-	-	-
Total Other Financing Sources (Uses).....	(82)	(4,732)	(101,100)	(322,586)	1,740,829	(1,671)	(657)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	(1,517)	164,817	9,223	(163)	374,454	(1,560)	4
Fund Balances (Deficits) at April 1 (*).....	(766)	25,888	18,817	174,930	689,096	4,222	5,370
Fund Balances (Deficits) at March 31.....	\$ (2,283)	\$ 190,705	\$ 28,040	\$ 174,767	\$ 1,063,550	\$ 2,662	\$ 5,374

(*) See Accompanying Notes

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
COMBINING STATEMENT OF CASH RECEIPTS,
DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2016
(Amounts in thousands)

EXHIBIT A-2
(continued)

	UNEMPLOYMENT INSURANCE INTEREST AND PENALTY (23600-23649)	US OLYMPIC COMMITTEE/ LAKE PLACID OLYMPIC TRAINING (23500-23549)	VOCATIONAL REHABILITATION (23050-23099)	WINTER SPORTS EDUCATION TRUST (21700-21749)	ELIMINATIONS (*)	STATE COMBINED TOTALS	
						2015-16	2014-15
RECEIPTS:							
Personal Income Tax (*).....	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 3,334,700	\$ 3,296,950
Consumption/Use Taxes	-	-	-	-	-	2,027,752	2,040,785
Business Taxes.....	-	-	-	-	-	1,596,956	1,584,570
Other Taxes.....	-	-	-	-	-	1,306,235	1,271,303
Miscellaneous Receipts.....	11,690	53	73	-	-	16,926,235	16,381,602
Federal Receipts.....	-	-	-	-	-	72	-
Total Receipts.....	11,690	53	73	-	-	25,191,950	24,575,210
DISBURSEMENTS:							
Local Assistance Grants (*):							
Education.....	-	-	1	-	-	6,529,102	6,555,362
Environment and Recreation.....	-	-	-	-	-	4,754	4,487
General Government.....	-	-	-	-	-	204,724	185,399
Public Health:							
Medicaid.....	-	-	-	-	-	5,308,442	5,104,872
Other Public Health	-	-	-	-	-	2,405,162	2,493,714
Public Safety	-	-	-	-	-	150,340	146,983
Public Welfare	-	-	-	-	-	2,809	3,984
Support and Regulate Business	-	-	-	-	-	99,718	229,003
Transportation	-	-	-	-	-	4,633,878	4,736,446
Total Local Assistance Grants.....	-	-	1	-	-	19,338,929	19,460,250
Departmental Operations:							
Personal Service	2,266	45	-	-	-	6,969,859	6,743,792
Non-Personal Service	338	35	44	-	-	3,620,872	3,710,337
General State Charges.....	1,006	20	-	-	-	2,055,232	2,034,547
Capital Projects.....	-	-	-	-	-	1,749	1,322
Total Disbursements.....	3,610	100	45	-	-	31,986,641	31,950,248
Excess (Deficiency) of Receipts over Disbursements.....	8,080	(47)	28	-	-	(6,794,691)	(7,375,038)
OTHER FINANCING SOURCES (USES):							
Transfers from Other Funds.....	-	-	-	-	(317,235)	9,165,180	8,229,340
Transfers to Other Funds (*).....	(3,481)	-	(32)	-	317,235	(1,295,754)	(870,996)
Investment.....	-	-	-	-	-	(5)	-
Total Other Financing Sources (Uses).....	(3,481)	-	(32)	-	-	7,869,421	7,358,344
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	4,599	(47)	(4)	-	-	1,074,730	(16,694)
Fund Balances (Deficits) at April 1 (*).....	14,864	103	140	-	-	2,472,624	2,488,789
Fund Balances (Deficits) at March 31.....	\$ 19,463	\$ 56	\$ 136	\$ -	\$ -	\$ 3,547,354	\$ 2,472,095

(*) See Accompanying Notes

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - FEDERAL
COMBINING STATEMENT OF CASH RECEIPTS,
DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2016
(Amounts in thousands)

EXHIBIT A-2
(continued)

	FEDERAL EDUCATION (25200-25249)	FEDERAL EMPLOYMENT AND TRAINING GRANTS (26000-26049)	FEDERAL HEALTH AND HUMAN SERVICES (25100-25199)	FEDERAL MISCELLANEOUS OPERATING GRANTS (25300-25899)
RECEIPTS:				
Personal Income Tax.....	\$ -	\$ -	\$ -	\$ -
Consumption/Use Taxes.....	-	-	-	-
Business Taxes.....	-	-	-	-
Miscellaneous Receipts.....	-	-	847	15,442
Federal Receipts.....	3,306,414	174,757	41,254,275	1,934,201
Total Receipts.....	3,306,414	174,757	41,255,122	1,949,643
DISBURSEMENTS:				
Local Assistance Grants (*):				
Education.....	2,672,383	-	-	4,974
Environment and Recreation.....	-	-	-	2,955
General Government.....	-	-	54,940	218
Public Health:				
Medicaid.....	-	-	31,350,134	-
Other Public Health.....	7,010	-	2,648,738	18,925
Public Safety.....	-	-	66,257	1,777,745
Public Welfare.....	453	144,194	4,187,510	23,979
Support and Regulate Business.....	-	-	-	7,716
Transportation.....	-	-	-	39,315
Total Local Assistance Grants.....	2,679,846	144,194	38,307,579	1,875,827
Departmental Operations:				
Personal Service.....	101,941	15,053	202,242	121,357
Non-Personal Service.....	472,660	7,522	643,769	156,249
General State Charges.....	47,658	6,348	94,559	39,380
Total Disbursements.....	3,302,105	173,117	39,248,149	2,192,813
Excess (Deficiency) of Receipts over Disbursements.....	4,309	1,640	2,006,973	(243,170)
OTHER FINANCING SOURCES (USES):				
Transfers from Other Funds.....	-	-	-	39,460
Transfers to Other Funds (*).....	(11,119)	(691)	(1,977,812)	(11,350)
Total Other Financing Sources (Uses).....	(11,119)	(691)	(1,977,812)	28,110
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	(6,810)	949	29,161	(215,060)
Fund Balances (Deficits) at April 1.....	705	(4,202)	284,398	(114,522)
Fund Balances (Deficits) at March 31.....	\$ (6,105)	\$ (3,253)	\$ 313,559	\$ (329,582)

(* See Accompanying Notes

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - FEDERAL
COMBINING STATEMENT OF CASH RECEIPTS,
DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2016
(Amounts in thousands)

EXHIBIT A-2
(continued)

	FEDERAL USDA/FOOD AND NUTRITION SERVICES (25000-25099)	UNEMPLOYMENT INSURANCE ADMINISTRATION (25900-25949)	UNEMPLOYMENT INSURANCE OCCUPATIONAL TRAINING (25950-25999)	FEDERAL COMBINED TOTALS	
				2015-16	2014-15
RECEIPTS:					
Personal Income Tax.....	\$ -	\$ -	\$ -	\$ -	\$ -
Consumption/Use Taxes.....	-	-	-	-	-
Business Taxes.....	-	-	-	-	-
Miscellaneous Receipts.....	105,414	69,117	-	190,820	175,607
Federal Receipts.....	2,125,996	301,713	7,251	49,104,607	46,531,760
Total Receipts.....	2,231,410	370,830	7,251	49,295,427	46,707,367
DISBURSEMENTS:					
Local Assistance Grants (*):					
Education.....	981,192	-	-	3,658,549	3,199,840
Environment and Recreation.....	-	-	-	2,955	1,303
General Government.....	-	-	-	55,158	57,604
Public Health:					
Medicaid.....	-	-	-	31,350,134	29,623,534
Other Public Health.....	788,417	-	-	3,463,090	1,667,317
Public Safety.....	-	-	-	1,844,002	2,358,294
Public Welfare.....	373,675	4,668	7,348	4,741,827	4,653,090
Support and Regulate Business.....	-	-	-	7,716	6,079
Transportation.....	-	-	-	39,315	62,153
Total Local Assistance Grants.....	2,143,284	4,668	7,348	45,162,746	41,629,214
Departmental Operations:					
Personal Service.....	21,636	155,171	-	617,400	613,255
Non-Personal Service.....	45,204	46,916	-	1,372,320	1,370,123
General State Charges.....	12,674	86,106	-	286,725	303,875
Total Disbursements.....	2,222,798	292,861	7,348	47,439,191	43,916,467
Excess (Deficiency) of Receipts over Disbursements.....	8,612	77,969	(97)	1,856,236	2,790,900
OTHER FINANCING SOURCES (USES):					
Transfers from Other Funds.....	-	-	-	39,460	-
Transfers to Other Funds (*).....	(3,356)	(20,809)	-	(2,025,137)	(2,475,804)
Total Other Financing Sources (Uses).....	(3,356)	(20,809)	-	(1,985,677)	(2,475,804)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	5,256	57,160	(97)	(129,441)	315,096
Fund Balances (Deficits) at April 1.....	9,868	11,641	1,285	189,173	(125,923)
Fund Balances (Deficits) at March 31.....	\$ 15,124	\$ 68,801	\$ 1,188	\$ 59,732	\$ 189,173

(*) See Accompanying Notes

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE AND FEDERAL
COMBINING STATEMENT OF CASH RECEIPTS,
DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2016
(Amounts in thousands)

EXHIBIT A-2
(continued)

	STATE SPECIAL REVENUE	FEDERAL SPECIAL REVENUE	ELIMINATIONS (*)	COMBINED TOTALS	
				2015-16	2014-15
RECEIPTS:					
Personal Income Tax (*).....	\$ 3,334,700	\$ -	\$ -	\$ 3,334,700	\$ 3,296,950
Consumption/Use Taxes.....	2,027,752	-	-	2,027,752	2,040,785
Business Taxes.....	1,596,956	-	-	1,596,956	1,584,570
Other Taxes.....	1,306,235	-	-	1,306,235	1,271,303
Miscellaneous Receipts.....	16,926,235	190,820	-	17,117,055	16,557,209
Federal Receipts.....	72	49,104,607	-	49,104,679	46,531,760
Total Receipts.....	25,191,950	49,295,427	-	74,487,377	71,282,577
DISBURSEMENTS:					
Local Assistance Grants (*):					
Education.....	6,529,102	3,658,549	-	10,187,651	9,755,202
Environment and Recreation.....	4,754	2,955	-	7,709	5,790
General Government.....	204,724	55,158	-	259,882	243,003
Public Health:					
Medicaid.....	5,308,442	31,350,134	-	36,658,576	34,728,406
Other Public Health.....	2,405,162	3,463,090	-	5,868,252	4,161,031
Public Safety.....	150,340	1,844,002	-	1,994,342	2,505,277
Public Welfare.....	2,809	4,741,827	-	4,744,636	4,657,074
Support and Regulate Business.....	99,718	7,716	-	107,434	235,082
Transportation.....	4,633,878	39,315	-	4,673,193	4,798,599
Total Local Assistance Grants.....	19,338,929	45,162,746	-	64,501,675	61,089,464
Departmental Operations:					
Personal Service.....	6,969,859	617,400	-	7,587,259	7,357,047
Non-Personal Service.....	3,620,872	1,372,320	-	4,993,192	5,080,460
General State Charges.....	2,055,232	286,725	-	2,341,957	2,338,422
Capital Projects.....	1,749	-	-	1,749	1,322
Total Disbursements.....	31,986,641	47,439,191	-	79,425,832	75,866,715
Excess (Deficiency) of Receipts over Disbursements.....	(6,794,691)	1,856,236	-	(4,938,455)	(4,584,138)
OTHER FINANCING SOURCES (USES):					
Transfers from Other Funds.....	9,165,180	39,460	(535,715)	8,668,925	7,766,936
Transfers to Other Funds (*).....	(1,295,754)	(2,025,137)	535,715	(2,785,176)	(2,884,396)
Investment.....	(5)	-	-	(5)	-
Total Other Financing Sources (Uses).....	7,869,421	(1,985,677)	-	5,883,744	4,882,540
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	1,074,730	(129,441)	-	945,289	298,402
Fund Balances (Deficits) at April 1 (*).....	2,472,624	189,173	-	2,661,797	2,362,866
Fund Balances (Deficits) at March 31.....	\$ 3,547,354	\$ 59,732	\$ -	\$ 3,607,086	\$ 2,661,268

(*) See Accompanying Notes

STATE OF NEW YORK
DEBT SERVICE FUNDS
COMBINING STATEMENT OF CASH RECEIPTS,
DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2016
(Amounts in thousands)

EXHIBIT A-3

	CLEAN WATER/ CLEAN AIR (40400-40449)	DEBT REDUCTION RESERVE (40000-40049)	DEPARTMENT OF HEALTH INCOME (40300-40349)	GENERAL DEBT SERVICE (40150-40199)	HOUSING DEBT (40250-40299)
RECEIPTS:					
Personal Income Tax (*).....	\$ -	\$ -	\$ -	\$ 11,763,821	\$ -
Consumption/Use Taxes (*).....	-	-	-	3,121,259	-
Other Taxes.....	1,043,960	-	-	-	-
Miscellaneous Receipts.....	-	-	173,311	-	5,490
Federal Receipts.....	-	-	-	73,247	-
Total Receipts.....	1,043,960	-	173,311	14,958,327	5,490
DISBURSEMENTS:					
Departmental Operations:					
Non-Personal Service.....	-	-	1,898	28,299	-
Debt Service, Including Payments on Financing Agreements.....	-	-	28,307	4,970,015	8,168
Total Disbursements.....	-	-	30,205	4,998,314	8,168
Excess (Deficiency) of Receipts over Disbursements.....	1,043,960	-	143,106	9,960,013	(2,678)
OTHER FINANCING SOURCES (USES):					
Transfers from Other Funds.....	-	-	37,640	2,957,842	2,678
Transfers to Other Funds (*).....	(1,043,960)	-	(159,859)	(12,917,855)	-
Total Other Financing Sources (Uses).....	(1,043,960)	-	(122,219)	(9,960,013)	2,678
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	-	-	20,887	-	-
Fund Balances at April 1	-	-	28,279	-	-
Fund Balances at March 31.....	\$ -	\$ -	\$ 49,166	\$ -	\$ -

(*) See Accompanying Notes

STATE OF NEW YORK
DEBT SERVICE FUNDS
COMBINING STATEMENT OF CASH RECEIPTS,
DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2016
(Amounts in thousands)

EXHIBIT A-3
(continued)

	LOCAL GOVERNMENT ASSISTANCE TAX (40450-40499)	MENTAL HEALTH SERVICES (40100-40149)	ELIMINATIONS	COMBINED TOTALS	
				2015-16	2014-15
RECEIPTS:					
Personal Income Tax (*).....	\$ -	\$ -	\$ -	\$ 11,763,821	\$ 10,927,458
Consumption/Use Taxes (*).....	3,121,260	-	-	6,242,519	6,053,136
Other Taxes.....	-	-	-	1,043,960	918,780
Miscellaneous Receipts.....	61	307,686	-	486,548	509,564
Federal Receipts.....	-	-	-	73,247	73,089
Total Receipts.....	3,121,321	307,686	-	19,610,095	18,482,027
DISBURSEMENTS:					
Departmental Operations:					
Non-Personal Service.....	3,453	2,966	-	36,616	38,655
Debt Service, Including Payments on Financing Agreements.....	389,550	202,445	-	5,598,485	6,182,817
Total Disbursements.....	393,003	205,411	-	5,635,101	6,221,472
Excess (Deficiency) of Receipts over Disbursements.....	2,728,318	102,275	-	13,974,994	12,260,555
OTHER FINANCING SOURCES (USES):					
Transfers from Other Funds.....	-	1,311,407	(303,001)	4,006,566	4,681,001
Transfers to Other Funds (*).....	(2,728,318)	(1,393,516)	303,001	(17,940,507)	(16,888,006)
Total Other Financing Sources (Uses).....	(2,728,318)	(82,109)	-	(13,933,941)	(12,207,005)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	-	20,166	-	41,053	53,550
Fund Balances at April 1	-	90,381	-	118,660	65,110
Fund Balances at March 31.....	\$ -	\$ 110,547	\$ -	\$ 159,713	\$ 118,660

(*) See Accompanying Notes

STATE OF NEW YORK
 CAPITAL PROJECTS FUNDS - STATE
 COMBINING STATEMENT OF CASH RECEIPTS,
 DISBURSEMENTS AND CHANGES IN FUND BALANCES
 FISCAL YEAR ENDED MARCH 31, 2016
 (Amounts in thousands)

EXHIBIT A-4

	STATE CAPITAL PROJECTS (30000-30049)	ACCELERATED CAPACITY AND TRANSPORTATION IMPROVEMENTS BOND (30680-30689)	CITY UNIVERSITY OF NEW YORK CAPITAL PROJECTS (32250-32299)	CLEAN WATER/ CLEAN AIR BOND (30690-30699)	CLEAN WATER/ CLEAN AIR IMPLEMENTATION (30500-30549)	CORRECTIONAL FACILITIES CAPITAL IMPROVEMENT (32350-32399)
RECEIPTS:						
Consumption/Use Taxes	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Business Taxes.....	-	-	-	-	-	-
Other Taxes.....	-	-	-	-	-	-
Miscellaneous Receipts.....	1,690,295	-	(1)	-	-	244,068
Federal Receipts.....	-	-	-	-	-	-
Total Receipts.....	1,690,295	-	(1)	-	-	244,068
DISBURSEMENTS:						
Local Assistance Grants (*):						
Education.....	28,258	-	-	-	-	-
Environment and Recreation.....	54,275	-	-	-	-	-
General Government.....	267,807	-	-	-	-	-
Public Health:						
Medicaid.....	-	-	-	-	-	-
Other Public Health	12,597	-	-	-	-	-
Public Safety	96,890	-	-	-	-	-
Public Welfare	-	-	-	-	-	-
Support and Regulate Business	549,696	-	-	-	-	-
Transportation	491,947	-	-	-	-	-
Total Local Assistance Grants.....	1,501,470	-	-	-	-	-
Capital Projects.....	1,415,877	-	-	-	-	260,187
Total Disbursements.....	2,917,347	-	-	-	-	260,187
Excess (Deficiency) of Receipts over Disbursements.....	(1,227,052)	-	(1)	-	-	(16,119)
OTHER FINANCING SOURCES (USES):						
Bond and Note Proceeds, net.....	-	-	-	-	-	-
Transfers from Other Funds.....	1,228,530	-	-	-	-	21,500
Transfers to Other Funds (*).....	(1,478)	(36)	-	(21,177)	-	-
Total Other Financing Sources (Uses).....	1,227,052	(36)	-	(21,177)	-	21,500
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	-	(36)	(1)	(21,177)	-	5,381
Fund Balances (Deficits) at April 1.....	-	2,814	(23)	29,091	-	(38,416)
Fund Balances (Deficits) at March 31.....	\$ -	\$ 2,778	\$ (24)	\$ 7,914	\$ -	\$ (33,035)

(*) See Accompanying Notes

STATE OF NEW YORK
 CAPITAL PROJECTS FUNDS - STATE
 COMBINING STATEMENT OF CASH RECEIPTS,
 DISBURSEMENTS AND CHANGES IN FUND BALANCES
 FISCAL YEAR ENDED MARCH 31, 2016
 (Amounts in thousands)

EXHIBIT A-4
 (continued)

	DEDICATED HIGHWAY AND BRIDGE TRUST (30050-30099)	DEDICATED INFRASTRUCTURE INVESTMENT FUND (33050-33099)	DEPARTMENT OF TRANSPORTATION ENGINEERING SERVICES (31950-31999)	DIVISION FOR YOUTH FACILITIES IMPROVEMENT (31700-31749)	ENERGY CONSERVATION THROUGH IMPROVED TRANSPORTATION BOND (30600-30609)	ENVIRONMENTAL PROTECTION (30450-30499)	ENVIRONMENTAL QUALITY BOND ACT (1986) (30670-30679)
RECEIPTS:							
Consumption/Use Taxes	\$ 635,701	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Business Taxes.....	639,479	-	-	-	-	-	-
Other Taxes.....	-	-	-	-	-	119,100	-
Miscellaneous Receipts.....	1,303,218	-	-	4,501	-	58,646	-
Federal Receipts.....	4,981	-	-	-	-	-	-
Total Receipts.....	2,583,379	-	-	4,501	-	177,746	-
DISBURSEMENTS:							
Local Assistance Grants (*):							
Education.....	-	-	-	-	-	-	-
Environment and Recreation.....	-	-	-	-	-	84,712	-
General Government.....	-	33,159	-	-	-	-	-
Public Health:							
Medicaid.....	-	-	-	-	-	-	-
Other Public Health	-	-	-	-	-	-	-
Public Safety	-	-	-	-	-	-	-
Public Welfare	-	-	-	-	-	-	-
Support and Regulate Business	-	21,000	-	-	-	-	-
Transportation	12,919	-	-	-	-	-	-
Total Local Assistance Grants.....	12,919	54,159	-	-	-	84,712	-
Capital Projects.....	2,034,776	692,433	595	19,494	-	85,781	-
Total Disbursements.....	2,047,695	746,592	595	19,494	-	170,493	-
Excess (Deficiency) of Receipts over Disbursements.....	535,684	(746,592)	(595)	(14,993)	-	7,253	-
OTHER FINANCING SOURCES (USES):							
Bond and Note Proceeds, net.....	-	-	-	-	-	-	-
Transfers from Other Funds.....	957,123	856,925	590	-	-	23,000	-
Transfers to Other Funds (*).....	(1,389,820)	-	-	-	-	(25,000)	(6,293)
Total Other Financing Sources (Uses).....	(432,697)	856,925	590	-	-	(2,000)	(6,293)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	102,987	110,333	(5)	(14,993)	-	5,253	(6,293)
Fund Balances (Deficits) at April 1.....	(60,132)	-	(12,559)	(7,598)	164	36,813	11,869
Fund Balances (Deficits) at March 31.....	\$ 42,855	\$ 110,333	\$ (12,564)	\$ (22,591)	\$ 164	\$ 42,066	\$ 5,576

(*) See Accompanying Notes

STATE OF NEW YORK
 CAPITAL PROJECTS FUNDS - STATE
 COMBINING STATEMENT OF CASH RECEIPTS,
 DISBURSEMENTS AND CHANGES IN FUND BALANCES
 FISCAL YEAR ENDED MARCH 31, 2016
 (Amounts in thousands)

EXHIBIT A-4
 (continued)

	ENVIRONMENTAL QUALITY PROTECTION BOND ACT (1972) (30640-30649)	FOREST PRESERVE EXPANSION (31450-31499)	HAZARDOUS WASTE REMEDIAL (31500-31549)	HOUSING ASSISTANCE (31800-31849)	HOUSING PROGRAM (31850-31899)	MENTAL HYGIENE FACILITIES CAPITAL IMPROVEMENT (32300-32349)
RECEIPTS:						
Consumption/Use Taxes	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Business Taxes.....	-	-	-	-	-	-
Other Taxes.....	-	-	-	-	-	-
Miscellaneous Receipts.....	-	1	105,259	4,242	113,756	126,535
Federal Receipts.....	-	-	-	-	-	-
Total Receipts.....	-	1	105,259	4,242	113,756	126,535
DISBURSEMENTS:						
Local Assistance Grants (*):						
Education.....	-	-	-	-	-	-
Environment and Recreation.....	-	-	13,373	-	-	-
General Government.....	-	-	-	-	-	2,457
Public Health:						
Medicaid.....	-	-	-	-	-	-
Other Public Health	-	-	-	-	-	86,251
Public Safety	-	-	-	-	-	-
Public Welfare	-	-	-	1,085	128,296	-
Support and Regulate Business	-	-	-	-	-	-
Transportation	-	-	-	-	-	-
Total Local Assistance Grants.....	-	-	13,373	1,085	128,296	88,708
Capital Projects.....	-	-	94,959	-	376	78,967
Total Disbursements.....	-	-	108,332	1,085	128,672	167,675
Excess (Deficiency) of Receipts over Disbursements.....	-	1	(3,073)	3,157	(14,916)	(41,140)
OTHER FINANCING SOURCES (USES):						
Bond and Note Proceeds, net.....	-	-	-	-	-	-
Transfers from Other Funds.....	-	-	21,334	-	478	1,000
Transfers to Other Funds (*).....	(352)	-	(23,785)	-	-	-
Total Other Financing Sources (Uses).....	(352)	-	(2,451)	-	478	1,000
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	(352)	1	(5,524)	3,157	(14,438)	(40,140)
Fund Balances (Deficits) at April 1.....	1,803	898	(125,279)	(13,973)	(129,814)	(407,392)
Fund Balances (Deficits) at March 31.....	\$ 1,451	\$ 899	\$ (130,803)	\$ (10,816)	\$ (144,252)	\$ (447,532)

(*) See Accompanying Notes

STATE OF NEW YORK
 CAPITAL PROJECTS FUNDS - STATE
 COMBINING STATEMENT OF CASH RECEIPTS,
 DISBURSEMENTS AND CHANGES IN FUND BALANCES
 FISCAL YEAR ENDED MARCH 31, 2016
 (Amounts in thousands)

EXHIBIT A-4
 (continued)

	MISCELLANEOUS CAPITAL PROJECTS (32200-32249)	NATURAL RESOURCE DAMAGES (31900-31949)	NEW YORK STATE CANAL SYSTEM DEVELOPMENT (30300-30349)	NEW YORK STATE STORM RECOVERY (33000-33049)	OUTDOOR RECREATION DEVELOPMENT BOND (30750-30799)	PARK AND RECREATION LAND ACQUISITION BOND (30610-30619)
RECEIPTS:						
Consumption/Use Taxes	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Business Taxes.....	-	-	-	-	-	-
Other Taxes.....	-	-	-	-	-	-
Miscellaneous Receipts.....	11,686	2,131	2,543	-	-	-
Federal Receipts.....	-	-	-	-	-	-
Total Receipts.....	11,686	2,131	2,543	-	-	-
DISBURSEMENTS:						
Local Assistance Grants (*):						
Education.....	-	-	-	-	-	-
Environment and Recreation.....	8	-	-	-	-	-
General Government.....	-	-	-	-	-	-
Public Health:						
Medicaid.....	-	-	-	-	-	-
Other Public Health	-	-	-	-	-	-
Public Safety	-	-	-	824	-	-
Public Welfare	-	-	-	-	-	-
Support and Regulate Business	(785)	-	-	-	-	-
Transportation	157	-	1,379	-	-	-
Total Local Assistance Grants.....	(620)	-	1,379	824	-	-
Capital Projects.....	22,512	913	-	4,477	-	-
Total Disbursements.....	21,892	913	1,379	5,301	-	-
Excess (Deficiency) of Receipts over Disbursements.....	(10,206)	1,218	1,164	(5,301)	-	-
OTHER FINANCING SOURCES (USES):						
Bond and Note Proceeds, net.....	-	-	-	-	-	-
Transfers from Other Funds.....	13,261	-	-	-	-	-
Transfers to Other Funds (*).....	-	-	-	-	-	-
Total Other Financing Sources (Uses).....	13,261	-	-	-	-	-
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	3,055	1,218	1,164	(5,301)	-	-
Fund Balances (Deficits) at April 1.....	33,992	14,992	3,622	(43,372)	-	-
Fund Balances (Deficits) at March 31.....	\$ 37,047	\$ 16,210	\$ 4,786	\$ (48,673)	\$ -	\$ -

(*) See Accompanying Notes

STATE OF NEW YORK
 CAPITAL PROJECTS FUNDS - STATE
 COMBINING STATEMENT OF CASH RECEIPTS,
 DISBURSEMENTS AND CHANGES IN FUND BALANCES
 FISCAL YEAR ENDED MARCH 31, 2016
 (Amounts in thousands)

EXHIBIT A-4
 (continued)

	PASSENGER FACILITY CHARGE (30400-30449)	PURE WATERS BOND (30620-30629)	RAIL PRESERVATION AND DEVELOPMENT BOND (30900-30949)	REBUILD AND RENEW NY TRANSPORTATION BOND (30650-30659)	SMART SCHOOLS BOND (30710-30719)	STATE HOUSING BOND (30700-30709)
RECEIPTS:						
Consumption/Use Taxes	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Business Taxes.....	-	-	-	-	-	-
Other Taxes.....	-	-	-	-	-	-
Miscellaneous Receipts.....	-	-	-	-	-	-
Federal Receipts.....	-	-	-	-	-	-
Total Receipts.....	-	-	-	-	-	-
DISBURSEMENTS:						
Local Assistance Grants (*):						
Education.....	-	-	-	-	-	-
Environment and Recreation.....	-	-	-	-	-	-
General Government.....	-	-	-	-	-	-
Public Health:						
Medicaid.....	-	-	-	-	-	-
Other Public Health	-	-	-	-	-	-
Public Safety	-	-	-	-	-	-
Public Welfare	-	-	-	-	-	-
Support and Regulate Business	-	-	-	-	-	-
Transportation	-	-	-	-	-	-
Total Local Assistance Grants.....	-	-	-	-	-	-
Capital Projects.....	-	-	-	-	-	-
Total Disbursements.....	-	-	-	-	-	-
Excess (Deficiency) of Receipts over Disbursements.....	-	-	-	-	-	-
OTHER FINANCING SOURCES (USES):						
Bond and Note Proceeds, net.....	-	-	-	-	-	-
Transfers from Other Funds.....	-	-	-	-	-	-
Transfers to Other Funds (*).....	-	-	-	(26,938)	-	-
Total Other Financing Sources (Uses).....	-	-	-	(26,938)	-	-
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	-	-	-	(26,938)	-	-
Fund Balances (Deficits) at April 1.....	14	668	-	54,268	-	-
Fund Balances (Deficits) at March 31.....	\$ 14	\$ 668	\$ -	\$ 27,330	\$ -	\$ -

(*) See Accompanying Notes

STATE OF NEW YORK
 CAPITAL PROJECTS FUNDS - STATE
 COMBINING STATEMENT OF CASH RECEIPTS,
 DISBURSEMENTS AND CHANGES IN FUND BALANCES
 FISCAL YEAR ENDED MARCH 31, 2016
 (Amounts in thousands)

EXHIBIT A-4
 (continued)

	STATE PARK INFRASTRUCTURE (30350-30399)	STATE UNIVERSITY CAPITAL PROJECTS (32400-32999)	STATE UNIVERSITY RESIDENCE HALLS REHABILITATION AND REPAIR (30100-30299)	SUBURBAN TRANSPORTATION (31650-31699)	TRANSPORTATION CAPITAL FACILITIES BOND (30630-30639)	TRANSPORTATION INFRASTRUCTURE RENEWAL BOND (30660-30669)	ELIMINATIONS (*)
RECEIPTS:							
Consumption/Use Taxes	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Business Taxes.....	-	-	-	-	-	-	-
Other Taxes.....	-	-	-	-	-	-	-
Miscellaneous Receipts.....	127,928	1,970	24,812	1	-	-	-
Federal Receipts.....	-	-	-	-	-	-	-
Total Receipts.....	127,928	1,970	24,812	1	-	-	-
DISBURSEMENTS:							
Local Assistance Grants (*):							
Education.....	-	-	-	-	-	-	-
Environment and Recreation.....	-	-	-	-	-	-	-
General Government.....	-	-	-	-	-	-	-
Public Health:							
Medicaid.....	-	-	-	-	-	-	-
Other Public Health	-	-	-	-	-	-	-
Public Safety	-	-	-	-	-	-	-
Public Welfare	-	-	-	-	-	-	-
Support and Regulate Business	-	-	-	-	-	-	-
Transportation	-	-	-	-	-	-	-
Total Local Assistance Grants.....	-	-	-	-	-	-	-
Capital Projects.....	123,916	161,041	61,039	-	-	-	-
Total Disbursements.....	123,916	161,041	61,039	-	-	-	-
Excess (Deficiency) of Receipts over Disbursements.....	4,012	(159,071)	(36,227)	1	-	-	-
OTHER FINANCING SOURCES (USES):							
Bond and Note Proceeds, net.....	-	-	-	-	-	-	-
Transfers from Other Funds.....	-	54,407	50,452	-	-	-	(56,275)
Transfers to Other Funds (*).....	-	-	-	-	-	-	56,275
Total Other Financing Sources (Uses).....	-	54,407	50,452	-	-	-	-
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	4,012	(104,664)	14,225	1	-	-	-
Fund Balances (Deficits) at April 1.....	(87,063)	273,011	111,073	506	3,328	4,255	-
Fund Balances (Deficits) at March 31.....	\$ (83,051)	\$ 168,347	\$ 125,298	\$ 507	\$ 3,328	\$ 4,255	\$ -

(*) See Accompanying Notes

STATE OF NEW YORK
 CAPITAL PROJECTS FUNDS - STATE AND FEDERAL
 COMBINING STATEMENT OF CASH RECEIPTS,
 DISBURSEMENTS AND CHANGES IN FUND BALANCES
 FISCAL YEAR ENDED MARCH 31, 2016
 (Amounts in thousands)

EXHIBIT A-4
 (continued)

	TOTAL STATE CAPITAL PROJECTS	FEDERAL CAPITAL PROJECTS (31350-31449)	ELIMINATIONS (*)	COMBINED TOTALS	
				2015-16	2014-15
RECEIPTS:					
Consumption/Use Taxes	\$ 635,701	\$ -	\$ -	\$ 635,701	\$ 600,520
Business Taxes.....	639,479	-	-	639,479	653,268
Other Taxes.....	119,100	-	-	119,100	119,100
Miscellaneous Receipts.....	3,821,591	934	-	3,822,525	3,961,077
Federal Receipts.....	4,981	2,140,393	-	2,145,374	2,030,215
Total Receipts.....	5,220,852	2,141,327	-	7,362,179	7,364,180
DISBURSEMENTS:					
Local Assistance Grants (*):					
Education.....	28,258	-	-	28,258	16,996
Environment and Recreation.....	152,368	153,982	-	306,350	304,467
General Government.....	303,423	-	-	303,423	108,459
Public Health:					
Medicaid.....	-	-	-	-	-
Other Public Health	98,848	46,010	-	144,858	134,673
Public Safety	97,714	4,121	-	101,835	28,306
Public Welfare	129,381	-	-	129,381	114,702
Support and Regulate Business	569,911	-	-	569,911	299,924
Transportation	506,402	407,205	-	913,607	1,034,947
Total Local Assistance Grants.....	1,886,305	611,318	-	2,497,623	2,042,474
Capital Projects.....	5,057,343	1,425,838	-	6,483,181	5,505,263
Total Disbursements.....	6,943,648	2,037,156	-	8,980,804	7,547,737
Excess (Deficiency) of Receipts over Disbursements.....	(1,722,796)	104,171	-	(1,618,625)	(183,557)
OTHER FINANCING SOURCES (USES):					
Bond and Note Proceeds, net.....	-	-	-	-	161,343
Transfers from Other Funds.....	3,172,325	-	(276,719)	2,895,606	1,419,427
Transfers to Other Funds (*).....	(1,438,604)	(281,459)	276,719	(1,443,344)	(1,492,950)
Total Other Financing Sources (Uses).....	1,733,721	(281,459)	-	1,452,262	87,820
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	10,925	(177,288)	-	(166,363)	(95,737)
Fund Balances (Deficits) at April 1.....	(342,440)	(381,979)	-	(724,419)	(628,682)
Fund Balances (Deficits) at March 31.....	\$ (331,515)	\$ (559,267)	\$ -	\$ (890,782)	\$ (724,419)

(*) See Accompanying Notes

STATE OF NEW YORK
ENTERPRISE FUNDS
COMBINING STATEMENT OF CASH RECEIPTS,
DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2016
(Amounts in thousands)

EXHIBIT B-1

	AGENCY ENTERPRISE (50300-50399)	CORRECTIONAL SERVICES COMMISSARY (50100-50299)	MENTAL HYGIENE COMMUNITY STORES (50500-50599)	SHELTERED AND PATIENT WORKSHOP (50400-50499)
RECEIPTS:				
Miscellaneous Receipts.....	\$ 3,298	\$ 35,644	\$ 1,619	\$ 2,272
Federal Receipts.....	-	-	-	-
Unemployment Taxes.....	-	-	-	-
Total Receipts.....	3,298	35,644	1,619	2,272
DISBURSEMENTS:				
Departmental Operations:				
Personal Service.....	1,069	-	50	-
Non-Personal Service.....	1,584	35,660	1,250	1,566
General State Charges.....	640	-	27	-
Unemployment Benefits.....	-	-	-	-
Total Disbursements.....	3,293	35,660	1,327	1,566
Excess (Deficiency) of Receipts over Disbursements.....	5	(16)	292	706
OTHER FINANCING SOURCES (USES):				
Transfers from Other Funds.....	-	-	-	-
Transfers to Other Funds.....	(12)	-	-	-
Total Other Financing Sources (Uses).....	(12)	-	-	-
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	(7)	(16)	292	706
Fund Balances at April 1.....	3,129	2,842	3,833	3,097
Fund Balances at March 31.....	\$ 3,122	\$ 2,826	\$ 4,125	\$ 3,803

STATE OF NEW YORK
ENTERPRISE FUNDS
COMBINING STATEMENT OF CASH RECEIPTS,
DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2016
(Amounts in thousands)

EXHIBIT B-1
(continued)

	STATE EXPOSITION SPECIAL (50050-50099)	UNEMPLOYMENT INSURANCE BENEFIT (50650-50699)	YOUTH COMMISSARY (50000-50049)	COMBINED TOTALS	
				2015-16	2014-15
RECEIPTS:					
Miscellaneous Receipts.....	\$ 14,679	\$ 8,085	\$ 43	\$ 65,640	\$ 103,141
Federal Receipts.....	-	29,962	-	29,962	45,484
Unemployment Taxes.....	-	2,281,243	-	2,281,243	2,457,194
Total Receipts.....	14,679	2,319,290	43	2,376,845	2,605,819
DISBURSEMENTS:					
Departmental Operations:					
Personal Service.....	3,712	-	-	4,831	6,166
Non-Personal Service.....	9,874	20,560	87	70,581	113,164
General State Charges.....	631	-	-	1,298	1,004
Unemployment Benefits.....	-	2,284,639	-	2,284,639	2,497,008
Total Disbursements.....	14,217	2,305,199	87	2,361,349	2,617,342
Excess (Deficiency) of Receipts over Disbursements.....	462	14,091	(44)	15,496	(11,523)
OTHER FINANCING SOURCES (USES):					
Transfers from Other Funds.....	-	-	-	-	-
Transfers to Other Funds.....	-	-	-	(12)	(318)
Total Other Financing Sources (Uses).....	-	-	-	(12)	(318)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	462	14,091	(44)	15,484	(11,841)
Fund Balances at April 1.....	408	37,143	198	50,650	62,491
Fund Balances at March 31.....	\$ 870	\$ 51,234	\$ 154	\$ 66,134	\$ 50,650

**STATE OF NEW YORK
INTERNAL SERVICE FUNDS
COMBINING STATEMENT OF CASH RECEIPTS,
DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2016
(Amounts in thousands)**

EXHIBIT B-2

	AGENCY INTERNAL SERVICE (55050-55099)	AUDIT AND CONTROL REVOLVING (55250-55299)	CENTRALIZED SERVICES (55000-55049)	CORRECTIONAL INDUSTRIES REVOLVING (55350-55399)	HEALTH INSURANCE REVOLVING (55300-55349)	JOINT LABOR AND MANAGEMENT ADMINISTRATION (55200-55249)	MENTAL HYGIENE REVOLVING (55100-55149)	YOUTH VOCATIONAL EDUCATION (55150-55199)	ELIMINATIONS	COMBINED TOTALS	
										2015-16	2014-15
RECEIPTS:											
Miscellaneous Receipts.....	\$ 77,235	\$ 22,673	\$ 384,792	\$ 47,104	\$ 10,969	\$ 971	\$ 987	\$ 8	\$ -	\$ 544,739	\$ 532,870
Total Receipts.....	77,235	22,673	384,792	47,104	10,969	971	987	8	-	544,739	532,870
DISBURSEMENTS:											
Departmental Operations:											
Personal Service.....	20,872	4,951	33,455	17,258	8,301	437	399	-	-	85,673	85,607
Non-Personal Service.....	69,203	16,808	283,782	32,243	561	80	236	6	-	402,919	551,460
General State Charges.....	10,061	2,726	19,448	9,256	4,584	213	231	-	-	46,519	52,148
Total Disbursements.....	100,136	24,485	336,685	58,757	13,446	730	866	6	-	535,111	689,215
Excess (Deficiency) of Receipts over Disbursements.....	(22,901)	(1,812)	48,107	(11,653)	(2,477)	241	121	2	-	9,628	(156,345)
OTHER FINANCING SOURCES (USES):											
Transfers from Other Funds.....	61,264	-	42,841	10,528	5,383	-	-	-	(21,800)	98,216	85,736
Transfers to Other Funds.....	(21,372)	(48)	(33,911)	(776)	(4,006)	(9)	-	-	21,800	(38,322)	(53,421)
Total Other Financing Sources (Uses)....	39,892	(48)	8,930	9,752	1,377	(9)	-	-	-	59,894	32,315
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	16,991	(1,860)	57,037	(1,901)	(1,100)	232	121	2	-	69,522	(124,030)
Fund Balances (Deficits) at April 1.....	(76,988)	1,112	(95,077)	(15,458)	(11,629)	1,175	118	53	-	(196,694)	(72,664)
Fund Balances (Deficits) at March 31.....	\$ (59,997)	\$ (748)	\$ (38,040)	\$ (17,359)	\$ (12,729)	\$ 1,407	\$ 239	\$ 55	\$ -	\$ (127,172)	\$ (196,694)

STATE OF NEW YORK
PENSION TRUST FUND
COMBINING STATEMENT OF CASH RECEIPTS,
DISBURSEMENTS AND CHANGES IN FUND BALANCE
FISCAL YEAR ENDED MARCH 31, 2016
(Amounts in thousands)

EXHIBIT C-1

	COMMON RETIREMENT ADMINISTRATION (65000-65049)	
	2015-16	2014-15
RECEIPTS:		
Miscellaneous Receipts.....	\$ 127,349	\$ 91,811
Total Receipts.....	127,349	91,811
DISBURSEMENTS:		
Departmental Operations:		
Personal Service.....	56,593	53,237
Non-Personal Service.....	22,911	22,178
General State Charges.....	30,896	29,390
Total Disbursements.....	110,400	104,805
Excess (Deficiency) of Receipts over Disbursements.....	16,949	(12,994)
Fund Balance (Deficit) at April 1.....	(16,889)	(3,895)
Fund Balance (Deficit) at March 31.....	\$ 60	\$ (16,889)

STATE OF NEW YORK
PRIVATE PURPOSE TRUST FUNDS
COMBINING STATEMENT OF CASH RECEIPTS,
DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2016
(Amounts in thousands)

EXHIBIT C-2

	AGRICULTURE PRODUCERS' SECURITY (66000-66049)	MILK PRODUCERS' SECURITY (66050-66099)	COMBINED TOTALS	
			2015-16	2014-15
RECEIPTS:				
Miscellaneous Receipts.....	\$ 305	\$ 180	\$ 485	\$ 1,067
Total Receipts.....	305	180	485	1,067
DISBURSEMENTS:				
Departmental Operations:				
Personal Service.....	102	180	282	264
Non-Personal Service.....	3	5	8	12
General State Charges.....	57	105	162	193
Total Disbursements.....	162	290	452	469
Excess (Deficiency) of Receipts over Disbursements.....	143	(110)	33	598
Fund Balances at April 1.....	2,194	9,325	11,519	10,921
Fund Balances at March 31.....	\$ 2,337	\$ 9,215	\$ 11,552	\$ 11,519

STATE OF NEW YORK
AGENCY FUNDS (*)
COMBINING STATEMENT OF CASH RECEIPTS,
DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2016
(Amounts in thousands)

EXHIBIT C-3

	RECEIPTS				DISBURSEMENTS			Cash Balance March 31, 2016
	Cash Balance April 1, 2015	Miscellaneous	Federal (**)	Transfers From Other Funds	Department Operations	Refunds	Dedicated Payments	
Child Performer's Holding (60150-60199).....	\$ 249	\$ 114	\$ -	\$ -	\$ -	\$ (41)	\$ -	\$ 322
CUNY Senior College Operating (60850-60899).....	50,094	2,281,752	-	-	(2,260,001)	-	-	71,845
Elderly Pharmaceutical Insurance Coverage (EPIC) Escrow (60800-60849)...	22,369	93,399	-	-	-	-	(89,143)	26,625
Employees Dental Insurance (60400-60449).....	6,562	71,692	-	-	-	-	(74,047)	4,207
Employees Health Insurance (60200-60249).....	795,248	8,703,077	15	-	-	-	(8,709,680)	788,660
Employee Payroll Withholding (60300-60399).....	(4,550)	4,486,158	-	-	-	-	(4,474,296)	7,312
Health Insurance Reserve Receipts (60550-60599).....	120	-	-	-	-	-	-	120
Lottery Prize (60500-60549)	434,246	1,326,254	-	-	-	-	(1,245,206)	515,294
Management Confidential Group Insurance (60450-60499).....	479	10,357	-	-	-	-	(10,466)	370
Medicaid Management Information System (MMIS) Escrow (60900-60949)...	182,888	60,974,233	-	42,009	-	-	(60,977,151)	221,979
Miscellaneous New York State Agency (60600-60799).....	1,330,447	2,015,453	-	-	-	-	(1,658,806)	1,687,094
School Capital Facilities Financing Reserve (60050-60149).....	21,659	17,115	-	-	-	-	(19,375)	19,399
Social Security Contribution (60250-60299).....	15,089	1,155,609	-	-	-	(4)	(1,155,722)	14,972
Special Education (60950-60999).....	-	-	-	-	-	-	-	-
SSI SSP Payment (62000-62049).....	-	-	-	-	-	-	-	-
State University Federal Direct Lending Program (61100-61999).....	(205)	1,141,273	-	-	-	-	(1,141,145)	(77)
State University of New York Revenue Collection (61000-61099).....	163,960	(29,439)	-	-	-	-	-	134,521
Total Agency Funds.....	\$ 3,018,655	\$ 82,247,047	\$ 15	\$ 42,009	\$ (2,260,001)	\$ (45)	\$ (79,555,037)	\$ 3,492,643

(*) Agency funds are used to account for funds held by the State in a purely custodial capacity or to hold monies where the disposition of the receipt is not known at the time the revenue is received. Cash is held until the proper disposition is determined (e.g., transferred to a State fund) or until payments are made to individuals, private organizations or other governmental units (e.g., payment of withholding taxes).

Agency funds are also used for temporary investment purposes where a State fund payment has been issued but the checks have not cleared the bank account from which the checks were issued (e.g., Medicaid, EPIC).

(**) Federal Receipts represent participating employers' share of the Medicare Part D subsidy. Participating employers include local governments, public authorities, public benefit corporations and municipal corporations.

SOLE CUSTODY FUNDS AND ACCOUNTS
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR ENDED MARCH 31, 2016

EXHIBIT C-4

<u>GENERAL FUND</u>	<u>CASH AND INVESTMENT BALANCES APRIL 1, 2015</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>CASH AND INVESTMENT BALANCES MARCH 31, 2016</u>
Adirondack Park Agency:				
Revenue Collection.....	\$ 2,002	\$ 2,600	\$ 4,600	\$ 2
Assembly:				
Revenue Collection.....	-	353	353	-
Department of Agriculture and Markets:				
Revenue Collection.....	171,247	9,567,076	9,642,150	96,173
Department of Children and Family Services:				
Revenue Collection.....	721,329	10,256	34,201	697,384
Department of Corrections and Community Supervision:				
Revenue Collection(*).....	489,678	7,003,355	7,065,254	427,779
Department of Education:				
Revenue Collection.....	285,418	113,114,648	113,055,000	345,066
Department of Financial Services:				
Revenue Collection.....	215,551	599,318,086	598,763,500	770,137
Department of Health:				
Revenue Collection.....	574,950	55,583,112	55,698,444	459,618
Medicaid Recoveries.....	3,328,563	173,690,326	173,692,855	3,326,034
Department of Labor:				
Revenue Collection.....	1,326,969	27,751,242	27,955,945	1,122,266
Department of Motor Vehicles:				
Revenue Collection.....	45,481,200	1,762,261,652	1,765,794,106	41,948,746
Department of Taxation and Finance:				
Revenue Collection.....	22,122	177,264	-	199,386
Stock Transfer Incentive.....	906,904	2,697,871	2,633,129	971,646
Department of Transportation:				
Revenue Collection.....	315,728	59,929,841	59,761,842	483,727
Division of Housing and Community Renewal:				
Revenue Collection.....	-	6,897,166	6,807,888	89,278
Division of State Police:				
Revenue Collection.....	10,874	4,929,537	4,891,947	48,464
Higher Education Services Corporation:				
Tuition Assistance Program Recoveries.....	1,369,798	17,554,119	12,684,048	6,239,869
Joint Commission on Public Ethics:				
Revenue Collection.....	55,611	914,773	914,295	56,089

(*) At the time of publishing, some accounts remain unfiled.

SOLE CUSTODY FUNDS AND ACCOUNTS
 STATEMENT OF RECEIPTS AND DISBURSEMENTS
 FISCAL YEAR ENDED MARCH 31, 2016

EXHIBIT C-4
 (continued)

<u>GENERAL FUND (continued)</u>	<u>CASH AND INVESTMENT BALANCES APRIL 1, 2015</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>CASH AND INVESTMENT BALANCES MARCH 31, 2016</u>
NYS Gaming Commission:				
Revenue Collection.....	428,804	22,270,753	22,140,853	558,704
Office for People with Developmental Disabilities:				
Revenue Collection.....	7,413,235	1,965,250,233	1,952,871,916	19,791,552
Electronic Benefits Account(*).....	3,365,700	12,537,759	14,038,999	1,864,460
Facility Revenue Collection(*).....	403,345	1,677,169	1,403,828	676,686
Funded Depreciation.....	550	-	-	550
Office of Court Administration:				
Revenue Collection.....	22,387,123	499,377,667	504,111,176	17,653,614
Office of General Services:				
Executive Mansion Expenses.....	3,251	154,318	144,774	12,795
Escrow Fund.....	30,439,371	24,444,474	27,921,621	26,962,224
Office of Mental Health:				
Revenue Collection(*).....	715,664	3,717,476	3,849,555	583,585
Office of Parks, Recreation, and Historic Preservation:				
Revenue Collection.....	1,842,626	107,142,457	105,671,583	3,313,500
Office of the State Comptroller:				
Miscellaneous Revenue Collection.....	346	13,396	11,884	1,858
Income Tax Refund.....	178,955,451	10,119,770,330	10,094,531,523	204,194,258
Tax Revenue Collection.....	224,444,307	48,454,120,250	48,598,177,882	80,386,675
Fee Collection.....	10,217	180,578,422	180,370,859	217,780
Tobacco Settlement.....	2,710,458	5,345	-	2,715,803
Workers' Compensation Board:				
Assessment Revenue Collection.....	500,467,836	249,920,069	499,389,574	250,998,331
Revenue Collection.....	451,404,954	57,331,054	504,422,451	4,313,557
Total General Fund.....	1,480,271,182	64,539,714,449	65,348,458,035	671,527,596
<u>SPECIAL REVENUE FUNDS</u>				
Archives Partnership Trust:				
Endowment.....	4,200,924	342,105	501,637	4,041,392
Council on the Arts:				
Theater Development(*).....	-	-	-	-
Department of Agriculture and Markets:				
Revenue Collection - Marketing/Publicity.....	1,270,573	20,040,056	19,988,777	1,321,852
Department of Children and Family Services:				
Revenue Collection - Cost of Care Reimbursement.....	471,192	8,980,912	3,811,792	5,640,312
Revenue Collection - Medicaid Reimbursement.....	755,394	205,043	7	960,430
Revenue Collection - Miscellaneous.....	120,244	3,293,643	3,329,738	84,149
Department of Civil Service:				
Affirmative Action Advisory Council.....	5,142	-	-	5,142
Revenue Collection - Exam Application Fees.....	60,285	1,537,271	1,507,951	89,605

(*) At the time of publishing, some accounts remain unfiled.

SOLE CUSTODY FUNDS AND ACCOUNTS
 STATEMENT OF RECEIPTS AND DISBURSEMENTS
 FISCAL YEAR ENDED MARCH 31, 2016

EXHIBIT C-4
 (continued)

<u>SPECIAL REVENUE FUNDS (continued)</u>	<u>CASH AND INVESTMENT BALANCES APRIL 1, 2015</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>CASH AND INVESTMENT BALANCES MARCH 31, 2016</u>
Department of Environmental Conservation:				
Revenue Collection.....	1,618,521	209,968,671	210,686,428	900,764
Department of Education:				
Revenue Collection - Batavia School for the Blind.....	1,305	109,906	104,177	7,034
Revenue Collection - Rome School for the Deaf.....	33	48,820	47,311	1,542
Department of Financial Services:				
Excess Liability Pool (**).....	17,539,587	127,422,793	127,253,184	17,709,196
Liquidation Bureau - Property and Liability Insurance Security.....	259,283,954	208,621,388	153,715,000	314,190,342
Liquidation Bureau - Public Motor Vehicle Liability Security.....	117,861,776	40,174,182	24,972,764	133,063,194
Medical Indemnity Fund.....	147,214,694	104,234,530	71,167,166	180,282,058
Rate Stabilization Pool (**).....	93,715,665	19,879,015	30,792,989	82,801,691
Revenue Collection.....	26,467	1,981,009,700	1,980,769,000	267,167
Worker's Compensation Security Fund.....	112,462,524	207,436,385	176,622,861	143,276,048
Worker's Compensation Security Fund Payment Account.....	131,189	108,369,556	108,400,730	100,015
Department of Health:				
Clinical Lab Fee.....	1,720	17,617,261	17,472,162	146,819
Elderly Pharmaceutical Insurance Coverage (EPIC) Program (**).....	40,157	184,909,292	184,939,237	10,212
Health Facilities Assessments (**).....	3,888,378	871,312,025	873,803,552	1,396,851
Medicaid Disproportionate Share (Indigent Care Pools) (**).....	575	951,531,056	951,528,491	3,140
Prescription Saver Program	28,898	31,919	60,817	-
Public Goods Pool (**).....	270,482,264	4,672,938,570	4,643,602,984	299,817,850
WIC Program Payment.....	(2,871)	414,344,308	414,152,221	189,216
Department of Law:				
Shared Forfeiture.....	7,653,939	2,157,954	2,843,854	6,968,039
Department of Public Service:				
Revenue Collection.....	626,265	275,826,469	276,353,000	99,734
Department of State:				
Revenue Collection.....	6,524,903	70,243,287	74,564,991	2,203,199
Department of Taxation and Finance:				
World Trade Center Memorial Foundation Fund.....	44,153	106,024	-	150,177
Division of Housing and Community Renewal:				
Maximum Base Rent Fee Account.....	30,487	367,118	363,000	34,605
Division of Military and Naval Affairs:				
Revenue Collection(*).....	-	-	-	-
Lake George Park Commission:				
Revenue Collection(*).....	-	-	-	-
NYS Gaming Commission:				
Revenue Collection.....	30,974,848	1,326,412,356	1,343,088,923	14,298,281
Office for People with Developmental Disabilities:				
Nonexpendable Trust Fund.....	25,027	7	34	25,000

(*) At the time of publishing, some accounts remain unfiled.

(**) These accounts are administered by a fiscal agent on behalf of New York State.

SOLE CUSTODY FUNDS AND ACCOUNTS
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR ENDED MARCH 31, 2016

EXHIBIT C-4
(continued)

	CASH AND INVESTMENT BALANCES APRIL 1, 2015	RECEIPTS	DISBURSEMENTS	CASH AND INVESTMENT BALANCES MARCH 31, 2016
<u>SPECIAL REVENUE FUNDS (continued)</u>				
Office of Alcoholism and Substance Abuse Services:				
Revenue Collection.....	55,732	684,791	718,904	21,619
Office of Mental Health:				
Medication Grant Program.....	27,172	3,054,758	2,981,820	100,110
Office of the State Comptroller:				
Fee Collection.....	-	108,056,127	108,056,127	-
Revenue Collection MTA Share.....	4,822,944	2,330,143,692	2,333,576,604	1,390,032
Tax Collection.....	10,486,155	6,099,623,061	6,108,511,653	1,597,563
State Board of Elections:				
Revenue Collection.....	5,146	178,421	174,713	8,854
State University:				
Revenue Collection(*).....	23,816,222	4,913,208,755	4,889,635,839	47,389,138
Student Loan Repayment.....	565,767	208,608,017	209,142,663	31,121
Workers' Compensation Board:				
Fund for Reopened Cases.....	863,890,846	716,631,056	622,768,780	957,753,122
Special Disability Fund.....	267,134,812	1,152,421,578	1,095,618,733	323,937,657
Special Fund for Disability Benefits.....	17,344,167	9,813,256	8,541,169	18,616,254
Uninsured Employers Fund.....	27,115,923	55,616,069	56,881,573	25,850,419
Total Special Revenue Funds.....	<u>2,292,323,098</u>	<u>27,427,511,203</u>	<u>27,133,053,356</u>	<u>2,586,780,945</u>
<u>DEBT SERVICE FUNDS</u>				
Department of Health:				
Revenue Collection.....	1,497,144	175,334,255	173,890,764	2,940,635
Department of Taxation and Finance:				
DASNY-Mental Hygiene Facilities Improvement.....	-	-	-	-
DASNY Community College Tuition and Instructional Income.....	27,849,053	28,806,891	44,983,856	11,672,088
SUNY Construction Fund Debt Service.....	29,412	93,056,459	93,056,453	29,418
SUNY Dormitory Facilities Revenue Fund - Debt Service.....	114,090,473	691,564,493	689,382,622	116,272,344
Office for People with Developmental Disabilities:				
Revenue Collection.....	-	-	-	-
Office of Alcoholism and Substance Abuse Services:				
Revenue Collection.....	654,481	17,277,176	16,864,990	1,066,667
Office of Mental Health:				
Revenue Collection.....	14,596,529	1,049,842,641	1,048,251,725	16,187,445
Office of the State Comptroller:				
Tax Collection.....	31,938,033	19,104,143,318	19,113,222,734	22,858,617
Workers' Compensation Board:				
Special Disability Fund Financing.....	4,285,444	2,076,854,463	2,035,133,042	46,006,865
Total Debt Service Funds.....	<u>194,940,569</u>	<u>23,236,879,696</u>	<u>23,214,786,186</u>	<u>217,034,079</u>

(*) At the time of publishing, some accounts remain unfiled.

SOLE CUSTODY FUNDS AND ACCOUNTS
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR ENDED MARCH 31, 2016

EXHIBIT C-4
(continued)

	CASH AND INVESTMENT BALANCES APRIL 1, 2015	RECEIPTS	DISBURSEMENTS	CASH AND INVESTMENT BALANCES MARCH 31, 2016
<u>CAPITAL PROJECTS FUNDS</u>				
Department of Taxation and Finance:				
DASNY-State Advances Repayment.....	849	98,806,313	98,807,109	53
SUNY Construction Fund Economic Development Facilities.....	203,460	360,004	359,943	203,521
SUNY Construction Fund Capital Projects Fund.....	30,256,714	46,497,825	46,196,916	30,557,623
SUNY Dormitory Facilities Revenue Fund - Repair and Rehabilitation.....	64,488,491	49,425,270	51,164,464	62,749,297
Office of the State Comptroller:				
Hazardous Waste Remediation Collection.....	-	1,792,480	1,792,480	-
Tax Collection - Environmental Protection.....	-	119,100,000	119,100,000	-
Tax Collection - Highways and Bridges.....	2,590,506	1,314,663,214	1,314,912,622	2,341,098
Total Capital Projects Funds.....	97,540,020	1,630,645,106	1,632,333,534	95,851,592
<u>ENTERPRISE FUNDS</u>				
Department of Agriculture and Markets:				
Revenue Collection - State Fair.....	386,845	14,996,299	14,836,000	547,144
Department of Labor:				
Unemployment Insurance Fund Clearing Account.....	179,620	3,664,942,802	3,665,679,898	(557,476)
Total Enterprise Funds.....	566,465	3,679,939,101	3,680,515,898	(10,332)
<u>INTERNAL SERVICE FUNDS</u>				
Corcraft:				
Revenue Collection.....	-	20,706,158	20,439,317	266,841
Division of Homeland Security and Emergency Services:				
Revenue Collection - Fire Academy Account.....	68,058	775,208	780,586	62,680
Office of General Services:				
Revenue Collection - State Office Buildings.....	10,432	2,396,566	2,393,722	13,276
Total Internal Service Funds.....	78,490	23,877,932	23,613,625	342,797
<u>PRIVATE PURPOSE TRUST</u>				
Department of Agriculture and Markets:				
Producer Security.....	107,412	1,014,246	1,030,000	91,658
Office of the State Comptroller:				
New York State College Choice Tuition, LLC.....	20,170,630,668	3,799,421,995	2,860,792,172	21,109,260,491
Total Private Purpose Trust Funds.....	20,170,738,080	3,800,436,241	2,861,822,172	21,109,352,149

SOLE CUSTODY FUNDS AND ACCOUNTS
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR ENDED MARCH 31, 2016

EXHIBIT C-4
(continued)

<u>AGENCY FUNDS</u>	<u>CASH AND INVESTMENT BALANCES APRIL 1, 2015</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>CASH AND INVESTMENT BALANCES MARCH 31, 2016</u>
Crime Victims Compensation Board:				
Crime Victims Escrow.....	186	-	-	186
Crime Victims Restitution Escrow.....	3,008	-	2,618	390
Department of Agriculture and Markets:				
Farmers Market Nutrition Program.....	11,476	5,057,361	5,049,698	19,139
Department of Children and Family Services:				
Youth Residents.....	52,140	235,011	233,105	54,046
Department of Civil Service:				
Employees' Health Insurance Premiums.....	29,065,682	4,822,789,732	4,832,462,691	19,392,723
Department of Corrections and Community Supervision:				
Employee Benefit(*).....	418,144	747,797	668,267	497,674
Inmates' Account(*).....	13,594,036	67,559,764	66,514,282	14,639,518
Occupational Therapy(*).....	962,696	2,798,076	2,638,559	1,122,213
Department of Education:				
State Schools Student Activity.....	13,697	32,552	29,276	16,973
Department of Environmental Conservation:				
Coastal Erosion Projects Escrow.....	3,840,620	2,461	-	3,843,081
Department of Financial Services:				
Foreign Fire Insurance Tax Fund.....	57,812,030	60,245,749	57,901,354	60,156,425
Department of Health:				
Patients' Account.....	1,737,187	9,865,213	10,082,162	1,520,238
Miscellaneous Agency Fund.....	7,199	2,149	2,805	6,543
American Indian Health Program(**).....	-	20,687,251	20,687,251	-
Department of Labor:				
Minimum and Prevailing Wage and Wage Claim Payment.....	(351,158)	54,773,136	55,295,169	(873,191)
Department of Law:				
Civil Recoveries.....	7,704,800	692,616,017	686,376,720	13,944,097
Medicaid Fraud Control Escrow Fund.....	57,103,834	265,663,292	293,362,620	29,404,506
Restitution Fund.....	152,162,990	158,718,014	158,385,122	152,495,882
Division of State Police:				
Seized Asset Fund.....	5,114,216	686,105	399,957	5,400,364
Department of Taxation and Finance:				
DASNY NYC HHC Litigation Holding.....	17,162,375	17,974	17,241	17,163,108
Exchange.....	49	98,927	61,324	37,652
Linked Deposit Program.....	-	23,605,597	23,605,597	-
SUNY Construction Fund Payroll Deductions.....	408,083	4,611,731	4,860,999	158,815
Department of Transportation:				
Contractors Bid Deposit Escrow.....	(287,470)	6,671,474	6,413,903	(29,899)
Employee Assistance Program.....	113	433	386	160
Division of Criminal Justice Services:				
Revenue Collection - FBI Fingerprint Fees.....	831,447	54,149,733	54,796,356	184,824

(*) At the time of publishing, some accounts remain unfiled.

(**) These accounts are administered by a fiscal agent on behalf of New York State.

SOLE CUSTODY FUNDS AND ACCOUNTS
 STATEMENT OF RECEIPTS AND DISBURSEMENTS
 FISCAL YEAR ENDED MARCH 31, 2016

EXHIBIT C-4
 (continued)

<u>AGENCY FUNDS (continued)</u>	<u>CASH AND INVESTMENT BALANCES APRIL 1, 2015</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>CASH AND INVESTMENT BALANCES MARCH 31, 2016</u>
Higher Education Services Corporation:				
Guaranteed Student Loans.....	107,259,665	994,548,832	986,401,070	115,407,427
NYS Gaming Commission:				
Lottery Prize Payment.....	1,602,500,676	1,256,003,220	1,343,289,237	1,515,214,659
Office for People with Developmental Disabilities:				
Patients' Account(*).....	27,574,235	118,473,632	117,378,194	28,669,673
Office of Court Administration:				
Judiciary Trust(*).....	6,906,555	40,210,197	40,164,665	6,952,087
Office of Employee Relations:				
Flex Spending Account.....	12,307,640	39,592,552	39,747,101	12,153,091
Arbitrator Panel Administration.....	16,230	11,039	21,039	6,230
Office of Mental Health:				
Patients' Account(*).....	9,042,815	31,743,500	31,790,948	8,995,367
Employee Benefits and Escrow.....	114,414	23,493	60,624	77,283
Office of Parks, Recreation, and Historic Preservation:				
Miscellaneous Agency Fund.....	301,432	114,547	107,664	308,315
Office of the State Comptroller:				
Abandoned Property.....	208,954,119	783,549,878	939,022,704	53,481,293
City of Troy Debt Service Fund.....	7,342,200	32,103,092	32,162,092	7,283,200
City of Troy MAC.....	-	28,497,884	28,497,884	-
Comptroller's Refund.....	104,000,095	2,508,769,310	2,485,414,574	127,354,831
Exchange.....	19,415	2,072,072	2,012,898	78,589
Income Tax Holding - Localities Share.....	-	11,097,501,292	11,097,501,292	-
Justice Court.....	70,595,994	158,852,551	188,567,987	40,880,558
New York City Debt Service Fund(*).....	-	24,626,289,424	24,599,786,375	26,503,049
Public Asset Fund.....	8,973,446	17,684	18,103	8,973,027
Sales Tax Holding - Localities Share.....	1,389,297,832	14,992,879,625	15,014,373,448	1,367,804,009
Transfer Tax Securities.....	-	-	-	-
Office of Temporary and Disability Assistance:				
Exchange Account.....	1,132	21,833	22,371	594
Title IV-D Child Support.....	10,745,910	83,560,280	86,474,511	7,831,679
State University:				
Miscellaneous Agency Fund.....	723,656	1,685,705	1,575,296	834,065
Workers' Compensation Board:				
Group Self Insurers in Default Disbursement Account.....	-	20,157,618	20,157,618	-
Safe Depository.....	3,188,717,332	332,005,906	188,153,732	3,332,569,506
Self-Insured Fund.....	1,718,904	256,705,528	257,256,138	1,168,294
Total Agency Funds.....	7,104,481,077	63,657,026,243	63,779,805,027	6,981,702,293
TOTAL SOLE CUSTODY FUNDS and ACCOUNTS.....	\$ 31,340,938,981	\$ 187,996,029,971	\$ 187,674,387,833	\$ 31,662,581,119

(*) At the time of publishing, some accounts remain unfiled.

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SCHEDULE OF NET TAX RECEIPTS
FISCAL YEAR ENDED MARCH 31, 2016
(Amounts in thousands)

SCHEDULE 1

	GROSS RECEIPTS	REFUNDS	NET TAX RECEIPTS	
			2015-16	2014-15
PERSONAL INCOME TAX.....	\$ 55,924,824	\$ 8,869,541	\$ 47,055,283	\$ 43,709,833
CONSUMPTION/USE TAXES:				
Sales and Use.....	13,491,058	131,738	13,359,320	12,991,656
Auto Rental.....	126,076	-	126,076	119,093
Cigarette and Tobacco Products.....	1,304,454	53,842	1,250,612	1,313,758
Medical Marihuana.....	11	-	11	-
Motor Fuel.....	521,919	18,851	503,068	486,955
Alcoholic Beverage.....	254,551	3	254,548	250,859
Highway Use.....	160,392	1,830	158,562	140,400
MCTD Taxicab Trip.....	73,275	129	73,146	82,263
Total Consumption/Use Taxes.....	15,931,736	206,393	15,725,343	15,384,984
BUSINESS TAXES:				
Corporation Franchise.....	5,787,689	1,260,370	4,527,319	3,547,992
Corporation and Utility.....	787,654	13,802	773,852	727,294
Insurance.....	1,594,112	14,041	1,580,071	1,532,832
Bank.....	(49,061)	72,289	(121,350)	1,536,194
Petroleum Business.....	1,165,258	41,407	1,123,851	1,158,332
Lubricating Oil.....	-	-	-	1
Total Business Taxes.....	9,285,652	1,401,909	7,883,743	8,502,645
OTHER TAXES:				
Real Property Gains.....	10	-	10	39
Estate and Gift.....	1,597,558	76,766	1,520,792	1,108,530
Pari-Mutuel.....	17,182	-	17,182	18,038
Real Estate Transfer.....	1,163,763	703	1,163,060	1,037,880
Racing and Exhibitions.....	1,425	-	1,425	1,128
MCTD Mobility Tax.....	1,331,770	25,535	1,306,235	1,271,303
Total Other Taxes.....	4,111,708	103,004	4,008,704	3,436,918
TOTAL TAX RECEIPTS.....	\$ 85,253,920	\$ 10,580,847	\$ 74,673,073	\$ 71,034,380

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
COMBINED STATEMENT OF RECEIPTS AND OTHER FINANCING SOURCES
FISCAL YEAR ENDED MARCH 31, 2016
(Amounts in thousands)**

SCHEDULE 2

	GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS	
	MARCH 31, 2016	MARCH 31, 2015	MARCH 31, 2016	MARCH 31, 2015	MARCH 31, 2016	MARCH 31, 2015	MARCH 31, 2016	MARCH 31, 2015	MARCH 31, 2016	MARCH 31, 2015
TAXES:										
PERSONAL INCOME TAX:										
Withholding.....	\$ 36,549,038	\$ 34,906,793	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 36,549,038	\$ 34,906,793
Estimated Payments.....	16,111,218	13,743,147	-	-	-	-	-	-	16,111,218	13,743,147
Returns.....	2,653,707	2,260,045	-	-	-	-	-	-	2,653,707	2,260,045
State/City Offsets.....	(675,293)	(590,752)	-	-	-	-	-	-	(675,293)	(590,752)
Other (Assessments/LLC).....	1,286,154	1,338,284	-	-	-	-	-	-	1,286,154	1,338,284
Gross Receipts.....	55,924,824	51,657,517	-	-	-	-	-	-	55,924,824	51,657,517
Transfers to School Tax Relief Fund.....	(3,334,700)	(3,296,950)	3,334,700	3,296,950	-	-	-	-	-	-
Transfers to Revenue Bond Tax Fund.....	(11,763,821)	(10,927,458)	-	-	11,763,821	10,927,458	-	-	-	-
Less: Refunds Issued.....	(8,869,541)	(7,947,684)	-	-	-	-	-	-	(8,869,541)	(7,947,684)
Total Personal Income Tax.....	31,956,762	29,485,425	3,334,700	3,296,950	11,763,821	10,927,458	-	-	47,055,283	43,709,833
CONSUMPTION/USE TAXES:										
Sales and Use.....	6,242,590	6,084,312	874,211	854,208	6,242,519	6,053,136	-	-	13,359,320	12,991,656
Auto Rental.....	-	-	47,012	45,048	-	-	79,064	74,045	126,076	119,093
Cigarette and Tobacco Products.....	322,233	355,372	928,379	958,386	-	-	-	-	1,250,612	1,313,758
Medical Marihuana.....	-	-	11	-	-	-	-	-	11	-
Motor Fuel.....	-	-	104,993	100,880	-	-	398,075	386,075	503,068	486,955
Alcoholic Beverage.....	254,548	250,859	-	-	-	-	-	-	254,548	250,859
Highway Use.....	-	-	-	-	-	-	158,562	140,400	158,562	140,400
MCTD Taxicab Trip.....	-	-	73,146	82,263	-	-	-	-	73,146	82,263
Total Consumption/Use Taxes.....	6,819,371	6,690,543	2,027,752	2,040,785	6,242,519	6,053,136	635,701	600,520	15,725,343	15,384,984
BUSINESS TAXES:										
Corporation Franchise.....	3,762,975	2,989,984	764,344	558,008	-	-	-	-	4,527,319	3,547,992
Corporation and Utility.....	593,850	576,468	165,427	141,314	-	-	14,575	9,512	773,852	727,294
Insurance.....	1,419,437	1,374,977	160,634	157,855	-	-	-	-	1,580,071	1,532,832
Bank.....	(128,954)	1,323,377	7,604	212,817	-	-	-	-	(121,350)	1,536,194
Petroleum Business.....	-	-	498,947	514,576	-	-	624,904	643,756	1,123,851	1,158,332
Lubricating Oil.....	-	1	-	-	-	-	-	-	-	1
Total Business Taxes.....	5,647,308	6,264,807	1,596,956	1,584,570	-	-	639,479	653,268	7,883,743	8,502,645
OTHER TAXES:										
Real Property Gains.....	10	39	-	-	-	-	-	-	10	39
Estate and Gift.....	1,520,792	1,108,530	-	-	-	-	-	-	1,520,792	1,108,530
Pari-Mutuel.....	17,182	18,038	-	-	-	-	-	-	17,182	18,038
Real Estate Transfer.....	-	-	-	-	1,043,960	918,780	119,100	119,100	1,163,060	1,037,880
Racing and Exhibitions.....	1,425	1,128	-	-	-	-	-	-	1,425	1,128
MCTD Mobility Tax.....	-	-	1,306,235	1,271,303	-	-	-	-	1,306,235	1,271,303
Total Other Taxes.....	1,539,409	1,127,735	1,306,235	1,271,303	1,043,960	918,780	119,100	119,100	4,008,704	3,436,918
TOTAL TAX RECEIPTS.....	45,962,850	43,568,510	8,265,643	8,193,608	19,050,300	17,899,374	1,394,280	1,372,888	74,673,073	71,034,380
MISCELLANEOUS RECEIPTS (1).....	5,842,208	8,409,675	17,117,055	16,557,209	486,548	509,564	3,822,525	3,961,077	27,268,336	29,437,525
FEDERAL RECEIPTS.....	229	1,629	49,104,679	46,531,760	73,247	73,089	2,145,374	2,030,215	51,323,529	48,636,693
OTHER FINANCING SOURCES:										
Bond and Note Proceeds, net.....	-	-	-	-	-	-	-	161,343	-	161,343
Transfers from Other Funds.....	17,870,827	15,940,378	8,668,925	7,766,936	4,006,566	4,681,001	2,895,606	1,419,427	33,441,924	29,807,742
Total Other Financing Sources.....	17,870,827	15,940,378	8,668,925	7,766,936	4,006,566	4,681,001	2,895,606	1,580,770	33,441,924	29,969,085
TOTAL.....	\$ 69,676,114	\$ 67,920,192	\$ 83,156,302	\$ 79,049,513	\$ 23,616,661	\$ 23,163,028	\$ 10,257,785	\$ 8,944,950	\$ 186,706,862	\$ 179,077,683

STATE OF NEW YORK
GOVERNMENTAL FUNDS
COMBINED STATEMENT OF RECEIPTS AND OTHER FINANCING RESOURCES
FISCAL YEAR ENDED MARCH 31, 2016
(Amounts in thousands)

SCHEDULE 2
(continued)

(1) Miscellaneous Receipts in Governmental Funds include:

	GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS	
	MARCH 31, 2016	MARCH 31, 2015	MARCH 31, 2016	MARCH 31, 2015	MARCH 31, 2016	MARCH 31, 2015	MARCH 31, 2016	MARCH 31, 2015	MARCH 31, 2016	MARCH 31, 2015
Abandoned Property:										
Abandoned Property.....	\$ 527,477	\$ 651,894	\$ 11,389	\$ 11,966	\$ -	\$ -	\$ -	\$ -	\$ 538,866	\$ 663,860
Bottle Bill.....	79,695	80,411	-	-	-	-	23,000	23,000	102,695	103,411
Total Abandoned Property.....	607,172	732,305	11,389	11,966	-	-	23,000	23,000	641,561	767,271
Assessments:										
Business.....	250,000	1,000,024	780,333	800,934	-	-	100,045	94,460	1,130,378	1,895,418
Medical Care.....	85,977	96,770	5,240,677	4,992,906	-	444	-	-	5,326,654	5,090,120
Public Utilities.....	206,427	277,850	63,747	89,808	-	-	-	-	270,174	367,658
All Other.....	717	469	220,057	207,004	-	-	-	-	220,774	207,473
Total Assessments.....	543,121	1,375,113	6,304,814	6,090,652	-	444	100,045	94,460	6,947,980	7,560,669
Fees, Licenses and Permits:										
Alcohol Beverage Control Licensing.....	65,911	61,173	-	-	-	-	-	-	65,911	61,173
Audit.....	-	-	2,105	-	-	-	-	-	2,105	-
Business/Professional.....	186,224	184,811	1,279,925	1,145,090	7	5	33,256	54,166	1,499,412	1,384,072
Civil.....	222,050	215,121	54,284	51,075	-	-	-	(3)	276,334	266,193
Criminal.....	553	663	9,629	8,132	-	-	-	-	10,182	8,795
Motor Vehicle.....	193,543	191,077	481,866	464,708	-	-	681,811	639,259	1,357,220	1,295,044
Recreational/Consumer.....	14,580	13,948	233,537	212,559	-	-	38,144	25,900	286,261	252,407
Total Fees, Licenses and Permits.....	682,861	666,793	2,061,346	1,881,564	7	5	753,211	719,322	3,497,425	3,267,684
Fines, Penalties and Forfeitures.....	2,374,866	4,890,958	480,618	123,861	-	-	22,204	20,219	2,877,688	5,035,038
Gaming:										
Casino.....	-	-	232,701	170,745	-	-	-	-	232,701	170,745
Lottery.....	-	-	2,504,086	2,304,188	-	-	-	-	2,504,086	2,304,188
Video Lottery.....	-	-	967,139	910,583	-	-	-	-	967,139	910,583
Total Gaming.....	-	-	3,703,926	3,385,516	-	-	-	-	3,703,926	3,385,516
Interest Earnings.....	13,330	3,567	32,928	26,865	254	206	981	1,021	47,493	31,659

STATE OF NEW YORK
GOVERNMENTAL FUNDS
COMBINED STATEMENT OF RECEIPTS AND OTHER FINANCING RESOURCES
FISCAL YEAR ENDED MARCH 31, 2016
(Amounts in thousands)

SCHEDULE 2
(continued)

	GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS	
	MARCH 31, 2016	MARCH 31, 2015	MARCH 31, 2016	MARCH 31, 2015	MARCH 31, 2016	MARCH 31, 2015	MARCH 31, 2016	MARCH 31, 2015	MARCH 31, 2016	MARCH 31, 2015
Receipts from Public Authorities:										
Bond Proceeds.....	-	-	22,000	22,000	-	-	2,879,095	3,025,976	2,901,095	3,047,976
Cost Recovery Assessments.....	17,570	13,873	20,400	20,400	-	-	-	-	37,970	34,273
Issuance Fees.....	83,941	96,167	7,200	7,200	-	-	-	-	91,141	103,367
Non Bond Related.....	49,219	23,421	13,067	15,619	-	-	5,397	11,371	67,683	50,411
Total Receipts from Public Authorities..	150,730	133,461	62,667	65,219	-	-	2,884,492	3,037,347	3,097,889	3,236,027
Receipts from Municipalities.....	48	149	139,850	105,949	5,490	8,550	1,987	7,293	147,375	121,941
Rentals.....	5,277	5,808	331,742	328,453	-	-	7,832	8,918	344,851	343,179
Revenues of State Departments:										
Administrative Recoveries.....	78,744	99,484	123,595	89,634	-	-	12	-	202,351	189,118
Commissions.....	2	21	14,585	18,046	1	2	5	17	14,593	18,086
Gifts, Grants and Donations.....	109	(18)	4,451	4,354	-	-	4,156	21,165	8,716	25,501
Indirect Cost Recoveries.....	118,826	131,488	4,884	862	-	-	-	-	123,710	132,350
Patient/Client Care Reimbursement.....	-	-	1,114,629	1,959,674	480,658	500,216	-	-	1,595,287	2,459,890
Rebates.....	6,624	5,962	146,418	144,819	-	-	169	17	153,211	150,798
Restitution and Settlements.....	1,229,340	313,919	69,249	(44,791)	-	-	3,584	6,329	1,302,173	275,457
Student Loans.....	50	59	105,778	132,881	-	-	-	-	105,828	132,940
All Other.....	27,464	51,264	52,031	31,143	-	-	19,630	11,732	99,125	94,139
Total Revenues of State Departments..	1,461,159	602,179	1,635,620	2,336,622	480,659	500,218	27,556	39,260	3,604,994	3,478,279
Sales.....	3,644	(658)	17,797	13,002	138	141	1,217	10,237	22,796	22,722
Tuition.....	-	-	2,334,358	2,187,540	-	-	-	-	2,334,358	2,187,540
TOTAL MISCELLANEOUS RECEIPTS.....	\$ 5,842,208	\$ 8,409,675	\$ 17,117,055	\$ 16,557,209	\$ 486,548	\$ 509,564	\$ 3,822,525	\$ 3,961,077	\$ 27,268,336	\$ 29,437,525

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
SCHEDULE OF TAX RECEIPTS
FISCAL YEARS 2006-07 THRU 2015-16
(Amounts in thousands)**

SCHEDULE 3

	2006-07	2007-08	2008-09	2009-10	2010-11	2011-12	2012-13	2013-14	2014-15	2015-16
PERSONAL INCOME TAX:										
Withholding.....	\$ 26,802,004	\$ 28,440,136	\$ 27,686,159	\$ 29,443,180	\$ 31,240,169	\$ 31,198,971	\$ 31,957,653	\$ 33,367,555	\$ 34,906,793	\$ 36,549,038
Estimated.....	10,354,960	11,640,484	12,689,974	9,028,060	9,735,271	11,628,433	12,192,942	14,637,177	13,743,147	16,111,218
Returns.....	2,123,011	2,222,407	2,718,295	1,877,109	2,022,248	2,174,476	2,192,787	2,415,648	2,260,045	2,653,707
State/City Offsets.....	(521,965)	(479,235)	(474,835)	61,952	(100,179)	(365,944)	(309,012)	(615,003)	(590,752)	(675,293)
Other (Assessments/LLC).....	810,275	867,528	916,677	1,045,288	1,004,746	1,028,586	1,099,268	1,154,668	1,338,284	1,286,154
Gross Total.....	39,568,285	42,691,320	43,536,270	41,455,589	43,902,255	45,664,522	47,133,638	50,960,045	51,657,517	55,924,824
Less: Refunds Issued.....	(4,988,294)	(6,127,371)	(6,696,250)	(6,704,208)	(7,693,040)	(6,896,695)	(6,906,923)	(7,999,270)	(7,947,684)	(8,869,541)
Net Personal Income Tax.....	34,579,991	36,563,949	36,840,020	34,751,381	36,209,215	38,767,827	40,226,715	42,960,775	43,709,833	47,055,283
CONSUMPTION/USE TAXES:										
Sales and Use	10,738,453	11,295,888	10,985,324	10,527,492	11,537,852	11,874,582	11,989,290	12,587,660	12,991,656	13,359,320
Auto Rental	45,495	46,973	60,702	76,109	95,027	104,017	109,050	113,979	119,093	126,076
Hotel/Motel	68	-	-	-	12	-	-	-	-	-
Cigarette and Tobacco Products	984,665	976,208	1,340,389	1,365,934	1,615,691	1,633,524	1,550,599	1,453,351	1,313,758	1,250,612
Medical Marihuana	-	-	-	-	-	-	-	-	-	11
Motor Fuel	513,389	524,934	503,937	506,910	516,145	501,610	492,464	473,156	486,955	503,068
Alcoholic Beverage	194,287	204,820	205,900	225,560	229,698	238,263	246,217	250,306	250,859	254,548
Beverage Container	16	32	-	-	-	-	-	-	-	-
Highway Use	152,670	147,956	140,907	137,247	129,162	132,129	145,008	136,223	140,400	158,562
MCTD Taxicab Trip.....	-	-	-	12,835	81,142	86,849	82,945	85,190	82,263	73,146
Total Consumption/Use Taxes.....	12,629,043	13,196,811	13,237,159	12,852,087	14,204,729	14,570,974	14,615,573	15,099,865	15,384,984	15,725,343
BUSINESS TAXES:										
Corporation Franchise	4,227,564	3,997,344	3,220,248	2,510,832	2,845,857	3,176,226	3,008,746	3,811,628	3,547,992	4,527,319
Corporation and Utility	820,263	801,406	863,351	953,670	813,646	796,540	894,491	797,293	727,294	773,852
Insurance	1,257,778	1,219,088	1,181,067	1,490,767	1,350,932	1,413,093	1,508,600	1,444,398	1,532,832	1,580,071
Bank	1,209,823	1,057,538	1,233,215	1,399,278	1,178,239	1,391,665	1,911,860	1,050,058	1,536,194	(121,350)
Petroleum Business	1,090,305	1,155,330	1,106,561	1,103,542	1,090,439	1,100,354	1,139,721	1,154,510	1,158,332	1,123,851
Lubricating Oil	2	1	1	3	2	-	2	1	1	-
Total Business Taxes.....	8,605,735	8,230,707	7,604,443	7,458,092	7,279,115	7,877,878	8,463,420	8,257,888	8,502,645	7,883,743
OTHER TAXES:										
Real Property Gains	447	567	86	(513)	(3)	-	209	(159)	39	10
Estate and Gift	1,053,384	1,037,532	1,165,247	866,377	1,219,248	1,078,531	1,014,863	1,238,321	1,108,530	1,520,792
Pari-Mutuel	20,810	23,580	22,301	18,818	17,039	17,197	17,416	16,821	18,038	17,182
Real Estate Transfer	1,022,094	1,020,669	701,164	493,050	580,101	610,048	756,355	911,352	1,037,880	1,163,060
Racing and Exhibitions	671	951	773	691	713	768	1,029	995	1,128	1,425
MCTD Mobility Tax.....	-	-	-	1,227,721	1,359,465	1,375,651	1,204,572	1,204,147	1,271,303	1,306,235
Total Other Taxes.....	2,097,406	2,083,299	1,889,571	2,606,144	3,176,563	3,082,195	2,994,444	3,371,477	3,436,918	4,008,704
TOTAL TAX RECEIPTS.....	\$ 57,912,175	\$ 60,074,766	\$ 59,571,193	\$ 57,667,704	\$ 60,869,622	\$ 64,298,874	\$ 66,300,152	\$ 69,690,005	\$ 71,034,380	\$ 74,673,073

STATE OF NEW YORK
GOVERNMENTAL FUNDS
COMBINING SCHEDULE OF TAX RECEIPTS
FISCAL YEAR ENDED MARCH 31, 2016
(Amounts in thousands)

SCHEDULE 4

	GENERAL	SPECIAL REVENUE					SCHOOL TAX RELIEF (20550-20599)
		DEDICATED MASS TRANSPORTATION TRUST (20850-20899)	HEALTH CARE REFORM ACT RESOURCES (20800-20849)	MASS TRANSPORTATION OPERATING ASSISTANCE (21400-21449)	MEDICAL MARIHUANA TRUST (23750-23799)	MTA FINANCIAL ASSISTANCE (23650-23699)	
STATE OPERATIONS ACCOUNT (10050-10099)							
PERSONAL INCOME TAX	\$ 31,956,762	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 3,334,700
CONSUMPTION/USE TAXES:							
Sales and Use.....	6,242,590	-	-	874,211	-	-	-
Auto Rental.....	-	-	-	-	-	47,012	-
Cigarette and Tobacco Products.....	322,233	-	928,379	-	-	-	-
Medical Marihuana.....	-	-	-	-	11	-	-
Motor Fuel.....	-	104,993	-	-	-	-	-
Alcoholic Beverage.....	254,548	-	-	-	-	-	-
Highway Use.....	-	-	-	-	-	-	-
MCTD Taxicab Trip.....	-	-	-	-	-	73,146	-
Total Consumption/Use Taxes	6,819,371	104,993	928,379	874,211	11	120,158	-
BUSINESS TAXES:							
Corporation Franchise.....	3,762,975	-	-	764,344	-	-	-
Corporation and Utility.....	593,850	-	-	165,427	-	-	-
Insurance.....	1,419,437	-	-	160,634	-	-	-
Bank.....	(128,954)	-	-	7,604	-	-	-
Petroleum Business.....	-	367,007	-	131,940	-	-	-
Lubricating Oil.....	-	-	-	-	-	-	-
Total Business Taxes	5,647,308	367,007	-	1,229,949	-	-	-
OTHER TAXES:							
Real Property Gains.....	10	-	-	-	-	-	-
Estate and Gift.....	1,520,792	-	-	-	-	-	-
Pari-Mutuel.....	17,182	-	-	-	-	-	-
Real Estate Transfer.....	-	-	-	-	-	-	-
Racing and Exhibitions.....	1,425	-	-	-	-	-	-
MCTD Mobility Tax.....	-	-	-	-	-	1,306,235	-
Total Other Taxes	1,539,409	-	-	-	-	1,306,235	-
TOTAL TAX RECEIPTS	\$ 45,962,850	\$ 472,000	\$ 928,379	\$ 2,104,160	\$ 11	\$ 1,426,393	\$ 3,334,700

STATE OF NEW YORK
GOVERNMENTAL FUNDS
COMBINING SCHEDULE OF TAX RECEIPTS
FISCAL YEAR ENDED MARCH 31, 2016
(Amounts in thousands)

SCHEDULE 4
(continued)

	DEBT SERVICE			CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS	
	CLEAN WATER / CLEAN AIR (40400-40449)	GENERAL DEBT SERVICE (40150-40199)	LOCAL GOVERNMENT ASSISTANCE TAX (40450-40499)	DEDICATED HIGHWAY AND BRIDGE TRUST (30050-30099)	ENVIRONMENTAL PROTECTION (30450-30499)	2015-16	2014-15
PERSONAL INCOME TAX.....	\$ -	\$ 11,763,821	\$ -	\$ -	\$ -	\$ 47,055,283	\$ 43,709,833
CONSUMPTION/USE TAXES:							
Sales and Use.....	-	3,121,259	3,121,260	-	-	13,359,320	12,991,656
Auto Rental.....	-	-	-	79,064	-	126,076	119,093
Cigarette and Tobacco Products.....	-	-	-	-	-	1,250,612	1,313,758
Medical Marihuana.....	-	-	-	-	-	11	-
Motor Fuel.....	-	-	-	398,075	-	503,068	486,955
Alcoholic Beverage.....	-	-	-	-	-	254,548	250,859
Highway Use.....	-	-	-	158,562	-	158,562	140,400
MCTD Taxicab Trip.....	-	-	-	-	-	73,146	82,263
Total Consumption/Use Taxes.....	-	3,121,259	3,121,260	635,701	-	15,725,343	15,384,984
BUSINESS TAXES:							
Corporation Franchise.....	-	-	-	-	-	4,527,319	3,547,992
Corporation and Utility.....	-	-	-	14,575	-	773,852	727,294
Insurance.....	-	-	-	-	-	1,580,071	1,532,832
Bank.....	-	-	-	-	-	(121,350)	1,536,194
Petroleum Business.....	-	-	-	624,904	-	1,123,851	1,158,332
Lubricating Oil.....	-	-	-	-	-	-	1
Total Business Taxes.....	-	-	-	639,479	-	7,883,743	8,502,645
OTHER TAXES:							
Real Property Gains.....	-	-	-	-	-	10	39
Estate and Gift.....	-	-	-	-	-	1,520,792	1,108,530
Pari-Mutuel.....	-	-	-	-	-	17,182	18,038
Real Estate Transfer.....	1,043,960	-	-	-	119,100	1,163,060	1,037,880
Racing and Exhibitions.....	-	-	-	-	-	1,425	1,128
MCTD Mobility Tax.....	-	-	-	-	-	1,306,235	1,271,303
Total Other Taxes.....	1,043,960	-	-	-	119,100	4,008,704	3,436,918
TOTAL TAX RECEIPTS.....	\$ 1,043,960	\$ 14,885,080	\$ 3,121,260	\$ 1,275,180	\$ 119,100	\$ 74,673,073	\$ 71,034,380

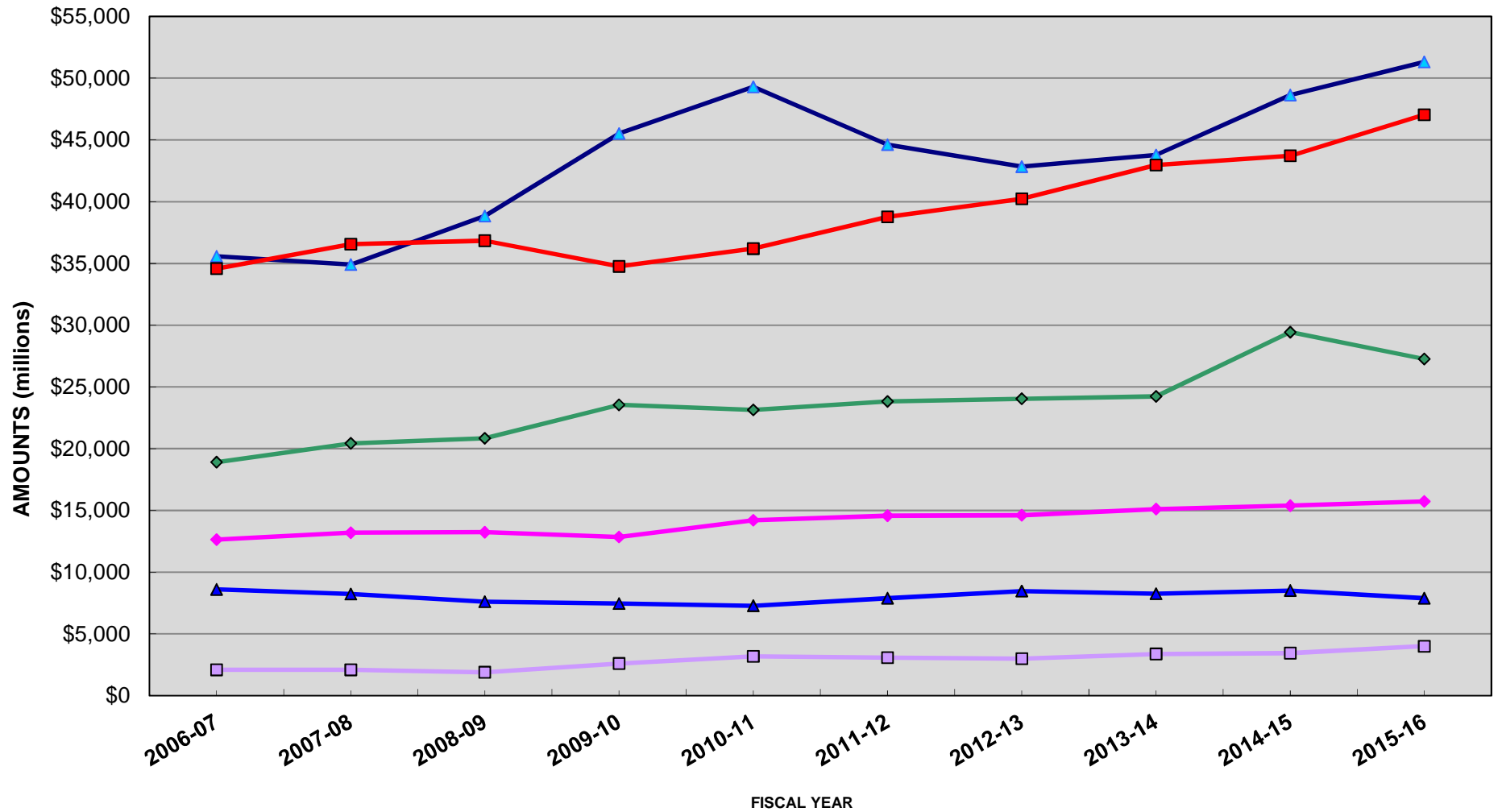
**STATE OF NEW YORK
GOVERNMENTAL FUNDS
STATE TAX RECEIPTS PER CAPITA (*)
FISCAL YEARS 2006-07 THRU 2015-16**

SCHEDULE 5

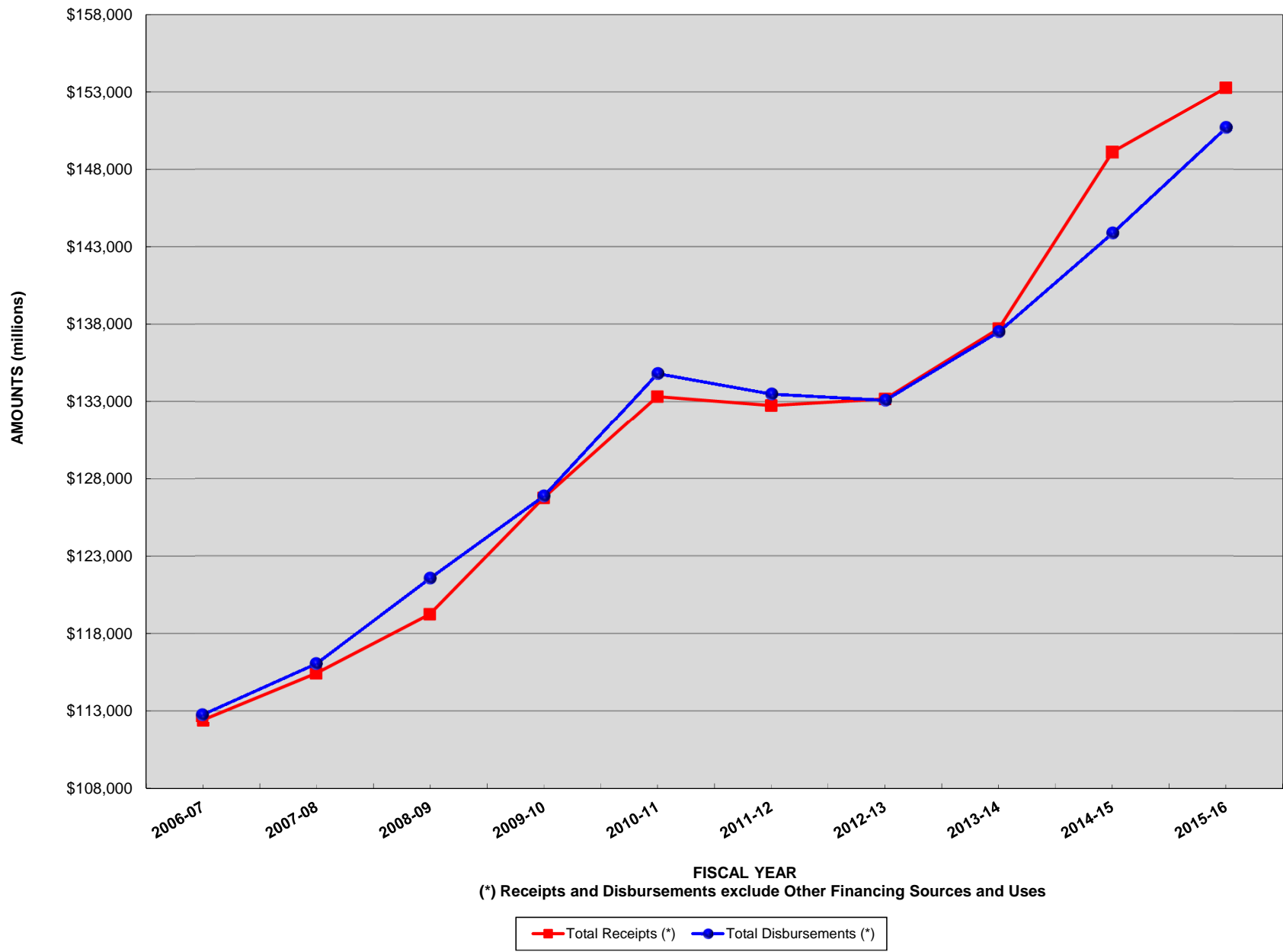
	2006-07	2007-08	2008-09	2009-10	2010-11	2011-12	2012-13	2013-14	2014-15	2015-16
PERSONAL INCOME TAX										
Millions of Dollars.....	\$ 34,580	\$ 36,564	\$ 36,840	\$ 34,751	\$ 36,209	\$ 38,768	\$ 40,227	\$ 42,961	\$ 43,710	\$ 47,055
Percentage of Total Taxes.....	59.71%	60.86%	61.84%	60.26%	59.48%	60.30%	60.67%	61.64%	61.53%	63.01%
Change from Prior Year.....	12.23%	5.74%	0.75%	-5.67%	4.20%	7.07%	3.76%	6.80%	1.70%	7.70%
Per Capita.....	1,792	1,885	1,889	1,782	1,866	1,988	2,052	2,181	2,219	2,377
CONSUMPTION/USE TAXES										
Millions of Dollars.....	12,629	13,197	13,237	12,852	14,205	14,571	14,616	15,100	15,385	15,725
Percentage of Total Taxes.....	21.81%	21.97%	22.22%	22.29%	23.34%	22.66%	22.04%	21.67%	21.66%	21.06%
Change from Prior Year.....	-3.55%	4.50%	0.30%	-2.91%	10.53%	2.58%	0.31%	3.31%	1.89%	2.21%
Per Capita.....	654	680	679	659	732	747	746	766	781	794
BUSINESS TAXES										
Millions of Dollars.....	8,606	8,231	7,604	7,458	7,279	7,878	8,463	8,258	8,502	7,884
Percentage of Total Taxes.....	14.86%	13.70%	12.76%	12.93%	11.96%	12.25%	12.77%	11.85%	11.97%	10.56%
Change from Prior Year.....	21.42%	-4.36%	-7.62%	-1.92%	-2.40%	8.23%	7.43%	-2.42%	2.95%	-7.27%
Per Capita.....	446	424	390	382	375	404	432	419	432	398
OTHER TAXES										
Millions of Dollars.....	2,097	2,083	1,890	2,606	3,177	3,082	2,994	3,371	3,437	4,009
Percentage of Total Taxes.....	3.62%	3.47%	3.18%	4.52%	5.22%	4.79%	4.52%	4.84%	4.84%	5.37%
Change from Prior Year.....	15.22%	-0.67%	-9.27%	37.88%	21.91%	-2.99%	-2.86%	12.59%	1.96%	16.64%
Per Capita.....	109	107	97	134	164	158	153	171	174	202
TOTAL TAXES										
Millions of Dollars.....	\$ 57,912	\$ 60,075	\$ 59,571	\$ 57,667	\$ 60,870	\$ 64,299	\$ 66,300	\$ 69,690	\$ 71,034	\$ 74,673
Percentage of Total Taxes.....	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%
Change from Prior Year.....	9.65%	3.73%	-0.84%	-3.20%	5.55%	5.63%	3.11%	5.11%	1.93%	5.12%
Per Capita.....	\$ 3,001	\$ 3,097	\$ 3,055	\$ 2,957	\$ 3,137	\$ 3,297	\$ 3,383	\$ 3,537	\$ 3,606	\$ 3,771

(*) Population estimates are based on current information published by the U.S. Census Bureau.

GOVERNMENTAL FUNDS - RECEIPTS TEN YEAR TREND ANALYSIS



**GOVERNMENTAL FUNDS - RECEIPTS TO DISBURSEMENTS
TEN YEAR TREND ANALYSIS**



**STATE OF NEW YORK
GOVERNMENTAL FUNDS
SCHEDULE OF RECEIPTS, DISBURSEMENTS
AND OTHER FINANCING SOURCES (USES)**

SCHEDULE 6

FISCAL YEARS 2006-07 THRU 2015-16

(Amounts in thousands)

	2006-07	2007-08	2008-09	2009-10	2010-11	2011-12	2012-13	2013-14	2014-15	2015-16
RECEIPTS:										
Personal Income Tax.....	\$ 34,579,991	\$ 36,563,949	\$ 36,840,020	\$ 34,751,381	\$ 36,209,215	\$ 38,767,827	\$ 40,226,715	\$ 42,960,775	\$ 43,709,833	\$ 47,055,283
Consumption/Use Taxes.....	12,629,043	13,196,811	13,237,159	12,852,087	14,204,729	14,570,974	14,615,573	15,099,865	15,384,984	15,725,343
Business Taxes.....	8,605,735	8,230,707	7,604,443	7,458,092	7,279,115	7,877,878	8,463,420	8,257,888	8,502,645	7,883,743
Other Taxes.....	2,097,406	2,083,299	1,889,571	2,606,144	3,176,563	3,082,195	2,994,444	3,371,477	3,436,918	4,008,704
Miscellaneous Receipts.....	18,905,393	20,438,905	20,830,802	23,556,702	23,148,031	23,836,115	24,030,850	24,233,835	29,437,525	27,268,336
Federal Receipts.....	35,579,052	34,909,118	38,833,165	45,523,753	49,303,727	44,609,856	42,842,927	43,789,256	48,636,693	51,323,529
Total Receipts.....	112,396,620	115,422,789	119,235,160	126,748,159	133,321,380	132,744,845	133,173,929	137,713,096	149,108,598	153,264,938
DISBURSEMENTS:										
Local Assistance Grants:										
Education.....	-	-	-	-	-	-	31,275,884	32,273,478	33,347,534	35,349,565
Environment and Recreation.....	-	-	-	-	-	-	452,989	455,295	315,309	321,479
General Government.....	-	-	-	-	-	-	1,190,751	1,369,339	1,358,364	1,573,960
Public Health:										
Medicaid.....	-	-	-	-	-	-	41,585,429	42,125,677	47,642,930	49,664,291
Other Public Health.....	-	-	-	-	-	-	5,812,015	5,788,874	5,154,862	6,873,429
Public Safety.....	-	-	-	-	-	-	1,802,631	2,123,277	2,718,169	2,229,061
Public Welfare.....	-	-	-	-	-	-	7,836,605	8,132,748	7,597,686	7,806,779
Support and Regulate Business.....	-	-	-	-	-	-	691,811	802,690	657,668	796,912
Transportation.....	-	-	-	-	-	-	5,052,837	5,497,814	5,931,129	5,698,151
General Purpose.....	1,187,014	935,006	1,219,661	1,252,195	1,036,641	956,091	-	-	-	-
Education.....	27,985,891	29,792,268	31,200,821	30,295,799	35,055,672	31,872,529	-	-	-	-
Medicaid.....	33,592,159	32,539,606	34,015,519	38,441,781	40,495,297	41,127,169	-	-	-	-
Other Social Services.....	6,334,245	6,820,511	7,287,230	7,637,529	7,550,948	7,614,837	-	-	-	-
Health and Environment.....	4,831,522	4,717,890	4,735,510	4,759,646	4,469,502	4,900,692	-	-	-	-
Mental Hygiene.....	1,589,307	1,879,826	1,990,080	1,900,194	2,003,238	1,982,597	-	-	-	-
Transportation.....	2,652,406	3,305,975	3,639,345	4,468,169	5,130,684	4,974,616	-	-	-	-
Criminal Justice, Emergency Management & Security Services and Miscellaneous.....	2,558,049	3,212,923	3,178,608	2,783,895	2,891,467	3,059,315	-	-	-	-
Total Local Assistance Grants.....	80,730,593	83,204,005	87,266,774	91,539,208	98,633,449	96,487,846	95,700,952	98,569,192	104,723,651	110,313,627
Departmental Operations:										
Personal Service.....	11,557,759	11,853,962	12,609,008	13,404,977	13,104,777	12,673,297	13,011,558	12,957,859	13,162,852	13,598,151
Non-Personal Service.....	5,963,793	6,360,433	6,357,831	6,025,211	5,979,320	6,347,746	6,170,765	6,803,869	6,977,664	6,974,097
General State Charges.....	5,222,838	5,475,911	5,325,321	5,733,604	6,361,086	6,854,416	6,675,439	7,279,909	7,337,109	7,739,187
Debt Service, Including Payments on Financing Agreements.....	4,450,737	4,104,000	4,529,667	4,961,470	5,614,669	5,864,022	6,137,929	6,399,696	6,182,817	5,598,485
Capital Projects.....	4,838,189	5,060,148	5,483,202	5,212,997	5,131,630	5,276,540	5,400,190	5,515,961	5,506,585	6,484,930
Total Disbursements.....	112,763,909	116,058,459	121,571,803	126,877,467	134,824,931	133,503,867	133,096,833	137,526,486	143,890,678	150,708,477
Excess (Deficiency) of Receipts over Disbursements.....	(367,289)	(635,670)	(2,336,643)	(129,308)	(1,503,551)	(759,022)	77,096	186,610	5,217,920	2,556,461
OTHER FINANCING SOURCES (USES):										
Bond and Note Proceeds, net.....	181,243	269,234	456,513	448,267	525,154	352,069	433,631	-	161,343	-
Transfers from Other Funds.....	20,218,489	21,878,260	26,313,008	26,200,947	27,605,704	26,540,430	26,902,629	30,592,764	29,807,742	33,441,924
Transfers to Other Funds.....	(20,246,813)	(21,878,933)	(26,333,089)	(26,245,557)	(27,675,129)	(26,585,488)	(26,897,343)	(30,621,199)	(29,866,485)	(33,543,814)
Investment.....	-	-	-	-	-	-	-	-	-	(5)
Total Other Financing Sources (Uses).....	152,919	268,561	436,432	403,657	455,729	307,011	438,917	(28,435)	102,600	(101,895)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	(214,370)	(367,109)	(1,900,211)	274,349	(1,047,822)	(452,011)	516,013	158,175	5,320,520	2,454,566
Beginning Fund Balances.....	7,067,492	6,853,122	6,486,013	4,585,802	4,860,151	3,812,329	3,360,318	3,876,331	4,034,506	9,355,555
Ending Fund Balances.....	\$ 6,853,122	\$ 6,486,013	\$ 4,585,802	\$ 4,860,151	\$ 3,812,329	\$ 3,360,318	\$ 3,876,331	\$ 4,034,506	\$ 9,355,026	\$ 11,810,121

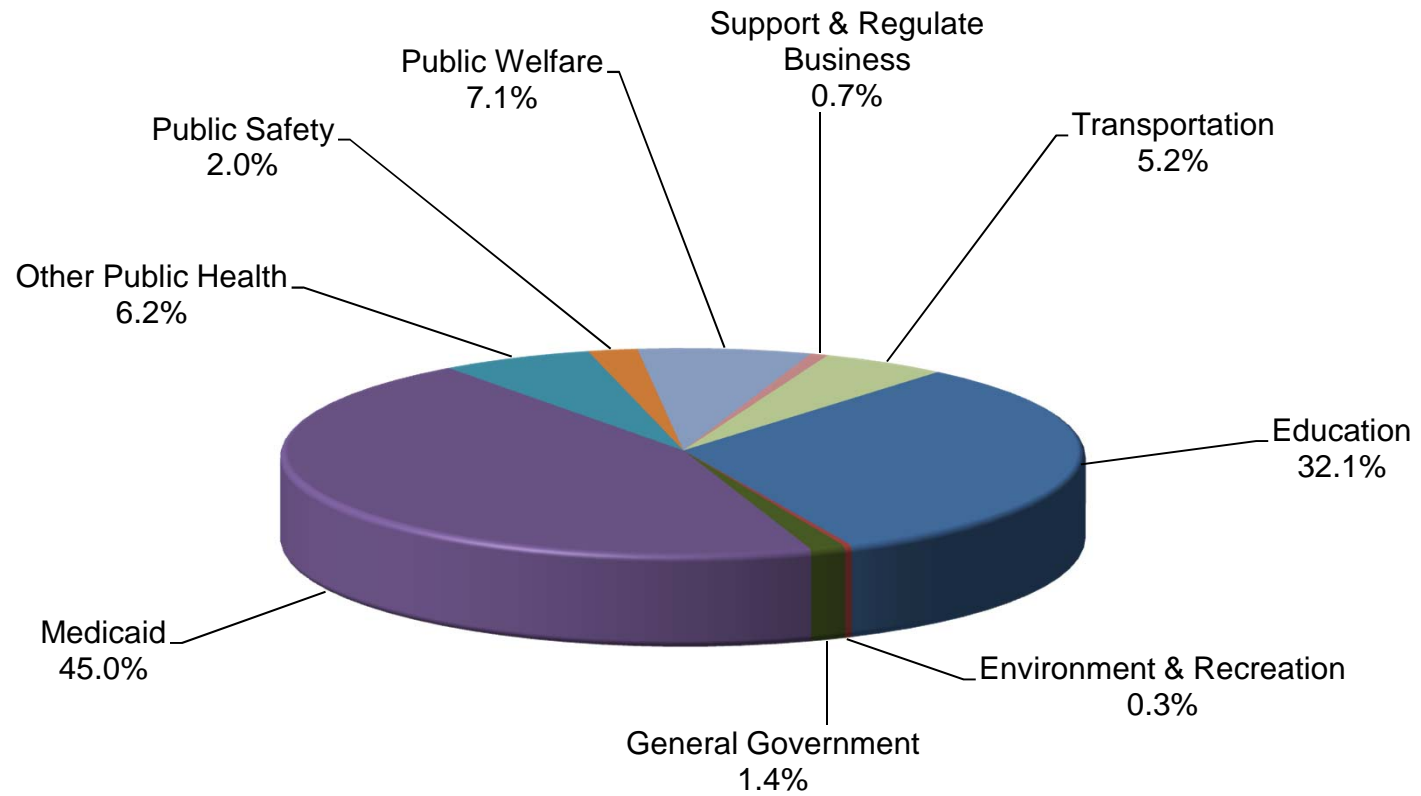
STATE OF NEW YORK
GOVERNMENTAL FUNDS
STATE OPERATING FUNDS
SCHEDULE OF RECEIPTS, DISBURSEMENTS
AND OTHER FINANCING SOURCES (USES)

SCHEDULE 6
SUPPLEMENTAL

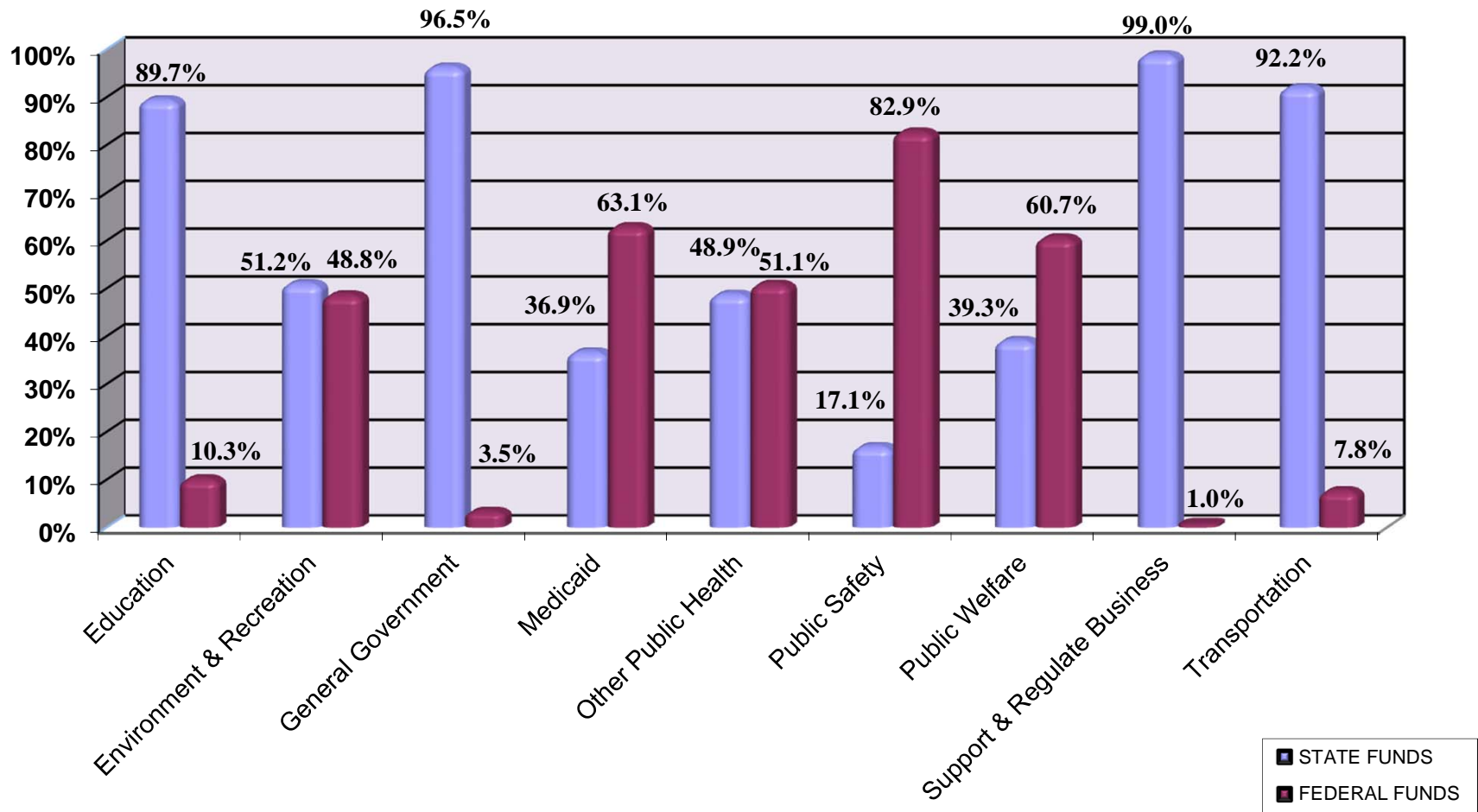
FISCAL YEARS 2006-07 THRU 2015-16
(Amounts in thousands)

	2006-07	2007-08	2008-09	2009-10	2010-11	2011-12	2012-13	2013-14	2014-15	2015-16
RECEIPTS:										
Personal Income Tax.....	\$ 34,579,991	\$ 36,563,949	\$ 36,840,020	\$ 34,751,381	\$ 36,209,215	\$ 38,767,827	\$ 40,226,715	\$ 42,960,775	\$ 43,709,833	\$ 47,055,283
Consumption/Use Taxes.....	12,025,086	12,587,210	12,637,239	12,262,016	13,607,810	13,977,493	14,013,281	14,518,137	14,784,464	15,089,642
Business Taxes.....	7,984,740	7,574,827	6,973,138	6,825,949	6,656,770	7,252,986	7,815,260	7,603,524	7,849,377	7,244,264
Other Taxes.....	1,950,406	1,871,299	1,652,571	2,406,844	3,057,463	2,963,095	2,875,344	3,252,377	3,317,818	3,889,604
Miscellaneous Receipts.....	15,911,011	16,952,899	17,080,968	19,516,108	19,148,276	19,515,006	20,000,668	20,521,164	25,300,841	23,254,991
Federal Receipts.....	151,754	69,003	44,773	84,314	112,070	139,961	140,547	71,420	74,718	73,548
Total Receipts.....	72,602,988	75,619,187	75,228,709	75,846,612	78,791,604	82,616,368	85,071,815	88,927,397	95,037,051	96,607,332
DISBURSEMENTS:										
Local Assistance Grants:										
Education.....	-	-	-	-	-	-	28,003,547	28,566,137	30,130,698	31,662,758
Environment and Recreation.....	-	-	-	-	-	-	7,814	10,465	9,539	12,174
General Government.....	-	-	-	-	-	-	1,096,762	1,249,088	1,192,301	1,215,379
Public Health:										
Medicaid.....	-	-	-	-	-	-	17,591,758	17,436,669	18,019,396	18,314,157
Other Public Health.....	-	-	-	-	-	-	3,762,685	3,656,417	3,352,872	3,265,481
Public Safety.....	-	-	-	-	-	-	386,785	295,356	331,569	283,224
Public Welfare.....	-	-	-	-	-	-	3,049,037	3,085,434	2,829,894	2,935,571
Support and Regulate Business.....	-	-	-	-	-	-	376,466	380,256	351,665	219,285
Transportation.....	-	-	-	-	-	-	4,306,745	4,722,567	4,834,029	4,745,229
General Purpose.....	1,187,014	935,006	1,219,661	1,252,195	1,036,641	956,091	-	-	-	-
Education.....	24,474,807	26,579,930	27,819,469	26,072,535	28,926,703	27,094,238	-	-	-	-
Medicaid.....	13,462,273	13,086,383	12,417,963	12,255,062	13,149,970	16,489,870	-	-	-	-
Other Social Services.....	2,611,471	3,118,081	2,876,392	3,025,196	2,809,578	2,908,092	-	-	-	-
Health and Environment.....	3,763,907	3,415,389	3,293,798	3,280,635	2,635,388	2,968,850	-	-	-	-
Mental Hygiene.....	1,351,095	1,595,957	1,702,263	1,668,378	1,669,180	1,731,350	-	-	-	-
Transportation.....	2,300,832	2,825,426	2,982,101	3,823,477	4,253,827	4,229,733	-	-	-	-
Criminal Justice, Emergency Management & Security Services and Miscellaneous.....	1,646,538	2,184,870	2,197,883	946,101	813,359	889,489	-	-	-	-
Total Local Assistance Grants.....	50,797,937	53,741,042	54,509,530	52,323,579	55,294,646	57,267,713	58,581,599	59,402,389	61,051,963	62,653,258
Departmental Operations:										
Personal Service.....	11,008,753	11,291,519	11,981,404	12,748,344	12,422,427	12,039,972	12,402,450	12,300,482	12,549,597	12,980,751
Non-Personal Service.....	5,236,509	5,616,197	5,491,187	5,114,447	4,965,584	5,410,795	5,280,742	5,563,854	5,607,541	5,601,777
General State Charges.....	5,003,594	5,259,763	5,104,907	5,500,551	6,101,494	6,592,416	6,436,100	6,957,594	7,033,234	7,452,462
Debt Service, Including Payments on Financing Agreements.....	4,450,737	4,104,000	4,529,667	4,961,470	5,614,669	5,864,022	6,137,929	6,399,696	6,182,817	5,598,485
Capital Projects.....	9,491	7,474	8,832	11,040	18,571	6,428	4,025	6,929	1,322	1,749
Total Disbursements.....	76,507,021	80,019,995	81,625,527	80,659,431	84,417,391	87,181,346	88,842,845	90,630,944	92,426,474	94,288,482
Excess (Deficiency) of Receipts over Disbursements.....	(3,904,033)	(4,400,808)	(6,396,818)	(4,812,819)	(5,625,787)	(4,564,978)	(3,771,030)	(1,703,547)	2,610,577	2,318,850
OTHER FINANCING SOURCES (USES):										
Bond and Note Proceeds, net.....	-	-	-	-	-	-	-	-	-	-
Transfers from Other Funds.....	20,360,432	22,126,619	26,336,470	26,180,182	27,218,099	26,274,216	26,404,073	29,481,642	28,850,719	31,042,573
Transfers to Other Funds.....	(16,913,610)	(18,170,423)	(21,800,884)	(21,433,944)	(22,433,710)	(21,831,104)	(22,120,550)	(27,348,419)	(26,360,135)	(30,611,048)
Investments.....	-	-	-	-	-	-	-	-	-	(5)
Total Other Financing Sources (Uses).....	3,446,822	3,956,196	4,535,586	4,746,238	4,784,389	4,443,112	4,283,523	2,133,223	2,490,584	431,520
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	(457,211)	(444,612)	(1,861,232)	(66,581)	(841,398)	(121,866)	512,493	429,676	5,101,161	2,750,370
Beginning Fund Balances.....	7,639,842	7,182,631	6,738,019	4,876,787	4,810,206	3,968,808	3,846,942	4,359,435	4,789,111	9,890,801
Ending Fund Balances.....	\$ 7,182,631	\$ 6,738,019	\$ 4,876,787	\$ 4,810,206	\$ 3,968,808	\$ 3,846,942	\$ 4,359,435	\$ 4,789,111	\$ 9,890,272	\$ 12,641,171

**GOVERNMENTAL FUNDS
LOCAL ASSISTANCE GRANTS
FISCAL YEAR ENDED MARCH 31, 2016**



**GOVERNMENTAL FUNDS
LOCAL ASSISTANCE GRANTS BY FUNDING SOURCE
FISCAL YEAR ENDED MARCH 31, 2016**



STATE OF NEW YORK
 GOVERNMENTAL FUNDS
 LOCAL ASSISTANCE DISBURSEMENTS BY PROGRAM
 FISCAL YEAR ENDED MARCH 31, 2016

SCHEDULE 7

(Amounts in thousands)

	GENERAL	STATE SPECIAL REVENUE	FEDERAL SPECIAL REVENUE	STATE CAPITAL PROJECTS	FEDERAL CAPITAL PROJECTS	2015-16	2014-15
Education:							
Public School Aid.....	\$ 21,898,704	\$ 3,171,821	\$ 3,598,974	\$ 13,905	\$ -	\$ 28,683,404	\$ 26,554,311
Higher Ed.....	3,031,395	16,000	3,941	136	-	3,051,472	3,201,592
School Tax Relief (STAR).....	-	3,334,700	-	14,217	-	3,348,917	3,296,950
Other Education.....	203,557	6,581	55,634	-	-	265,772	294,681
Total Education.....	25,133,656	6,529,102	3,658,549	28,258	-	35,349,565	33,347,534
Environment and Recreation:							
Environmental Protection.....	4,153	-	-	152,359	153,982	310,494	307,263
Parks, Recreation and Historic Preservation..	3,267	4,754	2,955	9	-	10,985	8,046
Total Environment and Recreation.....	7,420	4,754	2,955	152,368	153,982	321,479	315,309
General Government:							
Local Government Aid.....	949,439	91,881	-	12,368	-	1,053,688	1,053,392
Judicial.....	2,451	112,204	-	-	-	114,655	107,429
All Other.....	58,765	639	55,158	291,055	-	405,617	197,543
Total General Government.....	1,010,655	204,724	55,158	303,423	-	1,573,960	1,358,364
Public Health:							
Medicaid.....	13,005,715	5,308,442	31,350,134	-	-	49,664,291	47,642,930
Mental Hygiene.....	5,489	1,471,368	156,304	59,853	-	1,693,014	1,704,799
Health.....	728,949	933,794	3,216,439	38,995	46,010	4,964,187	3,227,304
Aging.....	125,881	-	90,347	-	-	216,228	222,759
Total Public Health.....	13,866,034	7,713,604	34,813,224	98,848	46,010	56,537,720	52,797,792
Public Safety:							
Criminal Justice.....	142,431	116,638	54,658	-	-	313,727	298,337
Emergency Management Service.....	(13,799)	33,702	1,789,344	97,714	4,121	1,911,082	2,414,010
Prisons and Reformatories.....	4,252	-	-	-	-	4,252	5,822
Total Public Safety.....	132,884	150,340	1,844,002	97,714	4,121	2,229,061	2,718,169
Public Welfare:							
Welfare.....	2,898,743	2,456	4,568,797	-	-	7,469,996	7,274,000
Public Housing.....	22,133	295	16,366	129,381	-	168,175	169,282
Employment Services.....	11,886	58	156,664	-	-	168,608	154,404
Total Public Welfare.....	2,932,762	2,809	4,741,827	129,381	-	7,806,779	7,597,686
Support and Regulate Business:							
Commerce, Industry & Agriculture.....	119,567	19,032	7,716	569,911	-	716,226	434,192
Regulate Businesses.....	-	80,686	-	-	-	80,686	223,476
Total Support and Regulate Business.....	119,567	99,718	7,716	569,911	-	796,912	657,668
Transportation:							
Traffic Safety.....	-	-	16,610	-	-	16,610	16,799
Transportation.....	111,351	4,633,878	22,705	506,402	407,205	5,681,541	5,914,330
Total Transportation.....	111,351	4,633,878	39,315	506,402	407,205	5,698,151	5,931,129
Total.....	\$ 43,314,329	\$ 19,338,929	\$ 45,162,746	\$ 1,886,305	\$ 611,318	\$ 110,313,627	\$104,723,651

STATE OF NEW YORK
 ALL FUNDS BY FUND TYPE
 STATEMENT OF APPROPRIATION TRANSACTIONS (IN FORCE)
 BUDGET PERIOD ENDED MARCH 31, 2016

SCHEDULE 8

	APPROPRIATIONS IN FORCE APRIL 1, 2015	ADD: APPROPRIATIONS BY 2015 LEGISLATURE	LESS: APPROPRIATIONS LAPSED	AUTHORIZED BUDGET TRANSFERS (*)	OTHER 2015 LEGISLATIVE ACTIONS (**)	BUDGET PERIOD 2015-16 EXPENDITURES	BALANCE OF APPROPRIATIONS IN FORCE MARCH 31, 2016	BUDGET PERIOD 2015-16 DISBURSEMENTS
GOVERNMENTAL FUNDS:								
General Fund.....	\$ 76,347,860,004	\$ 108,310,339,635	\$ 46,756,284,146	\$ (366,472,983)	\$ 284,600,219	\$ 58,965,192,001	\$ 78,854,850,727	\$ 58,930,025,641
Special Revenue - Federal.....	164,114,840,215	97,869,460,900	18,478,150,238	(141,332,783)	-	49,083,290,920	194,281,527,175	49,087,632,243
Special Revenue - State.....	27,182,634,368	45,784,462,956	13,960,387,371	186,278,376	2,818,750	31,929,166,000	27,266,641,079	31,934,394,639
Debt Service.....	1,487,655,234	7,578,300,000	1,453,603,296	-	-	5,458,757,657	2,153,594,281	5,301,890,411
Capital Projects - Federal.....	8,159,959,224	2,286,000,000	2,454,686	141,527,390	-	2,313,875,357	8,271,156,571	2,313,875,493
Capital Projects - State.....	35,698,116,078	14,146,036,600	544,275,656	180,000,000	(76,977,000)	7,498,696,753	41,904,203,269	7,503,135,215
Special Emergency.....	1,250,000,000	1,250,000,000	-	-	-	-	2,500,000,000	-
TOTAL GOVERNMENTAL FUNDS.....	314,241,065,123	277,224,600,091	81,195,155,393	-	210,441,969	155,248,978,688	355,231,973,102	155,070,953,642
PROPRIETARY FUNDS:								
Enterprise.....	4,606,747,575	3,362,718,000	67,281,562	-	-	2,422,678,184	5,479,505,829	2,422,832,450
Internal Service.....	1,195,418,394	1,431,626,900	949,728,948	-	-	556,062,546	1,121,253,800	556,184,943
TOTAL PROPRIETARY FUNDS.....	5,802,165,969	4,794,344,900	1,017,010,510	-	-	2,978,740,730	6,600,759,629	2,979,017,393
FIDUCIARY FUNDS:								
Private Purpose Trust.....	1,412,840	1,836,000	1,390,339	-	-	451,596	1,406,905	451,596
Pension Trust.....	8,597,987	106,729,000	417,014	-	-	110,265,217	4,644,756	110,399,806
Agency.....	2,195,011,235	3,354,089,865	928,290,045	-	-	2,430,263,105	2,190,547,950	2,430,263,184
TOTAL FIDUCIARY FUNDS.....	2,205,022,062	3,462,654,865	930,097,398	-	-	2,540,979,918	2,196,599,611	2,541,114,586
GRAND TOTAL - ALL FUNDS.....	\$ 322,248,253,154	\$ 285,481,599,856	\$ 83,142,263,301	\$ -	\$ 210,441,969	\$ 160,768,699,336	\$ 364,029,332,342	\$ 160,591,085,621

(*) Authorized budget transfers represent those movements of appropriation authority provided in appropriation acts between different funds/agencies.

(**) Other legislative actions represent additional appropriations enacted outside of the Executive budget process (i.e., deficiency and supplemental appropriation bills) and increases or reductions by the Legislature to a prior year appropriation.

STATE OF NEW YORK
 ALL FUNDS BY BUSINESS UNIT
 STATEMENT OF APPROPRIATION TRANSACTIONS (IN FORCE)
 BUDGET PERIOD ENDED MARCH 31, 2016

SCHEDULE 9

	APPROPRIATIONS IN FORCE APRIL 1, 2015	ADD: APPROPRIATIONS BY 2015 LEGISLATURE	LESS: APPROPRIATIONS LAPSED	AUTHORIZED BUDGET TRANSFERS (*)	OTHER 2015 LEGISLATIVE ACTIONS (**)	BUDGET PERIOD 2015-16 EXPENDITURES	BALANCE OF APPROPRIATIONS IN FORCE MARCH 31, 2016	BUDGET PERIOD 2015-16 DISBURSEMENTS
GENERAL:								
Adirondack Park Agency.....	\$ 231,431	\$ 4,563,000	\$ 153,726	\$ 31,345	\$ -	\$ 4,297,270	\$ 374,780	\$ 4,297,270
Aging, State Office for the.....	50,108,274	131,949,000	3,841,758	49,000	-	128,405,939	49,858,577	128,410,939
Agriculture and Markets, Department of.....	31,653,289	64,865,000	1,786,186	2,624,824	-	63,039,115	34,317,812	62,965,119
Alcoholism and Substance Abuse Services, Office of.....	7,032,514	28,523,000	3,109,089	4,569,790	-	30,480,047	6,536,168	30,480,047
Arts, Council on the.....	5,944,599	45,174,000	730,860	-	-	41,819,795	8,567,944	41,819,795
Budget, Division of the.....	9,784,238	29,778,000	9,254,496	-	-	21,079,539	9,228,203	21,079,539
Children and Family Services, Office of.....	889,153,841	2,295,881,500	502,962,417	(5,101,974)	-	1,960,791,754	716,179,196	1,960,816,925
City University of New York.....	344,362,649	1,452,147,940	392,909	5,488,700	-	1,435,462,080	366,144,300	1,435,462,080
Civil Service, Department of.....	2,996,204	14,533,000	2,220,541	894,917	-	12,950,787	3,252,793	12,950,787
Correction, State Commission of.....	709,533	2,894,000	687,898	-	-	2,297,143	618,492	2,297,143
Corrections and Community Supervision, Department of.....	116,890,158	2,742,779,000	5,896,014	400,000	-	2,695,272,898	158,900,246	2,695,554,686
Criminal Justice Services, Division of.....	91,942,229	175,213,000	4,873,885	250,611	-	162,498,370	100,033,585	162,498,370
Disaster Aid.....	109,608,907	-	-	(59,694,616)	-	(51,870,681)	101,784,972	(51,870,681)
Economic Development, Department of.....	188,930,445	73,114,000	4,539,528	(6,000,000)	-	63,624,927	187,879,990	63,747,680
Education, State Department of.....	21,700,107,862	42,942,400,850	19,395,301,549	(96,118,216)	258,400,000	22,171,282,132	23,238,206,815	22,171,282,132
Elections, Board of.....	4,605,360	8,482,000	2,012,384	-	-	7,696,569	3,378,407	7,696,569
Employee Relations, Office of.....	63,266,949	42,482,000	804,073	(4,365,500)	-	27,869,092	72,710,284	27,868,638
Environmental Conservation, Department of.....	53,669,739	123,933,000	11,415,702	(439,762)	(506)	98,676,110	67,070,659	98,676,110
Executive Chamber.....	4,244,306	17,854,000	3,771,805	-	-	13,706,339	4,620,162	13,705,842
Financial Services, Department of.....	82,600	-	-	-	-	-	82,600	-
Franchise Oversight Board.....	1,653,560	-	-	-	-	4,837	1,648,723	4,837
General Services, Office of.....	16,435,559	156,730,000	7,508,061	1,410,885	-	146,201,722	20,866,661	146,201,722
Governor's Judicial Screening Committee.....	25,230	38,000	18,410	-	-	14,380	30,440	14,380
Greenway Heritage Conservancy.....	-	166,000	-	-	-	166,000	-	166,000
Health, Department of.....	43,479,665,002	36,775,657,754	20,958,386,900	(645,953,111)	4,500,000	14,789,130,399	43,866,352,346	14,789,349,476
Higher Education Services Corporation.....	455,496,905	1,112,339,000	35,872,637	-	-	1,009,144,073	522,819,195	1,009,145,942
Homeland Security and Emergency Services, Division of.....	591,126,446	158,600,000	3,519,225	107,500	-	(11,217,456)	757,532,177	(11,215,309)
Housing and Community Renewal, Division of.....	65,260,876	17,822,000	6,383,031	2,343,000	-	14,249,586	64,793,259	14,254,586
Hudson River Valley Greenways.....	908,098	321,000	112,470	-	-	184,364	932,264	184,364
Human Rights, Division of.....	1,715,907	12,010,000	1,527,325	90,000	-	10,262,690	2,025,892	10,262,690
Information Technology Services, Office of.....	3,163,994	513,167,000	1,237,840	(6,000,460)	-	505,948,021	9,076,673	505,948,801
Inspector General, Office of the State.....	207,353	6,794,000	177,237	499,000	-	7,061,149	261,967	7,061,149
Interest on Lawyer Account (IOLA).....	4,148,402	15,000,000	433,421	-	-	12,985,937	5,729,044	12,910,084
Joint Commission on Public Ethics.....	799,481	5,582,000	712,122	-	-	4,327,380	1,341,979	4,332,070
Judicial Conduct.....	239,287	5,584,000	101,704	-	-	5,567,273	154,310	5,567,273
Judicial Nomination.....	5,738	30,000	5,738	-	-	19,793	10,207	19,793
Justice Center for the Protection of People with Special Needs.....	9,065,335	41,855,000	5,871,738	(68,000)	-	37,194,058	7,786,539	37,209,488
Labor, Department of.....	25,237,717	12,965,000	1,778,496	22,270,507	-	12,354,541	46,340,187	12,354,541
Law, Department of.....	3,909,198	102,823,000	379,052	(349,373)	-	101,945,515	4,058,258	102,099,441
Legislature - Assembly.....	54,068,006	109,627,381	-	-	-	108,841,114	54,854,273	108,841,114
Legislature - Senate.....	68,899,505	107,942,785	903	-	-	104,956,447	71,884,940	105,009,338
Lieutenant Governor.....	363,725	904,635	349,045	-	-	760,270	159,045	760,270
Lottery, Division of.....	2,745	-	-	-	-	-	2,745	-
Medicaid Inspector General, Office of.....	2,347,313	21,893,000	1,255,020	-	-	20,616,377	2,368,916	20,618,840
Mental Health, Office of.....	63,622,040	394,778,000	51,463,802	23,929,801	-	304,734,821	126,131,218	304,734,821
Military and Naval Affairs, Division of.....	11,499,180	26,254,000	1,868,607	-	-	23,358,979	12,525,594	23,368,191
Miscellaneous State Agencies and Public Authorities.....	6,016,201,801	9,259,548,967	5,276,629,673	1,220,442,359	16,181,536	5,528,109,690	5,707,635,300	5,492,109,984
Motor Vehicles, Department of.....	7,859	-	-	-	-	-	7,859	-
National and Community Service.....	1,043,330	683,500	98,040	-	-	458,586	1,170,204	458,586
NYS Gaming Commission.....	-	6,971,000	-	-	-	5,607,905	1,363,095	5,607,905
Parks, Recreation and Historic Preservation, Office of.....	47,272,935	133,544,700	18,871,539	(190,250)	19,189	115,616,964	46,158,071	115,847,646
People With Developmental Disabilities, Office for.....	805,746,347	1,735,967,500	139,227,000	30,000	-	863,456,431	1,539,060,416	863,456,431
Prevention of Domestic Violence, Office for the.....	1,384,291	2,413,000	378,145	-	-	2,048,245	1,370,901	2,048,245
Public Benefit Corporations.....	472,313,990	260,577,178	195,180,262	6,000,000	-	84,424,031	459,286,875	84,424,031
Public Employment Relations Board.....	585,071	3,600,000	497,627	-	-	3,400,271	287,173	3,400,271
Public Service, Department of.....	6,200	-	-	-	-	-	6,200	-
State Comptroller, Office of the.....	4,418,363	159,370,000	1,742,346	-	-	158,553,316	3,492,701	158,875,505
State Police, Division of.....	14,817,222	614,402,000	1,295,552	40,529,000	4,500,000	652,219,720	20,732,950	652,278,548
State University of New York.....	71,426,718	1,911,841,500	4,790,000	(1,392,124,973)	1,000,000	561,357,620	25,995,625	561,357,620
State, Department of.....	41,547,282	25,759,000	5,252,461	750,092	-	27,143,038	36,660,875	27,244,439
Statewide Financial System.....	435,277	30,137,000	18,261	-	-	30,069,890	484,126	30,070,170
Tax Appeals, Division of.....	276,462	3,040,000	144,606	-	-	3,035,261	136,595	3,035,261

(*) Authorized budget transfers represent those movements of appropriation authority provided in appropriation acts between different funds/agencies.

(**) Other legislative actions represent additional appropriations enacted outside of the Executive budget process (i.e., deficiency and supplemental appropriation bills) and increases or reductions by the Legislature to a prior year appropriation.

STATE OF NEW YORK
 ALL FUNDS BY BUSINESS UNIT
 STATEMENT OF APPROPRIATION TRANSACTIONS (IN FORCE)
 BUDGET PERIOD ENDED MARCH 31, 2016

SCHEDULE 9
 (continued)

	APPROPRIATIONS IN FORCE APRIL 1, 2015	ADD: APPROPRIATIONS BY 2015 LEGISLATURE	LESS: APPROPRIATIONS LAPSED	AUTHORIZED BUDGET TRANSFERS (*)	OTHER 2015 LEGISLATIVE ACTIONS (**)	BUDGET PERIOD 2015-16 EXPENDITURES	BALANCE OF APPROPRIATIONS IN FORCE MARCH 31, 2016	BUDGET PERIOD 2015-16 DISBURSEMENTS
GENERAL (continued):								
Taxation and Finance, Department of.....	7,751,749	271,253,000	882,209	-	-	263,806,708	14,315,832	263,486,751
Temporary and Disability Assistance, Office of.....	238,895,825	1,429,669,000	70,635,711	526,500,698	-	1,935,343,968	189,085,844	1,935,344,026
Transportation, Department of.....	7,845,711	113,150,800	5,557	1,149,115	-	112,502,800	9,640,154	112,502,800
Unified Court System.....	72,523,217	2,532,196,645	6,161,891	(15,863,778)	-	2,504,484,914	78,209,279	2,504,173,837
Veterans' Affairs, Division of.....	8,013,565	16,815,000	1,623,957	-	-	12,921,915	10,282,693	12,921,460
Victim Services, Office of.....	4,241	2,788,000	-	-	-	1,870,289	921,952	1,870,289
Welfare Inspector General, Office of.....	150,819	1,162,000	131,705	(499,000)	-	568,944	113,170	568,944
TOTAL GENERAL.....	76,347,860,004	108,310,339,635	46,756,284,146	(366,472,983)	284,600,219	58,965,192,001	78,854,850,727	58,930,025,641
SPECIAL REVENUE - FEDERAL:								
Adirondack Park Agency.....	3,339,002	700,000	-	-	-	52,656	3,986,346	52,656
Aging, State Office for the.....	168,985,173	124,739,000	19,718,096	10,971,667	-	102,738,702	182,239,042	102,738,702
Agriculture and Markets, Department of.....	154,947,908	49,644,000	28,694,073	1,149,115	-	160,757,623	160,757,623	16,289,327
Alcoholism and Substance Abuse Services, Office of.....	176,636,194	141,170,000	38,278,775	1,600,955	-	136,345,149	144,783,225	136,345,149
Arts, Council on the.....	9,343,341	1,513,000	100,000	-	-	359,277	10,397,064	359,277
Children and Family Services, Office of.....	2,903,083,939	1,485,153,000	526,500,682	8,042,601	-	992,143,634	2,877,635,224	992,194,993
City University of New York.....	4,954,348	-	-	3,436,813	-	757,769	757,769	7,633,392
Corrections and Community Supervision, Department of.....	135,674,410	40,500,000	17,174,533	3,080,359	-	25,409,517	136,670,719	25,409,852
Criminal Justice Services, Division of.....	187,104,730	51,350,000	26,949,946	4,002,456	-	25,771,583	189,735,657	25,771,583
Developmental Disabilities Planning Council.....	8,113,914	4,750,000	416,477	-	-	3,386,789	9,060,648	3,386,335
Economic Development, Department of.....	13,207,404	8,000,000	-	-	-	8,308,127	12,899,277	8,308,127
Education, State Department of.....	9,187,906,316	4,735,044,000	188,763,125	(12,570,097)	-	3,905,941,146	9,815,675,948	3,905,941,161
Elections, Board of.....	43,516,887	-	-	-	-	4,528,634	38,988,253	4,528,634
Environmental Conservation, Department of.....	241,796,142	81,198,000	12,827,604	(335,827)	-	54,862,413	254,968,298	54,862,413
Financial Services, Department of.....	4,239,986	-	-	(657,237)	-	1,424,336	2,158,413	1,424,336
General Services, Office of.....	10,362,091	8,230,000	929,982	(7,773)	-	6,907,765	10,746,571	6,907,765
Health, Department of.....	126,133,480,367	84,764,730,000	16,776,473,279	(588,387,103)	-	36,382,511,639	157,150,838,346	36,386,524,461
Higher Education Services Corporation.....	10,253,501	11,009,000	947,606	(718,006)	-	6,528,411	13,068,478	6,528,411
Homeland Security and Emergency Services, Division of.....	11,919,378,694	653,774,000	26,718,951	(160,070,400)	-	1,819,922,887	10,566,440,456	1,819,922,779
Housing and Community Renewal, Division of.....	228,244,947	86,769,000	40,250,480	6,651,238	-	62,247,465	219,167,240	62,248,244
Human Rights, Division of.....	9,407,717	6,000,000	2,792,031	-	-	4,375,236	8,240,450	4,375,236
Information Technology Services, Office of.....	4,651,117	-	-	250,000	-	1,105,288	3,795,829	1,105,288
Justice Center for the Protection of People with Special Needs.....	3,931,290	1,921,000	2,149,000	642,049	-	728,045	3,617,294	728,045
Labor, Department of.....	1,313,658,343	742,437,000	94,756,725	354,236	-	473,891,802	1,487,801,052	473,773,111
Lake George Park Commission.....	27,498	-	-	-	-	-	27,498	-
Law, Department of.....	16,971,309	38,442,000	2,023,215	-	-	38,540,784	14,849,310	38,533,400
Medicaid Inspector General, Office of.....	32,615,525	33,062,000	10,816,801	1,325,000	-	31,376,745	24,808,979	31,379,270
Mental Health, Office of.....	43,974,897	48,348,000	12,150,895	9,749,794	-	43,735,490	46,186,306	43,735,490
Military and Naval Affairs, Division of.....	32,894,019	42,780,000	10,380,838	12,387,136	-	37,569,642	40,110,675	37,569,628
Miscellaneous State Agencies and Public Authorities.....	7,549,885,523	-	47,450,000	24,569	-	-	7,502,460,092	-
Motor Vehicles, Department of.....	82,866,315	40,739,000	1,396,795	(12,254,887)	-	18,804,604	91,149,029	18,804,604
National and Community Service.....	105,895,326	30,000,000	10,098,155	-	-	14,641,276	111,155,895	14,641,276
Parks, Recreation and Historic Preservation, Office of.....	44,955,278	10,450,900	3,740,604	(104,295)	-	8,023,524	43,537,755	8,023,524
People With Developmental Disabilities, Office for.....	4,550,182	751,000	2,201,669	113,869,090	-	38,271,810	78,696,793	38,271,811
Prevention of Domestic Violence, Office for the.....	2,123,740	1,600,000	2,104,449	36,905	-	16,874	1,639,322	16,874
Public Service, Department of.....	2,980,624	5,500,000	1,430,284	-	-	2,610,701	4,439,639	2,610,697
Quality of Care and Advocacy, Commission on.....	500,000	-	-	-	-	-	500,000	-
State Police, Division of.....	31,254,629	7,700,000	1,919,499	13,777,730	-	18,214,073	32,598,787	18,614,428
State University of New York.....	629,772,817	415,600,000	125,768,930	13,954,143	-	325,784,784	607,773,246	325,784,784
State, Department of.....	92,348,384	9,056,852	9,056,852	-	-	58,880,962	93,805,570	58,880,962
Taxation and Finance, Department of.....	4,194,557	5,000,000	4,046,430	-	-	188,599	4,959,528	188,599
Temporary and Disability Assistance, Office of.....	2,187,994,041	3,961,275,000	424,976,021	429,424,922	-	4,318,086,627	1,835,631,315	4,318,086,814
Transportation, Department of.....	277,818,655	82,986,000	1,060,000	(250,950)	-	30,060,252	329,433,453	30,060,252
Unified Court System.....	28,308,361	8,000,000	433,559	286,086	-	5,930,504	30,230,384	5,930,504
Veterans' Affairs, Division of.....	6,196,011	2,466,000	1,803,323	-	-	689,897	6,168,791	689,897
Victim Services, Office of.....	48,858,527	66,735,000	850,554	(993,071)	-	39,807,228	73,942,674	39,807,228
Workers' Compensation Board.....	11,636,236	-	-	-	-	8,643,324	2,992,912	8,643,324
TOTAL SPECIAL REVENUE - FEDERAL.....	164,114,840,215	97,869,460,900	18,478,150,238	(141,332,783)	-	49,083,290,920	194,281,527,175	49,087,632,243

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STATE OF NEW YORK
 ALL FUNDS BY BUSINESS UNIT
 STATEMENT OF APPROPRIATION TRANSACTIONS (IN FORCE)
 BUDGET PERIOD ENDED MARCH 31, 2016

SCHEDULE 9
 (continued)

	APPROPRIATIONS IN FORCE APRIL 1, 2015	ADD: APPROPRIATIONS BY 2015 LEGISLATURE	LESS: APPROPRIATIONS LAPSED	AUTHORIZED BUDGET TRANSFERS (*)	OTHER 2015 LEGISLATIVE ACTIONS (**)	BUDGET PERIOD 2015-16 EXPENDITURES	BALANCE OF APPROPRIATIONS IN FORCE MARCH 31, 2016	BUDGET PERIOD 2015-16 DISBURSEMENTS
SPECIAL REVENUE - STATE:								
Adirondack Park Agency.....	1,500,000	-	-	-	-	-	1,500,000	-
Aging, State Office for the.....	1,488,193	1,230,000	1,230,000	475,000	-	212,423	1,750,770	212,423
Agriculture and Markets, Department of.....	24,788,911	33,649,000	6,916,415	55,000	-	23,900,117	27,676,379	23,900,117
Alcoholic Beverage Control, Division of.....	1,787,913	18,065,000	692,196	-	-	17,265,862	1,894,855	17,276,337
Alcoholism and Substance Abuse Services, Office of.....	51,021,714	420,302,000	38,109,718	-	-	392,963,410	40,250,586	392,907,422
Arts, Council on the.....	392,000	196,000	-	-	-	-	588,000	-
Budget, Division of the.....	16,535,458	19,283,000	16,339,126	-	-	3,147,436	16,331,896	3,147,436
Children and Family Services, Office of.....	165,535,045	78,848,000	32,979,447	-	-	35,300,372	176,103,226	35,300,372
City University of New York.....	131,120,246	175,400,000	90,558,158	-	-	94,583,705	121,378,383	94,583,506
Civil Service, Department of.....	1,177,155	1,896,000	1,128,514	-	-	473,224	1,471,417	473,224
Corrections and Community Supervision, Department of.....	30,649,169	32,355,000	29,971,936	2,050,874	-	3,752,645	31,330,462	3,752,645
Criminal Justice Services, Division of.....	54,385,375	41,059,000	5,667,915	(479,611)	-	34,688,495	54,608,354	34,688,495
Economic Development, Department of.....	20,914,191	3,458,000	1,901,673	(17,189,735)	-	1,825,763	3,455,200	1,825,763
Education, State Department of.....	4,618,764,239	9,922,667,000	3,398,218,886	(9,087,175)	-	6,622,766,744	4,511,358,434	6,622,769,622
Elections, Board of.....	33,866,393	3,000,000	30,000,000	-	-	221,204	6,645,189	221,204
Employee Relations, Office of.....	588,112	1,031,000	554,488	-	-	463,365	601,259	463,365
Environmental Conservation, Department of.....	200,414,837	253,517,000	71,074,926	(999,495)	(181,250)	184,307,165	197,369,001	184,307,165
Financial Control Board for New York City.....	620,375	3,131,700	464,566	-	-	2,728,009	559,500	2,728,009
Financial Services, Department of.....	63,808,475	425,591,963	50,873,338	78,285,777	-	448,777,685	68,035,192	448,778,294
General Services, Office of.....	19,003,515	30,194,000	18,271,671	-	-	13,324,950	17,600,894	13,325,212
Health, Department of.....	13,120,796,110	11,910,221,000	5,613,943,404	43,705,583	-	6,416,859,763	13,043,919,526	6,420,529,757
Higher Education Services Corporation.....	41,554,538	81,442,000	29,182,337	6,669,000	-	73,143,098	27,340,103	73,143,498
Homeland Security and Emergency Services, Office of.....	283,039,333	108,481,000	26,050,476	22,070,000	-	81,444,825	306,095,032	81,446,367
Housing and Community Renewal, Division of.....	97,170,098	68,386,000	18,999,406	-	-	59,409,336	87,147,356	59,411,446
Indigent Legal Services, Office of.....	107,880,819	87,000,000	285,857	-	-	60,115,300	134,479,662	60,115,300
Information Technology Services, Office of.....	34,924,033	30,000,000	34,433,995	-	-	490,038	30,000,000	490,038
Inspector General, Office of the State.....	100,000	100,000	100,000	722,000	-	722,000	100,000	722,000
Interest on Lawyer Account (IOLA).....	40,194,481	46,841,000	38,469,473	-	-	8,269,912	40,296,096	8,234,514
Justice Center for the Protection of People with Special Needs.....	8,382,358	10,268,000	8,057,188	43,401	-	2,284,491	8,352,080	2,284,491
Labor, Department of.....	31,288,077	72,740,000	14,315,708	678,000	-	60,925,336	29,465,033	60,925,336
Law, Department of.....	10,431,958	83,792,000	6,712,434	8,991,596	-	89,135,063	7,368,057	90,669,247
Legislative Bill Drafting Commission.....	787,403	-	-	1,000,000	-	1,468,762	318,641	1,468,762
Legislature - Assembly.....	400,000	300,000	300,000	-	-	-	400,000	-
Legislature - Senate.....	5,975,579	1,800,000	300,000	(1,000,000)	-	-	6,475,579	-
Lake George Park Commission.....	454,394	2,032,000	404,311	-	-	-	520,677	-
Mental Health, Office of.....	467,516,892	3,165,940,000	291,498,007	-	-	2,880,453,665	461,505,220	2,880,568,151
Military and Naval Affairs, Division of.....	7,021,625	9,277,000	5,616,363	-	-	3,481,247	7,201,015	3,481,247
Miscellaneous State Agencies and Public Authorities.....	717,099,989	691,700,000	565,470,323	(118,271,532)	-	-	725,058,134	-
Motor Vehicles, Department of.....	12,099,708	79,649,000	4,828,293	-	-	74,193,751	12,726,664	74,193,751
NYS Gaming Commission.....	32,482,660	139,262,000	18,834,181	82,751,691	-	185,159,192	50,502,978	185,166,392
NYS Racing and Wagering Board.....	40,000	-	-	-	-	-	40,000	-
Oil Spill Fund (ENCON).....	7,580,564	21,200,000	6,456,097	-	-	11,002,093	11,322,374	11,002,093
Parks, Recreation and Historic Preservation, Office of.....	43,432,869	93,966,900	14,071,029	(400,000)	3,000,000	80,124,923	45,803,817	80,127,083
People With Developmental Disabilities, Office for.....	527,147,097	2,638,186,000	164,775,693	35,200,000	-	2,320,477,465	715,279,939	2,320,548,943
Prevention of Domestic Violence, Office for the.....	62,616	41,000	49,710	9,226	-	-	63,132	-
Public Benefit Corporations.....	2,307,708,310	2,336,786,000	2,303,796,397	17,339,735	-	21,197,073	2,336,840,575	21,197,073
Public Employment Relations Board.....	375,078	384,000	380,090	-	-	32,964	346,024	32,964
Public Service, Department of.....	29,508,689	86,662,000	15,576,774	(17,000)	-	68,471,588	32,105,327	68,458,141
State Comptroller, Office of the.....	3,252,755	18,984,000	2,482,230	676,000	-	16,057,006	4,373,519	16,105,617
State Police, Division of.....	17,036,414	55,609,000	16,872,251	144,766	-	43,688,555	12,229,374	43,806,506
State University of New York.....	2,867,570,956	6,901,230,600	747,313,475	6,297,529	-	6,232,443,521	2,795,342,089	6,232,206,491
State, Department of.....	13,031,839	43,591,000	6,054,486	6,980,912	-	44,727,458	12,821,807	44,728,190

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STATE OF NEW YORK
 ALL FUNDS BY BUSINESS UNIT
 STATEMENT OF APPROPRIATION TRANSACTIONS (IN FORCE)
 BUDGET PERIOD ENDED MARCH 31, 2016

SCHEDULE 9
 (continued)

	APPROPRIATIONS IN FORCE APRIL 1, 2015	ADD: APPROPRIATIONS BY 2015 LEGISLATURE	LESS: APPROPRIATIONS LAPSED	AUTHORIZED BUDGET TRANSFERS (*)	OTHER 2015 LEGISLATIVE ACTIONS (**)	BUDGET PERIOD 2015-16 EXPENDITURES	BALANCE OF APPROPRIATIONS IN FORCE MARCH 31, 2016	BUDGET PERIOD 2015-16 DISBURSEMENTS
SPECIAL REVENUE - STATE (continued):								
Taxation and Finance, Department of.....	73,802,191	108,477,000	50,601,616	2,660,000	-	93,156,472	41,181,103	93,134,894
Temporary and Disability Assistance, Office of.....	24,006,262	22,400,000	22,474,309	1,000,000	-	2,106,914	22,825,039	2,106,914
Transportation, Department of.....	645,169,588	4,932,241,900	125,699,364	400,000	-	4,655,785,839	796,326,285	4,655,785,839
Unified Court System.....	108,233,230	240,355,893	7,592,485	15,863,778	-	254,412,010	102,448,406	254,412,011
Veterans' Affairs, Division of.....	77,833	-	-	-	-	-	77,833	-
Victim Services, Office of.....	45,437,580	43,006,000	1,282,485	(248,268)	-	22,460,139	64,452,688	22,460,139
Workers' Compensation Board.....	11,199,126	187,237,000	2,154,151	(98,676)	-	183,202,221	183,202,221	183,209,427
TOTAL SPECIAL REVENUE - STATE.....	27,182,634,368	45,784,462,956	13,960,387,371	186,278,376	2,818,750	31,929,166,000	27,266,641,079	31,934,394,639
DEBT SERVICE:								
Miscellaneous State Agencies and Public Authorities.....	1,487,655,234	7,578,300,000	1,453,603,296	-	-	5,458,757,657	2,153,594,281	5,301,890,411
TOTAL DEBT SERVICE.....	1,487,655,234	7,578,300,000	1,453,603,296	-	-	5,458,757,657	2,153,594,281	5,301,890,411
CAPITAL PROJECTS - FEDERAL:								
Environmental Conservation, Department of.....	733,693,409	180,000,000	829,414	(91,881)	-	160,116,174	752,655,940	160,116,174
General Services, Office of.....	1,348,797	-	-	-	-	-	1,348,797	-
Health, Department of.....	132,240,554	70,000,000	-	-	-	46,009,570	156,230,984	46,009,569
Homeland Security and Emergency Services, Office of.....	147,568,250	-	-	52,169,800	-	-	199,738,050	-
Housing and Community Renewal, Division of.....	10,100,498	-	-	-	-	-	10,100,498	-
Military and Naval Affairs, Division of.....	158,431,851	26,000,000	91	-	-	21,108,794	163,322,966	21,108,794
Miscellaneous State Agencies and Public Authorities.....	100,000,000	-	-	-	-	-	100,000,000	-
Parks, Recreation and Historic Preservation, Office of.....	22,211,425	4,000,000	1,625,181	91,881	-	6,216,549	18,461,576	6,216,549
State University of New York.....	1	-	-	-	-	-	1	-
Transportation, Department of.....	6,854,364,439	2,006,000,000	-	89,357,590	-	2,080,424,270	6,869,297,759	2,080,424,407
TOTAL CAPITAL PROJECTS - FEDERAL.....	8,159,959,224	2,286,000,000	2,454,686	141,527,390	-	2,313,875,357	8,271,156,571	2,313,875,493
CAPITAL PROJECTS - STATE:								
Adirondack Park Agency.....	319,813	-	-	250,000	-	308,465	261,348	308,465
Agriculture and Markets, Department of.....	99,602,224	5,500,000	-	74,425,000	-	36,434,788	143,092,436	36,434,788
Alcoholism and Substance Abuse Services, Office of.....	507,330,122	9,500,000	-	-	-	45,857,516	470,972,606	45,857,516
Children and Family Services, Office of.....	214,484,721	147,675,000	-	-	-	21,185,258	340,974,463	21,185,258
City University Construction Fund.....	5,664,133,459	160,908,000	7,766,742	14,850,000	-	36,341,552	5,795,783,165	36,352,210
City University of New York.....	358,906	-	-	-	-	358,906	-	358,906
Corrections and Community Supervision (Corcraft), Department of.....	2,174,977	-	-	-	-	978,049	1,196,928	978,049
Corrections and Community Supervision, Department of.....	645,413,274	15,000,000	-	12,700,000	-	271,820,601	401,292,673	271,843,960
Disaster Aid.....	93,042	-	-	-	-	-	93,042	-
Economic Development, Department of.....	10,421,907	-	-	-	-	793,995	9,627,912	793,995
Education, State Department of.....	2,130,254,190	17,400,000	-	(800,000)	-	20,423,185	2,126,431,005	20,423,185
Environmental Conservation, Department of.....	1,298,453,091	591,900,000	24,000,000	(62,497,074)	-	271,082,089	1,532,773,928	271,082,089
General Services, Office of.....	389,367,579	242,000,000	143,343	12,000,000	-	116,247,957	526,976,279	116,247,957
Greenway Heritage Conservancy.....	-	-	-	100,000	-	100,000	-	100,000
Health, Department of.....	1,332,314,841	1,080,600,000	45,628,329	15,990,000	-	82,024,699	2,301,251,813	82,701,856
Homeland Security and Emergency Services, Division of.....	400,547,645	15,000,000	-	171,250,000	-	105,251,515	481,546,130	105,251,515
Housing and Community Renewal, Division of.....	353,517,020	91,200,000	-	-	-	84,358,458	360,358,562	84,358,458
Information Technology Services, Office of.....	151,841,446	85,700,000	-	(13,132,909)	(7,000,000)	81,899,538	135,508,999	81,899,538
Inspector General, Office of the State.....	2,655	-	-	175,000	-	175,000	2,655	175,000
Lake George Park Commission.....	108,717	-	-	450,000	-	454,008	104,709	454,008
Law, Department of.....	8,811,538	1,000,000	-	804,458	-	2,247,353	8,368,643	2,247,353
Mental Health, Office of.....	2,121,816,719	312,472,000	288,986	10,000,000	-	168,468,782	2,275,530,951	168,049,228

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STATE OF NEW YORK
 ALL FUNDS BY BUSINESS UNIT
 STATEMENT OF APPROPRIATION TRANSACTIONS (IN FORCE)
 BUDGET PERIOD ENDED MARCH 31, 2016

SCHEDULE 9
 (continued)

	APPROPRIATIONS IN FORCE APRIL 1, 2015	ADD: APPROPRIATIONS BY 2015 LEGISLATURE	LESS: APPROPRIATIONS LAPSED	AUTHORIZED BUDGET TRANSFERS (*)	OTHER 2015 LEGISLATIVE ACTIONS (**)	BUDGET PERIOD 2015-16 EXPENDITURES	BALANCE OF APPROPRIATIONS IN FORCE MARCH 31, 2016	BUDGET PERIOD 2015-16 DISBURSEMENTS
CAPITAL PROJECTS - STATE (continued):								
Military and Naval Affairs, Division of.....	53,504,217	13,200,000	-	19,000,000	-	36,006,431	49,697,786	36,006,431
Miscellaneous State Agencies and Public Authorities.....	4,982,406,282	4,265,300,000	142,527,630	(1,691,469,967)	-	581,882,990	6,831,825,695	581,882,990
Motor Vehicles, Department of.....	26,225,707	199,255,000	3,707,437	-	-	189,796,273	31,976,997	189,879,243
National and Community Service.....	-	-	-	600,000	-	-	600,000	-
Parks, Recreation and Historic Preservation, Office of.....	560,825,555	169,700,000	120,446	39,810,853	-	183,763,182	586,452,780	183,720,409
People With Developmental Disabilities, Office for.....	646,727,294	18,000,000	1,323	-	-	39,094,207	625,631,764	39,094,207
Public Benefit Corporations.....	4,308,261,977	3,089,723,000	-	1,362,602,876	-	1,487,988,097	7,272,599,756	1,492,890,733
State Police, Division of.....	55,266,064	31,500,000	(12,434,415)	26,000,000	-	44,544,845	80,655,634	44,544,845
State University of New York Construction Fund.....	5,507,975,827	462,427,000	332,525,036	74,822,048	-	904,984,951	4,807,714,888	904,187,153
State University of New York.....	106,092,434	-	-	30,977,952	-	42,628,605	94,441,781	42,628,605
State, Department of.....	136,412,997	-	-	5,186,314	-	24,614,413	116,984,898	24,614,413
Temporary and Disability Assistance, Office of.....	99,389,775	63,500,000	-	-	-	45,876,369	117,013,406	45,876,369
Transportation, Department of.....	3,826,854,517	2,997,576,600	799	75,905,449	(69,977,000)	2,567,587,409	4,262,771,358	2,567,589,216
Unified Court System.....	56,805,546	-	-	-	-	-	56,805,546	-
Workers' Compensation Board.....	-	60,000,000	-	-	-	3,117,267	56,882,733	3,117,267
TOTAL CAPITAL PROJECTS - STATE.....	35,698,116,078	14,146,036,600	544,275,656	180,000,000	(76,977,000)	7,498,696,753	41,904,203,269	7,503,135,215
SPECIAL EMERGENCY:								
Miscellaneous State Agencies and Public Authorities.....	1,250,000,000	1,250,000,000	-	-	-	-	2,500,000,000	-
TOTAL SPECIAL EMERGENCY.....	1,250,000,000	1,250,000,000	-	-	-	-	2,500,000,000	-
TOTAL GOVERNMENTAL FUNDS.....	\$ 314,241,065,123	\$ 277,224,600,091	\$ 81,195,155,393	\$ -	\$ 210,441,969	\$ 155,248,978,688	\$ 355,231,973,102	\$ 155,070,953,642
ENTERPRISE:								
Aging, State Office for the.....	100,000	100,000	100,000	-	-	-	100,000	-
Agriculture and Markets, Department of.....	3,599,673	21,261,000	1,303,898	-	-	14,216,389	9,340,386	14,216,389
Children and Family Services, Office of.....	628,905	475,000	419,378	-	-	87,399	597,128	87,399
Correctional Services, Department of (Corcraft).....	98,220	742,000	52,581	-	-	530,408	257,231	530,408
Corrections and Community Supervision, Department of.....	8,481,108	42,601,000	5,871,066	-	-	36,884,996	8,326,046	37,029,624
Developmental Disabilities Planning Council.....	10,000	10,000	10,000	-	-	-	10,000	-
General Services, Office of.....	171,315	1,766,000	33,428	-	-	1,392,331	511,556	1,392,383
Information Technology Services, Office of.....	4,000,000	4,000,000	4,000,000	-	-	-	4,000,000	-
Justice Center for the Protection of People with Special Needs.....	527,000	500,000	527,000	-	-	-	500,000	-
Labor, Department of.....	4,580,426,938	3,280,000,000	46,495,304	-	-	2,366,682,999	5,447,248,635	2,366,682,999
Mental Health, Office of.....	6,779,453	8,606,000	6,599,517	-	-	2,307,398	6,478,538	2,316,913
People With Developmental Disabilities, Office for.....	1,924,963	2,657,000	1,869,390	-	-	576,264	2,136,309	576,335
TOTAL ENTERPRISE.....	4,606,747,565	3,362,718,000	67,281,562	-	-	2,422,678,184	5,479,505,829	2,422,832,450
INTERNAL SERVICE:								
Budget, Division of the.....	581,812	1,650,000	537,456	-	-	1,123,095	571,261	1,123,095
Children and Family Services, Office of.....	10,537,158	13,577,000	9,981,131	-	-	6,358,845	7,774,182	6,358,845
Civil Service, Department of.....	16,354,423	34,445,000	15,032,362	(998,000)	-	17,527,076	17,241,985	17,527,076
Correctional Services, Department of (Corcraft).....	11,611,594	64,122,000	6,730,895	-	-	59,166,546	9,636,153	59,182,984
Corrections and Community Supervision, Department of.....	32,946,187	8,000,000	-	-	-	6,857,560	34,088,627	6,857,560
Education, State Department of.....	12,892,866	33,663,000	10,789,886	-	-	22,537,336	13,228,644	22,537,336
Employee Relations, Office of.....	1,293,059	1,947,000	1,228,811	-	-	739,231	1,272,017	739,231
Environmental Conservation, Department of.....	95,000	95,000	95,000	-	-	68,033	26,967	68,033
General Services, Office of.....	468,413,476	830,186,000	438,479,296	-	-	336,738,667	523,381,513	336,699,825
Homeland Security and Emergency Services, Division of.....	1,402,675	-	1,402,675	-	-	-	-	-
Information Technology Services, Office of.....	531,297,904	301,064,000	389,810,491	-	-	25,440,475	417,110,938	25,490,452
Labor, Department of.....	12,181,032	4,338,000	8,370,086	-	-	1,924,625	6,224,321	1,924,625

(*) Authorized budget transfers represent those movements of appropriation authority provided in appropriation acts between different funds/agencies.

(**) Other legislative actions represent additional appropriations enacted outside of the Executive budget process (i.e., deficiency and supplemental appropriation bills) and increases or reductions by the Legislature to a prior year appropriation.

STATE OF NEW YORK
 ALL FUNDS BY BUSINESS UNIT
 STATEMENT OF APPROPRIATION TRANSACTIONS (IN FORCE)
 BUDGET PERIOD ENDED MARCH 31, 2016

SCHEDULE 9
 (continued)

	APPROPRIATIONS IN FORCE APRIL 1, 2015	ADD: APPROPRIATIONS BY 2015 LEGISLATURE	LESS: APPROPRIATIONS LAPSED	AUTHORIZED BUDGET TRANSFERS (*)	OTHER 2015 LEGISLATIVE ACTIONS (**)	BUDGET PERIOD 2015-16 EXPENDITURES	BALANCE OF APPROPRIATIONS IN FORCE MARCH 31, 2016	BUDGET PERIOD 2015-16 DISBURSEMENTS
INTERNAL SERVICE (continued):								
Mental Health, Office of.....	1,284,383	2,597,000	1,205,681	-	-	866,549	1,809,153	866,549
Motor Vehicles, Department of.....	4,901,390	5,300,000	4,845,954	-	-	534,428	4,821,008	534,428
People With Developmental Disabilities, Office for.....	320,051	348,000	320,050	-	-	-	348,001	-
Prevention of Domestic Violence, Office for the.....	302,362	890,000	285,279	-	-	620,088	286,995	620,088
State Comptroller, Office of the.....	2,851,283	31,362,500	1,036,852	998,000	-	26,176,456	7,998,475	26,271,280
State University of New York.....	6,022,629	20,600,000	405,092	-	-	21,534,291	4,683,246	21,534,291
Taxation and Finance, Department of.....	80,129,110	77,442,400	59,171,951	-	-	27,849,245	70,550,314	27,849,245
TOTAL INTERNAL SERVICE.....	1,195,418,394	1,431,626,900	949,728,948	-	-	556,062,546	1,121,253,800	556,184,943
TOTAL PROPRIETARY FUNDS.....	\$ 5,802,165,969	\$ 4,794,344,900	\$ 1,017,010,510	\$ -	\$ -	\$ 2,978,740,730	\$ 6,600,759,629	\$ 2,979,017,393
PRIVATE PURPOSE TRUST:								
Agriculture and Markets, Department of.....	1,412,840	1,836,000	1,390,339	-	-	451,596	1,406,905	451,596
TOTAL PRIVATE PURPOSE TRUST.....	1,412,840	1,836,000	1,390,339	-	-	451,596	1,406,905	451,596
PENSION TRUST:								
State Comptroller, Office of the.....	8,597,987	106,729,000	417,014	-	-	110,265,217	4,644,756	110,399,806
TOTAL PENSION TRUST.....	8,597,987	106,729,000	417,014	-	-	110,265,217	4,644,756	110,399,806
AGENCY:								
City University of New York.....	849,311,054	2,335,889,900	67,819,700	-	-	2,259,999,730	857,381,524	2,259,999,809
General Services, Office of.....	750,000	750,000	750,000	-	-	-	750,000	-
Housing and Community Renewal, Division of.....	312,366,643	439,549,965	312,366,643	(37,620,000)	-	16,301,269	385,628,696	16,301,269
Law, Department of.....	81,500,234	-	-	-	-	4,565,989	76,934,245	4,565,989
Mental Health, Office of.....	-	-	-	10,000,000	-	10,000,000	-	10,000,000
Miscellaneous State Agencies and Public Authorities.....	542,240,060	517,900,000	542,240,060	-	-	90,688,327	427,211,673	90,688,327
Public Benefit Corporations.....	-	-	-	7,620,000	-	2,185,000	5,435,000	2,185,000
Temporary and Disability Assistance, Office of.....	9,636,871	10,000,000	7,113,642	20,000,000	-	14,792,539	17,730,690	14,792,539
Transportation, Department of.....	399,206,373	50,000,000	(2,000,000)	-	-	31,730,251	419,476,122	31,730,251
TOTAL AGENCY.....	2,195,011,235	3,354,089,865	928,290,045	-	-	2,430,263,105	2,190,547,950	2,430,263,184
TOTAL FIDUCIARY FUNDS.....	\$ 2,205,022,062	\$ 3,462,654,865	\$ 930,097,398	\$ -	\$ -	\$ 2,540,979,918	\$ 2,196,599,611	\$ 2,541,114,586
GRAND TOTAL - ALL FUNDS.....	\$ 322,248,253,154	\$ 285,481,599,856	\$ 83,142,263,301	\$ -	\$ 210,441,969	\$ 160,768,699,336	\$ 364,029,332,342	\$ 160,591,085,621

(*) Authorized budget transfers represent those movements of appropriation authority provided in appropriation acts between different funds/agencies.

(**) Other legislative actions represent additional appropriations enacted outside of the Executive budget process (i.e., deficiency and supplemental appropriation bills) and increases or reductions by the Legislature to a prior year appropriation.

STATE OF NEW YORK
 SCHEDULE OF DISBURSEMENTS OF FEDERAL AWARDS
 AMERICAN RECOVERY AND REINVESTMENT ACT OF 2009
 FISCAL YEARS 2008-09 THRU 2015-16

SCHEDULE 10

FEDERAL CFDA NO.	FEDERAL AGENCY	PROGRAM	2008-09	2009-10	2010-11	2011-12	2012-13	2013-14	2014-15	2015-16	LIFE-TO-DATE
Education											
10.579	Department of Agriculture	Child Nutrition Discretionary Grants Limited Availability.....	\$ -	\$ 3,464,644	\$ 2,392,823	\$ (32,706)	\$ -	\$ -	\$ -	\$ -	\$ 5,824,761
11.557	Department of Commerce	Broadband Technology Opportunities Program (BTOP).....	-	-	2,171,330	4,463,695	3,421,290	1,572	-	-	10,057,887
45.025	National Endowment for the Arts	Promotion of the Arts - Partnership Agreements.....	-	370,000	16,464	13,436	-	-	-	-	399,900
84.033	Department of Education	Federal Work-Study Program.....	-	2,102,760	-	-	-	-	-	-	2,102,760
84.063	Department of Education	Federal Pell Grant Program.....	-	88,242,844	58,955,747	-	-	-	-	-	147,198,591
84.384	Department of Education	Statewide Data Systems, Recovery Act.....	-	-	95,579	2,406,044	2,563,174	6,584,278	6,387,573	1,542,225	19,578,873
84.385	Department of Education	Teacher Incentive Fund, Recovery Act.....	-	-	11,914	109,572	8,274	5,311,140	8,090,006	-	13,530,906
84.386	Department of Education	Education Technology State Grants, Recovery Act.....	-	-	13,736,159	36,547,678	3,267,363	-	-	-	53,551,200
84.387	Department of Education	Education for Homeless Children and Youth, Recovery Act.....	-	455,148	2,301,042	3,283,065	-	-	-	-	6,039,255
84.388	Department of Education	School Improvement Grants, Recovery Act.....	-	-	17,039,894	30,452,936	64,497,432	78,100,240	70,775,566	-	260,866,068
84.389	Department of Education	Title I Grants to Local Education Agencies, Recovery Act.....	-	184,093,831	453,940,566	268,086,864	682,435	-	-	-	906,803,696
84.390	Department of Education	Rehabilitation Services - Vocational Rehabilitation Grants to States, Recovery Act.....	-	6,866,592	11,123,672	7,703,780	-	-	-	-	25,694,044
84.391	Department of Education	Special Education Grants to States, Recovery Act.....	-	133,888,517	285,075,997	336,903,466	-	-	-	-	755,867,980
84.392	Department of Education	Special Education - Preschool Grants, Recovery Act.....	-	5,558,604	11,363,926	17,379,865	-	-	-	-	34,302,395
84.394	Department of Education	State Fiscal Stabilization Fund (SFSF) - Education State Grants, Recovery Act.....	-	572,928,971	1,458,987,096	436,641,724	-	-	-	-	2,468,557,791
84.395	Department of Education	State Fiscal Stabilization Fund (SFSF) - Race-to-the-Top Incentive Grants, Recovery Act.....	-	-	496,710	26,463,972	88,915,178	250,196,973	164,200,541	166,302,437	696,575,811
84.397	Department of Education	State Fiscal Stabilization Fund (SFSF) - Government Services, Recovery Act.....	-	81,983,637	438,172,560	7,207,822	-	-	-	-	527,364,019
84.398	Department of Education	Independent Living State Grants, Recovery Act.....	-	62,389	340,763	453,732	-	-	-	-	856,884
84.399	Department of Education	Independent Living Services for Older Individuals Who are Blind, Recovery Act.....	-	-	1,119,702	1,178,029	-	-	-	-	2,297,731
84.410	Department of Education	Education Jobs Fund.....	-	-	111,434,789	267,005,336	238,039,495	-	-	-	616,479,620
93.407	Health and Human Services	ARRA - Scholarships for Disadvantaged Students.....	-	208,710	202,539	-	-	-	-	-	411,249
		Total Education.....		1,080,226,647	2,868,979,272	1,446,268,310	401,394,641	340,194,203	249,453,686	167,844,662	6,554,361,421
Energy and Environment											
10.086	Department of Agriculture	Aquaculture Grants Program (AGP).....	-	7,612	-	-	-	-	-	-	7,612
10.688	Department of Agriculture	Recovery Act of 2009: Wildland Fire Management.....	-	4,559	323,090	289,515	145,836	-	-	-	763,000
66.039	Environmental Protection Agency	National Clean Diesel Emissions Reduction Program.....	-	-	-	1,000,000	-	-	-	-	1,000,000
66.040	Environmental Protection Agency	State Clean Diesel Grant Program.....	-	19,591	1,075,257	540,239	-	-	-	81,460	1,716,547
66.454	Environmental Protection Agency	Water Quality Management Planning.....	-	896,179	1,572,681	1,663,871	-	-	-	-	4,132,731
66.458	Environmental Protection Agency	Capitalization Grants for Clean Water State Revolving Funds.....	-	36,941,050	146,549,965	110,588,886	106,916,497	31,567,802	-	-	432,564,200
66.468	Environmental Protection Agency	Capitalization Grants for Drinking Water State Revolving Funds.....	-	29,397,804	40,852,733	11,968,461	2,643,124	1,948,878	-	-	86,811,000
66.805	Environmental Protection Agency	Leaking Underground Storage Tank Trust Fund Corrective Action Program.....	-	1,434,907	4,597,165	3,179,928	-	-	-	-	9,212,000
81.042	Department of Energy	Weatherization Assistance for Low-Income Persons.....	-	60,932,024	123,541,350	193,519,372	15,010,301	2,601,747	125,570	-	395,730,364
81.122	Department of Energy	Electricity Delivery and Energy Reliability, Research, Development and Analysis.....	-	-	134,185	186,771	313,785	382,781	217,962	(285)	1,235,199
		Total Energy and Environment.....		129,633,726	318,646,426	322,937,043	125,029,543	36,501,208	343,532	81,175	933,172,653
Food and Nutrition Services											
10.568	Department of Agriculture	Emergency Food Assistance Program (Administrative Costs).....	-	3,060,743	1,830,559	-	-	-	-	-	4,891,302
93.705	Health and Human Services	Aging Home-Delivered Nutrition Services for States.....	-	1,782,884	259,562	-	-	-	-	-	2,042,446
93.707	Health and Human Services	Aging Congregate Nutrition Services for States.....	-	3,709,148	439,570	-	-	-	-	-	4,148,718
		Total Food and Nutrition Services.....		8,552,775	2,529,691						11,082,466
Health and Social Services											
10.557	Department of Agriculture	Special Supplemental Nutrition Program for Women, Infants & Children (WIC).....	-	5,468,978	-	-	-	-	-	-	5,468,978
10.561	Department of Agriculture	State Administrative Matching Grants for the Supplemental Nutrition Assistance Program.....	-	24,402,283	-	-	-	-	-	-	24,402,283
10.578	Department of Agriculture	WIC Grants To States (WGS).....	-	-	549,848	816,381	1,867,125	939,415	-	-	4,172,769
14.257	Department of Housing and Urban Development	Homelessness Prevention and Rapid Re-Housing Program (Recovery Act Funded).....	-	6,743,258	10,752,994	7,423,936	2,031,141	-	-	-	26,951,329
84.393	Department of Education	Special Education - Grants for Infants and Families, Recovery Act.....	-	373,601	5,292,267	20,362,944	377,575	-	-	-	26,406,387
93.563	Health and Human Services	Child Support Enforcement.....	-	16,859,958	36,377,274	23,141,602	24,752,985	-	-	-	101,131,819
93.658	Health and Human Services	Foster Care - Title IV-E.....	-	21,724,253	10,706,175	21,547,753	-	200,664	689,868	931,810	55,800,523
93.659	Health and Human Services	Adoption Assistance.....	-	25,711,554	12,235,016	22,116,114	-	-	-	18,249	60,080,933
93.708	Health and Human Services	ARRA - Head Start.....	-	-	83,261	895,945	2,638,166	1,960,028	-	-	5,577,400
93.712	Health and Human Services	ARRA - Immunization.....	-	1,525	1,845,116	2,429,109	-	-	-	-	4,275,750
93.713	Health and Human Services	ARRA - Child Care and Development Block Grant.....	-	636,411	81,086,572	15,062,657	-	-	-	-	96,785,640
		ARRA - Emergency Contingency Fund for Temporary Assistance for Needy Families	-	-	-	-	-	-	-	-	-
93.714	Health and Human Services	(TANF) State Programs.....	-	140,407,008	555,546,651	27,069,631	-	-	-	-	723,023,290
93.725	Health and Human Services	ARRA - Communities Putting Prevention to Work: Chronic Disease Self-Management Program.....	-	-	217,330	652,869	296,509	-	-	-	1,166,708
93.778	Health and Human Services	Medical Assistance Program (FMAP).....	1,739,073,430	5,244,026,717	4,815,212,864	1,478,778,015	376,722,309	243,514,397	118,481,618	130,312,783	14,146,122,133
94.006	Corporation for National and Community Service	AmeriCorps.....	-	1,106,675	5,274,942	291,122	-	-	334,854	1,183,059	8,190,652
		Total Health and Social Services.....	1,739,073,430	5,487,462,221	5,535,180,310	1,620,588,078	408,685,810	246,614,504	119,506,340	132,445,901	15,289,556,594

STATE OF NEW YORK
 SCHEDULE OF DISBURSEMENTS OF FEDERAL AWARDS
 AMERICAN RECOVERY AND REINVESTMENT ACT OF 2009
 FISCAL YEARS 2008-09 THRU 2015-16

SCHEDULE 10
 (continued)

FEDERAL CFDA NO.	FEDERAL AGENCY	PROGRAM	2008-09	2009-10	2010-11	2011-12	2012-13	2013-14	2014-15	2015-16	LIFE-TO-DATE
Housing											
84.397	Department of Education	State Fiscal Stabilization Fund (SFSF) - Government Services, Recovery Act.....	-	-	1,892,836	19,982,164	-	-	-	-	21,875,000
93.710	Health and Human Services	ARRA - Community Services Block Grant.....	-	27,513,043	57,992,086	(121,065)	-	-	-	-	85,384,064
		Total Housing.....	-	27,513,043	59,884,922	19,861,099	-	-	-	-	107,259,064
Labor											
17.207	Department of Labor	Employment Service/Wanger-Peyser Funded Activities.....	-	6,723,549	16,131,668	-	-	-	-	-	22,855,217
17.225	Department of Labor	Unemployment Insurance.....	58,515,125	4,641,990,354	4,225,617,499	3,164,881,555	3,135,752,580	1,419,376,557	19,958,768	3,943,163	16,670,035,601
17.235	Department of Labor	Senior Community Service - Employment Program.....	-	583,447	956,315	-	-	-	-	-	1,539,762
17.258	Department of Labor	Workforce Investment Act - Adult Program.....	8,600	15,458,341	10,816,788	5,232,382	-	-	-	-	31,516,111
17.259	Department of Labor	Workforce Investment Act - Youth Activities.....	-	49,002,665	17,619,856	4,903,839	-	-	-	-	71,526,360
17.260	Department of Labor	Workforce Investment Act - Dislocated Workers.....	-	28,297,172	29,595,530	12,212,737	527,974	-	-	-	70,633,413
17.275	Department of Labor	Program of Competitive Grants for Worker Training and Placement in High Growth and Emerging Industry Sectors.....	-	16,338	475,024	620,813	-	-	-	-	1,112,175
		Total Labor.....	58,523,725	4,742,071,866	4,301,212,680	3,187,851,326	3,136,280,554	1,419,376,557	19,958,768	3,943,163	16,869,218,639
Public Protection											
11.558	Department of Commerce	State Broadband Data and Development Grant Program.....	-	-	719,918	995,185	793,596	2,161,022	2,866,102	753,164	8,288,987
12.401	Department of Defense	National Guard Military Operations and Maintenance (O&M) Projects.....	-	3,349,660	3,733,631	333,435	-	-	-	-	7,416,726
16.588	Department of Justice	Violence Against Women Formula Grants.....	-	271,681	4,141,527	2,478,207	382,979	-	-	-	7,274,394
16.800	Department of Justice	Recovery Act - Internet Crimes against Children Task Force Program (ICAC).....	-	148,862	352,485	338,081	251,645	527,325	-	-	1,618,398
16.801	Department of Justice	Recovery Act - State Victim Assistance Formula Grant Program.....	-	365,964	736,248	686,787	-	-	-	-	1,788,999
16.802	Department of Justice	Recovery Act - State Victim Compensation Formula Grant Program.....	-	1,411,492	1,352,613	64,882	-	-	-	-	2,828,987
16.803	Department of Justice	Recovery Act - Edward Byrne Memorial Justice Assistance Grant (JAG) Program Grants to States and Territories.....	-	3,036,511	18,720,623	19,476,364	21,184,440	4,528,422	-	-	66,946,360
		Total Public Protection.....	-	8,584,170	29,757,045	24,372,941	22,612,660	7,216,769	2,866,102	753,164	96,162,851
Transportation											
20.205	Department of Transportation	Highway Planning and Construction.....	-	225,300,070	446,990,895	172,197,206	53,652,671	33,094,697	1,099,632	486	932,335,657
20.319	Department of Transportation	High-Speed Rail Corridors and Intercity Passenger Rail Service - Capital Assistance Grants.....	-	-	145,929	3,947,900	4,831,202	16,712,215	37,096,395	120,256,951	182,990,592
20.509	Department of Transportation	Formula Grants for Other Than Urbanized Areas.....	-	1,691,731	7,971,159	10,225,950	1,260,874	880,791	1,184,734	-	23,215,239
		Total Transportation.....	-	226,991,801	455,107,983	186,371,056	59,744,747	50,687,703	39,380,761	120,257,437	1,138,541,488
		TOTAL ARRA DISBURSEMENTS.....	\$ 1,797,597,155	\$11,711,036,249	\$13,571,298,329	\$6,808,249,853	\$ 4,153,747,955	\$ 2,100,590,944	\$ 431,509,189	\$ 425,325,502	\$ 40,999,355,176

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF APPROPRIATED LOANS RECEIVABLE TRANSACTIONS BY FUND AND BUSINESS UNIT
FISCAL YEAR ENDED MARCH 31, 2016
(Amounts in thousands)

SCHEDULE 11

	BALANCE APRIL 1, 2015	ADVANCES	REIMBURSEMENTS	BALANCE MARCH 31, 2016
GENERAL:				
Public Benefit Corporations.....	\$ 11,926	\$ -	\$ 50	\$ 11,876
Total General.....	11,926	-	50	11,876
SPECIAL REVENUE:				
NYS Gaming Commission.....	9,000	-	-	9,000
Total Special Revenue.....	9,000	-	-	9,000
CAPITAL PROJECTS:				
Environmental Conservation	17,299	-	-	17,299
Office of General Services.....	923	-	-	923
Transportation.....	23,275	9	-	23,284
Public Benefit Corporations.....	152,686	3,452	-	156,138
Total Capital Projects.....	194,183	3,461	-	197,644
TOTAL GOVERNMENTAL FUNDS	\$ 215,109	\$ 3,461	\$ 50	\$ 218,520

**STATE OF NEW YORK
GENERAL FUND**

SCHEDULE 12

**SUMMARY OF APPROPRIATED LOANS RECEIVABLE TRANSACTIONS BY FUND, BUSINESS UNIT AND DEPARTMENT
FISCAL YEAR ENDED MARCH 31, 2016
(Amounts in thousands)**

	<u>BALANCE APRIL 1, 2015</u>	<u>ADVANCES</u>	<u>REIMBURSEMENTS</u>	<u>BALANCE MARCH 31, 2016</u>
LOCAL ASSISTANCE ACCOUNT:				
PUBLIC BENEFIT CORPORATIONS:				
Development Authority of the North Country:				
Expansion of US Army Fort Drum.....	\$ 791	\$ -	\$ -	\$ 791
Urban Development Corporation:				
Regional Economic Development Program.....	1,150	-	-	1,150
New York Racing Association - Economic Development.....	8,400	-	-	8,400
TOTAL LOCAL ASSISTANCE ACCOUNT.....	<u>10,341</u>	<u>-</u>	<u>-</u>	<u>10,341</u>
STATE OPERATIONS ACCOUNT:				
PUBLIC BENEFIT CORPORATIONS:				
Development Authority of the North Country:				
Expansion of US Army Fort Drum.....	423	-	50	373
Urban Development Corporation:				
High Risk Targeted Investment Program.....	1,162	-	-	1,162
TOTAL STATE OPERATIONS ACCOUNT.....	<u>1,585</u>	<u>-</u>	<u>50</u>	<u>1,535</u>
TOTAL GENERAL FUND.....	<u>\$ 11,926</u>	<u>\$ -</u>	<u>\$ 50</u>	<u>\$ 11,876</u>

STATE OF NEW YORK
 SPECIAL REVENUE FUNDS
 SUMMARY OF APPROPRIATED LOANS RECEIVABLE TRANSACTIONS BY FUND, BUSINESS UNIT AND DEPARTMENT
 FISCAL YEAR ENDED MARCH 31, 2016
 (Amounts in thousands)

SCHEDULE 13

	<u>BALANCE APRIL 1, 2015</u>	<u>ADVANCES</u>	<u>REIMBURSEMENTS</u>	<u>BALANCE MARCH 31, 2016</u>
STATE LOTTERY FUND:				
NYS GAMING COMMISSION:				
New York Racing Association.....	\$ 9,000	\$ -	\$ -	\$ 9,000
TOTAL STATE LOTTERY FUND.....	<u>9,000</u>	<u>-</u>	<u>-</u>	<u>9,000</u>
TOTAL SPECIAL REVENUE FUNDS.....	<u>\$ 9,000</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 9,000</u>

**STATE OF NEW YORK
CAPITAL PROJECTS FUNDS
SUMMARY OF APPROPRIATED LOANS RECEIVABLE TRANSACTIONS BY FUND, BUSINESS UNIT AND DEPARTMENT
FISCAL YEAR ENDED MARCH 31, 2016
(Amounts in thousands)**

SCHEDULE 14

	<u>BALANCE APRIL 1, 2015</u>	<u>ADVANCES</u>	<u>REIMBURSEMENTS</u>	<u>BALANCE MARCH 31, 2016</u>
STATE CAPITAL PROJECTS FUND:				
ENVIRONMENTAL CONSERVATION:				
Municipal Share of Flood Control Projects.....	\$ 17,299	\$ -	\$ -	\$ 17,299
OFFICE OF GENERAL SERVICES:				
Real Property Maintenance and Improvements.....	923	-	-	923
TRANSPORTATION:				
Railroad Crossing Improvement Projects - Municipal Share.....	1,116	-	-	1,116
South Bronx Oak Point Link Project - New York.....	630	-	-	630
PUBLIC BENEFIT CORPORATIONS:				
Albany Port District Commission - Construction/Rehabilitation of Port Facilities.....	-	-	-	-
Hudson River Park Trust - Regional Development.....	18,926	3,452	-	22,378
Niagara Frontier Transportation Authority:				
Construction/Rehabilitation of Buffalo International Airport.....	3,380	-	-	3,380
Ogdensburg Bridge and Port Authority:				
Construction and Development of Port Facilities.....	18,630	-	-	18,630
Port Authority of New York and New Jersey - South Bronx Oak Point Link.....	3,230	-	-	3,230
Port of Oswego Authority - Construction and Development of Port Facilities.....	3,706	-	-	3,706
Urban Development Corporation:				
Broadway Redevelopment.....	5,000	-	-	5,000
Construction of Albany Civic Center.....	6,500	-	-	6,500
Construction of Binghamton Multi - Use Stadium.....	1,400	-	-	1,400
Construction of Buffalo Parking Facility.....	5,399	-	-	5,399
Construction of Buffalo Sports Stadium.....	22,420	-	-	22,420
Construction of Rochester Convention Center.....	39,433	-	-	39,433
Economic Development Program.....	348	-	-	348
High Risk Targeted Investment Program.....	11,234	-	-	11,234
Minority and Women Business Assistance.....	3,010	-	-	3,010
South Street Seaport Development Project.....	1,929	-	-	1,929
Strategic Resurgence.....	1,146	-	-	1,146
Urban Community Development.....	6,995	-	-	6,995
TOTAL STATE CAPITAL PROJECTS FUND	172,654	3,452	-	176,106
DEDICATED HIGHWAY AND BRIDGE TRUST FUND:				
TRANSPORTATION:				
Industrial Access Program.....	21,529	9	-	21,538
TOTAL DEDICATED HIGHWAY AND BRIDGE TRUST FUND.....	21,529	9	-	21,538
TOTAL CAPITAL PROJECTS FUNDS	\$ 194,183	\$ 3,461	\$ -	\$ 197,644

STATE OF NEW YORK
STATEMENT OF STATE GENERAL OBLIGATION DEBT ACTIVITY
FISCAL YEAR ENDED MARCH 31, 2016

SCHEDULE 15

PURPOSE	PRINCIPAL					INTEREST (*)	
	OUTSTANDING APRIL 1, 2015	ISSUED		REDEEMED	OUTSTANDING MARCH 31, 2016	MARCH 31, 2016	MARCH 31, 2015
		REFUNDING	ISSUANCE				
Accelerated Capacity and Transportation							
Improvements of the Nineties (ACTION).....	\$ 151,044,395.19	\$ -	\$ -	\$ 44,088,768.14	\$ 106,955,627.05	\$ 4,951,534.67	\$ 9,150,971.45
Clean Water/Clean Air:							
Air Quality.....	9,754,327.60	-	-	6,743,375.51	3,010,952.09	302,387.62	656,917.62
Safe Drinking Water.....	-	-	-	-	-	-	376.74
Clean Water.....	429,426,360.50	-	-	26,882,315.89	402,544,044.61	15,292,838.51	16,532,867.72
Solid Waste.....	42,810,106.09	-	-	5,684,197.67	37,125,908.42	777,868.31	1,063,745.70
Environmental Restoration.....	91,604,900.75	-	-	12,340,510.20	79,264,390.55	3,768,087.51	3,352,018.31
Energy Conservation Through Improved Transportation:							
Local Streets and Highways.....	-	-	-	-	-	-	-
Rapid Transit and Rail Freight.....	5,286,209.05	-	-	2,712,050.29	2,574,158.76	171,353.98	273,740.01
Environmental Quality (1972):							
Air.....	2,986,458.23	-	-	2,491,604.94	494,853.29	86,827.17	194,136.60
Land and Wetlands.....	10,029,155.14	-	-	3,357,286.96	6,671,868.18	408,774.21	489,754.57
Water.....	40,929,864.30	-	-	11,228,183.55	29,701,680.75	1,449,961.62	1,855,917.29
Environmental Quality (1986):							
Land Acquisition/Development/Restoration/Forests.....	20,036,912.74	-	-	4,547,719.81	15,489,192.93	538,920.33	736,482.81
Solid Waste Management.....	226,116,165.97	-	-	46,980,744.03	179,135,421.94	8,122,623.64	10,511,030.08
Higher Education Facilities.....							
Housing:							
Low Income.....	19,890,000.00	-	-	3,770,000.00	16,120,000.00	584,100.00	698,100.00
Middle Income.....	17,285,000.00	-	-	3,310,000.00	13,975,000.00	503,900.00	578,230.00
Urban Renewal.....	-	-	-	-	-	-	-
Outdoor Recreation Development.....							
Park and Recreation Land Acquisition.....							
Park and Recreation Land Acquisition.....	9,270.05	-	-	2,918.60	6,351.45	370.80	482.99
Pure Waters.....							
Pure Waters.....	38,693,774.38	-	-	7,447,407.98	31,246,366.40	1,423,839.10	1,814,866.76
Rail Preservation.....							
Rail Preservation.....	747,162.13	-	-	449,723.49	297,438.64	26,288.73	45,693.02
Rebuild and Renew New York Transportation:							
Highway Facilities.....	855,692,480.44	-	-	53,663,190.07	802,029,290.37	38,055,126.36	36,785,952.13
Canals and Waterways.....	17,492,917.89	-	-	2,473,809.85	15,019,108.04	806,690.04	589,712.62
Aviation.....	51,322,171.86	-	-	2,619,079.36	48,703,092.50	2,214,033.37	2,308,409.17
Rail and Port.....	82,762,796.20	-	-	3,111,383.13	79,651,413.07	3,786,378.25	3,495,470.16
Mass Transit - Dept. of Transportation.....	7,992,060.77	-	-	1,723,729.69	6,268,331.08	362,697.18	433,574.77
Mass Transit - Metropolitan Transportation Authority.....	877,031,335.39	-	-	38,944,442.26	838,086,893.13	38,201,473.46	39,487,750.89
Rebuild New York - Transportation Infrastructure Renewal:							
Highways, Parkways and Bridges.....	1,808,681.40	-	-	381,335.32	1,427,346.08	84,018.73	115,657.40
Ports, Canals and Waterways.....	-	-	-	-	-	-	-
Rapid Transit, Rail and Aviation.....	7,849,619.16	-	-	2,073,633.78	5,775,985.38	283,009.87	398,640.44
Smart Schools Bond Act.....							
Transportation Capital Facilities:							
Aviation.....	9,069,490.58	-	-	3,222,875.60	5,846,614.98	291,604.63	431,550.71
Highways.....	-	-	-	-	-	-	-
Mass Transportation.....	78,383.65	-	-	39,713.88	38,669.77	3,010.63	5,063.22
TOTAL GENERAL OBLIGATION DEBT.....	\$ 3,017,749,999.46	\$ -	\$ -	\$ 290,290,000.00	\$ 2,727,459,999.46	\$ 122,497,718.72	\$ 132,007,113.18

(*) Interest on general obligation bonds is paid from the General Debt Service Fund, except for interest on housing bonds, which is paid from the Housing Debt Fund.

STATE OF NEW YORK
GENERAL OBLIGATION DEBT
AUTHORIZED, ISSUED AND OUTSTANDING
AS OF MARCH 31, 2016

SCHEDULE 16

PURPOSE	YEAR OF FIRST ISSUANCE	YEAR OF LAST MATURITY	BONDS AND NOTES AUTHORIZED	BONDS AND NOTES ISSUED (*)	AUTHORIZED BUT UNISSUED	TOTAL DEBT OUTSTANDING
Accelerated Capacity and Transportation Improvements of the Nineties (ACTION)	1989	2031	\$ 3,000,000,000	\$ 2,979,768,596	\$ 20,231,404	\$ 106,955,627
Clean Water/Clean Air:						
Air Quality.....	1997	2022	230,000,000	200,291,165	29,708,835	3,010,952
Safe Drinking Water.....	1998	2015	355,000,000	355,000,000	-	-
Clean Water.....	1997	2045	790,000,000	728,183,917 (***)	61,816,083	402,544,045
Solid Waste.....	1999	2040	175,000,000	172,096,402 (***)	2,903,598	37,125,908
Environmental Restoration.....	1998	2035	200,000,000	176,917,397	23,082,603	79,264,391
Energy Conservation Through Improved Transportation:						
Local Streets and Highways.....	1981	1995	100,000,000	100,000,000	-	-
Rapid Transit and Rail Freight.....	1981	2033	400,000,000	400,000,000	-	2,574,159
Environmental Quality (1972):						
Air.....	1977	2021	150,000,000	137,646,893 (***)	12,353,107	494,853
Land and Wetlands.....	1976	2029	350,000,000	342,645,602	7,354,398	6,671,868
Water.....	1976	2043	650,000,000	647,667,912	2,332,088	29,701,681
Environmental Quality (1986):						
Land Acquisition/Development/Restoration/Forests.....	1989	2035	250,000,000	248,964,527	1,035,473	15,489,193
Solid Waste Management.....	1989	2033	1,200,000,000	1,151,010,576	48,989,424	179,135,422
Housing:						
Low Income.....	1941	2024	960,000,000	952,072,000	7,928,000	16,120,000
Middle Income.....	1957	2023	150,000,000	149,500,000	500,000	13,975,000
Urban Renewal.....	1957	2009	25,000,000	23,425,000	1,575,000	-
Outdoor Recreation Development	1970	2010	200,000,000	199,770,000	230,000	-
Park and Recreation Land Acquisition	1959	2018	100,000,000	99,228,000	772,000	6,351
Pure Waters	1968	2043	1,000,000,000	980,075,995	19,924,005	31,246,366
Rail Preservation	1977	2017	250,000,000	250,000,000	-	297,439
Rebuild and Renew New York Transportation:						
Transportation Purposes (excluding MTA):						
Highway Facilities.....	2006	2042	-	1,142,172,938 (**)	-	802,029,290
Canals and Waterways.....	2009	2025	-	28,422,423 (**)	-	15,019,108
Aviation.....	2009	2043	-	64,187,404 (**)	-	48,703,093
Rail and Port.....	2007	2045	-	97,595,450 (**)	-	79,651,413
Mass Transit - Department of Transportation.....	2008	2021	-	17,672,000 (**)	-	6,268,331
Total Transportation Purposes (excluding MTA).....			1,450,000,000 (**)	1,350,050,215 (**)	99,949,785 (**)	951,671,235
Mass Transit - Metropolitan Transportation Authority.....	2006	2043	1,450,000,000	1,064,151,648	385,848,352	838,086,893
Rebuild New York - Transportation Infrastructure Renewal:						
Highways, Parkways and Bridges.....	1984	2025	1,064,000,000 (***)	1,043,500,569	20,499,431	1,427,346
Ports, Canals and Waterways.....	1984	2013	49,360,000 (***)	49,360,000	-	-
Rapid Transit, Rail and Aviation.....	1984	2027	136,640,000 (***)	136,640,000	-	5,775,985
Smart Schools Bond Act	-	-	2,000,000,000	-	2,000,000,000	-
Transportation Capital Facilities:						
Aviation.....	1971	2027	250,000,000	250,000,000	-	5,846,615
Highways.....	1968	1998	1,250,000,000	1,250,000,000	-	-
Mass Transportation.....	1970	2017	1,000,000,000	1,000,000,000	-	38,670
TOTAL GENERAL OBLIGATION DEBT			\$ 19,185,000,000	\$ 16,437,966,416	\$ 2,747,033,584	\$ 2,727,459,999

(*) For the purpose of calculating bonds issued, par values of the bonds sold are adjusted for any premium/discount that was applied at the time of issuance.

(**) Legislation authorizing the issuance of Rebuild and Renew New York Transportation Bonds only specified the total amount of bonds authorized to be issued for transportation purposes (excluding MTA). As bonds are issued, this report will categorize the issuance under its designated purpose.

(***) Authorizations have been adjusted to reflect reallocations made by Chapter 54 of the Laws of 1990.

(****) Bond proceeds from Series 2003D were reallocated from EQ72-Air to Clean Water/Clean Air - Water and Solid Waste purposes.

STATE OF NEW YORK
GENERAL OBLIGATION DEBT
PRINCIPAL AND INTEREST PAYMENTS
FISCAL YEARS 2006-07 THRU 2015-16

SCHEDULE 17

PURPOSE	YEAR OF FIRST ISSUANCE	YEAR OF LAST MATURITY	March 31, 2007		March 31, 2008		March 31, 2009	
			PRINCIPAL	INTEREST	PRINCIPAL	INTEREST	PRINCIPAL	INTEREST
Accelerated Capacity and Transportation Improvements of the Nineties (ACTION)	1989	2031	\$ 91,537,401	\$ 40,397,354	\$ 90,972,644	\$ 36,316,411	\$ 92,815,636	\$ 32,361,946
Clean Water/Clean Air:								
Air Quality.....	1997	2022	14,402,246	5,363,270	15,882,790	4,955,950	15,996,664	4,057,198
Safe Drinking Water.....	1998	2015	37,576,637	9,253,559	39,614,549	7,238,956	33,540,365	4,758,315
Clean Water.....	1997	2045	17,763,638	18,596,717	19,054,377	18,737,796	21,276,439	18,478,671
Solid Waste.....	1999	2040	10,304,809	4,385,363	10,989,823	4,316,190	11,443,816	3,483,526
Environmental Restoration.....	1998	2035	2,817,978	1,260,124	4,153,956	1,817,310	5,341,624	2,088,664
Energy Conservation Through Improved Transportation:								
Local Streets and Highways.....	1981	1995	-	-	-	-	-	-
Rapid Transit and Rail Freight.....	1981	2033	4,653,002	1,721,880	4,461,422	1,612,855	4,388,022	1,384,635
Environmental Quality (1972):								
Air.....	1977	2021	3,652,497	1,382,759	3,607,154	1,228,184	3,775,156	1,031,090
Land and Wetlands.....	1976	2029	10,844,049	2,889,246	9,021,260	2,826,162	9,073,796	2,413,490
Water.....	1976	2043	18,143,043	7,656,629	17,236,300	7,542,077	17,164,312	6,622,378
Environmental Quality (1986):								
Land Acquisition/Development/Restoration/Forests.....	1989	2035	10,744,549	4,174,426	12,681,755	3,755,551	12,544,326	2,952,220
Solid Waste Management.....	1989	2033	54,888,038	27,244,214	54,520,571	25,397,214	56,202,570	19,748,632
Higher Education Facilities	1959	2007	270,000	13,500	-	-	-	-
Housing:								
Low Income.....	1941	2024	12,648,431	2,859,644	10,461,765	2,455,948	10,471,572	2,089,381
Middle Income.....	1957	2023	4,363,000	2,631,872	4,542,000	2,454,758	4,733,000	2,269,755
Urban Renewal.....	1957	2009	31,709	2,036	10,463	871	10,284	289
Outdoor Recreation Development	1970	2010	78,803	17,230	105,639	12,338	100,207	6,893
Park and Recreation Land Acquisition	1959	2018	31,836	2,218	5,388	1,227	7,717	1,868
Pure Waters	1968	2043	18,532,927	5,627,275	13,379,805	5,180,404	11,291,284	4,577,512
Rail Preservation	1977	2017	6,562,055	1,634,527	5,901,567	1,385,409	5,878,403	1,066,504
Rebuild and Renew New York Transportation:								
Highway Facilities.....	2006	2042	599,946	289,204	2,739,683	2,001,372	11,828,414	7,606,786
Canals and Waterways.....	2009	2025	-	-	-	-	-	-
Aviation.....	2009	2043	-	-	-	-	-	-
Rail and Port.....	2007	2045	-	-	68,438	157,348	71,392	164,357
Mass Transit - Department of Transportation.....	2008	2021	-	-	-	-	338,907	131,898
Mass Transit - Metropolitan Transportation Authority.....	2006	2043	1,659,931	1,607,452	2,675,581	3,761,327	5,843,788	5,152,346
Rebuild New York - Transportation Infrastructure Renewal:								
Highways, Parkways and Bridges.....	1984	2025	1,519,210	328,987	1,517,202	325,825	1,463,850	269,349
Ports, Canals and Waterways.....	1984	2013	524,421	41,700	264,198	19,759	73,762	7,130
Rapid Transit, Rail and Aviation.....	1984	2027	7,118,854	1,772,970	6,142,255	1,553,034	2,832,446	1,203,904
Smart Schools Bond Act	-	-	-	-	-	-	-	-
Transportation Capital Facilities:								
Aviation.....	1971	2027	6,088,152	1,896,447	6,206,476	1,776,849	4,061,352	1,423,428
Highways.....	1968	1998	-	-	-	-	-	-
Mass Transportation.....	1970	2017	14,714,838	3,073,966	13,483,939	2,351,344	10,287,896	1,686,119
TOTALS			\$ 352,072,000	\$ 146,124,569	\$ 349,701,000	\$ 139,182,469	\$ 352,857,000	\$ 127,038,284

STATE OF NEW YORK
GENERAL OBLIGATION DEBT
PRINCIPAL AND INTEREST PAYMENTS
FISCAL YEARS 2006-07 THRU 2015-16

SCHEDULE 17
(continued)

PURPOSE	YEAR OF FIRST ISSUANCE	YEAR OF LAST MATURITY	March 31, 2010		March 31, 2011		March 31, 2012	
			PRINCIPAL	INTEREST	PRINCIPAL	INTEREST	PRINCIPAL	INTEREST
Accelerated Capacity and Transportation Improvements of the Nineties (ACTION)	1989	2031	\$ 93,970,062	\$ 27,349,527	\$ 92,979,557	\$ 24,007,853	\$ 88,086,857	\$ 18,490,218
Clean Water/Clean Air:								
Air Quality.....	1997	2022	14,887,861	3,143,766	14,508,714	2,525,816	14,736,251	2,047,370
Safe Drinking Water.....	1998	2015	26,522,789	2,803,835	20,904,914	1,780,093	15,195,455	982,335
Clean Water.....	1997	2045	23,574,084	15,642,941	27,515,255	17,843,809	25,584,706	17,694,260
Solid Waste.....	1999	2040	12,425,758	2,387,169	13,092,695	2,245,038	11,780,361	1,919,064
Environmental Restoration.....	1998	2035	7,749,049	3,109,731	8,886,205	3,577,987	10,127,430	3,683,712
Energy Conservation Through Improved Transportation:								
Local Streets and Highways.....	1981	1995	-	-	-	-	-	-
Rapid Transit and Rail Freight.....	1981	2033	3,812,513	1,165,854	4,757,324	942,002	3,703,162	526,891
Environmental Quality (1972):								
Air.....	1977	2021	3,333,165	832,198	3,476,088	697,123	3,633,186	561,329
Land and Wetlands.....	1976	2029	7,447,114	1,981,066	8,197,214	1,669,435	7,121,195	1,236,074
Water.....	1976	2043	13,663,045	5,804,401	15,270,074	5,117,574	13,785,658	3,700,533
Environmental Quality (1986):								
Land Acquisition/Development/Restoration/Forests.....	1989	2035	14,674,181	1,996,679	6,108,064	1,618,094	6,038,641	1,289,450
Solid Waste Management.....	1989	2033	56,457,669	14,912,486	57,045,834	16,929,358	61,518,957	14,785,149
Higher Education Facilities	1959	2007	-	-	-	-	-	-
Housing:								
Low Income.....	1941	2024	10,361,326	1,736,069	7,619,253	1,412,401	7,149,472	1,141,010
Middle Income.....	1957	2023	4,925,000	2,076,600	5,123,000	1,875,053	5,344,000	751,158
Urban Renewal.....	1957	2009	-	-	-	-	-	-
Outdoor Recreation Development	1970	2010	30,318	1,637	-	-	-	-
Park and Recreation Land Acquisition	1959	2018	7,169	1,609	7,783	1,250	12,605	874
Pure Waters	1968	2043	9,661,085	4,093,915	9,013,047	3,691,330	9,585,460	2,912,892
Rail Preservation	1977	2017	4,860,550	758,369	4,024,814	514,339	2,885,412	264,874
Rebuild and Renew New York Transportation:								
Highway Facilities.....	2006	2042	16,840,573	13,046,843	27,569,401	21,218,377	34,756,665	28,962,644
Canals and Waterways.....	2009	2025	607,862	275,990	1,261,216	477,201	1,288,473	455,013
Aviation.....	2009	2043	645,134	665,354	1,431,412	1,276,818	1,982,907	1,846,232
Rail and Port.....	2007	2045	1,129,798	1,699,816	1,715,052	2,216,286	2,236,652	3,393,485
Mass Transit - Department of Transportation.....	2008	2021	1,003,156	422,134	1,098,450	425,206	1,491,192	579,552
Mass Transit - Metropolitan Transportation Authority.....	2006	2043	11,226,443	13,313,863	17,753,650	20,050,556	21,930,194	27,956,978
Rebuild New York - Transportation Infrastructure Renewal:								
Highways, Parkways and Bridges.....	1984	2025	1,403,809	202,001	941,679	157,461	677,982	131,769
Ports, Canals and Waterways.....	1984	2013	14,912	4,722	35,695	3,747	37,435	2,285
Rapid Transit, Rail and Aviation.....	1984	2027	1,788,927	1,075,196	2,657,637	958,247	2,387,899	753,898
Smart Schools Bond Act	-	-	-	-	-	-	-	-
Transportation Capital Facilities:								
Aviation.....	1971	2027	3,858,075	1,220,590	3,552,316	1,032,850	3,224,757	758,251
Highways.....	1968	1998	-	-	-	-	-	-
Mass Transportation.....	1970	2017	8,422,573	1,143,087	8,145,657	682,885	4,695,036	286,703
TOTALS			\$ 355,304,000	\$ 122,867,447	\$ 364,692,000	\$ 134,948,189	\$ 360,998,000	\$ 137,114,003

STATE OF NEW YORK
GENERAL OBLIGATION DEBT
PRINCIPAL AND INTEREST PAYMENTS
FISCAL YEARS 2006-07 THRU 2015-16

SCHEDULE 17
(continued)

PURPOSE	YEAR OF FIRST ISSUANCE	YEAR OF LAST MATURITY	March 31, 2013		March 31, 2014		March 31, 2015		March 31, 2016	
			PRINCIPAL	INTEREST	PRINCIPAL	INTEREST	PRINCIPAL	INTEREST	PRINCIPAL	INTEREST
Accelerated Capacity and Transportation Improvements of the Nineties (ACTION)	1989	2031	\$ 80,150,520	\$ 15,514,645	\$ 59,239,005	\$ 11,324,805	\$ 73,050,730	\$ 9,150,971	\$ 44,088,768	\$ 4,951,535
Clean Water/Clean Air:										
Air Quality.....	1997	2022	12,643,037	1,592,780	12,211,777	1,102,502	10,440,575	656,918	6,743,376	302,388
Safe Drinking Water.....	1998	2015	9,109,125	436,578	3,447,572	64,376	7,932	377	-	-
Clean Water.....	1997	2045	27,069,670	17,361,198	28,732,807	16,353,261	25,355,095	16,532,868	26,882,316	15,292,839
Solid Waste.....	1999	2040	11,039,456	1,629,009	9,416,589	1,263,579	5,417,017	1,063,746	5,684,198	777,868
Environmental Restoration.....	1998	2035	11,350,173	4,010,003	11,328,773	3,724,766	11,780,068	3,352,018	12,340,510	3,768,088
Energy Conservation Through Improved Transportation:										
Local Streets and Highways.....	1981	1995	-	-	-	-	-	-	-	-
Rapid Transit and Rail Freight.....	1981	2033	3,498,079	399,450	3,290,514	295,223	1,273,923	273,740	2,712,050	171,354
Environmental Quality (1972):										
Air.....	1977	2021	3,140,960	438,407	3,100,735	297,401	1,797,336	194,137	2,491,605	86,827
Land and Wetlands.....	1976	2029	7,015,543	977,624	6,559,377	702,250	3,437,109	489,754	3,357,287	408,774
Water.....	1976	2043	16,670,711	2,963,331	18,317,279	2,152,239	8,371,903	1,855,917	11,228,184	1,449,962
Environmental Quality (1986):										
Land Acquisition/Development/Restoration/Forests.....	1989	2035	6,927,201	1,089,955	4,431,460	825,329	3,046,876	736,483	4,547,720	538,920
Solid Waste Management.....	1989	2033	50,032,595	13,468,435	51,812,599	11,356,614	46,469,128	10,511,030	46,980,744	8,122,624
Higher Education Facilities	1959	2007	-	-	-	-	-	-	-	-
Housing:										
Low Income.....	1941	2024	5,935,000	967,650	4,765,000	822,050	3,770,000	698,100	3,770,000	584,100
Middle Income.....	1957	2023	4,415,000	685,251	4,720,000	643,526	4,740,000	578,230	3,310,000	503,900
Urban Renewal.....	1957	2009	-	-	-	-	-	-	-	-
Outdoor Recreation Development	1970	2010	-	-	-	-	-	-	-	-
Park and Recreation Land Acquisition	1959	2018	2,601	685	2,787	581	2,804	483	2,919	371
Pure Waters	1968	2043	10,178,930	2,518,976	10,965,252	1,993,405	6,159,061	1,814,867	7,447,408	1,423,839
Rail Preservation	1977	2017	2,043,406	138,739	1,533,034	80,838	396,834	45,693	449,723	26,289
Rebuild and Renew New York Transportation:										
Highway Facilities.....	2006	2042	39,875,281	33,531,325	46,821,599	38,098,806	48,236,187	36,785,952	53,663,190	38,055,126
Canals and Waterways.....	2009	2025	1,674,360	627,868	1,853,459	652,102	1,919,404	589,713	2,473,810	806,690
Aviation.....	2009	2043	2,038,342	1,875,106	2,425,104	2,364,454	2,511,284	2,308,409	2,619,079	2,214,033
Rail and Port.....	2007	2045	2,309,975	3,494,641	2,612,405	3,589,764	2,716,588	3,495,470	3,111,383	3,786,378
Mass Transit - Department of Transportation.....	2008	2021	1,524,394	561,064	1,595,202	502,433	1,652,617	433,575	1,723,730	362,697
Mass Transit - Metropolitan Transportation Authority.....	2006	2043	27,585,257	35,749,267	36,650,462	40,302,233	37,666,361	39,487,751	38,944,442	38,201,473
Rebuild New York - Transportation Infrastructure Renewal:										
Highways, Parkways and Bridges.....	1984	2025	715,024	113,449	799,745	142,524	830,180	115,657	381,335	84,019
Ports, Canals and Waterways.....	1984	2013	38,396	768	-	-	-	-	-	-
Rapid Transit, Rail and Aviation.....	1984	2027	3,333,691	631,031	2,970,463	417,897	1,588,953	398,640	2,073,634	283,010
Smart Schools Bond Act	-	-	-	-	-	-	-	-	-	-
Transportation Capital Facilities:										
Aviation.....	1971	2027	2,594,718	607,650	2,309,984	481,966	1,756,299	431,551	3,222,876	291,605
Highways.....	1968	1998	-	-	-	-	-	-	-	-
Mass Transportation.....	1970	2017	2,833,555	67,189	892,017	19,001	40,736	5,063	39,714	3,011
TOTALS			\$345,745,000	\$141,452,074	\$332,805,000	\$139,573,925	\$304,435,000	\$132,007,113	\$290,290,000	\$122,497,719

**STATE OF NEW YORK
FUTURE GENERAL OBLIGATION DEBT SERVICE
REQUIREMENTS PAYABLE FROM DEBT SERVICE FUNDS (*)**

SCHEDULE 18

PURPOSE	March 31, 2017			March 31, 2018			March 31, 2019		
	PRINCIPAL	INTEREST	TOTAL	PRINCIPAL	INTEREST	TOTAL	PRINCIPAL	INTEREST	TOTAL
Accelerated Capacity and Transportation Improvements of the Nineties (ACTION)	\$ 44,215,664	\$ 4,219,269	\$ 48,434,933	\$ 30,464,862	\$ 2,377,655	\$ 32,842,517	\$ 15,527,176	\$ 1,252,386	\$ 16,779,562
Clean Water/Clean Air:									
Air Quality.....	1,195,274	127,794	1,323,068	472,507	72,010	544,517	490,368	51,606	541,974
Safe Drinking Water.....	-	-	-	-	-	-	-	-	-
Clean Water.....	28,512,699	16,491,200	45,003,899	26,962,570	15,272,791	42,235,361	25,521,933	14,074,480	39,596,413
Solid Waste.....	5,654,802	1,401,290	7,056,092	5,338,512	1,174,711	6,513,223	5,597,765	953,731	6,551,496
Environmental Restoration.....	12,168,464	3,348,261	15,516,725	10,767,101	2,803,096	13,570,197	10,138,496	2,316,189	12,454,685
Energy Conservation Through Improved Transportation:									
Local Streets and Highways.....	-	-	-	-	-	-	-	-	-
Rapid Transit and Rail Freight.....	726,809	98,450	825,259	229,318	75,186	304,504	264,788	65,555	330,343
Environmental Quality (1972):									
Air.....	162,782	20,530	183,312	162,864	12,450	175,314	162,960	4,357	167,317
Land and Wetlands.....	2,958,457	269,796	3,228,253	709,823	176,258	886,081	986,004	136,191	1,122,195
Water.....	8,162,461	1,028,549	9,191,010	5,880,496	729,351	6,609,847	4,821,685	532,750	5,354,435
Environmental Quality (1986):									
Land Acquisition/Development/Restoration/Forests.....	3,724,569	623,205	4,347,774	3,182,377	466,474	3,648,851	2,379,711	343,339	2,723,050
Solid Waste Management.....	37,584,067	7,897,432	45,481,499	27,787,939	6,215,298	34,003,237	14,088,373	4,942,716	19,031,089
Higher Education Facilities	-	-	-	-	-	-	-	-	-
Housing:									
Low Income.....	2,880,000	479,000	3,359,000	2,880,000	382,800	3,262,800	1,860,000	302,800	2,162,800
Middle Income.....	3,455,000	419,984	3,874,984	2,110,000	341,882	2,451,882	2,185,000	275,781	2,460,781
Urban Renewal.....	-	-	-	-	-	-	-	-	-
Outdoor Recreation Development	-	-	-	-	-	-	-	-	-
Park and Recreation Land Acquisition	3,113	254	3,367	3,238	130	3,368	-	-	-
Pure Waters	5,697,235	1,209,791	6,907,026	4,276,347	986,610	5,262,957	3,217,264	839,407	4,056,671
Rail Preservation	297,439	7,867	305,306	-	-	-	-	-	-
Rebuild and Renew New York Transportation:									
Highway Facilities.....	55,248,657	35,814,737	91,063,394	55,858,222	33,511,076	89,369,298	49,599,735	30,971,323	80,571,058
Canals and Waterways.....	2,579,356	703,895	3,283,251	2,692,443	591,155	3,283,598	2,815,133	468,539	3,283,672
Aviation.....	2,734,939	2,098,775	4,833,714	2,849,840	1,982,870	4,832,710	2,984,498	1,848,517	4,833,015
Rail and Port.....	3,257,339	3,644,105	6,901,444	3,414,705	3,485,942	6,900,647	3,591,108	3,310,819	6,901,927
Mass Transit - Department of Transportation.....	1,813,667	283,409	2,097,076	1,892,336	205,135	2,097,471	1,495,780	120,699	1,616,479
Mass Transit - Metropolitan Transportation Authority.....	38,675,678	36,743,919	75,419,597	40,070,170	35,343,116	75,413,286	37,449,646	33,753,946	71,203,592
Rebuild New York - Transportation Infrastructure Renewal:									
Highways, Parkways and Bridges.....	337,856	66,669	404,525	169,791	52,793	222,584	178,281	44,303	222,584
Ports, Canals and Waterways.....	-	-	-	-	-	-	-	-	-
Rapid Transit, Rail and Aviation.....	1,304,038	230,058	1,534,096	781,006	183,789	964,795	1,088,953	147,448	1,236,401
Smart Schools Bond Act	-	-	-	-	-	-	-	-	-
Transportation Capital Facilities:									
Aviation.....	1,455,965	215,415	1,671,380	628,533	170,406	798,939	720,343	145,408	865,751
Highways.....	-	-	-	-	-	-	-	-	-
Mass Transportation.....	38,670	996	39,666	-	-	-	-	-	-
TOTAL FUTURE DEBT SERVICE REQUIREMENTS	\$ 264,845,000	\$ 117,444,650	\$ 382,289,650	\$ 229,585,000	\$ 106,612,984	\$ 336,197,984	\$ 187,165,000	\$ 96,902,290	\$ 284,067,290

(*) See Accompanying Footnotes

STATE OF NEW YORK
 FUTURE GENERAL OBLIGATION DEBT SERVICE
 REQUIREMENTS PAYABLE FROM DEBT SERVICE FUNDS (*)

SCHEDULE 18
 (continued)

PURPOSE	March 31, 2020			March 31, 2021			THEREAFTER			TOTAL FUTURE DEBT SERVICE		
	PRINCIPAL	INTEREST	TOTAL	PRINCIPAL	INTEREST	TOTAL	PRINCIPAL	INTEREST	TOTAL	PRINCIPAL	INTEREST	TOTAL
Accelerated Capacity and Transportation												
Improvements of the Nineties (ACTION).....	\$ 5,501,074	\$ 755,643	\$ 6,256,717	\$ 1,464,683	\$ 504,701	\$ 1,969,384	\$ 9,782,168	\$ 1,737,645	\$ 11,519,813	\$ 106,955,627	\$ 10,847,299	\$ 117,802,926
Clean Water/Clean Air:												
Air Quality.....	509,359	30,599	539,958	309,518	14,179	323,697	33,926	1,018	34,944	3,010,952	297,206	3,308,158
Safe Drinking Water.....	-	-	-	-	-	-	-	-	-	-	-	-
Clean Water.....	26,517,327	12,948,879	39,466,206	25,986,973	11,784,735	37,771,708	269,042,543	83,472,045	352,514,588	402,544,045	154,044,130	556,588,175
Solid Waste.....	5,784,618	728,284	6,512,902	5,546,300	508,000	6,054,300	9,203,911	1,458,126	10,662,037	37,125,908	6,224,142	43,350,050
Environmental Restoration.....	7,101,664	1,850,104	8,951,768	5,239,087	1,566,073	6,805,160	33,849,579	8,503,585	42,353,164	79,264,391	20,387,308	99,651,699
Energy Conservation Through Improved Transportation:												
Local Streets and Highways.....	-	-	-	-	-	-	-	-	-	-	-	-
Rapid Transit and Rail Freight.....	138,980	56,736	195,716	191,808	49,218	241,026	1,022,456	141,316	1,163,772	2,574,159	486,461	3,060,620
Environmental Quality (1972):												
Air.....	3,063	250	3,313	3,184	129	3,313	-	-	-	494,853	37,716	532,569
Land and Wetlands.....	570,058	98,225	668,283	304,452	71,194	375,646	1,143,074	143,069	1,286,143	6,671,868	894,733	7,566,601
Water.....	4,038,036	375,590	4,413,626	1,170,720	278,686	1,449,406	5,628,283	1,440,694	7,068,977	29,701,681	4,385,620	34,087,301
Environmental Quality (1986):												
Land Acquisition/Development/Restoration/Forests...	2,311,965	242,904	2,554,869	1,475,188	149,018	1,624,206	2,415,383	478,443	2,893,826	15,489,193	2,303,383	17,792,576
Solid Waste Management.....	14,561,297	4,295,907	18,857,204	14,770,062	3,628,854	18,398,916	70,343,684	10,499,019	80,842,703	179,135,422	37,479,226	216,614,648
Higher Education Facilities.....	-	-	-	-	-	-	-	-	-	-	-	-
Housing:												
Low Income.....	1,860,000	243,000	2,103,000	1,860,000	187,200	2,047,200	4,780,000	238,800	5,018,800	16,120,000	1,833,600	17,953,600
Middle Income.....	2,190,000	200,855	2,390,855	2,240,000	117,195	2,357,195	1,795,000	57,593	1,852,593	13,975,000	1,413,290	15,388,290
Urban Renewal.....	-	-	-	-	-	-	-	-	-	-	-	-
Outdoor Recreation Development.....	-	-	-	-	-	-	-	-	-	-	-	-
Park and Recreation Land Acquisition.....	-	-	-	-	-	-	-	-	-	6,351	384	6,735
Pure Waters.....	2,775,450	726,634	3,502,084	2,228,016	629,101	2,857,117	13,052,054	4,848,415	17,900,469	31,246,366	9,239,958	40,486,324
Rail Preservation.....	-	-	-	-	-	-	-	-	-	297,439	7,867	305,306
Rebuild and Renew New York Transportation:												
Highway Facilities.....	49,509,436	28,847,621	78,357,057	47,341,116	26,752,171	74,093,287	544,472,124	143,179,871	687,651,995	802,029,290	299,076,799	1,101,106,089
Canals and Waterways.....	1,971,091	339,085	2,310,176	1,294,178	246,069	1,540,247	3,666,907	403,678	4,070,585	15,019,108	2,752,421	17,771,529
Aviation.....	2,300,142	1,724,050	4,024,192	1,785,925	1,656,470	3,442,395	36,047,749	18,517,530	54,565,279	48,703,093	27,828,212	76,531,305
Rail and Port.....	2,661,304	3,151,341	5,812,645	2,757,022	3,054,806	5,811,828	63,969,935	32,004,787	95,974,722	79,651,413	48,651,800	128,303,213
Mass Transit - Department of Transportation.....	520,267	53,327	573,594	546,281	27,314	573,595	-	-	-	6,268,331	689,884	6,958,215
Mass Transit - Metropolitan Transportation Authority.....	34,468,972	32,361,383	66,830,355	30,907,004	31,035,423	61,942,427	656,515,423	342,722,241	999,237,664	838,086,893	511,960,028	1,350,046,921
Rebuild New York - Transportation Infrastructure Renewal:												
Highways, Parkways and Bridges.....	187,195	35,389	222,584	196,555	26,029	222,584	357,668	23,855	381,523	1,427,346	249,038	1,676,384
Ports, Canals and Waterways.....	-	-	-	-	-	-	-	-	-	-	-	-
Rapid Transit, Rail and Aviation.....	566,568	112,023	678,591	491,155	85,698	576,853	1,544,265	161,432	1,705,697	5,775,985	920,448	6,696,433
Smart Schools Bond Act.....	-	-	-	-	-	-	-	-	-	-	-	-
Transportation Capital Facilities:												
Aviation.....	947,134	114,603	1,061,737	460,773	87,745	548,518	1,633,867	159,814	1,793,681	5,846,615	893,391	6,740,006
Highways.....	-	-	-	-	-	-	-	-	-	-	-	-
Mass Transportation.....	-	-	-	-	-	-	-	-	-	38,670	996	39,666
TOTAL FUTURE DEBT SERVICE REQUIREMENTS.....	\$ 166,995,000	\$ 89,292,432	\$ 256,287,432	\$ 148,570,000	\$ 82,460,008	\$ 231,030,008	\$ 1,730,299,999	\$ 650,192,976	\$ 2,380,492,975	\$ 2,727,459,999	\$ 1,142,905,340	\$ 3,870,365,339

(*) See Accompanying Footnotes

STATE OF NEW YORK
DEBT SERVICE FUNDS
LEASE/PURCHASE AND OTHER FINANCING AGREEMENT DISBURSEMENTS
FISCAL YEAR ENDED MARCH 31, 2016

SCHEDULE 19

Special Contractual Financing Obligations:	DEBT	GENERAL	DEPARTMENT	LOCAL	MENTAL	REVENUE	SALES TAX	COMBINED TOTALS	
	REDUCTION RESERVE (40000-40049)	DEBT SERVICE (40151)	OF HEALTH INCOME (40300-40349)	GOVERNMENT ASSISTANCE TAX (40450-40499)	HEALTH SERVICES (40100-40149)	BOND TAX (40152)	REVENUE BOND TAX (40154)	2015-16	2014-15
Payments to Public Authorities:									
City University Construction.....	\$ -	\$ 219,529,219	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 219,529,219	\$ 182,266,796
Dormitory Authority:									
Albany County Airport.....	-	-	-	-	-	-	-	-	3,153,283
Consolidated Service Contract Refunding.....	-	89,809,150	-	-	-	-	-	89,809,150	73,527,502
DASNY Revenue Bond.....	-	-	-	-	-	1,419,868,966	361,896,699	1,781,765,665	1,757,954,255
David Axelrod Institute.....	-	-	-	-	-	-	-	-	5,690,774
Department of Health Facilities.....	-	-	28,307,274	-	-	-	-	28,307,274	28,202,126
Economic Development Housing.....	-	-	-	-	-	-	-	-	-
Education.....	-	-	-	-	-	-	-	-	-
General Purpose.....	-	-	-	-	-	-	-	-	-
Health Care.....	-	-	-	-	-	-	-	-	-
Mental Health Facilities.....	-	-	-	-	202,444,923	-	-	202,444,923	255,809,225
OGS Parking.....	-	-	-	-	-	-	-	-	-
Sales Tax Revenue Bond.....	-	-	-	-	-	-	-	-	-
Secured Hospital Program.....	-	19,276,575	-	-	-	-	-	19,276,575	24,145,791
State Department of Education Facilities.....	-	2,453,831	-	-	-	-	-	2,453,831	2,446,207
State Facilities and Equipment.....	-	-	-	-	-	-	-	-	-
SUNY Community Colleges.....	-	-	-	-	-	-	-	-	158,675
SUNY Dormitory Facilities.....	-	-	-	-	-	-	-	-	-
SUNY Educational Facilities.....	-	93,015,153	-	-	-	-	-	93,015,153	168,068,690
Environmental Facilities Corporation.....	-	5,942,092	-	-	-	75,237,324	-	81,179,416	88,370,375
Housing Finance Agency.....	-	35,320,671	-	-	-	56,360,034	-	91,680,705	97,122,346
Local Government Assistance Corporation.....	-	-	-	389,549,865	-	-	-	389,549,865	390,936,934
Metropolitan Transportation Authority:									
Transit and Commuter Railroad Projects.....	-	84,084,181	-	-	-	-	-	84,084,181	79,857,004
Thruway Authority:									
Dedicated Highway and Bridge.....	-	502,940,316	-	-	-	-	-	502,940,316	777,503,370
Local Highway and Bridge.....	-	127,271,900	-	-	-	-	-	127,271,900	183,996,900
Transportation.....	-	-	-	-	-	290,852,150	-	290,852,150	313,288,150
Urban Development Corporation:									
Center for Industrial Innovation at RPI.....	-	-	-	-	-	-	-	-	-
Clarkson University.....	-	1,927,350	-	-	-	-	-	1,927,350	1,000,700
Columbia University Telecommunications Center.....	-	3,516,000	-	-	-	-	-	3,516,000	4,630,000
Community Enhancement Facilities Program.....	-	-	-	-	-	-	-	-	-
Consolidated Service Contract Refunding.....	-	292,929,550	-	-	-	-	-	292,929,550	354,294,386
Cornell University Supercomputer Center.....	-	492,000	-	-	-	-	-	492,000	620,000
Correctional Facilities.....	-	20,169,183	-	-	-	-	-	20,169,183	20,193,615
Debt Reduction Reserve.....	-	-	-	-	-	-	-	-	-
Economic Development Housing.....	-	-	-	-	-	-	-	-	-
General Purpose.....	-	-	-	-	-	-	-	-	-
State Facilities and Equipment.....	-	-	-	-	-	-	-	-	-
Syracuse University Science and Technology Center.....	-	2,578,638	-	-	-	-	-	2,578,638	2,591,100
UDC Revenue Bond.....	-	-	-	-	-	856,611,031	-	856,611,031	928,990,083
University Facilities Grant 95 Refunding.....	-	3,313,503	-	-	-	-	-	3,313,503	1,556,281
TOTAL.....	\$ -	\$ 1,504,569,312	\$ 28,307,274	\$ 389,549,865	\$ 202,444,923	\$ 2,698,929,505	\$ 361,896,699	\$ 5,185,697,578	\$ 5,746,374,568

**STATE OF NEW YORK
FUTURE LEASE/PURCHASE AND CONTRACTUAL
OBLIGATION REQUIREMENTS (*)
PAYABLE FROM DEBT SERVICE FUNDS
(Amounts in thousands)**

SCHEDULE 20

Lease/Purchase and Other Contractual Financing Obligations:	March 31, 2017			March 31, 2018			March 31, 2019			March 31, 2020		
	PRINCIPAL	INTEREST	TOTAL	PRINCIPAL	INTEREST	TOTAL	PRINCIPAL	INTEREST	TOTAL	PRINCIPAL	INTEREST	TOTAL
Dormitory Authority:												
City University of New York.....	\$ 209,893	\$ 242,876	\$ 452,769	\$ 175,017	\$ 233,117	\$ 408,134	\$ 195,909	\$ 224,176	\$ 420,085	\$ 222,578	\$ 214,481	\$ 437,059
Correctional Facilities.....	-	10,117	10,117	-	10,117	10,117	-	10,117	10,117	-	10,117	10,117
Dedicated Highway and Bridge Bonds.....	317,155	125,806	442,961	311,365	110,602	421,967	251,005	95,173	346,178	89,150	82,747	171,897
Environmental Programs.....	45,150	51,854	97,004	71,520	50,406	121,926	55,035	47,855	102,890	64,030	45,766	109,796
Housing Programs.....	10,630	7,253	17,883	12,590	6,723	19,313	13,190	6,129	19,319	13,820	5,492	19,312
Local Highways.....	935	185	1,120	-	162	162	-	162	162	1,405	127	1,532
MTA Service Contract Bonds.....	-	67,765	67,765	-	67,765	67,765	43,675	67,765	111,440	80,895	66,026	146,921
State Mental Health Facilities.....	283,750	173,039	456,789	282,115	160,240	442,355	270,875	146,634	417,509	221,650	133,504	355,154
State University of New York.....	259,195	449,695	708,890	388,231	434,432	822,663	311,748	417,241	728,989	251,634	404,201	655,835
Others	236,284	186,849	423,133	231,388	175,872	407,260	272,169	164,681	436,850	291,050	151,523	442,573
Environmental Facilities Corporation	46,300	22,172	68,472	39,800	17,345	57,145	33,915	11,765	45,680	32,075	10,006	42,081
Housing Finance Agency	46,880	14,969	61,849	55,095	12,794	67,889	41,855	10,755	52,610	35,040	8,953	43,993
Local Government Assistance Corporation	300,225	88,342	388,567	292,495	74,546	367,041	224,395	61,893	286,288	341,080	47,979	389,059
Metropolitan Transportation Authority Service Contract Bonds	74,815	9,275	84,090	72,540	4,984	77,524	34,490	992	35,482	-	-	-
Thruway Authority:												
Dedicated Highway and Bridge Trust Fund Bonds.....	187,040	117,971	305,011	137,850	110,639	248,489	173,190	103,461	276,651	193,620	94,738	288,358
Local Highway and Bridge Service Contract Bonds.....	276,530	110,660	387,190	286,040	97,504	383,544	259,520	84,370	343,890	186,080	72,605	258,685
Urban Development Corporation:												
City University of New York.....	2,256	608	2,864	2,319	544	2,863	2,053	484	2,537	4,799	452	5,251
Correctional Facilities.....	227,123	194,818	421,941	231,553	183,876	415,429	221,475	172,630	394,105	232,100	161,384	393,484
Dedicated Highway and Bridge Bonds.....	33,016	79,823	112,839	34,668	78,350	113,018	36,402	76,616	113,018	166,332	74,796	241,128
Environmental Programs.....	-	3,902	3,902	-	3,902	3,902	9,450	3,902	13,352	-	3,430	3,430
Housing Programs.....	95,748	38,661	134,409	108,175	35,765	143,940	104,260	32,105	136,365	83,320	28,397	111,717
Local Highways.....	52,109	84,413	136,522	32,267	81,910	114,177	26,588	80,297	106,885	60,443	78,981	139,424
State Facility Revenue Bonds.....	15,625	4,544	20,169	16,510	3,628	20,138	17,455	2,660	20,115	18,445	1,637	20,082
State University of New York	7,603	1,546	9,149	7,716	1,432	9,148	1,296	8,526	8,145	1,150	9,295	
Others.....	148,779	69,768	218,547	148,096	62,238	210,334	151,055	54,703	205,758	97,102	47,279	144,381
Multiple Issuers:												
Community Enhancement Facilities Assistance Program (DASNY/UDC).....	10,714	1,318	12,032	11,058	775	11,833	2,275	411	2,686	2,100	299	2,399
Strategic Investment Programs (DASNY/UDC).....	3,265	698	3,963	3,405	548	3,953	2,915	392	3,307	2,675	252	2,927
Other Economic Development Programs (DASNY/UDC).....	401,707	138,527	540,234	402,375	124,499	526,874	423,585	109,936	533,521	366,220	93,352	459,572
TOTALS.....	\$ 3,292,727	\$ 2,297,454	\$ 5,590,181	\$ 3,354,188	\$ 2,144,715	\$ 5,498,903	\$ 3,185,714	\$ 1,988,601	\$ 5,174,315	\$ 3,065,788	\$ 1,839,674	\$ 4,905,462

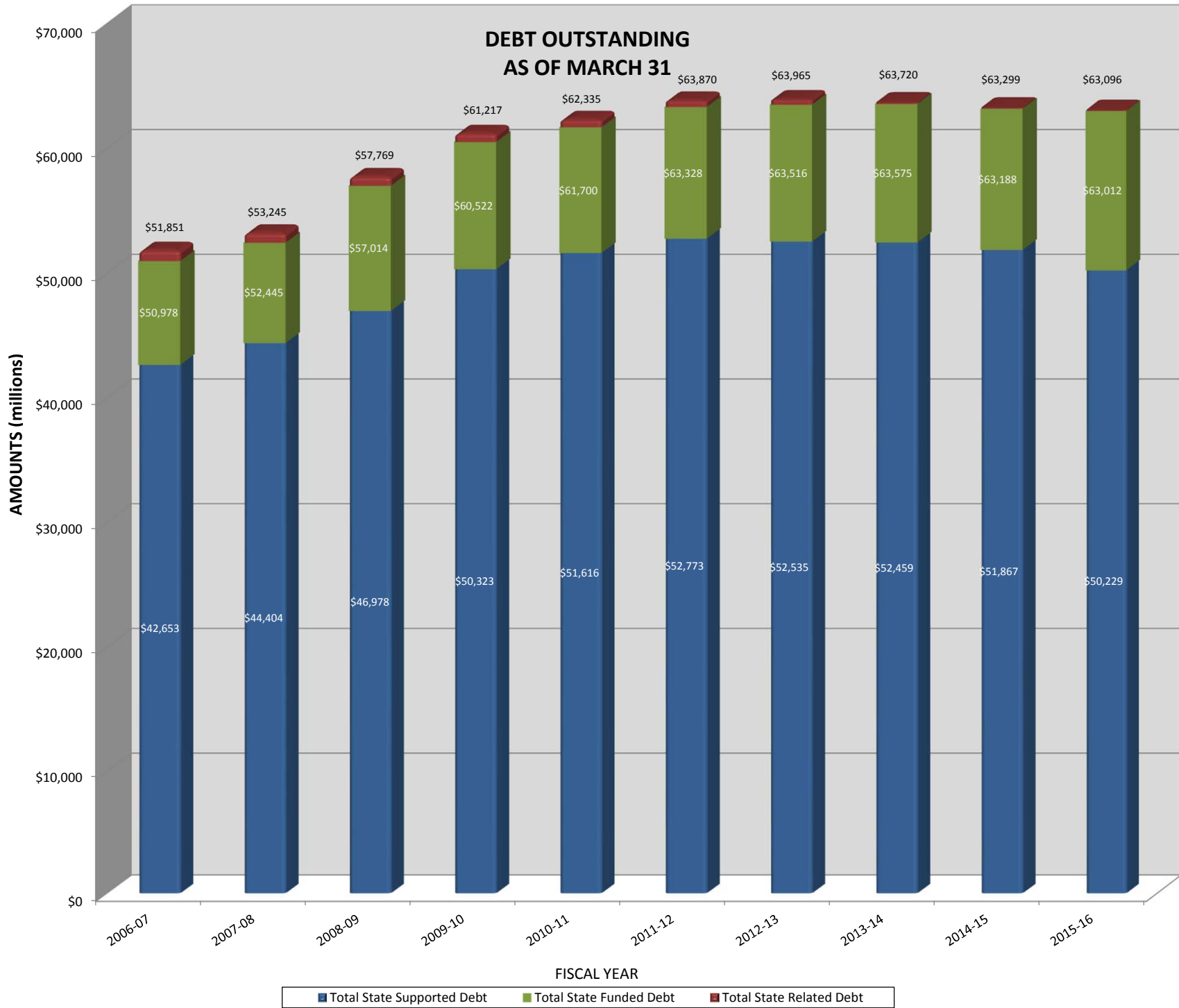
(*) See Accompanying Footnotes

STATE OF NEW YORK
FUTURE LEASE/PURCHASE AND CONTRACTUAL
OBLIGATION REQUIREMENTS (*)
PAYABLE FROM DEBT SERVICE FUNDS
(Amounts in thousands)

SCHEDULE 20
(continued)

Lease/Purchase and Other Contractual Financing Obligations:	March 31, 2021			THEREAFTER			TOTAL FUTURE DEBT SERVICE		
	PRINCIPAL	INTEREST	TOTAL	PRINCIPAL	INTEREST	TOTAL	PRINCIPAL	INTEREST	TOTAL
Dormitory Authority:									
City University of New York.....	\$ 269,305	\$ 200,311	\$ 469,616	\$ 3,647,325	\$ 2,006,797	\$ 5,654,122	\$ 4,720,027	\$ 3,121,758	\$ 7,841,785
Correctional Facilities.....	-	10,117	10,117	204,515	70,360	274,875	204,515	120,945	325,460
Dedicated Highway and Bridge Bonds.....	340,960	78,343	419,303	1,244,185	383,995	1,628,180	2,553,820	876,666	3,430,486
Environmental Programs.....	134,435	43,174	177,609	770,615	210,061	980,676	1,140,785	449,116	1,589,901
Housing Programs.....	14,510	4,813	19,323	90,315	29,063	119,378	155,055	59,473	214,528
Local Highways.....	1,835	46	1,881	-	-	-	4,175	682	4,857
MTA Service Contract Bonds.....	84,910	62,006	146,916	1,175,495	358,118	1,533,613	1,384,975	689,445	2,074,420
State Mental Health Facilities.....	206,075	122,917	328,992	2,312,510	986,028	3,298,538	3,576,975	1,722,362	5,299,337
State University of New York.....	261,454	393,460	654,914	7,572,967	4,037,318	11,610,285	9,045,229	6,136,347	15,181,576
Others.....	245,463	137,321	382,784	2,641,225	911,872	3,553,097	3,917,579	1,728,118	5,645,697
Environmental Facilities Corporation.....	33,660	8,432	42,092	137,990	31,579	169,569	323,740	101,299	425,039
Housing Finance Agency.....	31,315	7,470	38,785	156,045	60,603	216,648	366,230	115,544	481,774
Local Government Assistance Corporation.....	356,935	31,277	388,212	543,270	35,842	579,112	2,058,400	339,879	2,398,279
Metropolitan Transportation Authority Service Contract Bonds.....	-	-	-	-	-	-	181,845	15,251	197,096
Thruway Authority:									
Dedicated Highway and Bridge Trust Fund Bonds.....	431,960	78,843	510,803	1,327,415	369,030	1,696,445	2,451,075	874,682	3,325,757
Local Highway and Bridge Service Contract Bonds.....	180,395	64,387	244,782	1,145,105	298,367	1,443,472	2,333,670	727,893	3,061,563
Urban Development Corporation:									
City University of New York.....	800	297	1,097	5,225	1,189	6,414	17,452	3,574	21,026
Correctional Facilities.....	290,630	149,749	440,379	2,828,117	1,220,192	4,048,309	4,030,998	2,082,649	6,113,647
Dedicated Highway and Bridge Bonds.....	104,315	66,480	170,795	1,229,410	361,310	1,590,720	1,604,143	737,375	2,341,518
Environmental Programs.....	-	3,430	3,430	68,595	5,128	73,723	78,045	23,694	101,739
Housing Programs.....	77,460	25,124	102,584	469,180	228,600	697,780	938,143	388,652	1,326,795
Local Highways.....	115,075	75,981	191,056	1,407,615	345,782	1,753,397	1,694,097	747,364	2,441,461
State Facility Revenue Bonds.....	19,500	557	20,057	-	-	-	87,535	13,026	100,561
State University of New York.....	6,790	935	7,725	18,520	2,062	20,582	56,004	8,421	64,425
Others.....	129,205	37,727	166,932	617,290	165,341	782,631	1,291,527	437,056	1,728,583
Multiple Issuers:									
Community Enhancement Facilities Assistance Program (DASNY/UDC).....	690	195	885	3,435	1,154	4,589	30,272	4,152	34,424
Strategic Investment Programs (DASNY/UDC).....	1,640	126	1,766	1,835	253	2,088	15,735	2,269	18,004
Other Economic Development Programs (DASNY/UDC).....	279,260	78,992	358,252	1,366,570	411,480	1,778,050	3,239,717	956,786	4,196,503
TOTALS.....	\$ 3,618,577	\$ 1,682,510	\$ 5,301,087	\$ 30,984,769	\$ 12,531,524	\$ 43,516,293	\$ 47,501,763	\$ 22,484,478	\$ 69,986,241

(*) See Accompanying Footnotes



STATE OF NEW YORK
DEBT OUTSTANDING AS OF MARCH 31
FISCAL YEARS 2006-07 THRU 2015-16
(Amounts in millions)

SCHEDULE 21

	<u>2006-07</u>	<u>2007-08</u>	<u>2008-09</u>	<u>2009-10</u>	<u>2010-11</u>	<u>2011-12</u>	<u>2012-13</u>	<u>2013-14</u>	<u>2014-15</u>	<u>2015-16</u>
<u>STATE SUPPORTED DEBT</u>										
General Obligation Debt.....	\$ 3,302	\$ 3,221	\$ 3,323	\$ 3,400	\$ 3,525	\$ 3,494	\$ 3,524	\$ 3,191	\$ 3,018	\$ 2,727
Certificates of Participation.....	56	38	19	-	-	-	-	-	-	-
Lease/Purchase and Contractual										
Obligation Debt.....	39,295	41,145	43,636	46,923	48,091	49,279	49,011	49,268	48,849	47,502
SUBTOTAL - STATE SUPPORTED DEBT.....	42,653	44,404	46,978	50,323	51,616	52,773	52,535	52,459	51,867	50,229
<u>STATE FUNDED DEBT</u>										
Tobacco Settlement Financing Corporation.....	4,084	3,870	3,588	3,257	3,012	2,690	2,411	2,054	1,745	1,378
Municipal Bond Bank Agency (MBBA).....	484	464	442	419	396	368	294	281	263	234
Sales Tax Asset Receivable Corporation (STARC).	2,457	2,407	2,355	2,302	2,246	2,188	2,127	2,063	1,996	1,961
Secured Hospital Debt.....	-	-	-	-	-	-	-	226	204	181
SUNY Dormitory Facilities Revenue Bonds.....	-	-	-	-	-	-	-	440	437	985
Transitional Finance Agency (TFA).....	1,300	1,300	3,651	4,221	4,430	5,309	6,149	6,052	6,676	8,044
SUBTOTAL - STATE FUNDED DEBT.....	50,978	52,445	57,014	60,522	61,700	63,328	63,516	63,575	63,188	63,012
<u>STATE RELATED DEBT</u>										
Moral Obligation Debt.....	56	50	40	30	26	20	12	7	2	2
Secured Hospital Debt - Contingent.....	775	713	682	637	586	503	422	126	100	76
State Guaranteed Authority Debt.....	42	37	33	28	23	19	15	12	9	6
TOTAL DEBT OUTSTANDING.....	\$ 51,851	\$ 53,245	\$ 57,769	\$ 61,217	\$ 62,335	\$ 63,870	\$ 63,965	\$ 63,720	\$ 63,299	\$ 63,096

**STATE OF NEW YORK
STATE SUPPORTED DEBT OUTSTANDING AS OF MARCH 31 PER CAPITA (*)
FISCAL YEARS 2006-07 THRU 2015-16**

SCHEDULE 21a

State supported debt includes certificates of participation (COPS), capital leases for State facilities, and debt as defined in State Finance Law 67-a, where the State is constitutionally obligated to pay debt service to bondholders or where it is contractually obligated to pay money to public authorities to enable it to make payments on its outstanding bonds.

	2006-07	2007-08	2008-09	2009-10	2010-11	2011-12	2012-13	2013-14	2014-15	2015-16
STATE SUPPORTED DEBT:										
General Obligation Debt										
Millions of Dollars.....	\$ 3,302	\$ 3,221	\$ 3,323	\$ 3,400	\$ 3,525	\$ 3,494	\$ 3,524	\$ 3,191	\$ 3,018	\$ 2,727
Change from Prior Year.....	-4.84%	-2.45%	3.17%	2.32%	3.68%	-0.88%	0.86%	-9.45%	-5.42%	-9.64%
Per Capita.....	171	166	170	174	182	179	180	162	153	138
Certificates of Participation										
Millions of Dollars.....	56	38	19	-	-	-	-	-	-	-
Change from Prior Year.....	-23.29%	-32.14%	-50.00%	-100.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
Per Capita.....	3	2	1	-	-	-	-	-	-	-
Lease/Purchase and Contractual Obligation Debt										
Millions of Dollars.....	39,295	41,145	43,636	46,923	48,091	49,279	49,011	49,268	48,849	47,502
Change from Prior Year.....	4.41%	4.71%	6.05%	7.53%	2.49%	2.47%	-0.54%	0.52%	-0.85%	-2.76%
Per Capita.....	2,036	2,121	2,238	2,406	2,479	2,527	2,501	2,501	2,480	2,399
TOTAL STATE SUPPORTED DEBT:										
Millions of Dollars.....	\$ 42,653	\$ 44,404	\$ 46,978	\$ 50,323	\$ 51,616	\$ 52,773	\$ 52,535	\$ 52,459	\$ 51,867	\$ 50,229
Change from Prior Year.....	3.58%	4.11%	5.80%	7.12%	2.57%	2.24%	-0.45%	-0.14%	-1.13%	-3.16%
Per Capita.....	\$ 2,210	\$ 2,289	\$ 2,409	\$ 2,580	\$ 2,661	\$ 2,706	\$ 2,681	\$ 2,663	\$ 2,633	\$ 2,537

(*) Population estimates are based on current information published by the US Census Bureau.

**STATE OF NEW YORK
STATE FUNDED DEBT OBLIGATIONS OUTSTANDING AS OF MARCH 31 PER CAPITA (*)
FISCAL YEARS 2006-07 THRU 2015-16**

SCHEDULE 21b

State funded debt obligations include all state-supported debt from Schedule 21a, plus certain other debt where the State has agreed to allow certain other entities to pledge or assign State resources to pay debt service on debt issued for State purposes or to finance State assistance payments to another entity. These obligations include those associated with the sale of the State's share of Tobacco Settlement proceeds, prior year school aid claims, debt issued by the Sales Tax Asset Receivable Corporation (STARC) for NYC debt relief, debt issued by the Transitional Finance Agency (TFA) for NYC school building aid, portions of the secured hospital program and obligations issued to finance certain State University of New York (SUNY) dormitory facilities. Debt service on these obligations is secured by future State local assistance payments (financed with available General Fund resources or taxes), future tobacco settlement payments, contingent debt service appropriations or SUNY dormitory rental income.

	2006-07	2007-08	2008-09	2009-10	2010-11	2011-12	2012-13	2013-14	2014-15	2015-16
TOTAL STATE SUPPORTED DEBT:										
Millions of Dollars.....	\$ 42,653	\$ 44,404	\$ 46,978	\$ 50,323	\$ 51,616	\$ 52,773	\$ 52,535	\$ 52,459	\$ 51,867	\$ 50,229
Change from Prior Year.....	3.58%	4.11%	5.80%	7.12%	2.57%	2.24%	-0.45%	-0.14%	-1.13%	-3.16%
Per Capita.....	2,210	2,289	2,409	2,580	2,661	2,706	2,681	2,663	2,633	2,537
Tobacco Settlement Financing Corporation										
Millions of Dollars.....	4,084	3,870	3,588	3,257	3,012	2,690	2,411	2,054	1,745	1,378
Change from Prior Year.....	-4.53%	-5.24%	-7.29%	-9.23%	-7.52%	-10.69%	-10.37%	-14.81%	-15.04%	-21.03%
Per Capita.....	212	199	184	167	155	138	123	104	89	70
Municipal Bond Bank Agency (MBBA)										
Millions of Dollars.....	484	464	442	419	396	368	294	281	263	234
Change from Prior Year.....	-4.16%	-4.13%	-4.74%	-5.20%	-5.49%	-7.07%	-20.11%	-4.42%	-6.41%	-11.03%
Per Capita.....	25	24	23	21	20	19	15	14	13	12
Sales Tax Asset Receivable Corporation (STARC)										
Millions of Dollars.....	2,457	2,407	2,355	2,302	2,246	2,188	2,127	2,063	1,996	1,961
Change from Prior Year.....	-1.92%	-2.04%	-2.16%	-2.25%	-2.43%	-2.58%	-2.79%	-3.01%	-3.25%	-1.75%
Per Capita.....	127	124	121	118	116	112	109	105	101	99
Secured Hospital Debt										
Millions of Dollars.....	-	-	-	-	-	-	-	226	204	181
Change from Prior Year.....	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	100.00%	-9.73%	-11.27%
Per Capita.....	-	-	-	-	-	-	-	11	10	9
SUNY Dormitory Facilities Revenue Bonds										
Millions of Dollars.....	-	-	-	-	-	-	-	440	437	985
Change from Prior Year.....	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	100.00%	-0.68%	125.40%
Per Capita.....	-	-	-	-	-	-	-	22	22	50
Transitional Finance Agency (TFA)										
Millions of Dollars.....	1,300	1,300	3,651	4,221	4,430	5,309	6,149	6,052	6,676	8,044
Change from Prior Year.....	100.00%	0.00%	180.85%	15.61%	4.95%	19.84%	15.82%	-1.58%	10.31%	20.49%
Per Capita.....	67	67	187	216	228	272	314	307	339	406
TOTAL STATE FUNDED DEBT:										
Millions of Dollars.....	\$ 50,978	\$ 52,445	\$ 57,014	\$ 60,522	\$ 61,700	\$ 63,328	\$ 63,516	\$ 63,575	\$ 63,188	\$ 63,012
Change from Prior Year.....	5.19%	2.88%	8.71%	6.15%	1.95%	2.64%	0.30%	0.09%	-0.61%	-0.28%
Per Capita.....	\$ 2,641	\$ 2,703	\$ 2,924	\$ 3,102	\$ 3,180	\$ 3,247	\$ 3,242	\$ 3,227	\$ 3,208	\$ 3,182

(*) Population estimates are based on current information published by the US Census Bureau.

**STATE OF NEW YORK
STATE RELATED DEBT OBLIGATIONS OUTSTANDING AS OF MARCH 31 PER CAPITA (*)
FISCAL YEARS 2006-07 THRU 2015-16**

SCHEDULE 21c

State related debt obligations include all state funded debt from Schedule 21b, plus certain other debt issued by various authorities that may be supported by contingent State obligations to make payments. Such moral obligation, contingent obligation (secured hospital debt where the State has not been called on to pay debt service) and State guaranteed authority debt carries a pledge by the State to finance deficiencies in the authority's debt service or debt service reserve funds, if necessary.

	2006-07	2007-08	2008-09	2009-10	2010-11	2011-12	2012-13	2013-14	2014-15	2015-16
TOTAL STATE FUNDED DEBT:										
Millions of Dollars.....	\$ 50,978	\$ 52,445	\$ 57,014	\$ 60,522	\$ 61,700	\$ 63,328	\$ 63,516	\$ 63,575	\$ 63,188	\$ 63,012
Change from Prior Year.....	5.19%	2.88%	8.71%	6.15%	1.95%	2.64%	0.30%	0.09%	-0.61%	-0.28%
Per Capita.....	2,641	2,703	2,924	3,102	3,180	3,247	3,242	3,227	3,208	3,182
Moral Obligation Debt										
Millions of Dollars.....	56	50	40	30	26	20	12	7	2	2
Change from Prior Year.....	-16.42%	-10.71%	-20.00%	-25.00%	-13.33%	-23.08%	-40.00%	-41.67%	-71.43%	0.00%
Per Capita.....	3	3	2	2	1	1	1	-	-	-
Secured Hospital Debt - Contingent										
Millions of Dollars.....	775	713	682	637	586	503	422	126	100	76
Change from Prior Year.....	7.41%	-8.00%	-4.35%	-6.60%	-8.01%	-14.16%	-16.10%	-70.14%	-20.63%	-24.00%
Per Capita.....	40	37	35	33	30	26	22	6	5	4
State Guaranteed Authority Debt										
Millions of Dollars.....	42	37	33	28	23	19	15	12	9	6
Change from Prior Year.....	-33.33%	-11.90%	-10.81%	-15.15%	-17.86%	-17.39%	-21.05%	-20.00%	-25.00%	-33.33%
Per Capita.....	2	2	2	1	1	1	1	1	-	-
TOTAL STATE RELATED DEBT										
Millions of Dollars.....	\$ 51,851	\$ 53,245	\$ 57,769	\$ 61,217	\$ 62,335	\$ 63,870	\$ 63,965	\$ 63,720	\$ 63,299	\$ 63,096
Change from Prior Year.....	4.89%	2.69%	8.50%	5.97%	1.83%	2.46%	0.15%	-0.38%	-0.66%	-0.32%
Per Capita.....	\$ 2,686	\$ 2,745	\$ 2,963	\$ 3,138	\$ 3,212	\$ 3,275	\$ 3,266	\$ 3,235	\$ 3,213	\$ 3,187

(*) Population estimates are based on current information published by the US Census Bureau.

STATE OF NEW YORK
GENERAL FUND
COMBINING STATEMENT OF SELECTED DEPARTMENTAL DISBURSEMENTS
FISCAL YEAR ENDED MARCH 31, 2016
(Amounts in thousands)

SCHEDULE 22

Department/Division	LOCAL ASSISTANCE GRANTS										OPERATIONS			TOTAL	
	Environment and Recreation		General Government	Medicaid	Other Public Health	Public Safety	Public Welfare	Support and Regulate Business	Transportation	Total Grants	Personal Service	Non-Personal Service	General State Charges	2015-16	2014-15
	Education														
Adirondack Park Agency	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 4,036	\$ 259	\$ -	\$ 4,295	\$ 4,276
Board of Elections	-	-	93	-	-	-	-	-	-	93	5,639	1,964	-	7,696	5,963
City University of New York.....	1,430,198	-	-	-	-	-	-	-	-	1,430,198	-	777	-	1,430,975	1,395,047
Commission of Quality of Care and Advocacy	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Council on the Arts.....	38,332	-	-	-	-	-	-	-	-	38,332	2,253	1,232	-	41,817	66,103
Department of Agriculture and Markets.....	-	-	61	-	597	-	3,003	26,621	-	30,282	25,269	7,404	-	62,955	60,250
Department of Civil Service.....	-	-	-	-	-	-	-	-	-	-	11,407	1,543	-	12,950	12,277
Department of Corrections and Community Supervision.....	-	-	-	-	-	4,251	-	-	-	4,251	2,166,585	524,572	71	2,695,479	2,645,133
Department of Economic Development.....	-	-	141	-	667	-	-	44,155	-	44,963	11,974	6,804	-	63,741	54,507
Department of Environmental Conservation.....	-	4,153	109	-	-	-	-	-	-	4,262	85,641	8,773	-	98,676	94,817
Department of Health.....	-	-	501	11,221,723	719,380	-	738	-	-	11,942,342	119,089	302,473	-	12,363,904	12,024,574
Department of Labor	-	-	347	-	-	-	11,802	-	-	12,149	38	168	-	12,355	7,927
Department of Law.....	-	-	-	-	-	-	-	-	-	-	89,952	12,127	-	102,079	101,833
Department of State.....	-	-	15,065	-	-	-	-	-	-	15,065	11,756	421	-	27,242	19,364
Department of Taxation and Finance.....	-	-	914	-	-	-	-	-	-	914	247,131	16,540	11	264,596	273,803
Department of Transportation.....	-	-	-	-	-	-	-	-	111,351	111,351	-	1,152	-	112,503	98,753
Division of Budget	-	-	-	-	-	-	-	-	-	-	18,945	2,133	-	21,078	20,322
Division of Criminal Justice Services.....	-	-	245	-	-	127,652	80	-	-	127,977	24,246	10,275	-	162,498	161,783
Division of Homeland Security and Emergency Services.....	-	-	273	-	-	(15,806)	-	-	-	(15,533)	281	(47,842)	-	(63,094)	31,176
Division of Housing and Community Renewal.....	-	-	181	-	2,303	-	4,583	-	-	7,067	4,919	2,268	-	14,254	19,066
Division of Human Rights	-	-	-	-	-	-	-	-	-	-	9,397	864	-	10,261	10,583
Division of Military and Naval Affairs.....	-	-	4	-	-	801	-	-	-	805	16,694	5,868	-	23,367	21,640
Division of State Police.....	-	-	-	-	-	-	-	-	-	-	607,552	44,715	-	652,267	608,613
Division of Tax Appeals	-	-	-	-	-	-	-	-	-	-	2,763	272	-	3,035	2,854
Division of Veterans' Affairs	-	-	51	-	-	-	7,804	-	-	7,855	4,731	334	-	12,920	12,831
Executive Chamber.....	-	-	-	-	-	-	-	-	-	-	10,669	3,000	-	13,669	13,979
Greenway Heritage Conservancy for the Hudson River Valley.....	-	-	-	-	-	-	-	-	-	-	-	166	-	166	166
Higher Education Services	1,009,146	-	-	-	-	-	-	-	-	1,009,146	-	-	-	1,009,146	1,177,916
Hudson River Valley Greenway	-	101	-	-	-	-	-	-	-	101	56	27	-	184	222
Interest on Lawyer Account	-	-	-	-	-	12,910	-	-	-	12,910	-	-	-	12,910	14,761
Judicial Conduct.....	-	-	-	-	-	-	-	-	-	-	4,208	1,364	-	5,572	5,380
Judicial Screening	-	-	-	-	-	-	-	-	-	-	-	14	-	14	15
Judicial Nomination	-	-	-	-	-	-	-	-	-	-	-	20	-	20	24
Justice Center for the Protection of People with Special Needs	-	-	-	-	114	-	-	-	-	114	25,430	11,660	-	37,204	29,560
Legislature.....	-	-	-	-	-	-	-	-	-	-	167,184	46,667	-	213,851	205,577
Lieutenant Governor	-	-	-	-	-	-	-	-	-	-	597	157	-	754	547
National and Community Service	-	-	-	-	-	-	142	-	-	142	312	5	-	459	687
NYS Gaming Commission	-	-	-	-	-	-	-	-	-	-	3,362	2,245	-	5,607	-
NYS Joint Commission on Public Ethics	-	-	-	-	-	-	-	-	-	-	3,480	853	-	4,333	3,627
Office for People with Developmental Disabilities.....	-	-	84	863,373	-	-	-	-	-	863,457	-	-	-	863,457	1,026,763
Office of Alcoholism and Substance Abuse Services.....	239	-	3	25,529	4,709	-	-	-	-	30,480	-	-	-	30,480	29,403
Office of Children and Family Services	2,922	-	4,001	32,912	-	-	1,684,283	-	-	1,724,118	164,764	67,865	4,055	1,960,802	1,822,646
Office of Employee Relations	-	-	-	-	-	-	-	-	-	-	8,844	18,341	678	27,863	26,468

STATE OF NEW YORK
GENERAL FUND
COMBINING STATEMENT OF SELECTED DEPARTMENTAL DISBURSEMENTS
FISCAL YEAR ENDED MARCH 31, 2016
(Amounts in thousands)

SCHEDULE 22
(continued)

Department/Division	LOCAL ASSISTANCE GRANTS										OPERATIONS			TOTAL	
	Education	Environment & Recreation	General Government	Medicaid	Other Public Health	Public Safety	Public Welfare	Support and Regulate Business	Transportation	Total Grants	Personal Service	Non-Personal Service	General State Charges	2015-16	2014-15
Office of General Services.....	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 66,839	\$ 79,359	\$ -	\$ 146,198	\$ 142,300
Office of Information Technology Services	-	-	-	-	-	-	-	-	-	-	283,573	222,371	-	505,944	426,422
Office of Medicaid Inspector General	-	-	-	-	-	-	-	-	-	-	16,622	3,991	-	20,613	20,832
Office of Mental Health.....	4,075	-	24	293,637	200	-	6,320	-	-	304,256	-	479	-	304,735	373,272
Office of Parks, Recreation and Historic Preservation.....	-	3,166	1,725	-	-	-	-	-	-	4,891	105,937	5,015	-	115,843	113,997
Office of Prevention of Domestic Violence	-	-	-	-	-	-	575	-	-	575	1,351	113	9	2,048	1,896
Office of State Inspector General	-	-	-	-	-	-	-	-	-	-	6,230	831	-	7,061	7,073
Office of Temporary and Disability Assistance	-	-	-	568,541	6,226	-	1,213,432	-	-	1,788,199	70,029	77,008	44	1,935,280	1,884,618
Office of the State Comptroller.....	-	-	32,025	-	-	-	-	-	-	32,025	101,199	25,652	-	158,876	157,306
Office of Victim Services.....	-	-	-	-	1	1,869	-	-	-	1,870	-	-	-	1,870	947
Office of Welfare Inspector General	-	-	-	-	-	-	-	-	-	-	512	58	-	570	573
Public Benefits Corporations:															
Energy Research & Development Authority	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Metropolitan Transportation Authority	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
New York State Canal Corporation	-	-	-	-	-	-	-	-	-	-	-	16,569	4,931	21,500	24,000
Olympic Regional Development Authority	-	-	-	-	-	-	-	-	-	-	2,548	463	1,157	4,168	4,168
Urban Development Corporation (Empire State Dev Corp).....	-	-	8,758	-	-	1,207	-	48,791	-	58,756	-	-	-	58,756	85,283
Public Employment Relations Board	-	-	-	-	-	-	-	-	-	-	3,207	192	-	3,399	3,097
State Commission of Correction	-	-	-	-	-	-	-	-	-	-	2,042	255	-	2,297	2,222
State Education Department.....	22,139,076	-	1,355	-	-	-	-	-	-	22,140,431	27,610	17,386	-	22,185,427	20,488,928
State Office for the Aging.....	-	-	1,253	-	125,881	-	-	-	-	127,134	1,110	167	-	128,411	129,307
State University of New York.....	509,668	-	21	-	241	-	-	-	-	509,930	1,591	8,250	41,586	561,357	720,401
Statewide Financial System.....	-	-	-	-	-	-	-	-	-	-	10,234	19,836	-	30,070	29,264
Unified Court System.....	-	-	2,451	-	-	-	-	-	-	2,451	1,451,032	387,120	663,574	2,504,177	2,444,574
All Other.....	-	-	940,970	-	-	-	-	-	-	940,970	22	21,694	4,681,114	5,643,800	5,083,038
Total.....	\$ 25,133,656	\$ 7,420	\$ 1,010,655	\$ 13,005,715	\$ 860,319	\$ 132,884	\$ 2,932,762	\$ 119,567	\$ 111,351	\$ 43,314,329	\$ 6,010,892	\$ 1,944,289	\$ 5,397,230	\$ 56,666,740	\$ 54,254,754

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
COMBINING STATEMENT OF SELECTED DEPARTMENTAL DISBURSEMENTS
FISCAL YEAR ENDED MARCH 31, 2016
(Amounts in thousands)

SCHEDULE 23

Department/Division	LOCAL ASSISTANCE GRANTS									OPERATIONS			TOTAL			
	Environment and Recreation		General Government	Medicaid	Other Public Health	Public Safety	Public Welfare	Support and Regulate Business	Transportation	Total Grants	Personal Service	Non-Personal Service	General State Charges	Capital Construction	2015-16	2014-15
	Education															
Board of Elections.....	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 221	\$ -	\$ -	\$ 221	\$ 139
City University of New York	-	-	-	-	-	-	-	-	-	-	46,870	39,822	7,892	-	94,584	87,770
Commission of Quality of Care and Advocacy	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Department of Agriculture and Markets	-	-	-	-	-	-	-	-	-	-	2,653	19,729	1,517	-	23,899	21,321
Department of Civil Service	-	-	-	-	-	-	-	-	-	-	255	71	147	-	473	921
Department of Corrections and Community Supervision	-	-	-	-	-	-	-	-	-	-	179	3,475	99	-	3,753	2,180
Department of Economic Development	-	-	-	-	-	-	-	-	-	-	92	1,680	54	-	1,826	2,224
Department of Environmental Conservation	-	-	-	-	-	-	-	-	-	-	99,201	38,165	46,941	-	184,307	173,459
Department of Financial Services.....	-	-	-	-	179,400	-	-	56,414	-	235,814	118,840	31,048	62,929	-	448,631	583,806
Department of Health	-	-	-	5,308,442	750,338	-	-	21,333	-	6,080,113	153,456	140,948	37,799	-	6,412,316	6,266,516
Department of Labor	-	-	-	-	-	-	58	-	-	58	32,822	13,838	14,206	-	60,924	63,960
Department of Law	-	-	-	-	-	-	-	-	-	-	33,324	38,370	18,954	-	90,648	85,243
Department of Motor Vehicles	-	-	-	-	-	-	-	-	-	-	38,080	14,865	21,249	-	74,194	71,322
Department of Public Service	-	-	-	-	-	-	-	-	-	-	40,859	8,592	18,992	-	68,443	69,077
Department of State	-	-	638	-	-	-	-	-	-	638	20,100	14,110	9,859	-	44,707	42,838
Department of Taxation and Finance	3,064	-	-	-	-	-	-	-	-	3,064	45,481	26,749	18,060	-	93,354	109,185
Department of Transportation	-	-	-	-	-	-	-	4,633,878	-	4,633,878	6,886	11,125	3,897	-	4,655,786	4,762,983
Division of Alcoholic Beverage Control.....	-	-	-	-	-	-	-	-	-	-	7,622	4,878	4,776	-	17,276	17,637
Division of Budget	-	-	-	-	-	-	-	-	-	-	1,491	826	830	-	3,147	3,488
Division of Criminal Justice Services	-	-	-	-	-	31,670	-	1,950	-	33,620	343	685	41	-	34,689	30,940
Division of Homeland Security and Emergency Services.....	-	-	-	-	-	33,703	-	989	-	34,692	23,787	17,539	5,390	-	81,408	80,925
Division of Housing and Community Renewal	-	-	-	-	-	-	295	-	-	295	33,869	9,263	15,975	-	59,402	60,763
Division of Military and Naval Affairs	-	-	-	-	-	-	-	-	-	-	435	3,052	(6)	-	3,481	3,664
Division of State Police	-	-	-	-	-	-	-	-	-	-	11,418	29,937	2,452	-	43,807	62,018
Financial Control Board for New York City	-	-	-	-	-	-	-	-	-	-	1,246	780	706	-	2,732	2,719
Higher Education Services.....	16,000	-	-	-	2,184	2,579	-	-	-	20,763	15,253	28,511	8,616	-	73,143	93,554
Interest on Lawyer Account	-	-	-	-	-	6,789	-	-	-	6,789	674	393	377	-	8,233	8,572
Justice Center for the Protection of People with Special Needs....	-	-	-	-	430	-	-	-	-	430	1,191	29	634	-	2,284	2,185
Lake George Park Commission.....	-	-	-	-	-	-	-	-	-	-	683	499	380	-	1,562	1,521
Legislature	-	-	-	-	-	-	-	-	-	-	-	1,469	-	-	1,469	1,046
NYS Gaming Commission.....	-	-	91,881	-	-	-	-	-	-	91,881	28,186	112,780	12,894	-	245,741	225,720
Office for People with Developmental Disabilities.....	-	-	-	-	332,217	-	-	-	-	332,217	1,151,790	215,817	620,685	-	2,320,509	2,438,264
Office of Alcoholism and Substance Abuse Services.....	-	-	-	-	280,641	-	-	-	-	280,641	54,117	26,098	32,043	-	392,899	384,637
Office of Children and Family Services	-	-	-	-	-	-	2,367	-	-	2,367	2,562	27,442	2,929	-	35,300	32,212
Office of Employee Relations	-	-	-	-	-	-	-	-	-	-	280	36	147	-	463	491
Office of General Services	-	-	-	-	-	-	-	-	-	-	4,615	6,122	2,588	-	13,325	11,687
Office of Indigent Legal Services.....	-	-	-	-	-	58,068	-	-	-	58,068	1,211	233	599	-	60,111	52,721
Office of Information Technology Services.....	-	-	-	-	-	-	-	-	-	-	-	490	-	-	490	479
Office of Medicaid Inspector General	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Office of Mental Health	-	-	-	-	857,955	-	-	-	-	857,955	1,123,599	293,555	605,454	-	2,880,563	2,789,908

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
COMBINING STATEMENT OF SELECTED DEPARTMENTAL DISBURSEMENTS
FISCAL YEAR ENDED MARCH 31, 2016
(Amounts in thousands)

SCHEDULE 23
(continued)

Department/Division	LOCAL ASSISTANCE GRANTS										OPERATIONS				TOTAL	
	Education	Environment and Recreation	General Government	Medicaid	Other Public Health	Public Safety	Public Welfare	Support and Regulate Business	Transportation	Total Grants	Personal Service	Non-Personal Service	General State Charges	Capital Construction	2015-16	2014-15
Office of Parks, Recreation and Historic Preservation	\$ -	\$ 4,754	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 4,754	\$ 29,719	\$ 41,037	\$ 2,862	\$ 1,749	\$ 80,121	\$ 79,025
Office of Prevention of Domestic Violence	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Office of State Inspector General	-	-	-	-	-	-	-	-	-	-	-	722	-	-	722	722
Office of Temporary and Disability Assistance	-	-	-	-	1,872	-	89	-	-	1,961	-	76	70	-	2,107	2,604
Office of the State Comptroller	-	-	-	-	-	-	-	-	-	-	10,789	14,730	1,589	-	27,108	32,861
Office of Victim Services.....	-	-	-	-	-	17,531	-	-	-	17,531	3,070	347	1,512	-	22,460	29,670
Office of Welfare Inspector General.....	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Public Benefits Corporations:																
Energy Research and Development Authority	-	-	-	-	-	-	-	1,842	-	1,842	1,024	506	535	-	3,907	11,574
Environmental Facilities Corporation	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Olympic Regional Development Authority	-	-	-	-	-	-	-	-	-	-	45	35	20	-	100	-
Urban Development Corporation (Empire State Dev Corp).....	-	-	-	-	-	-	-	17,190	-	17,190	-	-	-	-	17,190	2,966
Public Employment Relations Board.....	-	-	-	-	-	-	-	-	-	-	-	33	-	-	33	19
State Education Department	6,507,226	-	1	-	125	-	-	-	-	6,507,352	57,821	25,571	32,021	-	6,622,765	6,634,288
State Office for the Aging	-	-	-	-	-	-	-	-	-	-	141	3	68	-	212	253
State University of New York	2,812	-	-	-	-	-	-	-	-	2,812	3,626,705	2,232,160	370,529	-	6,232,206	6,085,950
State University of New York Construction Fund	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Statewide Financial System.....	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	2,695
Unified Court System	-	-	112,204	-	-	-	-	-	-	112,204	58,352	62,130	21,727	-	254,413	231,515
Workers' Compensation Board	-	-	-	-	-	-	-	-	-	-	78,723	60,280	44,194	-	183,197	188,011
Total.....	\$ 6,529,102	\$ 4,754	\$ 204,724	\$ 5,308,442	\$ 2,405,162	\$ 150,340	\$ 2,809	\$ 99,718	\$ 4,633,878	\$ 19,338,929	\$ 6,969,859	\$ 3,620,872	\$ 2,055,232	\$ 1,749	\$ 31,986,641	\$ 31,950,248

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - FEDERAL
COMBINING STATEMENT OF SELECTED DEPARTMENTAL DISBURSEMENTS
FISCAL YEAR ENDED MARCH 31, 2016
(Amounts in thousands)

SCHEDULE 24

Department/Division	LOCAL ASSISTANCE GRANTS										OPERATIONS			TOTAL	
	Education	Environment and Recreation	General Government	Medicaid	Other Public Health	Public Safety	Public Welfare	Support and Regulate Business	Transportation	Total Grants	Personal Service	Non-Personal Service	General State Charges	2015-16	2014-15
Adirondack Park Agency.....	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 53	\$ -	\$ 53	\$ 140	
Board of Elections.....	-	-	493	-	-	-	-	-	493	91	3,945	-	4,529	3,718	
City University of New York.....	-	-	-	-	-	-	-	-	-	-	7,633	-	7,633	6,731	
Commission of Quality of Care and Advocacy	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Council on the Arts.....	359	-	-	-	-	-	-	-	359	-	-	-	359	965	
Department of Agriculture and Markets.....	-	-	-	-	-	-	750	-	750	4,193	9,287	2,057	16,287	14,928	
Department of Corrections and Community Supervision.....	-	-	-	-	-	-	-	-	-	23,483	1,023	904	25,410	3,934	
Department of Economic Development.....	-	-	-	-	-	-	-	7,716	7,716	-	592	-	8,308	6,167	
Department of Environmental Conservation.....	-	-	-	-	-	-	-	-	-	25,858	16,689	12,315	54,862	55,720	
Department of Financial Services.....	-	-	-	-	-	-	-	-	-	-	1,409	15	1,424	2,717	
Department of Health.....	-	-	-	30,853,814	3,210,197	-	7,524	-	34,071,535	84,597	548,153	33,917	34,738,202	31,198,636	
Department of Labor.....	-	-	-	-	-	-	156,329	-	156,329	170,288	54,507	92,493	473,617	547,621	
Department of Law.....	-	-	-	-	-	-	-	-	-	17,508	8,847	12,178	38,533	31,696	
Department of Motor Vehicles.....	-	-	-	-	-	-	-	14,681	14,681	1,203	2,322	599	18,805	17,476	
Department of Public Service.....	-	-	-	-	-	-	-	-	-	1,934	126	547	2,607	2,726	
Department of State.....	-	-	54,665	-	-	-	-	-	54,665	2,174	973	1,062	58,874	61,249	
Department of Taxation and Finance.....	-	-	-	-	-	-	-	-	-	-	189	-	189	874	
Department of Transportation.....	-	-	-	-	-	-	149	22,705	22,854	3,802	1,297	2,107	30,060	53,956	
Division of Criminal Justice Services.....	-	-	-	-	-	15,907	-	1,929	17,836	5,059	2,618	259	25,772	22,590	
Division of Homeland Security and Emergency Services.....	-	-	-	-	-	1,722,015	-	-	1,722,015	14,020	74,364	9,523	1,819,922	2,338,592	
Division of Housing and Community Renewal.....	-	-	-	-	-	-	50,831	-	50,831	6,781	1,120	3,513	62,245	62,111	
Division of Human Rights.....	-	-	-	-	-	-	-	-	-	2,501	1,874	-	4,375	3,701	
Division of Military and Naval Affairs.....	-	-	-	-	-	-	-	-	-	18,388	13,448	5,728	37,564	57,495	
Division of State Police.....	-	-	-	-	-	-	-	-	-	10,425	6,767	1,418	18,610	20,558	
Division of Veterans' Affairs.....	-	-	-	-	-	-	-	-	-	386	100	204	690	688	
Higher Education Services.....	-	-	-	-	-	38	-	38	38	3	6,486	1	6,528	6,009	
Justice Center for the Protection of People with Special Needs	-	-	-	-	-	-	-	-	-	67	625	36	728	1,000	
National and Community Service	-	-	-	-	-	-	-	-	-	245	14,386	-	14,631	16,999	
Office for People with Developmental Disabilities.....	-	-	-	37,992	-	-	-	-	37,992	838	2,380	454	41,664	4,505	
Office of Alcoholism and Substance Abuse Services.....	-	-	-	5,789	123,562	-	-	-	129,351	5,107	1,887	-	136,345	116,670	
Office of Children and Family Services.....	-	-	-	-	1,677	66,257	828,283	-	896,217	23,309	58,854	13,810	992,190	1,162,795	
Office of Information Technology Services.....	-	-	-	-	-	-	-	-	-	436	435	234	1,105	1,287	
Office of General Services.....	-	-	-	-	-	-	-	-	-	-	6,908	-	6,908	5,962	
Office of Medicaid Inspector General.....	-	-	-	-	-	-	-	-	-	16,501	5,919	8,954	31,374	32,151	
Office of Mental Health.....	-	-	-	9,190	32,743	-	-	-	41,933	913	401	488	43,735	53,363	
Office of Parks, Recreation and Historic Preservation.....	-	2,955	-	-	-	1,072	-	-	4,027	1,759	2,233	-	8,019	5,660	
Office of Prevention of Domestic Violence.....	-	-	-	-	-	-	-	-	-	17	-	-	17	59	
Office of Temporary and Disability Assistance.....	-	-	-	441,679	4,564	-	3,690,066	-	4,136,309	72,753	70,072	39,031	4,318,165	4,095,208	
Office of Victim Services.....	-	-	-	-	-	38,713	-	-	38,713	920	176	-	39,809	31,142	
State Education Department.....	3,658,190	-	-	-	-	-	454	-	3,658,644	85,455	117,338	44,505	3,905,942	3,432,953	
State Office for the Aging.....	-	-	-	1,670	90,347	-	312	-	92,329	5,915	4,498	-	102,742	108,811	
State University of New York.....	-	-	-	-	-	-	7,129	-	7,129	9,027	309,520	108	325,784	315,339	
Unified Court System.....	-	-	-	-	-	-	-	-	-	1,444	4,223	265	5,932	5,349	
Workers' Compensation Board.....	-	-	-	-	-	-	-	-	-	-	8,643	-	8,643	6,216	
Total.....	\$ 3,658,549	\$ 2,955	\$ 55,158	\$ 31,350,134	\$ 3,463,090	\$ 1,844,002	\$ 4,741,827	\$ 7,716	\$ 39,315	\$ 45,162,746	\$ 617,400	\$ 1,372,320	\$ 286,725	\$ 47,439,191	\$ 43,916,467

STATE OF NEW YORK
DEBT SERVICE FUNDS
COMBINING STATEMENT OF SELECTED DEPARTMENTAL DISBURSEMENTS
FISCAL YEAR ENDED MARCH 31, 2016
(Amounts in thousands)

SCHEDULE 25

Description	Related Expenses (*)	Debt Service	Total	
			2015-16	2014-15
<u>Special Contractual Financing Obligation Payments:</u>				
To Public Benefit Corporations:				
City University of New York.....	\$ 11,230	\$ 219,529	\$ 230,759	\$ 194,426
Dormitory Authority.....	9,981	2,217,073	2,227,054	2,328,736
Environmental Facilities Corporation.....	473	81,179	81,652	88,846
Housing Finance Agency.....	1,972	91,681	93,653	99,713
Local Government Assistance Corporation.....	3,453	389,550	393,003	394,786
Metropolitan Transportation Authority.....	-	84,084	84,084	79,857
Thruway Authority.....	647	921,064	921,711	1,275,435
Urban Development Corporation.....	8,235	1,181,537	1,189,772	1,322,892
Subtotal.....	35,991	5,185,697	5,221,688	5,784,691
Interest on General Obligation Bonds.....	-	122,498	122,498	132,007
Principal on General Obligation Bonds.....	-	290,290	290,290	304,435
Administrative Expenses for Variable Rate General Obligation Bonds.....	625	-	625	339
Total Debt Service Funds Disbursements.....	\$ 36,616	\$ 5,598,485	\$ 5,635,101	\$ 6,221,472

(*) Related Expenses include remarketing fees, cost of issuance expenses, administrative fees, capital expenses and other expenses paid to the bank and/or the public benefit corporations issuing the bonds.

STATE OF NEW YORK
 CAPITAL PROJECTS FUNDS - STATE
 COMBINING STATEMENT OF SELECTED DEPARTMENTAL DISBURSEMENTS
 FISCAL YEAR ENDED MARCH 31, 2016
 (Amounts in thousands)

SCHEDULE 26

Department/Division	LOCAL ASSISTANCE GRANTS										TOTAL		
	Environment and Recreation		General Government	Medicaid	Other Public Health	Public Safety	Public Welfare	Support and Regulate Business	Transportation	Total Grants	Capital Construction	2015-16	2014-15
	Education	Recreation	Government										
Adirondack Park Agency.....	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 308	\$ 308	\$ 46
City University of New York.....	-	-	-	-	-	-	-	-	-	-	36,711	36,711	30,142
Department of Agriculture and Markets.....	-	899	-	-	-	-	-	-	-	899	35,536	36,435	32,982
Department of Corrections and Community Supervision.....	-	-	-	-	-	-	-	-	-	-	272,822	272,822	220,316
Department of Economic Development.....	-	4	-	-	-	-	-	-	-	4	790	794	635
Department of Environmental Conservation.....	-	93,103	232	-	-	824	-	-	-	94,159	176,922	271,081	265,711
Department of Health.....	-	-	15,500	-	-	-	-	-	-	15,500	67,202	82,702	55,707
Department of Law.....	-	-	-	-	-	-	-	-	-	-	2,247	2,247	2,976
Department of Motor Vehicles.....	-	-	-	-	-	-	-	-	-	-	189,879	189,879	182,077
Department of State.....	-	24,291	-	-	-	-	-	-	-	24,291	324	24,615	22,669
Department of Transportation.....	-	-	-	-	-	-	-	-	505,023	505,023	2,062,562	2,567,585	2,705,277
Disaster Aid.....	-	-	-	-	-	-	-	-	-	-	-	-	-
Division of Homeland Security and Emergency Services.....	-	-	6,159	-	-	96,890	-	-	-	103,049	2,203	105,252	36,962
Division of Housing and Community Renewal.....	-	-	-	-	-	-	83,881	-	-	83,881	-	83,881	82,202
Division of Military and Naval Affairs.....	-	-	-	-	-	-	-	-	-	-	36,006	36,006	14,436
Division of State Police.....	-	-	-	-	-	-	-	-	-	-	44,545	44,545	7,593
Office for People with Developmental Disabilities.....	-	-	-	-	3,050	-	-	-	-	3,050	36,044	39,094	48,009
Office of Alcoholism and Substance Abuse Services.....	-	-	5,634	-	39,223	-	-	-	-	44,857	-	44,857	35,646
Office of Children and Family Services.....	-	-	-	-	-	-	-	-	-	-	21,185	21,185	19,537
Office of General Services.....	-	-	-	-	-	-	-	-	-	-	116,248	116,248	126,457
Office of Information Technology Services.....	-	-	-	-	-	-	-	-	-	-	81,900	81,900	28,038
Office of Mental Health.....	-	-	9,000	-	56,575	-	-	-	-	65,575	102,473	168,048	142,164
Office of Parks, Recreation and Historic Preservation.....	-	34,071	-	-	-	-	-	-	-	34,071	149,650	183,721	165,753
Office of State Inspector General.....	-	-	-	-	-	-	-	-	-	-	175	175	177
Office of Temporary and Disability Assistance.....	-	-	-	-	-	-	45,500	-	-	45,500	376	45,876	32,711
Public Benefits Corporations:													
Development Authority of The North Country.....	-	-	-	-	-	-	-	-	-	-	-	-	2,110
Dormitory Authority.....	-	-	163,307	-	-	-	-	-	43,124	206,431	-	206,431	61,046
Energy Research and Development Authority.....	-	-	-	-	-	-	-	-	-	-	13,682	13,682	12,589
Higher Education Capital Match Grant Board.....	136	-	-	-	-	-	-	-	-	136	-	136	2,086
Hudson River Park Trust.....	-	-	-	-	-	-	-	-	-	-	7,750	7,750	1,640
New York State Canal Corporation.....	-	-	-	-	-	-	-	1,346	1,379	2,725	-	2,725	4,091
New York State Thruway Authority.....	-	-	-	-	-	-	-	-	-	-	608,420	608,420	-
Olympic Regional Development Authority.....	-	-	-	-	-	-	-	-	-	-	10,500	10,500	9,900
Urban Development Corporation (Empire State Dev Corp).....	14,217	-	103,591	-	-	-	-	525,441	-	643,249	-	643,249	425,266
State Education Department.....	13,905	-	-	-	-	-	-	-	-	13,905	6,518	20,423	18,583
State University of New York.....	-	-	-	-	-	-	-	-	-	-	42,629	42,629	27,995
State University of New York Construction Fund.....	-	-	-	-	-	-	-	-	-	-	904,188	904,188	857,841
Unified Court System.....	-	-	-	-	-	-	-	-	-	-	-	-	-
All Other.....	-	-	-	-	-	-	-	-	-	-	27,548	27,548	40,088
Total.....	\$ 28,258	\$ 152,368	\$ 303,423	\$ -	\$ 98,848	\$ 97,714	\$ 129,381	\$ 569,911	\$ 506,402	\$ 1,886,305	\$ 5,057,343	\$ 6,943,648	\$ 5,721,458

STATE OF NEW YORK
 CAPITAL PROJECTS FUNDS - FEDERAL
 COMBINING STATEMENT OF SELECTED DEPARTMENTAL DISBURSEMENTS
 FISCAL YEAR ENDED MARCH 31, 2016
 (Amounts in thousands)

SCHEDULE 27

Department/Division	LOCAL ASSISTANCE GRANTS										TOTAL		
	Education	Environment and Recreation	General Government	Medicaid	Other Public Health	Public Safety	Public Welfare	Support and Regulate Business	Transportation	Total Grants	Capital Construction	2015-16	2014-15
Department of Environmental Conservation.....	\$ -	\$ 153,982	\$ -	\$ -	\$ -	\$ 4,121	\$ -	\$ -	\$ -	\$ 158,103	\$ 2,013	\$ 160,116	\$ 178,582
Department of Health.....	-	-	-	-	46,010	-	-	-	-	46,010	-	46,010	67,639
Department of Transportation.....	-	-	-	-	-	-	-	-	407,205	407,205	1,396,500	1,803,705	1,561,437
Division of Housing and Community Renewal.....	-	-	-	-	-	-	-	-	-	-	-	-	-
Division of Military and Naval Affairs	-	-	-	-	-	-	-	-	-	-	21,109	21,109	14,842
Office of Parks, Recreation and Historic Preservation	-	-	-	-	-	-	-	-	-	-	6,216	6,216	3,779
Total.....	\$ -	\$ 153,982	\$ -	\$ -	\$ 46,010	\$ 4,121	\$ -	\$ -	\$ 407,205	\$ 611,318	\$ 1,425,838	\$ 2,037,156	\$ 1,826,279

STATE OF NEW YORK
TAX STABILIZATION RESERVE FUND (*)
STATEMENT OF NET CASH TRANSACTIONS
FISCAL YEARS 1945-46 THRU 2015-16

SCHEDULE 28

Fund Balance

Cumulative Transfers of General Fund Cash Basis Surplus (**)	\$ 1,247,613,898
Interest Earned on Temporary Investment of Surpluses (1947 - 1963)	10,148,700
Total Fund (Cash) Balance	\$ 1,257,762,598

Account Activity Life-to-Date

Accounts Receivable

First Instance Advances (***)	\$ 152,294,394
Repayment of First Instance Advances (****)	(152,294,394)
Total Accounts Receivable	\$ -

Loans Receivable

Loans to General Fund to Cover Cash Deficits (*****)	\$ 473,298,928
Repayments of Loans to Date (*****)	(473,298,928)
Total Loans Receivable	\$ -

Footnotes:

(*) Pursuant to Article VII of the State Constitution and Section 92 of the State Finance Law, receipts in excess of General Fund requirements not otherwise appropriated are required to be transferred to the Tax Stabilization Reserve Fund at the end of each fiscal year. Loans to finance cash deficits must be repaid within six years in at least three equal annual installments. As of March 31, 2016, there are no loans outstanding.

(**) Transfers of Surplus from the General Fund to the Reserve Fund:		Subtotal (carry forward)	191,223,898
March 31:			
1946	\$ 50,000,000	1996	65,000,000
1947	58,712,842	1997	65,000,000
1948	3,917,297	1998	68,600,000
1949	5,425,258	1999	72,962,000
1950	1,698,783	2000	74,300,000
1951	3,381,320	2001	79,790,000
1952	642,650	2002	82,910,000
1953	6,992,071	2004	84,000,000
1954	7,722,315	2005	78,000,000
1955	1,027,379	2006	72,514,000
1956	375,882	2007	86,951,000
1957	269,700	2008	-
1958	169,329	2009	-
1959	124,878	2010	-
1960	615,641	2011	-
1979	49,676,865	2012	100,000,000
1985	234,777	2013	-
1986	97,732	2014	-
1987	139,179	2015	126,363,000
Subtotal (carry forward)	<u>191,223,898</u>	2016	-
		Total Transfers from the General Fund	\$ 1,247,613,898

STATE OF NEW YORK
TAX STABILIZATION RESERVE FUND
STATEMENT OF NET CASH TRANSACTIONS
FISCAL YEARS 1945-46 THRU 2015-16

SCHEDULE 28
(continued)

Footnotes (continued):

(***) First Instance Advances to:

Local Governments.....	\$	6,932,932
Metropolitan Transportation Authority.....		62,238,750
Other Public Authorities.....		6,799,862
State Agencies.....		47,353,816
Miscellaneous Commissions and Funds.....		28,969,034
Total First Instance Advances.....	\$	152,294,394

(****) Repayment of First Instance Advances:

1984.....	\$	50,764,798
1985.....		50,764,798
1986.....		50,764,798
Total Repayment of First Instance Advances.....	\$	152,294,394

(*****) Loans to General Fund to Cover Operating Deficit for Fiscal Year Ended March 31:

1949.....	\$	3,146,805
1950.....		13,929,926
1951.....		12,917,100
1971.....		23,172,661
1972.....		42,700,850
1975.....		18,482,584
1976.....		64,808,688
1983.....		48,606,516
1988.....		132,483,599
1989.....		68,888,999
1992.....		44,161,200
Total Transfers to Cover Operating Deficits.....	\$	473,298,928

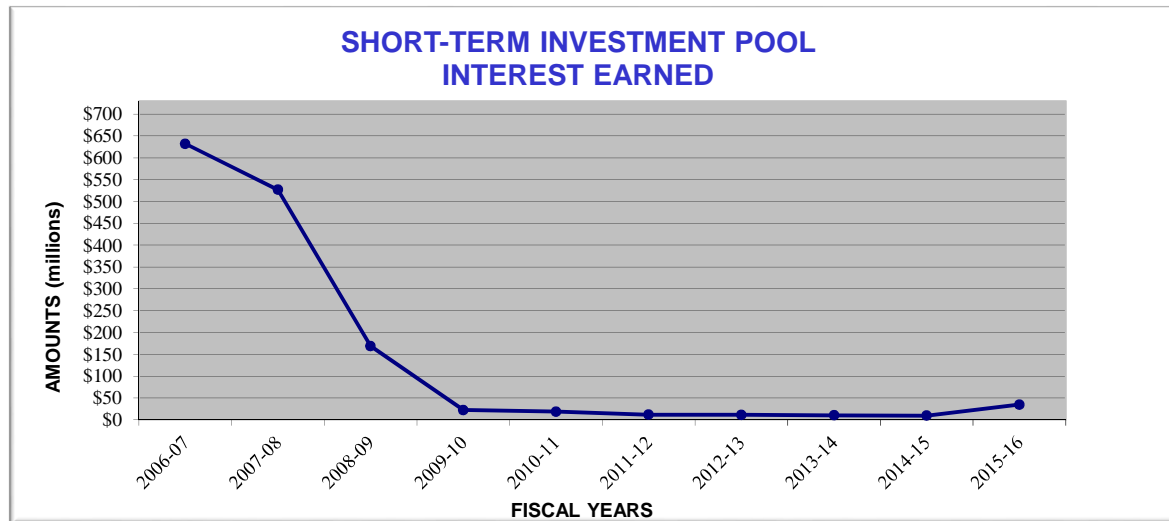
(*****) Repayments from General Fund of Prior Years Withdrawals:

1950.....	\$	3,146,805
1952.....		4,729,660
1953.....		14,253,064
1954.....		7,864,302
1973.....		65,873,511
1978.....		18,482,584
1979.....		16,202,172
1980.....		16,202,172
1981.....		16,202,172
1982.....		16,202,172
1987.....		16,202,172
1988.....		16,202,172
1989.....		16,202,172
1992.....		44,161,200
1993.....		67,124,200
1994.....		67,124,199
1995.....		22,962,999
1996.....		14,720,400
1997.....		14,720,400
1998.....		14,720,400
Total Repayments from General Fund.....	\$	473,298,928

**STATE OF NEW YORK
SHORT-TERM INVESTMENT POOL
FISCAL YEARS 2006-07 THRU 2015-16
(Amounts in millions)**

SCHEDULE 29

	<u>2006-07</u>	<u>2007-08</u>	<u>2008-09</u>	<u>2009-10</u>	<u>2010-11</u>	<u>2011-12</u>	<u>2012-13</u>	<u>2013-14</u>	<u>2014-15</u>	<u>2015-16</u>
Average Yields	5.261%	4.546%	1.670%	0.275%	0.226%	0.136%	0.167%	0.140%	0.117%	0.231%
Average Portfolio Balance	\$ 12,012.557	\$ 11,554.551	\$ 9,980.854	\$ 6,570.097	\$ 7,304.275	\$ 7,955.373	\$ 6,494.290	\$ 6,767.400	\$ 8,890.987	\$ 15,000.434
Total Interest Income Earned ^(*)	\$ 631.998	\$ 526.666	\$ 168.296	\$ 22.359	\$ 18.223	\$ 11.452	\$ 10.852	\$ 9.978	\$ 9.288	\$ 34.283



(*) The Excelsior Linked Deposit Program authorizes the Comptroller to deposit State funds with participating lenders at a reduced rate of return when such lenders make lower cost loans, on the basis of such linked deposits, to qualifying businesses to improve productivity, competitiveness, access to new markets and exporting capabilities. The Banking Development District Program authorizes the Comptroller to deposit State funds at a reduced rate of return to encourage the establishment of commercial bank branches in areas where there is a demonstrated need for banking services. Actual earnings are decreased by \$790,822 to include earnings lost from the Linked Deposit and Banking Development District investments.

**STATE OF NEW YORK
REPORT OF INVESTMENT YIELDS, PORTFOLIO BALANCES AND INTEREST EARNINGS
FISCAL YEAR ENDED MARCH 31, 2016
(Amounts in millions)**

SCHEDULE 30

	AVERAGE YIELDS	AVERAGE-PORTFOLIO BALANCE	TOTAL INTEREST INCOME EARNED
Short-Term Investment Pool (STIP)	0.231%	\$ 15,000.434	\$ 34.283 (*)
NYC General Debt Service Funds	0.070%	857.178	0.598
Other Funds:			
City of Troy MAC Account	-	-	-
Fish and Game	1.157%	49.489	0.574 (**)
Health Income Fund - Regular	-	-	-
Health Income Fund - NYC Veterans' Home	-	-	-
Local Gov't Assist. Tax Fund Debt Service	0.178%	34.267	0.061
Mental Health Services Fund	0.115%	99.229	0.114
Rensselaer County Real Estate Tax Fund	-	-	-
Roswell Park - Cancer Institute	0.184%	16.034	0.030

2015-2016 MONTHLY RESULTS

	April	May	June	July	Aug.	Sept.	Oct.	Nov.	Dec.	Jan.	Feb.	Mar.
Short-Term Investment Pool												
Yield	0.101%	0.102%	0.119%	0.134%	0.142%	0.154%	0.153%	0.147%	0.221%	0.405%	0.456%	0.461%
Average Portfolio	\$ 10,787.1	\$ 14,543.1	\$ 14,574.8	\$ 14,268.7	\$ 13,965.0	\$ 14,987.9	\$ 12,494.2	\$ 14,653.3	\$ 13,038.4	\$ 18,003.1	\$ 19,835.7	\$ 15,638.8
NYC General Debt Service Funds												
Yield	0.012%	0.012%	0.266%	0.024%	0.160%	0.034%	0.070%	0.092%	0.096%	0.204%	0.208%	0.214%
Average Portfolio	\$ 212.8	\$ 168.3	\$ 731.6	\$ 3,794.2	\$ 1,749.4	\$ 1,318.3	\$ 507.5	\$ 290.8	\$ 67.6	\$ 746.6	\$ 426.3	\$ 216.7
Other Funds (*)												
Yield	0.539%	0.383%	0.228%	0.338%	0.562%	0.440%	0.356%	0.330%	0.313%	0.167%	0.501%	0.545%
Average Portfolio	\$ 113.8	\$ 164.6	\$ 296.0	\$ 192.9	\$ 110.4	\$ 164.0	\$ 221.0	\$ 274.8	\$ 334.8	\$ 285.3	\$ 173.2	\$ 205.8

(*) The Excelsior Linked Deposit Program authorizes the Comptroller to deposit State funds with participating lenders at a reduced rate of return when such lenders make lower cost loans, on the basis of such linked deposits, to qualifying businesses to improve productivity, competitiveness, access to new markets and exporting capabilities. The Banking Development District Program authorizes the Comptroller to deposit State funds at a reduced rate of return to encourage the establishment of commercial bank branches in areas where there is a demonstrated need for banking services. The deposits with the banks are in the form of one year Certificates of Deposit. Due to the change in market conditions over the past year, actual earnings are decreased by \$790,822 due to the effect of the Linked Deposit and Banking Development District programs.

(**) Other Funds now includes the Fish and Game investment.

**STATE OF NEW YORK
SHORT-TERM INVESTMENT PORTFOLIO BALANCES
AS OF MARCH 31, 2016**

SCHEDULE 31

	Treasury Bills	Government Sponsored Agencies	Repurchase Agreements ^(*)	Commercial Paper	Savings Acct	Certificates of Deposit	Totals	
							2015-16	2014-15
Short-Term Investment Pool (STIP).....	\$ -	\$ 175,000,000	\$ 1,533,217,042	\$ 8,653,238,000	\$ 1,250,000,000	\$ 525,526,187	\$ 12,136,981,229	\$ 7,825,047,064
NYC General Debt Service Funds.....	-	-	6,145,000	-	-	-	6,145,000	221,113,000
Other Funds ^(**) :								
Fish and Game.....	51,000,000	-	-	-	-	-	51,000,000	51,000,000
Health Income Fund-Regular.....	-	-	-	-	-	-	-	-
Health Income Fund-NYC Veterans' Home.....	-	-	-	-	-	-	-	-
Mental Health Services Fund.....	-	-	27,630,000	-	-	-	27,630,000	26,867,000
Rensselaer County Real Estate Tax.....	-	-	-	-	-	-	-	-
Roswell Park Cancer Institute.....	19,476,500	-	-	-	-	-	19,476,500	19,805,400
TOTAL INVESTMENT PORTFOLIO.....	\$ 70,476,500	\$ 175,000,000	\$ 1,566,992,042	\$ 8,653,238,000	\$ 1,250,000,000	\$ 525,526,187	\$ 12,241,232,729	\$ 8,143,832,464

(*) Par value of collateral held

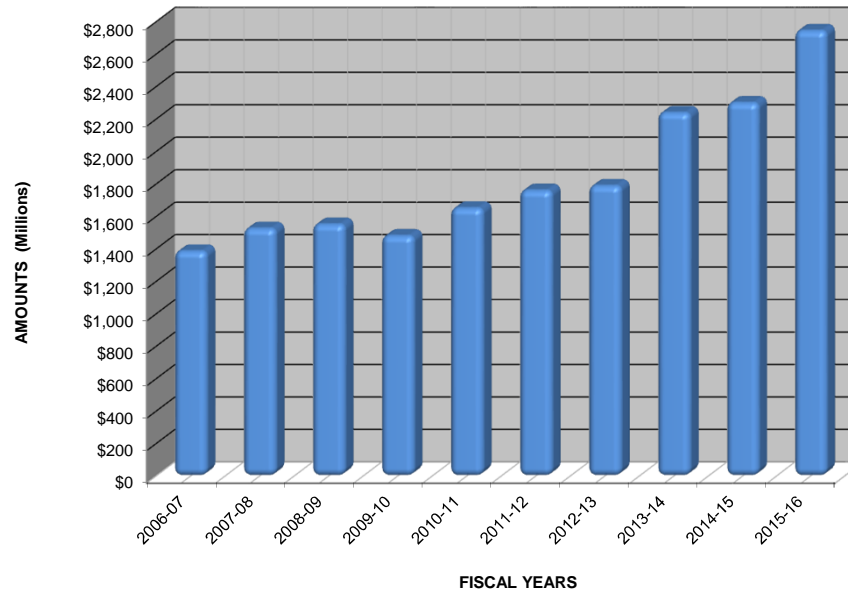
(**) Other Funds now includes the Fish and Game investment.

**STATE OF NEW YORK
 TEMPORARY LOANS OUTSTANDING (*)
 FISCAL YEARS 2006-07 THRU 2015-16**

SCHEDULE 32

DESCRIPTION	2006-07	2007-08	2008-09	2009-10	2010-11	2011-12	2012-13	2013-14	2014-15	2015-16
STATE SPECIAL REVENUE FUNDS.....	\$ 268,546,819.79	\$ 293,656,352.61	\$ 284,165,299.29	\$ 376,887,975.95	\$ 413,840,422.81	\$ 455,159,430.76	\$ 419,499,664.90	\$ 442,503,062.90	\$ 323,140,719.98	\$ 345,240,384.02
FEDERAL FUNDS.....	348,531,004.02	465,234,183.40	410,549,604.43	318,346,351.90	344,096,270.51	341,941,278.04	322,956,954.98	643,460,447.10	718,739,098.23	1,258,139,231.72
ENTERPRISE FUNDS.....	290,301.71	348,870.45	351,073.55	-	-	-	-	-	-	-
INTERNAL SERVICE FUNDS.....	63,542,881.84	58,676,950.69	53,137,540.49	55,749,465.27	41,593,035.56	37,435,452.57	79,098,819.94	122,773,157.24	233,654,939.37	179,316,239.49
CAPITAL AND BOND REIMBURSABLE FUNDS...	709,932,813.17	712,702,520.00	808,073,754.43	734,593,737.92	856,641,092.46	930,502,988.49	972,368,348.38	1,034,847,615.92	1,029,229,405.60	966,447,979.04
	\$ 1,390,843,820.53	\$ 1,530,618,877.15	\$ 1,556,277,272.19	\$ 1,485,577,531.04	\$ 1,656,170,821.34	\$ 1,765,039,149.86	\$ 1,793,923,788.20	\$ 2,243,584,283.16	\$ 2,304,764,163.18	\$ 2,749,143,834.27
CHANGE FROM PRIOR YEAR	-5.48%	10.05%	1.68%	-4.54%	11.48%	6.57%	1.64%	25.07%	2.73%	19.28%
CHANGE FROM 2006-07 TO 2015-16										97.66%

TEMPORARY LOANS OUTSTANDING



(*) Temporary Loans are authorized pursuant to Subdivision 5 of Section 4 of the State Finance Law and Chapter 60, Part I, Section 1 and 1A, of the Laws of 2015-16. These loans represent authorizations made by the Legislature to allow certain funds/accounts to make appropriated payments regardless of the fund (cash) balance. Such loans are made from the State's Short-Term Investment Pool (STIP) and are intended to satisfy temporary cash shortfalls whenever scheduled disbursements exceed available revenues during the fiscal year. Generally, temporary loans are repaid from the first cash receipts of the fund or account. In some cases, actual revenues are not sufficient to repay all loans made to the fund or account and a transfer from the General Fund "Repayment of Receivables" appropriation is approved by the Budget Director.

STATE OF NEW YORK
 TEMPORARY LOANS OUTSTANDING (*)
 AS OF MARCH 31, 2016

SCHEDULE 33

<u>FUND</u>	<u>FUND DESCRIPTION</u>	<u>AMOUNT OF LOAN</u>	<u>FUND</u>	<u>FUND DESCRIPTION</u>	<u>AMOUNT OF LOAN</u>
STATE SPECIAL REVENUE FUNDS			STATE SPECIAL REVENUE FUNDS (continued)		
20451	TUITION REIMBURSEMENT ACCOUNT.....	\$ -	22032	BATAVIA SCHOOL FOR THE BLIND.....	10,445,969.41
20452	VOCATIONAL SCHOOL SUPERVISION.....	-	22034	INVESTMENT SERVICES.....	-
20501	LOCAL GOVERNMENT RECORDS MANAGEMENT.....	-	22036	SURPLUS PROPERTY ACCOUNT.....	-
20810	CHILD HEALTH INSURANCE.....	-	22039	FINANCIAL OVERSIGHT ACCOUNT.....	1,839,595.26
20818	EPIC PREMIUM ACCOUNT.....	-	22046	REGULATION INDIAN GAMING.....	67,494,382.90
20901	LOTTERY-EDUCATION.....	-	22053	ROME SCHOOL FOR THE DEAF.....	3,011,463.84
20904	VLT EDUCATION.....	-	22054	SEIZED ASSETS ACCOUNT.....	11,185,876.45
21001	ENVIR FACILITIES CORPORATE ADMINISTRATION ACCOUNT.....	-	22055	ADMINISTRATIVE ADJUDICATION ACCOUNT.....	3,009,477.12
21002	ENCON ADMINISTRATION ACCOUNT.....	2,282,861.52	22056	FEDERAL SALARY SHARING ACCOUNT.....	-
21061	HAZARDOUS BULK STORAGE ACCOUNT.....	-	22062	NYC ASSESSMENT ACCOUNT.....	-
21065	FEDERAL GRANTS INDIRECT COST RECOVERY ACCOUNT.....	-	22063	CULTURAL EDUCATION ACCOUNT.....	3,658,250.82
21066	ENCON - LOW LEVEL RADIOACTIVE WASTE SITING.....	3,892,777.05	22078	LOCAL SERVICES ACCOUNT.....	191,046.52
21067	ENCON - RECREATION ACCOUNT.....	10,673,417.67	22085	DHCR - MORTGAGE SERVICING.....	3,286,583.44
21077	PUBLIC SAFETY RECOVERY ACCOUNT.....	-	22087	DMV - COMPULSORY INSURANCE PROGRAM.....	637,707.86
21081	ENVIRONMENTAL REGULATORY ACCOUNT.....	29,642,677.09	22090	HOUSING INDIRECT COST RECOVERY.....	7,438,941.39
21082	NATURAL RESOURCES ACCOUNT.....	17,998,755.80	22094	ACCIDENT PREVENTION COURSE PROGRAM.....	-
21084	MINED LAND RECLAMATION ACCOUNT.....	-	22100	DHCR - HOUSING CREDIT AGENCY APPLY FEE.....	340,761.07
21087	GREAT LAKES RESTORATION INITIATIVE.....	-	22130	LOW INCOME HOUSING CREDIT MONITORING.....	-
21201	AUDIT AND CONTROL OIL SPILL.....	-	22135	EFC - CORPORATION ADMINISTRATION ACCOUNT.....	-
21202	HEALTH DEPARTMENT OIL SPILL.....	-	22144	MONTROSE VETERAN'S HOME.....	-
21203	DEPT OF ENVIRONMENTAL CONSERVATION OIL SPILL.....	-	22151	DEFERRED COMPENSATION BOARD ADMIN ACCOUNT.....	79,255.54
21204	OIL SPILL COMPENSATION.....	-	22156	RENT REVENUE OTHER - NYC.....	13,590,926.08
21205	LICENSE FEE SURCHARGES.....	-	22158	RENT REVENUE ACCOUNT.....	797,676.31
21401	PUBLIC TRANSPORTATION SYSTEMS.....	3,558,825.14	22168	TAX REVENUE ARREARAGE ACCOUNT.....	-
21402	METROPOLITAN MASS TRANSPORTATION.....	-	22654	STATE UNIVERSITY INCOME OFFSET.....	19,436,786.63
21451	OPERATING PERMIT PROGRAM.....	19,199,592.82	22802	STATE POLICE MOTOR VEHICLE ENFORCE ACCOUNT.....	-
21452	MOBILE SOURCE ACCOUNT.....	-	23001	DOT - HIGHWAY SAFETY PROGRAM.....	7,715,551.89
21902	HEALTH - SPARCS ACCOUNT.....	-	23101	EFC DRINKING WATER PROGRAM.....	-
21903	OPWDD PROVIDER OF SERVICE.....	-	23102	DOH DRINKING WATER PROGRAM.....	5,413,761.68
21907	MENTAL HYGIENE PROGRAM.....	-	23151	NYCCC OPERATING OFFSET.....	52,736,995.72
21909	MENTAL HYGIENE PATIENT INCOME ACCOUNT.....	-	23701	COMMERCIAL GAMING REVENUE.....	-
21911	FINANCIAL CONTROL BOARD ACCOUNT.....	1,893,826.52	23702	COMMERCIAL GAMING REGULATION.....	5,321,561.82
21912	RACING REGULATION ACCOUNT.....	6,413,268.08			
21913	NY METROPOLITAN TRANSPORTATION COUNCIL.....	18,635,885.02			
21937	STATE UNIVERSITY DORMITORY INCOME REIMBURSEMENTS ACCT.....	-		TOTAL STATE SPECIAL REVENUE FUNDS.....	\$ 345,240,384.02
21943	ENERGY RESEARCH ACCOUNT.....	-			
21945	CRIMINAL JUSTICE IMPROVEMENT.....	-			
21950	FINGERPRINT IDENTIFICATION AND TECHNOLOGY ACCOUNT.....	-			
21959	ENVIRONMENTAL LABORATORY REFERENCE FEE ACCOUNT.....	-		FEDERAL FUNDS	
21962	CLINICAL LABORATORY FEE REFERENCE ACCOUNT.....	11,807,535.19	25000-25099	FEDERAL USDA/FOOD AND NUTRITION SERVICES.....	4,154,194.80
21978	INDIRECT COST RECOVERY.....	-	25100-25199	FEDERAL HEALTH AND HUMAN SERVICES.....	193,020,388.43
21979	HIGH SCHOOL EQUIVALENCY PROGRAM.....	-	25200-25249	FEDERAL EDUCATION GRANTS.....	7,229,618.71
21989	MULTI - AGENCY TRAINING ACCOUNT.....	-	25300-25899	FEDERAL MISCELLANEOUS OPERATING GRANTS.....	480,635,266.33
22003	BELL JAR COLLECTION ACCOUNT.....	-	25900-25949	UI ADMINISTRATION.....	5,318,053.49
22004	INDUSTRY AND UTILITY SERVICE.....	-	25950	FEDERAL UNEMPLOYMENT INS OCCUPATIONAL TRAINING.....	-
22006	REAL PROPERTY DISPOSITION.....	825,576.32	26000-26049	FEDERAL EMPLOYMENT AND TRAINING GRANTS.....	3,253,158.69
22007	PARKING ACCOUNT.....	625,103.17	31351	MILITARY AND NAVAL AFFAIRS.....	6,866,197.81
22009	ASBESTOS SAFETY TRAINING.....	157,710.88	31354	DEPARTMENT OF TRANSPORTATION.....	438,550,900.07
			31350-31449	FEDERAL CAPITAL PROJECTS FUND.....	119,111,453.39
				TOTAL FEDERAL FUNDS.....	\$ 1,258,139,231.72

STATE OF NEW YORK
 TEMPORARY LOANS OUTSTANDING (*)
 AS OF MARCH 31, 2016

SCHEDULE 33
 (continued)

<u>FUND</u>	<u>FUND DESCRIPTION</u>	<u>AMOUNT OF LOAN</u>	<u>FUND</u>	<u>FUND DESCRIPTION</u>	<u>AMOUNT OF LOAN</u>
CAPITAL AND BOND REIMBURSABLE FUNDS			CAPITAL AND BOND REIMBURSABLE FUNDS (continued)		
30051	HIGHWAY AND BRIDGE CAPITAL.....	-	30149	REHAB/REPAIR DELHI.....	-
30101	REHAB/REPAIR MARITIME.....	-	30150	D25RVE - DELHI.....	-
30102	D21RVE - MARITIME.....	-	30151	REHAB/REPAIR FARMINGDALE.....	-
30103	D36RVE - CENTRAL ADMIN.....	-	30152	D26RVE - FARMINGDALE.....	-
30104	RESIDENCE HALL CAMPUS LET BOND PROCEEDS.....	-	30153	REHAB/REPAIR MORRISVILLE.....	-
30105	REHAB/REPAIR ALBANY.....	-	30154	D27RVE - MORRISVILLE.....	-
30106	D01RVE - ALBANY.....	-	30351	STATE PARK INFRASTRUCTURE.....	83,050,823.32
30107	REHAB/REPAIR BINGHAMTON.....	-	30501	CW/CA IMPLEMENTATION DEC.....	-
30108	D07RVE - BINGHAMTON.....	-	30502	CW/CA IMPLEMENTATION STATE.....	-
30109	REHAB/REPAIR BUFFALO UNIVERSITY.....	-	30503	CW/CA IMPLEMENTATION ERDA.....	-
30110	D28RVE - SUNY BUFFALO.....	-	30504	CW/CA IMPLEMENTATION EFC.....	-
30111	REHAB/REPAIR STONYBROOK.....	-	31506	HAZARDOUS WASTE CLEANUP ACCOUNT.....	147,782,868.11
30112	D13RVE - STONYBROOK.....	-	31701	YOUTH FACILITIES IMPROVEMENT.....	22,590,743.14
30113	REHAB/REPAIR BROOKLYN.....	-	31801	HOUSING ASSISTANCE.....	10,815,607.54
30114	D14RVE - HSC BROOKLYN.....	-	31851	HOUSING PROG FUND - HOUSING TRUST FUND CORPORATION.....	19,608,622.21
30115	REHAB/REPAIR SYRACUSE.....	-	31852	HOUSING PROG FUND - AFFORD HOUSING-CORPORATION.....	29,437,271.89
30116	D15RVE - HSC SYRACUSE.....	-	31853	HOUSING PROG FUND - DEPT OF SOCIAL SERVICES.....	95,498,420.31
30117	REHAB/REPAIR BROCKPORT.....	-	31854	HOUSING PROG FUND - HFA.....	-
30118	D02RVE - BROCKPORT.....	-	31951	HIGHWAY FACILITY PURPOSE.....	12,564,162.12
30119	REHAB/REPAIR BUFFALO COLLEGE.....	-	32213	NY RACING ACCOUNT.....	153,750.00
30120	D03RVE - SUB BUFFALO.....	-	32215	IT CAPITAL FINANCING ACCT.....	29,479.36
30121	REHAB/REPAIR CORTLAND.....	-	32301	OPWDD - STATE FACILITIES PRE 12/99.....	-
30122	D04RVE - CORTLAND.....	-	32302	DSAS - COMMUNITY FACILITIES.....	-
30123	REHAB/REPAIR FREDONIA.....	-	32303	OMH - COMMUNITY FACILITIES.....	140,333,102.09
30124	D05RVE - FREDONIA.....	-	32304	OPWDD - COMMUNITY FACILITIES.....	-
30125	REHAB/REPAIR GENESEO.....	-	32305	OASAS - COMMUNITY FACILITIES.....	207,976,432.07
30126	D06RVE - GENESEO.....	-	32306	DASNY - OMH ADMIN.....	29,742,082.89
30127	REHAB/REPAIR OLD WESTBURY.....	-	32307	DASNY - OPWDD ADMIN.....	2,906,639.89
30128	D31RVE - OLD WESTBURY.....	-	32308	DASNY - OASAS ADMIN.....	488,054.67
30129	REHAB/REPAIR NEW PALTZ.....	-	32309	OMH - STATE FACILITIES.....	80,145,576.25
30130	D08RVE - NEW PALTZ.....	-	32310	OPWDD - STATE FACILITIES.....	-
30131	REHAB/REPAIR ONEONTA.....	-	32311	OASAS - STATE FACILITIES.....	1,615,744.70
30132	D09RVE - ONEONTA.....	-	32351	CORRECTIONAL FACILITY CAPITAL IMPROVEMENT.....	-
30133	REHAB/REPAIR OSWEGO.....	-	32352	DOCCS - REHABILITATION PROJECTS.....	33,034,954.86
30134	D10RVE - OSWEGO.....	-	33001	STORM RECOVERY ACCOUNT.....	48,673,643.62
30135	REHAB/REPAIR PLATTSBURGH.....	-		TOTAL CAPITAL AND BOND REIMBURSABLE FUNDS.....	\$ 966,447,979.04
30136	D11RVE - PLATTSBURGH.....	-			
30137	REHAB/REPAIR POTSDAM.....	-		ENTERPRISE FUNDS	
30138	D12RVE - POTSDAM.....	-	50318	OGS CONVENTION CENTER ACCOUNT.....	-
30139	REHAB/REPAIR PURCHASE.....	-		TOTAL ENTERPRISE FUNDS.....	\$ -
30140	D29RVE - PURCHASE.....	-			
30141	REHAB/REPAIR FOR UTICA/ROME.....	-			
30142	D27RVE - CAMPUS RESERVE.....	-			
30143	REHAB/REPAIR ALFRED.....	-			
30144	D22RVE - ALFRED.....	-			
30145	REHAB/REPAIR CANTON.....	-			
30146	D23RVE - CANTON.....	-			
30147	REHAB/REPAIR COBLESKILL.....	-			
30148	D24RVE - COBLESKILL.....	-			

STATE OF NEW YORK
 TEMPORARY LOANS OUTSTANDING (*)
 AS OF MARCH 31, 2016

SCHEDULE 33
 (continued)

<u>FUND</u>	<u>FUND DESCRIPTION</u>	<u>AMOUNT OF LOAN</u>	<u>FUND</u>	<u>FUND DESCRIPTION</u>	<u>AMOUNT OF LOAN</u>
INTERNAL SERVICE FUNDS			INTERNAL SERVICE FUNDS (continued)		
55001	CENTRALIZED SERVICES - FLEET MANAGEMENT.....	1,325,748.54	55052	ARCHIVES RECORD MANAGEMENT.....	-
55002	CENTRALIZED SERVICES - INFORMATION RESOURCE MANAGEMENT.....	-	55053	FEDERAL SINGLE AUDIT.....	-
55003	CENTRALIZED SERVICES - PRINTING.....	3,232,292.70	55055	CIVIL SERVICE LAW: SEC. 11 ADMIN.....	-
55004	CENTRALIZED SERVICES - REAL PROPERTY LABOR.....	153,534.18	55056	CIVIL SERVICE EHS OCCUPATIONAL HEALTH PROGRAM.....	-
55005	CENTRALIZED SERVICES - DONATED FOODS.....	241,633.13	55057	BANKING SERVICES ACCOUNT.....	-
55006	CENTRALIZED SERVICES - FEDERAL PERSONAL PROPERTY.....	-	55058	CULTURAL RESOURCE SURVEY.....	2,770,135.27
55007	CENTRALIZED SERVICES - CONSTRUCTION SERVICES.....	753,314.26	55059	NEIGHBOR WORK PROJECT.....	12,862,487.45
55008	CENTRALIZED SERVICES - PASNY.....	16,590,383.26	55060	AUTOMATIC / PRINT CHARGEBACKS.....	-
55009	CENTRALIZED SERVICES - ADMINISTRATIVE SUPPORT.....	-	55061	OFFICE OF INFORMATION TECHNOLOGY SERVICES/ NYT.....	3,955,883.62
55010	CENTRALIZED SERVICES - DESIGN AND CONSTRUCTION.....	-	55062	DATA CENTER ACCOUNT.....	47,594,538.73
55011	CENTRALIZED SERVICES - INSURANCE SERVICE.....	1,183,387.08	55066	CYBER SECURITY INTRUSION ACCOUNT.....	1,261,584.27
55012	CENTRALIZED SERVICES - SECURITY CARD ACCESS.....	112,154.71	55067	DOMESTIC VIOLENCE GRANT.....	269,015.89
55013	CENTRALIZED SERVICES - COPS.....	-	55069	CENTRALIZED TECHNOLOGY SERVICES.....	7,850,445.53
55014	CENTRALIZED SERVICES - FOOD SERVICES.....	-	55071	LABOR CONTACT CENTER.....	-
55015	CENTRALIZED SERVICES - HOMER FOLKS.....	-	55072	HUMAN SERVICES CONTACT CENTER.....	547,627.17
55016	CENTRALIZED SERVICES - INTERAGENCY MAIL & MESSENGER COURIER SERVICE.....	26,961.54	55073	TAX CONTACT CENTER.....	-
55017	DOWNSTATE WAREHOUSE.....	242,577.49	55251	EXECUTIVE DIRECTION INTERNAL AUDIT.....	3,225,319.04
55018	BUILDING ADMINISTRATION ACCOUNT.....	-	55252	CIO INFORMATION TECHNOLOGY CENTRALIZED SERVICES.....	-
55019	LEASE SPACE INITIATIVE.....	-	55300	HEALTH INSURANCE INTERNAL SERVICE.....	6,081,029.60
55020	OGS ENTERPRISE CONTRACTING.....	41,231,297.02	55301	CIVIL SERVICE EMPLOYEE BENEFITS DIV ADM ACCOUNT.....	6,648,306.07
55021	NYS MEDIA CENTER.....	3,712,540.53	55350	CORRECTIONAL INDUSTRIES INTERNAL SERVICE.....	17,358,614.07
55022	BUSINESS SERVICES CENTER.....	85,428.34			
				TOTAL INTERNAL SERVICE FUNDS.....	\$ 179,316,239.49
				GRAND TOTAL.....	\$ 2,749,143,834.27

(*) Temporary Loans are authorized pursuant to Subdivision 5 of Section 4 of the State Finance Law and Chapter 60, Part I, Section 1 and 1A, of the Laws of 2015-16. The loans represent authorizations made by the Legislature to allow certain funds to make appropriated payments regardless of the fund (cash) balance. Such loans are made from the State's Short-Term Investment Pool (STIP) and are intended to satisfy temporary cash shortfalls whenever scheduled disbursements exceed available revenues during the fiscal year. Generally, temporary loans are repaid from the first cash receipts of the fund. In some cases, actual revenues are not sufficient to repay all loans made to the fund and a transfer from the General Fund "Repayment of Receivables" appropriation is approved by the Budget Director.

STATE OF NEW YORK
SUMMARY OF CASH ADVANCE ACCOUNTS BY BUSINESS UNIT AND DEPARTMENT
FISCAL YEAR ENDED MARCH 31, 2016

SCHEDULE 34

This schedule presents balances of petty cash, travel, and other cash advances (i.e., confidential, patient, and inmate work release) issued to State business units pursuant to §115 of the State Finance Law. Advances are issued from business unit appropriations and cash is transferred from the State Treasury to a local bank account for appropriate use. Cash advance accounts are reimbursed periodically by vouchers audited and approved by the State Comptroller for payment out of the State Treasury.

<u>BUSINESS UNIT</u>	<u>PETTY CASH</u>	<u>TRAVEL</u>	<u>OTHER</u>	<u>TOTAL ADVANCES</u>
Adirondack Park Agency.....	\$ 4,000	\$ -	\$ -	\$ 4,000
Agriculture and Markets, Department of:				
Agriculture and Markets, Department of.....	20,000	-	-	20,000
State Fair.....	4,000	500	-	4,500
Alcoholic Beverage Control, Division of.....	2,000	-	-	2,000
Alcoholism and Substance Abuse Services, Office of:				
Alcoholism and Substance Abuse Services, Office of.....	2,800	-	-	2,800
Kingsboro Addiction Treatment Center.....	1,500	-	-	1,500
Assembly:				
Legislative Task Force on Demographic Research and Reapportionment.....	250	-	-	250
New York State Assembly.....	18,750	7,000	-	25,750
New York State Assembly Ways and Means.....	2,500	3,000	-	5,500
Board of Elections.....	-	-	5,000	5,000
Budget, Division of the.....	8,500	-	-	8,500
Children and Family Services, Office of.....	29,900	-	53,900	83,800
City University of New York:				
City College.....	22,000	2,000	-	24,000
College of Staten Island.....	5,500	-	-	5,500
Graduate School.....	10,000	-	-	10,000
Hunter College.....	55,000	2,000	-	57,000
John Jay College.....	18,700	-	-	18,700
Lehman College.....	5,000	-	-	5,000
New York City College of Technology.....	5,000	2,266	-	7,266
School of Journalism.....	5,000	-	-	5,000
School of Law.....	30,000	-	-	30,000
University Accounting Office - Accounts Payable.....	13,000	3,050	273,500	289,550
York College.....	10,500	1,225	-	11,725
Civil Service, Department of.....	-	3,000	-	3,000

STATE OF NEW YORK
SUMMARY OF CASH ADVANCE ACCOUNTS BY BUSINESS UNIT AND DEPARTMENT
FISCAL YEAR ENDED MARCH 31, 2016

SCHEDULE 34
(continued)

BUSINESS UNIT	PETTY CASH	TRAVEL	OTHER	TOTAL ADVANCES
Corcraft:				
Albion Correctional Facility - Industries.....	500	500	-	1,000
Attica Correctional Facility - Industries.....	300	1,000	-	1,300
Central Office - Industries.....	6,500	-	-	6,500
Eastern Correctional Facility - Industries.....	250	750	-	1,000
Elmira Correctional Facility - Industries.....	500	-	-	500
Fishkill Correctional Facility - Industries.....	1,000	-	-	1,000
Great Meadow Correctional Facility - Industries.....	750	-	-	750
Green Haven Correctional Facility - Industries.....	1,500	2,000	-	3,500
Wallkill Correctional Facility - Industries.....	450	-	-	450
Correction, State Commission of.....	2,000	-	-	2,000
Corrections and Community Supervision, Department of:				
Adirondack Correctional Facility.....	1,100	500	100	1,700
Albion Correctional Facility.....	2,000	1,000	2,700	5,700
Altona Correctional Facility.....	2,500	2,300	200	5,000
Attica Correctional Facility.....	1,500	2,500	200	4,200
Auburn Correctional Facility.....	6,000	2,000	500	8,500
Bare Hill Correctional Facility.....	1,500	1,000	1,000	3,500
Bedford Hills Correctional Facility.....	7,500	400	800	8,700
Cape Vincent Correctional Facility.....	2,000	2,000	1,000	5,000
Cayuga Correctional Facility.....	5,000	1,000	500	6,500
Central Pharmacy.....	1,000	-	-	1,000
Clinton Correctional Facility.....	10,500	5,000	500	16,000
Collins Correctional Facility.....	5,000	2,000	1,200	8,200
Corrections and Community Supervision, Department of.....	10,000	4,000	10,200	24,200
Coxsackie Correctional Facility.....	2,500	200	200	2,900
Downstate Correctional Facility.....	1,700	250	1,000	2,950
Eastern Correctional Facility.....	2,500	300	50	2,850
Edgecombe Correctional Facility.....	4,000	250	1,500	5,750
Elmira Correctional Facility.....	4,000	2,000	1,000	7,000
Fishkill Correctional Facility.....	4,000	2,000	3,500	9,500
Five Points Correctional Facility.....	1,000	1,500	500	3,000
Franklin Correctional Facility.....	4,500	1,500	1,000	7,000
Gouverneur Correctional Facility.....	1,500	1,500	500	3,500
Gowanda Correctional Facility.....	2,200	2,000	200	4,400
Great Meadow Correctional Facility.....	5,500	3,500	300	9,300
Greene Correctional Facility.....	4,000	1,000	1,200	6,200
Green Haven Correctional Facility.....	3,500	1,200	300	5,000

STATE OF NEW YORK
SUMMARY OF CASH ADVANCE ACCOUNTS BY BUSINESS UNIT AND DEPARTMENT
FISCAL YEAR ENDED MARCH 31, 2016

SCHEDULE 34
(continued)

BUSINESS UNIT	PETTY CASH	TRAVEL	OTHER	TOTAL ADVANCES
Groveland Correctional Facility.....	2,000	1,000	400	3,400
Hale Creek Alcohol and Substance Abuse Correctional Treatment Center	1,000	500	25	1,525
Hudson Correctional Facility.....	3,000	500	1,550	5,050
Lakeview Shock Incarceration Correctional Facility.....	3,000	1,000	200	4,200
Lincoln Correctional Facility.....	2,700	-	5,100	7,800
Livingston Correctional Facility.....	4,450	-	-	4,450
Marcy Correctional Facility.....	4,500	500	500	5,500
Mid-State Correctional Facility.....	1,000	2,000	300	3,300
Mohawk Correctional Facility.....	2,500	200	250	2,950
Moriah Shock Incarceration Correctional Facility.....	2,000	-	-	2,000
New York City Central Administration.....	5,000	750	-	5,750
Ogdensburg Correctional Facility.....	2,500	2,000	250	4,750
Operations - Central Office.....	10,000	15,000	2,500	27,500
Orleans Correctional Facility.....	2,500	1,000	1,500	5,000
Otisville Correctional Facility.....	1,000	-	50	1,050
Queensboro Correctional Facility.....	2,000	1,000	5,000	8,000
Riverview Correctional Facility.....	1,000	1,000	-	2,000
Rochester Correctional Facility.....	1,600	-	7,000	8,600
Shawangunk Correctional Facility.....	1,200	-	100	1,300
Sing Sing Correctional Facility.....	7,000	750	4,000	11,750
Southport Correctional Facility.....	1,500	200	200	1,900
Sullivan Correctional Facility.....	2,000	300	250	2,550
Taconic Correctional Facility.....	5,000	700	300	6,000
Ulster Correctional Facility.....	1,000	-	3,500	4,500
Upstate Correctional Facility.....	1,600	2,700	500	4,800
Wallkill Correctional Facility.....	2,000	500	300	2,800
Washington Correctional Facility.....	2,000	600	200	2,800
Watertown Correctional Facility.....	1,000	1,500	500	3,000
Wende Correctional Facility.....	2,500	1,000	250	3,750
Willard Drug Treatment Center.....	1,500	1,000	300	2,800
Woodbourne Correctional Facility.....	-	1,500	100	1,600
Wyoming Correctional Facility.....	4,300	4,300	1,000	9,600
Criminal Justice Services, Division of.....	3,000	-	-	3,000
Education Department, State:				
Batavia School for the Blind.....	4,000	-	-	4,000
Education Department, State.....	3,000	2,000	295,000	300,000
Rome School for the Deaf.....	2,000	-	-	2,000
Employee Relations, Governor's Office of.....	2,000	-	-	2,000

**STATE OF NEW YORK
SUMMARY OF CASH ADVANCE ACCOUNTS BY BUSINESS UNIT AND DEPARTMENT
FISCAL YEAR ENDED MARCH 31, 2016**

**SCHEDULE 34
(continued)**

BUSINESS UNIT	PETTY CASH	TRAVEL	OTHER	TOTAL ADVANCES
Environmental Conservation, Department of.....	30,000	-	37,000	67,000
Financial Control Board for New York City.....	750	500	-	1,250
Financial Services, Department of.....	6,000	500	10,000	16,500
General Services, Office of:				
General Services, Office of.....	20,000	-	6,300,000	6,320,000
General Services New York Power Authority Miscellaneous, Office of.....	-	-	6,000,000	6,000,000
Health, Department of:				
Health, Department of.....	14,950	-	7,000	21,950
Helen Hayes Hospital.....	25,000	2,500	-	27,500
Medicaid Inspector General, Office of.....	500	-	1,000	1,500
New York State Veterans' Home at Montrose.....	15,000	-	-	15,000
New York State Veterans' Home at Oxford.....	13,000	2,000	1,200	16,200
New York State Veterans' Home at St Albans.....	10,000	2,000	3,000	15,000
Western New York Veterans' Home at Batavia.....	4,000	-	1,500	5,500
Human Rights, Division of.....	4,500	-	-	4,500
Inspector General, Office of the State.....	500	-	15,000	15,500
Interest on Lawyer Account.....	520	980	-	1,500
Joint Operations - Legislature.....	2,000	2,000	-	4,000
Judicial Conduct, State Commission on.....	3,000	1,000	-	4,000
Justice Center for the Protection of People with Special Needs.....	2,000	-	-	2,000
Labor, Department of.....	28,000	-	-	28,000
Lake George Park Commission.....	2,000	-	-	2,000

**STATE OF NEW YORK
SUMMARY OF CASH ADVANCE ACCOUNTS BY BUSINESS UNIT AND DEPARTMENT
FISCAL YEAR ENDED MARCH 31, 2016**

**SCHEDULE 34
(continued)**

BUSINESS UNIT	PETTY CASH	TRAVEL	OTHER	TOTAL ADVANCES
Law, Department of:				
Attorney General, Office of the.....	30,050	-	214,500	244,550
Medicaid Fraud Control.....	8,000	-	40,000	48,000
Mental Health, Office of:				
Brooklyn Children's Psychiatric Center.....	5,000	-	2,100	7,100
Bronx Children's Psychiatric Center.....	8,000	-	3,025	11,025
Bronx Psychiatric Center.....	5,000	1,000	19,020	25,020
Buffalo Psychiatric Center.....	30,500	2,000	45,020	77,520
Capital District Psychiatric Center.....	5,100	500	7,410	13,010
Central New York Psychiatric Center.....	5,550	-	5,225	10,775
Creedmoor Psychiatric Center.....	16,500	1,000	69,522	87,022
Elmira Psychiatric Center.....	11,000	1,200	6,350	18,550
Greater Binghamton Health Center.....	3,500	-	24,900	28,400
Kingsboro Psychiatric Center.....	21,793	-	27,545	49,338
Kirby Forensic Psychiatric Center.....	28,600	-	-	28,600
Manhattan Psychiatric Center.....	52,420	-	-	52,420
Mental Health, Office of.....	2,300	2,500	-	4,800
Mid-Hudson Forensic Psychiatric Center.....	16,925	-	-	16,925
Mohawk Valley Psychiatric Center.....	8,000	-	11,000	19,000
Nathan Kline Institute.....	1,300	-	-	1,300
New York State Psychiatric Institute.....	2,000	-	-	2,000
Pilgrim Psychiatric Center.....	7,100	-	167,918	175,018
Queens Facility Wide.....	8,000	-	3,000	11,000
Richard H. Hutchins Psychiatric Center.....	17,000	-	17,500	34,500
Rochester Psychiatric Center.....	17,000	-	24,093	41,093
Rockland Children's Psychiatric Center.....	19,200	-	800	20,000
Rockland Psychiatric Center.....	35,000	2,000	41,500	78,500
Sagamore Children's Psychiatric Center.....	9,500	-	6,075	15,575
South Beach Psychiatric Center.....	14,000	500	13,000	27,500
St. Lawrence Psychiatric Center.....	-	-	24,884	24,884
Western New York Children's Psychiatric Center.....	2,000	500	1,325	3,825
Military and Naval Affairs, Division of.....	5,000	15,000	-	20,000
Motor Vehicles, Department of.....	248,475	5,000	28,000	281,475
NYS Gaming Commission.....	1,000	10,000	-	11,000
Parks, Recreation and Historic Preservation, Office of.....	40,000	-	273,090	313,090

STATE OF NEW YORK
SUMMARY OF CASH ADVANCE ACCOUNTS BY BUSINESS UNIT AND DEPARTMENT
FISCAL YEAR ENDED MARCH 31, 2016

SCHEDULE 34
(continued)

BUSINESS UNIT	PETTY CASH	TRAVEL	OTHER	TOTAL ADVANCES
People With Developmental Disabilities, Office for:				
Bernard M Fineson DDSO.....	34,000	-	-	34,000
Brooklyn DDSO.....	34,000	-	-	34,000
Broome DDSO.....	45,675	-	1,450	47,125
Capital District DDSO.....	50,000	-	-	50,000
Central New York DDSO.....	40,000	-	-	40,000
Finger Lakes DDSO.....	108,100	2,400	4,500	115,000
Hudson Valley DDSO.....	37,802	2,500	-	40,302
Institute for Basic Research in Development Disabilities.....	12,000	-	-	12,000
Long Island DDSO.....	25,000	-	-	25,000
Metro New York DDSO.....	38,505	-	-	38,505
People With Developmental Disabilities, Office for.....	2,000	-	-	2,000
Staten Island DDSO.....	19,000	-	-	19,000
Sunmount DDSO.....	25,000	1,000	-	26,000
Taconic DDSO.....	67,000	-	-	67,000
Western New York DDSO.....	60,000	-	50,000	110,000
Public Employment Relations Board.....	1,500	1,000	-	2,500
Public Ethics, Joint Commission on.....	500	-	-	500
Public Service, Department of.....	13,400	15,000	-	28,400
State, Department of:				
State, Department of.....	17,000	-	-	17,000
Tug Hill Commission.....	1,750	-	-	1,750
State Comptroller, Office of the.....	9,600	40,000	-	49,600
State Police, Division of:				
State Police, Division of.....	2,000	-	201,000	203,000
New York State Police - Troop A.....	1,000	-	2,000	3,000
New York State Police - Troop B.....	1,000	-	12,000	13,000
New York State Police - Troop C.....	1,000	-	2,000	3,000
New York State Police - Troop D.....	1,000	-	2,000	3,000
New York State Police - Troop E.....	1,000	-	2,000	3,000
New York State Police - Troop F.....	1,000	-	2,000	3,000
New York State Police - Troop G.....	1,000	-	2,000	3,000
New York State Police - Troop K.....	1,000	-	2,000	3,000
New York State Police - Troop L.....	1,000	-	8,000	9,000
New York State Police - Troop New York City.....	-	-	500	500

STATE OF NEW YORK
SUMMARY OF CASH ADVANCE ACCOUNTS BY BUSINESS UNIT AND DEPARTMENT
FISCAL YEAR ENDED MARCH 31, 2016

SCHEDULE 34
(continued)

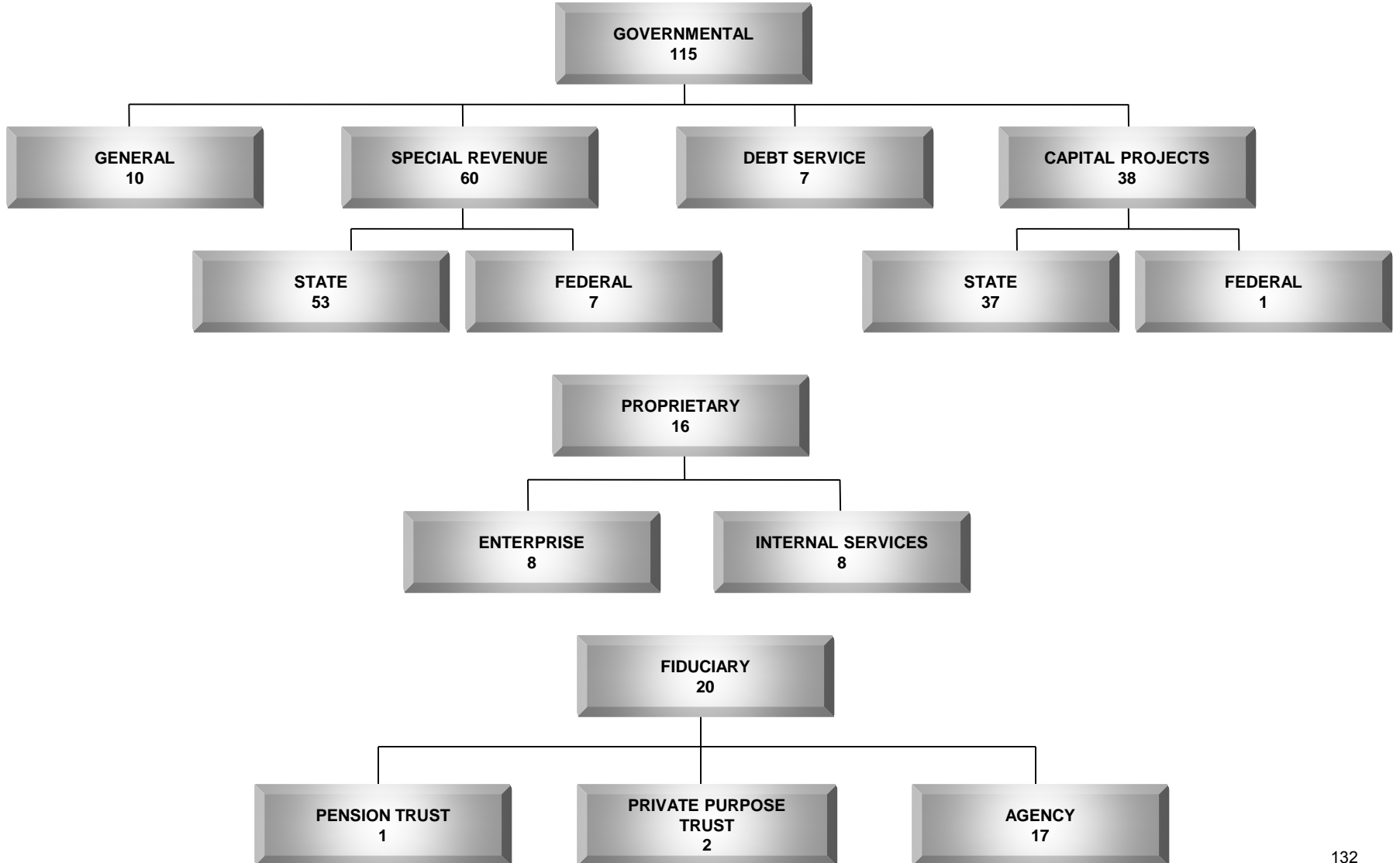
BUSINESS UNIT	PETTY CASH	TRAVEL	OTHER	TOTAL ADVANCES
State University of New York:				
Central Administration.....	1,100	3,900	3,700,000	3,705,000
College at Brockport.....	7,000	-	21,000	28,000
College at Buffalo.....	15,000	85,000	-	100,000
College at Cortland.....	1,350	35,000	-	36,350
College at Fredonia.....	1,000	-	27,500	28,500
College at Geneseo.....	9,800	37,200	-	47,000
College at New Paltz.....	30,000	33,000	3,500	66,500
College at Old Westbury.....	14,000	3,000	-	17,000
College at Oneonta.....	30,330	11,950	23,000	65,280
College at Oswego.....	6,000	30,000	10,000	46,000
College at Plattsburgh.....	4,500	6,000	17,000	27,500
College at Potsdam.....	7,000	25,000	16,000	48,000
College at Purchase.....	500	500	-	1,000
College of Agriculture and Technology at Morrisville.....	8,000	20,000	15,000	43,000
College of Environmental Science and Forestry.....	10,000	10,000	-	20,000
College of Optometry.....	4,000	-	-	4,000
College of Technology at Alfred.....	3,000	30,000	-	33,000
College of Technology at Canton.....	10,000	10,000	10,000	30,000
College of Technology at Delhi.....	7,000	15,000	-	22,000
College of Technology at Farmingdale.....	19,500	-	7,500	27,000
Health Science Center at Brooklyn.....	60,000	-	-	60,000
Institute of Technology at Utica/Rome.....	3,000	5,000	-	8,000
Maritime College.....	10,500	-	100,000	110,500
SUNY at Albany.....	30,115	-	-	30,115
SUNY at Binghamton.....	500	65,000	25,000	90,500
SUNY at Buffalo.....	82,500	5,000	65,000	152,500
SUNY at Stonybrook.....	74,260	4,740	-	79,000
Taxation and Finance, Department of.....	15,000	-	30,000	45,000
Temporary and Disability Assistance, Office of.....	-	10,000	-	10,000
Transportation, Department of.....	-	400,000	-	400,000
Unified Court System:				
Appellate Division - 1st Judicial Department.....	500	-	-	500
Appellate Division - 2nd Judicial Department.....	300	-	-	300
Committee on Professional Standards - 3rd Judicial Department.....	750	-	-	750
Court Administration New York City, Office of.....	500	-	-	500
Court Administration Budget and Finance, Office of.....	2,500	-	-	2,500
Court of Appeals.....	50	-	-	50

STATE OF NEW YORK
SUMMARY OF CASH ADVANCE ACCOUNTS BY BUSINESS UNIT AND DEPARTMENT
FISCAL YEAR ENDED MARCH 31, 2016

SCHEDULE 34
(continued)

BUSINESS UNIT	PETTY CASH	TRAVEL	OTHER	TOTAL ADVANCES
Lawyers Fund for Client Protection.....	5,000	-	-	5,000
Mental Hygiene Legal Service - 2nd Judicial Department.....	880	-	-	880
2nd Judicial District Kings County.....	800	-	-	800
4th District Administration.....	425	-	-	425
5th District Administration.....	605	-	-	605
6th District Administration.....	800	-	-	800
7th District Administration.....	450	-	-	450
8th District Administration.....	1,350	-	-	1,350
9th District Administration.....	850	-	-	850
10th Judicial District Nassau County.....	500	-	-	500
10th Judicial District Suffolk County.....	950	-	-	950
Victim Services, Office of.....	1,550	-	184,000	185,550
Welfare Inspector General, Office of.....	500	-	15,000	15,500
Workers' Compensation Board.....	3,000	-	-	3,000
Total of Cash Advance Accounts By Business Unit and Department.....	\$ 2,489,080	\$ 1,072,561	\$ 18,707,127	\$ 22,268,768

STATE OF NEW YORK FUND STRUCTURE NUMBER OF FUNDS PER FUND TYPE AS OF MARCH 31, 2016



STATE OF NEW YORK
 FUND STRUCTURE AND LIST OF JOINT CUSTODY FUNDS
 AS OF MARCH 31, 2016

APPENDIX
 (continued)

FUND NUMBER	FUND NAME	FUND CLASSIFICATION	YEAR ESTABLISHED	AUTHORIZATION
10000-10049	Local Assistance Account	General	1981	State Finance Law, §72 (2a)
10050-10099	State Operations Account	General	1981	State Finance Law, §72 (2b)
10100-10149	Tax Stabilization Reserve	General	1984	State Finance Law, §92
10150-10199	Contingency Reserve	General	1993	Laws of 1993, Chapter 60, §41
10200-10249	Universal Pre-Kindergarten Reserve	General	2000	State Finance Law, §97-vv
10250-10299	Community Projects	General	1996	State Finance Law, §99-d
10300-10349	Rainy Day Reserve	General	2007	State Finance Law, §92-cc
10400-10449	Refund Reserve Account	General	1978	State Finance Law, §71 and Tax Law §171-a
10500-10549	Fringe Benefit Escrow Account	General	1970	State Finance Law, §8-b, Civil Service Law §168
10550-10599	Tobacco Revenue Guarantee	General	2003	State Finance Law, §97-cccc
20000-20099	Mental Health Gifts and Donations	Special Revenue - State	1984	Mental Hygiene Law, §7.29 and §13.29
20100-20299	Combined Expendable Trust	Special Revenue - State	1982	State Finance Law, §71 and State Finance Law §11
20300-20349	New York Interest on Lawyer Account (IOLA)	Special Revenue - State	1983	State Finance Law, §97-v
20350-20399	New York State Archives Partnership Trust	Special Revenue - State	1992	Laws of 1992, Chapter 758, §7
20400-20449	Child Performer's Protection	Special Revenue - State	2003	State Finance Law, §99-j
20450-20499	Tuition Reimbursement	Special Revenue - State	1990	State Finance Law, §97-hh
20500-20549	Local Government Records Management Improvement	Special Revenue - State	1989	State Finance Law, §97-i
20550-20599	School Tax Relief	Special Revenue - State	1998	State Finance Law, §97-rrr
20600-20649	Charter Schools Stimulus	Special Revenue - State	1999	State Finance Law, §97-sss
20650-20699	Not-For-Profit Short-Term Revolving Loan	Special Revenue - State	1991	State Finance Law, §97-jj
20800-20849	Health Care Reform Act Resources	Special Revenue - State	2000	State Finance Law, §92-dd
20850-20899	Dedicated Mass Transportation Trust	Special Revenue - State	1991	State Finance Law, §89-c
20900-20949	State Lottery	Special Revenue - State	1967	State Finance Law, §92-c
20950-20999	Combined Student Loan	Special Revenue - State	1982	State Finance Law, §71
21000-21049	Sewage Treatment Program Management and Administration	Special Revenue - State	1989	State Finance Law, §97-1
21050-21149	ENCON Special Revenue	Special Revenue - State	1992	State Finance Law, §71
21150-21199	Conservation	Special Revenue - State	1940	State Finance Law, §83
21200-21249	Environmental Protection and Oil Spill Compensation	Special Revenue - State	1978	Navigation Law, §179
21250-21299	Training and Education Program on Occupational Safety and Health	Special Revenue - State	1985	State Finance Law, §97-c
21300-21349	Lawyers' Fund For Client Protection	Special Revenue - State	1981	State Finance Law, §97-t
21350-21399	Equipment Loan Fund for the Disabled	Special Revenue - State	1985	Social Services Law, §326-b
21400-21449	Mass Transportation Operating Assistance	Special Revenue - State	1981	State Finance Law, §88-a
21450-21499	Clean Air	Special Revenue - State	1993	State Finance Law, §97-oo
21500-21549	New York State Infrastructure Trust	Special Revenue - State	1987	State Finance Law, §88
21550-21599	Legislative Computer Services	Special Revenue - State	1984	State Finance Law, §97-uu
21600-21649	Biodiversity Stewardship and Research	Special Revenue - State	1993	State Finance Law, §97-oo
21650-21699	Combined Non-Expendable Trust	Special Revenue - State	1982	State Finance Law, §71
21700-21749	Winter Sports Education Trust	Special Revenue - State	1977	Education Law, §495-a
21750-21799	Musical Instrument Revolving	Special Revenue - State	1983	State Finance Law, §97-v

STATE OF NEW YORK
 FUND STRUCTURE AND LIST OF JOINT CUSTODY FUNDS
 AS OF MARCH 31, 2016

APPENDIX
 (continued)

FUND NUMBER	FUND NAME	FUND CLASSIFICATION	YEAR ESTABLISHED	AUTHORIZATION
21850-21899	Arts Capital Revolving	Special Revenue - State	1987	State Finance Law, §97-z
21900-22499	Miscellaneous State Special Revenue	Special Revenue - State	1982	State Finance Law, §71
22500-22549	Court Facilities Incentive Aid	Special Revenue - State	1987	State Finance Law, §94
22550-22599	Employment Training	Special Revenue - State	1983	State Finance Law, §71
22650-22699	State University Income	Special Revenue - State	1959	Education Law, §355 (4)
22700-22749	Chemical Dependence Service	Special Revenue - State	1984	State Finance Law, §97-w
22750-22799	Lake George Park Trust	Special Revenue - State	1987	State Finance Law, §97-h
22800-22849	State Police Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention	Special Revenue - State	1992	State Finance Law, §89-d and §97-mm
22850-22899	New York Great Lakes Protection	Special Revenue - State	1990	State Finance Law, §97-ee
22900-22949	Federal Revenue Maximization Contract	Special Revenue - State	1996	State Finance Law, §97-ttt
22950-22999	Housing Development	Special Revenue - State	1968	Private Housing Finance Law, §574
23000-23049	NYS DOT Highway Safety Program	Special Revenue - State	1990	State Finance Law, §90
23050-23099	Vocational Rehabilitation	Special Revenue - State	1969	State Finance Law §97-III
23100-23149	Drinking Water Program Management and Administration	Special Revenue - State	1997	State Finance Law, §97-ddd
23150-23199	New York City County Clerks' Operations Offset	Special Revenue - State	1992	State Finance Law, §94-a
23200-23249	Judiciary Data Processing Offset	Special Revenue - State	1992	State Finance Law, §94-b
23250-23449	City University Tuition Reimbursement (CUTRA)	Special Revenue - State	1995	State Finance Law, §71
23500-23549	US Olympic Committee/Lake Placid Olympic Training	Special Revenue - State	1995	State Finance Law, §84
23550-23599	Indigent Legal Services	Special Revenue - State	2003	State Finance Law, §98-b
23600-23649	Unemployment Insurance Interest and Penalty	Special Revenue - State	1952	Labor Law, §552
23650-23699	MTA Financial Assistance	Special Revenue - State	2009	State Finance Law, §92-ff
23700-23749	New York State Commercial Gaming Revenue	Special Revenue - State	2013	State Finance Law, §97-nnnn
23750-23799	Medical Marijuana Trust	Special Revenue - State	2014	State Finance Law, §89-h
40350-40399	State University Dormitory Income	Special Revenue - State	1955	Education Law, §355 (4)
25000-25099	Federal USDA/Food and Nutrition Services	Special Revenue - Federal	1988	State Finance Law, §71
25100-25199	Federal Health and Human Services	Special Revenue - Federal	1972	State Finance Law, §71
25200-25249	Federal Education	Special Revenue - Federal	1998	State Finance Law, §71
25300-25899	Federal Miscellaneous Operating Grants	Special Revenue - Federal	1981	State Finance Law, §71
25900-25949	Unemployment Insurance Administration	Special Revenue - Federal	1936	Labor Law, §551, §552-a, §552-b and §530
25950-25999	Unemployment Insurance Occupational Training	Special Revenue - Federal	1967	State Finance Law, §71
26000-26049	Federal Employment and Training Grants	Special Revenue - Federal	1983	State Finance Law, §71
30000-30049	State Capital Projects	Capital Projects - State	1982	State Finance Law, §93
30050-30099	Dedicated Highway and Bridge Trust	Capital Projects - State	1991	State Finance Law, §89-b
30100-30299	State University Residence Halls Rehabilitation and Repair	Capital Projects - State	1991	State Finance Law, §71
30300-30349	New York State Canal System Development	Capital Projects - State	1992	State Finance Law, §92-u
30350-30399	State Park Infrastructure	Capital Projects - State	1993	State Finance Law, §97-mm
30400-30449	Passenger Facility Charge	Capital Projects - State	1993	State Finance Law, §90-a
30450-30499	Environmental Protection	Capital Projects - State	1993	State Finance Law, §92-s
30500-30549	Clean Water/Clean Air Implementation	Capital Projects - State	1997	State Finance Law, §97-eee
30600-30609	Energy Conservation Through Improved Transportation Bond	Capital Projects - State	1980	State Finance Law, §57 (5) and §76 (2) and §76 (3)

STATE OF NEW YORK
 FUND STRUCTURE AND LIST OF JOINT CUSTODY FUNDS
 AS OF MARCH 31, 2016

APPENDIX
 (continued)

FUND NUMBER	FUND NAME	FUND CLASSIFICATION	YEAR ESTABLISHED	AUTHORIZATION
30610-30619	Park and Recreation Land Acquisition Bond	Capital Projects - State	1960	State Finance Law, §57 (5)
30620-30629	Pure Waters Bond	Capital Projects - State	1965	State Finance Law, §57 (5)
30630-30639	Transportation Capital Facilities Bond	Capital Projects - State	1967	State Finance Law, §57 (5)
30640-30649	Environmental Quality Protection Bond Act (1972)	Capital Projects - State	1973	State Finance Law, §97-a
30650-30659	Rebuild and Renew New York Transportation Bond	Capital Projects - State	2005	State Finance Law, §97-eeee
30660-30669	Transportation Infrastructure Renewal Bond	Capital Projects - State	1983	State Finance Law, §74
30670-30679	Environmental Quality Bond Act (1986)	Capital Projects - State	1986	State Finance Law, §97-d
30680-30689	Accelerated Capacity and Transportation Improvements Bond	Capital Projects - State	1988	State Finance Law, §77
30690-30699	Clean Water/Clean Air Bond	Capital Projects - State	1996	State Finance Law, §97-aaa
30700-30709	State Housing Bond	Capital Projects - State	1939	State Finance Law, §60 (5)
30710-30719	Smart Schools Bond	Capital Projects - State	2014	State Finance Law, §97-oooo
30750-30799	Outdoor Recreation Development Bond	Capital Projects - State	1965	State Finance Law, §57 (5)
30900-30949	Rail Preservation and Development Bond	Capital Projects - State	1974	State Finance Law, §76
31350-31449	Federal Capital Projects	Capital Projects - Federal	1982	State Finance Law, §71
31450-31499	Forest Preserve Expansion	Capital Projects - State	1958	State Finance Law, §97-e
31500-31549	Hazardous Waste Remedial	Capital Projects - State	1982	State Finance Law, §97-b
31650-31699	Suburban Transportation	Capital Projects - State	1987	State Finance Law, §88-b
31700-31749	Division For Youth Facilities Improvement	Capital Projects - State	1990	State Finance Law, §97-gg
31800-31849	Housing Assistance	Capital Projects - State	1988	State Finance Law, §92-q
31850-31899	Housing Program	Capital Projects - State	1990	State Finance Law, §71
31900-31949	Natural Resource Damages	Capital Projects - State	1994	State Finance Law, §71
31950-31999	Department of Transportation Engineering Services	Capital Projects - State	1989	State Finance Law, §71
32200-32249	Miscellaneous Capital Projects	Capital Projects - State	1988	State Finance Law, §71
32250-32299	City University of New York Capital Projects	Capital Projects - State	1987	State Finance Law, §71 and Education Law §377
32300-32349	Mental Hygiene Facilities Capital Improvement	Capital Projects - State	1990	State Finance Law, §71
32350-32399	Correctional Facilities Capital Improvement	Capital Projects - State	1990	State Finance Law, §71
32400-32999	State University Capital Projects	Capital Projects - State	1977	State Finance Law, §71
33000-33049	NYS Storm Recovery	Capital Projects - State	2013	State Finance Law, §93-a
33050-33099	Dedicated Infrastructure Investment Fund	Capital Projects - State	2015	State Finance Law, §93-b
40000-40049	Debt Reduction Reserve	Debt Service	1998	State Finance Law, §97-rrr
40100-40149	Mental Health Services	Debt Service	1987	State Finance Law, §97-f
40150-40199	General Debt Service	Debt Service	1982	State Finance Law, §71, §72, §73, §92-h and §92-z
40250-40299	Housing Debt	Debt Service	1940	State Finance Law, §97
40300-40349	Department of Health Income	Debt Service	1971	Public Health Law, §409
40400-40449	Clean Water/Clean Air	Debt Service	1996	State Finance Law, §97-bbb
40450-40499	Local Government Assistance Tax	Debt Service	1990	State Finance Law, §92-r
50000-50049	Youth Commissary	Enterprise	1970	Executive Law, §517
50050-50099	State Exposition Special	Enterprise	1927	Agriculture and Markets Law, §31-c
50100-50299	Correctional Services Commissary	Enterprise	1948	Correction Law, §26
50300-50399	Agencies Enterprise	Enterprise	1983	State Finance Law, §71
50400-50449	Sheltered Workshop	Enterprise	1984	State Finance Law, §71

STATE OF NEW YORK
 FUND STRUCTURE AND LIST OF JOINT CUSTODY FUNDS
 AS OF MARCH 31, 2016

APPENDIX
 (continued)

FUND NUMBER	FUND NAME	FUND CLASSIFICATION	YEAR ESTABLISHED	AUTHORIZATION
50450-50499	Patient Workshop	Enterprise	1984	State Finance Law, §71
50500-50599	Mental Hygiene Community Stores	Enterprise	1965	Mental Hygiene Law, §7.27 (c) and §13.27 (c)
50650-50699	Unemployment Insurance Benefit	Enterprise	1938	Labor Law, §550
55000-55049	Centralized Services	Internal Service	1964	State Finance Law, §97-g
55050-55099	Agency Internal Service	Internal Service	1983	State Finance Law, §71
55100-55149	Mental Hygiene Revolving	Internal Service	1953	State Finance Law, §71
55150-55199	Youth Vocational Education	Internal Service	1983	Executive Law, §513
55200-55249	Joint Labor and Management Administration	Internal Service	1986	State Finance Law, §71
55250-55299	Audit and Control Revolving	Internal Service	1982	State Finance Law, §71
55300-55349	Health Insurance Revolving	Internal Service	1982	State Finance Law, §71
55350-55399	Correctional Industries Revolving	Internal Service	1982	State Finance Law, §71
60050-60149	School Capital Facilities Financing Reserve	Agency	1988	Education Law, §407-a and §407-b
60150-60199	Child Performer's Holding	Agency	2004	State Finance Law, §99-k
60200-60249	Employees Health Insurance	Agency	1956	Civil Service Law, §167 (6)
60250-60299	Social Security Contribution	Agency	1953	Retirement and Social Security Law, §134 and §141
60300-60399	Employee Payroll Withholding	Agency	1982	State Finance Law, §71
60400-60449	Employees Dental Insurance	Agency	1971	State Finance Law, §71
60450-60499	Management Confidential Group Insurance	Agency	1971	State Finance Law, §71
60500-60549	Lottery Prize	Agency	1967	Tax Law, §1612
60550-60599	Health Insurance Reserve Receipts	Agency	1977	State Finance Law, §99-c (3)
60600-60799	Miscellaneous New York State Agency	Agency	1982	State Finance Law, §71
60800-60849	Elderly Pharmaceutical Insurance Coverage (EPIC) Escrow	Agency	1987	State Finance Law, §71
60850-60899	CUNY Senior College Operating	Agency	1977	Education Law, §6221
60900-60949	Medicaid Management Information System (MMIS) Escrow	Agency	1977	Social Services Law, §367-b
60950-60999	Special Education	Agency	1985	Civil Service Law, §154-b, §154-c, and Executive Law §227-a (3)
61000-61099	State University of New York Revenue Collection	Agency	1976	State Finance Law, §71
61100-61999	State University Federal Direct Lending Program	Agency	1995	State Finance Law, §71
62000-62049	SSI SSP Payment	Agency	2014	State Finance Law, §71
65000-65049	Common Retirement Administration	Pension Trust	1967	Retirement and Social Security Law, §422
66000-66049	Agriculture Producers' Security	Private Purpose Trust	1992	Agriculture and Markets Law, §250
66050-66099	Milk Producers' Security	Private Purpose Trust	1987	Agriculture and Markets Law, §258-b (4) (a)

**STATE OF NEW YORK
OFFICE OF THE STATE COMPTROLLER
OFFICE OF OPERATIONS
DIVISION OF PAYROLL, ACCOUNTING AND REVENUE SERVICES**

**THOMAS P. DiNAPOLI
STATE COMPTROLLER**

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Executive Deputy Comptroller, Office of Operations
Deputy Comptroller, Payroll, Accounting and Revenue Services
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