

**STATE OF NEW YORK
OFFICE OF THE STATE COMPTROLLER
OFFICE OF OPERATIONS
DIVISION OF PAYROLL, ACCOUNTING, AND REVENUE SERVICES
BUREAU OF STATE ACCOUNTING OPERATIONS**

**Comptroller's Monthly Report
On State Funds Cash Basis of Accounting
(Pursuant to Sec. 8(9-a) of the State Finance Law)
September 2010**



**THOMAS P. DiNAPOLI
STATE COMPTROLLER**

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
CLASSIFIED BY STATE OPERATIONS, FEDERAL SUPPORT AND CAPITAL SPENDING (*)
(amounts in millions)**

	STATE OPERATING FUNDS						TOTAL		FEDERAL		CAPITAL PROJECTS		SPECIAL REVENUE		TOTAL	
	GENERAL		STATE SPECIAL REVENUE		DEBT SERVICE		STATE OPERATING FUNDS		SPECIAL REVENUE		ELIMINATIONS		GOVERNMENTAL FUNDS		GOVERNMENTAL FUNDS	
	MONTH OF Sept. 2010	6 MOS. ENDED Sept. 30, 2010	MONTH OF Sept. 2010	6 MOS. ENDED Sept. 30, 2010	MONTH OF Sept. 2010	6 MOS. ENDED Sept. 30, 2010	MONTH OF Sept. 2010	6 MOS. ENDED Sept. 30, 2010	MONTH OF Sept. 2010	6 MOS. ENDED Sept. 30, 2010	MONTH OF Sept. 2010	6 MOS. ENDED Sept. 30, 2010	MONTH OF Sept. 2010	6 MOS. ENDED Sept. 30, 2010	MONTH OF Sept. 2010	6 MOS. ENDED Sept. 30, 2010
RECEIPTS:																
Personal Income Tax (8)	\$2,570.8	\$11,831.6	\$102.3	\$598.9	\$891.0	\$4,143.5	\$3,564.1	\$16,574.0	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$3,564.1	\$16,574.0
Consumption/Use Taxes (9)	862.5	4,311.4	224.5	1,098.9	264.0	1,309.3	1,351.0	6,719.6	--	--	64.8	304.2	--	--	1,415.8	7,023.8
Business Taxes	989.9	2,068.7	206.6	611.9	--	--	1,196.5	2,680.6	--	--	53.5	313.9	--	--	1,250.0	2,994.5
Other Taxes	116.0	631.1	68.3	622.3	37.2	255.9	221.5	1,509.3	--	--	11.9	47.6	--	--	233.4	1,556.9
Miscellaneous Receipts (9)(11)	585.9	1,325.9	1,930.3	7,364.2	121.7	403.2	2,637.9	9,093.3	9.0	85.8	370.4	1,789.3	--	--	3,017.3	10,968.4
Federal Receipts (1)	--	13.3	--	0.2	--	17.6	--	31.1	4,635.0	23,307.5	266.9	1,183.2	--	--	4,901.9	24,521.8
Total Receipts	5,125.1	20,182.0	2,532.0	10,296.4	1,313.9	6,129.5	8,971.0	36,607.9	4,644.0	23,393.3	767.5	3,638.2	--	--	14,382.5	63,639.4
DISBURSEMENTS:																
Local Assistance Grants: (1)(2)(8)																
General Purpose	126.8	582.5	--	--	--	--	126.8	582.5	--	--	--	--	--	--	126.8	582.5
Education	1,866.4	10,196.3	2,118.3	2,944.3	--	--	3,984.7	13,140.6	402.7	3,040.1	--	24.2	--	--	4,387.4	16,204.9
Social Services:																
Medicaid (6)	682.8	4,485.5	431.2	2,178.0	--	--	1,114.0	6,663.5	2,723.2	14,317.4	--	--	--	--	3,837.2	20,980.9
Other Social Services	156.3	978.5	0.9	8.2	--	--	157.2	986.7	319.3	1,948.4	--	2.5	--	--	476.5	2,937.6
Health and Environment (6)	185.3	513.2	143.5	697.6	--	--	328.8	1,210.8	129.0	621.5	35.5	173.7	--	--	493.3	2,006.0
Mental Hygiene	37.8	133.9	100.9	557.2	--	--	138.7	691.1	17.0	93.9	5.4	37.7	--	--	161.1	822.7
Transportation	18.5	38.9	436.3	1,799.4	--	--	454.8	1,838.3	3.6	19.2	58.8	285.6	--	--	517.2	2,143.1
Criminal Justice	13.4	44.7	1.8	30.7	--	--	15.2	75.4	16.8	117.4	--	--	--	--	32.0	192.8
SEMO and Disaster Assistance	1.8	3.5	--	--	--	--	1.8	3.5	53.0	61.8	--	--	--	--	54.8	65.3
Miscellaneous	27.6	161.9	33.0	95.1	--	--	60.6	257.0	23.6	272.4	33.0	113.6	--	--	117.2	643.0
Total Local Assistance Grants	3,116.7	17,138.9	3,265.9	8,310.5	--	--	6,382.6	25,449.4	3,688.2	20,492.1	132.7	637.3	--	--	10,203.5	46,578.8
Departmental Operations:																
Personal Service	621.9	3,354.4	660.5	2,871.9	--	--	1,282.4	6,226.3	72.7	363.1	--	--	--	--	1,355.1	6,589.4
Non-Personal Service	175.1	928.2	251.8	1,323.5	10.2	38.1	437.1	2,289.8	129.0	469.7	--	--	--	--	566.1	2,759.5
General State Charges (7)	297.6	1,395.0	359.4	803.6	--	--	657.0	2,198.6	42.5	120.5	--	--	--	--	699.5	2,319.1
Debt Service, Including Payments on																
Financing Agreements (3)	--	--	--	--	842.7	2,190.2	842.7	2,190.2	--	--	--	--	--	--	842.7	2,190.2
Capital Projects (4)	--	--	0.8	12.8	--	--	0.8	12.8	--	--	657.1	2,973.9	--	--	657.9	2,986.7
Total Disbursements	4,211.3	22,816.5	4,538.4	13,322.3	852.9	2,228.3	9,602.6	38,367.1	3,932.4	21,445.4	789.8	3,611.2	--	--	14,324.8	63,423.7
Excess (Deficiency) of Receipts over Disbursements	913.8	(2,634.5)	(2,006.4)	(3,025.9)	461.0	3,901.2	(631.6)	(1,759.2)	711.6	1,947.9	(22.3)	27.0	--	--	57.7	215.7
OTHER FINANCING SOURCES (USES):																
Bond Proceeds (net)	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--
Transfers from Other Funds (5)(10)	1,269.6	5,337.1	727.3	3,882.2	589.3	3,466.7	2,586.2	12,686.0	--	--	65.5	268.1	(63.7)	(242.0)	2,588.0	12,712.1
Transfers to Other Funds (5)	(329.9)	(2,622.9)	(11.6)	(170.2)	(1,541.1)	(7,246.3)	(1,882.6)	(10,039.4)	(554.5)	(2,261.5)	(230.7)	(697.4)	63.7	242.0	(2,604.1)	(12,756.3)
Total Other Financing Sources (Uses)	939.7	2,714.2	715.7	3,712.0	(951.8)	(3,779.6)	703.6	2,646.6	(554.5)	(2,261.5)	(165.2)	(429.3)	--	--	(16.1)	(44.2)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	1,853.5	79.7	(1,290.7)	686.1	(490.8)	121.6	72.0	887.4	157.1	(313.6)	(187.5)	(402.3)	--	--	41.6	171.5
Beginning Fund Balances (Deficit)	527.9	2,301.7	4,074.4	2,097.6	1,023.3	410.9	5,625.6	4,810.2	(167.5)	303.2	(468.1)	(253.3)	--	--	4,990.0	4,860.1
Ending Fund Balances (Deficit) (10)	\$2,381.4	\$2,381.4	\$2,783.7	\$2,783.7	\$532.5	\$532.5	\$5,697.6	\$5,697.6	(\$10.4)	(\$10.4)	(\$655.6)	(\$655.6)	\$ --	\$ --	\$5,031.6	\$5,031.6

(*) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal funds) and Debt Service Funds.
Federal Special Revenue Funds accounts for all *non-capital* federal operating grants received by the State.
Capital Projects Funds includes all capital activities regardless of funding source.

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(amounts in millions)**

EXHIBIT A

		GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS				YEAR OVER YEAR	
		MONTH OF SEPT. 2010	6 MOS. ENDED SEPT. 30, 2010	MONTH OF SEPT. 2010	6 MOS. ENDED SEPT. 30, 2010	MONTH OF SEPT. 2010	6 MOS. ENDED SEPT. 30, 2010	MONTH OF SEPT. 2010	6 MOS. ENDED SEPT. 30, 2010	MONTH OF SEPT. 2010	6 MOS. ENDED SEPT. 30, 2010	MONTH OF SEPT. 2009	6 MOS. ENDED SEPT. 30, 2009	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:															
Personal Income Tax	(8)	\$2,570.8	\$11,831.6	\$102.3	\$598.9	\$891.0	\$4,143.5	\$ --	\$ --	\$3,564.1	\$16,574.0	\$3,430.8	\$16,059.9	\$514.1	3.2%
Consumption/Use Taxes	(9)	862.5	4,311.4	224.5	1,098.9	264.0	1,309.3	64.8	304.2	1,415.8	7,023.8	1,352.0	6,548.8	475.0	7.3%
Business Taxes		989.9	2,068.7	206.6	611.9	--	--	53.5	313.9	1,250.0	2,994.5	1,352.5	3,480.5	(486.0)	-14.0%
Other Taxes		116.0	631.1	68.3	622.3	37.2	255.9	11.9	47.6	233.4	1,556.9	184.9	715.4	841.5	117.6%
Miscellaneous Receipts	(9)(11)	585.9	1,325.9	1,939.3	7,450.0	121.7	403.2	370.4	1,789.3	3,017.3	10,968.4	2,958.4	11,199.1	(230.7)	-2.1%
Federal Receipts	(1)	--	13.3	4,635.0	23,307.7	--	17.6	266.9	1,183.2	4,901.9	24,521.8	3,412.8	20,669.5	3,852.3	18.6%
Total Receipts		5,125.1	20,182.0	7,176.0	33,689.7	1,313.9	6,129.5	767.5	3,638.2	14,382.5	63,639.4	12,691.4	58,673.2	4,966.2	8.5%
DISBURSEMENTS:															
Local Assistance Grants:	(1)(2)(8)														
General Purpose		126.8	582.5	--	--	--	--	--	--	126.8	582.5	157.0	595.9	(13.4)	-2.2%
Education		1,866.4	10,196.3	2,521.0	5,984.4	--	--	--	24.2	4,387.4	16,204.9	3,893.5	13,708.3	2,496.6	18.2%
Social Services:															
Medicaid	(6)	682.8	4,485.5	3,154.4	16,495.4	--	--	--	--	3,837.2	20,980.9	4,100.7	19,715.4	1,265.5	6.4%
Other Social Services		156.3	978.5	320.2	1,956.6	--	--	--	2.5	476.5	2,937.6	636.9	3,244.4	(306.8)	-9.5%
Health and Environment	(6)	185.3	513.2	272.5	1,319.1	--	--	35.5	173.7	493.3	2,006.0	546.0	2,275.7	(269.7)	-11.9%
Mental Hygiene		37.8	133.9	117.9	651.1	--	--	5.4	37.7	161.1	822.7	179.6	846.0	(23.3)	-2.8%
Transportation		18.5	38.9	439.9	1,818.6	--	--	58.8	285.6	517.2	2,143.1	172.3	1,398.2	744.9	53.3%
Criminal Justice		13.4	44.7	18.6	148.1	--	--	--	--	32.0	192.8	39.4	255.5	(62.7)	-24.5%
Emergency Management & Security Services		1.8	3.5	53.0	61.8	--	--	--	--	54.8	65.3	16.5	86.9	(21.6)	-24.9%
Miscellaneous		27.6	161.9	56.6	367.5	--	--	33.0	113.6	117.2	643.0	204.7	798.8	(155.8)	-19.5%
Total Local Assistance Grants		3,116.7	17,138.9	6,954.1	28,802.6	--	--	132.7	637.3	10,203.5	46,578.8	9,946.6	42,925.1	3,653.7	8.5%
Departmental Operations:															
Personal Service		621.9	3,354.4	733.2	3,235.0	--	--	--	--	1,355.1	6,589.4	1,320.4	6,731.9	(142.5)	-2.1%
Non-Personal Service		175.1	928.2	380.8	1,793.2	10.2	38.1	--	--	566.1	2,759.5	538.8	2,858.1	(98.6)	-3.4%
General State Charges	(7)	297.6	1,395.0	401.9	924.1	--	--	--	--	699.5	2,319.1	386.9	2,294.0	25.1	1.1%
Debt Service, Including Payments on															
Financing Agreements	(3)	--	--	--	--	842.7	2,190.2	--	--	842.7	2,190.2	701.3	1,957.3	232.9	11.9%
Capital Projects	(4)	--	--	0.8	12.8	--	--	657.1	2,973.9	657.9	2,986.7	619.0	2,871.3	115.4	4.0%
Total Disbursements		4,211.3	22,816.5	8,470.8	34,767.7	852.9	2,228.3	789.8	3,611.2	14,324.8	63,423.7	13,513.0	59,637.7	3,786.0	6.3%
Excess (Deficiency) of Receipts over Disbursements		913.8	(2,634.5)	(1,294.8)	(1,078.0)	461.0	3,901.2	(22.3)	27.0	57.7	215.7	(821.6)	(964.5)	1,180.2	122.4%
OTHER FINANCING SOURCES (USES):															
Bond Proceeds (net)		--	--	--	--	--	--	--	--	--	--	--	--	--	--
Transfers from Other Funds	(5)(10)	1,269.6	5,337.1	663.6	3,640.2	589.3	3,466.7	65.5	268.1	2,588.0	12,712.1	2,649.8	11,965.7	746.4	6.2%
Transfers to Other Funds	(5)	(329.9)	(2,622.9)	(502.4)	(2,189.7)	(1,541.1)	(7,246.3)	(230.7)	(697.4)	(2,604.1)	(12,756.3)	(2,649.1)	(12,004.7)	751.6	6.3%
Total Other Financing Sources (Uses)		939.7	2,714.2	161.2	1,450.5	(951.8)	(3,779.6)	(165.2)	(429.3)	(16.1)	(44.2)	0.7	(39.0)	(5.2)	-13.3%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses		1,853.5	79.7	(1,133.6)	372.5	(490.8)	121.6	(187.5)	(402.3)	41.6	171.5	(820.9)	(1,003.5)	1,175.0	117.1%
Beginning Fund Balances (Deficit)		527.9	2,301.7	3,906.9	2,400.8	1,023.3	410.9	(468.1)	(253.3)	4,990.0	4,860.1	4,403.2	4,585.8	274.3	6.0%
Ending Fund Balances (Deficit)	(10)	\$2,381.4	\$2,381.4	\$2,773.3	\$2,773.3	\$532.5	\$532.5	(\$655.6)	(\$655.6)	\$5,031.6	\$5,031.6	\$3,582.3	\$3,582.3	\$1,449.3	40.5%

GOVERNMENTAL FUNDS FOOTNOTES

September 2010 - **Exhibit A Notes**

1. On February 17, 2009, President Obama signed into law the American Recovery and Reinvestment Act of 2009 (ARRA). This Act contains provisions for direct federal aid for fiscal relief consisting of increases in the Federal matching rate for eligible State Medicaid expenditures and funds provided through the Federal State Fiscal Stabilization Fund to restore proposed reductions in education, higher education, and to maintain essential government services. Information on state disbursements resulting from ARRA can be found in Appendix C of this report.

2. Special Revenue Federal Funds disbursements include the payments made by the State which will be reimbursed by the Federal Government in October 2010:

Federal DHHS (Medicaid)	\$70.6 million
Federal DHHS (All Other)	164.7
Federal USDA/Food and Consumer Services	3.8
Federal DHHS/Block Grant	--
Federal Education	41.4
Federal Miscellaneous Operating Grants	--
Federal Employment and Training Grants	0.2

3. Total debt service disbursements include principal and interest on general obligation bonds and lease-purchase / contractual obligation payments. For a complete analysis of debt payments please refer to **Schedule 5 and Schedule 5a**.

4. Certain disbursements from Capital Projects Funds are financed by operating transfers from other funds, proceeds of State bonds and notes, and reimbursements received from Public Authorities and the Federal Government. The amounts shown below represent disbursements to be reimbursed in future months from the sources indicated:

Urban Development Corporation (Correctional Facilities)	\$16.7 million
Urban Development Corporation (Youth Facilities)	6.1
Housing Finance Agency (HFA)	101.2
Housing Assistance Fund	25.2
Dormitory Authority (Mental Hygiene)	383.7
Dormitory Authority and State University Income Fund	52.7
Federal Capital Projects	15.3
State bond and note proceeds	29.2

5. Operating Transfers constitute legally authorized transfers from a fund receiving revenues to a fund through which disbursements will ultimately be made. The more significant transfers include:

General Fund "Transfers to Other Funds" are as follows:

State Capital Projects	\$179.9 million
General Debt Service	784.7
MTA Operating Assistance	22.6
MTA Financial Assistance	11.3
Housing Debt Fund	0.6
Banking Services	45.3
Alcoholic Beverage Control Account	11.0
Empire State Stem Cell	26.0
Court Facilities Incentive Aid	77.6
State University Income	36.1
NYC County Courts Operating	8.4

Also included in the General Fund are transfers representing payments for patients residing in State-operated Health, Mental Hygiene and State University facilities to Debt Service Funds (\$16.1m), the State University Income Funds (\$83.5m) and the Mental Hygiene Program Account (\$1,317.4m).

Special Revenue Funds "Transfers To Other Funds" includes transfers to Debt Service Funds (\$1,978.5m) representing the federal share of Medicaid payments for patients residing in State-operated Health and Mental Hygiene facilities.

Also included in Special Revenue funds are transfers to the General Fund from the following:

Federal Dept of Health Services	\$41.0 million
Unemployment Insurance, Interest & Penalty	5.0
Revenue Arrearage Account	21.6
Youth Facilities Per Diem	53.8
Business & Licensing Services Account	13.0
Statewide Public Safety Communications Account	10.0
Code Enforcement Account	5.0
Workers Compensation Board	11.5
Miscellaneous State Special Revenue Funds	3.3

Debt Service Funds "Transfers To Other Funds" includes transfers to the General Fund from the following funds:

Revenue Bond Tax	\$3,723.1 million
Local Government Assistance Tax	1,232.0
Clean Water/Clean Air	198.3

Also included in Debt Service Funds are transfers to Special Revenue Funds representing receipts in excess of lease-purchase obligations that are used to finance a portion of the operating expenses for Departments of Health (\$58.4m), Mental Hygiene (\$1,837.4m) and the State University (\$147.3m).

Capital Projects Funds "Transfers To Other Funds" includes transfers to the General Fund (\$16.5m), the General Debt Service Fund (\$625.6m) and the Revenue Bond Fund (\$54.2m).

6. The State receives moneys that represent refunds, pharmacy rebates, reimbursements, or disallowances of medical assistance payments previously made from appropriated State and Federal funds. These monies are initially credited to an agency escrow account and shortly after receipt are allocated and refunded to State or Federal fund appropriations from which the medical assistance payments were originally made.

At month end, the following balances remained in agency escrow accounts and accounting entries have been made to reduce medical assistance spending and count these monies as financial resources of the funds shown.

Account	Allocation of Month-End Balances	
	General Fund	Special Revenue-Federal
Medicaid Recoveries - Health Facilities	\$ --	\$1,211,582
Medicaid Recoveries - Audit	--	2,670,391
Medicaid Recoveries - Third Parties	--	1,606,321
Pharmacy Rebates	2,850,482	4,933,557
Medicare Catastrophic Recovery	--	--
Medicaid "Windfall" Recovery	--	--
Total	<u>\$2,850,482</u>	<u>\$10,421,851</u>

GOVERNMENTAL FUNDS FOOTNOTES (continued)

September 2010 - **Exhibit A Notes**
(continued)

7. The Health Insurance Fund - Reserve for Rate Fluctuations Account, holds health insurance dividends which are used to offset the State's contribution for employee health insurance premiums. As of September 30, 2010, the Account had a balance of \$313.9m, and \$164.0m in appropriation authority was available to 'offset' the State's contribution for employee health insurance. This will leave a balance of \$149.9m in available cash for future offset or refunds to participating employees and pensioners.
8. A portion of personal income tax receipts is also transferred to the State Special Revenue School Tax Relief (STAR) Fund to be used to reimburse school districts for the STAR property tax exemptions for homeowners and payments to homeowners for the STAR Property Rebate Program. Local Assistance Education grant payments total \$496.6m for the month of June and \$102.3m in September.
9. Miscellaneous Receipts includes Alcoholic Beverage Control License and Motor Vehicle Fees. In prior years, receipts from these sources were reported as Consumption/Use Taxes. For comparative purposes, the prior fiscal year Consumption/Use Taxes and Miscellaneous Receipts have been restated.
10. Chapter 56, Part JJ, §35 of the Laws of 2010 added State Finance Law §72(4)(b) to allow the General Debt Service Fund to maintain a cash reserve balance for the payment of debt service and related expenses in the current quarter of the State Fiscal Year. Pursuant to a certification submitted by the Director of the Budget, the State Comptroller is required to reserve in the General Debt Service Fund the amount of monies needed for these payments. At the close of the quarter ended September 30, 2010, payment obligations were met out of these required reserves, and certified future payment amounts were scheduled for transfer at the commencement of the succeeding month.

GOVERNMENTAL FUNDS FOOTNOTES (continued)

Exhibit A Notes
September 2010
(continued)

11. Miscellaneous receipts in Governmental Funds include:

	GENERAL FUND	SPECIAL REVENUE	DEBT SERVICE	CAPITAL PROJECTS	6 Months Ended September 30		\$ Increase/ (Decrease)
					2010	2009	
(amounts in millions)							
Abandoned Property							
Abandoned Property	\$145.6	\$ --	\$ --	\$ --	\$145.6	\$149.0	(\$3.4)
Unclaimed Bottle Deposits	75.2	--	--	--	75.2	--	75.2
Interest Earnings	2.7	7.4	0.3	0.5	10.9	25.6	(14.7)
Receipts from Public Authorities:							
Bond Issuance Fees	45.4	7.2	--	--	52.6	71.0	(18.4)
Cost Recovery Assessments	(0.8)	9.1	--	--	8.3	0.5	7.8
Empire State/Urban Development Corporation	--	0.1	--	--	0.1	0.7	(0.6)
Environmental Facilities Corporation	--	0.3	--	--	0.3	5.0	(4.7)
Hudson River Park Trust	--	--	--	4.3	4.3	12.1	(7.8)
Power Authority	40.0	0.2	--	--	40.2	106.2	(66.0)
State of NY Mortgage Agency	--	--	--	--	--	8.5	(8.5)
Thruway Authority - Policing the Thruway	--	25.5	--	--	25.5	27.3	(1.8)
Bond Proceeds							
Dormitory Authority	--	8.9	--	480.7	489.6	600.2	(110.6)
Empire State/Urban Development Corporation	--	--	--	602.0	602.0	371.3	230.7
Environmental Facilities Corporation	--	--	--	3.9	3.9	2.1	1.8
Housing Finance Agency	--	--	--	89.8	89.8	76.8	13.0
Thruway Authority	--	--	--	127.4	127.4	237.1	(109.7)
All Other	--	0.6	--	0.1	0.7	0.8	(0.1)
Refunds and Reimbursements:							
Receipts from Municipalities	81.5	100.0	6.5	--	188.0	240.9	(52.9)
Women, Infants and Children Rebates	--	49.9	--	--	49.9	52.5	(2.6)
HESC Student Loan Recoveries	--	32.7	--	--	32.7	38.5	(5.8)
Administrative Recoveries	42.2	43.0	--	--	85.2	76.0	9.2
Indirect Cost Assessments	52.8	--	--	--	52.8	55.0	(2.2)
Reimbursements from Cornell University	10.6	--	--	--	10.6	11.1	(0.5)
Hazardous Waste and Oil Spill	--	5.7	--	5.6	11.3	16.3	(5.0)
Third Party Recoveries	--	6.5	--	--	6.5	71.7	(65.2)
All Other	13.7	13.5	0.8	20.2	48.2	62.6	(14.4)
Health Care Reform Act:							
Public Goods and Health Care Initiatives Pools	--	1,936.3	--	--	1,936.3	1,963.9	(27.6)
Public Asset Transfers	--	--	--	--	--	95.0	(95.0)
Revenues of State Departments:							
Patient/Client Care Reimbursements	--	665.5	227.7	--	893.2	907.7	(14.5)
Medical Care Provider Assessments	95.8	369.8	--	--	465.6	445.2	20.4
Industry Assessments - Regular	40.7	463.4	--	10.6	514.7	637.2	(122.5)
Industry Assessments - Temporary Utility Surcharge	212.7	--	--	--	212.7	601.8	(389.1)
Student Tuition, Fees and Other SUNY Revenues	--	901.7	167.9	--	1,069.6	1,043.8	25.8
Student Tuition, Fees and Other CUNY Revenues	--	59.6	--	--	59.6	65.4	(5.8)
EPIC Fees and Rebates	--	95.9	--	--	95.9	82.2	13.7
Miscellaneous Sales, Rentals and Leases	2.3	14.9	--	5.1	22.3	22.0	0.3
Gifts	0.3	2.4	--	--	2.7	12.0	(9.3)
All Other	(6.6)	10.7	--	--	4.1	1.9	2.2
Gaming:							
Lottery - Education	--	868.9	--	--	868.9	919.1	(50.2)
Lottery - Administration	--	261.9	--	--	261.9	288.9	(27.0)
Video Lottery Terminal - Education	--	639.7	--	--	639.7	236.3	403.4
Video Lottery Terminal - Administration	--	16.4	--	--	16.4	22.0	(5.6)
Casinos	--	4.6	--	--	4.6	64.8	(60.2)
Licenses	11.7	87.0	--	0.2	98.9	102.3	(3.4)
Fees							
Motor Vehicle	--	223.1	--	380.2	603.3	429.6	173.7
Alcohol Beverage Control Licensing	23.5	--	--	--	23.5	29.1	(5.6)
All Other	216.3	449.6	--	55.5	721.4	659.0	62.4
Fines	220.3	68.0	--	3.2	291.5	251.1	40.4
TOTAL	\$1,325.9	\$7,450.0	\$403.2	\$1,789.3	\$10,968.4	\$11,199.1	(\$230.7)

Miscellaneous receipts includes Alcoholic Beverage Control and Motor Vehicle license fees. In prior years, these fees were reported as consumption/use taxes.

STATE OF NEW YORK
 PROPRIETARY FUNDS
 COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN EQUITY
 (amounts in millions)

EXHIBIT B

	ENTERPRISE		INTERNAL SERVICE		TOTAL PROPRIETARY FUNDS (memorandum only)			
	MONTH OF SEPT. 2010	6 MOS. ENDED SEPT. 30, 2010	MONTH OF SEPT. 2010	6 MOS. ENDED SEPT. 30, 2010	MONTH OF SEPT. 2010	6 MOS. ENDED SEPT. 30, 2010	MONTH OF SEPT. 2009	6 MOS. ENDED SEPT. 30, 2009
RECEIPTS:								
Miscellaneous Receipts	\$11.1	\$37.7	\$32.5	\$193.9	\$43.6	\$231.6	\$34.6	\$235.4
Federal Receipts (*)	513.1	3,043.8	--	--	513.1	3,043.8	492.1	2,624.0
Unemployment Taxes	317.1	1,919.4	--	--	317.1	1,919.4	401.5	2,181.6
TOTAL RECEIPTS	841.3	5,000.9	32.5	193.9	873.8	5,194.8	928.2	5,041.0
DISBURSEMENTS:								
Departmental Operations:								
Personal Service	1.9	3.8	12.9	58.4	14.8	62.2	14.8	66.0
Non-Personal Service	10.7	28.6	46.5	168.3	57.2	196.9	35.7	242.7
General State Charges	0.1	0.3	7.8	21.7	7.9	22.0	10.7	24.9
Unemployment Benefits (*)	740.7	4,882.6	--	--	740.7	4,882.6	817.5	4,762.5
TOTAL DISBURSEMENTS	753.4	4,915.3	67.2	248.4	820.6	5,163.7	878.7	5,096.1
EXCESS (DEFICIENCY) OF RECEIPTS OVER DISBURSEMENTS	87.9	85.6	(34.7)	(54.5)	53.2	31.1	49.5	(55.1)
OTHER FINANCING SOURCES (USES):								
Transfers from Other Funds	--	--	17.8	47.0	17.8	47.0	8.3	48.0
Transfers to Other Funds	--	(1.0)	(1.8)	(1.9)	(1.8)	(2.9)	(4.0)	(4.0)
NET SOURCES (USES)	--	(1.0)	16.0	45.1	16.0	44.1	4.3	44.0
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	87.9	84.6	(18.7)	(9.4)	69.2	75.2	53.8	(11.1)
BEGINNING FUND EQUITY (DEFICITS)	(67.4)	(64.1)	27.4	18.1	(40.0)	(46.0)	(92.6)	(27.7)
ENDING FUND EQUITY (DEFICITS)	\$20.5	\$20.5	\$8.7	\$8.7	\$29.2	\$29.2	(\$38.8)	(\$38.8)

(*) A summary of American Recovery and Reinvestment Act (ARRA) disbursements is located in Appendix C.

STATE OF NEW YORK
TRUST FUNDS
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(amounts in millions)

EXHIBIT C

	PENSION		PRIVATE PURPOSE		TOTAL TRUST FUNDS (memorandum only)			
	MONTH OF SEPT. 2010	6 MOS. ENDED SEPT. 30, 2010	MONTH OF SEPT. 2010	6 MOS. ENDED SEPT. 30, 2010	MONTH OF SEPT. 2010	6 MOS. ENDED SEPT. 30, 2010	MONTH OF SEPT. 2009	6 MOS. ENDED SEPT. 30, 2009
RECEIPTS:								
Miscellaneous Receipts	\$17.0	\$47.6	\$0.1	\$0.5	\$17.1	\$48.1	\$15.4	\$54.3
TOTAL RECEIPTS	<u>17.0</u>	<u>47.6</u>	<u>0.1</u>	<u>0.5</u>	<u>17.1</u>	<u>48.1</u>	<u>15.4</u>	<u>54.3</u>
DISBURSEMENTS:								
Departmental Operations:								
Personal Service	6.5	28.5	0.1	0.2	6.6	28.7	6.1	28.7
Non-Personal Service	1.0	7.0	--	--	1.0	7.0	3.2	11.3
General State Charges	--	12.2	--	0.1	--	12.3	6.1	13.9
TOTAL DISBURSEMENTS	<u>7.5</u>	<u>47.7</u>	<u>0.1</u>	<u>0.3</u>	<u>7.6</u>	<u>48.0</u>	<u>15.4</u>	<u>53.9</u>
EXCESS (DEFICIENCY) OF RECEIPTS OVER DISBURSEMENTS	<u>9.5</u>	<u>(0.1)</u>	<u>--</u>	<u>0.2</u>	<u>9.5</u>	<u>0.1</u>	<u>--</u>	<u>0.4</u>
OTHER FINANCING SOURCES (USES):								
Transfers from Other Funds	--	--	--	--	--	--	--	--
Transfers to Other Funds	--	--	--	--	--	--	--	--
NET SOURCES (USES)	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	9.5	(0.1)	--	0.2	9.5	0.1	--	0.4
BEGINNING FUND EQUITY (DEFICITS)	<u>(9.6)</u>	<u>--</u>	<u>9.5</u>	<u>9.3</u>	<u>(0.1)</u>	<u>9.3</u>	<u>10.2</u>	<u>9.8</u>
ENDING FUND EQUITY (DEFICITS)	<u>(\$0.1)</u>	<u>(\$0.1)</u>	<u>\$9.5</u>	<u>\$9.5</u>	<u>\$9.4</u>	<u>\$9.4</u>	<u>\$10.2</u>	<u>\$10.2</u>

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 STATE FISCAL YEAR ENDED MARCH 31, 2011
 FOR SIX (6) MONTHS ENDED SEPTEMBER 30, 2010
 (amounts in millions)

EXHIBIT D

	ALL GOVERNMENTAL FUNDS		
	Financial Plan (*)	Actual	Actual Over (Under) Financial Plan
RECEIPTS:			
Taxes.....	\$28,678	\$28,149.2	(\$528.8)
Miscellaneous Receipts.....	10,725	10,968.4	243.4
Federal Receipts.....	23,978	24,521.8	543.8
Total Receipts.....	63,381	63,639.4	258.4
DISBURSEMENTS:			
Local Assistance Grants.....	46,366	46,578.8	212.8
Departmental Operations.....	9,440	9,348.9	(91.1)
General State Charges.....	2,357	2,319.1	(37.9)
Debt Service.....	2,203	2,190.2	(12.8)
Capital Projects.....	3,178	2,986.7	(191.3)
Total Disbursements.....	63,544	63,423.7	(120.3)
Excess (Deficiency) of Receipts over Disbursements.....	(163)	215.7	378.7
OTHER FINANCING SOURCES (USES):			
Bond and Note Proceeds, net.....	20	--	(20.0)
Transfers from Other Funds.....	12,775	12,712.1	(62.9)
Transfers to Other Funds.....	(12,806)	(12,756.3)	(49.7)
Total Other Financing Sources (Uses).....	(11.0)	(44.2)	(33.2)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	(174)	171.5	345.5
Fund Balances (Deficit) at April 1.....	4,859	4,860.1	1.1
Fund Balances (Deficit) at September 30.....	\$4,685	\$5,031.6	\$346.6

(*) Source: DOB, 2010-11 Enacted Budget dated August 20, 2010.

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 STATE FISCAL YEAR ENDED MARCH 31, 2011
 FOR SIX(6) MONTHS ENDED SEPTEMBER 30, 2010
 (amounts in millions)

EXHIBIT D
 (continued)

	GENERAL			SPECIAL REVENUE		
	Financial Plan (*)	Actual	Actual Over (Under) Financial Plan	Financial Plan (*)	Actual	Actual Over (Under) Financial Plan
RECEIPTS:						
Taxes:						
Personal Income	\$11,930	\$11,831.6	(\$98.4)	\$600	\$598.9	(\$1.1)
Consumption/Use	4,390	4,311.4	(78.6)	1,128	1,098.9	(29.1)
Business.....	2,312	2,068.7	(243.3)	617	611.9	(5.1)
Other.....	586	631.1	45.1	620	622.3	2.3
Miscellaneous Receipts	1,259	1,325.9	66.9	7,396	7,450.0	54.0
Federal Receipts.....	28	13.3	(14.7)	22,839	23,307.7	468.7
Bond and Note Proceeds, net.....	--	--	--	--	--	--
Transfers From:						
PIT in excess of Revenue Bond Debt Service.....	3,755	3,723.1	(31.9)	--	--	--
Sales Tax in excess of LGAC Debt Service.....	1,357	1,232.0	(125.0)	--	--	--
Real Estate Taxes in excess of CW/CA Debt Service.....	176	198.3	22.3	--	--	--
All Other.....	211	183.7	(27.3)	3,593	3,640.2	47.2
Total Receipts.....	26,004	25,519.1	(484.9)	36,793	37,329.9	536.9
DISBURSEMENTS:						
Local Assistance Grants.....	17,477	17,138.9	(338.1)	28,406	28,802.6	396.6
Departmental Operations.....	4,335	4,282.6	(52.4)	5,054	5,028.2	(25.8)
General State Charges.....	1,372	1,395.0	23.0	985	924.1	(60.9)
Debt Service.....	--	--	--	--	--	--
Capital Projects.....	--	--	--	10	12.8	2.8
Transfers To:						
Debt Service.....	799	784.7	(14.3)	--	--	--
Capital Projects.....	324	179.9	(144.1)	--	--	--
State Share Medicaid.....	1,166	1,317.4	151.4	--	--	--
Other Purposes.....	357	340.9	(16.1)	2,009	2,189.7	180.7
Total Disbursements.....	25,830	25,439.4	(390.6)	36,464	36,957.4	493.4
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	174	79.7	(94.3)	329	372.5	43.5
Fund Balances (Deficit) at April 1.....	2,302	2,301.7	(0.3)	2,400	2,400.8	0.8
Fund Balances (Deficit) at September 30.....	\$2,476	\$2,381.4	(\$94.6)	\$2,729	\$2,773.3	\$44.3

(*) Source: DOB, 2010-11 Enacted Budget dated August 20, 2010.

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 STATE FISCAL YEAR ENDED MARCH 31, 2011
 FOR SIX (6) MONTHS ENDED SEPTEMBER 30, 2010
 (amounts in millions)

EXHIBIT D
 (continued)

	DEBT SERVICE			CAPITAL PROJECTS		
	Financial Plan (*)	Actual	Actual Over (Under) Financial Plan	Financial Plan (*)	Actual	Actual Over (Under) Financial Plan
RECEIPTS:						
Taxes	\$5,844	\$5,708.7	(\$135.3)	\$651	\$665.7	\$14.7
Miscellaneous Receipts	361	403.2	42.2	1,709	1,789.3	80.3
Federal Receipts.....	23	17.6	(5.4)	1,088	1,183.2	95.2
Bond and Note Proceeds, net.....	--	--	--	20	--	(20.0)
Transfers from Other Funds.....	3,277	3,466.7	189.7	406	268.1	(137.9)
Total Receipts.....	9,505	9,596.2	91.2	3,874	3,906.3	32.3
DISBURSEMENTS:						
Local Assistance Grants.....	--	--	--	483	637.3	154.3
Departmental Operations.....	51	38.1	(12.9)	--	--	--
General State Charges.....	--	--	--	--	--	--
Debt Service.....	2,203	2,190.2	(12.8)	--	--	--
Capital Projects.....	--	--	--	3,168	2,973.9	(194.1)
Transfers to Other Funds.....	7,449	7,246.3	(202.7)	702	697.4	(4.6)
Total Disbursements.....	9,703	9,474.6	(228.4)	4,353	4,308.6	(44.4)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	(198)	121.6	319.6	(479)	(402.3)	76.7
Fund Balances (Deficit) at April 1.....	410	410.9	0.9	(253)	(253.3)	(0.3)
Fund Balances (Deficit) at September 30.....	\$212	\$532.5	\$320.5	(\$732)	(\$655.6)	\$76.4

(*) Source: DOB, 2010-11 Enacted Budget dated August 20, 2010.

STATE OF NEW YORK
GOVERNMENTAL FUNDS
COMPARATIVE SCHEDULE OF TAX RECEIPTS
(amounts in millions)

EXHIBIT "E"

	GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS				YEAR OVER YEAR	
	MONTH OF SEPT. 2010	6 MOS. ENDED SEPT. 30, 2010	MONTH OF SEPT. 2010	6 MOS. ENDED SEPT. 30, 2010	MONTH OF SEPT. 2010	6 MOS. ENDED SEPT. 30, 2010	MONTH OF SEPT. 2010	6 MOS. ENDED SEPT. 30, 2010	MONTH OF SEPT. 2010	6 MOS. ENDED SEPT. 30, 2010	MONTH OF SEPT. 2009	6 MOS. ENDED SEPT. 30, 2009	\$ Increase / (Decrease)	% Increase / Decrease
PERSONAL INCOME TAX														
Withholding	\$2,086.5	\$13,264.8	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$2,086.5	\$13,264.8	\$2,023.4	\$12,438.7	\$826.1	6.6%
Estimated payments	1,516.6	6,001.7	--	--	--	--	--	--	1,516.6	6,001.7	1,456.5	5,426.8	574.9	10.6%
Final returns	43.4	1,520.6	--	--	--	--	--	--	43.4	1,520.6	40.0	1,400.5	120.1	8.6%
State/City Offsets	(1.6)	(33.7)	--	--	--	--	--	--	(1.6)	(33.7)	(18.0)	298.2	(331.9)	-111.3%
Other (Assessments/LLC)	52.0	464.9	--	--	--	--	--	--	52.0	464.9	60.1	470.9	(6.0)	-1.3%
Gross Receipts	3,696.9	21,218.3	--	--	--	--	--	--	3,696.9	21,218.3	3,562.0	20,035.1	1,183.2	5.9%
Transfers to School Tax Relief Fund	(102.3)	(598.9)	102.3	598.9	--	--	--	--	--	--	--	--	--	--
Transfers to Revenue Bond Tax Fund	(891.0)	(4,143.5)	--	--	891.0	4,143.5	--	--	--	--	--	--	--	--
Less: Refunds Issued	(132.8)	(4,644.3)	--	--	--	--	--	--	(132.8)	(4,644.3)	(131.2)	(3,975.2)	669.1	16.8%
Total	2,570.8	11,831.6	102.3	598.9	891.0	4,143.5	--	--	3,564.1	16,574.0	3,430.8	16,059.9	514.1	3.2%
CONSUMPTION / USE TAXES (*)														
Sales and Use	791.4	3,936.8	70.4	411.4	264.0	1,309.3	--	--	1,125.8	5,657.5	1,127.4	5,334.4	323.1	6.1%
Auto Rental (**)	--	--	10.9	18.3	--	--	19.4	31.0	30.3	49.3	22.0	33.5	15.8	47.2%
Cigarette/Tobacco Products	51.4	257.7	133.3	573.4	--	--	--	--	184.7	831.1	128.8	737.8	93.3	12.6%
Motor Fuel	--	--	9.3	54.4	--	--	36.1	206.9	45.4	261.3	43.5	256.0	5.3	2.1%
Alcoholic Beverage	19.7	116.9	--	--	--	--	--	--	19.7	116.9	18.4	114.7	2.2	1.9%
Highway Use	--	--	--	--	--	--	9.3	66.3	9.3	66.3	11.9	72.4	(6.1)	-8.4%
Metropolitan Commuter Trans. Taxicab Trip	--	--	0.6	41.4	--	--	--	--	0.6	41.4	--	--	41.4	100.0%
Total	862.5	4,311.4	224.5	1,098.9	264.0	1,309.3	64.8	304.2	1,415.8	7,023.8	1,352.0	6,548.8	475.0	7.3%
BUSINESS TAXES														
Corporation Franchise	324.7	923.8	48.7	150.5	--	--	--	--	373.4	1,074.3	600.4	1,234.9	(160.6)	-13.0%
Corporation and Utilities	139.5	236.7	36.2	73.9	--	--	2.4	7.0	178.1	317.6	197.5	422.4	(104.8)	-24.8%
Insurance	251.1	480.3	26.4	54.1	--	--	--	--	277.5	534.4	290.7	630.9	(96.5)	-15.3%
Bank	274.6	427.9	54.7	88.2	--	--	--	--	329.3	516.1	166.0	621.7	(105.6)	-17.0%
Petroleum Business	--	--	40.6	245.2	--	--	51.1	306.9	91.7	552.1	97.9	570.6	(18.5)	-3.2%
Total	989.9	2,068.7	206.6	611.9	--	--	53.5	313.9	1,250.0	2,994.5	1,352.5	3,480.5	(486.0)	-14.0%
OTHER TAXES														
Real Property Gains	--	--	--	--	--	--	--	--	--	--	(0.1)	(0.6)	0.6	100.0%
Estate and Gift	113.7	620.1	--	--	--	--	--	--	113.7	620.1	136.2	485.6	134.5	27.7%
Pari-Mutuel	2.3	10.7	--	--	--	--	--	--	2.3	10.7	2.5	11.4	(0.7)	-6.1%
Real Estate Transfer	--	--	--	--	37.2	255.9	11.9	47.6	49.1	303.5	46.3	218.8	84.7	38.7%
Racing and Exhibitions	--	0.3	--	--	--	--	--	--	--	0.3	--	0.2	0.1	50.0%
Metropolitan Commuter Trans. Mobility (***)	--	--	68.3	622.3	--	--	--	--	68.3	622.3	--	--	622.3	100.0%
Total	116.0	631.1	68.3	622.3	37.2	255.9	11.9	47.6	233.4	1,556.9	184.9	715.4	841.5	117.6%
TOTAL TAX RECEIPTS	\$4,539.2	\$18,842.8	\$601.7	\$2,932.0	\$1,192.2	\$5,708.7	\$130.2	\$665.7	\$6,463.3	\$28,149.2	\$6,320.2	\$26,804.6	\$1,344.6	5.0%

(*) Miscellaneous Receipts includes Alcoholic Beverage Control License and Motor Vehicle Fees. In prior years, receipts from these sources were reported as Consumption/Use Taxes.

(**) Auto Rental includes \$18.3 million of monies collected by New York State on behalf of the Metropolitan Transportation Authority.

(***) Collection of the Metropolitan Commuter Transportation Mobility Tax began in November 2009.

**STATE OF NEW YORK
GOVERNMENTAL FUNDS (*)
STATEMENT OF CASH FLOW
FISCAL YEAR 2010-2011
(amounts in millions)**

GOVERNMENTAL FUNDS CASH FLOW

													6 Months Ended Sept. 30			
	2010 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2011 JANUARY	FEBRUARY	MARCH	2010	2009	\$ Increase/ (Decrease)	% Increase/ Decrease
BEGINNING CASH BALANCE	\$4,860.1	\$7,322.1	\$5,413.9	\$3,632.2	\$4,944.2	\$4,990.0							\$4,860.1	\$4,585.8	\$274.3	6.0%
RECEIPTS:																
Personal Income Tax	4,092.1	1,044.3	3,548.4	2,100.2	2,224.9	3,564.1							16,574.0	16,059.9	514.1	3.2%
Consumption/Use Taxes (**)	1,126.5	954.5	1,350.9	1,093.3	1,082.8	1,415.8							7,023.8	6,548.8	475.0	7.3%
Business Taxes	139.1	104.1	1,171.7	197.4	132.2	1,250.0							2,994.5	3,480.5	(486.0)	-14.0%
Other Taxes	274.9	245.4	234.4	314.7	254.1	233.4							1,556.9	715.4	841.5	117.6%
Miscellaneous Receipts (**)	1,534.1	1,381.0	2,016.7	1,290.7	1,728.6	3,017.3							10,968.4	11,199.1	(230.7)	-2.1%
Federal Receipts	3,826.6	3,293.0	3,906.3	4,283.7	4,310.3	4,901.9							24,521.8	20,669.5	3,852.3	18.6%
Total Receipts	10,993.3	7,022.3	12,228.4	9,280.0	9,732.9	14,382.5	0.0	0.0	0.0	0.0	0.0	0.0	63,639.4	58,673.2	4,966.2	8.5%
DISBURSEMENTS:																
Local Assistance Grants:																
General Purpose	2.2	11.1	441.7	0.7	--	126.8							582.5	595.9	(13.4)	-2.2%
Education	1,116.9	3,112.9	5,668.2	1,005.8	913.7	4,387.4							16,204.9	13,708.3	2,496.6	18.2%
Social Services:																
Medicaid	3,925.0	2,977.2	4,266.4	2,803.9	3,171.2	3,837.2							20,980.9	19,715.4	1,265.5	6.4%
Other Social Services	154.5	255.1	135.7	258.0	1,657.8	476.5							2,937.6	3,244.4	(306.8)	-9.5%
Health and Environment	203.5	191.3	274.9	289.5	553.5	493.3							2,006.0	2,275.7	(269.7)	-11.9%
Mental Hygiene	111.8	57.4	105.3	237.4	149.7	161.1							822.7	846.0	(23.3)	-2.8%
Transportation	270.7	258.1	110.8	630.8	355.5	517.2							2,143.1	1,398.2	744.9	53.3%
Criminal Justice	28.7	24.0	54.9	32.7	20.5	32.0							192.8	255.5	(62.7)	-24.5%
Emergency Management & Security Services	2.7	0.6	3.6	3.6	--	54.8							65.3	86.9	(21.6)	-24.9%
Miscellaneous	81.9	106.1	83.6	120.1	134.1	117.2							643.0	798.8	(155.8)	-19.5%
Total Local Assistance Grants	5,897.9	6,993.8	11,145.1	5,382.5	6,956.0	10,203.5	0.0	0.0	0.0	0.0	0.0	0.0	46,578.8	42,925.1	3,653.7	8.5%
Departmental Operations:																
Personal Service	1,035.9	993.9	999.3	1,165.3	1,039.9	1,355.1							6,589.4	6,731.9	(142.5)	-2.1%
Non-Personal Service	495.8	343.4	414.2	423.1	516.9	566.1							2,759.5	2,858.1	(98.6)	-3.4%
General State Charges	153.6	146.8	550.6	373.4	395.2	699.5							2,319.1	2,294.0	25.1	1.1%
Debt Service, Including Payments on																
Financing Agreements	514.8	160.3	290.9	107.7	273.8	842.7							2,190.2	1,957.3	232.9	11.9%
Capital Projects	433.3	292.2	588.8	512.8	501.7	657.9							2,986.7	2,871.3	115.4	4.0%
Total Disbursements	8,531.3	8,930.4	13,988.9	7,964.8	9,683.5	14,324.8	0.0	0.0	0.0	0.0	0.0	0.0	63,423.7	59,637.7	3,786.0	6.3%
Excess (Deficiency) of Receipts over Disbursements	2,462.0	(1,908.1)	(1,760.5)	1,315.2	49.4	57.7	0.0	0.0	0.0	0.0	0.0	0.0	215.7	(964.5)	1,180.2	122.4%
OTHER FINANCING SOURCES (USES):																
Bond Proceeds (net)	--	--	--	--	--	--							--	--	--	--
Transfers from Other Funds	2,707.3	1,150.8	2,372.5	2,553.0	1,340.5	2,588.0							12,712.1	11,965.7	746.4	6.2%
Transfers to Other Funds	(2,707.3)	(1,150.9)	(2,393.7)	(2,556.2)	(1,344.1)	(2,604.1)							(12,756.3)	(12,004.7)	751.6	6.3%
Total Other Financing Sources (Uses)	--	(0.1)	(21.2)	(3.2)	(3.6)	(16.1)	0.0	0.0	0.0	0.0	0.0	0.0	(44.2)	(39.0)	(5.2)	-13.3%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	2,462.0	(1,908.2)	(1,781.7)	1,312.0	45.8	41.6	0.0	0.0	0.0	0.0	0.0	0.0	171.5	(1,003.5)	1,175.0	117.1%
CLOSING CASH BALANCE	\$7,322.1	\$5,413.9	\$3,632.2	\$4,944.2	\$4,990.0	\$5,031.6	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$5,031.6	\$3,582.3	\$1,449.3	40.5%

(*) Governmental Funds includes General, Special Revenue, Debt Service and Capital Projects Funds combined.

(**) Miscellaneous Receipts includes Alcoholic Beverage Control License and Motor Vehicle Fees. In prior years, receipts from these sources were reported as Consumption/Use Taxes. For comparative purposes, we have restated the prior fiscal year Consumption/Use Taxes and Miscellaneous Receipts.

**STATE OF NEW YORK
GENERAL FUND
STATEMENT OF CASH FLOW
FISCAL YEAR 2010-2011
(amounts in millions)**

EXHIBIT "F"

	2010						2011						6 Months Ended Sept. 30			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2010	2009	\$ Increase/ (Decrease)	% Increase/ Decrease
OPENING CASH BALANCE	\$2,301.7	\$4,274.1	\$1,647.5	(\$87.1)	\$590.1	\$527.9							\$2,301.7	\$1,948.5	\$353.2	18.1%
RECEIPTS:																
Personal Income Tax	3,069.1	783.2	2,164.7	1,575.1	1,668.7	2,570.8							11,831.6	11,128.3	703.3	6.3%
Consumption/Use Taxes (*)	669.3	588.6	858.5	666.2	666.3	862.5							4,311.4	4,074.3	237.1	5.8%
Business Taxes	60.2	1.9	915.4	79.9	21.4	989.9							2,068.7	2,393.2	(324.5)	-13.6%
Other Taxes	93.3	83.0	102.8	154.8	81.2	116.0							631.1	496.6	134.5	27.1%
Miscellaneous Receipts (*)	90.2	98.6	252.8	138.7	159.7	585.9							1,325.9	1,700.4	(374.5)	-22.0%
Federal Receipts	0.7	12.5	0.1	--	--	--							13.3	45.2	(31.9)	-70.6%
Total Receipts	3,982.8	1,567.8	4,294.3	2,614.7	2,597.3	5,125.1	0.0	0.0	0.0	0.0	0.0	0.0	20,182.0	19,838.0	344.0	1.73%
DISBURSEMENTS:																
Local Assistance Grants:																
General Purpose	2.2	11.1	441.7	0.7	--	126.8							582.5	595.9	(13.4)	-2.2%
Education	523.7	2,645.4	4,162.0	322.8	676.0	1,866.4							10,196.3	8,992.3	1,204.0	13.4%
Social Services:																
Medicaid	1,074.7	625.7	1,014.9	454.4	633.0	682.8							4,485.5	3,726.9	758.6	20.4%
Other Social Services	71.1	155.5	75.4	128.9	391.3	156.3							978.5	1,501.2	(522.7)	-34.8%
Health and Environment	39.5	30.4	122.5	16.3	119.2	185.3							513.2	826.7	(313.5)	-37.9%
Mental Hygiene	9.6	5.3	7.4	49.7	24.1	37.8							133.9	165.4	(31.5)	-19.0%
Transportation	0.3	0.1	0.2	10.9	8.9	18.5							38.9	43.0	(4.1)	-9.5%
Criminal Justice	6.1	8.0	5.9	3.9	7.4	13.4							44.7	75.2	(30.5)	-40.6%
Emergency Management & Security Services	0.1	--	(0.1)	1.7	--	1.8							3.5	20.1	(16.6)	-82.6%
Miscellaneous	23.3	15.7	23.2	28.1	44.0	27.6							161.9	192.0	(30.1)	-15.7%
Total Local Assistance Grants	1,750.6	3,497.2	5,853.1	1,017.4	1,903.9	3,116.7	0.0	0.0	0.0	0.0	0.0	0.0	17,138.9	16,138.7	1,000.2	6.2%
Departmental Operations:																
Personal Service	514.5	547.5	586.0	619.1	465.4	621.9							3,354.4	3,509.3	(154.9)	-4.4%
Non-Personal Service	143.1	107.9	151.2	171.1	179.8	175.1							928.2	1,058.0	(129.8)	-12.3%
General State Charges	122.3	29.8	485.5	111.9	347.9	297.6							1,395.0	1,403.0	(8.0)	-0.6%
Total Disbursements	2,530.5	4,182.4	7,075.8	1,919.5	2,897.0	4,211.3	0.0	0.0	0.0	0.0	0.0	0.0	22,816.5	22,109.0	707.5	3.2%
Excess (Deficiency) of Receipts over Disbursements	1,452.3	(2,614.6)	(2,781.5)	695.2	(299.7)	913.8	0.0	0.0	0.0	0.0	0.0	0.0	(2,634.5)	(2,271.0)	(363.5)	-16.0%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	1,238.6	243.1	1,332.0	837.1	416.7	1,269.6							5,337.1	5,362.2	(25.1)	-0.5%
Transfers to State Capital Projects	(14.3)	(21.5)	(3.9)	(119.8)	42.1	(62.5)							(179.9)	(198.8)	(18.9)	-9.5%
Transfers to General Debt Service	(414.1)	(38.7)	(0.3)	(470.3)	5.4	133.3							(784.7)	(918.3)	(133.6)	-14.5%
Transfers to All Other State Funds	(290.1)	(194.9)	(280.9)	(265.0)	(226.7)	(400.7)							(1,658.3)	(1,492.3)	166.0	11.1%
Total Other Financing Sources (Uses)	520.1	(12.0)	1,046.9	(18.0)	237.5	939.7	0.0	0.0	0.0	0.0	0.0	0.0	2,714.2	2,752.8	(38.6)	-1.4%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	1,972.4	(2,626.6)	(1,734.6)	677.2	(62.2)	1,853.5	0.0	0.0	0.0	0.0	0.0	0.0	79.7	481.8	(402.1)	-83.5%
CLOSING CASH BALANCE	<u>\$4,274.1</u>	<u>\$1,647.5</u>	<u>(\$87.1)</u>	<u>\$590.1</u>	<u>\$527.9</u>	<u>\$2,381.4</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$2,381.4</u>	<u>\$2,430.3</u>	<u>(\$48.9)</u>	<u>-2.0%</u>

(*) Miscellaneous Receipts includes Alcoholic Beverage Control License and Motor Vehicle Fees. In prior years, receipts from these sources were reported as Consumption/Use Taxes. For comparative purposes, we have restated the prior fiscal year Consumption/Use Taxes and Miscellaneous Receipts.

STATE OF NEW YORK
GENERAL FUND
CASH FLOW SCHEDULE OF TAX RECEIPTS
FISCAL YEAR 2010-2011
(amounts in millions)

EXHIBIT "F"
TAX RECEIPTS

	6 Months Ended Sept. 30												2010	2009
	2010 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2011 JANUARY	FEBRUARY	MARCH		
PERSONAL INCOME TAX														
Withholdings	\$2,504.5	\$2,060.9	\$2,324.7	\$2,098.6	\$2,189.6	\$2,086.5							\$13,264.8	\$12,438.7
Estimated payments	2,906.3	90.9	1,360.3	69.1	58.5	1,516.6							6,001.7	5,426.8
Final returns	1,345.0	42.0	33.1	28.4	28.7	43.4							1,520.6	1,400.5
State/City Offsets	(18.5)	(5.3)	(2.6)	(1.8)	(3.9)	(1.6)							(33.7)	298.2
Other (Assessments/LLC)	109.1	56.0	91.2	82.6	74.0	52.0							464.9	470.9
Gross Receipts	<u>6,846.4</u>	<u>2,244.5</u>	<u>3,806.7</u>	<u>2,276.9</u>	<u>2,346.9</u>	<u>3,696.9</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>21,218.3</u>	<u>20,035.1</u>
Transfers to School Tax Relief Fund	--	--	(496.6)	--	--	(102.3)							(598.9)	(916.6)
Transfers to Revenue Bond Tax Fund	(1,023.0)	(261.1)	(887.1)	(525.1)	(556.2)	(891.0)							(4,143.5)	(4,015.0)
Refunds issued	<u>(2,754.3)</u>	<u>(1,200.2)</u>	<u>(258.3)</u>	<u>(176.7)</u>	<u>(122.0)</u>	<u>(132.8)</u>							<u>(4,644.3)</u>	<u>(3,975.2)</u>
Total Personal Income Tax	<u>3,069.1</u>	<u>783.2</u>	<u>2,164.7</u>	<u>1,575.1</u>	<u>1,668.7</u>	<u>2,570.8</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>11,831.6</u>	<u>11,128.3</u>
CONSUMPTION/USE TAXES (*)														
Sales and Use	612.4	533.9	791.5	603.5	604.1	791.4							3,936.8	3,720.4
Auto Rental	--	--	--	--	--	--							--	--
Cigarette/Tobacco Products	38.6	36.2	46.8	38.4	46.3	51.4							257.7	239.2
Motor Fuel	--	--	--	--	--	--							--	--
Alcoholic Beverage	18.3	18.5	20.2	24.3	15.9	19.7							116.9	114.7
Highway Use	--	--	--	--	--	--							--	--
Metropolitan Commuter Trans. Taxicab Trip	--	--	--	--	--	--							--	--
Total Consumption/Use Taxes and Fees	<u>669.3</u>	<u>588.6</u>	<u>858.5</u>	<u>666.2</u>	<u>666.3</u>	<u>862.5</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>4,311.4</u>	<u>4,074.3</u>
BUSINESS TAXES														
Corporation Franchise	68.2	9.6	434.8	58.8	27.7	324.7							923.8	1,045.4
Corporation and Utilities	15.9	(8.8)	83.0	19.3	(12.2)	139.5							236.7	315.0
Insurance	5.5	0.9	214.3	0.6	7.9	251.1							480.3	509.0
Bank	(29.4)	0.2	183.3	1.2	(2.0)	274.6							427.9	523.8
Petroleum Business	--	--	--	--	--	--							--	--
Total Business Taxes	<u>60.2</u>	<u>1.9</u>	<u>915.4</u>	<u>79.9</u>	<u>21.4</u>	<u>989.9</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>2,068.7</u>	<u>2,393.2</u>
OTHER TAXES														
Real Property Gains	--	--	--	--	--	--							--	(0.6)
Estate and Gift	92.4	81.4	100.8	153.2	78.6	113.7							620.1	485.6
Pari-Mutuel	0.9	1.6	1.8	1.6	2.5	2.3							10.7	11.4
Real Estate Transfer	--	--	--	--	--	--							--	--
Racing and Exhibitions	--	--	0.2	--	0.1	--							0.3	0.2
Metropolitan Commuter Trans. Mobility	--	--	--	--	--	--							--	--
Total Other Taxes	<u>93.3</u>	<u>83.0</u>	<u>102.8</u>	<u>154.8</u>	<u>81.2</u>	<u>116.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>631.1</u>	<u>496.6</u>
TOTAL TAX RECEIPTS	<u>\$3,891.9</u>	<u>\$1,456.7</u>	<u>\$4,041.4</u>	<u>\$2,476.0</u>	<u>\$2,437.6</u>	<u>\$4,539.2</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$18,842.8</u>	<u>\$18,092.4</u>

(*) Miscellaneous Receipts includes Alcoholic Beverage Control License and Motor Vehicle Fees. In prior years, receipts from these sources were reported as Consumption/Use Taxes.

**STATE OF NEW YORK
SPECIAL REVENUE FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2010-2011
(amounts in millions)**

**EXHIBIT "G"
COMBINED**

													6 Months Ended Sept. 30			
	2010 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2011 JANUARY	FEBRUARY	MARCH	2010	2009	\$ Increase/ (Decrease)	% Increase/ Decrease
OPENING CASH BALANCE	\$2,400.8	\$2,913.9	\$3,429.8	\$3,620.0	\$3,949.8	\$3,906.9							\$2,400.8	\$2,846.4	(\$445.6)	-15.7%
RECEIPTS:																
Personal Income Tax	--	--	496.6	--	--	102.3							598.9	916.6	(317.7)	-34.7%
Consumption/Use Taxes (**)(**)(**)	224.9	133.6	173.8	178.2	163.9	224.5							1,098.9	939.6	159.3	17.0%
Business Taxes	32.1	54.6	201.9	62.9	53.8	206.6							611.9	761.6	(149.7)	-19.7%
Other Taxes (***)	136.8	119.0	80.5	99.7	118.0	68.3							622.3	--	622.3	100.0%
Miscellaneous Receipts (**)	1,040.3	1,056.7	1,340.9	929.2	1,143.6	1,939.3							7,450.0	7,404.5	45.5	0.6%
Federal Receipts	3,723.4	3,147.1	3,693.0	4,048.2	4,061.0	4,635.0							23,307.7	19,748.7	3,559.0	18.0%
Total Receipts	5,157.5	4,511.0	5,986.7	5,318.2	5,540.3	7,176.0	0.0	0.0	0.0	0.0	0.0	0.0	33,689.7	29,771.0	3,918.7	13.2%
DISBURSEMENTS:																
Local Assistance Grants:																
Education	584.9	465.9	1,501.1	682.4	229.1	2,521.0							5,984.4	4,676.6	1,307.8	28.0%
Social Services:																
Medicaid	2,850.3	2,351.5	3,251.5	2,349.5	2,538.2	3,154.4							16,495.4	15,988.5	506.9	3.2%
Other Social Services	83.4	99.6	60.3	129.1	1,264.0	320.2							1,956.6	1,743.0	213.6	12.3%
Health and Environment	161.7	141.4	119.9	235.4	388.2	272.5							1,319.1	1,378.9	(59.8)	-4.3%
Mental Hygiene	97.7	50.7	87.7	177.3	119.8	117.9							651.1	650.1	1.0	0.2%
Transportation (***)	206.5	224.7	88.9	593.6	265.0	439.9							1,818.6	1,136.6	682.0	60.0%
Criminal Justice	22.6	16.0	49.0	28.8	13.1	18.6							148.1	180.3	(32.2)	-17.9%
Emergency Management & Security Services	2.6	0.6	3.7	1.9	--	53.0							61.8	66.8	(5.0)	-7.5%
Miscellaneous	49.2	67.2	59.5	67.4	67.6	56.6							367.5	443.7	(76.2)	-17.2%
Total Local Assistance Grants	4,058.9	3,417.6	5,221.6	4,265.4	4,885.0	6,954.1	0.0	0.0	0.0	0.0	0.0	0.0	28,802.6	26,264.5	2,538.1	9.7%
Departmental Operations:																
Personal Service	521.4	446.4	413.3	546.2	574.5	733.2							3,235.0	3,222.6	12.4	0.4%
Non-Personal Service	346.8	234.6	257.7	238.4	334.9	380.8							1,793.2	1,775.3	17.9	1.0%
General State Charges	31.3	117.0	65.1	261.5	47.3	401.9							924.1	891.0	33.1	3.7%
Capital Projects	3.3	1.2	3.8	2.4	1.3	0.8							12.8	5.3	7.5	141.5%
Total Disbursements	4,961.7	4,216.8	5,961.5	5,313.9	5,843.0	8,470.8	0.0	0.0	0.0	0.0	0.0	0.0	34,767.7	32,158.7	2,609.0	8.1%
Excess (Deficiency) of Receipts over Disbursements	195.8	294.2	25.2	4.3	(302.7)	(1,294.8)	0.0	0.0	0.0	0.0	0.0	0.0	(1,078.0)	(2,387.7)	1,309.7	54.9%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	618.9	486.4	575.4	717.0	578.9	663.6							3,640.2	3,247.7	392.5	12.1%
Transfers to Other Funds	(301.6)	(264.7)	(410.4)	(391.5)	(319.1)	(502.4)							(2,189.7)	(2,042.8)	146.9	7.2%
Total Other Financing Sources (Uses)	317.3	221.7	165.0	325.5	259.8	161.2	0.0	0.0	0.0	0.0	0.0	0.0	1,450.5	1,204.9	245.6	20.4%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	513.1	515.9	190.2	329.8	(42.9)	(1,133.6)	0.0	0.0	0.0	0.0	0.0	0.0	372.5	(1,182.8)	1,555.3	131.5%
CLOSING CASH BALANCE	\$2,913.9	\$3,429.8	\$3,620.0	\$3,949.8	\$3,906.9	\$2,773.3	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$2,773.3	\$1,663.6	\$1,109.7	66.7%

(*) Consumption and Use Taxes includes \$18.3 million in Auto Rental Taxes collected by New York State on behalf of, and distributable to, the Metropolitan Transportation Authority.

(**) Miscellaneous Receipts includes Motor Vehicle Fees. In prior years, receipts from this source was reported as Consumption/Use Taxes.

For comparative purposes, we have restated the prior fiscal year Consumption/Use Taxes and Miscellaneous Receipts.

(***) Consumption and Use Taxes includes the new Metropolitan Commuter Transportation Taxi-Cab Trip Tax. This tax is collected by New York State on behalf of, and transferred as a Transportation local assistance payment, to the Metropolitan Transportation Authority.

(****) Other taxes includes the new Metropolitan Commuter Transportation Mobility Tax. This tax is collected by New York State on behalf of, and transferred as a Transportation local assistance payment, to the Metropolitan Transportation Authority.

**STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2010-2011
(amounts in millions)**

**EXHIBIT "G"
STATE**

													6 Months Ended Sept. 30		\$ Increase/ (Decrease)	% Increase/ Decrease	
	2010 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2011 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	2010			2009
RECEIPTS:																	
Personal Income Tax	\$ --	\$ --	\$ 496.6	\$ --	\$ --	\$ 102.3							\$ --	\$598.9	\$916.6	(\$317.7)	-34.7%
Consumption/Use Taxes (**)(**)(****)	224.9	133.6	173.8	178.2	163.9	224.5							--	1,098.9	939.6	159.3	17.0%
Business Taxes	32.1	54.6	201.9	62.9	53.8	206.6							--	611.9	761.6	(149.7)	-19.7%
Other Taxes (*****)	136.8	119.0	80.5	99.7	118.0	68.3							--	622.3	--	622.3	100.0%
Miscellaneous Receipts (**)	1,032.8	1,023.0	1,331.5	919.2	1,127.4	1,930.3							--	7,364.2	7,311.6	52.6	0.7%
Federal Receipts	--	--	--	--	0.2	--							--	0.2	0.4	(0.2)	-50.0%
Total Receipts	1,426.6	1,330.2	2,284.3	1,260.0	1,463.3	2,532.0	0.0	0.0	0.0	0.0	0.0	0.0	--	10,296.4	9,929.8	366.6	3.7%
DISBURSEMENTS:																	
Local Assistance Grants:																	
Education	1.3	2.7	819.7	1.4	0.9	2,118.3							--	2,944.3	3,248.9	(304.6)	-9.4%
Social Services:																	
Medicaid	163.7	443.0	305.9	388.0	446.2	431.2							--	2,178.0	2,452.8	(274.8)	-11.2%
Other Social Services	0.8	2.7	0.3	3.5	--	0.9							--	8.2	2.1	6.1	290.5%
Health and Environment	63.6	64.2	43.4	97.6	285.3	143.5							--	697.6	852.6	(155.0)	-18.2%
Mental Hygiene	79.1	40.4	70.0	164.3	102.5	100.9							--	557.2	583.4	(26.2)	-4.5%
Transportation (****)(*****)	204.0	222.0	85.2	590.4	261.5	436.3							--	1,799.4	1,125.0	674.4	59.9%
Criminal Justice	5.9	5.2	7.2	5.3	5.3	1.8							--	30.7	31.6	(0.9)	-2.8%
Emergency Management & Security Services	--	--	--	--	--	--							--	--	1.2	(1.2)	-100.0%
Miscellaneous	7.2	3.9	14.0	23.4	13.6	33.0							--	95.1	130.7	(35.6)	-27.2%
Total Local Assistance Grants	525.6	784.1	1,345.7	1,273.9	1,115.3	3,265.9	0.0	0.0	0.0	0.0	0.0	0.0	--	8,310.5	8,428.3	(117.8)	-1.4%
Departmental Operations:																	
Personal Service	454.1	375.2	364.5	498.6	519.0	660.5							--	2,871.9	2,886.3	(14.4)	-0.5%
Non-Personal Service	276.2	153.7	198.1	200.7	243.0	251.8							--	1,323.5	1,401.2	(77.7)	-5.5%
General State Charges	27.4	79.0	38.8	261.4	37.6	359.4							--	803.6	783.9	19.7	2.5%
Capital Projects	3.3	1.2	3.8	2.4	1.3	0.8							--	12.8	5.3	7.5	141.5%
Total Disbursements	1,286.6	1,393.2	1,950.9	2,237.0	1,916.2	4,538.4	0.0	0.0	0.0	0.0	0.0	0.0	--	13,322.3	13,505.0	(182.7)	-1.4%
Excess (Deficiency) of Receipts over Disbursements	140.0	(63.0)	333.4	(977.0)	(452.9)	(2,006.4)	0.0	0.0	0.0	0.0	0.0	0.0	--	(3,025.9)	(3,575.2)	549.3	15.4%
OTHER FINANCING SOURCES (USES):																	
Transfers from Other Funds	635.9	500.3	587.9	786.5	644.3	727.3							(242.0)	3,640.2	3,247.7	392.5	12.1%
Transfers to Other Funds	(3.3)	(13.9)	(65.7)	(33.9)	(41.8)	(11.6)							--	(170.2)	(384.4)	(214.2)	-55.7%
Total Other Financing Sources (Uses)	632.6	486.4	522.2	752.6	602.5	715.7	0.0	0.0	0.0	0.0	0.0	0.0	(242.0)	3,470.0	2,863.3	606.7	21.2%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	\$772.6	\$423.4	\$855.6	(\$224.4)	\$149.6	(\$1,290.7)	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	(\$242.0)	\$444.1	(\$711.9)	\$1,156.0	162.4%

(*) Intra-Fund transfer eliminations represent transfers from Special Revenue-Federal funds.

(**) Miscellaneous Receipts includes Motor Vehicle Fees. In prior years, receipts from this source was reported as Consumption/Use Taxes.

For comparative purposes, we have restated the prior fiscal year Consumption/Use Taxes and Miscellaneous Receipts.

(***) Consumption and Use Taxes includes \$18.3 million of Auto Rental Taxes collected by New York State on behalf of, and distributable to, the Metropolitan Transportation Authority.

(****) Consumption and Use Taxes includes the new Metropolitan Commuter Transportation Taxi-Cab Trip Tax. This tax is collected by New York State on behalf of, and transferred as a Transportation local assistance payment, to the Metropolitan Transportation Authority.

(***** Other Taxes includes the new Metropolitan Commuter Transportation Mobility Tax. This tax is collected by New York State on behalf of, and transferred as a Transportation local assistance payment, to the Metropolitan Transportation Authority.

**STATE OF NEW YORK
SPECIAL REVENUE FUNDS - FEDERAL
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2010-2011
(amounts in millions)**

**EXHIBIT "G"
FEDERAL**

													6 Months Ended Sept. 30				
	2010 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2011 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	2010	2009	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:																	
Personal Income Tax	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --							\$ --	\$ --	\$ --	--	
Consumption/Use Taxes	--	--	--	--	--	--							--	--	--	--	
Business Taxes	--	--	--	--	--	--							--	--	--	--	
Other Taxes	--	--	--	--	--	--							--	--	--	--	
Miscellaneous Receipts	7.5	33.7	9.4	10.0	16.2	9.0							--	85.8	92.9	(7.1)	-7.6%
Federal Receipts	3,723.4	3,147.1	3,693.0	4,048.2	4,060.8	4,635.0							--	23,307.5	19,748.3	3,559.2	18.0%
Total Receipts	3,730.9	3,180.8	3,702.4	4,058.2	4,077.0	4,644.0	0.0	0.0	0.0	0.0	0.0	0.0	--	23,393.3	19,841.2	3,552.1	17.9%
DISBURSEMENTS:																	
Local Assistance Grants:																	
Education	583.6	463.2	681.4	681.0	228.2	402.7							--	3,040.1	1,427.7	1,612.4	112.9%
Social Services:																	
Medicaid	2,686.6	1,908.5	2,945.6	1,961.5	2,092.0	2,723.2							--	14,317.4	13,535.7	781.7	5.8%
Other Social Services	82.6	96.9	60.0	125.6	1,264.0	319.3							--	1,948.4	1,740.9	207.5	11.9%
Health and Environment	98.1	77.2	76.5	137.8	102.9	129.0							--	621.5	526.3	95.2	18.1%
Mental Hygiene	18.6	10.3	17.7	13.0	17.3	17.0							--	93.9	66.7	27.2	40.8%
Transportation	2.5	2.7	3.7	3.2	3.5	3.6							--	19.2	11.6	7.6	65.5%
Criminal Justice	16.7	10.8	41.8	23.5	7.8	16.8							--	117.4	148.7	(31.3)	-21.0%
Emergency Management & Security Services	2.6	0.6	3.7	1.9	--	53.0							--	61.8	65.6	(3.8)	-5.8%
Miscellaneous	42.0	63.3	45.5	44.0	54.0	23.6							--	272.4	313.0	(40.6)	-13.0%
Total Local Assistance Grants	3,533.3	2,633.5	3,875.9	2,991.5	3,769.7	3,688.2	0.0	0.0	0.0	0.0	0.0	0.0	--	20,492.1	17,836.2	2,655.9	14.9%
Departmental Operations:																	
Personal Service	67.3	71.2	48.8	47.6	55.5	72.7							--	363.1	336.3	26.8	8.0%
Non-Personal Service	70.6	80.9	59.6	37.7	91.9	129.0							--	469.7	374.1	95.6	25.6%
General State Charges	3.9	38.0	26.3	0.1	9.7	42.5							--	120.5	107.1	13.4	12.5%
Capital Projects	--	--	--	--	--	--							--	--	--	--	--
Total Disbursements	3,675.1	2,823.6	4,010.6	3,076.9	3,926.8	3,932.4	0.0	0.0	0.0	0.0	0.0	0.0	--	21,445.4	18,653.7	2,791.7	15.0%
Excess (Deficiency) of Receipts over Disbursements	55.8	357.2	(308.2)	981.3	150.2	711.6	0.0	0.0	0.0	0.0	0.0	0.0	--	1,947.9	1,187.5	760.4	64.0%
OTHER FINANCING SOURCES (USES):																	
Transfers from Other Funds	--	--	--	--	--	--							--	--	--	--	--
Transfers to Other Funds	(315.3)	(264.7)	(357.2)	(427.1)	(342.7)	(554.5)							242.0	(2,019.5)	(1,658.4)	361.1	21.8%
Total Other Financing Sources (Uses)	(315.3)	(264.7)	(357.2)	(427.1)	(342.7)	(554.5)	0.0	0.0	0.0	0.0	0.0	0.0	242.0	(2,019.5)	(1,658.4)	361.1	21.8%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(\$259.5)	\$92.5	(\$665.4)	\$554.2	(\$192.5)	\$157.1	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$242.0	(\$71.6)	(\$470.9)	\$399.3	84.8%

(*) Intra-Fund transfer eliminations represent transfers to Special Revenue-State funds.

**STATE OF NEW YORK
SPECIAL REVENUE FUNDS
CASH FLOW SCHEDULE OF TAX RECEIPTS
FISCAL YEAR 2010-2011
(amounts in millions)**

**EXHIBIT "G"
TAX RECEIPTS**

													6 Months Ended Sept. 30	
	2010 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2011 JANUARY	FEBRUARY	MARCH	2010	2009
PERSONAL INCOME TAX	\$ --	\$ --	\$496.6	\$ --	\$ --	\$102.3							\$598.9	\$916.6
Total Personal Income Tax	--	--	496.6	--	--	102.3	0.0	0.0	0.0	0.0	0.0	0.0	598.9	916.6
CONSUMPTION/USE TAXES (*)														
Sales and Use	110.3	51.0	71.4	54.4	53.9	70.4							411.4	378.3
Auto Rental (**)	6.8	--	0.5	0.1	--	10.9							18.3	9.5
Cigarette/Tobacco Products	80.6	72.6	93.7	94.0	99.2	133.3							573.4	498.6
Motor Fuel	8.2	9.0	8.0	9.7	10.2	9.3							54.4	53.2
Alcoholic Beverage	--	--	--	--	--	--							--	--
Highway Use	--	--	--	--	--	--							--	--
Metropolitan Commuter Trans. Taxicab Trip	19.0	1.0	0.2	20.0	0.6	0.6							41.4	--
Total Consumption/Use Taxes and Fees	224.9	133.6	173.8	178.2	163.9	224.5	0.0	0.0	0.0	0.0	0.0	0.0	1,098.9	939.6
BUSINESS TAXES														
Corporation Franchise	7.7	1.4	70.3	10.6	11.8	48.7							150.5	189.5
Corporation and Utilities	(4.0)	13.5	27.9	5.4	(5.1)	36.2							73.9	98.4
Insurance	1.2	(0.3)	25.0	1.0	0.8	26.4							54.1	121.9
Bank	(9.8)	1.9	38.0	2.7	0.7	54.7							88.2	97.9
Petroleum Business	37.0	38.1	40.7	43.2	45.6	40.6							245.2	253.9
Total Business Taxes	32.1	54.6	201.9	62.9	53.8	206.6	0.0	0.0	0.0	0.0	0.0	0.0	611.9	761.6
OTHER TAXES														
Real Property Gains	--	--	--	--	--	--							--	--
Estate and Gift	--	--	--	--	--	--							--	--
Pari-Mutuel	--	--	--	--	--	--							--	--
Real Estate Transfer	--	--	--	--	--	--							--	--
Racing and Exhibitions	--	--	--	--	--	--							--	--
Metropolitan Commuter Trans. Mobility (***)	136.8	119.0	80.5	99.7	118.0	68.3							622.3	--
Total Other Taxes	136.8	119.0	80.5	99.7	118.0	68.3	0.0	0.0	0.0	0.0	0.0	0.0	622.3	--
TOTAL TAX RECEIPTS	\$393.8	\$307.2	\$952.8	\$340.8	\$335.7	\$601.7	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$2,932.0	\$2,617.8

(*) Miscellaneous receipts includes Alcoholic Beverage Control license and Motor Vehicle fees. In prior years, receipts from these sources were reported as Consumption/Use taxes.

(**) Auto Rental includes \$18.3 million of monies collected by New York State on behalf of the Metropolitan Transportation Authority.

**STATE OF NEW YORK
DEBT SERVICE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2010-2011
(amounts in millions)**

EXHIBIT "H"

	2010 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2011		6 Months Ended Sept. 30				
										JANUARY	FEBRUARY	MARCH	2010	2009	\$ Increase/ (Decrease)	% Increase/ Decrease
OPENING CASH BALANCE	\$410.9	\$428.4	\$640.7	\$440.4	\$807.0	\$1,023.3							\$410.9	\$298.1	\$112.8	37.8%
RECEIPTS:																
Personal Income Tax	1,023.0	261.1	887.1	525.1	556.2	891.0							4,143.5	4,015.0	128.5	3.2%
Consumption/Use Taxes																
Sales and Use	190.0	189.3	263.7	201.0	201.3	264.0							1,309.3	1,235.7	73.6	6.0%
Other Taxes	44.8	43.4	39.2	48.3	43.0	37.2							255.9	139.0	116.9	84.1%
Miscellaneous Receipts	67.3	35.0	75.8	41.7	61.7	121.7							403.2	417.3	(14.1)	-3.4%
Federal Receipts (*)	--	--	--	1.5	16.1	--							17.6	--	17.6	100.0%
Total Receipts	1,325.1	528.8	1,265.8	817.6	878.3	1,313.9	0.0	0.0	0.0	0.0	0.0	0.0	6,129.5	5,807.0	322.5	5.6%
DISBURSEMENTS:																
Departmental Operations:																
Non-Personal Service	5.9	0.9	5.3	13.6	2.2	10.2							38.1	24.8	13.3	53.6%
Debt Service, including payments on financing agreements (**)	514.8	160.3	290.9	107.7	273.8	842.7							2,190.2	1,957.3	232.9	11.9%
Total Disbursements	520.7	161.2	296.2	121.3	276.0	852.9	0.0	0.0	0.0	0.0	0.0	0.0	2,228.3	1,982.1	246.2	12.4%
Excess (Deficiency) of Receipts over Disbursements	804.4	367.6	969.6	696.3	602.3	461.0	0.0	0.0	0.0	0.0	0.0	0.0	3,901.2	3,824.9	76.3	2.0%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	809.3	384.5	437.7	876.7	369.2	589.3							3,466.7	3,058.4	408.3	13.4%
Transfers to Other Funds	(1,596.2)	(539.8)	(1,607.6)	(1,206.4)	(755.2)	(1,541.1)							(7,246.3)	(6,845.6)	400.7	5.9%
Total Other Financing Sources (Uses)	(786.9)	(155.3)	(1,169.9)	(329.7)	(386.0)	(951.8)	0.0	0.0	0.0	0.0	0.0	0.0	(3,779.6)	(3,787.2)	7.6	0.2%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	17.5	212.3	(200.3)	366.6	216.3	(490.8)	0.0	0.0	0.0	0.0	0.0	0.0	121.6	37.7	83.9	222.7%
CLOSING CASH BALANCE	\$428.4	\$640.7	\$440.4	\$807.0	\$1,023.3	\$532.5	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$532.5	\$335.8	\$196.7	58.6%

(*) Federal receipts includes credit payments for interest paid on Build America Bonds.

(**) To ensure that all debt service obligations are met and to manage the State's General Fund cash flow, DOB has requested agencies and public authorities to prepay debt service and related payments that are payable from the General Debt Service Fund. In April 2010, the State prepaid \$123.5 million of payments due in May 2010, \$209.9 million of payments due in June 2010, \$0.4 million of payments due in July 2010 and \$0.4 million of payments due in August 2010; in May 2010, the State prepaid \$40.9 million of payments due in June 2010 and \$0.1 million of payments due in September 2010.

**STATE OF NEW YORK
CAPITAL PROJECTS FUNDS-COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2010-2011
(amounts in millions)**

**EXHIBIT "I"
COMBINED**

													6 Months Ended Sept. 30			
	2010 APRIL (\$253.3)	MAY (\$294.3)	JUNE (\$304.1)	JULY (\$341.1)	AUGUST (\$402.7)	SEPTEMBER (\$468.1)	OCTOBER	NOVEMBER	DECEMBER	2011 JANUARY	FEBRUARY	MARCH	2010 (\$253.3)	2009 (\$507.2)	\$ Increase/ (Decrease)	% Increase/ Decrease
OPENING CASH BALANCE (DEFICITS)															\$253.9	50.1%
RECEIPTS:																
Consumption/Use Taxes (*)																
Auto Rental	(1.6)	--	13.1	--	0.1	19.4							31.0	24.0	7.0	29.2%
Motor Fuel	31.1	33.6	30.6	36.6	38.9	36.1							206.9	202.8	4.1	2.0%
Highway Use	12.8	9.4	11.2	11.3	12.3	9.3							66.3	72.4	(6.1)	-8.4%
Business Taxes																
Petroleum Business	46.3	47.5	51.1	54.1	56.8	51.1							306.9	316.7	(9.8)	-3.1%
Transmission	0.5	0.1	3.3	0.5	0.2	2.4							7.0	9.0	(2.0)	-22.2%
Other Taxes	--	--	11.9	11.9	11.9	11.9							47.6	79.8	(32.2)	-40.4%
Miscellaneous Receipts (*)	336.3	190.7	347.2	181.1	363.6	370.4							1,789.3	1,676.9	112.4	6.7%
Federal Receipts	102.5	133.4	213.2	234.0	233.2	266.9							1,183.2	875.6	307.6	35.1%
Total Receipts	527.9	414.7	681.6	529.5	717.0	767.5	0.0	0.0	0.0	0.0	0.0	0.0	3,638.2	3,257.2	381.0	11.7%
DISBURSEMENTS:																
Local Assistance Grants:																
Education	8.3	1.6	5.1	0.6	8.6	--							24.2	39.4	(15.2)	-38.6%
Social Services	--	--	--	--	2.5	--							2.5	0.2	2.3	1150.0%
Health and Environment	2.3	19.5	32.5	37.8	46.1	35.5							173.7	70.1	103.6	147.8%
Mental Hygiene	4.5	1.4	10.2	10.4	5.8	5.4							37.7	30.5	7.2	23.6%
Transportation	63.9	33.3	21.7	26.3	81.6	58.8							285.6	218.6	67.0	30.6%
Miscellaneous	9.4	23.2	0.9	24.6	22.5	33.0							113.6	163.1	(49.5)	-30.3%
Total Local Assistance Grants	88.4	79.0	70.4	99.7	167.1	132.7	0.0	0.0	0.0	0.0	0.0	0.0	637.3	521.9	115.4	22.1%
Departmental Operations:																
Personal Service	--	--	--	--	--	--							--	--	--	--
Non-Personal Service	--	--	--	--	--	--							--	--	--	--
General State Charges	--	--	--	--	--	--							--	--	--	--
Capital Projects	430.0	291.0	585.0	510.4	500.4	657.1							2,973.9	2,866.0	107.9	3.8%
Total Disbursements	518.4	370.0	655.4	610.1	667.5	789.8	0.0	0.0	0.0	0.0	0.0	0.0	3,611.2	3,387.9	223.3	6.6%
Excess (Deficiency) of Receipts over Disbursements	9.5	44.7	26.2	(80.6)	49.5	(22.3)	0.0	0.0	0.0	0.0	0.0	0.0	27.0	(130.7)	157.7	120.7%
OTHER FINANCING SOURCES (USES):																
Bond Proceeds (net)	--	--	--	--	--	--							--	--	--	--
Transfers from Other Funds	40.5	36.8	27.4	122.2	(24.3)	65.5							268.1	297.4	(29.3)	-9.9%
Transfers to Other Funds	(91.0)	(91.3)	(90.6)	(103.2)	(90.6)	(230.7)							(697.4)	(506.9)	190.5	37.6%
Total Other Financing Sources (Uses)	(50.5)	(54.5)	(63.2)	19.0	(114.9)	(165.2)	0.0	0.0	0.0	0.0	0.0	0.0	(429.3)	(209.5)	(219.8)	-104.9%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(41.0)	(9.8)	(37.0)	(61.6)	(65.4)	(187.5)	0.0	0.0	0.0	0.0	0.0	0.0	(402.3)	(340.2)	(62.1)	-18.3%
CLOSING CASH BALANCE (DEFICITS)	(\$294.3)	(\$304.1)	(\$341.1)	(\$402.7)	(\$468.1)	(\$655.6)	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	(\$655.6)	(\$847.4)	\$191.8	22.6%

(*) Miscellaneous Receipts includes Motor Vehicle Fees. In prior years, receipts from this source was reported as Consumption/Use Taxes. For comparative purposes, we have restated the prior fiscal year Consumption/Use Taxes and Miscellaneous Receipts.

**STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - STATE
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2010-2011
(amounts in millions)**

**EXHIBIT "I"
STATE**

													6 Months Ended Sept. 30				
	2010 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2011 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	2010	2009	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:																	
Consumption/Use Taxes (**)																	
Auto Rental	(\$1.6)	\$ --	\$13.1	\$ --	\$0.1	\$19.4							\$ --	\$31.0	\$24.0	\$7.0	29.2%
Motor Fuel	31.1	33.6	30.6	36.6	38.9	36.1							--	206.9	202.8	4.1	2.0%
Highway Use	12.8	9.4	11.2	11.3	12.3	9.3							--	66.3	72.4	(6.1)	-8.4%
Business Taxes																	
Petroleum Business	46.3	47.5	51.1	54.1	56.8	51.1							--	306.9	316.7	(9.8)	-3.1%
Transmission	0.5	0.1	3.3	0.5	0.2	2.4							--	7.0	9.0	(2.0)	-22.2%
Other Taxes	--	--	11.9	11.9	11.9	11.9							--	47.6	79.8	(32.2)	-40.4%
Miscellaneous Receipts (**)	336.2	190.7	346.8	181.0	363.0	370.2							--	1,787.9	1,676.4	111.5	6.7%
Federal Receipts	--	--	--	--	--	--							--	--	--	--	--
Total Receipts	425.3	281.3	468.0	295.4	483.2	500.4	0.0	0.0	0.0	0.0	0.0	0.0	--	2,453.6	2,381.1	72.5	3.0%
DISBURSEMENTS:																	
Local Assistance Grants:																	
Education	8.3	1.6	5.1	0.6	8.6	--							--	24.2	39.4	(15.2)	-38.6%
Social Services	--	--	--	--	2.5	--							--	2.5	0.2	2.3	1150.0%
Health and Environment	2.3	15.0	6.7	19.3	13.0	23.4							--	79.7	56.2	23.5	41.8%
Mental Hygiene	4.5	1.4	10.2	10.4	5.8	5.4							--	37.7	30.5	7.2	23.6%
Transportation	12.9	1.8	1.1	1.5	0.3	2.4							--	20.0	69.6	(49.6)	-71.3%
Miscellaneous	9.4	23.2	0.9	24.6	22.5	33.0							--	113.6	163.1	(49.5)	-30.3%
Total Local Assistance Grants	37.4	43.0	24.0	56.4	52.7	64.2	0.0	0.0	0.0	0.0	0.0	0.0	--	277.7	359.0	(81.3)	-22.6%
Departmental Operations:																	
Personal Service	--	--	--	--	--	--							--	--	--	--	--
Non-Personal Service	--	--	--	--	--	--							--	--	--	--	--
General State Charges	--	--	--	--	--	--							--	--	--	--	--
Capital Projects	385.7	213.4	459.9	388.7	359.0	523.9							--	2,330.6	2,237.6	93.0	4.2%
Total Disbursements	423.1	256.4	483.9	445.1	411.7	588.1	0.0	0.0	0.0	0.0	0.0	0.0	--	2,608.3	2,596.6	11.7	0.5%
Excess (Deficiency) of Receipts over Disbursements	2.2	24.9	(15.9)	(149.7)	71.5	(87.7)	0.0	0.0	0.0	0.0	0.0	0.0	--	(154.7)	(215.5)	60.8	28.2%
OTHER FINANCING SOURCES (USES):																	
Bond Proceeds (net)	--	--	--	--	--	--							--	--	--	--	--
Transfers from Other Funds	40.5	36.8	27.4	122.2	(24.3)	65.5							--	268.1	297.4	(29.3)	-9.9%
Transfers to Other Funds	(91.0)	(91.3)	(90.6)	(103.2)	(90.6)	(230.7)							--	(697.4)	(506.9)	190.5	37.6%
Total Other Financing Sources (Uses)	(50.5)	(54.5)	(63.2)	19.0	(114.9)	(165.2)	0.0	0.0	0.0	0.0	0.0	0.0	--	(429.3)	(209.5)	(219.8)	-104.9%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(\$48.3)	(\$29.6)	(\$79.1)	(\$130.7)	(\$43.4)	(\$252.9)	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$ --	(\$584.0)	(\$425.0)	(\$159.0)	-37.4%

(*) Intra-Fund transfer eliminations represent transfers from Capital Projects-Federal funds.

(**) Miscellaneous Receipts includes Alcoholic Beverage Control License and Motor Vehicle Fees. In prior years, these fees were reported as Consumption/Use Taxes. For comparison purposes, we have restated the prior fiscal year Consumption/Use Taxes and Miscellaneous Receipts.

**STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - FEDERAL
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2010-2011
(amounts in millions)**

**EXHIBIT "I"
FEDERAL**

													6 Months Ended Sept. 30				
	2010 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2011 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	2010	2009	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:																	
Miscellaneous Receipts	\$0.1	\$ --	\$0.4	\$0.1	\$0.6	\$0.2							\$ --	\$1.4	\$0.5	\$0.9	180.0%
Federal Receipts	102.5	133.4	213.2	234.0	233.2	266.9							--	1,183.2	875.6	307.6	35.1%
Total Receipts	102.6	133.4	213.6	234.1	233.8	267.1	0.0	0.0	0.0	0.0	0.0	0.0	--	1,184.6	876.1	308.5	35.2%
DISBURSEMENTS:																	
Local Assistance Grants:																	
Education	--	--	--	--	--	--							--	--	--	--	--
Social Services	--	--	--	--	--	--							--	--	--	--	--
Health and Environment	--	4.5	25.8	18.5	33.1	12.1							--	94.0	13.9	80.1	576.3%
Mental Hygiene	--	--	--	--	--	--							--	--	--	--	--
Transportation	51.0	31.5	20.6	24.8	81.3	56.4							--	265.6	149.0	116.6	78.3%
Miscellaneous	--	--	--	--	--	--							--	--	--	--	--
Total Local Assistance Grants	51.0	36.0	46.4	43.3	114.4	68.5	0.0	0.0	0.0	0.0	0.0	0.0	--	359.6	162.9	196.7	120.7%
Departmental Operations:																	
Personal Service	--	--	--	--	--	--							--	--	--	--	--
Non-Personal Service	--	--	--	--	--	--							--	--	--	--	--
General State Charges	--	--	--	--	--	--							--	--	--	--	--
Capital Projects	44.3	77.6	125.1	121.7	141.4	133.2							--	643.3	628.4	14.9	2.4%
Total Disbursements	95.3	113.6	171.5	165.0	255.8	201.7	0.0	0.0	0.0	0.0	0.0	0.0	--	1,002.9	791.3	211.6	26.7%
Excess (Deficiency) of Receipts over Disbursements	7.3	19.8	42.1	69.1	(22.0)	65.4	0.0	0.0	0.0	0.0	0.0	0.0	--	181.7	84.8	96.9	114.3%
OTHER FINANCING SOURCES (USES):																	
Transfers from Other Funds	--	--	--	--	--	--							--	--	--	--	--
Transfers to Other Funds	--	--	--	--	--	--							--	--	--	--	--
Total Other Financing Sources (Uses)	--	--	--	--	--	--	0.0	0.0	0.0	0.0	0.0	0.0	--	--	--	--	--
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	\$7.3	\$19.8	\$42.1	\$69.1	(\$22.0)	\$65.4	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$ --	\$181.7	\$84.8	\$96.9	114.3%

(*) Intra-Fund transfer eliminations represent transfers to Capital Projects-State funds.

**STATE OF NEW YORK
ENTERPRISE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2010-2011
(amounts in millions)**

EXHIBIT J

													6 Months Ended Sept. 30	
	2010 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2011 JANUARY	FEBRUARY	MARCH	2010	2009
BEGINNING FUND EQUITY (DEFICITS)	(\$64.1)	\$24.2	\$27.7	\$9.5	\$27.8	(\$67.4)							(\$64.1)	(\$55.1)
RECEIPTS:														
Miscellaneous Receipts	6.0	3.9	6.1	5.2	5.4	11.1							37.7	34.7
Federal Receipts (*)	544.4	468.7	479.7	531.5	506.4	513.1							3,043.8	2,624.0
Unemployment Taxes	358.3	287.1	325.3	311.6	320.0	317.1							1,919.4	2,181.6
Total Receipts	908.7	759.7	811.1	848.3	831.8	841.3	0.0	0.0	0.0	0.0	0.0	0.0	5,000.9	4,840.3
DISBURSEMENTS:														
Departmental Operations:														
Personal Service	0.3	0.4	0.3	0.4	0.5	1.9							3.8	4.1
Non-Personal Service	2.3	0.8	0.7	6.6	7.5	10.7							28.6	27.7
General State Charges	--	0.1	0.1	--	--	0.1							0.3	0.8
Unemployment Benefits (*)	817.8	754.9	828.2	822.0	919.0	740.7							4,882.6	4,762.5
Total Disbursements	820.4	756.2	829.3	829.0	927.0	753.4	0.0	0.0	0.0	0.0	0.0	0.0	4,915.3	4,795.1
Excess (Deficiency) of Receipts over Disbursements	88.3	3.5	(18.2)	19.3	(95.2)	87.9	0.0	0.0	0.0	0.0	0.0	0.0	85.6	45.2
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	--	--	--	--	--	--							--	--
Transfers to Other Funds	--	--	--	(1.0)	--	--							(1.0)	--
Total Other Financing Sources (Uses)	--	--	--	(1.0)	--	--	0.0	0.0	0.0	0.0	0.0	0.0	(1.0)	--
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	88.3	3.5	(18.2)	18.3	(95.2)	87.9	0.0	0.0	0.0	0.0	0.0	0.0	84.6	45.2
CLOSING CASH BALANCE	\$24.2	\$27.7	\$9.5	\$27.8	(\$67.4)	\$20.5	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$20.5	(\$9.9)

(*) A summary of American Recovery and Reinvestment Act (ARRA) disbursements is located in Appendix C.

**STATE OF NEW YORK
INTERNAL SERVICE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2010-2011
(amounts in millions)**

EXHIBIT K

													6 Months Ended Sept. 30	
	2010 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2011 JANUARY	FEBRUARY	MARCH	2010	2009
BEGINNING FUND EQUITY (DEFICITS)	\$18.1	\$7.3	(\$20.0)	\$17.8	\$7.7	\$27.4							\$18.1	\$27.4
RECEIPTS:														
Miscellaneous Receipts	25.3	13.8	42.2	23.5	56.6	32.5							193.9	200.7
Total Receipts	25.3	13.8	42.2	23.5	56.6	32.5	0.0	0.0	0.0	0.0	0.0	0.0	193.9	200.7
DISBURSEMENTS:														
Departmental Operations:														
Personal Service	9.1	8.9	8.9	9.4	9.2	12.9							58.4	61.9
Non-Personal Service	25.0	22.7	16.1	28.4	29.6	46.5							168.3	215.0
General State Charges	2.0	9.5	0.7	--	1.7	7.8							21.7	24.1
Total Disbursements	36.1	41.1	25.7	37.8	40.5	67.2	0.0	0.0	0.0	0.0	0.0	0.0	248.4	301.0
Excess (Deficiency) of Receipts over Disbursements	(10.8)	(27.3)	16.5	(14.3)	16.1	(34.7)	0.0	0.0	0.0	0.0	0.0	0.0	(54.5)	(100.3)
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	--	--	21.3	4.2	3.7	17.8							47.0	48.0
Transfers to Other Funds	--	--	--	--	(0.1)	(1.8)							(1.9)	(4.0)
Total Other Financing Sources (Uses)	--	--	21.3	4.2	3.6	16.0	0.0	0.0	0.0	0.0	0.0	0.0	45.1	44.0
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(10.8)	(27.3)	37.8	(10.1)	19.7	(18.7)	0.0	0.0	0.0	0.0	0.0	0.0	(9.4)	(56.3)
ENDING FUND EQUITY(DEFICITS)	\$7.3	(\$20.0)	\$17.8	\$7.7	\$27.4	\$8.7	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$8.7	(\$28.9)

**STATE OF NEW YORK
PRIVATE PURPOSE TRUST FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2010-2011
(amounts in millions)**

EXHIBIT L

	2010						2011						6 Months Ended Sept. 30	
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2010	2009
OPENING CASH BALANCE	\$9.3	\$9.4	\$9.4	\$9.5	\$9.5	\$9.5							\$9.3	\$9.9
RECEIPTS:														
Miscellaneous Receipts	0.1	--	0.2	--	0.1	0.1							0.5	0.5
Total Receipts	0.1	--	0.2	--	0.1	0.1	0.0	0.0	0.0	0.0	0.0	0.0	0.5	0.5
DISBURSEMENTS:														
Departmental Operations:														
Personal Service	--	--	0.1	--	--	0.1							0.2	0.2
Non-Personal Service	--	--	--	--	--	--							--	--
General State Charges	--	--	--	--	0.1	--							0.1	--
Total Disbursements	--	--	0.1	--	0.1	0.1	0.0	0.0	0.0	0.0	0.0	0.0	0.3	0.2
Excess (Deficiency) of Receipts over Disbursements	0.1	--	0.1	--	--	--	0.0	0.0	0.0	0.0	0.0	0.0	0.2	0.3
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	--	--	--	--	--	--							--	--
Transfers to Other Funds	--	--	--	--	--	--							--	--
Total Other Financing Sources (Uses)	--	--	--	--	--	--	0.0	0.0	0.0	0.0	0.0	0.0	--	--
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	0.1	--	0.1	--	--	--	0.0	0.0	0.0	0.0	0.0	0.0	0.2	0.3
CLOSING CASH BALANCE	\$9.4	\$9.4	\$9.5	\$9.5	\$9.5	\$9.5	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$9.5	\$10.2

**STATE OF NEW YORK
PENSION TRUST FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2010-2011
(amounts in millions)**

EXHIBIT M

	2010						2011						6 Months Ended Sept. 30	
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2010	2009
OPENING CASH BALANCE	\$ --	\$ --	\$ --	(\$0.2)	(\$1.2)	(\$9.6)							\$ --	(\$0.1)
RECEIPTS:														
Miscellaneous Receipts	12.1	4.6	5.9	4.6	3.4	17.0							47.6	53.8
Total Receipts	12.1	4.6	5.9	4.6	3.4	17.0	0.0	0.0	0.0	0.0	0.0	0.0	47.6	53.8
DISBURSEMENTS:														
Departmental Operations:														
Personal Service	4.4	4.3	4.6	4.2	4.5	6.5							28.5	28.5
Non-Personal Service	1.2	0.3	1.3	1.4	1.8	1.0							7.0	11.3
General State Charges	6.5	--	0.2	--	5.5	--							12.2	13.9
Total Disbursements	12.1	4.6	6.1	5.6	11.8	7.5	0.0	0.0	0.0	0.0	0.0	0.0	47.7	53.7
Excess (Deficiency) of Receipts over Disbursements	--	--	(0.2)	(1.0)	(8.4)	9.5	0.0	0.0	0.0	0.0	0.0	0.0	(0.1)	0.1
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	--	--	--	--	--	--							--	--
Transfers to Other Funds	--	--	--	--	--	--							--	--
Total Other Financing Sources (Uses)	--	--	--	--	--	--	0.0	0.0	0.0	0.0	0.0	0.0	--	--
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	--	--	(0.2)	(1.0)	(8.4)	9.5	0.0	0.0	0.0	0.0	0.0	0.0	(0.1)	0.1
CLOSING CASH BALANCE	\$ --	\$ --	(\$0.2)	(\$1.2)	(\$9.6)	(\$0.1)	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	(\$0.1)	\$ --

STATE OF NEW YORK
 GOVERNMENTAL FUNDS
 SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
 CHANGES IN FUND BALANCES
 FOR THE MONTH OF SEPTEMBER 2010
 (amounts in millions)

SCHEDULE 1

	BALANCE 9/1/10	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE 9/30/10
GENERAL FUND					
001-Local Assistance Account	\$ --	\$0.048	\$3,096.894	\$3,096.846	\$ --
003-State Operations Account	465.946	4,650.669	618.233	(2,172.131)	2,326.251
004-Tax Stabilization Reserve	--	--	--	--	--
005-Contingency Reserve	--	--	--	--	--
006-Universal Pre-K Reserve	--	--	--	--	--
007-Community Projects	59.940	--	19.841	15.000	55.099
008-Rainy Day Reserve Fund	--	--	--	--	--
013-Attica State Employee Victims'	2.000	--	2.000	--	--
014-FMAP Contingency Fund	--	--	--	--	--
017-Refund Reserve Account	--	--	--	--	--
166-Fringe Benefits Escrow	--	474.410	474.410	--	--
348-Tobacco Revenue Guarantee	--	--	--	--	--
TOTAL GENERAL FUND	527.886	5,125.127	4,211.378	939.715	2,381.350
SPECIAL REVENUE FUNDS-STATE					
019-Mental Health Gifts and Donations	2.250	0.003	0.014	--	2.239
020-Combined Expendable Trust	59.357	0.553	1.279	--	58.631
023-New York Interest on Lawyer Account	4.954	0.670	0.107	--	5.517
024-NYS Archives Partnership Trust	0.268	--	0.034	--	0.234
025-Child Performer's Protection	0.234	0.009	0.021	--	0.222
050-Tuition Reimbursement	4.827	0.314	0.176	--	4.965
052-New York State Local Government Records Management Improvement	4.593	0.832	0.359	--	5.066
053-School Tax Relief	0.310	102.301	102.550	--	0.061
054-Charter Schools Stimulus	1.881	--	0.862	--	1.019
055-Not-For-Profit Short Term Revolving Loan	--	--	--	--	--
056-Hudson River Valley Greenway	0.001	--	--	--	0.001
059-Rehabilitative Alcohol & Substance Abuse Treatment	0.019	--	--	--	0.019
061-HCRA Resources	418.678	421.543	451.597	(4.099)	384.525
073-Dedicated Mass Transportation Trust	85.643	56.767	58.319	--	84.091
160-State Lottery	775.187	637.268	2,026.267	--	(613.812)
221-Combined Student Loan	23.308	1.548	3.883	--	20.973
225-MTA Financial Assistance Fund	93.282	95.816	109.000	1.391	81.489
300-Sewage Treatment Program Mgmt. & Administration	(2.291)	--	0.792	--	(3.083)
301-EnCon Special Revenue	(18.723)	10.787	13.678	--	(21.614)
302-Conservation	57.554	11.068	3.976	--	64.646
303-Environmental Protection and Oil Spill Compensation	7.343	6.942	2.564	--	11.721
305-Training and Education Program on OSHA	11.042	0.004	4.110	--	6.936
306-Lawyers' Fund for Client Protection	5.783	0.607	0.059	--	6.331
307-Equipment Loan for the Disabled	0.468	0.007	0.002	--	0.473
313-Mass Transportation Operating Assistance	237.218	251.303	269.815	6.322	225.028
314-Clean Air	(10.517)	2.959	4.433	--	(11.991)
318-New York State Infrastructure Trust	0.067	--	--	--	0.067
321-Legislative Computer Services	9.122	0.063	0.131	--	9.054
328-Biodiversity Stewardship and Research	--	--	--	--	--
332-Combined Non-Expendable Trust	3.472	0.001	--	--	3.473
333-Winter Sports Education Trust	1.182	--	--	--	1.182
335-Musical Instrument Revolving	0.001	--	--	--	0.001
337-Rural Housing Assistance	--	--	--	--	--
338-Arts Capital Revolving	0.726	--	--	--	0.726
339-Miscellaneous State Special Revenue	1,334.255	372.814	1,081.442	692.858	1,318.485

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FOR THE MONTH OF SEPTEMBER 2010
(amounts in millions)

SCHEDULE 1
(Continued)

	BALANCE 9/1/10	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE 9/30/10
<u>SPECIAL REVENUE FUNDS-STATE (CONTINUED)</u>					
340-Court Facilities Incentive Aid	35.138	0.007	31.794	--	3.351
341-Employment Training	0.041	--	--	--	0.041
342-Homeless Housing and Assistance	--	--	--	--	--
345-State University Income	846.575	515.632	340.941	19.290	1,040.556
346-Chemical Dependence Service	4.173	0.488	0.024	--	4.637
349-Lake George Park Trust	1.673	0.124	0.068	--	1.729
354-State Police Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention	(53.191)	13.702	1.914	--	(41.403)
355-New York Great Lakes Protection	0.967	--	0.018	--	0.949
359-Federal Revenue Maximization	0.023	--	--	--	0.023
360-Housing Development	9.312	0.005	0.099	--	9.218
362-NYS/DOT Highway Safety Program	(1.472)	0.132	0.385	--	(1.725)
365-Vocational Rehabilitation	0.157	0.004	0.009	--	0.152
366-Drinking Water Program Management and Administration	(5.733)	--	1.114	--	(6.847)
368-NYC County Clerks' Operations Offset	(14.399)	--	2.550	--	(16.949)
369-Judiciary Data Processing Offset	3.622	1.588	2.128	--	3.082
377-IFR / CUTRA	91.130	18.507	16.249	--	93.388
383-Supplemental Jury Facilities	--	--	--	--	--
385-USOC Lake Placid Training	0.037	0.002	0.034	--	0.005
390-Indigent Legal Services	38.611	6.740	5.445	--	39.906
482-Unemployment Insurance Interest and Penalty	6.263	0.805	0.130	--	6.938
TOTAL SPECIAL REVENUE FUNDS-STATE	4,074.421	2,531.915	4,538.372	715.762	2,783.726
<u>SPECIAL REVENUE FUNDS-FEDERAL</u>					
261-Federal USDA / Food and Consumer Services	(30.958)	151.230	124.044	--	(3.772)
265-Federal Health and Human Services	(397.107)	3,879.734	3,164.250	(553.681)	(235.304)
267-Federal Education	(10.999)	418.248	447.729	(0.874)	(41.354)
269-Federal DHHS Block Grant	(0.092)	4.117	4.026	--	(0.001)
290-Federal Miscellaneous Operating Grants	173.579	126.082	119.586	--	180.075
480-Unemployment Insurance Administration	99.498	37.159	46.800	--	89.857
484-Unemployment Insurance Occupational Training	0.146	0.300	0.196	--	0.250
486-Federal Employment and Training Grants	(1.558)	27.114	25.769	--	(0.213)
TOTAL SPECIAL REVENUE FUNDS-FEDERAL	(167.491)	4,643.984	3,932.400	(554.555)	(10.462)
TOTAL SPECIAL REVENUE FUNDS	3,906.930	7,175.899	8,470.772	161.207	2,773.264
<u>DEBT SERVICE FUNDS</u>					
064-Debt Reduction Reserve	--	--	--	--	--
065-State University Educational Facilities	--	--	--	--	--
304-Mental Health Services	18.281	20.631	--	240.626	279.538
311-General Debt Service	757.724	891.022	792.860	(855.490)	0.396
315-Grade Crossing Elimination Debt Service	--	--	--	--	--
316-State Housing Debt Service	--	1.343	--	(1.343)	--
319-Department of Health Income	27.222	11.972	--	(7.731)	31.463
330-State University Dormitory Income	138.190	87.754	--	(25.157)	200.787
361-Clean Water/Clean Air	9.313	37.209	--	(35.039)	11.483
364-Local Government Assistance Tax	72.560	263.984	60.048	(267.668)	8.828
TOTAL DEBT SERVICE FUNDS	1,023.290	1,313.915	852.908	(951.802)	532.495

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FOR THE MONTH OF SEPTEMBER 2010
(amounts in millions)

SCHEDULE 1
(Continued)

	BALANCE 9/1/10	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE 9/30/10
CAPITAL PROJECTS FUNDS					
002-State Capital Projects	--	199.834	293.163	93.329	--
072-Dedicated Highway and Bridge Trust	(174.428)	219.507	236.841	(230.147)	(421.909)
074-SUNY Residence Halls Rehabilitation and Repair	104.954	0.022	2.628	3.000	105.348
075-New York State Canal System Development	3.409	0.183	--	--	3.592
076-Parks Infrastructure	(26.797)	4.437	3.423	(0.089)	(25.872)
077-Passenger Facility Charge	0.014	--	--	--	0.014
078-Environmental Protection	54.150	13.416	7.306	--	60.260
079-Clean Water/Clean Air Implementation	(0.480)	--	--	--	(0.480)
080-Hudson River Park	0.088	--	--	--	0.088
101-Energy Conservation Thru Improved Transportation Bond	0.164	--	--	--	0.164
103-Park & Recreation Land Acquisition Bond	--	--	--	--	--
105-Pure Waters Bond	--	--	--	--	--
106-Outdoor Recreation Development Bond	--	--	--	--	--
109-Transportation Capital Facilities Bond	3.392	--	--	--	3.392
115-Environmental Quality Protection Bond	2.391	--	--	(0.226)	2.165
118-Rail Preservation and Development Bond	--	--	--	--	--
119-State Housing Bond	--	--	--	--	--
121-Rebuild and Renew New York Transportation Bond	123.589	0.007	--	(26.052)	97.544
123-Transportation Infrastructure Renewal Bond	5.215	--	--	(0.400)	4.815
124-1986 Environmental Quality Bond Act	--	--	--	--	--
126-Accelerated Capacity and Transportation Improvement Bond	1.474	--	--	(1.474)	--
127-Clean Water/Clean Air Bond	14.522	--	--	(2.617)	11.905
291-Federal Capital Projects	(80.687)	267.152	201.718	--	(15.253)
310-Forest Preserve Expansion	0.892	--	--	--	0.892
312-Hazardous Waste Remedial	(81.082)	2.693	4.829	(0.462)	(83.680)
317-Pine Barrens	--	--	--	--	--
322-Lake Champlain Bridges	--	--	--	--	--
327-Suburban Transportation	0.503	--	--	--	0.503
357-Division for Youth Facilities Improvement	(8.479)	3.635	1.304	--	(6.148)
358-Youth Centers Facility	--	--	--	--	--
374-Housing Assistance	(26.100)	0.905	--	--	(25.195)
376-Housing Program	(104.068)	11.604	8.750	--	(101.214)
378-Natural Resource Damage	19.493	0.004	0.107	--	19.390
380-DOT Engineering Services	(14.581)	--	0.605	--	(15.186)
384-State University Capital Projects	95.039	14.020	0.959	--	108.100
387-Miscellaneous Capital Projects	21.504	0.156	0.134	--	21.526
388-CUNY Capital Projects	(0.022)	--	--	--	(0.022)
389-Mental Hygiene Facilities Capital Improvement	(375.692)	3.448	11.422	--	(383.666)
399-Correction Facilities Capital Improvement	(26.451)	26.451	16.658	--	(16.658)
TOTAL CAPITAL PROJECTS FUNDS	(468.074)	767.474	789.847	(165.138)	(655.585)
TOTAL GOVERNMENTAL FUNDS	\$4,990.032	\$14,382.415	\$14,324.905	(\$16.018)	\$5,031.524

STATE OF NEW YORK
 PROPRIETARY FUNDS
 SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND EQUITY
 FOR THE MONTH OF SEPTEMBER 2010
 (amounts in millions)

SCHEDULE 2

<u>FUND TYPE</u>	<u>FUND EQUITY 9/1/10</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER FINANCING SOURCES (USES)</u>	<u>FUND EQUITY 9/30/10</u>
<u>ENTERPRISE FUNDS</u>					
324-Youth Commissary	\$0.197	\$0.006	\$0.006	\$ --	\$0.197
325-State Exposition Special	6.107	7.718	7.931	--	5.894
326-Correctional Services Commissary	3.216	2.841	3.753	--	2.304
331-Agency Enterprise	2.751	0.182	0.630	--	2.303
351-Sheltered Workshop	1.922	0.118	0.128	--	1.912
352-Patient Workshop	1.235	0.077	0.080	--	1.232
353-Mental Hygiene Community Stores	2.759	0.177	0.160	--	2.776
450-Industrial Exhibit Authority	--	--	--	--	--
481-Unemployment Insurance Benefit	(85.602)	830.169	740.711	--	3.856
TOTAL ENTERPRISE FUNDS	(67.415)	841.288	753.399	--	20.474
<u>INTERNAL SERVICE FUNDS</u>					
323-O.G.S. Centralized Services	38.915	9.810	20.987	(0.008)	27.730
334-Agency Internal Service	9.457	17.784	39.901	16.027	3.367
343-Mental Hygiene Revolving	0.308	0.040	0.091	--	0.257
347-Youth Vocational Education	0.056	0.001	--	--	0.057
394-Joint Labor/Management Administration	1.351	0.001	0.092	--	1.260
395-Audit and Control Revolving	(0.403)	--	0.138	--	(0.541)
396-Health Insurance Revolving	(18.321)	0.336	1.354	--	(19.339)
397-Correctional Industries Revolving	(3.982)	4.512	4.580	--	(4.050)
TOTAL INTERNAL SERVICE FUNDS	27.381	32.484	67.143	16.019	8.741
TOTAL PROPRIETARY FUNDS	(\$40.034)	\$873.772	\$820.542	\$16.019	\$29.215

STATE OF NEW YORK
FIDUCIARY FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
FOR THE MONTH OF SEPTEMBER 2010
(amounts in millions)

SCHEDULE 3

<u>FUND TYPE</u>	<u>FUND BALANCE 9/1/10</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER FINANCING SOURCES (USES)</u>	<u>FUND BALANCE 9/30/10</u>
<u>PENSION TRUST FUNDS</u>					
400-Common Retirement-Administration	(\$9.581)	\$16.980	\$7.486	\$ --	(\$0.087)
TOTAL PENSION TRUST FUNDS	(9.581)	16.980	7.486	--	(0.087)
<u>PRIVATE PURPOSE TRUST FUNDS</u>					
021-Agriculture Producers' Security	1.714	--	0.026	--	1.688
022-Milk Producers' Security	7.828	0.045	0.050	--	7.823
TOTAL PRIVATE PURPOSE TRUST FUNDS	9.542	0.045	0.076	--	9.511
<u>AGENCY FUNDS</u>					
129-Private Not-For-Profit School Capital Facilities Financing Reserve	--	--	--	--	--
130-School Capital Facilities Financing Reserve	29.988	0.410	--	--	30.398
135-Child Performer's Holding	0.055	--	--	--	0.055
136-Child Performer's Holding II	0.073	0.002	--	--	0.075
137-Child Performer's Holding III	0.007	--	--	--	0.007
152-Employees Health Insurance (*)	421.834	791.491	811.696	--	401.629
153-Social Security Contribution	27.684	86.221	112.908	--	0.997
154-Employee Payroll Withholding Escrow	110.532	327.049	403.897	--	33.684
162-Employees Dental Insurance	0.677	7.987	7.925	--	0.739
163-Management Confidential Group Insurance	1.529	0.700	0.880	--	1.349
165-Lottery Prize	212.377	78.787	80.150	--	211.014
167-Health Insurance Reserve Receipts	0.085	--	--	--	0.085
169-Miscellaneous New York State Agency	511.683	128.809	18.581	--	621.911
175-Elderly Pharmaceutical Insurance Coverage Escrow	7.550	29.735	0.010	--	37.275
176-CUNY Senior College Operating	46.209	132.000	136.497	--	41.712
179-Medicaid Management Information System Escrow	174.934	4,276.844	4,175.154	--	276.624
309-Special Education	--	--	--	--	--
344-State University Collection	421.924	(161.456)	--	--	260.468
382-SUNY Federal Direct Lending Program	(44.590)	29.871	--	--	(14.719)
TOTAL AGENCY FUNDS	1,922.551	5,728.450	5,747.698	--	1,903.303
TOTAL FIDUCIARY FUNDS	\$1,922.512	\$5,745.475	\$5,755.260	\$ --	\$1,912.727

(*) The Health Insurance Fund - Reserve for Rate Fluctuations Account, holds health insurance dividends which are used to offset the State's contribution for employee health insurance premiums. As of September 30, 2010, the Account had a balance of \$313.9m but only \$164.0m in appropriation authority was available to 'offset' the State's contribution for employee health insurance. This will leave a balance of \$149.9m in available cash for future "offset" or refunds to participating employees and pensioners.

STATE OF NEW YORK
SOLE CUSTODY AND INVESTMENT ACCOUNTS
STATEMENT OF CASH RECEIPTS AND DISBURSEMENTS
FOR THE MONTH OF SEPTEMBER 2010
(amounts in millions)

SCHEDULE 4

<u>FUND TYPE</u>	<u>BEGINNING BALANCE 9/1/10</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>ENDING BALANCE 9/30/10</u>
<u>ACCOUNTS</u>				
060-Tobacco Settlement	\$2.691	\$0.001	\$ --	\$2.692
149-Sole Custody Investment (*)	1,477.302	2,321.992	1,980.405	1,818.889
650-Comptroller's Refund	--	236.002	236.002	--
TOTAL ACCOUNTS	\$1,479.993	\$2,557.995	\$2,216.407	\$1,821.581

(*) Includes Public Asset Fund resources

Chapter 1 of the Laws of 2002 authorized the conversion of Empire Health Choice, d/b/a Empire Blue Cross and Blue Shield from a not-for-profit corporation to a for-profit corporation. Chapter 1 requires, in part, that upon such conversion, assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to a fund designated as the "Public Asset Fund" and 5 percent transferred to a Charitable Foundation - as set forth in (s) 7317 of the Insurance Law. On December 28, 2005, Wellchoice, Inc. (previously known as Empire Blue Cross, Blue Shield) approved a takeover by Wellpoint, Inc. This conversion was also subject to the same Chapter 1 requirements of assigning assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to the "Public Asset Fund".

As of September 30, 2010, \$14,353,208.97 (representing the remaining balance of the State's 95 percent share of the fair market value of the not-for-profit corporation plus interest) is on deposit in the sole custody account titled Public Asset Fund. In accordance with Section 4301(j)(4)(F) and (O) of the Insurance Law and at the direction of the Director of the Budget, these funds are available for transfer to HCRA Resource Fund (061).

STATE OF NEW YORK
DEBT SERVICE FUNDS
STATEMENT OF DIRECT STATE DEBT ACTIVITY
FISCAL YEAR ENDED MARCH 31, 2011

SCHEDULE 5

PURPOSE	DEBT OUTSTANDING APRIL 1, 2010	DEBT ISSUED		DEBT MATURED		DEBT OUTSTANDING SEPT. 30, 2010	INTEREST DISBURSED	
		MONTH OF SEPTEMBER	6 MONTHS ENDED SEPT. 30, 2010	MONTH OF SEPTEMBER	6 MONTHS ENDED SEPT. 30, 2010		MONTH OF SEPTEMBER	6 MONTHS ENDED SEPT. 30, 2010
GENERAL OBLIGATION BONDED DEBT:								
Accelerated Capacity and Transportation Improvements	\$552,561,243.13	\$ --	\$ --	\$19,627,452.67	\$56,872,506.14	\$495,688,736.99	\$5,435,648.87	\$12,625,390.02
Clean Water/Clean Air:								
Air Quality	72,349,429.42	--	--	--	9,198,182.18	63,151,247.24	476,200.71	1,364,504.71
Safe Drinking Water	48,664,998.34	--	--	--	9,130,119.70	39,534,878.64	342,549.93	960,961.73
Water	495,662,014.13	--	--	--	7,298,741.51	488,363,272.62	5,246,004.45	8,959,674.27
Solid Waste	94,267,616.42	--	--	--	6,291,399.05	87,976,217.37	346,716.80	1,164,354.33
Environmental Restoration	87,951,097.20	--	--	--	--	87,951,097.20	1,048,083.61	1,775,753.76
Energy Conservation Through Improved Transportation: Rapid Transit and Rail Freight	20,818,473.97	--	--	2,605,786.01	4,623,135.53	16,195,338.44	354,664.85	531,762.40
Environmental Quality Protection (1972):								
Air	18,185,265.53	--	--	--	3,440,088.51	14,745,177.02	--	384,206.27
Land and Wetlands	39,928,781.54	--	--	1,471,008.23	6,996,003.24	32,932,778.30	238,337.28	919,088.83
Water	113,136,341.92	--	--	5,694,903.29	14,074,964.07	99,061,377.85	917,070.52	2,737,246.90
Environmental Quality (1986):								
Land and Forests	45,543,357.01	--	--	1,712,673.87	3,809,391.46	41,733,965.55	316,941.87	849,785.76
Solid Waste Management	472,147,453.04	--	--	14,442,148.66	30,407,005.70	441,740,447.34	4,091,236.26	8,815,171.12
Housing:								
Low Cost	49,118,825.82	--	--	--	4,925,252.94	44,193,572.88	--	746,250.05
Middle Income	41,077,000.00	--	--	--	565,000.00	40,512,000.00	--	944,871.25
Park and Recreation Land Acquisition	33,056.10	--	--	5,253.60	5,253.60	27,802.50	693.86	693.86
Pure Waters	82,913,314.60	--	--	2,826,437.24	8,126,801.91	74,786,512.69	668,596.58	1,946,218.73
Rail Preservation Development	11,722,509.42	--	--	903,619.34	4,024,813.98	7,697,695.44	110,279.96	312,895.96
Rebuild and Renew New York Transportation:								
Highway Facilities	521,372,024.37	--	--	--	--	521,372,024.37	7,605,592.79	10,503,829.05
Canals and Waterways	13,545,267.83	--	--	--	--	13,545,267.83	90,243.60	236,225.96
Aviation	32,753,241.85	--	--	--	--	32,753,241.85	271,218.79	631,271.79
Rail and Port	50,528,670.28	--	--	--	--	50,528,670.28	267,485.84	1,103,183.75
Mass Transit - Dept. of Transportation	10,917,928.77	--	--	--	--	10,917,928.77	59,898.58	212,602.83
Mass Transit - Metropolitan Transportation Authority	458,685,506.33	--	--	--	--	458,685,506.33	5,447,875.67	9,945,375.45
Rebuild New York-Transportation Infrastructure Renewal:								
Highways, Parkways, and Bridges	4,028,553.23	--	--	--	306,740.35	3,721,812.88	74,896.35	82,564.86
Ports, Canals, and Waterways	111,526.01	--	--	--	35,694.75	75,831.26	--	2,230.52
Rapid Transit, Rail, and Aviation	21,874,355.55	--	--	357,244.86	2,358,470.97	19,515,884.58	142,061.89	508,095.25
Transportation Capital Facilities:								
Aviation	23,248,935.03	--	--	420,288.07	3,174,776.88	20,074,158.15	135,317.72	558,532.77
Mass Transportation	16,788,212.61	--	--	998,184.16	8,145,657.52	8,642,555.09	104,654.18	453,945.18
Total General Obligation Bonded Debt	\$3,399,934,999.45	\$ --	\$ --	\$51,065,000.00	\$183,809,999.99	\$3,216,124,999.46	\$33,792,270.96	\$69,276,687.36

STATE OF NEW YORK
DEBT SERVICE FUNDS
FINANCING AGREEMENTS
FOR THE SIX (6) MONTHS ENDED SEPTEMBER 30, 2010

SCHEDULE 5a

	DEBT REDUCTION RESERVE FUND (064)	GENERAL DEBT SERVICE (311-01) (*)	DEPARTMENT OF HEALTH INCOME (319)	LOCAL GOVERNMENT ASSISTANCE TAX (364)	MENTAL HEALTH SERVICES (304)	REVENUE BOND TAX (311-02)	STATE UNIVERSITY DORMITORY INCOME (330)	COMBINED TOTALS		\$ INCREASE / (DECREASE)
								6 MONTHS ENDED SEPT. 30		
								2010	2009	
Special Contractual Financing Obligations:										
Managed by Office of General Services:										
Department of Trans Region 1 Schenectady	\$ --	\$427,769	\$ --	\$ --	\$ --	\$ --	\$ --	\$427,769	\$419,735	\$8,034
Hampton Plaza	--	112,656	--	--	--	--	--	112,656	123,281	(10,625)
Subtotal	\$ --	\$540,425	\$ --	\$ --	\$ --	\$ --	\$ --	\$540,425	\$543,016	(\$2,591)
Payments to Public Authorities:										
City University Construction	--	115,850,271	--	--	--	--	--	115,850,271	153,451,070	(37,600,799)
Community Enhancement Facilities Program	--	--	--	--	--	--	--	--	--	--
Dormitory Authority:										
OGS Parking	--	445,125	--	--	--	--	--	445,125	961,103	(515,978)
Albany County Airport	--	407,257	--	--	--	--	--	407,257	442,698	(35,441)
Child Care Facilities	--	106,066	--	--	--	--	--	106,066	147,391	(41,325)
Consolidated Service Contract Refunding	--	45,263,541	--	--	--	--	--	45,263,541	1,136,000	44,127,541
David Axelrod Institute	--	4,529,904	--	--	--	--	--	4,529,904	4,442,435	87,469
Department of Health Facilities	--	--	14,715,620	--	--	--	--	14,715,620	14,847,374	(131,754)
Economic Development Housing	--	--	--	--	--	12,504,194	--	12,504,194	9,634,700	2,869,494
Education	--	--	--	--	--	136,293,171	--	136,293,171	134,859,361	1,433,810
General Purpose	--	--	--	--	--	122,733,551	--	122,733,551	--	122,733,551
Health Care	--	--	--	--	--	3,200,144	--	3,200,144	3,649,608	(449,464)
Judicial Training Institute	--	428,018	--	--	--	--	--	428,018	344,643	83,375
Library for the Blind	--	489,719	--	--	--	--	--	489,719	489,663	56
Mental Health Facilities	--	--	--	--	107,191,572	--	--	107,191,572	111,092,306	(3,900,734)
RESCUE	--	211,263	--	--	--	--	--	211,263	414,937	(203,674)
State Department of Education Facilities	--	1,052,887	--	--	--	--	--	1,052,887	1,300,490	(247,603)
State Facilities and Equipment	--	--	--	--	--	937,801	--	937,801	1,443,512	(505,711)
SUNY Athletic Facilities	--	--	--	--	--	--	--	--	1,061,175	(1,061,175)
SUNY Community Colleges	--	18,536,069	--	--	--	--	--	18,536,069	32,561,056	(14,024,987)
SUNY Dormitory Facilities	--	--	--	--	--	--	51,341,163	51,341,163	50,611,853	729,310
SUNY Educational Facilities	--	169,944,417	--	--	--	--	--	169,944,417	315,136,151	(145,191,734)
Environmental Facilities Corporation	--	3,941,181	--	--	--	20,293,969	--	24,235,150	22,419,869	1,815,281
Housing Finance Agency	--	12,859,917	--	--	--	39,119,327	--	51,979,244	61,565,318	(9,586,074)
Local Government Assistance Corporation	--	--	--	64,361,299	--	--	--	64,361,299	57,594,648	6,766,651
Metropolitan Transportation Authority:										
Transit and Commuter Rail Projects	--	82,487,937	--	--	--	--	--	82,487,937	82,493,859	(5,922)
Triborough Bridge & Tunnel Authority:										
Javits Convention Center Project	--	1,184,250	--	--	--	--	--	1,184,250	2,518,177	(1,333,927)
Thruway Authority:										
Dedicated Highway & Bridge	--	505,175,429	--	--	--	--	--	505,175,429	320,696,843	184,478,586
Local Highway & Bridge	--	120,297,286	--	--	--	--	--	120,297,286	116,111,431	4,185,855
Transportation	--	--	--	--	--	54,138,953	--	54,138,953	50,232,462	3,906,491
Urban Development Corporation:										
Center for Industrial Innovation at RPI	--	315,012	--	--	--	--	--	315,012	409,200	(94,188)
Clarkson University	--	187,163	--	--	--	--	--	187,163	228,265	(41,102)
Columbia Univer. Telecommunications Center	--	2,806,000	--	--	--	--	--	2,806,000	4,630,000	(1,824,000)
Consolidated Service Contract Refunding	--	48,351,935	--	--	--	--	--	48,351,935	49,123,654	(771,719)
Cornell Univer. Supercomputer Center	--	366,000	--	--	--	--	--	366,000	620,000	(254,000)
Correctional Facilities	--	53,061,885	--	--	--	--	--	53,061,885	35,505,560	17,556,325
Debt Reduction Reserve	--	--	--	--	--	--	--	--	--	--
Economic Development Housing	--	--	--	--	--	31,543,799	--	31,543,799	25,281,775	6,262,024
General Purpose	--	--	--	--	--	35,311,904	--	35,311,904	--	35,311,904
South Mall	--	--	--	--	--	--	--	--	--	--
State Facilities and Equipment	--	--	--	--	--	51,661,195	--	51,661,195	32,947,132	18,714,063
Syracuse University Science and										
Technology Center	--	413,875	--	--	--	--	--	413,875	465,475	(51,600)
University Facilities Grant 95 Refunding	--	374,672	--	--	--	--	--	374,672	403,322	(28,650)
Youth Facilities	--	2,174,700	--	--	--	--	--	2,174,700	1,564,094	610,606
Subtotal	\$ --	\$1,191,261,779	\$14,715,620	\$64,361,299	\$107,191,572	\$507,738,008	\$51,341,163	\$1,936,609,441	\$1,702,838,610	\$233,770,831
Total Disbursements for Special Contractual Financing Obligations	\$ --	\$1,191,802,204	\$14,715,620	\$64,361,299	\$107,191,572	\$507,738,008	\$51,341,163	\$1,937,149,866	\$1,703,381,626	\$233,768,240

(*) To ensure that all debt service obligations are met and to manage the State's General Fund cash flow, DOB has requested agencies and public authorities to prepay debt service and related payments that are payable from the General Debt Service Fund. In April 2010, the State prepaid \$123.5 million of payments due in May 2010, \$209.9 million of payments due in June 2010, \$0.4 million of payments due in July 2010 and \$0.4 million of payments due in August 2010; in May 2010, the State prepaid \$21.4 million of payments due in June 2010 and \$0.1 million of payments due in September 2010.

**SUMMARY OF THE OPERATING FUND INVESTMENTS
FOR THE MONTH OF SEPTEMBER 2010
AS REQUIRED OF THE STATE COMPTROLLER**
(amounts in millions)

	<u>SEPTEMBER 2010</u>	<u>FISCAL YEAR TO DATE</u>	<u>PRIOR FYTD SEPTEMBER 2009</u>
<u>SHORT TERM INVESTMENT POOL*</u>			
AVERAGE DAILY INVESTMENT BALANCE**	\$7,269.8	\$6,620.9	\$6,346.4
AVERAGE YIELD**	0.234%	0.236%	0.350%
TOTAL INVESTMENT EARNINGS	\$1.537	\$8.900	\$13.599

Month-End Portfolio Balances

<u>DESCRIPTION</u>	<u>SEPTEMBER 2010</u>		<u>SEPTEMBER 2009</u>	
	<u>PAR</u>	<u>AMOUNT</u>	<u>PAR</u>	<u>AMOUNT</u>
GOVT. AGENCY BILLS/NOTES	\$ --		\$ --	
REPURCHASE AGREEMENTS		\$727.1		\$35.6
COMMERCIAL PAPER		\$3,164.9		\$3,711.8
CERTIFICATES OF DEPOSIT/SAVINGS		\$3,217.1		\$3,555.7
0% COMPENSATING BALANCE CD's		\$1,790.0		\$378.0
		<u>\$8,899.1</u>		<u>\$7,681.1</u>

*Pursuant to §98 of the State Finance Law, the State Comptroller is authorized to invest and keep invested all moneys, in any fund, held by the State. The Short Term investment Pool (STIP) represents an accounting mechanism that allows for the separate accounting of individual funds/subfunds (on deposit in State's general checking account) for the purpose of making short term investments. Pursuant to State Finance Law §4(5) the Short Term Investment Pool is authorized to temporarily loan to the General Fund-State Operations Account (003) funds for a period of four months or the end of the fiscal year, whichever is shorter. However, it must be noted that certain funds/subfunds are invested as part of STIP, but are held by the State Comptroller in a fiduciary capacity. Fiduciary fund balances are restricted and may not be used for any State purposes since moneys in such funds are held by the State in a trustee (or fiduciary) capacity or as an agent for individuals, private organizations, or non-State governmental units (e.g. local governments, public authorities). Therefore, Fiduciary fund balances are not available to be temporarily loaned to the General Fund-State Operations Account. Fiduciary fund balances are presented in Schedules 3 and 4 of this report.

**Does not include 0% Compensating Balance CD's.

SOURCE: PENSION INVESTMENT AND PUBLIC FINANCE

STATE OF NEW YORK
OFFICE OF THE STATE COMPTROLLER
DIVISION OF PAYROLL, ACCOUNTING, AND REVENUE SERVICES
BUREAU OF STATE ACCOUNTING OPERATIONS

**COMPTROLLER'S MONTHLY REPORT TO THE LEGISLATURE ON STATE FUNDS - CASH BASIS OF ACCOUNTING
FISCAL YEAR 2010-2011**

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STATE OF NEW YORK
 HCRA RESOURCES FUND
 STATEMENT OF RECEIPTS AND DISBURSEMENTS BY OBJECT
 FISCAL YEAR 2010-2011

APPENDIX A

	2010 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	6 Months Ended September 30, 2010
OPENING CASH BALANCE	\$26,183,364	\$262,462,884	\$264,788,002	\$450,946,657	\$464,518,202	\$418,678,845	\$26,183,364
RECEIPTS:							
Cigarette Tax	80,617,942	72,622,830	93,587,422	94,070,672	99,209,862	133,334,013	573,442,741
State Share of NYC Cigarette Tax	6,541,000	6,727,000	8,636,000	6,584,000	4,591,000	4,590,000	37,669,000
STIP Interest	45,789	47,122	92,923	84,877	98,898	102,412	472,021
Public Asset Transfers	--	--	--	--	--	--	--
Indigent Care Pool	3,747	--	1,313	2,026	--	796	7,882
Public Goods Pool	373,020,176	318,247,626	329,962,796	310,422,588	318,071,833	283,513,652	1,933,238,671
Miscellaneous	42,741	--	76,908	720,561	--	1,008	841,218
Total Receipts	460,271,395	397,644,578	432,357,362	411,884,724	421,971,593	421,541,881	2,545,671,533
DISBURSEMENTS:							
Grants - Social Service	187,396	63,279	16,182	199,727	65,992	5,052	537,628
Medical Assistance Payments	163,700,366	344,382,326	220,778,368	330,402,019	386,414,651	362,493,989	1,808,171,719
Grants - Health	51,555,507	31,684,715	18,560,035	65,515,955	58,135,240	85,633,237	311,084,689
Grants - Mental Hygiene	--	--	--	12,000	24,000	--	36,000
Grants - Miscellaneous	--	--	--	--	--	--	--
Interest - Late Payments	7,269	4,316	2,672	192	3,355	18,731	36,535
Personal Service	974,682	390,772	954,904	857,693	894,973	1,270,086	5,343,110
Non-Personal Service	5,188,953	5,662,214	4,403,159	47,838	2,979,577	1,653,281	19,935,022
Employee Benefits/Indirect Costs	--	897,818	566,770	--	--	522,663	1,987,251
Appropriated Transfers							
Transfers to 339-ES	--	--	490,000	--	--	--	490,000
Total Disbursements	221,614,173	383,085,440	245,772,090	397,035,424	448,517,788	451,597,039	2,147,621,954
OPERATING TRANSFERS:							
Transfers to 002	--	11,581,599	--	--	17,827,130	--	29,408,729
Transfers to 003	--	--	--	--	--	--	--
Transfers to 311-02	--	--	--	--	1,117,527	3,200,144	4,317,671
Transfers to 339-AP	--	--	--	--	--	--	--
Transfers to 345	2,377,702	652,421	426,617	1,277,755	348,505	898,101	5,981,101
Total Operating Transfers	2,377,702	12,234,020	426,617	1,277,755	19,293,162	4,098,245	39,707,501
Total Disbursements and Transfers	223,991,875	383,085,440	246,198,707	398,313,179	467,810,950	455,695,284	2,187,329,455
CLOSING CASH BALANCE	\$262,462,884	\$264,788,002	\$450,946,657	\$464,518,202	\$418,678,845	\$384,525,442	\$384,525,442

STATE OF NEW YORK
 HCRA RESOURCES FUND
 STATEMENT OF PROGRAM DISBURSEMENTS
 FISCAL YEAR 2010-2011

APPENDIX B

Program/Purpose	Appropriation Amount (1)	Segregation Amount	April - June Disbursements	July Disbursements	August Disbursements	September Disbursements	Total Disbursements 6 Months Ending September 30, 2010 (3)
ADULT HOMES PROGRAM	\$ 119,736	\$	\$	\$	\$	\$	\$
ADULT HOME RESIDENT COUNCIL PROJECT		84,000	--	12,000	24,000	--	36,000
ADMIN & EXECUTIVE DIRECTION PROGRAM	6,569,700						
HEALTH CARE DELIVERY ADMINISTRATION		576,520	66,137	14,635	15,198	14,635	110,605
HEALTH OCCUPATION DEVELOP/WORK DEMO		734,600	127,335	28,541	32,909	22,100	210,885
HEALTH WORKFORCE RETRAINING PROGRAM		1,177,100	179,222	--	--	--	179,222
PILOT HEALTH INSURANCE ACCOUNT		1,696,950	286,256	60,795	63,773	91,193	502,017
PRIMARY CARE INITIATIVES MONITORING		816,300	106,018	23,387	24,640	23,386	177,431
AIDS INSTITUTE PROGRAM	243,976,322						
HEALTH CARE SERVICES ACCOUNT		150,063,408	9,883,317	634,896	490,224	9,339,555	20,347,992
HOSPITAL BASED GRANTS PROGRAM		5,539,000	1,231,317	133,859	(6)	15,716	1,380,886
MATERNAL & CHILD HIV SERVICES		4,050,877	839,893	80,214	10,714	975	931,796
OPERATIONAL SUPPORT FOR AIDS HOUSING		1,833,500	266,857	199,728	65,991	5,052	537,628
CENTER FOR COMMUNITY HEALTH PROGRAM	192,730,215						
EVIDENCE BASED CANCER SERVICES		14,615,870	--	--	60,499	2,513,831	2,574,330
HEALTH CARE SERVICES ACCOUNT		65,440,528	5,475,345	3,711,065	4,181,939	3,656,820	17,025,169
HOSPITAL BASED GRANTS PROGRAM		36,504,834	7,614,029	791,935	412,864	1,034,909	9,853,737
TOBACCO CONTROL & CANCER SERVICES		4,794,895	730,504	163,190	174,077	379,318	1,447,089
OFFICE OF HEALTH SYSTEMS MANAGEMENT	53,173,768						
EMERGENCY MEDICAL SERVICES ACCOUNT		22,688,240	3,216,226	182,567	1,889,210	1,366,567	6,654,570
HEALTH CARE DELIVERY ADMINISTRATION		98,200	--	--	--	7,318	7,318
HEALTH CARE SERVICES ACCOUNT		8,480,268	235,570	166,682	17,746	16,137	436,135
HEALTH OCCUPATION DEVELOP/WORK DEMO		217,800	--	--	--	13,679	13,679
PRIMARY CARE INITIATIVES MONITORING		174,100	--	--	--	11,693	11,693
HEALTH CARE FINANCING PROGRAM	10,681,600						
PROVIDER COLLECTION MONITORING ACCOUNT		5,633,400	568,084	116,798	120,814	352,094	1,157,790
OFFICE OF HEALTH INSURANCE PROGRAM	18,181,200						
FAMILY HEALTH PLUS		9,526,000	1,562,463	97,917	714,443	478,427	2,853,250
MEDICAID FRAUD HOTLINE/ADMIN.		634,800	38,140	5,284	5,349	15,112	63,885
PILOT HEALTH INSURANCE ACCOUNT		395,970	--	--	--	451	451
MEDICAL ASSISTANCE PROGRAM	7,612,828,082						
BREAST & CERVICAL CANCER GRANTS		4,200,000	2,100,000	--	--	--	2,100,000
D&TC RATES FOR R&R GRANTS (4)		1,700,000	--	--	--	--	--
DISABLED PERSONS GRANTS		47,000,000	23,500,000	--	--	--	23,500,000
FAMILY HEALTH PLUS GRANTS		1,163,208,100	--	236,000,000	292,000,000	62,900,000	590,900,000
HOME HEALTH R&R RATES GRANTS (5)		100,000,000	--	--	--	--	--
MEDICAL ASSISTANCE - INDIGENT CARE FUND		1,876,600,206	298,717,800	95,679,774	94,763,157	95,492,090	584,652,821
MEDICAL ASSISTANCE - PAYMENTS GRANTS		244,200,000	130,100,000	--	--	--	130,100,000
NASSAU & SUFFOLK HOSPITAL DIST GRANTS		4,175,000	--	--	--	--	--
NON-PUBLIC GENERAL HOSP RATES FOR R&R (6)		61,800,000	--	--	--	--	--
NON-PUBLIC NH RATES FOR R&R GRANTS (7)		21,600,000	--	--	--	--	--
NURSING HOME FINANCIAL ASSIST GRANTS		15,000,000	--	--	--	--	--
NYC MEDICAID GRANTS		249,400,000	124,700,000	--	--	--	124,700,000
NYC NON-PUBLIC HOSP MEANINGFUL ACCESS		29,720,000	--	--	--	--	--
NYC PERSONAL CARE WRR RATES GRANTS (8)		136,000,000	--	--	--	--	--
PERSONAL CARE WRR RATES GRANTS (9)		11,200,000	--	--	--	--	--
PHARMACY SERVICES GRANT		1,983,108,500	--	--	--	205,000,000	205,000,000
PHYSICIAN SERVICES GRANT		170,400,000	85,200,000	--	--	--	85,200,000
PRIMARY CARE CASE MANAGEMENT		2,000,000	--	--	--	--	--
PRIORITY RESTORATION GRANTS		64,100,000	--	--	--	--	--
PUBLIC HOSPITAL RATES - SECTION 2807C		40,100,000	--	--	--	--	--
SUPPLEMENTAL MED INS PAYMENTS GRANTS		136,000,000	68,000,000	--	--	--	68,000,000
SUPPLEMENTAL RURAL HOSPITAL RATES		2,345,000	--	--	--	--	--
ENHANCED COMMUNITY SERVICES PROGRAM	500,000						
ENHANCED COMMUNITY SERVICES ACCOUNT		500,000	--	--	--	--	--
OFFICE OF LONG TERM CARE	17,157,972						
ADULT HOME INITIATIVES		3,571,041	136,628	175	47,310	41,929	226,042
ENHANCING ABILITIES & LIFE EXPERIENCE		2,639,525	29,577	--	16,139	--	45,716
ELDERLY PHARMACEUTICAL INS COVERAGE PRG	421,772,140						
ELDERLY PHARMACEUTICAL INSURANCE COVER		232,100,000	17,227	--	--	29,735,390	29,752,617
CHILD HEALTH INSURANCE PROGRAM	942,401,749						
CHILD HEALTH INSURANCE		583,754,621	60,378,840	57,302,326	18,813,794	33,463,114	169,958,074

STATE OF NEW YORK
 HCRA RESOURCES FUND
 STATEMENT OF PROGRAM DISBURSEMENTS
 FISCAL YEAR 2010-2011

APPENDIX B
 (continued)

Program/Purpose	Appropriation Amount (1)	Segregation Amount	April - June Disbursements	July Disbursements	August Disbursements	September Disbursements	Total Disbursements 6 Months Ending September 30, 2010 (3)
HEALTH CARE REFORM ACT PROGRAM	\$ 1,887,852,628	\$	\$	\$	\$	\$	\$
ADAP/HIV UNINSURED CARE (HRI) (10)		21,150,000	--	--	--	--	--
AMBULATORY CARE TRAINING PROGRAM		2,150,000	--	--	--	--	--
AREA HEALTH CARE CENTERS		786,934	--	--	--	--	--
ASSEMBLY PRIORITY DISTRIBUTIONS		30,359,519	1,162,250	--	--	315,501	1,477,751
AUDIT SERVICE PAYER/PROVIDER COMPLIANCE		14,016,061	5,212,747	--	--	124,866	5,337,613
CANCER RELATED SERVICES		26,052,320	2,538,936	183,345	147,967	37,132	2,907,380
CATASTROPHIC HEALTH CARE EXPENSE		3,111,420	--	--	--	--	--
COMMISSIONER EMERGENCY DISTRIBUTIONS		2,755,000	--	--	--	--	--
COMMISSIONER'S PRIORITY POOL DISTRIB.		13,638,892	--	--	--	--	--
DIAGNOSTIC & TREAT CTR UNCOMPENSAT CARE		102,710,646	--	--	12,194,751	--	12,194,751
DIVERSITY IN MEDICINE/POST BACCALAUREAT		2,565,000	526,860	527,815	--	200,066	1,254,741
HEALTH CARE STABILIZATION PROGRAM		26,995,288	--	--	--	--	--
HEALTH FACILITY RESTRUCTURING		29,400,000	--	--	--	--	--
HEALTH WORKFORCE RETRAINING		92,667,420	2,566,228	(645)	--	--	2,565,583
INDIVIDUAL SUBSIDY PROGRAM		357,330	--	--	--	--	--
INFERTILITY GRANT PROGRAM		2,345,602	--	--	--	--	--
INFERTILITY SRVCS TREATMENTS & PROC		12,267,619	982,524	82,494	--	146,735	1,211,753
LONG TERM CARE DELIVERY DEMO PROJECTS		956,244	--	--	--	--	--
LONG TERM CARE DEMO PROJECTS		750,000	--	--	--	--	--
LONG TERM CARE INSUR EDUC/OUTREACH		1,080,000	3,720	--	--	--	3,720
MINORITY PARTICIPATION MED EDUC		192,625	--	--	--	--	--
NYS AREA HEALTH EDUCATION CENTER (AHEC)		3,500,000	863,023	--	--	--	863,023
OTHER MEDICAL SCHOOL		945,101	--	--	--	--	--
PAY FOR PERFORMANCE INITIATIVES		9,406,209	--	--	--	--	--
PHYSICIAN LOAN REPAYMENT PROGRAM		1,700,170	114,173	--	55,632	--	169,805
PHYSICIAN PRACTICE SUPPORT PROGRAM		6,407,786	267,508	10,937	120,313	183,123	581,881
PHYSICIAN WORKFORCE STUDIES PROGRAM		258,000	--	--	--	--	--
PHYSICIANS EXCESS MEDICAL MALPRACTICE		127,400,000	--	--	--	--	--
POISON CONTROL CENTERS		5,748,477	--	--	--	--	--
POOL ADMINISTRATOR-SERVICES & EXPENSES		7,353,421	639,886	--	--	--	639,886
PRIMARY HEALTH CARE SERVICES		2,915,430	--	--	--	--	--
ROSWELL PARK CANCER INSTITUTE		124,018,750	--	--	19,400,000	--	19,400,000
RURAL HEALTH CARE ACCESS DEVELOP		21,163,959	1,259,261	--	122,019	829,160	2,210,440
RURAL HEALTH CARE DELIVERY DEVELOP		5,480,367	--	--	--	--	--
RURAL HEALTH NETWORK DEVELOPMENT		9,266,691	1,194,522	195,812	649,253	876,260	2,915,847
SCHOOL BASED HEALTH CENTERS		2,800,000	716,372	--	--	--	716,372
SCHOOL BASED HEALTH CLINICS		5,600,000	--	--	--	--	--
SECTION 405.4 HOSPITAL AUDITS		2,725,000	944,530	--	--	--	944,530
SENATE PRIORITY DISTRIBUTIONS		30,823,524	--	--	--	--	--
SUPPLEMENTAL GRADUATE MEDICAL EDUCATION		30,796,250	--	--	694,687	--	694,687
TOBACCO USE PREVENTION & CONTROL		88,818,567	9,629,118	1,907,653	1,536,887	3,790,806	16,864,464
WORKER RECRUIT/RETAIN PUBLIC HOSPITALS		21,683,334	--	--	--	--	--
TOTAL	11,407,945,112 (2)	8,417,318,111	853,928,443	398,313,179	448,866,293	452,495,140	2,153,603,055
Transfer to the General Fund - State Purposes Account (for administration of the program)	263,900						
Reclass of SUNY Hospital Disprop Share to Transfer			(3,456,740)	(1,277,755)	(348,505)	(898,101)	(5,981,101)
TOTAL APPROPRIATED AMOUNT	\$ 11,408,209,012	\$ 8,417,318,111	\$ 850,471,703	\$ 397,035,424	\$ 448,517,788	\$ 451,597,039	\$ 2,147,621,954

(1) Includes amounts appropriated in 2010 as well as prior year appropriations that were reappropriated in the SFY 2010 budget chapters.

(2) Unsegregated appropriation total is \$2,990,627,001.

(3) Disbursements from the HCRA Resource Fund includes direct grant payments to program beneficiaries, services and expenses for administration of grant programs, and transfers to the Public Goods Pool to finance payments made by the State's fiscal agent

(4) Full title is: Diagnostic and Treatment Center Rates Increase for Recruitment and Retention of Health Care Workers

(5) Full title is: Home Health Recruitment and Retention Rates Grant

(6) Full title is: Non-Public General Hospital Rates for Recruitment and Retention of Health Care Workers

(7) Full title is: Non-Public Nursing Home Rates for Recruitment and Retention of Health Care Workers

(8) Full title is: NYC Personal Care Workforce Recruitment and Retention Rates Grants

(9) Full title is: Personal Care Workforce Recruitment and Retention Rates Grants

(10) Full title is: Aids Drug Assistance Program/Human Immunodeficiency Virus - Uninsured Care - Health Research Incorporated.

STATE OF NEW YORK
 SCHEDULE OF DISBURSEMENTS OF FEDERAL AWARDS - SEPTEMBER 2010
 AMERICAN RECOVERY AND REINVESTMENT ACT OF 2009
 (Disbursement Based)

APPENDIX C

Federal CFDA No.	Federal Agency	Program	September Disbursements	Life-to-Date Disbursements
Education				
10.579	Department of Agriculture	Child Nutrition Discretionary Grants Limited Availability	\$ 1,969,073.00	\$ 5,813,970.24
11.557	Department of Commerce	Broadband Technology Opportunities Program (BTOP)	155,415.57	644,211.31
45.025	National Endowment for the Arts	Promotion of the Arts - Partnership Agreements	--	390,000.00
84.033	Department of Education	Federal Work-Study Program	--	2,102,760.00
84.063	Department of Education	Federal Pell Grant Program	20,200,123.50	128,138,128.00
84.386	Department of Education	Education Technology State Grants, Recovery Act	93,920.00	3,226,511.00
84.387	Department of Education	Education for Homeless Children and Youth, Recovery Act	24,827.00	1,611,979.00
84.388	Department of Education	School Improvement Grants, Recovery Act	2,539,712.11	3,727,902.53
84.389	Department of Education	Title I Grants to Local Education Agencies, Recovery Act	2,884,703.00	331,152,751.00
84.390	Department of Education	Rehabilitation Services - Vocational Rehabilitation Grants to States, Recovery Act	134,153.85	14,192,186.86
84.391	Department of Education	Special Education Grants to States, Recovery Act	15,531,109.59	250,693,442.47
84.392	Department of Education	Special Education - Preschool Grants, Recovery Act	1,042,140.00	10,630,998.00
84.394	Department of Education	State Fiscal Stabilization Fund (SFSF) - Education State Grants, Recovery Act	100,488,254.00	1,478,191,718.00
84.397	Department of Education	State Fiscal Stabilization Fund (SFSF) - Government Services, Recovery Act	192,622,005.33	473,540,072.81
84.398	Department of Education	Independent Living State Grants, Recovery Act	37,017.60	206,241.66
84.399	Department of Education	Independent Living Services for Older Individuals Who are Blind, Recovery Act	--	257,450.00
93.407	Health and Human Services	ARRA - Scholarships for Disadvantaged Students	29,700.50	238,928.50
Total Education			337,752,155.05	2,704,759,251.38
Energy and Environment				
10.086	Department of Agriculture	Aquaculture Grants Program (AGP)	--	7,611.86
10.688	Department of Agriculture	Recovery Act of 2009: Wildland Fire Management	53,163.52	203,059.96
66.040	Environmental Protection Agency	State Clean Diesel Grant Program	13,496.08	153,822.50
66.454	Environmental Protection Agency	Water Quality Management Planning	48,683.37	1,677,524.39
66.458	Environmental Protection Agency	Capitalization Grants for Clean Water State Revolving Funds	8,905,656.36	106,871,931.86
66.468	Environmental Protection Agency	Capitalization Grants for Drinking Water State Revolving Funds	3,213,652.19	53,453,419.27
66.805	Environmental Protection Agency	Leaking Underground Storage Tank Trust Fund Corrective Action Program	232,105.17	4,942,751.73
81.042	Department of Energy	Weatherization Assistance for Low-Income Persons	7,329,202.09	110,799,869.57
81.122	Department of Energy	Electricity Delivery and Energy Reliability, Research, Development and Analysis	27,315.15	34,637.06
Total Energy and Environment			19,823,273.93	278,144,628.20
Food and Nutrition Services				
10.568	Department of Agriculture	Emergency Food Assistance Program (Administrative Costs)	--	3,079,109.00
93.705	Health and Human Services	Aging Home-Delivered Nutrition Services for States	--	2,042,446.00
93.707	Health and Human Services	Aging Congregate Nutrition Services for States	--	4,148,718.00
Total Food and Nutrition Services			--	9,270,273.00
Health and Social Services				
10.557	Department of Agriculture	Special Supplemental Nutrition Program for Women, Infants & Children (WIC)	--	5,468,978.00
10.561	Department of Agriculture	State Administrative Matching Grants for the Supplemental Nutrition Assistance Program	--	24,402,283.00
14.257	Department of Housing and Urban Development	Homelessness Prevention and Rapid Re-Housing Program (Recovery Act Funded)	1,558,194.87	13,610,691.66
84.393	Department of Education	Special Education - Grants for Infants and Families, Recovery Act	870,243.29	2,275,524.68
93.563	Health and Human Services	Child Support Enforcement	31,356.61	33,303,723.02
93.658	Health and Human Services	Foster Care- Title IV-E	--	27,227,313.00
93.659	Health and Human Services	Adoption Assistance	--	31,956,079.00
93.712	Health and Human Services	ARRA - Immunization	62,816.88	869,990.54
93.713	Health and Human Services	ARRA - Child Care and Development Block Grant	639,484.00	38,063,567.25
93.714	Health and Human Services	ARRA - Emergency Contingency Fund for Temporary Assistance for Needy Families (TANF) State Programs	68,256,676.00	471,814,601.00
93.725	Health and Human Services	ARRA - Communities Putting Prevention to Work: Chronic Disease Self-Management Program	20,455.01	20,455.01
93.778	Health and Human Services	Medical Assistance Program (FMAP)	451,226,373.59	9,184,124,207.41
94.006	Corporation for National and Community Service	AmeriCorps	3,155.00	4,686,552.60
Total Health and Social Services			522,668,755.25	9,837,823,966.17

STATE OF NEW YORK
SCHEDULE OF DISBURSEMENTS OF FEDERAL AWARDS - SEPTEMBER 2010
AMERICAN RECOVERY AND REINVESTMENT ACT OF 2009
(Disbursement Based)

APPENDIX C
(continued)

Federal CFDA No.	Federal Agency	Program	September Disbursements	Life-to-Date Disbursements
Housing				
84.397	Department of Education	State Fiscal Stabilization Fund (SFSF) - Government Services, Recovery Act	\$ (21,875,000.00)	\$ --
93.710	Health and Human Services	ARRA - Community Services Block Grant	2,968,583.98	56,544,878.00
		Total Housing	(18,906,416.02)	56,544,878.00
Labor				
17.207	Department of Labor	Employment Service/Wanger-Peyser Funded Activities	8,131,831.09	21,944,760.84
17.225	Department of Labor	Unemployment Insurance	386,734,994.92	6,835,201,892.04
17.235	Department of Labor	Senior Community Service - Employment Program	(372.01)	1,198,285.89
17.258	Department of Labor	Workforce Investment Act - Adult Program	882,917.96	22,343,811.51
17.259	Department of Labor	Workforce Investment Act - Youth Activities	631,821.81	61,104,677.89
17.260	Department of Labor	Workforce Investment Act - Dislocated Workers	4,510,301.39	49,227,377.59
17.275	Department of Labor	Program of Competitive Grants for Worker Training and Placement in High Growth and Emerging Industry Sectors	26,794.28	83,892.74
		Total Labor	400,918,289.44	6,991,104,698.50
Public Protection				
11.558	Department of Commerce	State Broadband Data and Development Grant Program	124,606.75	236,253.87
12.401	Department of Defense	National Guard Military Operations and Maintenance (O&M) Projects	197,832.84	5,174,362.65
16.588	Department of Justice	Violence Against Women Formula Grants	472,729.54	2,168,311.18
16.800	Department of Justice	Recovery Act - Internet Crimes against Children Task Force Program (ICAC)	60,399.26	352,012.40
16.801	Department of Justice	Recovery Act - State Victim Assistance Formula Grant Program	--	728,757.60
16.802	Department of Justice	Recovery Act - State Victim Compensation Formula Grant Program	1,124,095.36	2,539,769.74
16.803	Department of Justice	Recovery Act - Edward Byrne Memorial Justice Assistance Grant (JAG) Program/ Grants to States and Territories	3,228,060.98	11,178,376.86
		Total Public Protection	5,207,724.73	22,377,844.30
Transportation				
20.205	Department of Transportation	Highway Planning and Construction	53,385,191.63	451,433,951.51
20.319	Department of Transportation	High-Speed Rail Corridors and Intercity Passenger Rail Service – Capital Assistance Grants	28,379.36	101,406.18
20.509	Department of Transportation	Formula Grants for Other Than Urbanized Areas	285,309.28	6,499,873.65
		Total Transportation	53,698,880.27	458,035,231.34
		TOTAL DISBURSEMENTS	\$ 1,321,162,662.65	\$ 20,358,060,770.89

APPENDIX D

STATE OF NEW YORK - STATEMENT OF CASH FLOW - PUBLIC GOODS POOL
FISCAL YEAR 2010-2011

	1st Quarter April-June	2010 JULY	2010 AUGUST	2010 SEPTEMBER	2010-2011
OPENING CASH BALANCE	\$ 253,139,434.36	\$ 220,136,159.64	\$ 240,969,821.90	\$ 228,663,315.81	\$ 253,139,434.36
RECEIPTS:					
Patient Services	637,594,837.64	215,050,993.40	182,446,723.52	204,953,842.25	1,240,046,396.81
Covered Lives	254,577,640.92	86,746,306.86	81,137,155.42	84,016,948.61	506,478,051.81
Provider Assessments	15,435,054.35	5,231,144.96	5,949,041.84	5,810,216.64	32,425,457.79
1% Assessments	80,333,434.00	24,153,861.04	29,848,918.00	25,654,712.00	159,990,925.04
DASNY- MOE/Recast receivables	0.00	0.00	0.00	0.00	0.00
Interest Income	38,493.40	12,211.13	13,983.25	12,069.02	76,756.80
NYPHRM	456.09	226.04	62.08	61.81	806.02
Unassigned	(35,868.00)	61,507.00	5,650,427.00	(5,073,183.00)	602,883.00
Total Receipts	987,944,048.40	331,256,250.43	305,046,311.11	315,374,667.33	1,939,621,277.27
DISBURSEMENTS:					
Program Disbursements:					
Poison Control Centers	0.00	0.00	0.00	0.00	0.00
School Based Health Center Grants	0.00	0.00	0.00	0.00	0.00
ECRIP Distributions	0.00	0.00	0.00	(694,687.00)	(694,687.00)
Total Disbursements	0.00	0.00	0.00	(694,687.00)	(694,687.00)
Excess (Deficiency) of Receipts over Disbursements	987,944,048.40	331,256,250.43	305,046,311.11	314,679,980.33	1,938,926,590.27
OTHER FINANCING SOURCES (USES):					
Transfers from Other Pools:					
Medicaid Disproportionate Share	428,156.33	0.00	0.00	0.00	428,156.33
Health Facility Assessment Fund	0.00	0.00	0.00	0.00	0.00
Transfers From State Funds:					
061-HCRA Resources Fund	0.00	0.00	694,687.00	0.00	694,687.00
Total Other Financing Sources	428,156.33	0.00	694,687.00	0.00	1,122,843.33
Transfers to Other Pools:					
Medicaid Disproportionate Share	0.00	0.00	0.00	0.00	0.00
Health Facility Assessment Fund	0.00	0.00	0.00	0.00	0.00
Transfers to State Funds:					
061-HCRA Resources Fund	(720,559,573.31)	(213,794,391.69)	(223,853,241.12)	(188,329,879.04)	(1,346,537,085.16)
061-IN Indigent Care Fund (matched)	(298,054,606.98)	(96,896,850.18)	(93,037,684.29)	(94,384,185.14)	(582,373,326.59)
061-IN Indigent Care Fund (non-matched)	(2,761,299.16)	268,653.70	(1,156,578.79)	(799,994.58)	(4,449,218.83)
Total Other Financing Uses	(1,021,375,479.45)	(310,422,588.17)	(318,047,504.20)	(283,514,058.76)	(1,933,359,630.58)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(33,003,274.72)	20,833,662.26	(12,306,506.09)	31,165,921.57	6,689,803.02
CLOSING CASH BALANCE	\$ 220,136,159.64	\$ 240,969,821.90	\$ 228,663,315.81	\$ 259,829,237.38	\$ 259,829,237.38

Source: HCRA - Office of Pool Administration

**STATE OF NEW YORK - STATEMENT OF CASH FLOW - MEDICAID DISPROPORTIONATE SHARE
FISCAL YEAR 2010-2011**

	<i>1st Quarter</i> April-June	2010 JULY	2010 AUGUST	2010 SEPTEMBER	2010-2011
OPENING CASH BALANCE	\$ 3,747.16	\$ 63,725.40	\$ 406.97	\$ 389.25	\$ 3,747.16
RECEIPTS:					
Interest Income	3,339.60	406.97	389.25	394.94	4,530.76
Total Receipts	<u>3,339.60</u>	<u>406.97</u>	<u>389.25</u>	<u>394.94</u>	<u>4,530.76</u>
DISBURSEMENTS:					
Program Disbursements:					
Indigent Care	(291,781,106.24)	(96,521,826.59)	(94,597,151.73)	(94,784,182.43)	(577,684,266.99)
High Need Indigent Care	(7,952,256.16)	0.00	0.00	0.00	(7,952,256.16)
Other	607,303.58	231,928.28	1,215,152.94	0.00	2,054,384.80
Total Program Disbursements	<u>(299,126,058.82)</u>	<u>(96,289,898.31)</u>	<u>(93,381,998.79)</u>	<u>(94,784,182.43)</u>	<u>(583,582,138.35)</u>
Excess (Deficiency) of Receipts over Disbursements	<u>(299,122,719.22)</u>	<u>(96,289,491.34)</u>	<u>(93,381,609.54)</u>	<u>(94,783,787.49)</u>	<u>(583,577,607.59)</u>
OTHER FINANCING SOURCES (USES):					
Transfers from Other Pools:					
Public Goods Pool	0.00	0.00	0.00	0.00	0.00
Health Facility Assessment Fund	0.00	0.00	0.00	0.00	0.00
Transfers From State Funds:					
061-IN HCRA Resources Indigent Care - Matched	149,027,303.50	48,448,425.09	46,518,842.15	47,192,092.57	291,186,663.31
061-IN HCRA Resources Indigent Care - Unmatched	1,561,307.29	(668,650.99)	344,314.50	399,997.29	1,636,968.09
265-Federal DHHS Fund	149,027,303.48	48,448,425.09	46,518,842.14	47,192,092.57	291,186,663.28
Total Other Financing Sources	<u>299,615,914.27</u>	<u>96,228,199.19</u>	<u>93,381,998.79</u>	<u>94,784,182.43</u>	<u>584,010,294.68</u>
Transfers to Other Pools:					
Public Goods Pool	(428,156.33)	0.00	0.00	0.00	(428,156.33)
Health Facility Assessment Fund	0.00	0.00	0.00	0.00	0.00
Transfers to State Funds:					
061-IN -HCRA Resources Fund Indigent Care Acct	(5,060.48)	(2,026.28)	(406.97)	(389.25)	(7,882.98)
Total Other Financing Uses	<u>(433,216.81)</u>	<u>(2,026.28)</u>	<u>(406.97)</u>	<u>(389.25)</u>	<u>(436,039.31)</u>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	<u>59,978.24</u>	<u>(63,318.43)</u>	<u>(17.72)</u>	<u>5.69</u>	<u>(3,352.22)</u>
CLOSING CASH BALANCE	<u>\$ 63,725.40</u>	<u>\$ 406.97</u>	<u>\$ 389.25</u>	<u>\$ 394.94</u>	<u>\$ 394.94</u>

Source: HCRA - Office of Pool Administration

SUMMARY OF OFF-BUDGET SPENDING REPORT

	DISBURSED APRIL '10 (000)	DISBURSED MAY '10 (000)	DISBURSED JUNE '10 (000)	DISBURSED JULY '10 (000)	DISBURSED AUG '10 (000)	DISBURSED SEPT '10 (000)	DISBURSED OCT '10 (000)	DISBURSED NOV '10 (000)	DISBURSED DEC '10 (000)	DISBURSED JAN '11 (000)	DISBURSED FEB '11 (000)	DISBURSED MAR '11 (000)	DISBURSED TOTAL 10-11 (000)
DORMITORY AUTHORITY:													
Education - All Other	81	--	--	18	62								161
Education - EXCEL	9,092	881	6,892	--	14,596								31,461
Department of Health - All Other	20	--	42	99	96								257
Department of Health - Oxford	--	--	--	--	--								--
Judicial Institutes (Pace)	--	--	--	--	--								--
CEFAP	1,087	669	176	--	342								2,274
Regional Development:													
CCAP	2,465	700	2,310	478	1,927								7,880
Multi-modal	33	446	--	--	--								479
GenNYsis	103	--	64	--	251								418
RESTORE	--	--	--	--	--								--
CUNY Senior Colleges	20,580	10,041	53,691	12,895	56,617								153,824
CUNY Community Colleges	7,853	2,561	17,706	3,684	7,460								39,264
SUNY Dormitories	26,034	8,392	25,222	11,520	37,945								109,113
Upstate Community Colleges	7,503	1,344	5,226	638	6,358								21,069
Mental Health	4,728	815	4,989	1,147	7,452								19,131
Mental Retardation	2,464	608	2,143	750	3,872								9,837
Alcoholism & Alcohol Abuse	73	10	124	2	351								560
TOTAL DORMITORY AUTHORITY:	82,116	26,467	118,585	31,231	137,329	--	--	--	--	--	--	--	395,728
EMPIRE STATE DEVELOPMENT CORP:													
Regional Development:													
Centers of Excellence	--	104	--	(79)	1,456								1,481
CCAP	392	608	633	348	631								2,612
Empire Opportunity	--	--	--	--	--								--
CEFAP	97	--	--	--	--								97
SEMATECH	--	--	--	--	--								--
State Facilities and Equipment	74	--	--	--	--								74
TOTAL EMPIRE STATE DEVELOPMENT CORP:	563	712	633	269	2,087	--	--	--	--	--	--	--	4,264
THRUWAY AUTHORITY:													
CHIPS	--	--	--	46,449	--								46,449
SHIPS	--	--	--	--	--								--
Marchiselli	--	--	5,676	--	--								5,676
Multi-modal	--	380	--	--	--								380
TOTAL THRUWAY AUTHORITY:	--	380	5,676	46,449	--	--	--	--	--	--	--	--	52,505
TOTAL OFF-BUDGET:	82,679	27,559	124,894	77,949	139,416	--	--	--	--	--	--	--	452,497
TOTAL CEFAP	1,184	669	176	--	342								2,371
ECONOMIC DEVELOPMENT:													
Total CCAP	2,857	1,308	2,943	826	2,558								10,492
Total Multi-modal	33	446	--	--	--								479
Total GenNYsis	103	--	64	--	251								418
Total RESTORE	--	--	--	--	--								--
Total Centers for Excellence	--	104	--	(79)	1,456								1,481
Total Empire Opportunity	--	--	--	--	--								--
Total Economic Development	2,993	1,858	3,007	747	4,265	--	--	--	--	--	--	--	12,870

The Division of the Budget (DOB) is responsible for organizing and presenting the above schedule of 'Off Budget Spending'. Such reported disbursements are drawn from unaudited financial data provided by public authorities. Although the Office of the State Comptroller (OSC) has no reason to believe this information to be unreliable, it is important to note that these program disbursements are financed with public authority bond proceeds deposited directly into public authority accounts and all disbursements are made without any oversight by the OSC. Therefore, and pursuant to the provisions of Chapter 60, §16, of the Laws of 2006; this schedule is provided for information only.

Schedule of Month-End Temporary Loans Outstanding September 30, 2010

Temporary Loans are authorizations enacted by the Legislature to allow certain funds/accounts to make appropriated (cash) payments where the funds/accounts do not have sufficient (available) cash on deposit to make such payments. Such loans are made from the State's Short-Term Investment Pool (STIP) and are intended to satisfy **temporary** cash flow needs whenever scheduled disbursements exceed available revenues during the fiscal year. Generally temporary loans are repaid from the first cash receipts of the fund/account. Temporary Loans are authorized pursuant to Subdivision 5 of Section 4 of the State Finance Law and yearly legislation.

It is the prudent and proper practice of the State Comptroller's Office to reduce the (spendable) cash balance of appropriated fund balances at the time that checks and electronic payments are issued. The concept of monitoring 'spendable funds' is employed by the State Comptroller in the central accounting system to ensure that all disbursements (including checks and electronic payments that are future dated) do not exceed the cash available to finance ongoing payments made from the State Treasury. Therefore, the amount of Temporary Loans (from the short-term investment pool) reflects the spendable fund balance, reduced for certain local assistance payments made in the reporting month that had a check or electronic payment date in the following month. The temporary loan balances for the all funds reflect the '**actual**' fund cash balances as of the close of business on the last day of the reporting month and are not adjusted for future-dated check and electronic payments. Furthermore, tax receipts remitted to the State on the last business day of the month are drawn into the State Treasury on the first business day of the next month. These final tax receipts are reported by the Department of Tax and Finance as tax collections for the reporting month but have no impact on the month-end temporary loan balance since such receipts were not available until the first business day of the next month. Post-closing adjustments are taken-on to the State's cash-basis financial statements to count future dated payments in the month of the check or electronic payment and tax revenues in the month that these were received.

Please refer to Schedule 1 for a detailed analysis of the '*reported*' cash balances of the fund groups.

**Office of the State Comptroller
Summary of Month-End Temporary Loans Outstanding**

	May 31, 2010	June 30, 2010	July 31, 2010	August 31, 2010	Change	September 30, 2010
TOTAL GENERAL FUND	\$472,067,000.49	\$168,490,330.85	\$ --	\$ --	\$ --	\$ --
TOTAL CAPITAL AND BOND REIMBURSABLE FUNDS	779,491,497.09	851,554,033.90	946,409,785.35	924,886,456.04	295,773,142.84	1,220,659,598.88
TOTAL STATE SPECIAL REVENUE FUNDS	442,925,635.76	490,940,580.80	531,092,554.21	559,824,765.92	1,539,243,008.16	2,099,067,774.08
TOTAL FEDERAL FUNDS	356,725,119.12	902,199,450.11	346,664,620.57	950,530,129.08	(643,034,502.23)	307,495,626.85
TOTAL AGENCY FUNDS	--	--	--	--	--	--
TOTAL ENTERPRISE FUND	--	--	--	--	--	--
TOTAL INTERNAL SERVICE FUNDS	85,141,162.56	49,395,860.84	54,305,150.47	63,341,707.34	2,154,141.18	65,495,848.52
GRAND TOTAL - TEMPORARY LOANS OUTSTANDING	\$2,136,350,415.02	\$2,462,580,256.50	\$1,878,472,110.60	\$2,498,583,058.38	\$1,194,135,789.95	\$3,692,718,848.33

Office of the State Comptroller
Schedule of Month-End Temporary Loans Outstanding

FUND/ ACCOUNT	ACCOUNT TITLE	May 31, 2010	June 30, 2010	July 31, 2010	August 31, 2010	Change	September 30, 2010
GENERAL FUND							
	State Operations and Local Assistance	472,067,000.49	168,490,330.85	0.00	0.00	0.00	0.00
	TOTAL GENERAL FUND	\$472,067,000.49	\$168,490,330.85	\$0.00	\$0.00	0.00	\$0.00
CAPITAL PROJECT AND BOND REIMBURSABLE FUNDS							
072	-01 HIGHWAY AND BRIDGE CAPITAL	149,517,190.06	197,414,773.09	235,470,032.06	229,777,061.90	301,032,483.47	530,809,545.37
074	-4Y REHAB/REPAIR MARITIME	0.00	0.00	0.00	0.00	0.00	0.00
	-4Z D21RVE- MARITIME	0.00	0.00	0.00	0.00	0.00	0.00
	-6Z D36RVE- CENTRAL ADMIN	0.00	0.00	0.00	0.00	0.00	0.00
	-8A RESIDENCE HALL CAMPUS LET BOND PROCEEDS	6,226,685.32	5,550,064.27	4,969,830.93	1,880,621.99	488,435.36	2,369,057.35
	-AY REHAB/REPAIR ALBANY	0.00	0.00	0.00	0.00	0.00	0.00
	-AZ D01RVE- ALBANY	0.00	0.00	0.00	0.00	0.00	0.00
	-BY REHAB/REPAIR BINGHAMTON	0.00	0.00	0.00	0.00	0.00	0.00
	-BZ D07RVE- BINGHAMTON	0.00	0.00	0.00	0.00	0.00	0.00
	-CY REHAB/REPAIR BUFFALO UNIVERSITY	0.00	0.00	0.00	0.00	0.00	0.00
	-CZ D28RVE- SUNY BUFFALO	0.00	0.00	0.00	0.00	0.00	0.00
	-DY REHAB/REPAIR STONYBROOK	0.00	0.00	146,644.80	0.00	0.00	0.00
	-DZ D13RVE- STONYBROOK	0.00	0.00	0.00	0.00	0.00	0.00
	-EY REHAB/REPAIR BROOKLYN	0.00	0.00	0.00	0.00	0.00	0.00
	-EZ D14RVE - HSC BROOKLYN	0.00	0.00	0.00	0.00	0.00	0.00
	-FY REHAB/REPAIR SYRACUSE	0.00	0.00	0.00	0.00	0.00	0.00
	-FZ D15RVE- HSC SYRACUSE	0.00	0.00	0.00	0.00	0.00	0.00
	-GY REHAB/REPAIR BROCKPORT	0.00	0.00	0.00	0.00	0.00	0.00
	-GZ D02RVE- BROCKPORT	0.00	0.00	0.00	0.00	0.00	0.00
	-HY REHAB/REPAIR BUFFALO COLLEGE	0.00	0.00	0.00	0.00	0.00	0.00
	-HZ D03RVE- SUB BUFFALO	0.00	0.00	0.00	0.00	0.00	0.00
	-IY REHAB/REPAIR CORTLAND	0.00	0.00	0.00	0.00	0.00	0.00
	-IZ D04RVE- CORTLAND	0.00	0.00	0.00	0.00	0.00	0.00
	-JY REHAB/REPAIR FREDONIA	0.00	0.00	0.00	0.00	0.00	0.00
	-JZ D05RVE- FREDONIA	0.00	0.00	0.00	0.00	0.00	0.00
	-KY REHAB/REPAIR GENESEO	0.00	0.00	0.00	0.00	0.00	0.00
	-KZ D06RVE- GENESEO	0.00	0.00	0.00	0.00	0.00	0.00
	-LY REHAB/REPAIR OLD WESTBURY	0.00	0.00	0.00	0.00	0.00	0.00
	-LZ D31RVE- OLD WESTBURY	0.00	0.00	0.00	0.00	0.00	0.00
	-MY REHAB/REPAIR NEW PALTZ	0.00	0.00	0.00	0.00	0.00	0.00
	-MZ D08RVE- NEW PALTZ	0.00	0.00	0.00	0.00	0.00	0.00
	-NY REHAB/REPAIR ONEONTA	0.00	0.00	0.00	0.00	0.00	0.00
	-NZ D09RVE- ONEONTA	0.00	0.00	0.00	0.00	0.00	0.00
	-OY REHAB/REPAIR OSWEGO	0.00	0.00	0.00	0.00	0.00	0.00
	-OZ D10RVE- OSWEGO	0.00	0.00	0.00	0.00	0.00	0.00
	-PY REHAB/REPAIR PLATTSBURGH	0.00	0.00	0.00	0.00	0.00	0.00
	-PZ D11RVE- PLATTSBURGH	0.00	0.00	0.00	0.00	0.00	0.00
	-QY REHAB/REPAIR POTSDAM	0.00	0.00	0.00	0.00	0.00	0.00
	-QZ D12RVE- POTSDAM	0.00	0.00	0.00	0.00	0.00	0.00
	-RY REHAB/REPAIR PURCHASE	0.00	0.00	0.00	0.00	0.00	0.00
	-RZ D29RVE- PURCHASE	0.00	0.00	0.00	0.00	0.00	0.00
	-SY REHAB/REPAIR FOR UTICA/ROME	0.00	0.00	0.00	0.00	0.00	0.00
	-SZ D27RVE- CAMPUS RESERVE	0.00	0.00	0.00	0.00	0.00	0.00
	-UY REHAB/REPAIR ALFRED	0.00	0.00	0.00	0.00	0.00	0.00
	-UZ D22RVE- ALFRED	0.00	0.00	0.00	0.00	0.00	0.00
	-VY REHAB/REPAIR CANTON	0.00	0.00	0.00	0.00	0.00	0.00
	-VZ D23RVE- CANTON	0.00	0.00	0.00	0.00	0.00	0.00
	-WY REHAB/REPAIR COBLESKILL	0.00	0.00	0.00	0.00	0.00	0.00
	-WZ D24RVE- COBLESKILL	0.00	0.00	0.00	0.00	0.00	0.00
	-XY REHAB/REPAIR DELHI	0.00	0.00	0.00	0.00	0.00	0.00
	-XZ D25RVE- DELHI	0.00	0.00	0.00	0.00	0.00	0.00
	-YY REHAB/REPAIR FARMINGDALE	0.00	0.00	0.00	0.00	0.00	0.00
	-YZ D26RVE- FARMINGDALE	0.00	0.00	0.00	0.00	0.00	0.00
	-ZY REHAB/REPAIR MORRISVILLE	0.00	0.00	0.00	0.00	0.00	0.00
	-ZZ D27RVE- MORRISVILLE	0.00	0.00	0.00	0.00	0.00	0.00
076	-01 STATE PARK INFRASTRUCTURE	16,195,578.78	23,169,943.53	23,822,346.15	26,797,261.65	(925,393.25)	25,871,868.40
079	-01 CW/CA IMPLEMENTATION DEC	158,394.33	158,394.33	158,394.33	158,394.33	0.00	158,394.33
	-04 CW/CA IMPLEMENTATION STATE	0.00	0.00	0.00	0.00	0.00	0.00
	-05 CW/CA IMPLEMENTATION ERDA	0.00	0.00	0.00	0.00	0.00	0.00
	-06 CW/CA IMPLEMENTATION EFC	321,400.00	321,400.00	321,400.00	321,400.00	0.00	321,400.00
312	-01 SITE INVESTIGATION & CONSTRUCTION	0.00	0.00	0.00	0.00	0.00	0.00
	-06 HAZARDOUS WASTE CLEAN UP	90,797,092.43	97,078,835.92	100,972,042.50	106,595,241.58	2,388,298.38	108,983,539.96
357	-01 YOUTH FACILITIES IMPROVEMENT	1,561,931.82	3,473,624.88	4,180,056.53	8,446,292.64	(2,298,163.48)	6,148,129.16
374	-01 HOUSING ASSISTANCE	27,395,385.25	26,530,578.83	26,210,808.47	26,100,008.47	(904,817.72)	25,195,190.75
376	-01 HOUSING PROG FD-HSG TR FD CORP	79,113,439.93	63,089,511.36	75,192,325.49	67,379,172.97	(4,601,766.19)	62,777,406.78
	-02 HOUSING PROG FD AFFORD HSG CORP	15,271,535.10	8,003,742.65	12,337,310.65	11,301,423.65	(3,103,418.68)	8,198,004.97
	-03 HOUSING PROG FD-DEPT OF SOCIAL SERVICES	26,507,572.27	20,654,397.81	26,188,416.85	25,680,048.74	4,850,598.73	30,530,647.47
	-05 HOUSING PROG FD-HFA	0.00	0.00	0.00	0.00	0.00	0.00
380	-01 HIGHWAY FAC PURPOSE	12,276,001.01	13,420,958.01	14,026,066.41	14,581,166.01	604,546.15	15,185,712.16
387	-08 CLEAN AIR CAPITAL	0.00	0.00	0.00	0.00	0.00	0.00
	-22 NY RACING ACCOUNT	0.00	0.00	0.00	0.00	0.00	0.00
389	-02 OPWDD-STATE FACILITIES PRE 12/99	0.00	0.00	0.00	0.00	0.00	0.00
	-03 DSAS-COMMUNITY FACILITIES	1,557,672.51	1,557,672.51	1,293,001.24	1,293,001.24	0.00	1,293,001.24

Office of the State Comptroller
Schedule of Month-End Temporary Loans Outstanding

FUND/ ACCOUNT	ACCOUNT TITLE	May 31, 2010	June 30, 2010	July 31, 2010	August 31, 2010	Change	September 30, 2010
-B6	INSURANCE DEPT	0.00	0.00	0.00	0.00	0.00	0.00
-BJ	BELL JAR COLLECTION ACCOUNT	28,993.24	0.00	0.00	0.00	0.00	0.00
-BK	INDUSTRY AND UTILITY SERVICE	0.00	0.00	0.00	0.00	0.00	0.00
-BP	REAL PROPERTY DISPOSITION	12,992.36	71,515.11	0.00	0.00	0.00	0.00
-BO	PARKING ACCOUNT	0.00	0.00	0.00	0.00	0.00	0.00
-BW	ASBESTOS SAFETY TRAINING	68,697.04	48,967.72	29,351.24	5,454.84	21,828.54	27,283.38
-BZ	REAL PROPERTY TAX ADMINISTRATION	0.00	0.00	0.00	0.00	0.00	0.00
-C3	PUBLIC SERVICE	0.00	0.00	0.00	0.00	0.00	0.00
-CC	CAPACITY CONTRACTING	0.00	0.00	0.00	0.00	0.00	0.00
-CU	SPECIAL CONSERVATION ACTIVITIES	0.00	0.00	0.00	0.00	0.00	0.00
-D9	BATAVIA SCHOOL FOR THE BLIND	9,440,998.93	5,702,342.39	6,080,876.78	6,483,477.14	452,000.86	6,935,478.00
-DC	INVESTMENT SERVICES	528,041.50	731,531.53	289,708.13	611,740.84	179,573.10	791,313.94
-DE	SURPLUS PROPERTY ACCOUNT	0.00	0.00	0.00	0.00	0.00	0.00
-DH	OPWDD SAY SERVICES ACCOUNT	10,094,190.12	10,094,190.12	7,951,734.70	7,860,630.78	3,138,157.58	10,998,788.36
-DI	FINANCIAL OVERSIGHT	734,636.41	923,142.43	195,311.15	674,233.80	282,656.76	956,890.56
-DT	REGULATION INDIAN GAMING	87,139,490.38	84,176,189.60	85,348,826.68	86,316,698.27	1,637,737.84	87,954,436.11
-DZ	INTEREST ASSESSMENT ACCOUNT	0.00	0.00	0.00	0.00	0.00	0.00
-E3	PROFESSIONAL EDUC SERVICE	0.00	0.00	0.00	0.00	0.00	0.00
-E6	ROME SCHOOL FOR THE DEAF	4,746,222.03	0.00	367,646.32	608,977.64	381,672.54	990,650.18
-E8	DSP-SEIZED ASSETS	16,962,378.41	17,243,706.35	21,337,035.80	21,942,899.84	(617,024.83)	21,325,875.01
-E9	ADMINISTRATIVE ADJUDICATION	2,537,218.10	4,489,349.36	897,997.19	7,272,132.13	(4,273,037.62)	2,999,094.51
-EC	FEDERAL SALARY SHARING	0.00	0.00	0.00	0.00	0.00	0.00
-EG	CLIENT NOTICE SYSTEM ACCT	1,188,642.13	131,564.99	0.00	0.00	0.00	0.00
-EN	CULTURAL EDUCATION ACCOUNT	6,510,018.40	8,161,202.94	7,735,148.11	8,856,310.92	(311,305.82)	8,545,005.10
-ER	EXAMINATION & MISC REV	0.00	0.00	0.00	0.00	0.00	0.00
-F1	TRANSPORTATION REGULATION	0.00	0.00	0.00	0.00	0.00	0.00
-G3	LOCAL SERVICE ACCOUNT	0.00	0.00	0.00	0.00	60,517.28	60,517.28
-GD	ELECTRONIC BENEFIT ISSUE	195,865.62	377,448.82	3,394,433.80	3,204,700.12	(3,204,700.12)	0.00
-H2	DHCR MORTGAGE SERVICES	171,184.84	512,782.50	842,354.30	1,208,854.79	1,057,362.53	2,266,217.32
-H7	DMV-COMPULSORY INS PRGM	0.00	0.00	0.00	742,355.43	(742,355.43)	0.00
-HI	HOUSING INDIRECT COST RECOVERY	0.00	0.00	0.00	0.00	0.00	0.00
-IC	ACCIDENT PREVENTION COURSE PROGRAM	0.00	0.00	0.00	0.00	0.00	0.00
-J5	DHCR-HOUSING CREDIT AGENCY APPLY FEE	0.00	0.00	0.00	0.00	0.00	0.00
-J6	EPIC PREMIUM	0.00	0.00	0.00	0.00	0.00	0.00
-L7	OTDA INCOME ACCOUNT	0.00	0.00	1,589,085.14	6,706,044.76	4,366,825.71	11,072,870.47
-LF	DISABILITY DETERMINATIONS	0.00	0.00	0.00	0.00	0.00	0.00
-NG	LOW INCOME HOUSING CREDIT MONITORING	0.00	0.00	0.00	0.00	0.00	0.00
-P4	PROCUREMENT OPPORTUNITY NEWSLETTER	0.00	0.00	0.00	0.00	0.00	0.00
-P6	EFC-CORPORATION ADMINISTRATION	593,276.95	708,986.93	927,988.04	394,198.46	175,670.38	569,868.84
-O6	MONTROSE VETERAN'S HOME	0.00	0.00	0.00	0.00	0.00	0.00
-R4	MOTOR FUEL QUALITY ACCOUNT	0.00	0.00	0.00	0.00	0.00	0.00
-R5	WEIGHTS AND MEASURES	0.00	0.00	0.00	0.00	0.00	0.00
-R7	DEFERRED COMPENSATION ADMIN	98,737.62	131,400.98	167,725.61	63,229.92	87,526.31	150,756.23
-RR	RENT REVENUE OTHER - NYC	5,268,901.34	0.00	338,297.35	3,031,964.29	(3,031,964.29)	0.00
-S1	BATAVIA MEDICAID INCOME	0.00	0.00	0.00	0.00	0.00	0.00
-S8	RENT REVENUE	365,163.71	194,854.17	106,309.63	12,930.01	75,320.66	88,250.67
-TR	TAX REV. ARREARAGE ACCOUNT	1,477,921.64	1,477,921.64	1,477,921.64	1,670,916.06	48,299.39	1,719,215.45
-W3	OGS-SOLID WASTE MGMT	0.00	0.00	0.00	0.00	0.00	0.00
-W4	OCCUPATIONAL HEALTH CLINICS	0.00	0.00	0.00	0.00	0.00	0.00
345 -11	S.U. NON-RESIDENT REV. OFFSET	60,631,881.57	60,645,062.03	60,657,571.84	60,669,726.49	12,314.76	60,682,041.25
354 -02	STATE POLICE MV ENFORCE	30,097,747.58	19,140,561.64	39,868,108.64	60,533,540.64	(12,010,186.00)	48,523,354.64
362 -01	DOT - HIGHWAY SAFETY PRGM	1,522,830.39	1,481,309.14	1,737,996.83	1,472,261.39	252,774.90	1,725,036.29
366 -01	EFC DRINKING WATER PROGRAM	0.00	0.00	0.00	0.00	57,550.99	57,550.99
366 -02	DOH DRINKING WATER PROGRAM	4,820,969.54	5,167,321.12	5,497,206.22	5,854,369.41	935,024.43	6,789,393.84
368 -01	NYCC OPERATING OFFSET	8,952,601.48	10,780,479.24	12,583,453.15	14,399,442.76	2,549,419.06	16,948,861.82
TOTAL STATE SPECIAL REVENUE FUNDS		\$442,925,635.76	\$490,940,580.80	\$531,092,554.21	\$559,824,765.92	1,539,243,008.16	\$2,099,067,774.08
FEDERAL FUNDS							
261 -	FEDERAL USDA/FOOD AND NUTRITION SERVICES FUND	6,235,064.93	14,087,639.17	9,664,721.67	35,356,910.75	(26,974,126.17)	8,382,784.58 (1)
265 -	FEDERAL HEALTH AND HUMAN SERVICES FUND	87,839,831.27	626,336,793.14	165,345,565.39	767,667,352.50	(692,507,486.66)	175,159,865.84 (2)
267 -	FEDERAL EDUCATION GRANTS FUND	22,395,462.30	56,936,917.20	56,763,510.93	12,106,950.84	42,318,802.27	54,425,753.11 (3)
269 -	FEDERAL BLOCK GRANT FUND	0.00	0.00	0.00	92,344.77	(91,366.77)	978.00 (4)
290 -	FEDERAL OPERATING GRANTS FUND	57,374,152.08	64,497,951.76	38,949,312.48	39,263,188.31	1,208,827.07	40,472,015.38 (5)
291 -04	MILITARY AND NAVAL AFFAIRS	13,466,757.19	13,976,788.92	14,004,495.30	14,217,662.08	(148,747.15)	14,068,914.93
291 -10	DEPARTMENT OF TRANSPORTATION	161,573,232.34	119,696,414.75	38,757,035.49	70,792,711.95	(62,301,644.04)	8,491,067.91 (7)
291 -	FEDERAL CAPITAL PROJECTS FUND (ALL OTHER)	3,551,136.48	6,411,937.37	21,561,019.82	5,094,974.50	1,185,847.32	6,280,821.82 (6)
480 -01	UI ADMINISTRATION	698,736.16	0.00	254,144.30	1,012,282.34	(1,012,282.34)	0.00
484 -00	FEDERAL UNEMPLOYMENT INS OCCUPATIONAL TRAINING	2,282.61	0.00	0.00	0.00	0.00	0.00
486 -09	DOL WORKFORCE INVESTMENT ACT	3,588,463.76	255,007.80	1,364,815.19	4,925,751.04	(4,712,325.76)	213,425.28
486 -10	DOL FEDERAL GRANTS	0.00	0.00	0.00	0.00	0.00	0.00
TOTAL FEDERAL FUNDS		\$356,725,119.12	\$902,199,450.11	\$346,664,620.57	\$950,530,129.08	(643,034,502.23)	\$307,495,626.85 (8)

Office of the State Comptroller
Schedule of Month-End Temporary Loans Outstanding

FUND/ ACCOUNT	ACCOUNT TITLE	May 31, 2010	June 30, 2010	July 31, 2010	August 31, 2010	Change	September 30, 2010
AGENCY FUNDS							
179 -02	MMIS - STATE & FEDERAL	0.00	0.00	0.00	0.00	0.00	0.00
	TOTAL AGENCY FUNDS	\$0.00	\$0.00	\$0.00	\$0.00	0.00	\$0.00
ENTERPRISE FUND							
325 -01	STATE FAIR RECEIPTS FUND	0.00	0.00	0.00	0.00	0.00	0.00
331 -55	OGS CONVENTION CENTER ACCOUNT	0.00	0.00	0.00	0.00	0.00	0.00
	TOTAL ENTERPRISE FUND	\$0.00	\$0.00	\$0.00	\$0.00	0.00	\$0.00
INTERNAL SERVICE FUNDS							
323 -03	CENTRALIZED SERVICES-FLEET MGMT	1,216.01	139,283.76	284,283.79	79,389.59	(79,389.59)	0.00
-05	CENTRALIZED SERVICES-DATA PROCESSING	151,586.56	0.00	0.00	0.00	20,159.64	20,159.64
-06	CENTRALIZED SERVICES-REPRODUCTION	1,770,239.84	1,738,394.97	1,720,646.58	1,805,534.80	12,130.34	1,817,665.14
-07	CENTRALIZED SERVICES-REAL PROPERTY-LABOR	151,053.22	0.00	231,827.63	137,910.86	209,378.39	347,289.25
-08	CENTRALIZED SERVICES-SURPLUS FED FOODS	1,364,140.67	1,269,144.97	1,587,862.77	1,543,548.45	(537,464.38)	1,006,084.07
-09	CENTRALIZED SERVICES-PERSONAL PROPERTY	0.00	0.00	0.00	0.00	0.00	0.00
-12	CENTRALIZED SERVICES-CONSTRUCTION SERVICES	3,190,486.12	2,581,607.91	2,500,141.47	2,608,759.38	225,661.03	2,834,420.41
-13	CENTRALIZED SERVICES-PASNY	3,485,069.06	1,355,059.77	3,921,229.82	4,915,871.94	2,724,349.51	7,640,221.45
-14	CENTRALIZED SERVICES-ADMIN SUPPORT	0.00	0.00	0.00	0.00	0.00	0.00
-15	CENTRALIZED SERVICES-DESIGN & CONSTR	0.00	0.00	0.00	0.00	0.00	0.00
-17	CENTRALIZED SERVICES-INSURANCE	2,835,658.35	1,551,404.14	1,840,786.61	2,049,501.33	594,289.04	2,643,790.37
-18	CENTRALIZED SERVICES-SECURITY CARD ACCESS	0.00	0.00	0.00	0.00	0.00	0.00
-19	CENTRALIZED SERVICES-COP'S	0.00	0.00	0.00	0.00	0.00	0.00
-20	CENTRALIZED SERVICES-FOOD SERVICES	0.00	0.00	0.00	0.00	0.00	0.00
-21	CENTRALIZED SERVICES-HOMER FOLKS	0.00	0.00	0.00	0.00	0.00	0.00
-23	CENTRALIZED SERVICES-IMMICS	213,440.17	63,373.93	306,699.69	401,173.53	189,033.13	590,206.66
-26	DOWNSTATE DISTRIBUTION	874,103.62	914,594.15	884,306.23	517,604.95	25,277.25	542,882.20
-27	BUILDING ADMINISTRATION	0.00	0.00	0.00	0.00	0.00	0.00
-28	LEASE SPACE INITIATIVE	0.00	0.00	0.00	0.00	0.00	0.00
334 -02	ARCHIVES RECORD MGMT I.S.	0.00	0.00	0.00	0.00	0.00	0.00
-05	FEDERAL SINGLE AUDIT	0.00	0.00	0.00	0.00	0.00	0.00
-07	QUICK COPY CENTER	0.00	0.00	0.00	0.00	0.00	0.00
-09	CIVIL SERVICE LAW:SEC. 11 ADMIN	0.00	0.00	0.00	0.00	0.00	0.00
-10	CIVIL SERVICE EHS OCCUP HEALTH PROG	0.00	0.00	0.00	0.00	0.00	0.00
-12	BANKING SERVICES ACCOUNT	14,605,052.15	353,810.18	313,286.48	84,140.65	62,308.51	146,449.16
-14	CULTURAL RESOURCE SURVEY	3,534,718.33	3,938,987.64	4,092,424.16	4,380,345.20	977,499.79	5,357,844.99
-17	NEIGHBOR WORK PROJECT	5,720,464.85	5,184,979.65	5,252,909.06	5,411,601.39	(337,649.67)	5,073,951.72
-18	AUTOMATIC/PRINT CHARGBACKS	0.00	0.00	0.00	0.00	0.00	0.00
-20	OFT NYT ACCT	0.00	0.00	0.00	0.00	0.00	0.00
-23	DATA CENTER ACCOUNT	25,163,064.65	10,807,914.60	9,205,067.00	15,179,525.02	(3,538,677.66)	11,640,847.36
-24	HUMAN SVCE TELECOM ACCT	0.00	0.00	0.00	0.00	0.00	0.00
-26	OPWDD COPY CENTER ACCOUNT	0.00	0.00	0.00	0.00	0.00	0.00
-27	CYBER SECURITY INTRUSION ACCT	0.00	0.00	28,663.67	142,777.77	114,644.68	257,422.45
-28	DOMESTIC VIOLENCE GRANT	283,496.02	332,598.98	272,664.14	245,264.86	45,311.05	290,575.91
-30	CENTRALIZED TECHNOLOGY SERVICES	909,433.27	874,568.34	948,699.32	1,132,155.25	223,533.36	1,355,688.61
394 -01	JOINT LABOR MANAGEMENT ADMIN	0.00	0.00	0.00	0.00	0.00	0.00
395 -04	EXECUTIVE DIRECTION INTERNAL AUDIT	1,654,207.19	87,652.89	176,086.90	403,127.79	138,350.55	541,478.34
396 -00	HEALTH INSURANCE INTERNAL SERVICE	13,953,957.88	14,644,710.12	15,444,482.82	16,773,889.85	820,827.35	17,594,717.20
396 -01	CIVIL SERVICE EMPLOYEE BENEFITS DIV ADM	2,042,594.19	2,180,058.75	1,336,917.17	1,547,071.26	197,484.22	1,744,555.48
397 -00	CORR INDUSTRIES INTERNAL SERVICE	3,237,180.41	1,377,716.09	3,956,165.16	3,982,513.47	67,084.64	4,049,598.11
	TOTAL INTERNAL SERVICE FUNDS	\$85,141,162.56	\$49,395,860.84	\$54,305,150.47	\$63,341,707.34	2,154,141.18	\$65,495,848.52
GRAND TOTAL - TEMPORARY LOANS OUTSTANDING		\$2,136,350,415.02	\$2,462,580,256.50	\$1,878,472,110.60	\$2,498,583,058.38	\$1,194,135,789.95	\$3,692,718,848.33

Temporary Loans are authorized pursuant to Subdivision 5 of Section 4 of the State Finance Law and Chapter 56, Part JJ, Section 1 and 1A, of the Laws of 2010-11, and The loans represent authorizations made by the Legislature to allow certain funds/accounts to make appropriated payments regardless of the fund (cash) balance. Such loans are made from the State's Short-Term Investment Pool (STIP) and are intended to satisfy temporary cash shortfalls whenever scheduled disbursements exceed available revenues during the fiscal year. Generally, temporary loans are repaid from the first cash receipts of the fund or account; however, in some cases actual revenues are not sufficient to repay all loans made to the fund or account and a transfer from the General Fund "Repayment of Receivables" appropriation is approved by the Budget Director.

NOTE:

- (1) - Includes all negative cash balance Subfunds within fund 261.
- (2) - Includes all negative cash balance Subfunds within fund 265.
- (3) - Includes all negative cash balance Subfunds within fund 267.
- (4) - Includes all negative cash balance Subfunds within fund 269.
- (5) - Includes all negative cash balance Subfunds within fund 290.
- (6) - Includes all other negative cash balance Subfunds within fund 291.
- (7) - The Fund 291-10 temporary loan balance includes \$170.3million of costs previously disallowed by the Federal Highway Administration. These costs are systematically being transferred to Fund 072-01 based upon a realignment plan with the Department of Transportation. A total of \$33.5 million will be transferred to Fund 072-01 on or before March 31, 2011.
- (8) - Except for DOT-Highways (see note 7), temporary loans to federal funds are typically reimbursed with in 2-3 days. Such loans are made pursuant to federal regulations which require the State to disburse funds prior to making a reimbursement claim from the U.S. Treasury.
- (9) - Per Section 72 of the State Finance Law, the General Fund includes the Local Assistance Fund 001 and State Purpose Fund 003.