

**STATE OF NEW YORK  
OFFICE OF THE STATE COMPTROLLER  
DIVISION OF STATE SERVICES  
BUREAU OF ACCOUNTING OPERATIONS**

**Comptroller's Monthly Report  
On State Funds Cash Basis of Accounting  
(Pursuant to Sec. 8(9-a) of the State Finance Law)**

**January 2007**



**STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES  
(amounts in millions)**

EXHIBIT A

	GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS			
	MONTH OF	10 MOS. ENDED	MONTH OF	10 MOS. ENDED	MONTH OF	10 MOS. ENDED	MONTH OF	10 MOS. ENDED	MONTH OF	10 MOS. ENDED	MONTH OF	10 MOS. ENDED
	JAN. 2007	JAN. 31, 2007	JAN. 2007	JAN. 31, 2007	JAN. 2007	JAN. 31, 2007	JAN. 2007	JAN. 31, 2007	JAN. 2007	JAN. 31, 2007	JAN. 31, 2006	JAN. 31, 2006
<b>RECEIPTS:</b>												
Personal Income Tax (1)(6)	\$5,112.3	\$19,717.2	\$ --	\$4,040.6	\$1,704.1	\$6,572.4	\$ --	\$ --	\$6,816.4	\$30,330.2	\$5,621.0	\$26,985.1
Consumption/Use Taxes and Fees	654.3	6,918.7	134.5	1,421.3	196.9	2,106.5	92.6	1,003.2	1,078.3	11,449.7	1,164.3	11,662.5
Business Taxes	80.7	4,599.8	59.0	1,140.6	--	--	59.6	515.6	199.3	6,256.0	111.3	5,016.4
Other Taxes	50.2	966.1	--	--	61.1	733.4	13.7	109.6	125.0	1,809.1	124.7	1,596.5
Miscellaneous Receipts (7)	154.3	1,687.4	1,137.3	10,753.6	82.4	662.1	55.6	1,355.4	1,429.6	14,458.5	2,436.9	14,204.5
Federal Receipts	6.1	143.9	2,445.0	26,370.1	--	--	92.1	1,336.5	2,543.2	27,850.5	2,815.0	28,605.5
<b>Total Receipts</b>	<b>6,057.9</b>	<b>34,033.1</b>	<b>3,775.8</b>	<b>43,726.2</b>	<b>2,044.5</b>	<b>10,074.4</b>	<b>313.6</b>	<b>4,320.3</b>	<b>12,191.8</b>	<b>92,154.0</b>	<b>12,273.2</b>	<b>88,070.5</b>
<b>DISBURSEMENTS:</b>												
Local Assistance Grants: (2)												
General Purpose	4.8	969.0	--	--	--	--	--	--	4.8	969.0	1.9	928.8
Education	626.6	10,812.2	709.5	8,283.8	--	--	--	40.3	1,336.1	19,136.3	938.9	17,470.6
Social Services	714.4	9,492.3	2,345.9	22,936.9	--	--	--	(0.1)	3,060.3	32,429.1	2,771.0	31,417.2
Health and Environment	132.6	1,073.3	239.2	2,669.6	--	--	7.7	37.4	379.5	3,780.3	388.6	2,835.6
Mental Hygiene	144.5	886.4	35.5	264.4	--	--	7.2	47.1	187.2	1,197.9	155.9	1,032.5
Transportation	0.2	54.4	111.0	2,126.2	--	--	13.8	211.3	125.0	2,391.9	82.3	2,240.1
Criminal Justice	10.7	122.6	14.8	131.7	--	--	--	--	25.5	254.3	33.6	209.0
SEMO and Disaster Assistance	1.4	55.1	11.0	148.8	--	--	--	--	12.4	203.9	14.3	87.1
Miscellaneous	50.3	370.5	52.3	1,177.2	--	--	5.3	93.8	107.9	1,641.5	76.5	857.7
<b>Total Local Assistance Grants</b>	<b>1,685.5</b>	<b>23,835.8</b>	<b>3,519.2</b>	<b>37,738.6</b>	<b>--</b>	<b>--</b>	<b>34.0</b>	<b>429.8</b>	<b>5,238.7</b>	<b>62,004.2</b>	<b>4,463.0</b>	<b>57,078.6</b>
Departmental Operations:												
Personal Service	361.9	6,032.0	503.3	3,638.2	--	--	--	--	865.2	9,670.2	806.5	8,865.8
Non-Personal Service	245.3	2,050.6	336.1	2,701.1	0.6	36.9	--	--	582.0	4,788.6	526.9	4,709.3
General State Charges	305.1	3,925.9	60.3	659.1	--	--	--	--	365.4	4,585.0	343.7	4,218.8
Debt Service, Including Payments on												
Financing Agreements (3)	--	--	--	--	65.7	3,027.7	--	--	65.7	3,027.7	45.4	2,691.2
Capital Projects (4)	--	--	3.0	44.6	--	--	345.4	3,991.8	348.4	4,036.4	322.7	3,687.5
<b>Total Disbursements</b>	<b>2,597.8</b>	<b>35,844.3</b>	<b>4,421.9</b>	<b>44,781.6</b>	<b>66.3</b>	<b>3,064.6</b>	<b>379.4</b>	<b>4,421.6</b>	<b>7,465.4</b>	<b>88,112.1</b>	<b>6,508.2</b>	<b>81,251.2</b>
<b>Excess (Deficiency) of Receipts over Disbursements</b>	<b>3,460.1</b>	<b>(1,811.2)</b>	<b>(646.1)</b>	<b>(1,055.4)</b>	<b>1,978.2</b>	<b>7,009.8</b>	<b>(65.8)</b>	<b>(101.3)</b>	<b>4,726.4</b>	<b>4,041.9</b>	<b>5,765.0</b>	<b>6,819.3</b>
<b>OTHER FINANCING SOURCES (USES):</b>												
Bond Proceeds (net)	--	--	--	--	--	--	--	--	--	--	--	--
Transfers from Other Funds (5)	1,992.6	9,082.4	188.7	2,816.5	266.5	4,596.5	41.9	436.1	2,489.7	16,931.5	2,061.3	16,107.6
Transfers to Other Funds (5)	(72.7)	(2,780.7)	(280.5)	(2,416.4)	(2,093.9)	(11,224.0)	(47.0)	(570.5)	(2,494.1)	(16,991.6)	(2,064.1)	(16,164.9)
<b>Total Other Financing Sources (Uses)</b>	<b>1,919.9</b>	<b>6,301.7</b>	<b>(91.8)</b>	<b>400.1</b>	<b>(1,827.4)</b>	<b>(6,627.5)</b>	<b>(5.1)</b>	<b>(134.4)</b>	<b>(4.4)</b>	<b>(60.1)</b>	<b>(2.8)</b>	<b>(57.3)</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>5,380.0</b>	<b>4,490.5</b>	<b>(737.9)</b>	<b>(655.3)</b>	<b>150.8</b>	<b>382.3</b>	<b>(70.9)</b>	<b>(235.7)</b>	<b>4,722.0</b>	<b>3,981.8</b>	<b>5,762.2</b>	<b>6,762.0</b>
<b>Beginning Fund Balances (Deficit) (6)</b>	<b>2,367.6</b>	<b>3,257.1</b>	<b>4,276.2</b>	<b>4,193.6</b>	<b>452.4</b>	<b>220.9</b>	<b>(768.9)</b>	<b>(604.1)</b>	<b>6,327.3</b>	<b>7,067.5</b>	<b>5,280.5</b>	<b>4,280.7</b>
<b>Ending Fund Balances (Deficit)</b>	<b>\$7,747.6</b>	<b>\$7,747.6</b>	<b>\$3,538.3</b>	<b>\$3,538.3</b>	<b>\$603.2</b>	<b>\$603.2</b>	<b>(\$839.8)</b>	<b>(\$839.8)</b>	<b>\$11,049.3</b>	<b>\$11,049.3</b>	<b>\$11,042.7</b>	<b>\$11,042.7</b>

**GOVERNMENTAL FUNDS FOOTNOTES**

December 2006 - Exhibit A Notes

1. A portion of personal income tax receipts is also transferred to the State Special Revenue School Tax Relief (STAR) Fund to be used to reimburse school districts for the STAR property tax exemptions for homeowners and payments to homeowners for the new STAR Property Rebate Program. Local Assistance Education grant payments total \$333m for the month of September, \$706m for the month of October, \$853m for the month of November and \$1,065m for the month of December. Miscellaneous grant payments include a total of \$676m for the new STAR Property Rebate Program.

2. Special Revenue Federal Funds disbursements include the payments made by the State which will be reimbursed by the Federal Government in January 2007:

Federal DHHS (Medicaid)	\$133.1 million
Federal DHHS (All Other)	150.1
Federal USDA/Food and Consumer Services	55.4
Federal DHHS/Block Grant	--
Federal Education	22.7
Federal Miscellaneous Operating Grants	--
Federal Employment and Training Grants	1.6

3. Total debt service disbursements include principal and interest on general obligation bonds and lease-purchase / contractual obligation payments. For a complete analysis of debt payments please refer to **Schedule 5 and Schedule 5a**.

4. Certain disbursements from Capital Projects Funds are financed by operating transfers from other funds, proceeds of State bonds and notes, and reimbursements received from Public Authorities and the Federal Government. The amounts shown below represent disbursements to be reimbursed in future months from the sources indicated:

Urban Development Corporation (Youth Facilities)	\$ .6 million
Urban Development Corporation (Correctional Facilities)	79.2
Housing Finance Agency (HFA)	126.9
Dormitory Authority (Mental Hygiene)	295.1
Dormitory Authority and State University Income Fund	36.9
Federal Capital Projects	135.2
State bond and note proceeds	49.6

5. Operating Transfers constitute legally authorized transfers from a fund receiving revenues to a fund through which disbursements will ultimately be made. The more significant transfers include:

**General Fund** "Transfers to Other Funds" includes transfers to the following funds:

State Capital Projects	\$365.0 million
General Debt Service	1,535.2
Court Facilities Incentive Aid	65.7
New York City County Clerks' Operating	20.6
Judiciary Data Processing Offset	16.6

State University Income	192.8
Banking Services	58.5
Mass Transportation Operating Assistance	29.8
Alcohol Beverage Control Account	11.8
Debt Reduction Reserve	250.0
Indigent Legal Services	38.0

Also included in the General Fund are transfers representing payments for patients residing in State-operated Health, Mental Hygiene and State University facilities to Debt Service Funds (\$46.1m) and Special Revenue Funds (\$45.4m).

**Special Revenue Funds** "Transfers To Other Funds" includes transfers to Debt Service Funds (\$1,976.1m) representing the federal share of Medicaid payments for patients residing in State-operated Health and Mental Hygiene facilities and (\$19.4m) from the SUNY Income Fund State University Hospital Income Reimbursement Account.

Also included in Special Revenue Funds are transfers to the General Fund from the following:

Revenue Arrearage Account	\$50.0 million
Miscellaneous State Special Revenue Fund	8.6
Federal Health & Human Services Fund	26.0
Federal Miscellaneous Operating Grants	9.2
DOS Business and Licensing	25.0
Hazardous Waste Remedial	15.3

**Debt Service Funds** "Transfers To Other Funds" includes transfers to the General Fund from the following funds:

Revenue Bond Tax	\$4,570.1 million
Local Government Assistance Tax	1,803.4
Clean Water/Clean Air	582.2

Also included in Debt Service Funds are transfers to Special Revenue Funds representing receipts in excess of lease-purchase obligations that are used to finance a portion of the operating expenses for the Departments of Health (\$95.6m), Mental Hygiene (\$1,854.3m) and the State University (\$205.8m).

**Capital Projects Funds** "Transfers To Other Funds" includes transfers to the General Debt Service Fund (\$495.8m).

6. For comparison purposes, the FY2005-06 General Fund opening balance and PIT receipts have been restated to reflect the \$1.328 billion on deposit in the reserve account at the end of the 2004-05 fiscal year.

**GOVERNMENTAL FUNDS FOOTNOTES (continued)**

7. Miscellaneous receipts in Governmental Funds include:

	GENERAL FUND	SPECIAL REVENUE	DEBT SERVICE	CAPITAL PROJECTS	10 Months Ended January 31		Increase/ (Decrease)
					2007	2006	
	(amounts in millions)						
<b>Abandoned Property</b>	\$ 370.0	\$ --	\$ --	\$ --	\$ 370.0	\$ 317.0	\$ 53.0
<b>Interest Earnings</b>	206.0	240.7	8.5	9.1	464.3	226.4	237.9
<b>Receipts from Public Authorities:</b>							
Bond Issuance Fees	57.8	7.2	--	--	65.0	88.5	(23.5)
Cost Recovery Assessments	15.7	(10.6)	--	--	5.1	10.6	(5.5)
Metropolitan Transit Authority	--	--	--	--	--	--	--
Thruway Authority - Policing the Thruway	--	37.9	--	--	37.9	41.2	(3.3)
State of NY Mortgage Agency	122.1	--	--	--	122.1	50.0	72.1
Power Authority	--	4.7	--	0.3	5.0	50.0	(45.0)
<b>Bond Proceeds</b>							
Dormitory Authority	--	26.9	--	535.4	562.3	405.9	156.4
Empire State Dev Corp/Urban Dev Corp	--	0.5	--	244.4	244.9	350.3	(105.4)
Environmental Fac Corp	--	103.6	--	--	103.6	46.0	57.6
Housing Finance Agency	--	--	--	83.5	83.5	137.1	(53.6)
Hudson River Park Trust	--	--	--	14.7	14.7	15.4	(0.7)
Thruway Authority	--	--	--	355.0	355.0	351.0	4.0
All Other	--	5.9	--	0.2	6.1	4.7	1.4
<b>Refunds and Reimbursements:</b>							
Receipts from Municipalities	5.4	100.3	11.9	--	117.6	110.6	7.0
Women, Infants and Children Rebates	--	82.9	--	--	82.9	77.0	5.9
HESC Student Loan Recoveries	--	86.0	--	--	86.0	68.0	18.0
Admin Recoveries - Collection of Local Taxes	48.8	30.9	--	--	79.7	75.0	4.7
Indirect Cost Assessments	47.8	--	--	--	47.8	48.0	(0.2)
Reimbursements from Cornell University	13.8	--	--	--	13.8	9.0	4.8
Hazardous Waste and Oil Spill	--	18.1	--	--	18.1	12.6	5.5
Excess Medicaid Recoveries	--	19.8	--	--	19.8	22.2	(2.4)
EPIC Benefit Recoveries	--	11.6	--	--	11.6	1.8	9.8
Third Party Recoveries and Reimbursements	--	37.2	--	--	37.2	20.9	16.3
All Other	9.4	18.8	7.1	16.3	51.6	95.9	(44.3)
<b>Health Care Reform Act:</b>							
Public Goods Pool Transfers	--	2,445.2	--	--	2,445.2	2,153.6	291.6
Public Asset Sale - Non Profit Conversions	--	514.0	--	--	514.0	1,747.2	(1,233.2)
Indigent Care Pool Transfers	--	0.4	--	--	0.4	35.3	(34.9)
Tobacco Cntrl & Insurance Initiatives Pool Transfers	--	--	--	--	--	23.5	(23.5)
GME Overpayments Recovered	--	--	--	--	--	63.2	(63.2)
<b>Revenues of State Departments:</b>							
Patient/Client Care	50.8	1,029.8	356.5	--	1,437.1	1,207.5	229.6
Medical Care Provider Assessments	151.7	632.2	--	--	783.9	522.2	261.7
Assessments against Regulated Industries	--	526.4	--	--	526.4	506.9	19.5
Student Tuition, Fees & Other SUNY Revenues	--	1,261.2	278.1	--	1,539.3	1,429.1	110.2
Student Tuition, Fees & Other CUNY Revenues	--	94.3	--	--	94.3	78.3	16.0
EPIC Premiums and Fees	--	252.4	--	--	252.4	242.1	10.3
Miscellaneous Sales, Rentals and Leases	9.0	54.5	--	4.4	67.9	42.9	25.0
Gifts and Unclaimed Property	0.3	24.6	--	--	24.9	28.8	(3.9)
All Other	15.2	21.7	--	3.8	40.7	49.7	(9.0)
<b>Gaming:</b>							
Lottery - Education	--	1,406.2	--	--	1,406.2	1,369.4	36.8
Lottery - Administration	--	442.1	--	--	442.1	414.7	27.4
VLT - Education	--	205.9	--	--	205.9	132.7	73.2
VLT - Administration	--	13.1	--	--	13.1	7.2	5.9
Casinos	--	78.3	--	--	78.3	57.1	21.2
<b>Licenses and Fees</b>	287.1	783.4	--	79.9	1,150.4	1,132.9	17.5
<b>Fines</b>	276.5	145.5	--	8.4	430.4	325.1	105.3
<b>TOTAL</b>	<b>\$ 1,687.4</b>	<b>\$ 10,753.6</b>	<b>\$ 662.1</b>	<b>\$ 1,355.4</b>	<b>\$ 14,458.5</b>	<b>\$ 14,204.5</b>	<b>\$ 254.0</b>

STATE OF NEW YORK  
 PROPRIETARY FUNDS  
 COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN EQUITY  
 (in millions)

EXHIBIT B

	ENTERPRISE		INTERNAL SERVICE		TOTAL PROPRIETARY FUNDS (memorandum only)			
	MONTH OF JAN. 2007	10 MOS. ENDED JAN. 31, 2007	MONTH OF JAN. 2007	10 MOS. ENDED JAN. 31, 2007	MONTH OF JAN. 2007	10 MOS. ENDED JAN. 31, 2007	MONTH OF JAN. 2006	10 MOS. ENDED JAN. 31, 2006
<b>RECEIPTS:</b>								
Miscellaneous Receipts	\$6.0	\$63.5	\$38.7	\$373.0	\$44.7	\$436.5	\$49.6	\$423.0
Federal Receipts	3.6	32.7	--	--	3.6	32.7	4.0	37.0
Unemployment Taxes	240.2	1,662.7	--	--	240.2	1,662.7	236.1	1,858.3
<b>TOTAL RECEIPTS</b>	<b>249.8</b>	<b>1,758.9</b>	<b>38.7</b>	<b>373.0</b>	<b>288.5</b>	<b>2,131.9</b>	<b>289.7</b>	<b>2,318.3</b>
<b>DISBURSEMENTS:</b>								
Departmental Operations:								
Personal Service	0.7	9.6	8.4	95.3	9.1	104.9	8.8	97.5
Non-Personal Service	5.3	50.6	22.9	336.0	28.2	386.6	33.6	386.5
General State Charges	0.1	1.8	0.4	28.0	0.5	29.8	5.4	32.8
Debt Service, Including Payments on Financing Agreements	--	--	--	0.4	--	0.4	--	2.3
Unemployment Benefits	245.7	1,766.2	--	--	245.7	1,766.2	253.7	1,900.0
<b>TOTAL DISBURSEMENTS</b>	<b>251.8</b>	<b>1,828.2</b>	<b>31.7</b>	<b>459.7</b>	<b>283.5</b>	<b>2,287.9</b>	<b>301.5</b>	<b>2,419.1</b>
<b>EXCESS (DEFICIENCY) OF RECEIPTS OVER DISBURSEMENTS</b>	<b>(2.0)</b>	<b>(69.3)</b>	<b>7.0</b>	<b>(86.7)</b>	<b>5.0</b>	<b>(156.0)</b>	<b>(11.8)</b>	<b>(100.8)</b>
<b>OTHER FINANCING SOURCES (USES):</b>								
Transfers from Other Funds	--	--	4.4	62.9	4.4	62.9	3.0	61.6
Transfers to Other Funds	--	--	--	(2.7)	--	(2.7)	--	(2.1)
<b>NET SOURCES (USES)</b>	<b>--</b>	<b>--</b>	<b>4.4</b>	<b>60.2</b>	<b>4.4</b>	<b>60.2</b>	<b>3.0</b>	<b>59.5</b>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(2.0)	(69.3)	11.4	(26.5)	9.4	(95.8)	(8.8)	(41.3)
<b>BEGINNING FUND EQUITY (DEFICITS)</b>	<b>18.0</b>	<b>85.3</b>	<b>(62.3)</b>	<b>(24.4)</b>	<b>(44.3)</b>	<b>60.9</b>	<b>(10.1)</b>	<b>22.4</b>
<b>ENDING FUND EQUITY (DEFICITS)</b>	<b>\$16.0</b>	<b>\$16.0</b>	<b>(\$50.9)</b>	<b>(\$50.9)</b>	<b>(\$34.9)</b>	<b>(\$34.9)</b>	<b>(\$18.9)</b>	<b>(\$18.9)</b>

STATE OF NEW YORK  
PRIVATE PURPOSE TRUST FUNDS  
STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES  
(in millions)

EXHIBIT C

	PRIVATE PURPOSE TRUST			
	MONTH OF JAN. 2007	10 MOS. ENDED JAN. 31, 2007	MONTH OF JAN. 2006	10 MOS. ENDED JAN. 31, 2006
<b>RECEIPTS:</b>				
Miscellaneous Receipts	\$ 0.1	\$ (1.0) (*)	\$ --	\$ 0.2
<b>TOTAL RECEIPTS</b>	<u>0.1</u>	<u>(1.0)</u>	<u>--</u>	<u>0.2</u>
<b>DISBURSEMENTS:</b>				
Departmental Operations:				
Personal Service	--	0.3	--	0.2
Non-Personal Service	--	--	--	--
General State Charges	0.1	0.2	--	0.1
<b>TOTAL DISBURSEMENTS</b>	<u>0.1</u>	<u>0.5</u>	<u>--</u>	<u>0.3</u>
<b>EXCESS (DEFICIENCY) OF RECEIPTS OVER DISBURSEMENTS</b>	<u>--</u>	<u>(1.5)</u>	<u>--</u>	<u>(0.1)</u>
<b>OTHER FINANCING SOURCES (USES):</b>				
Transfers from Other Funds	--	--	--	--
Transfers to Other Funds	--	--	--	--
<b>NET SOURCES (USES)</b>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	--	(1.5)	--	(0.1)
<b>BEGINNING FUND BALANCES</b>	<u>8.1</u>	<u>9.6</u>	<u>9.6</u>	<u>9.7</u>
<b>ENDING FUND BALANCES</b>	<u>\$ 8.1</u>	<u>\$ 8.1</u>	<u>\$ 9.6</u>	<u>\$ 9.6</u>

(\*) Receipts were reduced by \$1.9 million in the month of September for claim payments to agriculture producers for the loss of revenue caused by a purchaser defaulting on accounts payable to agriculture producers.

**STATE OF NEW YORK  
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL  
 STATE FISCAL YEAR ENDED MARCH 31, 2007  
 FOR TEN (10) MONTHS ENDED JANUARY 31, 2007  
 (amounts in millions)**

**EXHIBIT "D"**

	GENERAL FUND		
	Financial Plan (* )	Actual	Favorable (Unfavorable) Variance
OPENING CASH BALANCE-APRIL 1, 2006	\$3,257.0	\$3,257.1	\$0.1
RECEIPTS:			
Taxes:			
Personal Income Tax	19,674.0	19,717.2	43.2
Consumption/Use Taxes	6,985.0	6,918.7	(66.3)
Business Taxes	4,587.0	4,599.8	12.8
Other Taxes	969.0	966.1	(2.9)
Miscellaneous Receipts	1,660.0	1,687.4	27.4
Federal Receipts	136.0	143.9	7.9
Total Receipts	<u>34,011.0</u>	<u>34,033.1</u>	<u>22.1</u>
DISBURSEMENTS:			
Local Assistance Grants	23,819.0	23,835.8	(16.8)
Departmental Operations:			
Personal Service	6,046.0	6,032.0	14.0
Non-Personal Service	1,990.0	2,050.6	(60.6)
General State Charges	3,943.0	3,925.9	17.1
Total Disbursements	<u>35,798.0</u>	<u>35,844.3</u>	<u>(46.3)</u>
Excess (Deficiency) of Receipts Over Disbursements	<u>(1,787.0)</u>	<u>(1,811.2)</u>	<u>(24.2)</u>
OTHER FINANCING SOURCES (USES):			
Transfers From Other Funds	9,086.0	9,082.4	(3.6)
Transfers To Other Funds	(2,788.0)	(2,780.7)	7.3
Total Other Financing Sources (Uses)	<u>6,298.0</u>	<u>6,301.7</u>	<u>3.7</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	<u>4,511.0</u>	<u>4,490.5</u>	<u>(20.5)</u>
CLOSING CASH BALANCE-JANUARY 31, 2007	<u>\$7,768.0</u>	<u>\$7,747.6</u>	<u>(\$20.4)</u>

(\* ) Source: DOB, 2006-07 Annual Information Statement Update dated February 7, 2007

**STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
COMPARATIVE SCHEDULE OF TAX RECEIPTS  
(amounts in millions)**

**EXHIBIT E**

	<b>GENERAL</b>		<b>SPECIAL REVENUE</b>		<b>DEBT SERVICE</b>		<b>CAPITAL PROJECTS</b>		<b>TOTAL GOVERNMENTAL FUNDS</b>			
	MONTH OF DEC. 2006	9 MOS. ENDED DEC. 31, 2006	MONTH OF DEC. 2006	9 MOS. ENDED DEC. 31, 2006	MONTH OF DEC. 2006	9 MOS. ENDED DEC. 31, 2006	MONTH OF DEC. 2006	9 MOS. ENDED DEC. 31, 2006	MONTH OF DEC. 2006	9 MOS. ENDED DEC. 31, 2006	MONTH OF DEC. 2005	9 MOS. ENDED DEC. 31, 2005
<b>PERSONAL INCOME TAX</b>												
Withholding	\$ 2,531.5	\$ 17,251.9	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$ 2,531.5	\$ 17,251.9	\$ 2,554.5	\$ 16,501.0
Estimated payments	674.5	7,629.4	--	--	--	--	--	--	674.5	7,629.4	708.6	6,733.5
Final returns	13.1	1,954.4	--	--	--	--	--	--	13.1	1,954.4	17.4	1,689.1
State/City Offsets	(21.5)	(509.3)	--	--	--	--	--	--	(21.5)	(509.3)	(99.5)	(440.9)
Other (Assessments/LLC)	67.4	552.7	--	--	--	--	--	--	67.4	552.7	67.2	502.9
Gross Receipts	<u>3,265.0</u>	<u>26,879.1</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>3,265.0</u>	<u>26,879.1</u>	<u>3,248.2</u>	<u>24,985.6</u>
Transfers to School Tax Relief Fund	(1,081.3)	(4,040.6)	1,081.3	4,040.6	--	--	--	--	--	--	--	--
Transfers to Debt Reduction Reserve Fund	--	--	--	--	--	--	--	--	--	--	--	--
Transfers to Revenue Bond Tax Fund	(500.5)	(4,868.3)	--	--	500.5	4,868.3	--	--	--	--	--	--
Less: Refunds Issued	<u>(181.7)</u>	<u>(3,365.3)</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>(181.7)</u>	<u>(3,365.3)</u>	<u>(256.5)</u>	<u>(3,621.5)</u>
Total (1)	<u>1,501.5</u>	<u>14,604.9</u>	<u>1,081.3</u>	<u>4,040.6</u>	<u>500.5</u>	<u>4,868.3</u>	<u>--</u>	<u>--</u>	<u>3,083.3</u>	<u>23,513.8</u>	<u>2,991.7</u>	<u>21,364.1</u>
<b>CONSUMPTION / USE TAXES AND FEES</b>												
Sales and Use	833.3	5,747.6	68.5	571.1	277.8	1,909.6	--	--	1,179.6	8,228.3	1,133.6	8,482.9
Auto Rental	--	--	--	--	--	--	12.0	40.0	12.0	40.0	12.2	36.7
Motor Vehicle	--	--	29.3	183.4	--	--	61.7	441.7	91.0	625.1	85.1	507.6
Cigarette/Tobacco Products	33.3	321.3	47.7	449.6	--	--	--	--	81.0	770.9	86.6	769.6
Motor Fuel	--	--	9.2	82.7	--	--	34.9	310.1	44.1	392.8	41.6	402.2
Alcoholic Beverage	18.3	150.1	--	--	--	--	--	--	18.3	150.1	15.3	145.0
Beverage Container	--	--	--	--	--	--	--	--	--	--	--	--
Highway Use	--	--	--	--	--	--	13.0	118.8	13.0	118.8	13.6	121.1
Alcoholic Beverage Control Licenses	3.4	45.4	--	--	--	--	--	--	3.4	45.4	2.4	33.1
Total	<u>888.3</u>	<u>6,264.4</u>	<u>154.7</u>	<u>1,286.8</u>	<u>277.8</u>	<u>1,909.6</u>	<u>121.6</u>	<u>910.6</u>	<u>1,442.4</u>	<u>10,371.4</u>	<u>1,390.4</u>	<u>10,498.2</u>
<b>BUSINESS TAXES</b>												
Corporation Franchise	550.0	2,665.8	69.9	389.1	--	--	--	--	619.9	3,054.9	450.2	2,107.9
Corporation and Utilities	174.9	453.8	38.5	119.0	--	--	3.1	10.1	216.5	582.9	198.1	531.6
Insurance	224.6	754.3	21.0	83.3	--	--	--	--	245.6	837.6	238.1	726.3
Bank	152.0	645.2	31.4	132.2	--	--	--	--	183.4	777.4	228.3	675.7
Petroleum Business	--	--	34.5	358.0	--	--	43.4	445.9	77.9	803.9	93.0	863.6
Total	<u>1,101.5</u>	<u>4,519.1</u>	<u>195.3</u>	<u>1,081.6</u>	<u>--</u>	<u>--</u>	<u>46.5</u>	<u>456.0</u>	<u>1,343.3</u>	<u>6,056.7</u>	<u>1,207.7</u>	<u>4,905.1</u>
<b>OTHER TAXES</b>												
Real Property Gains	--	0.4	--	--	--	--	--	--	--	0.4	--	0.7
Estate and Gift	177.8	898.5	--	--	--	--	--	--	177.8	898.5	65.6	687.6
Pari-Mutuel	1.4	16.4	--	--	--	--	--	--	1.4	16.4	1.2	18.3
Real Estate Transfer	--	--	--	--	100.6	672.3	13.7	95.9	114.3	768.2	91.7	764.3
Racing and Exhibitions	0.1	0.6	--	--	--	--	--	--	0.1	0.6	0.2	0.9
Total	<u>179.3</u>	<u>915.9</u>	<u>--</u>	<u>--</u>	<u>100.6</u>	<u>672.3</u>	<u>13.7</u>	<u>95.9</u>	<u>293.6</u>	<u>1,684.1</u>	<u>158.7</u>	<u>1,471.8</u>
<b>TOTAL TAX RECEIPTS</b>	<b>\$ 3,670.6</b>	<b>\$ 26,304.3</b>	<b>\$ 1,431.3</b>	<b>\$ 6,409.0</b>	<b>\$ 878.9</b>	<b>\$ 7,450.2</b>	<b>\$ 181.8</b>	<b>\$ 1,462.5</b>	<b>\$ 6,162.6</b>	<b>\$ 41,626.0</b>	<b>\$ 5,748.5</b>	<b>\$ 38,239.2</b>

(1) See Exhibit A, Footnote #6





STATE OF NEW YORK  
GENERAL FUND  
CASH FLOW SCHEDULE OF TAX RECEIPTS  
FISCAL YEAR 2006-2007  
(in millions)

EXHIBIT " F "  
(page 2)

	9 Months Ended Dec. 31												2006	2005
	2006 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2007 JANUARY	FEBRUARY	MARCH		
<b>PERSONAL INCOME TAX</b>														
Withholdings	\$1,785.7	\$1,867.5	\$1,853.9	\$1,719.1	\$1,988.4	\$1,708.8	\$1,832.2	\$1,964.8	\$2,531.5				\$17,251.9	\$16,501.0
Estimated payments	3,432.4	80.2	1,482.6	66.2	65.3	1,688.7	93.9	45.6	674.5				7,629.4	6,733.5
Final returns	1,504.3	43.3	24.1	25.8	27.7	29.7	271.2	15.2	13.1				1,954.4	1,689.1
State/City Offsets	(23.1)	(145.7)	(19.3)	(0.1)	(0.1)	(0.1)	(0.2)	(299.2)	(21.5)				(509.3)	(440.9)
Other (Assessments/LLC)	94.6	41.5	59.6	70.6	51.6	55.8	49.2	62.4	67.4				552.7	502.9
Gross Receipts	6,793.9	1,886.8	3,400.9	1,881.6	2,132.9	3,482.9	2,246.3	1,788.8	3,265.0	0.0	0.0	0.0	26,879.1	24,985.6
Transfers to School Tax Relief Fund	--	--	--	--	--	(783.0)	(1,019.2)	(1,157.1)	(1,081.3)				(4,040.6)	(3,024.4)
Transfers to Debt Reduction Reserve Fund	--	--	--	--	--	--	--	--	--				--	--
Transfers to Revenue Bond Tax Fund	(1,389.9)	(229.6)	(797.7)	(446.6)	(512.2)	(647.6)	(278.3)	(65.9)	(500.5)				(4,868.3)	(4,584.9)
Refunds issued	(1,234.3)	(968.5)	(209.9)	(95.3)	(84.0)	(109.5)	(114.0)	(368.1)	(181.7)				(3,365.3)	(3,621.5)
Total Personal Income Tax	4,169.7	688.7	2,393.3	1,339.7	1,536.7	1,942.8	834.8	197.7	1,501.5	0.0	0.0	0.0	14,604.9	13,754.8
<b>CONSUMPTION/USE TAXES AND FEES</b>														
Sales and Use	543.2	545.6	783.1	577.8	554.5	794.2	563.0	552.9	833.3				5,747.6	6,036.6
Auto Rental	--	--	--	--	--	--	--	--	--				--	--
Motor Vehicle	--	--	--	--	--	--	--	--	--				--	23.7
Cigarette/Tobacco Products	36.3	32.6	39.2	37.7	35.8	36.0	35.6	34.8	33.3				321.3	318.8
Motor Fuel	--	--	--	--	--	--	--	--	--				--	--
Alcoholic Beverage	17.3	14.6	16.7	21.4	12.8	17.6	15.3	16.1	18.3				150.1	145.0
Beverage Container	--	--	--	--	--	--	--	--	--				--	--
Highway Use	--	--	--	--	--	--	--	--	--				--	--
Alcoholic Beverage Control Licenses	3.6	3.9	3.9	15.9	3.4	3.9	3.5	3.9	3.4				45.4	33.1
Total Consumption/Use Taxes and Fees	600.4	596.7	842.9	652.8	606.5	851.7	617.4	607.7	888.3	0.0	0.0	0.0	6,264.4	6,557.2
<b>BUSINESS TAXES</b>														
Corporation Franchise	175.8	56.8	493.9	105.6	62.9	542.9	567.0	110.9	550.0				2,665.8	1,838.1
Corporation and Utilities	3.1	3.4	124.8	4.4	(1.2)	136.4	6.7	1.3	174.9				453.8	408.4
Insurance	9.1	18.2	219.6	2.1	6.1	260.1	(3.3)	17.8	224.6				754.3	657.8
Bank	136.6	5.1	163.6	7.8	8.5	151.8	5.3	14.5	152.0				645.2	571.2
Petroleum Business	--	--	--	--	--	--	--	--	--				--	--
Total Business Taxes	324.6	83.5	1,001.9	119.9	76.3	1,091.2	575.7	144.5	1,101.5	0.0	0.0	0.0	4,519.1	3,475.5
<b>OTHER TAXES</b>														
Real Property Gains	0.1	--	0.6	(0.3)	--	--	--	--	--				0.4	0.7
Estate and Gift	102.5	79.2	109.0	117.9	67.5	58.2	105.8	80.6	177.8				898.5	687.6
Pari-Mutuel	1.6	1.7	2.0	1.7	2.7	2.7	1.2	1.4	1.4				16.4	18.3
Real Estate Transfer	--	--	--	--	--	--	--	--	--				--	--
Racing and Exhibitions	0.1	--	--	0.1	0.1	0.2	--	--	0.1				0.6	0.9
Total Other Taxes	104.3	80.9	111.6	119.4	70.3	61.1	107.0	82.0	179.3	0.0	0.0	0.0	915.9	707.5
<b>TOTAL TAX RECEIPTS</b>	<b>\$5,199.0</b>	<b>\$1,449.8</b>	<b>\$4,349.7</b>	<b>\$2,231.8</b>	<b>\$2,289.8</b>	<b>\$3,946.8</b>	<b>\$2,134.9</b>	<b>\$1,031.9</b>	<b>\$3,670.6</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$26,304.3</b>	<b>\$24,495.0</b>



STATE OF NEW YORK  
SPECIAL REVENUE FUNDS  
CASH FLOW SCHEDULE OF TAX RECEIPTS  
FISCAL YEAR 2006-2007  
(in millions)

EXHIBIT "G"  
(page 2)

	2006									2007			9 Months Ended Dec. 31	
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2006	2005
<b>PERSONAL INCOME TAX</b>	\$ --	\$ --	\$ --	\$ --	\$ --	\$783.0	\$1,019.2	\$1,157.1	\$1,081.3				\$4,040.6	\$3,024.4
Total Personal Income Tax	--	--	--	--	--	783.0	1,019.2	1,157.1	1,081.3	0.0	0.0	0.0	4,040.6	3,024.4
<b>CONSUMPTION/USE TAXES AND FEES</b>														
Sales and Use	102.9	49.0	71.0	54.3	51.1	65.1	55.0	54.2	68.5				571.1	484.2
Auto Rental	--	--	--	--	--	--	--	--	--				--	--
Motor Vehicle	18.5	23.0	27.7	7.6	30.4	11.8	22.5	12.6	29.3				183.4	116.3
Cigarette/Tobacco Products	49.6	46.4	56.1	52.5	50.1	49.2	50.3	47.7	47.7				449.6	450.8
Motor Fuel	7.6	9.6	9.8	8.4	10.4	10.0	9.2	8.5	9.2				82.7	84.3
Alcoholic Beverage	--	--	--	--	--	--	--	--	--				--	--
Beverage Container	--	--	--	--	--	--	--	--	--				--	--
Highway Use	--	--	--	--	--	--	--	--	--				--	--
Alcoholic Beverage Control Licenses	--	--	--	--	--	--	--	--	--				--	--
Total Consumption/Use Taxes and Fees	178.6	128.0	164.6	122.8	142.0	136.1	137.0	123.0	154.7	0.0	0.0	0.0	1,286.8	1,135.6
<b>BUSINESS TAXES</b>														
Corporation Franchise	23.7	10.0	66.0	13.7	15.3	79.3	93.8	17.4	69.9				389.1	269.8
Corporation and Utilities	0.8	1.4	36.4	0.2	0.7	37.2	1.5	2.3	38.5				119.0	112.3
Insurance	0.4	3.2	25.5	0.1	(0.7)	30.5	0.9	2.4	21.0				83.3	68.5
Bank	28.6	1.0	29.7	2.5	4.2	23.9	1.3	9.6	31.4				132.2	104.5
Petroleum Business	35.2	38.4	41.0	43.3	41.9	43.3	40.2	40.2	34.5				358.0	387.6
Total Business Taxes	88.7	54.0	198.6	59.8	61.4	214.2	137.7	71.9	195.3	0.0	0.0	0.0	1,081.6	942.7
<b>OTHER TAXES</b>														
Real Property Gains	--	--	--	--	--	--	--	--	--				--	--
Estate and Gift	--	--	--	--	--	--	--	--	--				--	--
Pari-Mutuel	--	--	--	--	--	--	--	--	--				--	--
Real Estate Transfer	--	--	--	--	--	--	--	--	--				--	--
Racing and Exhibitions	--	--	--	--	--	--	--	--	--				--	--
Total Other Taxes	--	--	--	--	--	--	--	--	--	0.0	0.0	0.0	--	--
<b>TOTAL TAX RECEIPTS</b>	<b>\$267.3</b>	<b>\$182.0</b>	<b>\$363.2</b>	<b>\$182.6</b>	<b>\$203.4</b>	<b>\$1,133.3</b>	<b>\$1,293.9</b>	<b>\$1,352.0</b>	<b>\$1,431.3</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$6,409.0</b>	<b>\$5,102.7</b>

**STATE OF NEW YORK  
DEBT SERVICE FUNDS  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2006-2007  
(amounts in millions)**

**EXHIBIT "H"**

													<b>10 Months Ended Jan. 31</b>	
	2006 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2007 JANUARY	FEBRUARY	MARCH	2007	2006
<b>OPENING CASH BALANCE</b>	\$220.9	\$366.6	\$610.4	\$277.2	\$248.0	\$329.5	\$299.2	\$513.3	\$711.8	\$452.4			\$220.9	\$183.7
<b>RECEIPTS:</b>														
Personal Income Tax	1,389.9	229.6	797.7	446.6	512.2	647.6	278.3	65.9	500.5	1,704.1			6,572.4	5,990.2
Consumption/Use Taxes and Fees														
Sales and Use	176.1	181.7	261.0	190.3	182.4	268.9	187.3	184.1	277.8	196.9			2,106.5	2,184.9
Other Taxes	102.2	81.8	67.1	63.3	73.6	74.8	67.6	41.3	100.6	61.1			733.4	743.3
Miscellaneous Receipts	75.8	46.7	64.3	36.0	81.6	76.7	65.9	77.8	54.9	82.4			662.1	582.6
Total Receipts	<u>1,744.0</u>	<u>539.8</u>	<u>1,190.1</u>	<u>736.2</u>	<u>849.8</u>	<u>1,068.0</u>	<u>599.1</u>	<u>369.1</u>	<u>933.8</u>	<u>2,044.5</u>	<u>0.0</u>	<u>0.0</u>	<u>10,074.4</u>	<u>9,501.0</u>
<b>DISBURSEMENTS: (*)</b>														
Departmental Operations:														
Non-Personal Service	0.6	1.8	3.6	12.7	3.1	4.3	0.4	1.8	8.0	0.6			36.9	49.2
Debt Service, including payments on financing agreements	268.1	185.4	321.1	113.4	215.9	630.0	360.0	235.2	632.9	65.7			3,027.7	2,691.2
Total Disbursements	<u>268.7</u>	<u>187.2</u>	<u>324.7</u>	<u>126.1</u>	<u>219.0</u>	<u>634.3</u>	<u>360.4</u>	<u>237.0</u>	<u>640.9</u>	<u>66.3</u>	<u>0.0</u>	<u>0.0</u>	<u>3,064.6</u>	<u>2,740.4</u>
Excess (Deficiency) of Receipts over Disbursements	<u>1,475.3</u>	<u>352.6</u>	<u>865.4</u>	<u>610.1</u>	<u>630.8</u>	<u>433.7</u>	<u>238.7</u>	<u>132.1</u>	<u>292.9</u>	<u>1,978.2</u>	<u>0.0</u>	<u>0.0</u>	<u>7,009.8</u>	<u>6,760.6</u>
<b>OTHER FINANCING SOURCES (USES):</b>														
Transfers from Other Funds	457.0	456.7	431.3	193.5	435.3	636.2	583.0	470.5	666.5	266.5			4,596.5	4,343.7
Transfers to Other Funds (**)	(1,786.6)	(565.5)	(1,629.9)	(832.8)	(984.6)	(1,100.2)	(607.6)	(404.1)	(1,218.8)	(2,093.9)			(11,224.0)	(10,841.1)
Total Other Financing Sources (Uses)	<u>(1,329.6)</u>	<u>(108.8)</u>	<u>(1,198.6)</u>	<u>(639.3)</u>	<u>(549.3)</u>	<u>(464.0)</u>	<u>(24.6)</u>	<u>66.4</u>	<u>(552.3)</u>	<u>(1,827.4)</u>	<u>0.0</u>	<u>0.0</u>	<u>(6,627.5)</u>	<u>(6,497.4)</u>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	<u>145.7</u>	<u>243.8</u>	<u>(333.2)</u>	<u>(29.2)</u>	<u>81.5</u>	<u>(30.3)</u>	<u>214.1</u>	<u>198.5</u>	<u>(259.4)</u>	<u>150.8</u>	<u>0.0</u>	<u>0.0</u>	<u>382.3</u>	<u>263.2</u>
<b>CLOSING CASH BALANCE</b>	<u>\$366.6</u>	<u>\$610.4</u>	<u>\$277.2</u>	<u>\$248.0</u>	<u>\$329.5</u>	<u>\$299.2</u>	<u>\$513.3</u>	<u>\$711.8</u>	<u>\$452.4</u>	<u>\$603.2</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$603.2</u>	<u>\$446.9</u>

(\*) Disbursements have been restated to reflect the reclassification of related expenses, administrative fees, and other non-debt payments from debt service to non-personal service.

(\*\*) See Exhibit A, Footnote #5



**STATE OF NEW YORK  
ENTERPRISE FUNDS  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2006-2007  
(in millions)**

**EXHIBIT J**

													<b>10 Months Ended Jan. 31</b>	
	2006 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2007 JANUARY FEBRUARY MARCH		2007	2006	
<b>BEGINNING FUND EQUITY (DEFICITS)</b>	\$85.3	\$82.7	\$78.9	\$93.3	\$78.5	\$86.3	\$39.7	\$25.2	\$17.2	\$18.0			\$85.3	\$57.8
<b>RECEIPTS:</b>														
Miscellaneous Receipts	5.6	7.3	6.1	5.8	7.7	9.2	6.2	4.8	4.8	6.0			63.5	64.5
Federal Receipts	3.4	3.6	3.1	4.1	3.0	2.3	3.9	2.7	3.0	3.6			32.7	37.0
Unemployment Taxes	171.8	177.7	164.5	162.2	183.0	99.8	149.3	145.2	169.0	240.2			1,662.7	1,858.3
<b>Total Receipts</b>	<b>180.8</b>	<b>188.6</b>	<b>173.7</b>	<b>172.1</b>	<b>193.7</b>	<b>111.3</b>	<b>159.4</b>	<b>152.7</b>	<b>176.8</b>	<b>249.8</b>	<b>0.0</b>	<b>0.0</b>	<b>1,758.9</b>	<b>1,959.8</b>
<b>DISBURSEMENTS:</b>														
Departmental Operations:														
Personal Service	0.7	1.0	0.9	0.7	0.9	1.0	2.1	1.0	0.6	0.7			9.6	9.1
Non-Personal Service	5.0	4.4	4.8	4.0	4.8	9.6	4.8	3.7	4.2	5.3			50.6	47.5
General State Charges	0.1	0.3	0.2	0.1	0.2	0.1	0.2	0.1	0.4	0.1			1.8	1.4
Unemployment Benefits	177.6	186.7	153.4	182.1	180.0	147.2	166.8	155.9	170.8	245.7			1,766.2	1,900.0
<b>Total Disbursements</b>	<b>183.4</b>	<b>192.4</b>	<b>159.3</b>	<b>186.9</b>	<b>185.9</b>	<b>157.9</b>	<b>173.9</b>	<b>160.7</b>	<b>176.0</b>	<b>251.8</b>	<b>0.0</b>	<b>0.0</b>	<b>1,828.2</b>	<b>1,958.0</b>
Excess (Deficiency) of Receipts over Disbursements	(2.6)	(3.8)	14.4	(14.8)	7.8	(46.6)	(14.5)	(8.0)	0.8	(2.0)	0.0	0.0	(69.3)	1.8
<b>OTHER FINANCING SOURCES (USES):</b>														
Transfers from Other Funds	--	--	--	--	--	--	--	--	--	--			--	--
Transfers to Other Funds	--	--	--	--	--	--	--	--	--	--			--	--
<b>Total Other Financing Sources (Uses)</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>0.0</b>	<b>0.0</b>	<b>--</b>	<b>--</b>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(2.6)	(3.8)	14.4	(14.8)	7.8	(46.6)	(14.5)	(8.0)	0.8	(2.0)	0.0	0.0	(69.3)	1.8
<b>CLOSING CASH BALANCE</b>	<b>\$82.7</b>	<b>\$78.9</b>	<b>\$93.3</b>	<b>\$78.5</b>	<b>\$86.3</b>	<b>\$39.7</b>	<b>\$25.2</b>	<b>\$17.2</b>	<b>\$18.0</b>	<b>\$16.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$16.0</b>	<b>\$59.6</b>

**STATE OF NEW YORK  
INTERNAL SERVICE FUNDS  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2006-2007  
(in millions)**

**EXHIBIT K**

													<b>10 Months Ended Jan. 31</b>	
	2006 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2007 JANUARY	FEBRUARY	MARCH	2007	2006
<b>BEGINNING FUND EQUITY (DEFICITS)</b>	(\$24.4)	(\$36.5)	(\$54.8)	(\$75.1)	(\$70.3)	(\$64.7)	(\$60.3)	(\$49.3)	(\$55.2)	(\$62.3)			(\$24.4)	(\$35.4)
<b>RECEIPTS:</b>														
Miscellaneous Receipts	17.1	25.5	40.1	31.0	50.3	39.6	54.4	38.5	37.8	38.7			373.0	358.5
Total Receipts	17.1	25.5	40.1	31.0	50.3	39.6	54.4	38.5	37.8	38.7	0.0	0.0	373.0	358.5
<b>DISBURSEMENTS:</b>														
Departmental Operations:														
Personal Service	8.8	12.0	8.7	8.5	10.2	8.7	9.1	12.3	8.6	8.4			95.3	88.4
Non-Personal Service	22.6	38.3	56.2	33.3	30.3	28.1	33.6	31.2	39.5	22.9			336.0	339.0
General State Charges	3.2	4.4	--	1.6	7.9	1.2	5.0	3.4	0.9	0.4			28.0	31.4
Debt Service, Including Payments on Financing Agreements	--	--	--	--	0.4	--	--	--	--	--			0.4	2.3
Total Disbursements	34.6	54.7	64.9	43.4	48.8	38.0	47.7	46.9	49.0	31.7	0.0	0.0	459.7	461.1
Excess (Deficiency) of Receipts over Disbursements	(17.5)	(29.2)	(24.8)	(12.4)	1.5	1.6	6.7	(8.4)	(11.2)	7.0	0.0	0.0	(86.7)	(102.6)
<b>OTHER FINANCING SOURCES (USES):</b>														
Transfers from Other Funds	5.4	10.9	4.5	17.2	4.1	2.8	4.9	4.5	4.2	4.4			62.9	61.6
Transfers to Other Funds	--	--	--	--	--	--	(0.6)	(2.0)	(0.1)	--			(2.7)	(2.1)
Total Other Financing Sources (Uses)	5.4	10.9	4.5	17.2	4.1	2.8	4.3	2.5	4.1	4.4	0.0	0.0	60.2	59.5
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(12.1)	(18.3)	(20.3)	4.8	5.6	4.4	11.0	(5.9)	(7.1)	11.4	0.0	0.0	(26.5)	(43.1)
<b>ENDING FUND EQUITY(DEFICITS)</b>	(\$36.5)	(\$54.8)	(\$75.1)	(\$70.3)	(\$64.7)	(\$60.3)	(\$49.3)	(\$55.2)	(\$62.3)	(\$50.9)	\$0.0	\$0.0	(\$50.9)	(\$78.5)



**STATE OF NEW YORK  
PRIVATE PURPOSE TRUST FUNDS  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2006-2007  
(in millions)**

**EXHIBIT L**

	2006												2007	
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2007	2006
<b>OPENING CASH BALANCE</b>	\$9.6	\$9.7	\$9.7	\$9.7	\$9.8	\$9.8	\$7.9	\$8.0	\$8.0	\$8.1			\$9.6	\$9.7
<b>RECEIPTS:</b>														
Miscellaneous Receipts	0.1	0.1	0.1	0.1	--	(1.8) (*)	0.1	0.1	0.1	0.1			(1.0)	0.2
Total Receipts	0.1	0.1	0.1	0.1	--	(1.8)	0.1	0.1	0.1	0.1	0.0	0.0	(1.0)	0.2
<b>DISBURSEMENTS:</b>														
Departmental Operations:														
Personal Service	--	0.1	--	--	--	0.1	--	0.1	--	--			0.3	0.2
Non-Personal Service	--	--	--	--	--	--	--	--	--	--			--	--
General State Charges	--	--	0.1	--	--	--	--	--	--	0.1			0.2	0.1
Total Disbursements	--	0.1	0.1	--	--	0.1	--	0.1	--	0.1	0.0	0.0	0.5	0.3
Excess (Deficiency) of Receipts over Disbursements	0.1	--	--	0.1	--	(1.9)	0.1	--	0.1	--	0.0	0.0	(1.5)	(0.1)
<b>OTHER FINANCING SOURCES (USES):</b>														
Transfers from Other Funds	--	--	--	--	--	--	--	--	--	--			--	--
Transfers to Other Funds	--	--	--	--	--	--	--	--	--	--			--	--
Total Other Financing Sources (Uses)	--	--	--	--	--	--	--	--	--	--	0.0	0.0	--	--
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	0.1	--	--	0.1	--	(1.9)	0.1	--	0.1	--	0.0	0.0	(1.5)	(0.1)
<b>CLOSING CASH BALANCE</b>	\$9.7	\$9.7	\$9.7	\$9.8	\$9.8	\$7.9	\$8.0	\$8.0	\$8.1	\$8.1	\$0.0	\$0.0	\$8.1	\$9.6

(\*) Receipts were reduced by \$1.9 million in the month of September for claim payments to agriculture producers for the loss of revenue caused by a purchaser defaulting on accounts payable to agriculture producers.







STATE OF NEW YORK  
 PROPRIETARY FUNDS  
 SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND EQUITY  
 FOR THE MONTH OF JANUARY 2007  
 (amounts in millions)

SCHEDULE 2

<u>FUND TYPE</u>	<u>FUND EQUITY 1/1/07</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER FINANCING SOURCES (USES)</u>	<u>FUND EQUITY 1/31/07</u>
<b><u>ENTERPRISE FUNDS</u></b>					
324-Youth Commissary	\$ 0.216	\$ 0.018	\$ 0.008	\$ --	\$ 0.226
325-State Exposition Special	1.130	0.105	0.484	--	0.751
326-Correctional Services Commissary	1.405	3.135	3.144	--	1.396
329-Correctional Services Family Benefit	4.201	1.158	0.666	--	4.693
331-Agency Enterprise	2.328	1.083	1.038	--	2.373
351-Sheltered Workshop	1.647	0.133	0.132	--	1.648
352-Patient Workshop	0.496	0.062	0.041	--	0.517
353-Mental Hygiene Community Stores	2.271	0.216	0.198	--	2.289
450-Industrial Exhibit Authority	0.366	0.137	0.303	--	0.200
481-Unemployment Insurance Benefit	3.900	243.778	245.812	--	1.866
<b>TOTAL ENTERPRISE FUNDS</b>	<b>17.960</b>	<b>249.825</b>	<b>251.826</b>	<b>--</b>	<b>15.959</b>
<b><u>INTERNAL SERVICE FUNDS</u></b>					
323-O.G.S. Centralized Services	26.926	10.606	13.770	--	23.762
334-Agency Internal Service	(45.593)	21.058	12.475	4.412	(32.598)
343-Mental Hygiene Revolving	1.141	0.190	0.149	--	1.182
347-Youth Vocational Education	0.048	0.002	--	--	0.050
394-Joint Labor/Management Administration	1.223	--	0.211	--	1.012
395-Audit and Control Revolving	(1.013)	--	0.209	--	(1.222)
396-Health Insurance Revolving	(22.788)	0.602	1.022	--	(23.208)
397-Correctional Industries Revolving	(22.247)	6.294	3.941	--	(19.894)
<b>TOTAL INTERNAL SERVICE FUNDS</b>	<b>(62.303)</b>	<b>38.752</b>	<b>31.777</b>	<b>4.412</b>	<b>(50.916)</b>
<b>TOTAL PROPRIETARY FUNDS</b>	<b>\$ (44.343)</b>	<b>\$ 288.577</b>	<b>\$ 283.603</b>	<b>\$ 4.412</b>	<b>\$ (34.957)</b>

STATE OF NEW YORK  
 FIDUCIARY FUNDS  
 SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES  
 FOR THE MONTH OF JANUARY 2007

SCHEDULE 3

<u>FUND TYPE</u>	<u>FUND BALANCE 1/1/07</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER FINANCING SOURCES (USES)</u>	<u>FUND BALANCE 1/31/07</u>
<b><u>PRIVATE PURPOSE TRUST FUNDS</u></b>					
021-Agriculture Producers' Security	\$ 2.156	\$ 0.013	\$ 0.028	\$ --	\$ 2.141
022-Milk Producers' Security	5.890	0.077	0.038	--	5.929
<b>TOTAL PRIVATE PURPOSE TRUST FUNDS</b>	<b>8.046</b>	<b>0.090</b>	<b>0.066</b>	<b>--</b>	<b>8.070</b>
<b><u>AGENCY FUNDS</u></b>					
129-Private Not-For-Profit School Capital Facilities Financing Reserve	--	--	--	--	--
130-School Capital Facilities Financing Reserve	27.073	2.106	0.040	--	29.139
135-Child Performer's Holding	0.055	0.001	0.001	--	0.055
152-Employees Health Insurance	255.090	435.499	515.110	--	175.479
153-Social Security Contribution	25.365	74.640	75.144	--	24.861
154-Employee Payroll Withholding Escrow	59.543	279.861	280.624	--	58.780
162-Employees Dental Insurance	1.013	8.877	7.570	--	2.320
163-Management Confidential Group Insurance	1.719	0.814	1.009	--	1.524
165-Lottery Prize	229.022	71.323	73.847	--	226.498
167-Health Insurance Reserve Receipts	0.057	--	--	--	0.057
169-Miscellaneous New York State Agency	921.278	192.363	173.215	--	940.426
175-Elderly Pharmaceutical Insurance Coverage Escrow	1.824	92.605	62.700	--	31.729
176-CUNY Senior College Operating	34.239	95.001	92.117	--	37.123
179-Medicaid Management Information System Escrow	327.294	3,398.099	2,773.279	--	952.114
309-Special Education	--	--	--	--	--
344-State University Collection	98.488	242.518	--	--	341.006
382-SUNY Federal Direct Lending Program	0.101	(0.864)	--	--	(0.763)
<b>TOTAL AGENCY FUNDS</b>	<b>1,982.161</b>	<b>4,892.843</b>	<b>4,054.656</b>	<b>--</b>	<b>2,820.348</b>
<b>TOTAL FIDUCIARY FUNDS</b>	<b>\$ 1,990.207</b>	<b>\$ 4,892.933</b>	<b>\$ 4,054.722</b>	<b>\$ --</b>	<b>\$ 2,828.418</b>

STATE OF NEW YORK  
SOLE CUSTODY AND INVESTMENT ACCOUNTS  
STATEMENT OF CASH RECEIPTS AND DISBURSEMENTS  
FOR THE MONTH OF JANUARY 2007  
(amounts in millions)

SCHEDULE 4

<u>FUND TYPE</u>	<u>BEGINNING BALANCE 1/1/07</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>ENDING BALANCE 1/31/07</u>
<b>ACCOUNTS</b>				
060-Tobacco Settlement	\$ 2.478	\$ 0.011	\$ --	\$ 2.489
149-Sole Custody Investment (*)	1,227.845	1,365.705	1,199.396	1,394.154
650-Comptroller's Refund	--	154.939	154.939	--
750-NYS Thruway Authority Operating	0.336	31.501	30.164	1.673
<b>TOTAL ACCOUNTS</b>	<b>\$ 1,230.659</b>	<b>\$ 1,552.156</b>	<b>\$ 1,384.499</b>	<b>\$ 1,398.316</b>

**(\*) Public Asset Escrow Account**

In *Consumers Union of U.S., Inc. v. State*, plaintiffs challenge the constitutionality of those portions of Chapter 1 of the Laws of 2002, which relate to the authorization of the conversion of Empire Health Choice, d/b/a Empire Blue Cross and Blue Shield from a not-for-profit corporation to a for-profit corporation. Chapter 1 requires, in part, that upon such conversion, assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to a fund designated as the "public asset fund" and 5 percent transferred to a Charitable Foundation - as set forth in (s) 7317 of the Insurance Law. The State and private defendants have separately moved to dismiss the complaint. On November 6, 2002, Supreme Court, New York County, granted a temporary restraining order, directing that the proceeds from the public offering of the for-profit corporation be deposited with the State Comptroller in an interest bearing account outside the State Treasury, pending the outcome of the above noted litigation. On June 20, 2005, The Court of Appeals, Case No. 83, ruled in favor of the State. Accordingly, on August 1, 2005, \$754 million that was held outside of the State Treasury was remitted to the State and deposited into the HCRA Resource Fund (061).

On December 28, 2005, Wellchoice, Inc. shareholders (previously known as Empire Blue Cross, Blue Shield) approved a takeover by Wellpoint, Inc. This has resulted in \$2.494 billion in proceeds to New York State which were deposited in the Public Asset Escrow Account on January 4, 2006 and August 8, 2006. Subsequently, and in accordance with Section 4301(j)(4)(F) and (O) of the Insurance Law, the Director of the Budget directed that the \$2.503 billion be transferred to the HCRA Resource Fund (061). The first transfer of \$993 million took place on January 25, 2006 with the balance of \$996 million was transferred on February 1, 2006 and \$514 million was transferred on December 20, 2006.

Following is an accounting of the proceeds from the public offerings which are currently held in escrow.

<u>Date</u>	<u>Reserved for:</u>		
	<u>Public Asset Fund</u>	<u>Charitable Foundation</u>	<u>Total</u>
Deposit 11/19/02	\$ 372,909,266.78	\$ 19,626,803.51	\$ 392,536,070.29
Deposit 11/20/02	29,344,846.16	1,544,465.59	30,889,311.75
Deposit 6/18/04	352,110,000.00	--	352,110,000.00
Deposit 1/4/06	1,989,072,689.76	--	1,989,072,689.76
Deposit 8/8/06	504,697,500.00	--	504,697,500.00
<b>Total Deposits</b>	<b>3,248,134,302.70</b>	<b>21,171,269.10</b>	<b>3,269,305,571.80</b>
Interest Received	46,311,179.01	1,132,997.63	47,444,176.64
Disbursement to HCRA Resources Fund	(3,257,000,000.00)	(22,304,266.73)	(3,279,304,266.73)
Disbursement for Professional and Advisory Services	(12,886,482.90)	--	(12,886,482.90)
<b>Balance - January 31, 2007</b>	<b>\$ 24,558,998.81</b>	<b>\$ --</b>	<b>\$ 24,558,998.81</b>

**STATE OF NEW YORK  
DEBT SERVICE FUNDS  
STATEMENT OF DIRECT STATE DEBT ACTIVITY  
FISCAL YEAR ENDED MARCH 31, 2007**

PURPOSE	DEBT OUTSTANDING APR. 1, 2006	DEBT ISSUED (1)		DEBT MATURED		DEBT OUTSTANDING JAN. 31, 2007	INTEREST DISBURSED	
		MONTH OF JANUARY	10 MONTHS ENDED JAN. 31, 2007	MONTH OF JANUARY	10 MONTHS ENDED JAN. 31, 2007		MONTH OF JANUARY	10 MONTHS ENDED JAN. 31, 2007
<b>GENERAL OBLIGATION BONDED DEBT:</b>								
Accelerated Capacity and Transportation Improvements	\$ 915,982,014.57	\$ --	\$ 324,872.93	\$ --	\$ 53,896,572.38	\$ 862,410,315.12	\$ 1,587,444.46	\$ 30,478,123.71
Clean Water/Clean Air:								
Air Quality	127,059,824.25	--	--	--	7,786,084.33	119,273,739.92	505,398.46	4,565,673.67
Safe Drinking Water	185,919,338.03	--	--	--	13,003,073.29	172,916,264.74	978,786.14	7,147,483.73
Water	460,799,368.85	--	732,338.71	--	3,937,803.11	457,593,904.45	2,364,395.72	14,130,573.77
Solid Waste	126,595,959.48	--	74,155.67	--	4,815,948.60	121,854,166.55	413,560.00	3,791,219.90
Environmental Restoration	27,634,717.01	--	--	--	108,965.52	27,525,751.49	109,917.75	856,999.37
Energy Conservation Through Improved Transportation: Rapid Transit and Rail Freight	37,382,725.67	--	60,101.07	--	4,460,079.69	32,982,747.05	12,529.06	1,047,877.53
Environmental Quality Protection (1972):								
Air	35,809,087.23	--	--	--	3,160,686.31	32,648,400.92	171,819.77	1,368,245.92
Land	74,394,966.08	--	367,227.86	--	9,560,120.33	65,202,073.61	133,906.69	2,166,742.35
Wet Lands	--	--	--	--	--	--	--	--
Water	176,434,746.19	--	1,148,715.68	--	17,303,818.88	160,279,642.99	350,136.80	5,649,139.87
Environmental Quality (1986):								
Land and Forests	95,927,622.36	--	135,168.37	--	8,255,633.72	87,807,157.01	454,623.53	3,542,975.02
Solid Waste Management	683,694,495.20	--	73,606.01	--	32,725,418.75	651,042,682.46	1,916,170.65	20,464,467.18
Higher Education Construction	270,000.00	--	--	--	270,000.00	--	--	13,500.00
Housing:								
Low Cost	93,045,246.14	--	16,673.99	--	10,773,430.76	82,288,489.37	81,887.14	2,603,894.47
Middle Income	59,640,000.00	--	--	1,123,000.00	4,363,000.00	55,277,000.00	128,712.50	2,631,872.50
Urban Renewal	52,456.25	--	--	--	31,709.29	20,746.96	--	1,454.21
Outdoor Recreation Development	314,966.88	--	--	--	78,803.00	236,163.88	235.29	17,229.90
Park and Recreation Land Acquisition	57,766.68	--	--	--	31,836.33	25,930.35	--	1,537.71
Pure Waters	130,829,418.00	--	739,432.49	--	14,543,837.25	117,025,013.24	201,760.22	4,301,731.39
Rail Preservation Development	34,850,689.49	--	74,396.57	--	6,562,055.34	28,363,030.72	--	1,037,889.58
Rebuild and Renew New York Transportation:								
Highway Facilities	7,208,350.72	--	--	--	--	7,208,350.72	--	138,750.90
Canals and Waterways	--	--	--	--	--	--	--	--
Aviation	--	--	--	--	--	--	--	--
Rail and Port	--	--	--	--	--	--	--	--
Mass Transit - Dept. of Transportation	--	--	--	--	--	--	--	--
Mass Transit - Metropolitan Transportation Authority	40,018,138.27	--	--	--	--	40,018,138.27	--	771,205.28
Rebuild New York-Transportation Infrastructure Renewal:								
Highways, Parkways, and Bridges	8,459,307.40	--	--	--	473,470.10	7,985,837.30	64,740.54	237,469.72
Ports, Canals, and Waterways	988,819.19	--	--	--	424,492.06	564,327.13	--	31,382.58
Rapid Transit, Rail, and Aviation	39,589,485.18	--	167,352.47	--	3,609,737.19	36,147,100.46	42,361.98	1,311,143.98
Transportation Capital Facilities:								
Aviation	43,200,183.16	--	262,807.02	--	3,860,585.84	39,602,404.34	46,192.06	1,343,531.12
Mass Transportation	63,689,306.98	--	8,151.16	--	14,714,837.52	48,982,620.62	36,782.53	2,048,031.46
<b>Total General Obligation Bonded Debt</b>	<b>\$ 3,469,848,999.26</b>	<b>\$ --</b>	<b>\$ 4,185,000.00</b>	<b>\$ 1,123,000.00</b>	<b>\$ 218,751,999.59</b>	<b>\$ 3,255,281,999.67</b>	<b>\$ 9,601,361.29</b>	<b>\$ 111,700,146.82</b>

(1) Includes April 2006 Refunding Bonds as follows: Refunding \$162,010,000 and Refunded \$157,825,000.



STATE OF NEW YORK  
DEBT SERVICE FUNDS  
FINANCING AGREEMENTS  
FOR THE TEN (10) MONTHS ENDED JANUARY 31, 2007

SCHEDULE 5a

	DEBT REDUCTION RESERVE FUND (064)	GENERAL DEBT SERVICE (311- 01)	DEPARTMENT OF HEALTH INCOME (319)	LOCAL GOVERNMENT ASSISTANCE TAX (364)	MENTAL HEALTH SERVICES (304)	REVENUE BOND TAX (311- 02)	STATE UNIVERSITY DORMITORY INCOME (330)	COMBINED TOTALS		INCREASE (DECREASE)
								10 MONTHS ENDED JAN. 31		
								2007	2006 (1) (2)	
<b>Special Contractual Financing Obligations:</b>										
City University Construction	\$ --	\$ 282,844,767	\$ --	\$ --	\$ --	\$ --	\$ --	\$ 282,844,767	\$ 237,419,886	\$ 45,424,881
Community Enhancement Facilities Program	--	2,528,216	--	--	--	--	--	2,528,216	1,609,014	919,202
Department of TransRegion 1 Schenectady	--	1,034,052	--	--	--	--	--	1,034,052	1,065,714	(31,662)
Dormitory Authority	249,997,906	399,980,677	27,386,446	--	131,199,405	79,136,020	52,656,954	940,357,408	624,190,673	316,166,735
Environmental Conservation - Broadway Albany	--	--	--	--	--	--	--	--	3,250,308	(3,250,308)
Environmental Conservation - 50 Wolf Rd Albany	--	1,251,541	--	--	--	--	--	1,251,541	1,323,520	(71,979)
Energy Research & Development Authority	--	3,033,761	--	--	--	--	--	3,033,761	4,262,753	(1,228,992)
Environmental Facilities Corporation	--	9,486,469	--	--	--	36,931,760	--	46,418,229	42,406,544	4,011,685
Hampton Plaza	--	151,562	--	--	--	--	--	151,562	159,844	(8,282)
Hanson Place	--	3,278,250	--	--	--	--	--	3,278,250	3,642,500	(364,250)
44 Holland Avenue	--	784,563	--	--	--	--	--	784,563	812,055	(27,492)
Housing Finance Agency	--	42,339,137	--	--	--	22,017,511	--	64,356,648	53,346,446	11,010,202
Local Government Assistance Corporation	--	--	--	102,753,592	--	--	--	102,753,592	96,963,039	5,790,553
Metropolitan Transportation Authority:										
Transit and Commuter Rail Projects	--	164,891,694	--	--	--	--	--	164,891,694	164,959,624	(67,930)
Triborough Bridge & Tunnel Authority:										
Javits Convention Center Project	--	41,843,100	--	--	--	--	--	41,843,100	41,845,050	(1,950)
Thruway Authority	--	521,036,521	--	--	--	20,527,835	--	541,564,356	586,486,319	(44,921,963)
Urban Development Corporation:										
Correctional Facilities	--	272,308,307	--	--	--	--	--	272,308,307	270,849,530	1,458,777
Center for Industrial Innovation at RPI	--	4,243,088	--	--	--	--	--	4,243,088	3,440,900	802,188
Syracuse University Science and Technology Center	--	1,962,325	--	--	--	--	--	1,962,325	1,954,437	7,888
Cornell Univer. Supercomputer Center	--	491,000	--	--	--	--	--	491,000	518,694	(27,694)
Columbia Univer. Telecommunications Center	--	3,705,000	--	--	--	--	--	3,705,000	3,726,973	(21,973)
Onondaga Convention Center	--	1,552,221	--	--	--	--	--	1,552,221	5,767,082	(4,214,861)
Clarkson University	--	1,016,064	--	--	--	--	--	1,016,064	684,089	331,975
Alfred University	--	--	--	--	--	--	--	--	41,475	(41,475)
Higher Education	--	2,097,370	--	--	--	--	--	2,097,370	4,077,269	(1,979,899)
Youth Facilities	--	10,771,462	--	--	--	--	--	10,771,462	10,700,972	70,490
University Facilities Grant 95 Refunding	--	2,755,725	--	--	--	--	--	2,755,725	1,917,424	838,301
Economic Development Heritage Trail Project	--	--	--	--	--	--	--	--	139,650	(139,650)
Economic Development Housing	--	--	--	--	--	128,507,260	--	128,507,260	117,470,977	11,036,283
Sports Facility	--	4,540,717	--	--	--	--	--	4,540,717	3,461,864	1,078,853
Ten Eyck Project Albany	--	822,416	--	--	--	--	--	822,416	1,271,172	(448,756)
Long Island and Pine Barren	--	280,287	--	--	--	--	--	280,287	303,077	(22,790)
South Mall	--	34,428,724	--	--	--	--	--	34,428,724	34,429,507	(783)
State Facilities and Equipment	--	--	--	--	--	30,650,822	--	30,650,822	26,951,600	3,699,222
<b>Total Disbursements for Special Contractual Financing Obligations</b>	<b>\$ 249,997,906</b>	<b>\$ 1,815,459,016</b>	<b>\$ 27,386,446</b>	<b>\$ 102,753,592</b>	<b>\$ 131,199,405</b>	<b>\$ 317,771,208</b>	<b>\$ 52,656,954</b>	<b>\$ 2,697,224,527</b>	<b>\$ 2,351,449,981</b>	<b>\$ 345,774,546</b>

(1) Disbursements have been restated to reflect the reclassification of related expenses, administrative fees, and other non-debt payments from debt service to non-personal service.

(2) Revenue Bond disbursements in 2005-2006 were restated to report debt service payments by payee rather than by program.

**SUMMARY OF THE OPERATING FUND INVESTMENTS  
FOR THE MONTH OF JANUARY 2007  
AS REQUIRED OF THE STATE COMPTROLLER**  
(amounts in millions)

	<u>JANUARY 2007</u>	<u>FISCAL YEAR TO DATE</u>
<b><u>SHORT TERM INVESTMENT POOL</u></b>		
AVERAGE DAILY INVESTMENT BALANCE*	\$12,314.3	\$11,532.4
AVERAGE YIELD*	5.357%	5.242%
TOTAL INVESTMENT EARNINGS	\$56.023	\$506.857

<u>DESCRIPTION</u>	<u>PAR AMOUNT</u>
TREASURY BILLS	\$300.0
GOVT. AGENCY BILLS/NOTES	\$500.0
REPURCHASE AGREEMENTS	\$21.6
COMMERCIAL PAPER	\$13,101.4
CERTIFICATES OF DEPOSIT	\$1,180.0
0% COMPENSATING BALANCE CD's	\$3.5
	<u>\$15,106.5</u>

\*Does not include 0% Compensating Balance CD's.

SOURCE: PENSION INVESTMENT AND PUBLIC FINANCE

STATE OF NEW YORK  
OFFICE OF THE STATE COMPTROLLER  
DIVISION OF STATE SERVICES  
BUREAU OF ACCOUNTING OPERATIONS

**COMPTROLLER'S MONTHLY REPORT TO THE LEGISLATURE ON STATE FUNDS - CASH BASIS OF ACCOUNTING  
FISCAL YEAR 2006-2007**

**APPENDIX - TABLE OF CONTENTS**

<u>HCRA Resources Fund - Statement of Receipts and Disbursements by Object</u>	Appendix A
<u>HCRA Resources Fund - Statement of Program Disbursements</u>	Appendix B
<u>HCRA Public Goods Pool - Statement of Cash Flow</u>	Appendix C
<u>HCRA Medicaid Disproportionate Share - Statement of Cash Flow</u>	Appendix D
<u>Public Authority Off Budget Spending Report</u>	Appendix E

STATE OF NEW YORK  
 HCRA RESOURCES FUND  
 STATEMENT OF RECEIPTS AND DISBURSEMENTS BY OBJECT  
 FISCAL YEAR 2006-2007

APPENDIX A

	2006 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	9 Months Ended Dec. 31, 2006
<b>OPENING CASH BALANCE</b>	<b>\$1,599,919,097.44</b>	<b>\$1,750,291,354.32</b>	<b>\$1,926,358,408.41</b>	<b>\$2,046,357,094.62</b>	<b>\$1,734,949,259.81</b>	<b>\$1,657,402,843.03</b>	<b>\$1,544,287,698.20</b>	<b>\$1,265,480,489.12</b>	<b>\$1,276,229,120.98</b>	<b>\$1,599,919,097.44</b>
<b>RECEIPTS:</b>										
Cigarette Tax	49,573,777.00	46,436,290.89	56,097,816.03	52,474,130.46	50,125,857.48	49,160,380.58	50,325,466.21	47,664,876.37	47,811,194.69	449,669,789.71
State share of NYC Cigarette Tax	7,689,000.00	9,220,000.00	9,731,000.00	8,982,000.00	9,465,000.00	8,536,000.00	9,957,000.00	8,856,000.00	8,756,000.00	81,192,000.00
STIP Interest	7,486,572.17	6,636,483.05	7,575,159.68	7,933,531.38	8,385,515.98	7,398,524.63	7,072,037.93	5,790,150.21	5,055,138.19	63,333,113.22
Sale of Public Assets	--	--	--	--	--	--	--	--	514,000,000.00	514,000,000.00
Indigent Care Pool	--	--	--	--	287,620.78	21,973.26	11,719.28	12,153.44	70,876.93	404,343.69
Public Goods Pool	182,565,061.10	286,239,861.37	258,219,932.50	245,700,091.24	251,490,125.49	225,778,519.64	250,255,760.57	270,884,644.02	241,605,227.29	2,212,739,223.22
Tobacco Control & Insurance Initiatives Pool	--	--	--	--	--	--	--	--	--	--
GME Overpayments Recovered	4,500.75	--	--	--	--	--	--	--	--	4,500.75
Miscellaneous	146.64	677.06	382.53	49.38	25,823.72	--	331.76	19.94	4,367.94	31,798.97
<b>Total Receipts</b>	<b>247,319,057.66</b>	<b>348,533,312.37</b>	<b>331,624,290.74</b>	<b>315,089,802.46</b>	<b>319,779,943.45</b>	<b>290,895,398.11</b>	<b>317,622,315.75</b>	<b>333,207,843.98</b>	<b>817,302,805.04</b>	<b>3,321,374,769.56</b>
<b>DISBURSEMENTS:</b>										
Grants - Social Service	11,212.54	44,342.12	169,632.32	169,529.24	81,614.38	68,130.20	190,877.74	74,217.49	1,916,929.37	2,726,485.40
Medical Assistance Payments	41,110,719.34	35,024,048.55	34,375,968.20	300,659,268.66	198,533,342.29	260,705,478.55	412,454,165.06	178,574,022.08	231,558,019.55	1,692,995,032.28
Grants - Health	45,594,823.98	130,101,097.21	169,652,166.37	307,623,115.55	259,200,016.27	131,795,563.03	171,937,865.84	112,219,241.62	171,161,714.39	1,499,285,604.26
Grants - Mental Hygiene	4,401,023.00	701,052.00	670,116.00	7,861,768.00	645,643.00	6,703,400.00	6,007,022.00	1,266,549.00	3,446,457.00	31,703,030.00
Grants - Miscellaneous	52,832.68	236,372.74	608,257.72	436,716.73	330,138.58	261,626.54	155,567.03	246,041.49	98,219.43	2,425,772.94
Interest - Late Payments	429.81	1,695.93	713.90	20,941.06	8,907.92	917.18	5,736.92	1,035.35	1,253.43	41,631.50
Personal Service	1,052,641.24	2,168,463.87	1,371,550.61	1,099,569.16	6,161,175.75	1,094,984.24	1,037,405.00	6,769,781.47	1,196,294.32	21,951,865.66
Non-Personal Service	3,367,050.38	3,299,570.23	4,391,395.50	6,675,134.02	(68,473,116.53)	3,380,443.20	2,800,445.02	22,576,026.11	8,239,002.11	(13,744,049.96)
Employee Benefits/Indirect Costs	1,356,067.81	157,879.11	378,009.76	1,451,594.85	108,573.05	--	1,340,440.22	70,611.51	--	4,863,176.31
Transfers to 339-AP	--	731,736.52	7,794.15	--	730,065.52	--	--	661,686.00	--	2,131,282.19
Transfers to 339-ES	--	--	--	500,000.00	--	--	500,000.00	--	--	1,000,000.00
<b>Total Disbursements</b>	<b>96,946,800.78</b>	<b>172,466,258.28</b>	<b>211,625,604.53</b>	<b>626,497,637.27</b>	<b>397,326,360.23</b>	<b>404,010,542.94</b>	<b>596,429,524.83</b>	<b>322,459,212.12</b>	<b>417,617,889.60</b>	<b>3,245,379,830.58</b>
<b>CLOSING CASH BALANCE</b>	<b>\$1,750,291,354.32</b>	<b>\$1,926,358,408.41</b>	<b>\$2,046,357,094.62</b>	<b>\$1,734,949,259.81</b>	<b>\$1,657,402,843.03</b>	<b>\$1,544,287,698.20</b>	<b>\$1,265,480,489.12</b>	<b>\$1,276,229,120.98</b>	<b>\$1,675,914,036.42</b>	<b>\$1,675,914,036.42</b>

STATE OF NEW YORK  
 HCRA RESOURCES FUND  
 STATEMENT OF PROGRAM DISBURSEMENTS  
 FISCAL YEAR 2006-2007

APPENDIX B

Program/Purpose	Appropriation Amount	Segregation Amount	April - June Disbursements	July - September Disbursements	October - December Disbursements	Total Disbursements 9 Months Ending Dec. 31, 2006
<b>COMMUNITY SERVICES PROGRAM</b>	<b>\$ 9,000,000</b>					
LONG TERM CARE INSUR EDUC/OUTREACH		8,250,000	897,463.14	1,028,481.85	459,827.95	2,385,772.94
<b>ADMIN &amp; GRANTS MGMT HCRA RESOURCE</b>	<b>150,000</b>					
LONG TERM CARE INSUR EDUC/OUTREACH		137,500	11,949.85	5,230.48	16,466.92	33,647.25
<b>ADMIN &amp; EXECUTIVE DIRECTION PROGRAM</b>	<b>13,246,000</b>					
HEALTH CARE DELIVERY ADMINISTRATION		1,251,000	76,000.42	72,156.73	77,711.45	225,868.60
HEALTH OCCUPATION DEVELOP/WORK DEMO		1,690,250	133,036.18	124,389.95	139,292.90	396,719.03
HEALTH WORKFORCE RETRAINING PROGRAM		2,365,000	495,376.20	264,673.87	206,702.02	966,752.09
PILOT HEALTH INSURANCE PROGRAM		3,562,880	385,140.68	315,625.18	329,978.31	1,030,744.17
PRIMARY CARE INITIATIVES MONITORING		1,395,000	139,007.02	117,864.60	140,704.90	397,576.52
<b>AIDS INSTITUTE PROGRAM</b>	<b>206,348,018</b>					
HEALTH CARE SERVICES ACCOUNT		182,978,018	13,586,010.57	25,455,992.36	19,752,993.46	58,794,996.39
HOSPITAL BASED GRANTS PROGRAM		11,870,000	1,099,277.98	1,630,646.92	1,076,708.38	3,806,633.28
MATERNAL & CHILD HIV SERVICES		9,500,000	923,261.09	1,049,964.97	1,309,019.01	3,282,245.07
OPERATIONAL SUPPORT FOR AIDS HOUSING		2,000,000	140,508.62	319,273.82	300,094.96	759,877.40
<b>CENTER FOR COMMUNITY HEALTH PROGRAM</b>	<b>174,210,195</b>					
HEALTH CARE SERVICES ACCOUNT		128,630,345	11,935,098.89	14,219,746.66	37,503,550.91	63,658,396.46
HOSPITAL BASED GRANTS PROGRAM		33,979,850	4,609,721.15	2,843,944.10	2,983,780.24	10,437,445.49
TOBACCO CONTROL & CANCER SERVICES		3,955,000	417,830.33	517,308.52	468,830.74	1,403,969.59
<b>WADSWORTH CENTER FOR LABS &amp; RESEARCH</b>	<b>21,606,000</b>					
HEALTH CARE SERVICES ACCOUNT		19,781,544	3,167,788.38	1,906,298.18	2,888,175.97	7,962,262.53
<b>HEALTH CARE STANDARDS &amp; SURVEILLANCE</b>	<b>62,463,343</b>					
EMERGENCY MEDICAL SERVICES ACCOUNT		36,555,593	3,559,475.88	5,274,192.87	3,830,764.49	12,664,433.24
HEALTH CARE SERVICES ACCOUNT		13,500,000	119,914.69	1,018,611.73	537,265.02	1,675,791.44
QUALITY INCENTIVE PAYMENT		2,750,000	--	--	1,472,555.06	1,472,555.06
<b>HEALTH CARE FINANCING PROGRAM</b>	<b>7,592,000</b>					
PROVIDER COLLECTION MONITORING ACCOUNT		6,956,000	983,237.34	645,636.23	645,101.00	2,273,974.57
<b>OFFICE OF MEDICAID MANAGEMENT PROGRAM</b>	<b>18,574,800</b>					
CATASTROPHIC HEALTH CARE EXPENSE PROG		500,000	465,000.00	--	--	465,000.00
FAMILY HEALTH PLUS		15,124,800	1,621,349.36	1,237,969.96	1,199,543.57	4,058,862.89
MEDICAID FRAUD HOTLINE/ADMIN.		1,325,400	160,685.92	169,435.65	(26,766.95)	303,354.62
<b>MEDICAL ASSISTANCE PROGRAM</b>	<b>6,612,437,000</b>					
ADDTL PAYMENTS PROVIDER OF MEDICAL CARE		200,000,000	--	--	--	--
BDCC FOR NON-PROFIT D&TCS		7,500,000	--	--	--	--
GME RECONCILIATION		100,000,000	--	--	--	--
HOME CARE RATES		8,000,000	--	--	--	--
HOME HEALTH WORKFORCE RECRUITMENT		37,000,000	--	--	--	--
LONG TERM CARE REVITALIZATION POOL		2,400,000	--	--	--	--
MEDICAL ASSISTANCE		3,941,825,000	--	515,912,500.00	570,200,000.00	1,086,112,500.00
MEDICAL ASSISTANCE - INDIGENT CARE FUND		1,700,800,000	109,943,361.09	243,126,133.50	205,601,383.10	558,670,877.69
NH QUALITY IMPROVEMENT DEMO		40,937,000	--	--	34,999,999.59	34,999,999.59
WORKER RECRUIT/RETAIN NON-PUBLIC HOSP		64,000,000	--	--	--	--
<b>ENHANCED COMMUNITY SERVICES PROGRAM</b>	<b>184,300,000</b>					
ENHANCED COMMUNITY SERVICES ACCOUNT		163,546,481	6,420,190.00	21,969,450.37	28,213,509.00	56,603,149.37
<b>ELDERLY PHARMACEUTICAL INS COVERAGE PRG</b>	<b>1,188,200,000</b>					
ELDERLY PHARMACEUTICAL INSURANCE COVER		1,038,650,000	80,177,293.09	129,491,799.61	116,980,699.53	326,649,792.23
<b>HEALTH SERVICES ACCT</b>	<b>4,000,000</b>					
HEALTH CARE SERVICES ACCOUNT		3,500,000	86,571.64	87,922.64	409,374.58	583,868.86
<b>CHILD HEALTH INSURANCE PROGRAM</b>	<b>1,273,839,439</b>					
CHILD HEALTH INSURANCE		1,047,778,687	70,398,262.64	136,051,116.55	83,671,404.52	290,120,783.71

STATE OF NEW YORK  
 HCRA RESOURCES FUND  
 STATEMENT OF PROGRAM DISBURSEMENTS  
 FISCAL YEAR 2006-2007

APPENDIX B  
 (continued)

Program/Purpose	Appropriation Amount	Segregation Amount	April - June Disbursements	July - September Disbursements	October - December Disbursements	Total Disbursements 9 Months Ending Dec. 31, 2006
<b>HEALTH CARE REFORM ACT PROGRAM</b>	<b>\$ 2,822,080,000</b>					
ADAP/HIV UNINSURED CARE (HRI)		60,000,000	--	--	20,000,000.00	20,000,000.00
AREA HEALTH CARE CENTERS		788,000	--	--	--	--
ASSEMBLY PRIORITY DISTRIBUTIONS		18,499,000	335,348.70	993,354.00	2,541,059.29	3,869,761.99
AUDIT SERVICE PAYER/PROVIDER COMPLIANCE		13,074,000	1,280,901.58	891,297.38	735,855.87	2,908,054.83
CANCER RELATED SERVICES		44,762,000	2,321,836.74	6,379,472.45	3,926,591.67	12,627,900.86
CATASTROPHIC HEALTH CARE EXPENSE		3,111,420	570,623.00	174,487.00	174,883.00	919,993.00
COMMISSIONER'S PRIORITY POOL DISTRIB.		68,031,000	5,623,477.92	4,726,867.11	6,292,681.22	16,643,026.25
DIAGNOSTIC & TREAT CTR UNCOMPENSAT CARE		24,460,620	--	--	23,800,552.07	23,800,552.07
DISEASE MANAGEMENT DEMO PROGRAM		9,750,000	--	652,070.50	212,312.35	864,382.85
ERIE/NIAGARA HEALTH CARE PROVIDERS		5,000,000	--	--	--	--
GRADUATE MEDICAL EDUCATION DISTRIB		774,160,000	64,361,627.83	110,891,398.06	88,432,900.00	263,685,925.89
HEALTH CARE STABILIZATION PROGRAM		28,000,000	2,948,529.08	7,829,339.75	2,429,133.79	13,207,002.62
HEALTH FACILITY RESTRUCTING PROGRAM		30,000,000	--	--	--	--
HEALTH MAINTENANCE ORG (HMO) DIRECT PAY		80,000,000	--	40,000,000.00	--	40,000,000.00
HEALTH WORKFORCE RETRAINING		167,620,000	4,736,200.68	11,534,583.03	2,965,658.87	19,236,442.58
HEALTHY NY - ADMINISTRATION		19,400,000	148,137.98	4,292,321.78	3,709,739.62	8,150,199.38
HEALTHY NY - DISPLACED WORKERS		955,000	--	--	--	--
HEALTHY NY - ENTRTNMT WORKERS		3,500,000	178,856.88	231,285.83	239,294.15	649,436.86
HEALTHY NY - GROUP PROGRAM		50,255,000	2,812.50	21,468,798.00	45,361.49	21,516,971.99
HEALTHY NY - INDIV PROGRAM		71,890,000	--	40,459,479.00	--	40,459,479.00
INDIVIDUAL SUBSIDY PROGRAM		1,657,330	--	--	977,725.39	977,725.39
INFERTILITY GRANT PROGRAM		2,830,000	142,015.48	--	--	142,015.48
INFERTILITY SRVCS TREATMENTS & PROC		5,000,000	--	--	--	--
LONG TERM CARE DEMO PROJECTS		750,000	--	--	153,264.95	153,264.95
LONG TERM CARE INSUR EDUC/OUTREACH		4,100,000	163,845.84	1,765,850.44	69,552.49	1,999,248.77
MINORITY PARTICIPATION MED EDUC		215,000	--	68,624.95	--	68,624.95
NURSING HOME QUALITY IMPROV DEMO		--	--	--	--	--
NYS AREA HEALTH EDUCATION CENTER (AHEC)		3,200,000	--	453,061.01	--	453,061.01
OTHER MEDICAL SCHOOL		1,160,000	3,033.54	--	--	3,033.54
PAY FOR PERFORMANCE INITIATIVES		2,500,000	8,026.14	--	--	8,026.14
PHYSICIANS EXCESS MEDICAL MALPRACTICE		65,000,000	--	--	--	--
POISON CONTROL CENTERS		10,200,000	--	2,387,817.00	2,496,860.00	4,884,677.00
POOL ADMINISTRATOR-SERVICES & EXPENSES		13,571,000	872,586.49	809,514.61	711,910.70	2,394,011.80
PRIMARY HEALTH CARE SERVICES		3,260,000	20,000.00	292,882.00	--	312,882.00
ROSWELL PARK CANCER INSTITUTE		171,000,000	23,250,000.00	23,250,000.00	23,250,000.00	69,750,000.00
RURAL HEALTH CARE ACCESS DEVELOP		31,575,000	188,363.80	5,388,582.13	3,093,866.20	8,670,812.13
RURAL HEALTH CARE DELIVERY DEVELOP		20,300,000	1,540,148.02	2,051,672.88	1,737,433.60	5,329,254.50
SCHOOL BASED HEALTH CLINICS		14,000,000	--	--	--	--
SENATE PRIORITY DISTRIBUTIONS		28,262,947	2,906,723.67	1,037,104.11	2,975,762.66	6,919,590.44
TELEMEDICINE DEMONSTRATION PROGRAM		6,000,000	98,166.85	895,520.82	216,626.32	1,210,313.99
TOBACCO USE PREVENTION & CONTROL		149,370,000	9,152,789.73	12,172,723.78	12,167,244.22	33,492,757.73
WORKER RECRUIT/RETAIN NON-PUBLIC HOSP		19,650,000	297,667.00	--	--	297,667.00
WORKER RECRUIT/RETAIN PUBLIC HOSPITALS		104,400,000	39,109,989.32	13,050,000.00	13,050,000.00	65,209,989.32
WORKER/RECRUIT/RETAIN PUBLIC RHCF		32,400,000	8,084,612.00	8,100,000.00	4,050,000.00	20,234,612.00
<b>TOTAL</b>	<b>\$ 12,598,046,796</b>	<b>\$ 11,007,652,666</b>	<b>\$ 480,299,132.92</b>	<b>\$ 1,427,104,474.92</b>	<b>\$ 1,335,844,940.55</b>	<b>\$ 3,243,248,548.39</b>
Transfer to the General Fund - State Purposes Account (for administration of the program)		1,726,650				
<b>TOTAL APPROPRIATED AMOUNT</b>	<b>\$ 12,599,773,446</b>					

(1) Includes amounts appropriated in 2006 as well as prior year appropriations that were reappropriated in the SFY 2006 budget chapters.

(2) Unsegregated appropriation total is \$1,592,120,780.00.

(3) Disbursements from the HCRA Resource Fund includes direct grant payments to program beneficiaries, services and expenses for administration of grant programs, and transfers to the Public Goods Pool to finance payments made by the State's fiscal agent for selected programs authorized in statute.

**STATE OF NEW YORK - STATEMENT OF CASH FLOW - PUBLIC GOODS POOL  
FISCAL YEAR 2006-2007**

	<u>1st Quarter</u> <u>April-June</u>	<u>2nd Quarter</u> <u>July-September</u>	<u>2006</u> <u>OCTOBER</u>	<u>2006</u> <u>NOVEMBER</u>	<u>2006</u> <u>DECEMBER</u>	<u>2006-2007</u>
<b>OPENING CASH BALANCE</b>	<b>\$ 100,906,643.08</b>	<b>\$ 112,660,990.75</b>	<b>\$ 40,721,505.77</b>	<b>\$ 151,036,610.67</b>	<b>\$ 150,196,331.73</b>	<b>\$ 100,906,643.08</b>
<b>RECEIPTS:</b>						
Patient Services	420,058,461.00	444,858,336.48	215,148,578.47	152,350,931.25	82,085,687.16	1,314,501,994.36
Covered Lives	175,279,264.76	200,313,603.55	115,868,635.79	63,735,764.20	28,085,195.02	583,282,463.32
Provider Assessments	11,547,771.42	11,623,950.22	4,985,485.83	3,366,600.00	3,183,702.00	34,707,509.47
1% Assessments	62,287,309.00	63,833,065.00	23,849,569.00	18,840,898.00	21,554,896.00	190,365,737.00
DASNY - MOE/Recast receivables	0.00	0.00	0.00	0.00	0.00	0.00
Interest Income	975,138.18	1,027,131.22	358,145.01	329,564.34	292,961.74	2,982,940.49
Other	68,325,299.76	(70,415,216.16)	360,451.37	2,710,560.95	486,042.91	1,467,138.83
<b>Total Receipts</b>	<b>738,473,244.12</b>	<b>651,240,870.31</b>	<b>360,570,865.47</b>	<b>241,334,318.74</b>	<b>135,688,484.83</b>	<b>2,127,307,783.47</b>
<b>DISBURSEMENTS:</b>						
<b>Program Disbursements:</b>						
Senate/Assembly Discretionary	0.00	0.00	0.00	0.00	0.00	0.00
Commissioner of Health Discretionary	0.00	0.00	0.00	0.00	0.00	0.00
Diagnostic and Treatment Centers	0.00	0.00	0.00	0.00	0.00	0.00
Rural Health Care Initiatives	0.00	0.00	0.00	0.00	0.00	0.00
Cancer Related Services	0.00	0.00	0.00	0.00	0.00	0.00
Health Work Force Retraining Program	2,332.35	4,317.25	0.00	0.00	0.00	6,649.60
GME Distributions	(93,827,025.89)	(110,899,000.00)	(29,473,000.00)	(29,473,000.00)	(29,486,000.00)	(293,158,025.89)
Health Care Recruitment & Retention	(47,194,601.32)	(21,150,000.00)	(5,700,000.00)	(5,700,000.00)	(5,700,000.00)	(85,444,601.32)
Poison Control Centers	0.00	(2,387,817.00)	0.00	0.00	0.00	(2,387,817.00)
School Based Health Clinics	0.00	0.00	0.00	0.00	0.00	0.00
DSH Cap "pop-up"	(297,667.00)	0.00	0.00	0.00	0.00	(297,667.00)
<b>Total Program Disbursements</b>	<b>(141,316,961.86)</b>	<b>(134,432,499.75)</b>	<b>(35,173,000.00)</b>	<b>(35,173,000.00)</b>	<b>(35,186,000.00)</b>	<b>(381,281,461.61)</b>
Administrative Expenses	0.00	0.00	0.00	0.00	0.00	0.00
<b>Total Disbursements</b>	<b>(141,316,961.86)</b>	<b>(134,432,499.75)</b>	<b>(35,173,000.00)</b>	<b>(35,173,000.00)</b>	<b>(35,186,000.00)</b>	<b>(381,281,461.61)</b>
<b>Excess (Deficiency) of Receipts over Disbursements</b>	<b>597,156,282.26</b>	<b>516,808,370.56</b>	<b>325,397,865.47</b>	<b>206,161,318.74</b>	<b>100,502,484.83</b>	<b>1,746,026,321.86</b>
<b>OTHER FINANCING SOURCES (USES):</b>						
<b>Transfers from Other Pools:</b>						
Tobacco Control and Insurance Initiatives	0.00	0.00	0.00	0.00	0.00	0.00
Medicaid Disproportionate Share	87,690.00	0.00	0.00	0.00	0.00	87,690.00
Health Facility Assessment Fund	0.00	0.00	0.00	0.00	0.00	0.00
Hospital Regional Pool Contribution	0.00	0.00	0.00	0.00	0.00	0.00
Statewide Bad Debt & Charity Care Pool	0.00	0.00	0.00	0.00	0.00	0.00
<b>Transfers From State Funds:</b>						
061-HCRA Resources Fund	141,326,896.15	134,429,215.06	35,173,000.00	35,173,000.00	35,186,000.00	381,288,111.21
Other	0.00	0.00	0.00	0.00	0.00	0.00
<b>Total Other Financing Sources</b>	<b>141,414,586.15</b>	<b>134,429,215.06</b>	<b>35,173,000.00</b>	<b>35,173,000.00</b>	<b>35,186,000.00</b>	<b>381,375,801.21</b>
<b>Transfers to Other Pools:</b>						
Medicaid Disproportionate Share	0.00	0.00	0.00	0.00	0.00	0.00
Tobacco Control & Insurance Initiatives	0.00	0.00	0.00	0.00	0.00	0.00
Health Facility Assessment Fund	0.00	0.00	0.00	(114,894.66)	0.00	(114,894.66)
Escrow	0.00	0.00	0.00	0.00	0.00	0.00
Other	0.00	0.00	0.00	0.00	0.00	0.00
<b>Transfers to State Funds:</b>						
061-HCRA Resources Fund	(503,184,128.72)	(516,663,386.34)	(180,188,819.51)	(172,272,737.93)	(165,653,568.91)	(1,537,962,641.41)
061-IN Indigent Care Fund (matched)	(210,905,307.67)	(203,921,993.33)	(69,112,841.25)	(68,967,056.46)	(66,833,892.93)	(619,741,091.64)
061-IN Indigent Care Fund (non-matched)	(12,727,084.35)	(2,591,690.93)	(954,099.81)	(819,908.63)	(9,117,765.45)	(26,210,549.17)
Other	0.00	0.00	0.00	0.00	0.00	0.00
<b>Total Other Financing Uses</b>	<b>(726,816,520.74)</b>	<b>(723,177,070.60)</b>	<b>(250,255,760.57)</b>	<b>(242,174,597.68)</b>	<b>(241,605,227.29)</b>	<b>(2,184,029,176.88)</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>11,754,347.67</b>	<b>(71,939,484.98)</b>	<b>110,315,104.90</b>	<b>(840,278.94)</b>	<b>(105,916,742.46)</b>	<b>(56,627,053.81)</b>
<b>CLOSING CASH BALANCE</b>	<b>\$ 112,660,990.75</b>	<b>\$ 40,721,505.77</b>	<b>\$ 151,036,610.67</b>	<b>\$ 150,196,331.73</b>	<b>\$ 44,279,589.27</b>	<b>\$ 44,279,589.27</b>

Source: HCRA - Office of Pool Administration

## STATE OF NEW YORK - STATEMENT OF CASH FLOW - MEDICAID DISPROPORTIONATE SHARE

	<u>1st Quarter</u> <u>April-June</u>	<u>2nd Quarter</u> <u>July-September</u>	<u>2006</u> <u>OCTOBER</u>	<u>2006</u> <u>NOVEMBER</u>	<u>2006</u> <u>DECEMBER</u>	<u>2006-2007</u>
<b>OPENING CASH BALANCE</b>	\$ 552,793.90	\$ 538,548.35	\$ 511,719.28	\$ 512,153.44	\$ 570,876.94	\$ 552,793.90
<b>RECEIPTS:</b>						
Interest Income	194,088.68	74,430.74	12,153.44	70,876.93	13,433.66	364,983.45
<b>Total Receipts</b>	<u>194,088.68</u>	<u>74,430.74</u>	<u>12,153.44</u>	<u>70,876.93</u>	<u>13,433.66</u>	<u>364,983.45</u>
<b>DISBURSEMENTS:</b>						
<b>Program Disbursements:</b>						
Indigent Care	(190,162,563.67)	(189,411,292.99)	(62,810,376.09)	(64,113,795.49)	(62,974,497.86)	(569,472,526.10)
High Need Indigent Care	(23,735,320.02)	(15,877,254.47)	(6,711,316.54)	(5,262,112.35)	(5,148,537.72)	(56,734,541.10)
Other	(1,410,441.22)	1,417.31	2,676.95	(2,205.87)	(1,099,039.43)	(2,507,592.26)
<b>Total Program Disbursements</b>	<u>(215,308,324.91)</u>	<u>(205,287,130.15)</u>	<u>(69,519,015.68)</u>	<u>(69,378,113.71)</u>	<u>(69,222,075.01)</u>	<u>(628,714,659.46)</u>
Investment Purchases	0.00	0.00	0.00	0.00	0.00	0.00
<b>Total Disbursements</b>	<u>(215,308,324.91)</u>	<u>(205,287,130.15)</u>	<u>(69,519,015.68)</u>	<u>(69,378,113.71)</u>	<u>(69,222,075.01)</u>	<u>(628,714,659.46)</u>
<b>Excess (Deficiency) of Receipts over Disbursements</b>	<u>(215,114,236.23)</u>	<u>(205,212,699.41)</u>	<u>(69,506,862.24)</u>	<u>(69,307,236.78)</u>	<u>(69,208,641.35)</u>	<u>(628,349,676.01)</u>
<b>OTHER FINANCING SOURCES (USES):</b>						
<b>Transfers from Other Pools:</b>						
Tobacco Control and Insurance Initiatives	0.00	0.00	0.00	0.00	0.00	0.00
Public Goods Pool	0.00	0.00	0.00	0.00	0.00	0.00
Health Facility Assessment Fund	0.00	0.00	0.00	0.00	0.00	0.00
<b>Transfers From State Funds:</b>						
061-IN HCRA Resources Indigent Care - Matched	105,452,653.84	101,960,996.67	34,556,420.63	34,483,528.24	33,416,946.47	309,870,545.85
061-IN HCRA Resources Indigent Care - Unmatched	4,490,707.24	1,365,136.82	406,174.43	411,057.25	2,388,182.08	9,061,257.82
265-Federal DHHS Fund	105,452,653.83	101,960,996.66	34,556,420.62	34,483,528.23	33,416,946.46	309,870,545.80
Other	0.00	0.00	0.00	0.00	0.00	0.00
<b>Total Other Financing Sources</b>	<u>215,396,014.91</u>	<u>205,287,130.15</u>	<u>69,519,015.68</u>	<u>69,378,113.72</u>	<u>69,222,075.01</u>	<u>628,802,349.47</u>
<b>Transfers to Other Pools:</b>						
Public Goods Pool	(87,690.00)	0.00	0.00	0.00	0.00	(87,690.00)
Other	0.00	0.00	0.00	0.00	0.00	0.00
<b>Transfers to State Funds:</b>						
061-HCRA Resources Fund	(208,334.23)	(101,259.81)	(11,719.28)	(12,153.44)	(70,876.93)	(404,343.69)
<b>Total Other Financing Uses</b>	<u>(296,024.23)</u>	<u>(101,259.81)</u>	<u>(11,719.28)</u>	<u>(12,153.44)</u>	<u>(70,876.93)</u>	<u>(492,033.69)</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<u>(14,245.55)</u>	<u>(26,829.07)</u>	<u>434.16</u>	<u>58,723.50</u>	<u>(57,443.27)</u>	<u>(39,360.23)</u>
<b>CLOSING CASH BALANCE</b>	\$ <u>538,548.35</u>	\$ <u>511,719.28</u>	\$ <u>512,153.44</u>	\$ <u>570,876.94</u>	\$ <u>513,433.67</u>	\$ <u>513,433.67</u>

Source: HCRA - Office of Pool Administration



SUMMARY OF OFF-BUDGET SPENDING REPORT

	DISBURSED APRIL '06 (000)	DISBURSED MAY '06 (000)	DISBURSED JUNE '06 (000)	DISBURSED JULY '06 (000)	DISBURSED AUG '06 (000)	DISBURSED SEPT '06 (000)	DISBURSED OCT '06 (000)	DISBURSED NOV '06 (000)	DISBURSED DEC '06 (000)	DISBURSED JAN '07 (000)	DISBURSED FEB '07 (000)	DISBURSED MAR '07 (000)	DISBURSED TOTAL 06-07 (000)
<b>DORMITORY AUTHORITY:</b>													
Education Department	85	633	352	161	156	43	398	213,374	1	--	--	--	215,203
Department of Health	42	112	267	1,847	3,091	835	3,216	1,370	831	--	--	--	11,611
Judicial Institutes (Pace)	1	82	--	--	4	25	3	730	--	--	--	--	845
CEFAP	--	1,274	92	168	163	2,113	420	285	1,003	--	--	--	5,518
Regional Development:													
CCAP	2,859	2,584	1,130	7,690	1,254	1,212	2,219	887	248	--	--	--	20,083
Multi-modal	1,369	210	--	862	--	999	--	--	62	--	--	--	3,502
GenNYsis	8,748	526	3,709	3,585	230	5,832	5,305	1,067	1,593	--	--	--	30,595
RESTORE	--	--	--	20	--	--	--	--	--	--	--	--	20
CUNY Senior Colleges	7,380	12,582	9,982	11,968	10,286	5,656	40,913	12,685	11,170	--	--	--	122,622
CUNY Community Colleges	2,854	3,238	1,435	2,096	3,712	277	7,880	2,329	745	--	--	--	24,566
SUNY Dormitories	4,179	10,329	8,263	5,969	10,142	1,088	11,897	5,359	2,127	--	--	--	59,353
Upstate Community Colleges	3,678	2,506	2,212	4,541	3,300	1,778	5,357	4,868	1,334	--	--	--	29,574
Mental Health	4,814	8,738	4,625	10,531	3,270	2,257	11,431	4,176	2,853	--	--	--	52,695
Mental Retardation	3,015	6,032	2,493	4,271	3,067	597	4,930	8,723	4,435	--	--	--	37,563
Alcoholism & Alcohol Abuse	248	203	110	217	614	--	60	112	(483)	--	--	--	1,081
<b>TOTAL DORMITORY AUTHORITY:</b>	<b>39,272</b>	<b>49,049</b>	<b>34,670</b>	<b>53,926</b>	<b>39,289</b>	<b>22,712</b>	<b>94,029</b>	<b>255,965</b>	<b>25,919</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>614,831</b>
<b>EMPIRE STATE DEVELOPMENT CORP:</b>													
Regional Development:													
Centers of Excellence	612	995	--	2,144	730	9,177	905	970	2,620	--	--	--	18,153
CCAP	363	960	466	1,479	911	1,799	2,533	1,169	1,873	--	--	--	11,553
Empire Opportunity	2,000	250	1,964	--	--	--	129	480	--	--	--	--	4,823
CEFAP	57	99	--	417	--	163	261	--	275	--	--	--	1,272
SEMATECH	--	--	--	--	--	--	--	--	--	--	--	--	--
State Facilities and Equipment	7,735	3,626	390	5,594	3,428	3,103	1,775	5,583	775	--	--	--	32,009
<b>TOTAL EMPIRE STATE DEVELOPMENT CORP:</b>	<b>10,767</b>	<b>5,930</b>	<b>2,820</b>	<b>9,634</b>	<b>5,069</b>	<b>14,242</b>	<b>5,603</b>	<b>8,202</b>	<b>5,543</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>67,810</b>
<b>THRUWAY AUTHORITY:</b>													
CHIPS	--	--	19,787	--	--	88,967	--	--	130,628	--	--	--	239,382
SHIPS	--	--	--	--	6	--	--	41	--	--	--	--	47
Marchiselli	--	--	16,388	--	--	6,663	--	--	9,786	--	--	--	32,837
Multi-modal	--	1,288	--	--	2,047	--	--	2,051	--	--	--	--	5,386
<b>TOTAL THRUWAY AUTHORITY:</b>	<b>--</b>	<b>1,288</b>	<b>36,175</b>	<b>--</b>	<b>2,053</b>	<b>95,630</b>	<b>--</b>	<b>2,092</b>	<b>140,414</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>277,652</b>
<b>TOTAL OFF-BUDGET:</b>	<b>50,039</b>	<b>56,267</b>	<b>73,665</b>	<b>63,560</b>	<b>46,411</b>	<b>132,584</b>	<b>99,632</b>	<b>266,259</b>	<b>171,876</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>960,293</b>
TOTAL CEFAP	57	1,373	92	585	163	2,276	681	285	1,278	--	--	--	6,790
ECONOMIC DEVELOPMENT:													
Total CCAP	3,222	3,544	1,596	9,169	2,165	3,011	4,752	2,056	2,121	--	--	--	31,636
Total Multi-modal	1,369	210	--	862	--	999	--	--	62	--	--	--	3,502
Total GenNYsis	8,748	526	3,709	3,585	230	5,832	5,305	1,067	1,593	--	--	--	30,595
Total RESTORE	--	--	--	20	--	--	--	--	--	--	--	--	20
Total Centers of Excellence	612	995	--	2,144	730	9,177	905	970	2,620	--	--	--	18,153
Total Empire Opportunity	2,000	250	1,964	--	--	--	129	480	--	--	--	--	4,823
Total Economic Development	15,951	5,525	7,269	15,780	3,125	19,019	11,091	4,573	6,396	--	--	--	88,729

The Division of the Budget (DOB) is responsible for organizing and presenting the above schedule of 'Off Budget Spending'. Such reported disbursements are drawn from unaudited financial data provided by public authorities. Although the Office of the State Comptroller (OSC) has no reason to believe this information to be unreliable, it is important to note that these program disbursements are financed with public authority bond proceeds deposited directly into public authority accounts and all disbursements are made without any oversight by the OSC. Therefore, and pursuant to the provisions of Chapter 60, §16, of the Laws of 2006; this schedule is provided for information only.