



Office of the NEW YORK STATE

COMPTROLLER

# Comptroller's Monthly Report on State Funds Cash Basis of Accounting

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SEPTEMBER 2016

Office of Operations

Division of Payroll, Accounting and Revenue Services

Bureau of Financial Reporting and Oil Spill Remediation

NYS Comptroller

THOMAS P. DINAPOLI



STATE OF NEW YORK  
OFFICE OF OPERATIONS  
DIVISION OF PAYROLL, ACCOUNTING AND REVENUE SERVICES  
BUREAU OF FINANCIAL REPORTING AND OIL SPILL REMEDIATION

THOMAS P. DINAPOLI  
STATE COMPTROLLER

COMPTROLLER'S MONTHLY REPORT TO THE LEGISLATURE ON STATE FUNDS - CASH BASIS OF ACCOUNTING

September 30, 2016

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STATE OF NEW YORK  
 GOVERNMENTAL FUNDS  
 COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES  
 (Amounts in millions)

EXHIBIT A

	GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS				YEAR OVER YEAR	
	MONTH OF SEPT. 2016	6 MOS. ENDED SEPT. 30, 2016	MONTH OF SEPT. 2016	6 MOS. ENDED SEPT. 30, 2016	MONTH OF SEPT. 2016	6 MOS. ENDED SEPT. 30, 2016	MONTH OF SEPT. 2016	6 MOS. ENDED SEPT. 30, 2016	MONTH OF SEPT. 2016	6 MOS. ENDED SEPT. 30, 2016	MONTH OF SEPT. 2015	6 MOS. ENDED SEPT. 30, 2015	\$ Increase/ (Decrease)	% Increase/ Decrease
<b>RECEIPTS:</b>														
Personal Income Tax (4)	\$ 3,419.9	\$ 17,094.6	\$ 108.4	\$ 529.9	\$ 1,176.1	\$ 5,874.8	\$ -	\$ -	\$ 4,704.4	\$ 23,499.3	\$ 5,122.9	\$ 24,233.6	\$ (734.3)	-3.0%
Consumption/Use Taxes	713.5	3,599.5	183.5	1,054.4	652.5	3,273.8	70.2	321.2	1,619.7	8,248.9	1,614.6	8,118.5	130.4	1.6%
Business Taxes	1,078.5	2,304.6	246.9	738.2	-	-	57.5	321.8	1,382.9	3,364.6	1,351.1	3,729.3	(364.7)	-9.8%
Other Taxes	88.3	549.2	104.7	608.9	88.8	536.8	11.9	47.6	293.7	1,742.5	350.4	2,110.2	(367.7)	-17.4%
Miscellaneous Receipts	282.0	1,447.1	1,877.8	8,813.4	42.1	231.7	655.8	1,777.5	2,857.7	12,269.7	2,448.9	13,285.3	(1,015.6)	-7.6%
Federal Receipts	-	0.3	5,019.4	24,622.8	-	36.7	362.4	1,255.2	5,381.8	25,915.0	4,371.2	23,726.2	2,188.8	9.2%
<b>Total Receipts</b>	<b>5,582.2</b>	<b>24,995.3</b>	<b>7,540.7</b>	<b>36,367.6</b>	<b>1,959.5</b>	<b>9,953.8</b>	<b>1,157.8</b>	<b>3,723.3</b>	<b>16,240.2</b>	<b>75,040.0</b>	<b>15,259.1</b>	<b>75,203.1</b>	<b>(163.1)</b>	<b>-0.2%</b>
<b>DISBURSEMENTS:</b>														
Local Assistance Grants: (3)														
Education	1,854.8	10,205.7	2,388.4	4,319.6	-	-	2.7	16.4	4,245.9	14,541.7	5,766.8	15,590.3	(1,048.6)	-6.7%
Environment and Recreation	0.3	3.4	0.5	3.5	-	-	5.2	43.3	6.0	50.2	17.1	53.1	(2.9)	-5.5%
General Government	99.7	687.1	5.2	125.8	-	-	35.4	159.0	140.3	971.9	129.3	837.5	134.4	16.0%
Public Health:														
Medicaid	1,261.3	7,224.5	2,552.0	18,320.7	-	-	-	-	3,813.3	25,545.2	4,790.4	24,458.5	1,086.7	4.4%
Other Public Health	200.4	797.7	676.5	3,456.7	-	-	11.8	46.6	888.7	4,301.0	670.2	3,332.9	968.1	29.0%
Public Safety	26.8	79.3	109.2	753.9	-	-	10.4	18.3	146.4	851.5	268.1	958.0	(106.5)	-11.1%
Public Welfare	194.7	1,325.2	978.5	2,450.8	-	-	15.7	72.5	1,188.9	3,848.5	962.1	4,132.5	(284.0)	-6.9%
Support and Regulate Business	16.4	81.2	3.4	14.9	-	-	40.2	441.0	60.0	537.1	87.6	506.2	30.9	6.1%
Transportation	-	58.2	432.9	2,397.6	-	-	232.0	534.4	664.9	2,990.2	603.5	2,653.7	336.5	12.7%
<b>Total Local Assistance Grants</b>	<b>3,654.4</b>	<b>20,462.3</b>	<b>7,146.6</b>	<b>31,843.5</b>	<b>-</b>	<b>-</b>	<b>353.4</b>	<b>1,331.5</b>	<b>11,154.4</b>	<b>53,637.3</b>	<b>13,295.1</b>	<b>52,522.7</b>	<b>1,114.6</b>	<b>2.1%</b>
Departmental Operations:														
Personal Service	577.8	3,116.5	681.0	3,802.7	-	-	-	-	1,258.8	6,919.2	1,059.7	6,755.4	163.8	2.4%
Non-Personal Service	171.3	889.5	481.6	2,342.9	3.4	24.1	-	-	656.3	3,256.5	773.6	3,283.3	(26.8)	-0.8%
General State Charges	-	3,791.9	453.4	1,164.1	-	-	-	-	453.4	4,956.0	573.4	4,811.9	144.1	3.0%
Debt Service, Including Payments on Financing Agreements	-	-	-	-	790.0	1,463.2	-	-	790.0	1,463.2	732.5	1,675.2	(212.0)	-12.7%
Capital Projects (1)	-	-	1.6	2.4	-	-	689.1	3,164.0	690.7	3,166.4	857.4	3,129.0	37.4	1.2%
<b>Total Disbursements</b>	<b>4,403.5</b>	<b>28,260.2</b>	<b>8,764.2</b>	<b>39,155.6</b>	<b>793.4</b>	<b>1,487.3</b>	<b>1,042.5</b>	<b>4,495.5</b>	<b>15,003.6</b>	<b>73,398.6</b>	<b>17,291.7</b>	<b>72,177.5</b>	<b>1,221.1</b>	<b>1.7%</b>
<b>Excess (Deficiency) of Receipts over Disbursements</b>	<b>1,178.7</b>	<b>(3,264.9)</b>	<b>(1,223.5)</b>	<b>(2,788.0)</b>	<b>1,166.1</b>	<b>8,466.5</b>	<b>115.3</b>	<b>(772.2)</b>	<b>1,236.6</b>	<b>1,641.4</b>	<b>(2,032.6)</b>	<b>3,025.6</b>	<b>(1,384.2)</b>	<b>-45.7%</b>
<b>OTHER FINANCING SOURCES (USES):</b>														
Bond Proceeds (net)	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transfers from Other Funds (2)	2,178.5	9,030.1	196.5	4,383.1	331.1	1,371.8	(0.5)	1,199.2	2,705.6	15,984.2	3,443.2	17,432.8	(1,448.6)	-8.3%
Transfers to Other Funds (2)	(20.4)	(5,133.5)	(184.9)	(836.4)	(2,231.3)	(9,623.5)	(266.3)	(415.0)	(2,702.9)	(16,008.4)	(3,448.4)	(17,486.8)	(1,478.4)	-8.5%
<b>Total Other Financing Sources (Uses)</b>	<b>2,158.1</b>	<b>3,896.6</b>	<b>11.6</b>	<b>3,546.7</b>	<b>(1,900.2)</b>	<b>(8,251.7)</b>	<b>(266.8)</b>	<b>784.2</b>	<b>2.7</b>	<b>(24.2)</b>	<b>(5.2)</b>	<b>(54.0)</b>	<b>29.8</b>	<b>55.2%</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>3,336.8</b>	<b>631.7</b>	<b>(1,211.9)</b>	<b>758.7</b>	<b>(734.1)</b>	<b>214.8</b>	<b>(151.5)</b>	<b>12.0</b>	<b>1,239.3</b>	<b>1,617.2</b>	<b>(2,037.8)</b>	<b>2,971.6</b>	<b>(1,354.4)</b>	<b>-45.6%</b>
<b>Beginning Fund Balances (Deficits)</b>	<b>6,229.0</b>	<b>8,934.1</b>	<b>5,577.7</b>	<b>3,607.1</b>	<b>1,108.6</b>	<b>159.7</b>	<b>(727.3)</b>	<b>(890.8)</b>	<b>12,188.0</b>	<b>11,810.1</b>	<b>14,365.0</b>	<b>9,355.6</b>	<b>2,454.5</b>	<b>26.2%</b>
<b>Ending Fund Balances (Deficits)</b>	<b>\$ 9,565.8</b>	<b>\$ 9,565.8</b>	<b>\$ 4,365.8</b>	<b>\$ 4,365.8</b>	<b>\$ 374.5</b>	<b>\$ 374.5</b>	<b>\$ (878.8)</b>	<b>\$ (878.8)</b>	<b>\$ 13,427.3</b>	<b>\$ 13,427.3</b>	<b>\$ 12,327.2</b>	<b>\$ 12,327.2</b>	<b>\$ 1,100.1</b>	<b>8.9%</b>

STATE OF NEW YORK  
GOVERNMENTAL FUNDS-STATE OPERATING (\*)  
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES  
(Amounts in millions)

EXHIBIT A  
SUPPLEMENTAL

	GENERAL		STATE SPECIAL REVENUE (**)		DEBT SERVICE		TOTAL STATE OPERATING FUNDS					
	MONTH OF	6 MOS. ENDED	MONTH OF	6 MOS. ENDED	MONTH OF	6 MOS. ENDED	MONTH OF	6 MOS. ENDED	MONTH OF	6 MOS. ENDED	\$ Increase/ (Decrease)	% Increase/ Decrease
	SEPT. 2016	SEPT. 30, 2016	SEPT. 2016	SEPT. 30, 2016	SEPT. 2016	SEPT. 30, 2016	SEPT. 2016	SEPT. 30, 2016	SEPT. 2015	SEPT. 30, 2015		
<b>RECEIPTS:</b>												
Personal Income Tax (4)	\$ 3,419.9	\$ 17,094.6	\$ 108.4	\$ 529.9	\$ 1,176.1	\$ 5,874.8	\$ 4,704.4	\$ 23,499.3	\$ 5,122.9	\$ 24,233.6	\$ (734.3)	-3.0%
Consumption/Use Taxes	713.5	3,599.5	183.5	1,054.4	652.5	3,273.8	1,549.5	7,927.7	1,544.9	7,798.9	128.8	1.7%
Business Taxes	1,078.5	2,304.6	246.9	738.2	-	-	1,325.4	3,042.8	1,295.6	3,407.6	(364.8)	-10.7%
Other Taxes	88.3	549.2	104.7	608.9	88.8	536.8	281.8	1,694.9	338.5	2,062.6	(367.7)	-17.8%
Miscellaneous Receipts	282.0	1,447.1	1,868.8	8,708.4	42.1	231.7	2,192.9	10,387.2	2,144.8	11,476.2	(1,089.0)	-9.5%
Federal Receipts	-	0.3	(0.1)	(0.6)	-	36.7	(0.1)	36.4	0.1	36.7	(0.3)	-0.8%
<b>Total Receipts</b>	<b>5,582.2</b>	<b>24,995.3</b>	<b>2,512.2</b>	<b>11,639.2</b>	<b>1,959.5</b>	<b>9,953.8</b>	<b>10,053.9</b>	<b>46,588.3</b>	<b>10,446.8</b>	<b>49,015.6</b>	<b>(2,427.3)</b>	<b>-5.0%</b>
<b>DISBURSEMENTS:</b>												
Local Assistance Grants: (3)												
Education	1,854.8	10,205.7	2,261.6	2,985.8	-	-	4,116.4	13,191.5	5,498.3	13,951.4	(759.9)	-5.4%
Environment and Recreation	0.3	3.4	0.2	1.2	-	-	0.5	4.6	0.2	4.6	-	0.0%
General Government	99.7	687.1	3.6	107.1	-	-	103.3	794.2	116.6	767.8	26.4	3.4%
Public Health:												
Medicaid	1,261.3	7,224.5	406.0	2,786.0	-	-	1,667.3	10,010.5	1,957.6	9,661.0	349.5	3.6%
Other Public Health	200.4	797.7	306.9	1,155.7	-	-	507.3	1,953.4	426.4	1,772.0	181.4	10.2%
Public Safety	26.8	79.3	15.7	78.9	-	-	42.5	158.2	39.9	169.2	(11.0)	-6.5%
Public Welfare	194.7	1,325.2	0.1	2.6	-	-	194.8	1,327.8	424.3	1,473.9	(146.1)	-9.9%
Support and Regulate Business	16.4	81.2	3.4	13.3	-	-	19.8	94.5	78.3	111.6	(17.1)	-15.3%
Transportation	-	58.2	428.7	2,365.1	-	-	428.7	2,423.3	400.8	2,254.5	168.8	7.5%
<b>Total Local Assistance Grants</b>	<b>3,654.4</b>	<b>20,462.3</b>	<b>3,426.2</b>	<b>9,495.7</b>	<b>-</b>	<b>-</b>	<b>7,080.6</b>	<b>29,958.0</b>	<b>8,942.4</b>	<b>30,166.0</b>	<b>(208.0)</b>	<b>-0.7%</b>
Departmental Operations:												
Personal Service	577.8	3,116.5	633.9	3,490.8	-	-	1,211.7	6,607.3	1,017.2	6,462.3	145.0	2.2%
Non-Personal Service	171.3	889.5	302.9	1,676.5	3.4	24.1	477.6	2,590.1	549.5	2,644.5	(54.4)	-2.1%
General State Charges	-	3,791.9	416.6	1,032.8	-	-	416.6	4,824.7	558.9	4,679.5	145.2	3.1%
Debt Service, Including Payments on Financing Agreements	-	-	-	-	790.0	1,463.2	790.0	1,463.2	732.5	1,675.2	(212.0)	-12.7%
Capital Projects (1)	-	-	1.6	2.4	-	-	1.6	2.4	0.3	0.6	1.8	300.0%
<b>Total Disbursements</b>	<b>4,403.5</b>	<b>28,260.2</b>	<b>4,781.2</b>	<b>15,698.2</b>	<b>793.4</b>	<b>1,487.3</b>	<b>9,978.1</b>	<b>45,445.7</b>	<b>11,800.8</b>	<b>45,628.1</b>	<b>(182.4)</b>	<b>-0.4%</b>
<b>Excess (Deficiency) of Receipts over Disbursements</b>	<b>1,178.7</b>	<b>(3,264.9)</b>	<b>(2,269.0)</b>	<b>(4,059.0)</b>	<b>1,166.1</b>	<b>8,466.5</b>	<b>75.8</b>	<b>1,142.6</b>	<b>(1,354.0)</b>	<b>3,387.5</b>	<b>(2,244.9)</b>	<b>-66.3%</b>
<b>OTHER FINANCING SOURCES (USES):</b>												
Transfers from Other Funds (2)	2,178.5	9,030.1	218.6	4,604.0	331.1	1,371.8	2,728.2	15,005.9	3,107.1	16,739.6	(1,733.7)	-10.4%
Transfers to Other Funds (2)	(20.4)	(5,133.5)	(42.5)	(131.0)	(2,231.3)	(9,623.5)	(2,294.2)	(14,888.0)	(2,942.8)	(16,162.7)	(1,274.7)	-7.9%
<b>Total Other Financing Sources (Uses)</b>	<b>2,158.1</b>	<b>3,896.6</b>	<b>176.1</b>	<b>4,473.0</b>	<b>(1,900.2)</b>	<b>(8,251.7)</b>	<b>434.0</b>	<b>117.9</b>	<b>164.3</b>	<b>576.9</b>	<b>(459.0)</b>	<b>-79.6%</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>3,336.8</b>	<b>631.7</b>	<b>(2,092.9)</b>	<b>414.0</b>	<b>(734.1)</b>	<b>214.8</b>	<b>509.8</b>	<b>1,260.5</b>	<b>(1,189.7)</b>	<b>3,964.4</b>	<b>(2,703.9)</b>	<b>-68.2%</b>
<b>Beginning Fund Balances (Deficits)</b>	<b>6,229.0</b>	<b>8,934.1</b>	<b>6,054.3</b>	<b>3,547.4</b>	<b>1,108.6</b>	<b>159.7</b>	<b>13,391.9</b>	<b>12,641.2</b>	<b>15,044.9</b>	<b>9,890.8</b>	<b>2,750.4</b>	<b>27.8%</b>
<b>Ending Fund Balances (Deficits)</b>	<b>\$ 9,565.8</b>	<b>\$ 9,565.8</b>	<b>\$ 3,961.4</b>	<b>\$ 3,961.4</b>	<b>\$ 374.5</b>	<b>\$ 374.5</b>	<b>\$ 13,901.7</b>	<b>\$ 13,901.7</b>	<b>\$ 13,855.2</b>	<b>\$ 13,855.2</b>	<b>\$ 46.5</b>	<b>0.3%</b>

(\*) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal Funds) and Debt Service Funds.

(\*\*) Eliminations between Special Revenue - State and Federal Funds are not included.

**GOVERNMENTAL FUNDS FOOTNOTES**

1. Certain disbursements from Capital Projects funds are financed by operating transfers from other funds, proceeds of State bonds and notes, and reimbursements received from Public Authorities and the Federal Government. The amounts shown below represent disbursements to be reimbursed in future months from the sources indicated:

Urban Development Corporation (Correctional Facilities)	\$119.8 million
Urban Development Corporation (Youth Facilities)	13.9
Housing Finance Agency (HFA)	201.9
Housing Assistance Fund	13.6
Dormitory Authority (Mental Hygiene)	421.1
Dormitory Authority and State University Income Fund	412.2
Federal Capital Projects	447.8
State bond and note proceeds	34.9

2. Operating Transfers constitute legally authorized transfers from a fund receiving revenues to a fund through which disbursements will ultimately be made. The more significant transfers include:

**General Fund** "Transfers to Other Funds" are as follows:

State Capital Projects Fund	\$686.1 million
General Debt Service Fund	308.7
Banking Services Account	21.7
Court Facilities Incentive Aid Fund	60.4
Dedicated Highway & Bridge Trust Fund	33.0
Dedicated Infrastructure Investment Fund	363.6
Dedicated Mass Transportation - Railroad Account	4.4
Dedicated Mass Transportation - Transit Authority Account	24.4
Dedicated Mass Transportation (Non-MTA)	2.5
Environmental Protection Fund	38.0
Hazardous Waste Remediation Oversight and Assistance Account	5.0
Housing Debt Service Fund	3.4
Mental Hygiene Program Fund	850.0
Mental Hygiene Patient Income Account	850.0
MTA Operating Assistance Fund	24.3
MTA Financial Assistance Fund	190.8
NYC County Courts Operating Fund	4.4
SUNY - Hospital IFR	29.3
SUNY - Income Fund	816.9

Also included in the General Fund are transfers representing payments for patients residing in State-operated Health, Mental Hygiene and State University facilities to Debt Service funds (\$7.1m), the State University Income Fund (\$169.3m), the Mental Hygiene Program Account (\$639.1m) and Miscellaneous State Special Revenue Account (\$0.4m).

§72(4)(b) was added to the State Finance Law in 2010 to permit the State's General Debt Service Fund to maintain a cash reserve for the payment of debt service, and related expenses, during the current fiscal quarter. As of September 30, 2016 - pursuant to a certification of the Budget Director - payment obligations were met out of these reserves and future payment amounts were scheduled for transfer at the commencement of the succeeding month.

**Special Revenue Funds** "Transfers To Other Funds" includes transfers to Debt Service funds of (\$636.9m) representing the federal share of Medicaid payments for patients residing in State-operated Health and Mental Hygiene facilities and transfers to the Capital Projects funds (\$72.9m).

Also included in Special Revenue funds are transfers to the General Fund from the following:

Certificate of Need Account	\$1.1 million
Dept of Labor - Fee & Penalty Account	8.4
Examination & Miscellaneous Revenue Account	1.6
Energy Research Account	3.9
Federal Dept of Health & Human Services Fund	47.4
Federal USDA/Food and Nutrition Services Fund	10.1
Unemployment Insurance Administration Fund	9.5
Unemployment Insurance - Interest & Penalty Account	3.3
SUNY Income Fund	15.3

**Debt Service Funds** "Transfers To Other Funds" includes transfers to the General Fund from the following:

Revenue Bond Tax Fund	\$5,475.7 million
Local Government Assistance Tax Fund	1,594.7
Sales Tax Revenue Bond Tax Fund	1,339.9
Clean Water/Clean Air Fund	502.9

Also included in Debt Service funds are transfers to Special Revenue funds representing receipts in excess of lease-purchase obligations that are used to finance a portion of the operating expenses for Departments of Health (\$72.6m) and Mental Hygiene (\$637.9m).

**Capital Projects Funds** "Transfers To Other Funds" includes transfers to the General Fund (\$12.2m), the General Debt Service Fund (\$205.4m), and the Revenue Bond Tax Fund (\$191.2m).

3. The State receives moneys that represent refunds, pharmacy rebates, reimbursements, or disallowances of medical assistance payments previously made from appropriated State and Federal funds. These monies are initially credited to an agency escrow account and shortly after receipt are allocated and refunded to State or Federal fund appropriations from which the medical assistance payments were originally made.

At month end, the following balances remained in agency escrow accounts. For accounting purposes, adjustments have been made to reduce medical assistance spending and count these monies as financial resources of the General Fund and the Special Revenue Federal Fund.

	Allocation of Month-End Balances	
	General Fund	Special Revenue - Federal
Medicaid Recoveries - Health Facilities	\$ -	\$ 2,820,158
Medicaid Recoveries - Audit	-	854,361
Medicaid Recoveries - Third Parties	-	14,036,573
Pharmacy Rebates	-	1,777,803
Medicare Catastrophic Recovery	-	-
Medicaid "Windfall" Recovery	-	-
Total	<u>\$ -</u>	<u>\$ 19,488,895</u>

4. A portion of Personal Income Tax receipts is transferred to the State Special Revenue School Tax Relief (STAR) Fund to be used to reimburse school districts for the STAR property tax exemptions for homeowners and payments to homeowners for the STAR Property Rebate Program. School Tax Relief payments were (\$524.8m) as of September 30, 2016.

STATE OF NEW YORK  
 PROPRIETARY FUNDS  
 COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES  
 (Amounts in millions)

EXHIBIT B

	ENTERPRISE		INTERNAL SERVICE		TOTAL PROPRIETARY FUNDS				YEAR OVER YEAR	
	MONTH OF SEPT. 2016	6 MOS. ENDED SEPT. 30, 2016	MONTH OF SEPT. 2016	6 MOS. ENDED SEPT. 30, 2016	MONTH OF SEPT. 2016	6 MOS. ENDED SEPT. 30, 2016	MONTH OF SEPT. 2015	6 MOS. ENDED SEPT. 30, 2015	\$ Increase/ (Decrease)	% Increase/ Decrease
<b>RECEIPTS:</b>										
Miscellaneous Receipts	\$ 8.6	\$ 32.7	\$ 29.5	\$ 188.2	\$ 38.1	\$ 220.9	\$ 73.3	\$ 294.7	\$ (73.8)	-25.0%
Federal Receipts	1.5	8.6	-	-	1.5	8.6	4.7	15.4	(6.8)	-44.2%
Unemployment Taxes	150.9	1,002.4	-	-	150.9	1,002.4	176.9	1,100.9	(98.5)	-8.9%
<b>Total Receipts</b>	<b>161.0</b>	<b>1,043.7</b>	<b>29.5</b>	<b>188.2</b>	<b>190.5</b>	<b>1,231.9</b>	<b>254.9</b>	<b>1,411.0</b>	<b>(179.1)</b>	<b>-12.7%</b>
<b>DISBURSEMENTS:</b>										
Departmental Operations:										
Personal Service	0.1	1.7	7.7	48.3	7.8	50.0	7.0	46.0	4.0	8.7%
Non-Personal Service	5.9	25.7	39.0	264.1	44.9	289.8	80.1	282.5	7.3	2.6%
General State Charges	0.1	0.4	2.9	21.2	3.0	21.6	0.6	20.2	1.4	6.9%
Unemployment Benefits	152.1	1,054.9	-	-	152.1	1,054.9	167.0	1,096.6	(41.7)	-3.8%
<b>Total Disbursements</b>	<b>158.2</b>	<b>1,082.7</b>	<b>49.6</b>	<b>333.6</b>	<b>207.8</b>	<b>1,416.3</b>	<b>254.7</b>	<b>1,445.3</b>	<b>(29.0)</b>	<b>-2.0%</b>
<b>Excess (Deficiency) of Receipts Over Disbursements</b>	<b>2.8</b>	<b>(39.0)</b>	<b>(20.1)</b>	<b>(145.4)</b>	<b>(17.3)</b>	<b>(184.4)</b>	<b>0.2</b>	<b>(34.3)</b>	<b>(150.1)</b>	<b>-437.6%</b>
<b>OTHER FINANCING SOURCES (USES):</b>										
Transfers from Other Funds	-	-	4.5	21.7	4.5	21.7	13.1	30.8	(9.1)	-29.5%
Transfers to Other Funds	-	-	(7.5)	(7.7)	(7.5)	(7.7)	(7.8)	(7.9)	(0.2)	-2.5%
<b>Total Other Financing Sources (Uses)</b>	<b>-</b>	<b>-</b>	<b>(3.0)</b>	<b>14.0</b>	<b>(3.0)</b>	<b>14.0</b>	<b>5.3</b>	<b>22.9</b>	<b>(8.9)</b>	<b>-38.9%</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>2.8</b>	<b>(39.0)</b>	<b>(23.1)</b>	<b>(131.4)</b>	<b>(20.3)</b>	<b>(170.4)</b>	<b>5.5</b>	<b>(11.4)</b>	<b>(159.0)</b>	<b>-1,394.7%</b>
<b>Beginning Fund Balances (Deficits)</b>	<b>24.3</b>	<b>66.1</b>	<b>(235.5)</b>	<b>(127.2)</b>	<b>(211.2)</b>	<b>(61.1)</b>	<b>(163.0)</b>	<b>(146.1)</b>	<b>85.0</b>	<b>58.2%</b>
<b>Ending Fund Balances (Deficits)</b>	<b>\$ 27.1</b>	<b>\$ 27.1</b>	<b>\$ (258.6)</b>	<b>\$ (258.6)</b>	<b>\$ (231.5)</b>	<b>\$ (231.5)</b>	<b>\$ (157.5)</b>	<b>\$ (157.5)</b>	<b>\$ (74.0)</b>	<b>-47.0%</b>

STATE OF NEW YORK  
TRUST FUNDS  
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES  
(Amounts in millions)

EXHIBIT C

	PENSION		PRIVATE PURPOSE		TOTAL TRUST FUNDS				YEAR OVER YEAR	
	MONTH OF SEPT. 2016	6 MOS. ENDED SEPT. 30, 2016	MONTH OF SEPT. 2016	6 MOS. ENDED SEPT. 30, 2016	MONTH OF SEPT. 2016	6 MOS. ENDED SEPT. 30, 2016	MONTH OF SEPT. 2015	6 MOS. ENDED SEPT. 30, 2015	\$ Increase/ (Decrease)	% Increase Decrease
<b>RECEIPTS:</b>										
Miscellaneous Receipts	\$ 4.8	\$ 33.3	\$ 0.1	\$ (1.2)	\$ 4.9	\$ 32.1	\$ 5.0	\$ 58.0	\$ (25.9)	-44.7%
<b>Total Receipts</b>	<b>4.8</b>	<b>33.3</b>	<b>0.1</b>	<b>(1.2)</b>	<b>4.9</b>	<b>32.1</b>	<b>5.0</b>	<b>58.0</b>	<b>(25.9)</b>	<b>-44.7%</b>
<b>DISBURSEMENTS:</b>										
Departmental Operations:										
Personal Service	4.7	30.7	-	0.1	4.7	30.8	4.7	31.4	(0.6)	-1.9%
Non-Personal Service	0.9	6.1	-	-	0.9	6.1	0.6	5.3	0.8	15.1%
General State Charges	-	11.5	0.1	0.1	0.1	11.6	-	14.4	(2.8)	-19.4%
<b>Total Disbursements</b>	<b>5.6</b>	<b>48.3</b>	<b>0.1</b>	<b>0.2</b>	<b>5.7</b>	<b>48.5</b>	<b>5.3</b>	<b>51.1</b>	<b>(2.6)</b>	<b>-5.1%</b>
<b>Excess (Deficiency) of Receipts Over Disbursements</b>	<b>(0.8)</b>	<b>(15.0)</b>	<b>-</b>	<b>(1.4)</b>	<b>(0.8)</b>	<b>(16.4)</b>	<b>(0.3)</b>	<b>6.9</b>	<b>(23.3)</b>	<b>-337.7%</b>
<b>OTHER FINANCING SOURCES (USES):</b>										
Transfers from Other Funds	-	-	-	-	-	-	-	-	-	0.0%
Transfers to Other Funds	-	-	-	-	-	-	-	-	-	0.0%
<b>Total Other Financing Sources (Uses)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>0.0%</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>(0.8)</b>	<b>(15.0)</b>	<b>-</b>	<b>(1.4)</b>	<b>(0.8)</b>	<b>(16.4)</b>	<b>(0.3)</b>	<b>6.9</b>	<b>(23.3)</b>	<b>-337.7%</b>
<b>Beginning Fund Balances (Deficits)</b>	<b>(14.1)</b>	<b>0.1</b>	<b>10.2</b>	<b>11.6</b>	<b>(3.9)</b>	<b>11.7</b>	<b>1.8</b>	<b>(5.4)</b>	<b>17.1</b>	<b>316.7%</b>
<b>Ending Fund Balances (Deficits)</b>	<b>\$ (14.9)</b>	<b>\$ (14.9)</b>	<b>\$ 10.2</b>	<b>\$ 10.2</b>	<b>\$ (4.7)</b>	<b>\$ (4.7)</b>	<b>\$ 1.5</b>	<b>\$ 1.5</b>	<b>\$ (6.2)</b>	<b>-413.3%</b>

STATE OF NEW YORK  
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL  
STATE FISCAL YEAR ENDED MARCH 31, 2017  
FOR SIX MONTHS ENDED SEPTEMBER 30, 2016  
(Amounts in millions)

EXHIBIT D

ALL GOVERNMENTAL FUNDS					
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
<b>RECEIPTS:</b>					
Taxes:					
Personal Income	\$ 24,740.0	\$ 23,922.0	\$ 23,499.3	\$ (1,240.7)	\$ (422.7)
Consumption/Use	8,121.0	8,153.0	8,248.9	127.9	95.9
Business	3,236.0	3,079.0	3,364.6	128.6	285.6
Other	1,677.0	1,690.0	1,742.5	65.5	52.5
Miscellaneous Receipts	11,646.0	12,016.0	12,269.7	623.7	253.7
Federal Receipts	26,594.0	26,518.0	25,915.0	(679.0)	(603.0)
<b>Total Receipts</b>	<b>76,014.0</b>	<b>75,378.0</b>	<b>75,040.0</b>	<b>(974.0)</b>	<b>(338.0)</b>
<b>DISBURSEMENTS:</b>					
Local Assistance Grants	53,694.0	53,903.0	53,637.3	(56.7)	(265.7)
Departmental Operations	9,927.0	10,043.0	10,175.7	248.7	132.7
General State Charges	4,840.0	4,939.0	4,956.0	116.0	17.0
Debt Service	1,494.0	1,491.0	1,463.2	(30.8)	(27.8)
Capital Projects	3,701.0	3,204.0	3,166.4	(534.6)	(37.6)
<b>Total Disbursements</b>	<b>73,656.0</b>	<b>73,580.0</b>	<b>73,398.6</b>	<b>(257.4)</b>	<b>(181.4)</b>
<b>Excess (Deficiency) of Receipts over Disbursements</b>	<b>2,358.0</b>	<b>1,798.0</b>	<b>1,641.4</b>	<b>(716.6)</b>	<b>(156.6)</b>
<b>OTHER FINANCING SOURCES (USES):</b>					
Bond and Note Proceeds, net	10.0	8.0	-	(10.0)	(8.0)
Transfers from Other Funds	17,193.0	16,577.0	15,984.2	(1,208.8)	(592.8)
Transfers to Other Funds	(17,217.0)	(16,589.0)	(16,008.4)	(1,208.6)	(580.6)
<b>Total Other Financing Sources (Uses)</b>	<b>(14.0)</b>	<b>(4.0)</b>	<b>(24.2)</b>	<b>(10.2)</b>	<b>(20.2)</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>2,344.0</b>	<b>1,794.0</b>	<b>1,617.2</b>	<b>(726.8)</b>	<b>(176.8)</b>
<b>Fund Balances (Deficits) at April 1</b>	<b>11,810.0</b>	<b>11,810.0</b>	<b>11,810.1</b>	<b>0.1</b>	<b>0.1</b>
<b>Fund Balances (Deficits) at September 30, 2016</b>	<b>\$ 14,154.0</b>	<b>\$ 13,604.0</b>	<b>\$ 13,427.3</b>	<b>\$ (726.7)</b>	<b>\$ (176.7)</b>

(\*) Source: 2016-17 Enacted Financial Plan dated May 13, 2016.

(\*\*) Source: 2016-17 First Quarter Update dated August 9, 2016.



STATE OF NEW YORK  
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL  
 STATE FISCAL YEAR ENDED MARCH 31, 2017  
 FOR SIX MONTHS ENDED SEPTEMBER 30, 2016  
 (Amounts in millions)

EXHIBIT D  
 (continued)

	STATE OPERATING FUNDS (***)				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
<b>RECEIPTS:</b>					
Taxes:					
Personal Income	\$ 24,740.0	\$ 23,922.0	\$ 23,499.3	\$ (1,240.7)	\$ (422.7)
Consumption/Use	7,825.0	7,854.0	7,927.7	102.7	73.7
Business	2,923.0	2,761.0	3,042.8	119.8	281.8
Other	1,629.0	1,642.0	1,694.9	65.9	52.9
Miscellaneous Receipts	9,792.0	9,991.0	10,387.2	595.2	396.2
Federal Receipts	37.0	47.0	36.4	(0.6)	(10.6)
<b>Total Receipts</b>	<b>46,946.0</b>	<b>46,217.0</b>	<b>46,588.3</b>	<b>(357.7)</b>	<b>371.3</b>
<b>DISBURSEMENTS:</b>					
Local Assistance Grants	28,771.0	29,329.0	29,958.0	1,187.0	629.0
Departmental Operations	9,067.0	9,174.0	9,197.4	130.4	23.4
General State Charges	4,704.0	4,805.0	4,824.7	120.7	19.7
Debt Service	1,494.0	1,491.0	1,463.2	(30.8)	(27.8)
Capital Projects	-	-	2.4	2.4	2.4
<b>Total Disbursements</b>	<b>44,036.0</b>	<b>44,799.0</b>	<b>45,445.7</b>	<b>1,409.7</b>	<b>646.7</b>
<b>Excess (Deficiency) of Receipts over Disbursements</b>	<b>2,910.0</b>	<b>1,418.0</b>	<b>1,142.6</b>	<b>(1,767.4)</b>	<b>(275.4)</b>
<b>OTHER FINANCING SOURCES (USES):</b>					
Transfers from Other Funds	15,020.0	15,199.0	15,005.9 (****)	(14.1)	(193.1)
Transfers to Other Funds	(15,971.0)	(15,121.0)	(14,888.0) (****)	(1,083.0)	(233.0)
<b>Total Other Financing Sources (Uses)</b>	<b>(951.0)</b>	<b>78.0</b>	<b>117.9</b>	<b>1,068.9</b>	<b>39.9</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>1,959.0</b>	<b>1,496.0</b>	<b>1,260.5</b>	<b>(698.5)</b>	<b>(235.5)</b>
<b>Fund Balances (Deficits) at April 1</b>	<b>12,641.0</b>	<b>12,641.0</b>	<b>12,641.2</b>	<b>0.2</b>	<b>0.2</b>
<b>Fund Balances (Deficits) at September 30, 2016</b>	<b>\$ 14,600.0</b>	<b>\$ 14,137.0</b>	<b>\$ 13,901.7</b>	<b>\$ (698.3)</b>	<b>\$ (235.3)</b>

(\*) Source: 2016-17 Enacted Financial Plan dated May 13, 2016.

(\*\*) Source: 2016-17 First Quarter Update dated August 9, 2016.

(\*\*\*) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal Funds) and Debt Service Funds.

(\*\*\*\*) Eliminations between Special Revenue - State and Federal Funds are not included.

STATE OF NEW YORK  
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL  
 STATE FISCAL YEAR ENDED MARCH 31, 2017  
 FOR SIX MONTHS ENDED SEPTEMBER 30, 2016  
 (Amounts in millions)

EXHIBIT D  
 (continued)

	GENERAL FUND				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
<b>RECEIPTS:</b>					
Taxes:					
Personal Income	\$ 18,025.0	\$ 17,412.0	\$ 17,094.6	\$ (930.4)	\$ (317.4)
Consumption/Use	3,538.0	3,556.0	3,599.5	61.5	43.5
Business	2,229.0	2,081.0	2,304.6	75.6	223.6
Other	517.0	519.0	549.2	32.2	30.2
Miscellaneous Receipts	1,340.0	1,360.0	1,447.1	107.1	87.1
Federal Receipts	-	-	0.3	0.3	0.3
Transfers From:					
PIT in excess of Revenue Bond Debt Service	5,763.0	5,562.0	5,475.7	(287.3)	(86.3)
Sales Tax in excess of LGAC / STRBF Debt Service	2,880.0	2,899.0	2,934.6	54.6	35.6
Real Estate Taxes in excess of CW/CA Debt Service	472.0	497.0	502.9	30.9	5.9
All Other	97.0	127.0	116.9	19.9	(10.1)
<b>Total Receipts and Other Financing Sources</b>	<b>34,861.0</b>	<b>34,013.0</b>	<b>34,025.4</b>	<b>(835.6)</b>	<b>12.4</b>
<b>DISBURSEMENTS:</b>					
Local Assistance Grants	19,233.0	19,819.0	20,462.3	1,229.3	643.3
Departmental Operations	3,913.0	3,975.0	4,006.0	93.0	31.0
General State Charges	3,752.0	3,814.0	3,791.9	39.9	(22.1)
Transfers To:					
Debt Service	321.0	333.0	308.7	(12.3)	(24.3)
Capital Projects	2,041.0	1,264.0	1,126.3	(914.7)	(137.7)
State Share Medicaid	676.0	765.0	815.9 (***)	139.9	50.9
SUNY Operations	818.0	817.0	816.9	(1.1)	(0.1)
Other Purposes	2,226.0	2,246.0	2,065.7	(160.3)	(180.3)
<b>Total Disbursements and Other Financing Uses</b>	<b>32,980.0</b>	<b>33,033.0</b>	<b>33,393.7</b>	<b>413.7</b>	<b>360.7</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>1,881.0</b>	<b>980.0</b>	<b>631.7</b>	<b>(1,249.3)</b>	<b>(348.3)</b>
<b>Fund Balances (Deficits) at April 1</b>	<b>8,934.0</b>	<b>8,934.0</b>	<b>8,934.1</b>	<b>0.1</b>	<b>0.1</b>
<b>Fund Balances (Deficits) at September 30, 2016</b>	<b>\$ 10,815.0</b>	<b>\$ 9,914.0</b>	<b>\$ 9,565.8</b>	<b>\$ (1,249.2)</b>	<b>\$ (348.2)</b>

(\*) Source: 2016-17 Enacted Financial Plan dated May 13, 2016.

(\*\*) Source: 2016-17 First Quarter Update dated August 9, 2016.

(\*\*\*) Includes transfers to the Department of Health Income Fund, the State University Income Fund and the Mental Hygiene Program Account representing payments for patients residing in State-Operated Health, Mental Hygiene and State University facilities.

STATE OF NEW YORK  
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL  
STATE FISCAL YEAR ENDED MARCH 31, 2017  
FOR SIX MONTHS ENDED SEPTEMBER 30, 2016  
(Amounts in millions)

EXHIBIT D  
(continued)

SPECIAL REVENUE FUNDS							
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Eliminations	Total	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
<b>RECEIPTS:</b>							
Taxes:							
Personal Income	\$ 529.0	\$ 529.0	\$ 529.9	\$ -	\$ 529.9	\$ 0.9	\$ 0.9
Consumption/Use	1,065.0	1,058.0	1,054.4	-	1,054.4	(10.6)	(3.6)
Business	694.0	680.0	738.2	-	738.2	44.2	58.2
Other	605.0	594.0	608.9	-	608.9	3.9	14.9
Miscellaneous Receipts	8,329.0	8,598.0	8,813.4	-	8,813.4	484.4	215.4
Federal Receipts	25,583.0	25,448.0	24,622.8	-	24,622.8	(960.2)	(825.2)
Transfers from Other Funds(***)	4,491.0	4,613.0	4,604.0	(220.9)	4,383.1	(107.9)	(229.9)
<b>Total Receipts and Other Financing Sources</b>	<b>41,296.0</b>	<b>41,520.0</b>	<b>40,971.6</b>	<b>(220.9)</b>	<b>40,750.7</b>	<b>(545.3)</b>	<b>(769.3)</b>
<b>DISBURSEMENTS:</b>							
Local Assistance Grants	32,890.0	32,740.0	31,843.5	-	31,843.5	(1,046.5)	(896.5)
Departmental Operations	5,985.0	6,040.0	6,145.6	-	6,145.6	160.6	105.6
General State Charges	1,088.0	1,125.0	1,164.1	-	1,164.1	76.1	39.1
Capital Projects	-	-	2.4	-	2.4	2.4	2.4
Transfers to Other Funds(***)	1,035.0	1,231.0	1,057.3	(220.9)	836.4	(198.6)	(394.6)
<b>Total Disbursements and Other Financing Uses</b>	<b>40,998.0</b>	<b>41,136.0</b>	<b>40,212.9</b>	<b>(220.9)</b>	<b>39,992.0</b>	<b>(1,006.0)</b>	<b>(1,144.0)</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>298.0</b>	<b>384.0</b>	<b>758.7</b>	<b>-</b>	<b>758.7</b>	<b>460.7</b>	<b>374.7</b>
<b>Fund Balances (Deficits) at April 1</b>	<b>3,607.0</b>	<b>3,607.0</b>	<b>3,607.1</b>	<b>-</b>	<b>3,607.1</b>	<b>0.1</b>	<b>0.1</b>
<b>Fund Balances (Deficits) at September 30, 2016</b>	<b>\$ 3,905.0</b>	<b>\$ 3,991.0</b>	<b>\$ 4,365.8</b>	<b>\$ -</b>	<b>\$ 4,365.8</b>	<b>\$ 460.8</b>	<b>\$ 374.8</b>

(\*) Source: 2016-17 Enacted Financial Plan dated May 13, 2016.

(\*\*) Source: 2016-17 First Quarter Update dated August 9, 2016.

(\*\*\*) Actual reported transfer amounts include eliminations between Special Revenue - State and Federal Funds. The Financial Plan reported transfer amounts do not include eliminations.

STATE OF NEW YORK  
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EXHIBIT D  
 (continued)

	STATE SPECIAL REVENUE FUNDS					FEDERAL SPECIAL REVENUE FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
<b>RECEIPTS:</b>										
Taxes:										
Personal Income	\$ 529.0	\$ 529.0	\$ 529.9	\$ 0.9	\$ 0.9	\$ -	\$ -	\$ -	\$ -	\$ -
Consumption/Use	1,065.0	1,058.0	1,054.4	(10.6)	(3.6)	-	-	-	-	-
Business	694.0	680.0	738.2	44.2	58.2	-	-	-	-	-
Other	605.0	594.0	608.9	3.9	14.9	-	-	-	-	-
Miscellaneous Receipts	8,221.0	8,488.0	8,708.4	487.4	220.4	108.0	110.0	105.0	(3.0)	(5.0)
Federal Receipts	-	10.0	(0.6)	(0.6)	(10.6)	25,583.0	25,438.0	24,623.4	(959.6)	(814.6)
Transfers from Other Funds	4,491.0	4,613.0	4,604.0	113.0	(9.0)	-	-	-	-	-
<b>Total Receipts and Other Financing Sources</b>	<b>15,605.0</b>	<b>15,972.0</b>	<b>16,243.2</b>	<b>638.2</b>	<b>271.2</b>	<b>25,691.0</b>	<b>25,548.0</b>	<b>24,728.4</b>	<b>(962.6)</b>	<b>(819.6)</b>
<b>DISBURSEMENTS:</b>										
Local Assistance Grants	9,538.0	9,510.0	9,495.7	(42.3)	(14.3)	23,352.0	23,230.0	22,347.8	(1,004.2)	(882.2)
Departmental Operations	5,125.0	5,171.0	5,167.3	42.3	(3.7)	860.0	869.0	978.3	118.3	109.3
General State Charges	952.0	991.0	1,032.8	80.8	41.8	136.0	134.0	131.3	(4.7)	(2.7)
Capital Projects	-	-	2.4	2.4	2.4	-	-	-	-	-
Transfers to Other Funds	192.0	175.0	131.0	(61.0)	(44.0)	843.0	1,056.0	926.3	83.3	(129.7)
<b>Total Disbursements and Other Financing Uses</b>	<b>15,807.0</b>	<b>15,847.0</b>	<b>15,829.2</b>	<b>22.2</b>	<b>(17.8)</b>	<b>25,191.0</b>	<b>25,289.0</b>	<b>24,383.7</b>	<b>(807.3)</b>	<b>(905.3)</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>(202.0)</b>	<b>125.0</b>	<b>414.0</b>	<b>616.0</b>	<b>289.0</b>	<b>500.0</b>	<b>259.0</b>	<b>344.7</b>	<b>(155.3)</b>	<b>85.7</b>
<b>Fund Balances (Deficits) at April 1</b>	<b>3,547.0</b>	<b>3,547.0</b>	<b>3,547.4</b>	<b>0.4</b>	<b>0.4</b>	<b>60.0</b>	<b>60.0</b>	<b>59.7</b>	<b>(0.3)</b>	<b>(0.3)</b>
<b>Fund Balances (Deficits) at September 30, 2016</b>	<b>\$ 3,345.0</b>	<b>\$ 3,672.0</b>	<b>\$ 3,961.4</b>	<b>\$ 616.4</b>	<b>\$ 289.4</b>	<b>\$ 560.0</b>	<b>\$ 319.0</b>	<b>\$ 404.4</b>	<b>\$ (155.6)</b>	<b>\$ 85.4</b>

(\*) Source: 2016-17 Enacted Financial Plan dated May 13, 2016.

(\*\*) Source: 2016-17 First Quarter Update dated August 9, 2016.

STATE OF NEW YORK  
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EXHIBIT D  
 (continued)

	DEBT SERVICE FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
<b>RECEIPTS:</b>					
Taxes:					
Personal Income	\$ 6,186.0	\$ 5,981.0	\$ 5,874.8	\$ (311.2)	\$ (106.2)
Consumption/Use	3,222.0	3,240.0	3,273.8	51.8	33.8
Other	507.0	529.0	536.8	29.8	7.8
Miscellaneous Receipts	231.0	143.0	231.7	0.7	88.7
Federal Receipts	37.0	37.0	36.7	(0.3)	(0.3)
Transfers from Other Funds	1,317.0	1,501.0	1,371.8	54.8	(129.2)
<b>Total Receipts and Other Financing Sources</b>	<b>11,500.0</b>	<b>11,431.0</b>	<b>11,325.6</b>	<b>(174.4)</b>	<b>(105.4)</b>
<b>DISBURSEMENTS:</b>					
Departmental Operations	29.0	28.0	24.1	(4.9)	(3.9)
Debt Service	1,494.0	1,491.0	1,463.2	(30.8)	(27.8)
Transfers to Other Funds	9,697.0	9,521.0	9,623.5	(73.5)	102.5
<b>Total Disbursements and Other Financing Uses</b>	<b>11,220.0</b>	<b>11,040.0</b>	<b>11,110.8</b>	<b>(109.2)</b>	<b>70.8</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>280.0</b>	<b>391.0</b>	<b>214.8</b>	<b>(65.2)</b>	<b>(176.2)</b>
<b>Fund Balances (Deficits) at April 1</b>	<b>160.0</b>	<b>160.0</b>	<b>159.7</b>	<b>(0.3)</b>	<b>(0.3)</b>
<b>Fund Balances (Deficits) at September 30, 2016</b>	<b>\$ 440.0</b>	<b>\$ 551.0</b>	<b>\$ 374.5</b>	<b>\$ (65.5)</b>	<b>\$ (176.5)</b>

(\*) Source: 2016-17 Enacted Financial Plan dated May 13, 2016.

(\*\*) Source: 2016-17 First Quarter Update dated August 9, 2016.

STATE OF NEW YORK  
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL  
 STATE FISCAL YEAR ENDED MARCH 31, 2017  
 FOR SIX MONTHS ENDED SEPTEMBER 30, 2016  
 (Amounts in millions)

EXHIBIT D  
 (continued)

CAPITAL PROJECTS FUNDS

	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Eliminations	Total	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
<b>RECEIPTS:</b>							
Taxes:							
Consumption/Use	\$ 296.0	\$ 299.0	\$ 321.2	\$ -	\$ 321.2	\$ 25.2	\$ 22.2
Business	313.0	318.0	321.8	-	321.8	8.8	3.8
Other	48.0	48.0	47.6	-	47.6	(0.4)	(0.4)
Miscellaneous Receipts	1,746.0	1,915.0	1,777.5	-	1,777.5	31.5	(137.5)
Federal Receipts	974.0	1,033.0	1,255.2	-	1,255.2	281.2	222.2
Bond and Note Proceeds, net	10.0	8.0	-	-	-	(10.0)	(8.0)
Transfers from Other Funds	2,173.0	1,378.0	1,229.6	(30.4)	1,199.2	(973.8)	(178.8)
<b>Total Receipts and Other Financing Sources</b>	<b>5,560.0</b>	<b>4,999.0</b>	<b>4,952.9</b>	<b>(30.4)</b>	<b>4,922.5</b>	<b>(637.5)</b>	<b>(76.5)</b>
<b>DISBURSEMENTS:</b>							
Local Assistance Grants	1,571.0	1,344.0	1,331.5	-	1,331.5	(239.5)	(12.5)
Capital Projects	3,701.0	3,204.0	3,164.0	-	3,164.0	(537.0)	(40.0)
Transfers to Other Funds	403.0	412.0	445.4	(30.4)	415.0	12.0	3.0
<b>Total Disbursements and Other Financing Uses</b>	<b>5,675.0</b>	<b>4,960.0</b>	<b>4,940.9</b>	<b>(30.4)</b>	<b>4,910.5</b>	<b>(764.5)</b>	<b>(49.5)</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>(115.0)</b>	<b>39.0</b>	<b>12.0</b>	<b>-</b>	<b>12.0</b>	<b>127.0</b>	<b>(27.0)</b>
<b>Fund Balances (Deficits) at April 1</b>	<b>(891.0)</b>	<b>(891.0)</b>	<b>(890.8)</b>	<b>-</b>	<b>(890.8)</b>	<b>0.2</b>	<b>0.2</b>
<b>Fund Balances (Deficits) at September 30, 2016</b>	<b>\$ (1,006.0)</b>	<b>\$ (852.0)</b>	<b>\$ (878.8)</b>	<b>\$ -</b>	<b>\$ (878.8)</b>	<b>\$ 127.2</b>	<b>\$ (26.8)</b>

(\*) Source: 2016-17 Enacted Budget dated May 13, 2016.

(\*\*) Source: 2016-17 First Quarter Update dated August 9, 2016.

STATE OF NEW YORK  
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL  
 STATE FISCAL YEAR ENDED MARCH 31, 2017  
 FOR SIX MONTHS ENDED SEPTEMBER 30, 2016  
 (Amounts in millions)

EXHIBIT D  
 (continued)

	STATE CAPITAL PROJECTS FUNDS					FEDERAL CAPITAL PROJECTS FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
<b>RECEIPTS:</b>										
Taxes:										
Consumption/Use	\$ 296.0	\$ 299.0	\$ 321.2	\$ 25.2	\$ 22.2	\$ -	\$ -	\$ -	\$ -	\$ -
Business	313.0	318.0	321.8	8.8	3.8	-	-	-	-	-
Other	48.0	48.0	47.6	(0.4)	(0.4)	-	-	-	-	-
Miscellaneous Receipts	1,746.0	1,914.0	1,776.2	30.2	(137.8)	-	1.0	1.3	1.3	0.3
Federal Receipts	2.0	2.0	2.5	0.5	0.5	972.0	1,031.0	1,252.7	280.7	221.7
Bond and Note Proceeds, net	10.0	8.0	-	(10.0)	(8.0)	-	-	-	-	-
Transfers from Other Funds	2,174.0	1,378.0	1,229.6	(944.4)	(148.4)	(1.0)	-	-	1.0	-
<b>Total Receipts and Other Financing Sources</b>	<b>4,589.0</b>	<b>3,967.0</b>	<b>3,698.9</b>	<b>(890.1)</b>	<b>(268.1)</b>	<b>971.0</b>	<b>1,032.0</b>	<b>1,254.0</b>	<b>283.0</b>	<b>222.0</b>
<b>DISBURSEMENTS:</b>										
Local Assistance Grants	1,287.0	1,082.0	994.6	(292.4)	(87.4)	284.0	262.0	336.9	52.9	74.9
Capital Projects	3,117.0	2,590.0	2,395.0	(722.0)	(195.0)	584.0	614.0	769.0	185.0	155.0
Transfers to Other Funds	397.0	406.0	408.8	11.8	2.8	6.0	6.0	36.6	30.6	30.6
<b>Total Disbursements and Other Financing Uses</b>	<b>4,801.0</b>	<b>4,078.0</b>	<b>3,798.4</b>	<b>(1,002.6)</b>	<b>(279.6)</b>	<b>874.0</b>	<b>882.0</b>	<b>1,142.5</b>	<b>268.5</b>	<b>260.5</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>(212.0)</b>	<b>(111.0)</b>	<b>(99.5)</b>	<b>112.5</b>	<b>11.5</b>	<b>97.0</b>	<b>150.0</b>	<b>111.5</b>	<b>14.5</b>	<b>(38.5)</b>
<b>Fund Balances (Deficits) at April 1</b>	<b>(333.0)</b>	<b>(333.0)</b>	<b>(331.5)</b>	<b>1.5</b>	<b>1.5</b>	<b>(558.0)</b>	<b>(558.0)</b>	<b>(559.3)</b>	<b>(1.3)</b>	<b>(1.3)</b>
<b>Fund Balances (Deficits) at September 30, 2016</b>	<b>\$ (545.0)</b>	<b>\$ (444.0)</b>	<b>\$ (431.0)</b>	<b>\$ 114.0</b>	<b>\$ 13.0</b>	<b>\$ (461.0)</b>	<b>\$ (408.0)</b>	<b>\$ (447.8)</b>	<b>\$ 13.2</b>	<b>\$ (39.8)</b>

(\*) Source: 2016-17 Enacted Budget dated May 13, 2016.

(\*\*) Source: 2016-17 First Quarter Update dated August 9, 2016.

STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
COMPARATIVE SCHEDULE OF TAX RECEIPTS  
(Amounts in millions)

EXHIBIT E

	GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS				YEAR OVER YEAR	
	MONTH OF SEPT. 2016	6 MOS. ENDED SEPT 30, 2016	MONTH OF SEPT. 2016	6 MOS. ENDED SEPT 30, 2016	MONTH OF SEPT. 2016	6 MOS. ENDED SEPT 30, 2016	MONTH OF SEPT. 2016	6 MOS. ENDED SEPT 30, 2016	MONTH OF SEPT. 2016	6 MOS. ENDED SEPT 30, 2016	MONTH OF SEPT. 2015	6 MOS. ENDED SEPT. 30, 2015	\$ Increase/ (Decrease)	% Increase/ Decrease
<b>PERSONAL INCOME TAX</b>														
Withholding	\$ 2,466.0	\$ 16,030.3	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 2,466.0	\$ 16,030.3	\$ 2,679.4	\$ 15,891.0	\$ 139.3	0.9%
Estimated Payments	2,355.7	9,419.3	-	-	-	-	-	-	2,355.7	9,419.3	2,485.3	10,385.0	(965.7)	-9.3%
Returns	63.0	1,946.5	-	-	-	-	-	-	63.0	1,946.5	61.0	1,945.4	1.1	0.1%
State/City Offsets	(64.9)	(319.4)	-	-	-	-	-	-	(64.9)	(319.4)	(32.9)	(249.2)	70.2	28.2%
Other (Assessments/LLC)	93.1	660.8	-	-	-	-	-	-	93.1	660.8	73.5	579.1	81.7	14.1%
<b>Gross Receipts</b>	<b>4,912.9</b>	<b>27,737.5</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>4,912.9</b>	<b>27,737.5</b>	<b>5,266.3</b>	<b>28,551.3</b>	<b>(813.8)</b>	<b>-2.9%</b>
Transfers to School Tax Relief Fund	(108.4)	(529.9)	108.4	529.9	-	-	-	-	-	-	-	-	-	0.0%
Transfers to Revenue Bond Tax Fund	(1,176.1)	(5,874.8)	-	-	1,176.1	5,874.8	-	-	-	-	-	-	-	0.0%
Less: Refunds Issued	(208.5)	(4,238.2)	-	-	-	-	-	-	(208.5)	(4,238.2)	(143.4)	(4,317.7)	(79.5)	-1.8%
<b>Total</b>	<b>3,419.9</b>	<b>17,094.6</b>	<b>108.4</b>	<b>529.9</b>	<b>1,176.1</b>	<b>5,874.8</b>	<b>-</b>	<b>-</b>	<b>4,704.4</b>	<b>23,499.3</b>	<b>5,122.9</b>	<b>24,233.6</b>	<b>(734.3)</b>	<b>-3.0%</b>
<b>CONSUMPTION/USE TAXES</b>														
Sales and Use	652.9	3,275.5	92.3	473.6	652.5	3,273.8	-	-	1,397.7	7,022.9	1,379.4	6,887.2	135.7	2.0%
Auto Rental	-	-	5.4	28.4	-	-	23.2	42.6	28.6	71.0	39.2	72.6	(1.6)	-2.2%
Cigarette/Tobacco Products	36.2	191.9	75.6	463.1	-	-	-	-	111.8	655.0	121.4	663.1	(8.1)	-1.2%
Medical Marihuana	-	-	0.1	0.2	-	-	-	-	0.1	0.2	-	-	0.2	100.0%
Motor Fuel	-	-	9.5	55.4	-	-	35.3	206.5	44.8	261.9	38.2	251.3	10.6	4.2%
Alcoholic Beverage	24.4	132.1	-	-	-	-	-	-	24.4	132.1	21.4	130.1	2.0	1.5%
Highway Use	-	-	-	-	-	-	11.7	72.1	11.7	72.1	14.9	75.5	(3.4)	-4.5%
Metropolitan Commuter Trans. Taxicab Trip	-	-	0.6	33.7	-	-	-	-	0.6	33.7	0.1	38.7	(5.0)	-12.9%
<b>Total</b>	<b>713.5</b>	<b>3,599.5</b>	<b>183.5</b>	<b>1,054.4</b>	<b>652.5</b>	<b>3,273.8</b>	<b>70.2</b>	<b>321.2</b>	<b>1,619.7</b>	<b>8,248.9</b>	<b>1,614.6</b>	<b>8,118.5</b>	<b>130.4</b>	<b>1.6%</b>
<b>BUSINESS TAXES</b>														
Corporation Franchise	694.9	1,454.9	138.1	338.3	-	-	-	-	833.0	1,793.2	837.7	2,121.1	(327.9)	-15.5%
Corporation and Utilities	106.1	211.7	31.9	67.5	-	-	2.5	5.5	140.5	284.7	149.5	297.7	(13.0)	-4.4%
Insurance	280.8	622.3	34.6	78.5	-	-	-	-	315.4	700.8	272.2	647.3	53.5	8.3%
Bank	(3.3)	15.7	(1.5)	0.6	-	-	-	-	(4.8)	16.3	(3.4)	94.2	(77.9)	-82.7%
Petroleum Business	-	-	43.8	253.3	-	-	55.0	316.3	98.8	569.6	95.1	569.0	0.6	0.1%
<b>Total</b>	<b>1,078.5</b>	<b>2,304.6</b>	<b>246.9</b>	<b>738.2</b>	<b>-</b>	<b>-</b>	<b>57.5</b>	<b>321.8</b>	<b>1,382.9</b>	<b>3,364.6</b>	<b>1,351.1</b>	<b>3,729.3</b>	<b>(364.7)</b>	<b>-9.8%</b>
<b>OTHER TAXES</b>														
Real Property Gains	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Estate and Gift	85.7	538.6	-	-	-	-	-	-	85.7	538.6	135.3	920.2	(381.6)	-41.5%
Pari-Mutuel	2.3	9.7	-	-	-	-	-	-	2.3	9.7	2.2	10.3	(0.6)	-5.8%
Real Estate Transfer	-	-	-	-	88.8	536.8	11.9	47.6	100.7	584.4	112.9	582.6	1.8	0.3%
Racing and Exhibitions	0.3	0.9	-	-	-	-	-	-	0.3	0.9	0.4	1.0	(0.1)	-10.0%
Metropolitan Commuter Trans. Mobility	-	-	104.7	608.9	-	-	-	-	104.7	608.9	99.6	596.1	12.8	2.1%
<b>Total</b>	<b>88.3</b>	<b>549.2</b>	<b>104.7</b>	<b>608.9</b>	<b>88.8</b>	<b>536.8</b>	<b>11.9</b>	<b>47.6</b>	<b>293.7</b>	<b>1,742.5</b>	<b>350.4</b>	<b>2,110.2</b>	<b>(367.7)</b>	<b>-17.4%</b>
<b>Total Tax Receipts</b>	<b>\$ 5,300.2</b>	<b>\$ 23,547.9</b>	<b>\$ 643.5</b>	<b>\$ 2,931.4</b>	<b>\$ 1,917.4</b>	<b>\$ 9,685.4</b>	<b>\$ 139.6</b>	<b>\$ 690.6</b>	<b>\$ 8,000.7</b>	<b>\$ 36,855.3</b>	<b>\$ 8,439.0</b>	<b>\$ 38,191.6</b>	<b>\$ (1,336.3)</b>	<b>-3.5%</b>



**STATE OF NEW YORK  
GOVERNMENTAL FUNDS (\*)  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2016-2017  
(Amounts in millions)**

	2016						2017						6 Months Ended September 30			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2016	2015	\$ Increase/ (Decrease)	% Increase/ Decrease
<b>Beginning Fund Balance</b>	\$ 11,810.1	\$ 14,231.2	\$ 12,089.8	\$ 12,220.4	\$ 12,499.3	\$ 12,188.0							\$ 11,810.1	\$ 9,355.6	\$ 2,454.5	26.2%
<b>RECEIPTS:</b>																
<b>Taxes:</b>																
Personal Income Tax :																
Withholdings	2,649.4	2,595.0	2,860.0	2,545.1	2,914.8	2,466.0							16,030.3	15,891.0	139.3	0.9%
Estimated payments	4,784.0	137.0	1,950.5	95.0	97.1	2,355.7							9,419.3	10,385.0	(965.7)	-9.3%
Returns	1,717.3	63.3	38.8	30.2	33.9	63.0							1,946.5	1,945.4	1.1	0.1%
State/City Offsets	(184.9)	(18.2)	(16.7)	(15.9)	(18.8)	(64.9)							(319.4)	(249.2)	70.2	28.2%
Other (Assessments/LLC)	170.4	104.2	122.9	79.4	90.8	93.1							660.8	579.1	81.7	14.1%
<b>Gross Receipts</b>	<b>9,136.2</b>	<b>2,881.3</b>	<b>4,955.5</b>	<b>2,733.8</b>	<b>3,117.8</b>	<b>4,912.9</b>	-	-	-	-	-	-	<b>27,737.5</b>	<b>28,551.3</b>	<b>(813.8)</b>	<b>-2.9%</b>
Transfers to School Tax Relief Fund	-	-	-	-	-	-							-	-	-	0.0%
Transfers to Revenue Bond Tax Fund	-	-	-	-	-	-							-	-	-	0.0%
Refunds issued	(2,752.5)	(695.6)	(231.6)	(172.0)	(178.0)	(208.5)							(4,238.2)	(4,317.7)	(79.5)	-1.8%
<b>Total Personal Income Tax</b>	<b>6,383.7</b>	<b>2,185.7</b>	<b>4,723.9</b>	<b>2,561.8</b>	<b>2,939.8</b>	<b>4,704.4</b>	-	-	-	-	-	-	<b>23,499.3</b>	<b>24,233.6</b>	<b>(734.3)</b>	<b>-3.0%</b>
Consumption/Use Taxes:																
Sales and Use	1,087.0	1,014.8	1,400.0	1,086.5	1,036.9	1,397.7							7,022.9	6,887.2	135.7	2.0%
Auto Rental	1.0	-	32.3	4.6	4.5	28.6							71.0	72.6	(1.6)	-2.2%
Cigarette/Tobacco Products	98.6	99.4	120.8	103.8	120.6	111.8							655.0	663.1	(8.1)	-1.2%
Medical Marijuana	-	-	0.1	-	-	0.1							0.2	-	0.2	100.0%
Motor Fuel	39.0	37.3	44.3	46.5	50.0	44.8							261.9	251.3	10.6	4.2%
Alcoholic Beverage	20.4	19.3	21.7	29.8	16.5	24.4							132.1	130.1	2.0	1.5%
Highway Use	12.6	10.7	12.3	12.5	12.3	11.7							72.1	75.5	(3.4)	-4.5%
Metropolitan Commuter Trans. Taxicab Trip	15.7	0.6	0.6	15.7	0.5	0.6							33.7	38.7	(5.0)	-12.9%
<b>Total Consumption/Use Taxes</b>	<b>1,274.3</b>	<b>1,182.1</b>	<b>1,632.1</b>	<b>1,299.4</b>	<b>1,241.3</b>	<b>1,619.7</b>	-	-	-	-	-	-	<b>8,248.9</b>	<b>8,118.5</b>	<b>130.4</b>	<b>1.6%</b>
Business Taxes:																
Corporation Franchise	155.7	83.5	628.5	75.0	17.5	833.0							1,793.2	2,121.1	(327.9)	-15.5%
Corporation and Utilities	11.2	1.2	126.0	5.3	0.5	140.5							284.7	297.7	(13.0)	-4.4%
Insurance	19.7	20.2	327.2	3.7	14.6	315.4							700.8	647.3	53.5	8.3%
Bank	6.2	(0.9)	(25.1)	2.8	38.1	(4.8)							16.3	94.2	(77.9)	-82.7%
Petroleum Business	87.5	77.9	93.6	118.7	93.1	98.8							569.6	569.0	0.6	0.1%
<b>Total Business Taxes</b>	<b>280.3</b>	<b>181.9</b>	<b>1,150.2</b>	<b>205.5</b>	<b>163.8</b>	<b>1,382.9</b>	-	-	-	-	-	-	<b>3,364.6</b>	<b>3,729.3</b>	<b>(364.7)</b>	<b>-9.8%</b>
Other Taxes:																
Real Property Gains	-	-	-	-	-	-							-	-	-	0.0%
Estate and Gift	74.9	106.1	77.2	72.7	122.0	85.7							538.6	920.2	(381.6)	-41.5%
Pari-Mutuel	0.7	1.4	1.7	1.3	2.3	2.3							9.7	10.3	(0.6)	-5.8%
Real Estate Transfer	90.4	74.0	103.0	113.9	102.4	100.7							584.4	582.6	1.8	0.3%
Racing and Exhibitions	-	0.1	-	0.1	0.4	0.3							0.9	1.0	(0.1)	-10.0%
Metropolitan Commuter Trans. Mobility	116.6	94.0	100.6	85.2	107.8	104.7							608.9	596.1	12.8	2.1%
<b>Total Other Taxes</b>	<b>282.6</b>	<b>275.6</b>	<b>282.5</b>	<b>273.2</b>	<b>334.9</b>	<b>293.7</b>	-	-	-	-	-	-	<b>1,742.5</b>	<b>2,110.2</b>	<b>(367.7)</b>	<b>-17.4%</b>
<b>Total Taxes</b>	<b>8,220.9</b>	<b>3,825.3</b>	<b>7,788.7</b>	<b>4,339.9</b>	<b>4,679.8</b>	<b>8,000.7</b>	-	-	-	-	-	-	<b>36,853.3</b>	<b>38,191.6</b>	<b>(1,338.3)</b>	<b>-3.5%</b>
<b>Miscellaneous Receipts:</b>																
Abandoned Property:																
Abandoned Property	0.9	(0.1)	1.8	0.9	-	40.0							43.5	27.3	16.2	59.3%
Bottle Bill	(0.3)	0.1	31.1	0.1	0.1	36.5							67.6	59.5	8.1	13.6%
Assessments:																
Business	41.8	318.2	123.7	19.8	46.4	125.8							675.7	718.5	(42.8)	-6.0%
Medical Care	423.0	482.1	490.3	468.7	455.7	451.9							2,771.7	2,631.3	140.4	5.3%
Public Utilities	5.7	0.2	(0.1)	-	0.7	100.1							106.6	164.0	(57.4)	-35.0%
Other	20.5	18.9	19.3	19.8	19.2	19.7							117.4	111.5	5.9	5.3%
Fees, Licenses and Permits:																
Alcohol Beverage Control Licensing	5.6	5.1	4.4	4.5	5.0	5.2							29.8	37.2	(7.4)	-19.9%
Audit Fees	-	0.9	1.0	0.1	-	-							2.0	-	2.0	100.0%
Business/Professional	51.2	51.5	106.7	47.5	69.7	101.7							428.3	614.4	(186.1)	-30.3%
Civil	24.6	18.1	25.0	31.0	13.7	22.6							135.0	145.7	(10.7)	-7.3%
Criminal	-	2.1	0.3	0.4	1.9	-							4.7	5.0	(0.3)	-6.0%
Motor Vehicle	122.9	123.3	130.6	106.1	121.2	108.8							712.9	713.7	(0.8)	-0.1%
Recreational/Consumer	40.4	41.0	48.8	39.8	71.3	123.8							365.1	173.5	191.6	110.4%
Fines, Penalties and Forfeitures	9.2	75.6	33.5	26.8	241.0	12.8							398.9	1,646.6	(1,247.7)	-75.8%
Gaming:																
Casino	15.3	-	34.4	15.6	-	37.0							102.3	133.0	(30.7)	-23.1%
Lottery	188.8	202.5	244.8	200.9	228.0	175.1							1,240.1	1,223.5	16.6	1.4%
Video Lottery	78.4	77.4	90.8	76.6	93.1	74.1							490.4	494.2	(3.8)	-0.8%
Interest Earnings	5.4	7.4	6.3	6.1	6.7	5.5							37.4	22.6	14.8	65.5%
Receipts from Public Authorities:																
Bond Proceeds	-	112.9	556.0	4.2	9.0	536.5							1,218.6	1,202.6	16.0	1.3%
Cost Recovery Assessments	-	-	22.6	-	-	-							22.6	25.2	(2.6)	-10.3%
Issuance Fees	15.6	6.1	3.2	8.4	5.2	17.2							55.7	56.7	(1.0)	-1.8%
Non Bond Related	1.0	0.9	0.6	(0.4)	4.5	0.8							7.4	46.5	(39.1)	-84.1%
Receipts from Municipalities	58.4	24.9	54.3	21.8	21.7	24.8							205.9	77.9	128.0	164.3%
Rentals	56.1	31.7	21.8	3.7	47.5	2.9							163.7	122.9	40.8	33.2%
Revenues of State Departments:																

**STATE OF NEW YORK  
GOVERNMENTAL FUNDS (\*)  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2016-2017  
(Amounts in millions)**

	2016												2017				6 Months Ended September 30			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2016	2015	\$ Increase/ (Decrease)	% Increase/ Decrease				
Administrative Recoveries	0.4	29.1	34.6	20.9	9.9	28.6							123.5	92.9	30.6	32.9%				
Commissions	0.2	0.2	0.2	0.1	0.3	2.8							3.8	1.2	2.6	216.7%				
Gifts, Grants and Donations	3.4	2.6	3.2	1.6	0.7	4.0							15.5	4.5	11.0	244.4%				
Indirect Cost Recoveries	0.4	25.7	6.8	(0.4)	3.1	23.9							59.5	62.0	(2.5)	-4.0%				
Patient/Client Care Reimbursement	156.5	215.6	53.7	258.7	251.9	275.3							1,211.7	298.6	913.1	305.8%				
Rebates	9.2	12.7	11.4	22.4	10.1	13.8							79.6	77.8	1.8	2.3%				
Restitution and Settlements	7.2	132.7	63.3	35.5	2.4	3.5							244.6	1,179.3	(934.7)	-79.3%				
Student Loans	8.2	6.9	6.2	7.4	6.4	6.4							41.5	38.0	3.5	9.2%				
All Other	49.0	28.4	54.4	43.3	39.9	56.5							271.5	51.4	220.1	428.2%				
Sales	1.8	1.4	1.6	1.4	1.6	1.4							9.2	16.3	(7.1)	-43.6%				
Tuition	54.7	45.5	60.7	48.7	177.7	418.7							806.0	1,010.0	(204.0)	-20.2%				
<b>Total Miscellaneous Receipts</b>	<b>1,455.5</b>	<b>2,101.6</b>	<b>2,347.3</b>	<b>1,542.0</b>	<b>1,965.6</b>	<b>2,857.7</b>	-	-	-	-	-	-	<b>12,269.7</b>	<b>13,285.3</b>	<b>(1,015.6)</b>	<b>-7.6%</b>				
Federal Receipts	3,275.7	4,197.5	5,208.4	3,646.2	4,205.4	5,381.8							25,915.0	23,726.2	2,188.8	9.2%				
<b>Total Receipts</b>	<b>12,952.1</b>	<b>10,124.4</b>	<b>15,344.4</b>	<b>9,528.1</b>	<b>10,850.8</b>	<b>16,240.2</b>	-	-	-	-	-	-	<b>75,040.0</b>	<b>75,203.1</b>	<b>(163.1)</b>	<b>-0.2%</b>				
<b>DISBURSEMENTS:</b>																				
Local Assistance Grants:																				
Education	1,097.9	3,326.5	4,064.1	593.6	1,213.7	4,245.9							14,541.7	15,590.3	(1,048.6)	-6.7%				
Environment and Recreation	3.4	4.3	8.5	12.7	15.3	6.0							50.2	53.1	(2.9)	-5.5%				
General Government	71.5	45.3	610.1	46.7	58.0	140.3							971.9	837.5	134.4	16.0%				
Public Health:																				
Medicaid	3,569.1	4,384.6	4,795.6	4,267.7	4,714.9	3,813.3							25,545.2	24,458.5	1,086.7	4.4%				
Other Public Health	597.4	674.5	841.3	724.0	575.1	888.7							4,301.0	3,332.9	968.1	29.0%				
Public Safety	90.9	158.0	107.6	138.8	209.8	146.4							851.5	958.0	(106.5)	-11.1%				
Public Welfare	370.1	429.1	748.3	596.5	515.6	1,188.9							3,848.5	4,132.5	(284.0)	-6.9%				
Support and Regulate Business	5.0	15.9	192.8	64.9	198.5	60.0							537.1	506.2	30.9	6.1%				
Transportation	226.0	525.0	612.0	387.8	574.5	664.9							2,990.2	2,653.7	336.5	12.7%				
<b>Total Local Assistance Grants</b>	<b>6,031.3</b>	<b>9,563.2</b>	<b>11,980.3</b>	<b>6,832.7</b>	<b>8,075.4</b>	<b>11,154.4</b>	-	-	-	-	-	-	<b>53,637.3</b>	<b>52,522.7</b>	<b>1,114.6</b>	<b>2.1%</b>				
Departmental Operations:																				
Personal Service	1,074.8	1,060.3	1,386.2	1,045.0	1,094.1	1,258.8							6,919.2	6,755.4	163.8	2.4%				
Non-Personal Service	363.5	523.2	602.6	444.2	666.7	656.3							3,256.5	3,283.3	(26.8)	-0.8%				
General State Charges	2,629.2	466.2	509.1	430.2	467.9	453.4							4,956.0	4,811.9	144.1	3.0%				
Debt Service, Including Payments on																				
Financing Agreements	113.3	162.9	89.9	25.3	281.8	790.0							1,463.2	1,675.2	(212.0)	-12.7%				
Capital Projects	313.6	486.0	643.8	470.9	561.4	690.7							3,166.4	3,129.0	37.4	1.2%				
<b>Total Disbursements</b>	<b>10,525.7</b>	<b>12,261.8</b>	<b>15,211.9</b>	<b>9,248.3</b>	<b>11,147.3</b>	<b>15,003.6</b>	-	-	-	-	-	-	<b>73,398.6</b>	<b>72,177.5</b>	<b>1,221.1</b>	<b>1.7%</b>				
<b>Excess (Deficiency) of Receipts over Disbursements</b>	<b>2,426.4</b>	<b>(2,137.4)</b>	<b>132.5</b>	<b>279.8</b>	<b>(296.5)</b>	<b>1,236.6</b>	-	-	-	-	-	-	<b>1,641.4</b>	<b>3,025.6</b>	<b>(1,384.2)</b>	<b>-45.7%</b>				
<b>OTHER FINANCING SOURCES (USES):</b>																				
Bond Proceeds (net)																				
Transfers from Other Funds	3,182.1	2,615.0	2,979.9	2,667.0	1,834.6	2,705.6							15,984.2	17,432.8	(1,448.6)	-8.3%				
Transfers to Other Funds	(3,187.4)	(2,619.0)	(2,981.8)	(2,667.9)	(1,849.4)	(2,702.9)							(16,008.4)	(17,486.8)	(1,478.4)	-8.5%				
<b>Total Other Financing Sources (Uses)</b>	<b>(5.3)</b>	<b>(4.0)</b>	<b>(1.9)</b>	<b>(0.9)</b>	<b>(14.8)</b>	<b>2.7</b>	-	-	-	-	-	-	<b>(24.2)</b>	<b>(54.0)</b>	<b>29.8</b>	<b>55.2%</b>				
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>2,421.1</b>	<b>(2,141.4)</b>	<b>130.6</b>	<b>278.9</b>	<b>(311.3)</b>	<b>1,239.3</b>	-	-	-	-	-	-	<b>1,617.2</b>	<b>2,971.6</b>	<b>(1,354.4)</b>	<b>-45.6%</b>				
<b>Ending Fund Balance</b>	<b>\$ 14,231.2</b>	<b>\$ 12,089.8</b>	<b>\$ 12,220.4</b>	<b>\$ 12,499.3</b>	<b>\$ 12,188.0</b>	<b>\$ 13,427.3</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 13,427.3</b>	<b>\$ 12,327.2</b>	<b>\$ 1,100.1</b>	<b>8.9%</b>				

(\*) Governmental Funds includes General, Special Revenue, Debt Service and Capital Projects Funds combined.

**STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
STATEMENT OF CASH FLOW - STATE OPERATING (\*)  
FISCAL YEAR 2016-2017  
(Amounts in millions)**

	2016						2017						6 Months Ended September 30			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2016	2015	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ 12,641.2	\$ 15,345.1	\$ 13,150.5	\$ 12,549.1	\$ 13,151.9	\$ 13,391.9							\$ 12,641.2	\$ 9,890.8	\$ 2,750.4	27.8%
<b>RECEIPTS:</b>																
<b>Taxes:</b>																
Personal Income Tax:																
Withholdings	2,649.4	2,595.0	2,860.0	2,545.1	2,914.8	2,466.0							16,030.3	15,891.0	139.3	0.9%
Estimated payments	4,784.0	137.0	1,950.5	95.0	97.1	2,355.7							9,419.3	10,385.0	(965.7)	-9.3%
Returns	1,717.3	63.3	38.8	30.2	33.9	63.0							1,946.5	1,945.4	1.1	0.1%
State/City Offsets	(184.9)	(18.2)	(16.7)	(15.9)	(18.8)	(64.9)							(319.4)	(249.2)	70.2	28.2%
Other (Assessments/LLC)	170.4	104.2	122.9	79.4	90.8	93.1							660.8	579.1	81.7	14.1%
<b>Gross Receipts</b>	<b>9,136.2</b>	<b>2,881.3</b>	<b>4,955.5</b>	<b>2,733.8</b>	<b>3,117.8</b>	<b>4,912.9</b>	-	-	-	-	-	-	<b>27,737.5</b>	<b>28,551.3</b>	<b>(813.8)</b>	<b>-2.9%</b>
Transfers to School Tax Relief Fund	-	-	-	-	-	-							-	-	-	0.0%
Transfers to Revenue Bond Tax Fund	-	-	-	-	-	-							-	-	-	0.0%
Refunds issued	(2,752.5)	(695.6)	(231.6)	(172.0)	(178.0)	(208.5)							(4,238.2)	(4,317.7)	(79.5)	-1.8%
<b>Total Personal Income Tax</b>	<b>6,383.7</b>	<b>2,185.7</b>	<b>4,723.9</b>	<b>2,561.8</b>	<b>2,939.8</b>	<b>4,704.4</b>	-	-	-	-	-	-	<b>23,499.3</b>	<b>24,233.6</b>	<b>(734.3)</b>	<b>-3.0%</b>
Consumption/Use Taxes:																
Sales and Use	1,087.0	1,014.8	1,400.0	1,086.5	1,036.9	1,397.7							7,022.9	6,887.2	135.7	2.0%
Auto Rental	0.7	-	13.4	4.5	4.4	5.4							28.4	27.1	1.3	4.8%
Cigarette/Tobacco Products	98.6	99.4	120.8	103.8	120.6	111.8							655.0	663.1	(8.1)	-1.2%
Medical Marijuana	-	-	0.1	-	-	0.1							0.2	-	0.2	100.0%
Motor Fuel	8.2	7.9	9.2	10.1	10.5	9.5							55.4	52.7	2.7	5.1%
Alcoholic Beverage	20.4	19.3	21.7	29.8	16.5	24.4							132.1	130.1	2.0	1.5%
Highway Use	-	-	-	-	-	-							-	-	-	0.0%
Metropolitan Commuter Trans. Taxicab Trip	15.7	0.6	0.6	15.7	0.5	0.6							33.7	38.7	(5.0)	-12.9%
<b>Total Consumption/Use Taxes</b>	<b>1,230.6</b>	<b>1,142.0</b>	<b>1,565.8</b>	<b>1,250.4</b>	<b>1,189.4</b>	<b>1,549.5</b>	-	-	-	-	-	-	<b>7,927.7</b>	<b>7,798.9</b>	<b>128.8</b>	<b>1.7%</b>
Business Taxes:																
Corporation Franchise	155.7	83.5	628.5	75.0	17.5	833.0							1,793.2	2,121.1	(327.9)	-15.5%
Corporation and Utilities	10.4	1.2	123.9	5.2	0.5	138.0							279.2	292.3	(13.1)	-4.5%
Insurance	19.7	20.2	327.2	3.7	14.6	315.4							700.8	647.3	53.5	8.3%
Bank	6.2	(0.9)	(25.1)	2.8	38.1	(4.8)							16.3	94.2	(77.9)	-82.7%
Petroleum Business	39.3	34.7	41.5	52.5	41.5	43.8							253.3	252.7	0.6	0.2%
<b>Total Business Taxes</b>	<b>231.3</b>	<b>138.7</b>	<b>1,096.0</b>	<b>139.2</b>	<b>112.2</b>	<b>1,325.4</b>	-	-	-	-	-	-	<b>3,042.8</b>	<b>3,407.6</b>	<b>(364.8)</b>	<b>-10.7%</b>
Other Taxes:																
Real Property Gains	-	-	-	-	-	-							-	-	-	0.0%
Estate and Gift	74.9	106.1	77.2	72.7	122.0	85.7							538.6	920.2	(381.6)	-41.5%
Pari-Mutuel	0.7	1.4	1.7	1.3	2.3	2.3							9.7	10.3	(0.6)	-5.8%
Real Estate Transfer	90.4	74.0	91.1	102.0	90.5	88.8							536.8	535.0	1.8	0.3%
Racing and Exhibitions	-	0.1	-	0.1	0.4	0.3							0.9	1.0	(0.1)	-10.0%
Metropolitan Commuter Trans. Mobility	116.6	94.0	100.6	85.2	107.8	104.7							608.9	596.1	12.8	2.1%
<b>Total Other Taxes</b>	<b>282.6</b>	<b>275.6</b>	<b>270.6</b>	<b>261.3</b>	<b>323.0</b>	<b>281.8</b>	-	-	-	-	-	-	<b>1,694.9</b>	<b>2,062.6</b>	<b>(367.7)</b>	<b>-17.8%</b>
<b>Total Taxes</b>	<b>8,128.2</b>	<b>3,742.0</b>	<b>7,656.3</b>	<b>4,212.7</b>	<b>4,564.4</b>	<b>7,861.1</b>	-	-	-	-	-	-	<b>36,164.7</b>	<b>37,502.7</b>	<b>(1,338.0)</b>	<b>-3.6%</b>
<b>Miscellaneous Receipts:</b>																
Abandoned Property:																
Abandoned Property	0.9	(0.1)	1.8	0.9	-	40.0							43.5	27.3	16.2	59.3%
Bottle Bill	(0.3)	0.1	8.1	0.1	0.1	36.5							44.6	36.5	8.1	22.2%
Assessments:																
Business	28.5	276.1	113.3	6.7	28.4	114.0							567.0	618.9	(51.9)	-8.4%
Medical Care	423.0	482.1	490.3	468.7	455.7	451.9							2,771.7	2,631.3	140.4	5.3%
Public Utilities	5.7	0.2	(0.1)	-	0.7	100.1							106.6	164.0	(57.4)	-35.0%
Other	20.5	18.9	19.3	19.8	19.2	19.7							117.4	111.5	5.9	5.3%
Fees, Licenses and Permits:																
Alcohol Beverage Control Licensing	5.6	5.1	4.4	4.5	5.0	5.2							29.8	37.2	(7.4)	-19.9%
Audit Fees	-	0.9	1.0	0.1	-	-							2.0	-	2.0	100.0%
Business/Professional	48.6	45.2	104.4	45.6	66.1	99.2							409.1	598.6	(189.5)	-31.7%
Civil	24.6	18.1	25.0	31.0	13.7	22.6							135.0	145.7	(10.7)	-7.3%
Criminal	-	2.1	0.3	-	1.9	-							4.7	5.0	(0.3)	-6.0%
Motor Vehicle	56.8	58.5	68.6	42.6	66.5	47.5							340.5	349.2	(8.7)	-2.5%
Recreational/Consumer	40.3	40.7	48.7	38.6	70.4	97.8							337.5	164.4	173.1	105.3%
Fines, Penalties and Forfeitures	6.0	72.6	30.2	20.1	237.4	8.6							374.9	1,628.2	(1,253.3)	-77.0%
Gaming:																
Casino	15.3	-	34.4	15.6	-	37.0							102.3	133.0	(30.7)	-23.1%
Lottery	188.8	202.5	244.8	200.9	228.0	175.1							1,240.1	1,223.5	16.6	1.4%
Video Lottery	78.4	77.4	90.8	76.6	93.1	74.1							490.4	494.2	(3.8)	-0.8%
Interest Earnings	5.0	7.1	5.9	5.9	6.2	5.1							35.2	22.1	13.1	59.3%
Receipts from Public Authorities:																
Bond Proceeds	-	-	-	-	-	-							-	-	-	0.0%
Cost Recovery Assessments	-	-	22.6	-	-	-							22.6	25.2	(2.6)	-10.3%

**GOVERNMENTAL FUNDS  
STATEMENT OF CASH FLOW - STATE OPERATING (\*)  
FISCAL YEAR 2016-2017  
(Amounts in millions)**

											6 Months Ended September 30					
	2016 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2017 JANUARY	FEBRUARY	MARCH	2016	2015	\$ Increase/ (Decrease)	% Increase/ Decrease
Issuance Fees	15.6	6.1	3.2	8.4	5.2	17.2							55.7	56.7	(1.0)	-1.8%
Non Bond Related	0.9	0.9	-	(0.4)	0.5	-							1.9	43.4	(41.5)	-95.6%
Receipts from Municipalities	58.4	24.3	54.0	21.4	21.6	24.8							204.5	77.6	126.9	163.5%
Rentals	55.4	31.5	21.4	2.5	47.2	1.3							159.3	119.5	39.8	33.3%
Revenues of State Departments:																
Administrative Recoveries	0.4	29.1	34.5	20.9	9.9	28.6							123.4	92.2	31.2	33.8%
Commissions	0.2	0.2	0.2	0.1	0.3	2.8							3.8	1.2	2.6	216.7%
Gifts, Grants and Donations	0.9	1.0	3.1	0.8	0.7	0.8							7.3	2.8	4.5	160.7%
Indirect Cost Recoveries	0.4	25.7	6.8	(0.4)	3.1	23.9							59.5	62.0	(2.5)	-4.0%
Patient/Client Care Reimbursement	156.5	215.6	53.7	258.7	251.9	275.3							1,211.7	298.6	913.1	305.8%
Rebates	1.3	3.5	3.6	14.0	0.7	5.3							28.4	26.3	2.1	8.0%
Restitution and Settlements	7.2	132.2	62.8	33.8	0.3	0.7							237.0	1,177.0	(940.0)	-79.9%
Student Loans	8.2	6.9	6.2	7.4	6.4	6.4							41.5	38.0	3.5	9.2%
All Other	48.8	27.2	51.8	42.5	43.1	51.4							264.8	39.7	225.1	567.0%
Sales	0.7	1.4	1.3	1.3	1.5	1.3							7.5	15.4	(7.9)	-51.3%
Tuition	54.7	45.5	60.7	48.7	177.7	418.7							806.0	1,010.0	(204.0)	-20.2%
<b>Total Miscellaneous Receipts</b>	<b>1,357.3</b>	<b>1,858.6</b>	<b>1,677.1</b>	<b>1,438.8</b>	<b>1,862.5</b>	<b>2,192.9</b>	-	-	-	-	-	-	<b>10,387.2</b>	<b>11,476.2</b>	<b>(1,089.0)</b>	<b>-9.5%</b>
Federal Receipts	14.8	0.7	0.1	0.5	20.4	(0.1)							36.4	36.7	(0.3)	-0.8%
<b>Total Receipts</b>	<b>9,500.3</b>	<b>5,601.3</b>	<b>9,333.5</b>	<b>5,652.0</b>	<b>6,447.3</b>	<b>10,053.9</b>	-	-	-	-	-	-	<b>46,588.3</b>	<b>49,015.6</b>	<b>(2,427.3)</b>	<b>-5.0%</b>
<b>DISBURSEMENTS:</b>																
Local Assistance Grants:																
Education	829.5	3,043.9	3,766.9	418.1	1,016.7	4,116.4							13,191.5	13,951.4	(759.9)	-5.4%
Environment and Recreation	0.1	0.4	0.6	0.2	2.8	0.5							4.6	4.6	-	0.0%
General Government	11.8	29.9	583.8	29.1	36.3	103.3							794.2	767.8	26.4	3.4%
Public Health:																
Medicaid	1,325.3	1,757.5	1,790.2	1,712.0	1,758.2	1,667.3							10,010.5	9,661.0	349.5	3.6%
Other Public Health	174.5	278.4	629.0	223.9	140.3	507.3							1,953.4	1,772.0	181.4	10.2%
Public Safety	19.0	30.4	19.3	24.4	22.6	42.5							158.2	169.2	(11.0)	-6.5%
Public Welfare	123.3	131.0	421.4	288.7	168.6	194.8							1,327.8	1,473.9	(146.1)	-9.9%
Support and Regulate Business	2.3	7.6	16.0	18.6	30.2	19.8							94.5	111.6	(17.1)	-15.3%
Transportation	192.1	481.0	524.2	307.9	489.4	428.7							2,423.3	2,254.5	168.8	7.5%
Total Local Assistance Grants	<b>2,677.9</b>	<b>5,760.1</b>	<b>7,751.4</b>	<b>3,022.9</b>	<b>3,665.1</b>	<b>7,080.6</b>	-	-	-	-	-	-	<b>29,958.0</b>	<b>30,166.0</b>	<b>(208.0)</b>	<b>-0.7%</b>
Departmental Operations:																
Personal Service	1,026.0	1,016.1	1,314.3	1,003.7	1,035.5	1,211.7							6,607.3	6,462.3	145.0	2.2%
Non-Personal Service	317.9	429.2	485.9	364.8	514.7	477.6							2,590.1	2,644.5	(54.4)	-2.1%
General State Charges	2,618.7	431.1	494.9	430.0	433.4	416.6							4,824.7	4,679.5	145.2	3.1%
Debt Service, Including Payments on Financing Agreements	113.3	162.9	89.9	25.3	281.8	790.0							1,463.2	1,675.2	(212.0)	-12.7%
Capital Projects	0.1	0.1	0.2	0.2	0.2	1.6							2.4	0.6	1.8	300.0%
<b>Total Disbursements</b>	<b>6,753.9</b>	<b>7,799.5</b>	<b>10,136.6</b>	<b>4,846.9</b>	<b>5,930.7</b>	<b>9,978.1</b>	-	-	-	-	-	-	<b>45,445.7</b>	<b>45,628.1</b>	<b>(182.4)</b>	<b>-0.4%</b>
<b>Excess (Deficiency) of Receipts over Disbursements</b>	<b>2,746.4</b>	<b>(2,198.2)</b>	<b>(803.1)</b>	<b>805.1</b>	<b>516.6</b>	<b>75.8</b>	-	-	-	-	-	-	<b>1,142.6</b>	<b>3,387.5</b>	<b>(2,244.9)</b>	<b>-66.3%</b>
<b>OTHER FINANCING SOURCES (USES):</b>																
Transfers from Other Funds (**)	3,034.0	2,444.0	2,968.6	2,324.9	1,506.2	2,728.2							15,005.9	16,739.6	(1,733.7)	-10.4%
Transfers to Other Funds (**)	(3,076.5)	(2,440.4)	(2,766.9)	(2,527.2)	(1,782.8)	(2,294.2)							(14,888.0)	(16,162.7)	(1,274.7)	-7.9%
<b>Total Other Financing Sources (Uses)</b>	<b>(42.5)</b>	<b>3.6</b>	<b>201.7</b>	<b>(202.3)</b>	<b>(276.6)</b>	<b>434.0</b>	-	-	-	-	-	-	<b>117.9</b>	<b>576.9</b>	<b>(459.0)</b>	<b>-79.6%</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>2,703.9</b>	<b>(2,194.6)</b>	<b>(601.4)</b>	<b>602.8</b>	<b>240.0</b>	<b>509.8</b>	-	-	-	-	-	-	<b>1,260.5</b>	<b>3,964.4</b>	<b>(2,703.9)</b>	<b>-68.2%</b>
<b>Ending Fund Balance</b>	<b>\$ 15,345.1</b>	<b>\$ 13,150.5</b>	<b>\$ 12,549.1</b>	<b>\$ 13,151.9</b>	<b>\$ 13,391.9</b>	<b>\$ 13,901.7</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 13,901.7</b>	<b>\$ 13,855.2</b>	<b>\$ 46.5</b>	<b>0.3%</b>

(\*) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal funds) and Debt Service Funds.  
(\*\*) Eliminations between State and Federal Special Revenue Funds are not included.

STATE OF NEW YORK  
GENERAL FUND  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2016-2017  
(Amounts in millions)

EXHIBIT F

	2016												2017				6 Months Ended September 30			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2016	2015	\$ Increase/ (Decrease)	% Increase/ Decrease				
<b>Beginning Fund Balance</b>	\$ 8,934.1	\$ 10,892.7	\$ 7,750.5	\$ 7,210.2	\$ 6,765.3	\$ 6,229.0							\$ 8,934.1	\$ 7,299.5	\$ 1,634.6	22.4%				
<b>RECEIPTS:</b>																				
<b>Taxes:</b>																				
Personal Income Tax:																				
Withholdings	2,649.4	2,595.0	2,860.0	2,545.1	2,914.8	2,466.0							16,030.3	15,891.0	139.3	0.9%				
Estimated payments	4,784.0	137.0	1,950.5	95.0	97.1	2,355.7							9,419.3	10,385.0	(965.7)	-9.3%				
Returns	1,717.3	63.3	38.8	30.2	33.9	63.0							1,945.5	1,945.4	0.1	0.1%				
State/City Offsets	(184.9)	(18.2)	(16.7)	(15.9)	(18.8)	(64.9)							(319.4)	(249.2)	70.2	28.2%				
Other (Assessments/LLC)	170.4	104.2	122.9	79.4	90.8	93.1							660.8	579.1	81.7	14.1%				
<b>Gross Receipts</b>	<b>9,136.2</b>	<b>2,881.3</b>	<b>4,955.5</b>	<b>2,733.8</b>	<b>3,117.8</b>	<b>4,912.9</b>							<b>27,737.5</b>	<b>28,551.3</b>	<b>(813.8)</b>	<b>-2.9%</b>				
Transfers to School Tax Relief Fund	(1.3)	-	(420.2)	-	-	(108.4)							(529.9)	(623.9)	(94.0)	-15.1%				
Transfers to Revenue Bond Tax Fund	(1,595.9)	(546.5)	(1,180.9)	(640.5)	(734.9)	(1,176.1)							(5,874.8)	(6,058.4)	(183.6)	-3.0%				
Refunds issued	(2,752.5)	(695.6)	(231.6)	(172.0)	(178.0)	(208.5)							(4,238.2)	(4,317.7)	(79.5)	-1.8%				
<b>Total Personal Income Tax</b>	<b>4,786.5</b>	<b>1,639.2</b>	<b>3,122.8</b>	<b>1,921.3</b>	<b>2,204.9</b>	<b>3,419.9</b>							<b>17,094.6</b>	<b>17,551.3</b>	<b>(456.7)</b>	<b>-2.6%</b>				
Consumption/Use Taxes:																				
Sales and Use	497.9	474.7	655.4	508.9	485.7	652.9							3,275.5	3,203.3	72.2	2.3%				
Auto Rental	-	-	-	-	-	-							-	-	-	0.0%				
Cigarette/Tobacco Products	28.7	28.9	33.9	29.2	35.0	36.2							191.9	170.9	21.0	12.3%				
Motor Fuel	-	-	-	-	-	-							-	-	-	0.0%				
Alcoholic Beverage	20.4	19.3	21.7	29.8	16.5	24.4							132.1	130.1	2.0	1.5%				
Highway Use	-	-	-	-	-	-							-	-	-	0.0%				
Metropolitan Commuter Trans. Taxicab Trip	-	-	-	-	-	-							-	-	-	0.0%				
<b>Total Consumption/Use Taxes</b>	<b>547.0</b>	<b>522.9</b>	<b>711.0</b>	<b>567.9</b>	<b>537.2</b>	<b>713.5</b>							<b>3,599.5</b>	<b>3,504.3</b>	<b>95.2</b>	<b>2.7%</b>				
Business Taxes:																				
Corporation Franchise	123.8	60.0	514.5	64.2	(2.5)	694.9							1,454.9	1,837.8	(382.9)	-20.8%				
Corporation and Utilities	8.1	1.1	94.7	1.5	0.2	106.1							211.7	229.0	(17.3)	-7.6%				
Insurance	18.2	20.0	286.9	2.9	13.5	280.8							622.3	575.6	46.7	8.1%				
Bank	7.9	2.5	(24.8)	2.8	30.6	(3.3)							15.7	65.8	(50.1)	-76.1%				
Petroleum Business	-	-	-	-	-	-							-	-	-	0.0%				
<b>Total Business Taxes</b>	<b>158.0</b>	<b>83.6</b>	<b>871.3</b>	<b>71.4</b>	<b>41.8</b>	<b>1,078.5</b>							<b>2,304.6</b>	<b>2,708.2</b>	<b>(403.6)</b>	<b>-14.9%</b>				
Other Taxes:																				
Real Property Gains	-	-	-	-	-	-							-	-	-	0.0%				
Estate and Gift	74.9	106.1	77.2	72.7	122.0	85.7							538.6	920.2	(381.6)	-41.5%				
Part-Mutual	0.7	1.4	1.7	1.3	2.3	2.3							9.7	10.3	(0.6)	-5.8%				
Real Estate Transfer	-	-	-	-	-	-							-	-	-	0.0%				
Racing and Exhibitions	-	0.1	-	0.1	0.4	0.3							0.9	1.0	(0.1)	-10.0%				
Metropolitan Commuter Trans. Mobility	-	-	-	-	-	-							-	-	-	0.0%				
<b>Total Other Taxes</b>	<b>75.6</b>	<b>107.6</b>	<b>78.9</b>	<b>74.1</b>	<b>124.7</b>	<b>88.3</b>							<b>549.2</b>	<b>931.5</b>	<b>(382.3)</b>	<b>-41.0%</b>				
<b>Total Taxes</b>	<b>5,567.1</b>	<b>2,353.3</b>	<b>4,784.0</b>	<b>2,634.7</b>	<b>2,908.6</b>	<b>5,300.2</b>							<b>23,547.9</b>	<b>24,695.3</b>	<b>(1,147.4)</b>	<b>-4.6%</b>				
<b>Miscellaneous Receipts:</b>																				
Abandoned Property:																				
Abandoned Property	-	-	-	-	-	38.2							38.2	22.0	16.2	73.6%				
Bottle Bill	(0.3)	0.1	8.1	0.1	0.1	36.5							44.6	36.5	8.1	22.2%				
Assessments:																				
Business	-	250.0	-	-	-	-							250.0	250.0	-	0.0%				
Medical Care	6.5	2.2	4.8	-	14.2	2.7							30.4	32.7	(2.3)	-7.0%				
Public Utilities	-	-	-	-	-	55.7							55.7	121.9	(66.2)	-54.3%				
Other	0.1	-	-	-	0.1	-							0.2	0.4	(0.2)	-50.0%				
Fees, Licenses and Permits:																				
Alcohol Beverage Control Licensing	5.6	5.1	4.4	4.5	5.0	5.2							29.8	37.2	(7.4)	-19.9%				
Business/Professional	0.9	6.2	33.0	11.0	7.9	29.4							88.4	99.6	(11.2)	-11.2%				
Civil	19.2	13.9	20.5	26.5	8.9	17.9							106.9	118.0	(11.1)	-9.4%				
Criminal	-	0.1	-	0.1	0.1	-							0.3	0.3	-	0.0%				
Motor Vehicle	16.9	16.4	26.0	(4.0)	29.9	5.9							91.1	95.2	(4.1)	-4.3%				
Recreational/Consumer	1.1	1.1	1.4	0.8	1.4	1.8							7.6	14.5	(6.9)	-47.6%				
Fines, Penalties and Forfeitures	1.8	48.3	19.7	10.9	222.3	4.6							307.6	1,234.2	(926.6)	-75.1%				
Interest Earnings	2.4	2.1	2.2	1.5	1.3	1.1							10.6	3.3	7.3	221.2%				
Receipts from Public Authorities:																				
Cost Recovery Assessments	-	-	-	2.2	-	-							2.2	4.8	(2.6)	-54.2%				
Issuance Fees	8.4	6.1	3.2	8.4	5.2	17.2							48.5	49.5	(1.0)	-2.0%				
Non Bond Related	-	0.9	-	(0.6)	-	-							0.3	41.3	(41.0)	-99.3%				
Receipts from Municipalities	-	16.7	16.6	16.7	16.7	16.6							83.3	-	83.3	100.0%				
Rentals	0.1	0.4	0.1	0.3	0.4	0.1							1.4	3.0	(1.6)	-53.3%				
Revenues of State Departments:																				
Administrative Recoveries	-	-	24.9	0.7	0.2	20.1							45.9	39.7	6.2	15.6%				
Commissions	-	-	-	-	-	0.1							0.1	-	0.1	100.0%				
Gifts, Grants and Donations	-	-	-	-	-	-							-	0.1	(0.1)	-100.0%				
Indirect Cost Recoveries	0.4	20.7	6.8	(0.4)	3.1	23.9							54.5	58.0	(3.5)	-6.0%				
Rebates	(1.8)	-	1.4	-	(0.3)	(0.7)							(1.4)	1.2	(2.6)	-216.7%				
Restitution and Settlements	8.4	121.2	(0.1)	4.4	0.2	0.2							134.1	1,132.0	(997.9)	-88.2%				
Student Loans	-	-	-	-	-	-							-	-	-	0.0%				
All Other	(1.4)	1.6	3.7	3.7	3.6	5.5							16.7	13.4	3.3	24.6%				
Sales	-	-	-	-	-	-							0.1	4.6	(4.5)	-97.8%				
<b>Total Miscellaneous Receipts</b>	<b>68.3</b>	<b>513.1</b>	<b>176.7</b>	<b>86.8</b>	<b>320.2</b>	<b>282.0</b>							<b>1,447.1</b>	<b>3,413.4</b>	<b>(1,966.3)</b>	<b>-57.6%</b>				

STATE OF NEW YORK  
GENERAL FUND  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2016-2017  
(Amounts in millions)

EXHIBIT F

	2016												2017				6 Months Ended September 30			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2016	2015	\$ Increase/ (Decrease)	% Increase/ Decrease				
Federal Receipts	-	0.2	0.1	-	-	-	-	-	-	-	-	-	0.3	0.2	0.1	50.0%				
<b>Total Receipts</b>	<b>5,635.4</b>	<b>2,866.6</b>	<b>4,960.8</b>	<b>2,721.5</b>	<b>3,228.8</b>	<b>5,582.2</b>	-	-	-	-	-	-	<b>24,995.3</b>	<b>28,108.9</b>	<b>(3,113.6)</b>	<b>-11.1%</b>				
<b>DISBURSEMENTS:</b>																				
Local Assistance Grants:																				
Education	828.1	3,043.4	3,045.9	418.3	1,015.2	1,854.8							10,205.7	10,867.4	(661.7)	-6.1%				
Environment and Recreation	0.1	0.4	0.4	-	2.2	0.3							3.4	3.0	0.4	13.3%				
General Government	2.1	12.6	559.7	10.7	2.3	99.7							687.1	695.7	(8.6)	-1.2%				
Public Health:																				
Medicaid	990.1	1,259.9	1,361.8	1,070.3	1,281.1	1,261.3							7,224.5	7,069.2	155.3	2.2%				
Other Public Health	24.7	210.8	255.3	62.1	44.4	200.4							797.7	451.6	346.1	76.6%				
Public Safety	7.2	11.0	8.1	17.0	9.2	26.8							79.3	111.0	(31.7)	-28.6%				
Public Welfare	122.9	130.1	420.9	288.6	168.0	194.7							1,325.2	1,471.7	(146.5)	-10.0%				
Support and Regulate Business	2.2	6.4	11.3	17.2	27.7	16.4							81.2	29.8	51.4	172.5%				
Transportation	-	23.2	11.0	0.1	23.9	-							58.2	59.7	(1.5)	-2.5%				
Total Local Assistance Grants	<b>1,977.4</b>	<b>4,697.8</b>	<b>5,674.4</b>	<b>1,884.3</b>	<b>2,574.0</b>	<b>3,654.4</b>	-	-	-	-	-	-	<b>20,462.3</b>	<b>20,759.1</b>	<b>(296.8)</b>	<b>-1.4%</b>				
Departmental Operations:																				
Personal Service	474.9	487.9	609.4	476.2	490.3	577.8							3,116.5	3,052.0	64.5	2.1%				
Non-Personal Service	102.9	135.3	162.1	131.5	186.4	171.3							889.5	885.2	4.3	0.5%				
General State Charges	2,439.7	193.5	391.3	403.1	364.3	-							3,791.9	3,718.7	73.2	2.0%				
<b>Total Disbursements</b>	<b>4,994.9</b>	<b>5,514.5</b>	<b>6,837.2</b>	<b>2,895.1</b>	<b>3,615.0</b>	<b>4,403.5</b>	-	-	-	-	-	-	<b>28,260.2</b>	<b>28,415.0</b>	<b>(154.8)</b>	<b>-0.5%</b>				
<b>Excess (Deficiency) of Receipts over Disbursements</b>	<b>640.5</b>	<b>(2,647.9)</b>	<b>(1,876.4)</b>	<b>(173.6)</b>	<b>(386.2)</b>	<b>1,178.7</b>	-	-	-	-	-	-	<b>(3,264.9)</b>	<b>(306.1)</b>	<b>(2,958.8)</b>	<b>-96.6%</b>				
<b>OTHER FINANCING SOURCES (USES):</b>																				
Transfers from Revenue Bond Tax Fund	1,594.3	530.8	1,181.0	469.7	269.9	1,430.0							5,475.7	5,665.8	(190.1)	-3.4%				
Transfers from LGAC / STRBTF	442.8	295.7	727.0	455.1	392.1	621.9							2,934.6	2,976.6	(42.0)	-1.4%				
Transfers from CW/CA Fund	74.0	74.1	90.5	96.3	84.8	83.2							502.9	498.8	4.1	0.8%				
Transfers from Other Funds	5.0	38.8	23.0	6.0	0.7	43.4							116.9	429.9	(313.0)	-72.8%				
Transfers to State Capital Projects	(122.3)	(71.3)	-	(214.3)	(341.6)	23.8							(686.1)	(553.9)	132.2	23.9%				
Transfers to Federal Capital Projects	-	-	-	-	-	-							-	-	-	0.0%				
Transfers to All Other Capital Projects	(40.0)	(108.0)	(145.7)	(130.0)	-	(16.5)							(440.2)	(349.9)	90.3	25.8%				
Transfers to General Debt Service	(245.3)	1.8	3.0	(166.9)	18.0	80.7							(308.7)	(355.8)	(47.1)	-13.2%				
Transfers to All Other State Funds	(390.4)	(1,256.2)	(582.3)	(787.2)	(574.0)	(108.4)							(3,698.5)	(4,588.4)	(889.9)	-19.4%				
<b>Total Other Financing Sources (Uses)</b>	<b>1,318.1</b>	<b>(494.3)</b>	<b>1,336.1</b>	<b>(271.3)</b>	<b>(150.1)</b>	<b>2,158.1</b>	-	-	-	-	-	-	<b>3,896.6</b>	<b>3,723.1</b>	<b>173.5</b>	<b>4.7%</b>				
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>1,958.6</b>	<b>(3,142.2)</b>	<b>(540.3)</b>	<b>(444.9)</b>	<b>(536.3)</b>	<b>3,336.8</b>	-	-	-	-	-	-	<b>631.7</b>	<b>3,417.0</b>	<b>(2,785.3)</b>	<b>-81.5%</b>				
<b>Ending Fund Balance</b>	<b>\$ 10,892.7</b>	<b>\$ 7,750.5</b>	<b>\$ 7,210.2</b>	<b>\$ 6,765.3</b>	<b>\$ 6,229.0</b>	<b>\$ 9,565.8</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 9,565.8</b>	<b>\$ 10,716.5</b>	<b>\$ (1,150.7)</b>	<b>-10.7%</b>				

STATE OF NEW YORK  
SPECIAL REVENUE FUNDS - COMBINED  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2016-2017  
(Amounts in millions)

EXHIBIT G

	2016						2017						Intra-Fund Transfer Eliminations (*)	6 Months Ended September 30			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH		2016	2015	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ 3,607.1	\$ 3,870.6	\$ 4,768.1	\$ 5,296.3	\$ 5,554.9	\$ 5,577.7							\$ -	\$ 3,607.1	\$ 2,661.8	\$ 945.3	35.5%
<b>RECEIPTS:</b>																	
<b>Taxes:</b>																	
Personal Income Tax	1.3	-	420.2	-	-	108.4							-	529.9	623.9	(94.0)	-15.1%
Consumption/Use Taxes:																	
Sales and Use	90.9	65.8	89.5	69.3	65.8	92.3							-	473.6	477.9	(4.3)	-0.9%
Auto Rental	0.7	-	13.4	4.5	4.4	5.4							-	28.4	27.1	1.3	4.8%
Cigarette/Tobacco Products	69.9	70.5	86.9	74.6	85.6	75.6							-	463.1	492.2	(29.1)	-5.9%
Medical Marijuana	-	-	0.1	-	-	0.1							-	0.2	-	0.2	100.0%
Motor Fuel	8.2	7.9	9.2	10.1	10.5	9.5							-	55.4	52.7	2.7	5.1%
Alcoholic Beverage	-	-	-	-	-	-							-	-	-	-	0.0%
Highway Use	-	-	-	-	-	-							-	-	-	-	0.0%
Metropolitan Commuter Trans. Taxicab Trip	15.7	0.6	0.6	15.7	0.5	0.6							-	33.7	38.7	(5.0)	-12.9%
<b>Total Consumption/Use Taxes</b>	<b>185.4</b>	<b>144.8</b>	<b>199.7</b>	<b>174.2</b>	<b>166.8</b>	<b>183.5</b>							-	<b>1,054.4</b>	<b>1,088.6</b>	<b>(34.2)</b>	<b>-3.1%</b>
Business Taxes:																	
Corporation Franchise	31.9	23.5	114.0	10.8	20.0	138.1							-	338.3	283.3	55.0	19.4%
Corporation and Utilities	2.3	0.1	29.2	3.7	0.3	31.9							-	67.5	63.3	4.2	6.6%
Insurance	1.5	0.2	40.3	0.8	1.1	34.6							-	78.5	71.7	6.8	9.5%
Bank	(1.7)	(3.4)	(0.3)	-	7.5	(1.5)							-	0.6	28.4	(27.8)	-97.9%
Petroleum Business	39.3	34.7	41.5	52.5	41.5	43.8							-	253.3	252.7	0.6	0.2%
<b>Total Business Taxes</b>	<b>73.3</b>	<b>55.1</b>	<b>224.7</b>	<b>67.8</b>	<b>70.4</b>	<b>246.9</b>							-	<b>738.2</b>	<b>699.4</b>	<b>38.8</b>	<b>5.5%</b>
Other Taxes:																	
Metropolitan Commuter Trans. Mobility	116.6	94.0	100.6	85.2	107.8	104.7							-	608.9	596.1	12.8	2.1%
<b>Total Other Taxes</b>	<b>116.6</b>	<b>94.0</b>	<b>100.6</b>	<b>85.2</b>	<b>107.8</b>	<b>104.7</b>							-	<b>608.9</b>	<b>596.1</b>	<b>12.8</b>	<b>2.1%</b>
<b>Total Taxes</b>	<b>376.6</b>	<b>293.9</b>	<b>945.2</b>	<b>327.2</b>	<b>345.0</b>	<b>643.5</b>							-	<b>2,931.4</b>	<b>3,008.0</b>	<b>(76.6)</b>	<b>-2.5%</b>
<b>Miscellaneous Receipts:</b>																	
Abandoned Property:																	
Abandoned Property	0.9	(0.1)	1.8	0.9	-	1.8							-	5.3	5.3	-	0.0%
Assessments:																	
Business	32.4	59.5	113.4	8.7	38.6	114.1							-	366.7	416.5	(49.8)	-12.0%
Medical Care	416.5	479.9	485.5	468.7	441.5	449.2							-	2,741.3	2,598.6	142.7	5.5%
Public Utilities	5.7	0.2	(0.1)	-	0.7	44.4							-	50.9	42.1	8.8	20.9%
Other	20.4	18.9	19.3	19.8	19.1	19.7							-	117.2	111.1	6.1	5.5%
Fees, Licenses and Permits:																	
Audit Fees	-	0.9	1.0	0.1	-	-							-	2.0	-	2.0	100.0%
Business/Professional	47.7	39.0	71.4	34.6	58.2	69.8							-	320.7	499.0	(178.3)	-35.7%
Civil	5.4	4.2	4.5	4.5	4.8	4.7							-	28.1	27.7	0.4	1.4%
Criminal	-	2.0	0.3	0.3	1.8	-							-	4.4	4.7	(0.3)	-6.4%
Motor Vehicle	39.9	42.1	42.6	46.6	36.6	41.6							-	249.4	254.0	(4.6)	-1.8%
Recreational/Consumer	39.2	39.6	47.3	38.8	69.0	96.0							-	329.9	149.9	180.0	120.1%
Fines, Penalties and Forfeitures	5.1	25.0	11.1	12.4	15.8	5.4							-	74.8	401.2	(326.4)	-81.4%
Gaming:																	
Casino	15.3	-	34.4	15.6	-	37.0							-	102.3	133.0	(30.7)	-23.1%
Lottery	188.8	202.5	244.8	200.9	228.0	175.1							-	1,240.1	1,223.5	16.6	1.4%
Video Lottery	78.4	77.4	90.8	76.6	93.1	74.1							-	490.4	494.2	(3.8)	-0.8%
Interest Earnings	2.8	5.2	3.9	4.4	5.1	4.2							-	25.6	19.0	6.6	34.7%
Receipts from Public Authorities:																	
Bond Proceeds	-	-	-	-	-	-							-	-	-	-	0.0%
Cost Recovery Assessments	-	-	22.6	(2.2)	-	-							-	20.4	20.4	-	0.0%
Issuance Fees	7.2	-	-	-	-	-							-	7.2	7.2	-	0.0%
Non Bond Related	0.9	-	-	0.2	0.5	-							-	1.6	2.1	(0.5)	-23.8%
Receipts from Municipalities	58.4	7.2	37.3	4.7	4.1	7.1							-	118.8	72.8	46.0	63.2%
Rentals	55.3	31.1	21.3	2.2	46.8	1.2							-	157.9	116.5	41.4	35.5%
Revenues of State Departments:																	
Administrative Recoveries	0.4	29.1	9.7	20.2	9.7	8.5							-	77.6	53.1	24.5	46.1%
Commissions	0.2	0.2	0.2	0.1	0.3	2.7							-	3.7	1.2	2.5	208.3%
Gifts, Grants and Donations	0.9	1.0	3.1	0.8	0.7	0.8							-	7.3	2.7	4.6	170.4%
Indirect Cost Recoveries	-	5.0	-	-	-	-							-	5.0	4.0	1.0	25.0%
Patient/Client Care Reimbursement	145.8	189.7	91.8	120.5	200.6	234.3							-	982.7	33.0	949.7	2,877.9%
Rebates	11.0	12.7	10.0	22.4	10.1	14.5							-	80.7	76.4	4.3	5.6%
Restitution and Settlements	(1.2)	11.0	62.9	29.4	0.3	0.5							-	102.9	45.1	57.8	128.2%
Student Loans	8.2	6.9	6.2	7.4	6.4	6.4							-	41.5	38.0	3.5	9.2%
All Other	50.3	26.0	48.0	38.8	35.7	44.7							-	243.5	26.9	216.6	805.2%
Sales	0.7	1.4	1.5	1.3	1.3	1.3							-	7.5	10.7	(3.2)	-29.9%

STATE OF NEW YORK  
SPECIAL REVENUE FUNDS - COMBINED  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2016-2017  
(Amounts in millions)

EXHIBIT G

	2016												Intra-Fund Transfer Eliminations (*)	6 Months Ended September 30			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2017 JANUARY	FEBRUARY	MARCH		2016	2015	\$ Increase/ (Decrease)	% Increase/ Decrease
Tuition	54.7	45.5	60.7	48.7	177.7	418.7							-	806.0	1,010.0	(204.0)	-20.2%
<b>Total Miscellaneous Receipts</b>	<b>1,291.3</b>	<b>1,363.1</b>	<b>1,547.3</b>	<b>1,227.4</b>	<b>1,506.5</b>	<b>1,877.8</b>	-	-	-	-	-	-	-	<b>8,813.4</b>	<b>7,899.9</b>	<b>913.5</b>	<b>11.6%</b>
Federal Receipts	3,149.5	4,040.9	4,981.0	3,491.2	3,940.8	5,019.4							-	24,622.8	22,601.8	2,021.0	8.9%
<b>Total Receipts</b>	<b>4,817.4</b>	<b>5,697.9</b>	<b>7,473.5</b>	<b>5,045.8</b>	<b>5,792.3</b>	<b>7,540.7</b>	-	-	-	-	-	-	-	<b>36,367.6</b>	<b>33,509.7</b>	<b>2,857.9</b>	<b>8.5%</b>
<b>DISBURSEMENTS:</b>																	
Local Assistance Grants:																	
Education	269.8	282.9	1,018.1	167.5	192.9	2,388.4							-	4,319.6	4,709.3	(389.7)	-8.3%
Environment and Recreation	0.6	-	0.9	0.4	1.1	0.5							-	3.5	3.4	0.1	2.9%
General Government	10.5	21.2	32.4	19.4	37.1	5.2							-	125.8	99.5	26.3	26.4%
Public Health:																	
Medicaid	2,579.0	3,124.7	3,433.8	3,197.4	3,433.8	2,552.0							-	18,320.7	17,389.3	931.4	5.4%
Other Public Health	570.0	452.2	578.6	652.1	527.3	676.5							-	3,456.7	2,835.6	621.1	21.9%
Public Safety	85.0	141.1	99.0	119.6	200.0	109.2							-	753.9	830.0	(76.1)	-9.2%
Public Welfare	247.2	283.2	327.4	272.9	341.6	978.5							-	2,450.8	2,605.9	(155.1)	-6.0%
Support and Regulate Business	0.1	1.2	4.7	1.7	3.8	3.4							-	14.9	85.1	(70.2)	-82.5%
Transportation	198.2	461.4	522.1	310.4	472.6	432.9							-	2,397.6	2,215.2	182.4	8.2%
<b>Total Local Assistance Grants</b>	<b>3,960.4</b>	<b>4,767.9</b>	<b>6,017.0</b>	<b>4,741.4</b>	<b>5,210.2</b>	<b>7,146.6</b>	-	-	-	-	-	-	-	<b>31,843.5</b>	<b>30,773.3</b>	<b>1,070.2</b>	<b>3.5%</b>
Departmental Operations:																	
Personal Service	599.9	572.4	776.8	568.8	603.8	681.0							-	3,802.7	3,703.4	99.3	2.7%
Non-Personal Service	260.1	386.2	439.7	298.2	477.1	481.6							-	2,342.9	2,377.8	(34.9)	-1.5%
General State Charges	189.5	272.7	117.8	27.1	103.6	453.4							-	1,164.1	1,093.2	70.9	6.5%
Capital Projects	0.1	0.1	0.2	0.2	0.2	1.6							-	2.4	0.6	1.8	300.0%
<b>Total Disbursements</b>	<b>5,010.0</b>	<b>5,999.3</b>	<b>7,351.5</b>	<b>5,635.7</b>	<b>6,394.9</b>	<b>8,764.2</b>	-	-	-	-	-	-	-	<b>39,155.6</b>	<b>37,948.3</b>	<b>1,207.3</b>	<b>3.2%</b>
<b>Excess (Deficiency) of Receipts over Disbursements</b>	<b>(192.6)</b>	<b>(301.4)</b>	<b>122.0</b>	<b>(589.9)</b>	<b>(602.6)</b>	<b>(1,223.5)</b>	-	-	-	-	-	-	-	<b>(2,788.0)</b>	<b>(4,438.6)</b>	<b>1,650.6</b>	<b>37.2%</b>
<b>OTHER FINANCING SOURCES (USES):</b>																	
Transfers from Other Funds	560.0	1,365.6	755.0	996.8	708.0	218.6							(220.9)	4,383.1	5,255.7	(872.6)	-16.6%
Transfers to Other Funds	(103.9)	(166.7)	(348.8)	(148.3)	(82.6)	(207.0)							220.9	(836.4)	(1,196.9)	(360.5)	-30.1%
<b>Total Other Financing Sources (Uses)</b>	<b>456.1</b>	<b>1,198.9</b>	<b>406.2</b>	<b>848.5</b>	<b>625.4</b>	<b>11.6</b>	-	-	-	-	-	-	-	<b>3,546.7</b>	<b>4,058.8</b>	<b>(512.1)</b>	<b>-12.6%</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>263.5</b>	<b>897.5</b>	<b>528.2</b>	<b>258.6</b>	<b>22.8</b>	<b>(1,211.9)</b>	-	-	-	-	-	-	-	<b>758.7</b>	<b>(379.8)</b>	<b>1,138.5</b>	<b>299.8%</b>
<b>Ending Fund Balance</b>	<b>\$ 3,870.6</b>	<b>\$ 4,768.1</b>	<b>\$ 5,296.3</b>	<b>\$ 5,554.9</b>	<b>\$ 5,577.7</b>	<b>\$ 4,365.8</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 4,365.8</b>	<b>\$ 2,282.0</b>	<b>\$ 2,083.8</b>	<b>91.3%</b>

(\*) Intra-Fund transfer eliminations represent transfers between Special Revenue- State and Federal Funds.



STATE OF NEW YORK  
SPECIAL REVENUE FUNDS - STATE  
STATEMENT OF RECEIPTS AND DISBURSEMENTS  
FISCAL YEAR 2016-2017  
(Amounts in millions)

EXHIBIT G

	6 Months Ended September 30												2016	2015	\$ Increase/ (Decrease)	% Increase/ Decrease
	2016 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2017 JANUARY	FEBRUARY	MARCH				
<b>Beginning Fund Balance</b>	\$ 3,547.4	\$ 4,127.5	\$ 4,982.0	\$ 4,973.0	\$ 5,579.2	\$ 6,054.3							\$ 3,547.4	\$ 2,472.6	\$ 1,074.8	43.5%
<b>RECEIPTS:</b>																
<b>Taxes:</b>																
Personal Income Tax	1.3	-	420.2	-	-	108.4							529.9	623.9	(94.0)	-15.1%
Consumption/Use Taxes:																
Sales and Use	90.9	65.8	89.5	69.3	65.8	92.3							473.6	477.9	(4.3)	-0.9%
Auto Rental	0.7	-	13.4	4.5	4.4	5.4							28.4	27.1	1.3	4.8%
Cigarette/Tobacco Products	69.9	70.5	86.9	74.6	85.6	75.6							463.1	492.2	(29.1)	-5.9%
Medical Marijuana	-	-	0.1	-	-	0.1							0.2	-	0.2	100.0%
Motor Fuel	8.2	7.9	9.2	10.1	10.5	9.5							55.4	52.7	2.7	5.1%
Alcoholic Beverage	-	-	-	-	-	-							-	-	-	0.0%
Highway Use	-	-	-	-	-	-							-	-	-	0.0%
Metropolitan Commuter Trans. Taxicab Trip	15.7	0.6	0.6	15.7	0.5	0.6							33.7	38.7	(5.0)	-12.9%
<b>Total Consumption/Use Taxes</b>	<b>185.4</b>	<b>144.8</b>	<b>199.7</b>	<b>174.2</b>	<b>166.8</b>	<b>183.5</b>							<b>1,054.4</b>	<b>1,088.6</b>	<b>(34.2)</b>	<b>-3.1%</b>
Business Taxes																
Corporation Franchise	31.9	23.5	114.0	10.8	20.0	138.1							338.3	283.3	55.0	19.4%
Corporation and Utilities	2.3	0.1	29.2	3.7	0.3	31.9							67.5	63.3	4.2	6.6%
Insurance	1.5	0.2	40.3	0.8	1.1	34.6							78.5	71.7	6.8	9.5%
Bank	(1.7)	(3.4)	(0.3)	-	7.5	(1.5)							0.6	28.4	(27.8)	-97.9%
Petroleum Business	39.3	34.7	41.5	52.5	41.5	43.8							253.3	252.7	0.6	0.2%
<b>Total Business Taxes</b>	<b>73.3</b>	<b>55.1</b>	<b>224.7</b>	<b>67.8</b>	<b>70.4</b>	<b>246.9</b>							<b>738.2</b>	<b>699.4</b>	<b>38.8</b>	<b>5.5%</b>
Other Taxes																
Metropolitan Commuter Trans. Mobility	116.6	94.0	100.6	85.2	107.8	104.7							608.9	596.1	12.8	2.1%
<b>Total Other Taxes</b>	<b>116.6</b>	<b>94.0</b>	<b>100.6</b>	<b>85.2</b>	<b>107.8</b>	<b>104.7</b>							<b>608.9</b>	<b>596.1</b>	<b>12.8</b>	<b>2.1%</b>
<b>Total Taxes</b>	<b>376.6</b>	<b>293.9</b>	<b>945.2</b>	<b>327.2</b>	<b>345.0</b>	<b>643.5</b>							<b>2,931.4</b>	<b>3,008.0</b>	<b>(76.6)</b>	<b>-2.5%</b>
<b>Miscellaneous Receipts:</b>																
Abandoned Property:																
Abandoned Property	0.9	(0.1)	1.8	0.9	-	1.8							5.3	5.3	-	0.0%
Assessments:																
Business	28.5	26.1	113.3	6.7	28.4	114.0							317.0	368.9	(51.9)	-14.1%
Medical Care	416.5	479.9	485.5	468.7	441.5	449.2							2,741.3	2,598.6	142.7	5.5%
Public Utilities	5.7	0.2	(0.1)	-	0.7	44.4							50.9	42.1	8.8	20.9%
Other	20.4	18.9	19.3	19.8	19.1	19.7							117.2	111.1	6.1	5.5%
Fees, Licenses and Permits:																
Audit Fees	-	0.9	1.0	0.1	-	-							2.0	-	2.0	100.0%
Business/Professional	47.7	39.0	71.4	34.6	58.2	69.8							320.7	499.0	(178.3)	-35.7%
Civil	5.4	4.2	4.5	4.5	4.8	4.7							28.1	27.7	0.4	1.4%
Criminal	-	2.0	0.3	0.3	1.8	-							-	4.7	(4.7)	-100.0%
Motor Vehicle	39.9	42.1	42.6	46.6	36.6	41.6							249.4	254.0	(4.6)	-1.8%
Recreational/Consumer	39.2	39.6	47.3	38.8	69.0	96.0							329.9	149.9	180.0	120.1%
Fines, Penalties and Forfeitures	4.2	24.3	10.5	9.2	15.1	4.0							67.3	394.0	(326.7)	-82.9%
Gaming:																
Casino	15.3	-	34.4	15.6	-	37.0							102.3	133.0	(30.7)	-23.1%
Lottery	188.8	202.5	244.8	200.9	228.0	175.1							1,240.1	1,223.5	16.6	1.4%
Video Lottery	78.4	77.4	90.8	76.6	93.1	74.1							490.4	494.2	(3.8)	-0.8%
Interest Earnings	2.6	5.0	3.7	4.3	4.8	4.0							24.4	18.8	5.6	29.8%
Receipts from Public Authorities:																
Bond Proceeds	-	-	-	-	-	-							-	-	-	0.0%
Cost Recovery Assessments	-	-	22.6	(2.2)	-	-							20.4	20.4	-	0.0%
Issuance Fees	7.2	-	-	-	-	-							7.2	7.2	-	0.0%
Non Bond Related	0.9	-	-	0.2	0.5	-							1.6	2.1	(0.5)	-23.8%
Receipts from Municipalities	58.4	7.2	37.3	4.7	4.1	7.1							118.8	72.8	46.0	63.2%
Rentals	55.3	31.1	21.3	2.2	46.8	1.2							157.9	116.5	41.4	35.5%
Revenues of State Departments:																
Administrative Recoveries	0.4	29.1	9.6	20.2	9.7	8.5							77.5	52.5	25.0	47.6%
Commissions	0.2	0.2	0.2	0.1	0.3	2.7							3.7	1.2	2.5	208.3%
Gifts, Grants and Donations	0.9	1.0	3.1	0.8	0.7	0.8							7.3	2.7	4.6	170.4%
Indirect Cost Recoveries	-	5.0	-	-	-	-							5.0	4.0	1.0	25.0%
Patient/Client Care Reimbursement	145.8	189.7	91.8	120.5	200.6	234.3							982.7	33.0	949.7	2,877.9%
Rebates	3.1	3.5	2.2	14.0	1.0	6.0							29.8	25.1	4.7	18.7%
Restitution and Settlements	(1.2)	11.0	62.9	29.4	0.3	0.5							102.9	45.0	57.9	128.7%
Student Loans	8.2	6.9	6.2	7.4	6.4	6.4							41.5	38.0	3.5	9.2%
All Other	50.2	25.6	48.1	38.8	39.5	45.9							248.1	26.3	221.8	843.3%
Sales	0.7	1.4	1.3	1.3	1.3	1.3							7.3	10.7	(3.4)	-31.8%

STATE OF NEW YORK  
SPECIAL REVENUE FUNDS - STATE  
STATEMENT OF RECEIPTS AND DISBURSEMENTS  
FISCAL YEAR 2016-2017  
(Amounts in millions)

EXHIBIT G

													6 Months Ended September 30				
	2016			2016			2016			2017			2016				
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2016	2015	\$ Increase/ (Decrease)	% Increase/ Decrease	
Tuition	54.7	45.5	60.7	48.7	177.7	418.7	-	-	-	-	-	-	806.0	1,010.0	(204.0)	-20.2%	
<b>Total Miscellaneous Receipts</b>	<b>1,278.3</b>	<b>1,319.2</b>	<b>1,538.4</b>	<b>1,213.7</b>	<b>1,490.0</b>	<b>1,868.8</b>	-	-	-	-	-	-	<b>8,708.4</b>	<b>7,792.3</b>	<b>916.1</b>	<b>11.8%</b>	
Federal Receipts	14.8	0.5	-	(1.1)	(14.7)	(0.1)	-	-	-	-	-	-	(0.6)	-	(0.6)	-100.0%	
<b>Total Receipts</b>	<b>1,669.7</b>	<b>1,613.6</b>	<b>2,483.6</b>	<b>1,539.8</b>	<b>1,820.3</b>	<b>2,512.2</b>	-	-	-	-	-	-	<b>11,639.2</b>	<b>10,800.3</b>	<b>838.9</b>	<b>7.8%</b>	
<b>DISBURSEMENTS:</b>																	
Local Assistance Grants:																	
Education	1.4	0.5	721.0	(0.2)	1.5	2,261.6	-	-	-	-	-	-	2,985.8	3,084.0	(98.2)	-3.2%	
Environment and Recreation	-	-	0.2	0.2	0.6	0.2	-	-	-	-	-	-	1.2	1.6	(0.4)	-25.0%	
General Government	9.7	17.3	24.1	18.4	34.0	3.6	-	-	-	-	-	-	107.1	72.1	35.0	48.5%	
Public Health:																	
Medicaid	335.2	497.6	428.4	641.7	477.1	406.0	-	-	-	-	-	-	2,786.0	2,591.8	194.2	7.5%	
Other Public Health	149.8	67.6	373.7	161.8	95.9	306.9	-	-	-	-	-	-	1,155.7	1,320.4	(164.7)	-12.5%	
Public Safety	11.8	19.4	11.2	7.4	13.4	15.7	-	-	-	-	-	-	78.9	58.2	20.7	35.6%	
Public Welfare	0.4	0.9	0.5	0.1	0.6	0.1	-	-	-	-	-	-	2.6	2.2	0.4	18.2%	
Support and Regulate Business	0.1	1.2	4.7	1.4	2.5	3.4	-	-	-	-	-	-	13.3	81.8	(68.5)	-83.7%	
Transportation	192.1	457.8	513.2	307.8	465.5	428.7	-	-	-	-	-	-	2,365.1	2,194.8	170.3	7.8%	
<b>Total Local Assistance Grants</b>	<b>700.5</b>	<b>1,062.3</b>	<b>2,077.0</b>	<b>1,138.6</b>	<b>1,091.1</b>	<b>3,426.2</b>	-	-	-	-	-	-	<b>9,495.7</b>	<b>9,406.9</b>	<b>88.8</b>	<b>0.9%</b>	
Departmental Operations:																	
Personal Service	551.1	528.2	704.9	527.5	545.2	633.9	-	-	-	-	-	-	3,490.8	3,410.3	80.5	2.4%	
Non-Personal Service	214.5	292.2	323.0	218.8	325.1	302.9	-	-	-	-	-	-	1,676.5	1,739.0	(62.5)	-3.6%	
General State Charges	179.0	237.6	103.6	26.9	69.1	416.6	-	-	-	-	-	-	1,032.8	960.8	72.0	7.5%	
Capital Projects	0.1	0.1	0.2	0.2	0.2	1.6	-	-	-	-	-	-	2.4	0.6	1.8	300.0%	
<b>Total Disbursements</b>	<b>1,645.2</b>	<b>2,120.4</b>	<b>3,208.7</b>	<b>1,912.0</b>	<b>2,030.7</b>	<b>4,781.2</b>	-	-	-	-	-	-	<b>15,698.2</b>	<b>15,517.6</b>	<b>180.6</b>	<b>1.2%</b>	
<b>Excess (Deficiency) of Receipts over Disbursements</b>	<b>24.5</b>	<b>(506.8)</b>	<b>(725.1)</b>	<b>(372.2)</b>	<b>(210.4)</b>	<b>(2,269.0)</b>	-	-	-	-	-	-	<b>(4,059.0)</b>	<b>(4,717.3)</b>	<b>658.3</b>	<b>14.0%</b>	
<b>OTHER FINANCING SOURCES (USES):</b>																	
Transfers from Other Funds	560.0	1,365.6	755.0	996.8	708.0	218.6	-	-	-	-	-	-	4,604.0	5,519.9	(915.9)	-16.6%	
Transfers to Other Funds	(4.4)	(4.3)	(38.9)	(18.4)	(22.5)	(42.5)	-	-	-	-	-	-	(131.0)	(469.1)	(338.1)	-72.1%	
<b>Total Other Financing Sources (Uses)</b>	<b>555.6</b>	<b>1,361.3</b>	<b>716.1</b>	<b>978.4</b>	<b>685.5</b>	<b>176.1</b>	-	-	-	-	-	-	<b>4,473.0</b>	<b>5,050.8</b>	<b>(577.8)</b>	<b>-11.4%</b>	
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>580.1</b>	<b>854.5</b>	<b>(9.0)</b>	<b>606.2</b>	<b>475.1</b>	<b>(2,092.9)</b>	-	-	-	-	-	-	<b>414.0</b>	<b>333.5</b>	<b>80.5</b>	<b>24.1%</b>	
<b>Ending Fund Balance</b>	<b>\$ 4,127.5</b>	<b>\$ 4,982.0</b>	<b>\$ 4,973.0</b>	<b>\$ 5,579.2</b>	<b>\$ 6,054.3</b>	<b>\$ 3,961.4</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 3,961.4</b>	<b>\$ 2,806.1</b>	<b>\$ 1,155.3</b>	<b>41.2%</b>	

STATE OF NEW YORK  
SPECIAL REVENUE FUNDS - FEDERAL  
STATEMENT OF RECEIPTS AND DISBURSEMENTS  
FISCAL YEAR 2016-2017  
(Amounts in millions)

EXHIBIT G

	6 Months Ended September 30												2016	2015	\$ Increase/ (Decrease)	% Increase/ Decrease
	2016 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2017 JANUARY	FEBRUARY	MARCH				
Beginning Fund Balance	\$ 59.7	\$ (256.9)	\$ (213.9)	\$ 323.3	\$ (24.3)	\$ (476.6)							\$ 59.7	\$ 189.2	\$ (129.5)	-68.4%
<b>RECEIPTS:</b>																
<b>Miscellaneous Receipts:</b>																
Abandoned Property:																
Abandoned Property	-	-	-	-	-	-							-	-	-	0.0%
Assessments:																
Business	3.9	33.4	0.1	2.0	10.2	0.1							49.7	47.6	2.1	4.4%
Medical Care	-	-	-	-	-	-							-	-	-	0.0%
Public Utilities	-	-	-	-	-	-							-	-	-	0.0%
Other	-	-	-	-	-	-							-	-	-	0.0%
Fees, Licenses and Permits:																
Business/Professional	-	-	-	-	-	-							-	-	-	0.0%
Civil	-	-	-	-	-	-							-	-	-	0.0%
Criminal	-	-	-	-	-	-							-	-	-	0.0%
Motor Vehicle	-	-	-	-	-	-							-	-	-	0.0%
Recreational/Consumer	-	-	-	-	-	-							-	-	-	0.0%
Fines, Penalties and Forfeitures	0.9	0.7	0.6	3.2	0.7	1.4							7.5	7.2	0.3	4.2%
Interest Earnings	0.2	0.2	0.2	0.1	0.3	0.2							1.2	0.2	1.0	500.0%
Receipts from Public Authorities:																
Bond Proceeds	-	-	-	-	-	-							-	-	-	0.0%
Cost Recovery Assessments	-	-	-	-	-	-							-	-	-	0.0%
Issuance Fees	-	-	-	-	-	-							-	-	-	0.0%
Non Bond Related	-	-	-	-	-	-							-	-	-	0.0%
Receipts from Municipalities	-	-	-	-	-	-							-	-	-	0.0%
Rentals	-	-	-	-	-	-							-	-	-	0.0%
Revenues of State Departments:																
Administrative Recoveries	-	-	0.1	-	-	-							0.1	0.6	(0.5)	-83.3%
Commissions	-	-	-	-	-	-							-	-	-	0.0%
Gifts, Grants and Donations	-	-	-	-	-	-							-	-	-	0.0%
Indirect Cost Recoveries	-	-	-	-	-	-							-	-	-	0.0%
Patient/Client Care Reimbursement	-	-	-	-	-	-							-	-	-	0.0%
Rebates	7.9	9.2	7.8	8.4	9.1	8.5							50.9	51.3	(0.4)	-0.8%
Restitution and Settlements	-	-	-	-	-	-							-	0.1	(0.1)	-100.0%
Student Loans	-	-	-	-	-	-							-	-	-	0.0%
All Other	0.1	0.4	(0.1)	-	(3.8)	(1.2)							(4.6)	0.6	(5.2)	-866.7%
Sales	-	-	0.2	-	-	-							0.2	-	0.2	100.0%
Tuition	-	-	-	-	-	-							-	-	-	0.0%
<b>Total Miscellaneous Receipts</b>	<b>13.0</b>	<b>43.9</b>	<b>8.9</b>	<b>13.7</b>	<b>16.5</b>	<b>9.0</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>105.0</b>	<b>107.6</b>	<b>(2.6)</b>	<b>-2.4%</b>
Federal Receipts	3,134.7	4,040.4	4,981.0	3,492.3	3,955.5	5,019.5							24,623.4	22,601.8	2,021.6	8.9%
<b>Total Receipts</b>	<b>3,147.7</b>	<b>4,084.3</b>	<b>4,989.9</b>	<b>3,506.0</b>	<b>3,972.0</b>	<b>5,028.5</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>24,728.4</b>	<b>22,709.4</b>	<b>2,019.0</b>	<b>8.9%</b>



STATE OF NEW YORK  
DEBT SERVICE FUNDS  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2016-17  
(Amounts in millions)

EXHIBIT H

	2016						2017						6 Months Ended September 30			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2016	2015	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ 159.7	\$ 324.9	\$ 418.0	\$ 365.9	\$ 807.4	\$ 1,108.6							\$ 159.7	\$ 118.7	\$ 41.0	34.5%
<b>RECEIPTS:</b>																
<b>Taxes:</b>																
Personal Income Tax	1,595.9	546.5	1,180.9	640.5	734.9	1,176.1							5,874.8	6,058.4	(183.6)	-3.0%
Consumption/Use Taxes:																
Sales and Use	498.2	474.3	655.1	508.3	485.4	652.5							3,273.8	3,206.0	67.8	2.1%
<b>Total Consumption/Use Taxes</b>	<b>498.2</b>	<b>474.3</b>	<b>655.1</b>	<b>508.3</b>	<b>485.4</b>	<b>652.5</b>	-	-	-	-	-	-	<b>3,273.8</b>	<b>3,206.0</b>	<b>67.8</b>	<b>2.1%</b>
Other Taxes:																
Real Estate Transfer	90.4	74.0	91.1	102.0	90.5	88.8							536.8	535.0	1.8	0.3%
<b>Total Other Taxes</b>	<b>90.4</b>	<b>74.0</b>	<b>91.1</b>	<b>102.0</b>	<b>90.5</b>	<b>88.8</b>	-	-	-	-	-	-	<b>536.8</b>	<b>535.0</b>	<b>1.8</b>	<b>0.3%</b>
<b>Total Taxes</b>	<b>2,184.5</b>	<b>1,094.8</b>	<b>1,927.1</b>	<b>1,250.8</b>	<b>1,310.8</b>	<b>1,917.4</b>	-	-	-	-	-	-	<b>9,685.4</b>	<b>9,799.4</b>	<b>(114.0)</b>	<b>-1.2%</b>
<b>Miscellaneous Receipts:</b>																
Assessments:																
Medical Care	-	-	-	-	-	-							-	-	-	0.0%
Fees, Licenses and Permits:																
Alcohol Beverage Control Licensing	-	-	-	-	-	-							-	-	-	0.0%
Business/Professional	-	-	-	-	-	-							-	-	-	0.0%
Civil	-	-	-	-	-	-							-	-	-	0.0%
Criminal	-	-	-	-	-	-							-	-	-	0.0%
Motor Vehicle	-	-	-	-	-	-							-	-	-	0.0%
Recreational/Consumer	-	-	-	-	-	-							-	-	-	0.0%
Interest Earnings	-	-	-	0.1	0.1	-							0.2	-	0.2	100.0%
Receipts from Municipalities	-	0.4	0.1	-	0.8	1.1							2.4	4.8	(2.4)	-50.0%
Rentals	-	-	-	-	-	-							-	-	-	0.0%
Revenues of State Departments:																
Patient/Client Care Reimbursement	10.7	25.9	(38.1)	138.2	51.3	41.0							229.0	265.6	(36.6)	-13.8%
Sales	-	-	-	-	0.1	-							0.1	0.1	-	0.0%
<b>Total Miscellaneous Receipts</b>	<b>10.7</b>	<b>26.3</b>	<b>(38.0)</b>	<b>138.3</b>	<b>52.3</b>	<b>42.1</b>	-	-	-	-	-	-	<b>231.7</b>	<b>270.5</b>	<b>(38.8)</b>	<b>-14.3%</b>
Federal Receipts	-	-	-	1.6	35.1	-							36.7	36.5	0.2	0.5%
<b>Total Receipts</b>	<b>2,195.2</b>	<b>1,121.1</b>	<b>1,889.1</b>	<b>1,390.7</b>	<b>1,398.2</b>	<b>1,959.5</b>	-	-	-	-	-	-	<b>9,953.8</b>	<b>10,106.4</b>	<b>(152.6)</b>	<b>-1.5%</b>
<b>DISBURSEMENTS:</b>																
Departmental Operations:																
Non-Personal Service	0.5	1.7	0.8	14.5	3.2	3.4							24.1	20.3	3.8	18.7%
Debt Service, Including Payments On Financing Agreements	113.3	162.9	89.9	25.3	281.8	790.0							1,463.2	1,675.2	(212.0)	-12.7%
<b>Total Disbursements</b>	<b>113.8</b>	<b>164.6</b>	<b>90.7</b>	<b>39.8</b>	<b>285.0</b>	<b>793.4</b>	-	-	-	-	-	-	<b>1,487.3</b>	<b>1,695.5</b>	<b>(208.2)</b>	<b>-12.3%</b>
<b>Excess (Deficiency) of Receipts over Disbursements</b>	<b>2,081.4</b>	<b>956.5</b>	<b>1,798.4</b>	<b>1,350.9</b>	<b>1,113.2</b>	<b>1,166.1</b>	-	-	-	-	-	-	<b>8,466.5</b>	<b>8,410.9</b>	<b>55.6</b>	<b>0.7%</b>
<b>OTHER FINANCING SOURCES (USES):</b>																
Transfers from Other Funds	357.9	139.0	192.1	301.0	50.7	331.1							1,371.8	1,648.6	(276.8)	-16.8%
Transfers to Other Funds	(2,274.1)	(1,002.4)	(2,042.6)	(1,210.4)	(862.7)	(2,231.3)							(9,623.5)	(9,845.6)	(222.1)	-2.3%
<b>Total Other Financing Sources (Uses)</b>	<b>(1,916.2)</b>	<b>(863.4)</b>	<b>(1,850.5)</b>	<b>(909.4)</b>	<b>(812.0)</b>	<b>(1,900.2)</b>	-	-	-	-	-	-	<b>(8,251.7)</b>	<b>(8,197.0)</b>	<b>(54.7)</b>	<b>-0.7%</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>165.2</b>	<b>93.1</b>	<b>(52.1)</b>	<b>441.5</b>	<b>301.2</b>	<b>(734.1)</b>	-	-	-	-	-	-	<b>214.8</b>	<b>213.9</b>	<b>0.9</b>	<b>0.4%</b>
<b>Ending Fund Balance</b>	<b>\$ 324.9</b>	<b>\$ 418.0</b>	<b>\$ 365.9</b>	<b>\$ 807.4</b>	<b>\$ 1,108.6</b>	<b>\$ 374.5</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 374.5</b>	<b>\$ 332.6</b>	<b>\$ 41.9</b>	<b>12.6%</b>

STATE OF NEW YORK  
 CAPITAL PROJECTS FUNDS - COMBINED  
 STATEMENT OF CASH FLOW  
 FISCAL YEAR 2016-2017  
 (Amounts in millions)

EXHIBIT I

	2016						2017						Intra-Fund Transfer Eliminations (*)	6 Months Ended September 30			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH		2016	2015	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ (890.8)	\$ (857.0)	\$ (846.8)	\$ (652.0)	\$ (628.3)	\$ (727.3)							\$ (890.8)	\$ (724.4)	\$ (166.4)	-23.0%	
<b>RECEIPTS:</b>																	
<b>Taxes:</b>																	
Consumption/Use Taxes:																	
Auto Rental	0.3	-	18.9	0.1	0.1	23.2							42.6	45.5	(2.9)	-6.4%	
Motor Fuel	30.8	29.4	35.1	36.4	39.5	35.3							206.5	198.6	7.9	4.0%	
Highway Use	12.6	10.7	12.3	12.5	12.3	11.7							72.1	75.5	(3.4)	-4.5%	
<b>Total Consumption/Use Taxes</b>	<b>43.7</b>	<b>40.1</b>	<b>66.3</b>	<b>49.0</b>	<b>51.9</b>	<b>70.2</b>							<b>321.2</b>	<b>319.6</b>	<b>1.6</b>	<b>0.5%</b>	
Business Taxes:																	
Corporation Franchise	-	-	-	-	-	-							-	-	-	0.0%	
Corporation and Utilities	0.8	-	2.1	0.1	-	2.5							5.5	5.4	0.1	1.9%	
Petroleum Business	48.2	43.2	52.1	66.2	51.6	55.0							316.3	316.3	-	0.0%	
<b>Total Business Taxes</b>	<b>49.0</b>	<b>43.2</b>	<b>54.2</b>	<b>66.3</b>	<b>51.6</b>	<b>57.5</b>							<b>321.8</b>	<b>321.7</b>	<b>0.1</b>	<b>0.0%</b>	
Other Taxes:																	
Real Estate Transfer	-	-	11.9	11.9	11.9	11.9							47.6	47.6	-	0.0%	
<b>Total Other Taxes</b>	<b>-</b>	<b>-</b>	<b>11.9</b>	<b>11.9</b>	<b>11.9</b>	<b>11.9</b>							<b>47.6</b>	<b>47.6</b>	<b>-</b>	<b>0.0%</b>	
<b>Total Taxes</b>	<b>92.7</b>	<b>83.3</b>	<b>132.4</b>	<b>127.2</b>	<b>115.4</b>	<b>139.6</b>							<b>690.6</b>	<b>688.9</b>	<b>1.7</b>	<b>0.2%</b>	
<b>Miscellaneous Receipts:</b>																	
Abandoned Property:																	
Bottle Bill	-	-	23.0	-	-	-							23.0	23.0	-	0.0%	
Assessments:																	
Business	9.4	8.7	10.3	11.1	7.8	11.7							59.0	52.0	7.0	13.5%	
Fees, Licenses and Permits:																	
Business/Professional	2.6	6.3	2.3	1.9	3.6	2.5							19.2	15.8	3.4	21.5%	
Civil	-	-	-	-	-	-							-	-	-	0.0%	
Motor Vehicle	66.1	64.8	62.0	63.5	54.7	61.3							372.4	364.5	7.9	2.2%	
Recreational/Consumer	0.1	0.3	0.1	0.2	0.9	26.0							27.6	9.1	18.5	203.3%	
Fines, Penalties and Forfeitures	2.3	2.3	2.7	3.5	2.9	2.8							16.5	11.2	5.3	47.3%	
Interest Earnings	0.2	0.1	0.2	0.1	0.2	0.2							1.0	0.3	0.7	233.3%	
Receipts from Public Authorities:																	
Bond Proceeds	-	112.9	556.0	4.2	9.0	536.5							1,218.6	1,202.6	16.0	1.3%	
Issuance Fees	-	-	-	-	-	-							-	-	-	0.0%	
Non Bond Related	0.1	-	0.6	-	4.0	0.8							5.5	3.1	2.4	77.4%	
Receipts from Municipalities	-	0.6	0.3	0.4	0.1	-							1.4	0.3	1.1	366.7%	
Rentals	0.7	0.2	0.4	1.2	0.3	1.6							4.4	3.4	1.0	29.4%	
Revenues of State Departments:																	
Administrative Recoveries	-	-	-	-	-	-							-	0.1	(0.1)	-100.0%	
Gifts, Grants and Donations	2.5	1.6	0.1	0.8	-	3.2							8.2	1.7	6.5	382.4%	
Indirect Cost Recoveries	-	-	-	-	-	-							-	-	-	0.0%	
Rebates	-	-	-	-	0.3	-							0.3	0.2	0.1	50.0%	
Restitution and Settlements	-	0.5	0.5	1.7	2.1	2.8							7.6	2.2	5.4	245.5%	
All Other	0.1	0.8	2.7	0.8	0.6	6.3							11.3	11.1	0.2	1.8%	
Sales	1.1	-	0.1	0.1	0.1	0.1							1.5	0.9	0.6	66.7%	
<b>Total Miscellaneous Receipts</b>	<b>85.2</b>	<b>199.1</b>	<b>661.3</b>	<b>89.5</b>	<b>86.6</b>	<b>655.8</b>							<b>1,777.5</b>	<b>1,701.5</b>	<b>76.0</b>	<b>4.5%</b>	
Federal Receipts	126.2	156.4	227.3	153.4	229.5	362.4							1,255.2	1,087.7	167.5	15.4%	
<b>Total Receipts</b>	<b>304.1</b>	<b>438.8</b>	<b>1,021.0</b>	<b>370.1</b>	<b>431.5</b>	<b>1,157.8</b>							<b>3,723.3</b>	<b>3,478.1</b>	<b>245.2</b>	<b>7.0%</b>	

STATE OF NEW YORK  
 CAPITAL PROJECTS FUNDS - COMBINED  
 STATEMENT OF CASH FLOW  
 FISCAL YEAR 2016-2017  
 (Amounts in millions)

EXHIBIT I

													6 Months Ended September 30				
	2016 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2017 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	2016	2015	\$ Increase/ (Decrease)	% Increase/ Decrease
<b>DISBURSEMENTS:</b>																	
Local Assistance Grants:																	
Education	-	0.2	0.1	7.8	5.6	2.7	-	-	-	-	-	-	-	16.4	13.6	2.8	20.6%
Environment and Recreation	2.7	3.9	7.2	12.3	12.0	5.2	-	-	-	-	-	-	-	43.3	46.7	(3.4)	-7.3%
General Government	58.9	11.5	18.0	16.6	18.6	35.4	-	-	-	-	-	-	-	159.0	42.3	116.7	275.9%
Public Health:																	
Medicaid	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Other Public Health	2.7	11.5	7.4	9.8	3.4	11.8	-	-	-	-	-	-	-	46.6	45.7	0.9	2.0%
Public Safety	(1.3)	5.9	0.5	2.2	0.6	10.4	-	-	-	-	-	-	-	18.3	17.0	1.3	7.6%
Public Welfare	-	15.8	-	35.0	6.0	15.7	-	-	-	-	-	-	-	72.5	54.9	17.6	32.1%
Support and Regulate Business	2.7	8.3	176.8	46.0	167.0	40.2	-	-	-	-	-	-	-	441.0	391.3	49.7	12.7%
Transportation	27.8	40.4	78.9	77.3	78.0	232.0	-	-	-	-	-	-	-	534.4	378.8	155.6	41.1%
<b>Total Local Assistance Grants</b>	<b>93.5</b>	<b>97.5</b>	<b>288.9</b>	<b>207.0</b>	<b>291.2</b>	<b>353.4</b>	-	-	-	-	-	-	-	<b>1,331.5</b>	<b>990.3</b>	<b>341.2</b>	<b>34.5%</b>
Departmental Operations:																	
Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Non-Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
General State Charges	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Capital Projects	313.5	485.9	643.6	470.7	561.2	689.1	-	-	-	-	-	-	-	3,164.0	3,128.4	35.6	1.1%
<b>Total Disbursements</b>	<b>407.0</b>	<b>583.4</b>	<b>932.5</b>	<b>677.7</b>	<b>852.4</b>	<b>1,042.5</b>	-	-	-	-	-	-	-	<b>4,495.5</b>	<b>4,118.7</b>	<b>376.8</b>	<b>9.1%</b>
<b>Excess (Deficiency) of Receipts over Disbursements</b>	<b>(102.9)</b>	<b>(144.6)</b>	<b>88.5</b>	<b>(307.6)</b>	<b>(420.9)</b>	<b>115.3</b>	-	-	-	-	-	-	-	<b>(772.2)</b>	<b>(640.6)</b>	<b>(131.6)</b>	<b>-20.5%</b>
<b>OTHER FINANCING SOURCES (USES):</b>																	
Bond Proceeds (net)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transfers from Other Funds	162.2	183.8	138.4	362.5	352.8	29.9	-	-	-	-	-	-	(30.4)	1,199.2	957.4	241.8	25.3%
Transfers to Other Funds	(25.5)	(29.0)	(32.1)	(31.2)	(30.9)	(296.7)	-	-	-	-	-	-	30.4	(415.0)	(596.3)	(181.3)	-30.4%
<b>Total Other Financing Sources (Uses)</b>	<b>136.7</b>	<b>154.8</b>	<b>106.3</b>	<b>331.3</b>	<b>321.9</b>	<b>(266.8)</b>	-	-	-	-	-	-	-	<b>784.2</b>	<b>361.1</b>	<b>423.1</b>	<b>117.2%</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>33.8</b>	<b>10.2</b>	<b>194.8</b>	<b>23.7</b>	<b>(99.0)</b>	<b>(151.5)</b>	-	-	-	-	-	-	-	<b>12.0</b>	<b>(279.5)</b>	<b>291.5</b>	<b>104.3%</b>
<b>Ending Fund Balance</b>	<b>\$ (857.0)</b>	<b>\$ (846.8)</b>	<b>\$ (652.0)</b>	<b>\$ (628.3)</b>	<b>\$ (727.3)</b>	<b>\$ (878.8)</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ (878.8)</b>	<b>\$ (1,003.9)</b>	<b>\$ 125.1</b>	<b>12.5%</b>

(\*) Intra-Fund transfer eliminations represent transfers from Capital Projects- State and Federal Funds.

STATE OF NEW YORK  
 CAPITAL PROJECTS FUNDS - STATE  
 STATEMENT OF RECEIPTS AND DISBURSEMENTS  
 FISCAL YEAR 2016-2017  
 (Amounts in millions)

EXHIBIT I

	2016						2017						6 Months Ended September 30			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2016	2015	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ (331.5)	\$ (347.0)	\$ (346.5)	\$ (219.0)	\$ (155.8)	\$ (268.6)							\$ (331.5)	\$ (342.4)	\$ 10.9	3.2%
<b>RECEIPTS:</b>																
<b>Taxes:</b>																
Consumption/Use Taxes																
Auto Rental	0.3	-	18.9	0.1	0.1	23.2							42.6	45.5	(2.9)	-6.4%
Motor Fuel	30.8	29.4	35.1	36.4	39.5	35.3							206.5	198.6	7.9	4.0%
Highway Use	12.6	10.7	12.3	12.5	12.3	11.7							72.1	75.5	(3.4)	-4.5%
<b>Total Consumption/Use Taxes</b>	<b>43.7</b>	<b>40.1</b>	<b>66.3</b>	<b>49.0</b>	<b>51.9</b>	<b>70.2</b>	-	-	-	-	-	-	<b>321.2</b>	<b>319.6</b>	<b>1.6</b>	<b>0.5%</b>
Business Taxes																
Corporation Franchise	-	-	-	-	-	-							-	-	-	0.0%
Corporation and Utilities	0.8	-	2.1	0.1	-	2.5							5.5	5.4	0.1	1.9%
Petroleum Business	48.2	43.2	52.1	66.2	51.6	55.0							316.3	316.3	-	0.0%
<b>Total Business Taxes</b>	<b>49.0</b>	<b>43.2</b>	<b>54.2</b>	<b>66.3</b>	<b>51.6</b>	<b>57.5</b>	-	-	-	-	-	-	<b>321.8</b>	<b>321.7</b>	<b>0.1</b>	<b>0.0%</b>
Other Taxes																
Real Estate Transfer	-	-	11.9	11.9	11.9	11.9							47.6	47.6	-	0.0%
<b>Total Other Taxes</b>	<b>-</b>	<b>-</b>	<b>11.9</b>	<b>11.9</b>	<b>11.9</b>	<b>11.9</b>	-	-	-	-	-	-	<b>47.6</b>	<b>47.6</b>	<b>-</b>	<b>0.0%</b>
<b>Total Taxes</b>	<b>92.7</b>	<b>83.3</b>	<b>132.4</b>	<b>127.2</b>	<b>115.4</b>	<b>139.6</b>	-	-	-	-	-	-	<b>690.6</b>	<b>688.9</b>	<b>1.7</b>	<b>0.2%</b>
<b>Miscellaneous Receipts:</b>																
Abandoned Property:																
Bottle Bill	-	-	23.0	-	-	-							23.0	23.0	-	0.0%
Assessments:																
Business	9.4	8.7	10.3	11.1	7.8	11.7							59.0	52.0	7.0	13.5%
Fees, Licenses and Permits:																
Business/Professional	2.6	6.3	2.3	1.9	3.6	2.5							19.2	15.8	3.4	21.5%
Civil	-	-	-	-	-	-							-	-	-	0.0%
Motor Vehicle	66.1	64.8	62.0	63.5	54.7	61.3							372.4	364.5	7.9	2.2%
Recreational/Consumer	0.1	0.3	0.1	0.2	0.9	26.0							27.6	9.1	18.5	203.3%
Fines, Penalties and Forfeitures	2.3	2.3	2.7	3.5	2.9	2.8							16.5	11.2	5.3	47.3%
Interest Earnings	0.2	0.1	0.2	0.1	0.2	0.2							1.0	0.3	0.7	233.3%
Receipts from Public Authorities:																
Bond Proceeds	-	112.9	556.0	4.2	9.0	536.5							1,218.6	1,202.6	16.0	1.3%
Issuance Fees	-	-	-	-	-	-							-	-	-	0.0%
Non Bond Related	0.1	-	0.6	-	4.0	0.8							5.5	3.1	2.4	77.4%
Receipts from Municipalities	-	0.6	0.3	0.4	0.1	-							1.4	0.3	1.1	366.7%
Rentals	0.6	0.2	0.4	1.1	0.2	1.6							4.1	3.1	1.0	32.3%
Revenues of State Departments:																
Administrative Recoveries	-	-	-	-	-	-							-	0.1	(0.1)	-100.0%
Gifts, Grants and Donations	2.5	1.6	0.1	0.8	-	3.2							8.2	1.7	6.5	382.4%
Indirect Cost Recoveries	-	-	-	-	-	-							-	-	-	0.0%
Rebates	-	-	-	-	0.3	-							0.3	0.2	0.1	50.0%
Restitution and Settlements	-	0.5	0.5	1.7	2.1	2.8							7.6	2.2	5.4	245.5%
All Other	0.1	0.8	2.7	0.8	0.6	6.3							11.3	11.1	0.2	1.8%
Sales	0.2	-	-	0.1	0.1	0.1							0.5	0.7	(0.2)	-28.6%
<b>Total Miscellaneous Receipts</b>	<b>84.2</b>	<b>199.1</b>	<b>661.2</b>	<b>89.4</b>	<b>86.5</b>	<b>655.8</b>	-	-	-	-	-	-	<b>1,776.2</b>	<b>1,701.0</b>	<b>75.2</b>	<b>4.4%</b>
Federal Receipts	-	-	-	-	-	2.5							2.5	2.5	-	0.0%
<b>Total Receipts</b>	<b>176.9</b>	<b>282.4</b>	<b>793.6</b>	<b>216.6</b>	<b>201.9</b>	<b>797.9</b>	-	-	-	-	-	-	<b>2,469.3</b>	<b>2,392.4</b>	<b>76.9</b>	<b>3.2%</b>



STATE OF NEW YORK  
 CAPITAL PROJECTS FUNDS - STATE  
 STATEMENT OF RECEIPTS AND DISBURSEMENTS  
 FISCAL YEAR 2016-2017  
 (Amounts in millions)

EXHIBIT I

													6 Months Ended September 30			
	2016 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2017 JANUARY	FEBRUARY	MARCH	2016	2015	\$ Increase/ (Decrease)	% Increase/ Decrease
<b>DISBURSEMENTS:</b>																
Local Assistance Grants:																
Education	-	0.2	0.1	7.8	5.6	2.7							16.4	13.6	2.8	20.6%
Environment and Recreation	2.7	3.9	7.2	12.3	12.0	5.2							43.3	46.7	(3.4)	-7.3%
General Government	58.9	11.5	18.0	16.6	18.6	35.4							159.0	42.3	116.7	275.9%
Public Health:																
Medicaid	-	-	-	-	-	-							-	-	-	0.0%
Other Public Health	2.7	11.5	7.4	9.8	3.4	7.9							42.7	45.7	(3.0)	-6.6%
Public Safety	(1.3)	5.9	0.5	2.2	0.6	8.4							16.3	17.0	(0.7)	-4.1%
Public Welfare	-	15.8	-	35.0	6.0	15.7							72.5	54.9	17.6	32.1%
Support and Regulate Business	2.7	8.3	176.8	46.0	167.0	40.2							441.0	391.3	49.7	12.7%
Transportation	5.8	3.2	51.2	12.6	13.5	117.1							203.4	164.3	39.1	23.8%
<b>Total Local Assistance Grants</b>	<b>71.5</b>	<b>60.3</b>	<b>261.2</b>	<b>142.3</b>	<b>226.7</b>	<b>232.6</b>	-	-	-	-	-	-	<b>994.6</b>	<b>775.8</b>	<b>218.8</b>	<b>28.2%</b>
Departmental Operations:																
Personal Service	-	-	-	-	-	-							-	-	-	0.0%
Non-Personal Service	-	-	-	-	-	-							-	-	-	0.0%
General State Charges	-	-	-	-	-	-							-	-	-	0.0%
Capital Projects	257.6	376.4	515.4	344.3	409.9	491.4							2,395.0	2,324.2	70.8	3.0%
<b>Total Disbursements</b>	<b>329.1</b>	<b>436.7</b>	<b>776.6</b>	<b>486.6</b>	<b>636.6</b>	<b>724.0</b>	-	-	-	-	-	-	<b>3,389.6</b>	<b>3,100.0</b>	<b>289.6</b>	<b>9.3%</b>
<b>Excess (Deficiency) of Receipts over Disbursements</b>	<b>(152.2)</b>	<b>(154.3)</b>	<b>17.0</b>	<b>(270.0)</b>	<b>(434.7)</b>	<b>73.9</b>	-	-	-	-	-	-	<b>(920.3)</b>	<b>(707.6)</b>	<b>(212.7)</b>	<b>-30.1%</b>
<b>OTHER FINANCING SOURCES (USES):</b>																
Bond Proceeds (net)	-	-	-	-	-	-							-	-	-	0.0%
Transfers from Other Funds	162.2	183.8	138.4	362.5	352.8	29.9							1,229.6	957.4	272.2	28.4%
Transfers to Other Funds	(25.5)	(29.0)	(27.9)	(29.3)	(30.9)	(266.2)							(408.8)	(593.6)	(184.8)	-31.1%
<b>Total Other Financing Sources (Uses)</b>	<b>136.7</b>	<b>154.8</b>	<b>110.5</b>	<b>333.2</b>	<b>321.9</b>	<b>(236.3)</b>	-	-	-	-	-	-	<b>820.8</b>	<b>363.8</b>	<b>457.0</b>	<b>125.6%</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>(15.5)</b>	<b>0.5</b>	<b>127.5</b>	<b>63.2</b>	<b>(112.8)</b>	<b>(162.4)</b>	-	-	-	-	-	-	<b>(99.5)</b>	<b>(343.8)</b>	<b>244.3</b>	<b>71.1%</b>
<b>Ending Fund Balance</b>	<b>\$ (347.0)</b>	<b>\$ (346.5)</b>	<b>\$ (219.0)</b>	<b>\$ (155.8)</b>	<b>\$ (268.6)</b>	<b>\$ (431.0)</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ (431.0)</b>	<b>\$ (686.2)</b>	<b>\$ 255.2</b>	<b>37.2%</b>

STATE OF NEW YORK  
 CAPITAL PROJECTS FUNDS - FEDERAL  
 STATEMENT OF RECEIPTS AND DISBURSEMENTS  
 FISCAL YEAR 2016-2017  
 (Amounts in millions)

EXHIBIT I

													6 Months Ended September 30			
	2016 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2017 JANUARY	FEBRUARY	MARCH	2016	2015	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ (559.3)	\$ (510.0)	\$ (500.3)	\$ (433.0)	\$ (472.5)	\$ (458.7)							\$ (559.3)	\$ (382.0)	\$ (177.3)	-46.4%
<b>RECEIPTS:</b>																
Miscellaneous Receipts:																
Abandoned Property:																
Bottle Bill	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Assessments:																
Business	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Fees, Licenses and Permits:																
Business/Professional	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Civil	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Motor Vehicle	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Recreational/Consumer	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Fines, Penalties and Forfeitures	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Interest Earnings	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Receipts from Public Authorities:																
Bond Proceeds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Issuance Fees	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Non Bond Related	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Receipts from Municipalities	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Rentals	0.1	-	-	0.1	0.1	-	-	-	-	-	-	-	0.3	0.3	-	0.0%
Revenues of State Departments:																
Administrative Recoveries	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Gifts, Grants and Donations	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Indirect Cost Recoveries	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Restitution and Settlements	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
All Other	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Sales	0.9	-	0.1	-	-	-	-	-	-	-	-	-	1.0	0.2	0.8	400.0%
<b>Total Miscellaneous Receipts</b>	<b>1.0</b>	<b>-</b>	<b>0.1</b>	<b>0.1</b>	<b>0.1</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>1.3</b>	<b>0.5</b>	<b>0.8</b>	<b>160.0%</b>
Federal Receipts	126.2	156.4	227.3	153.4	229.5	359.9	-	-	-	-	-	-	1,252.7	1,085.2	167.5	15.4%
<b>Total Receipts</b>	<b>127.2</b>	<b>156.4</b>	<b>227.4</b>	<b>153.5</b>	<b>229.6</b>	<b>359.9</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>1,254.0</b>	<b>1,085.7</b>	<b>168.3</b>	<b>15.5%</b>
<b>DISBURSEMENTS:</b>																
Local Assistance Grants:																
Education	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Environment and Recreation	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
General Government	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Public Health:																
Medicaid	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Other Public Health	-	-	-	-	-	3.9	-	-	3.9	-	-	-	3.9	-	3.9	100.0%
Public Safety	-	-	-	-	-	2.0	-	-	2.0	-	-	-	2.0	-	2.0	100.0%
Public Welfare	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Support and Regulate Business	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transportation	22.0	37.2	27.7	64.7	64.5	114.9	-	-	-	-	-	-	331.0	214.5	116.5	54.3%
<b>Total Local Assistance Grants</b>	<b>22.0</b>	<b>37.2</b>	<b>27.7</b>	<b>64.7</b>	<b>64.5</b>	<b>120.8</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>336.9</b>	<b>214.5</b>	<b>122.4</b>	<b>57.1%</b>
Departmental Operations:																
Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Non-Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
General State Charges	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Capital Projects	55.9	109.5	128.2	126.4	151.3	197.7	-	-	-	-	-	-	769.0	804.2	(35.2)	-4.4%
<b>Total Disbursements</b>	<b>77.9</b>	<b>146.7</b>	<b>155.9</b>	<b>191.1</b>	<b>215.8</b>	<b>318.5</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>1,105.9</b>	<b>1,018.7</b>	<b>87.2</b>	<b>8.6%</b>
Excess (Deficiency) of Receipts over Disbursements	49.3	9.7	71.5	(37.6)	13.8	41.4	-	-	-	-	-	-	148.1	67.0	81.1	121.0%
<b>OTHER FINANCING SOURCES (USES):</b>																
Transfers from Other Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transfers to Other Funds	-	-	(4.2)	(1.9)	-	(30.5)	-	-	-	-	-	-	(36.6)	(2.7)	33.9	1,255.6%
<b>Total Other Financing Sources (Uses)</b>	<b>-</b>	<b>-</b>	<b>(4.2)</b>	<b>(1.9)</b>	<b>-</b>	<b>(30.5)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>(36.6)</b>	<b>(2.7)</b>	<b>33.9</b>	<b>1,255.6%</b>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	49.3	9.7	67.3	(39.5)	13.8	10.9	-	-	-	-	-	-	111.5	64.3	47.2	73.4%
Ending Fund Balance	\$ (510.0)	\$ (500.3)	\$ (433.0)	\$ (472.5)	\$ (458.7)	\$ (447.8)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (447.8)	\$ (317.7)	\$ (130.1)	-41.0%

STATE OF NEW YORK  
ENTERPRISE FUNDS  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2016-17  
(Amounts in millions)

EXHIBIT J

													6 Months Ended September 30			
	2016 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2017 JANUARY	FEBRUARY	MARCH	2016	2015	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ 66.1	\$ 23.0	\$ 23.4	\$ 24.6	\$ 22.4	\$ 24.3							\$ 66.1	\$ 50.6	\$ 15.5	30.6%
<b>RECEIPTS:</b>																
Miscellaneous Receipts	4.1	4.5	5.9	4.5	5.1	8.6							32.7	36.5	(3.8)	-10.4%
Federal Receipts	1.4	1.3	1.5	1.3	1.6	1.5							8.6	15.4	(6.8)	-44.2%
Unemployment Taxes	132.0	184.1	159.6	167.8	208.0	150.9							1,002.4	1,100.9	(98.5)	-8.9%
<b>Total Receipts</b>	<b>137.5</b>	<b>189.9</b>	<b>167.0</b>	<b>173.6</b>	<b>214.7</b>	<b>161.0</b>	-	-	-	-	-	-	<b>1,043.7</b>	<b>1,152.8</b>	<b>(109.1)</b>	<b>-9.5%</b>
<b>DISBURSEMENTS:</b>																
Departmental Operations:																
Personal Service	0.4	0.1	0.4	0.2	0.5	0.1							1.7	2.3	(0.6)	-26.1%
Non-Personal Service	2.4	4.1	4.1	6.6	2.6	5.9							25.7	46.8	(21.1)	-45.1%
General State Charges	0.2	0.1	-	-	-	0.1							0.4	0.3	0.1	33.3%
Unemployment Benefits	177.6	185.2	161.3	169.0	209.7	152.1							1,054.9	1,096.6	(41.7)	-3.8%
<b>Total Disbursements</b>	<b>180.6</b>	<b>189.5</b>	<b>165.8</b>	<b>175.8</b>	<b>212.8</b>	<b>158.2</b>	-	-	-	-	-	-	<b>1,082.7</b>	<b>1,146.0</b>	<b>(63.3)</b>	<b>-5.5%</b>
<b>Excess (Deficiency) of Receipts over Disbursements</b>	<b>(43.1)</b>	<b>0.4</b>	<b>1.2</b>	<b>(2.2)</b>	<b>1.9</b>	<b>2.8</b>	-	-	-	-	-	-	<b>(39.0)</b>	<b>6.8</b>	<b>(45.8)</b>	<b>-673.5%</b>
<b>OTHER FINANCING SOURCES (USES):</b>																
Transfers from Other Funds	-	-	-	-	-	-							-	-	-	0.0%
Transfers to Other Funds	-	-	-	-	-	-							-	-	-	0.0%
<b>Total Other Financing Sources (Uses)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	-	-	-	-	-	-	<b>-</b>	<b>-</b>	<b>-</b>	<b>0.0%</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>	<b>(43.1)</b>	<b>0.4</b>	<b>1.2</b>	<b>(2.2)</b>	<b>1.9</b>	<b>2.8</b>	-	-	-	-	-	-	<b>(39.0)</b>	<b>6.8</b>	<b>(45.8)</b>	<b>-673.5%</b>
Ending Fund Balance	\$ 23.0	\$ 23.4	\$ 24.6	\$ 22.4	\$ 24.3	\$ 27.1	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 27.1	\$ 57.4	\$ (30.3)	-52.8%

STATE OF NEW YORK  
INTERNAL SERVICE FUNDS  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2016-17  
(Amounts in millions)

EXHIBIT K

	2016						2017						6 Months Ended September 30			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2016	2015	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ (127.2)	\$ (191.3)	\$ (213.4)	\$ (219.3)	\$ (213.4)	\$ (235.5)							\$ (127.2)	\$ (196.7)	\$ 69.5	35.3%
<b>RECEIPTS:</b>																
Miscellaneous Receipts	17.5	30.1	43.1	32.9	35.1	29.5							188.2	258.2	(70.0)	-27.1%
<b>Total Receipts</b>	<b>17.5</b>	<b>30.1</b>	<b>43.1</b>	<b>32.9</b>	<b>35.1</b>	<b>29.5</b>	-	-	-	-	-	-	<b>188.2</b>	<b>258.2</b>	<b>(70.0)</b>	<b>-27.1%</b>
<b>DISBURSEMENTS:</b>																
Departmental Operations:																
Personal Service	7.4	7.2	10.4	6.9	8.7	7.7							48.3	43.7	4.6	10.5%
Non-Personal Service	79.5	41.1	36.1	20.9	47.5	39.0							264.1	235.7	28.4	12.0%
General State Charges	-	8.2	4.1	-	6.0	2.9							21.2	19.9	1.3	6.5%
<b>Total Disbursements</b>	<b>86.9</b>	<b>56.5</b>	<b>50.6</b>	<b>27.8</b>	<b>62.2</b>	<b>49.6</b>	-	-	-	-	-	-	<b>333.6</b>	<b>299.3</b>	<b>34.3</b>	<b>11.5%</b>
<b>Excess (Deficiency) of Receipts over Disbursements</b>	<b>(69.4)</b>	<b>(26.4)</b>	<b>(7.5)</b>	<b>5.1</b>	<b>(27.1)</b>	<b>(20.1)</b>	-	-	-	-	-	-	<b>(145.4)</b>	<b>(41.1)</b>	<b>(104.3)</b>	<b>-253.8%</b>
<b>OTHER FINANCING SOURCES (USES):</b>																
Transfers from Other Funds	5.3	4.3	1.8	0.8	5.0	4.5							21.7	30.8	(9.1)	-29.5%
Transfers to Other Funds	-	-	(0.2)	-	-	(7.5)							(7.7)	(7.9)	0.2	2.5%
<b>Total Other Financing Sources (Uses)</b>	<b>5.3</b>	<b>4.3</b>	<b>1.6</b>	<b>0.8</b>	<b>5.0</b>	<b>(3.0)</b>	-	-	-	-	-	-	<b>14.0</b>	<b>22.9</b>	<b>(9.3)</b>	<b>-40.6%</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>	<b>(64.1)</b>	<b>(22.1)</b>	<b>(5.9)</b>	<b>5.9</b>	<b>(22.1)</b>	<b>(23.1)</b>	-	-	-	-	-	-	<b>(131.4)</b>	<b>(18.2)</b>	<b>(113.6)</b>	<b>-624.2%</b>
Ending Fund Balance	\$ (191.3)	\$ (213.4)	\$ (219.3)	\$ (213.4)	\$ (235.5)	\$ (258.6)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (258.6)	\$ (214.9)	\$ (43.7)	-20.3%

STATE OF NEW YORK  
PENSION TRUST FUNDS  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2016-17  
(Amounts in millions)

EXHIBIT L

	2016						2017						6 Months Ended September 30			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2016	2015	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ 0.1	\$ 1.8	\$ 1.0	\$ (2.1)	\$ (3.3)	\$ (14.1)							\$ 0.1	\$ (16.9)	\$ 17.0	100.6%
<b>RECEIPTS:</b>																
Miscellaneous Receipts	7.2	4.5	6.9	4.6	5.3	4.8							33.3	58.0	(24.7)	-42.6%
<b>Total Receipts</b>	<b>7.2</b>	<b>4.5</b>	<b>6.9</b>	<b>4.6</b>	<b>5.3</b>	<b>4.8</b>	-	-	-	-	-	-	<b>33.3</b>	<b>58.0</b>	<b>(24.7)</b>	<b>-42.6%</b>
<b>DISBURSEMENTS:</b>																
Departmental Operations:																
Personal Service	4.8	4.6	6.9	4.5	5.2	4.7							30.7	31.2	(0.5)	-1.6%
Non-Personal Service	0.7	0.7	1.1	1.3	1.4	0.9							6.1	5.3	0.8	15.1%
General State Charges	-	-	2.0	-	9.5	-							11.5	14.4	(2.9)	-20.1%
<b>Total Disbursements</b>	<b>5.5</b>	<b>5.3</b>	<b>10.0</b>	<b>5.8</b>	<b>16.1</b>	<b>5.6</b>	-	-	-	-	-	-	<b>48.3</b>	<b>50.9</b>	<b>(2.6)</b>	<b>-5.1%</b>
<b>Excess (Deficiency) of Receipts over Disbursements</b>	<b>1.7</b>	<b>(0.8)</b>	<b>(3.1)</b>	<b>(1.2)</b>	<b>(10.8)</b>	<b>(0.8)</b>	-	-	-	-	-	-	<b>(15.0)</b>	<b>7.1</b>	<b>(22.1)</b>	<b>-311.3%</b>
<b>OTHER FINANCING SOURCES (USES):</b>																
Transfers from Other Funds	-	-	-	-	-	-							-	-	-	0.0%
Transfers to Other Funds	-	-	-	-	-	-							-	-	-	0.0%
<b>Total Other Financing Sources (Uses)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	-	-	-	-	-	-	<b>-</b>	<b>-</b>	<b>-</b>	<b>0.0%</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>	<b>1.7</b>	<b>(0.8)</b>	<b>(3.1)</b>	<b>(1.2)</b>	<b>(10.8)</b>	<b>(0.8)</b>	-	-	-	-	-	-	<b>(15.0)</b>	<b>7.1</b>	<b>(22.1)</b>	<b>-311.3%</b>
Ending Fund Balance	\$ 1.8	\$ 1.0	\$ (2.1)	\$ (3.3)	\$ (14.1)	\$ (14.9)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (14.9)	\$ (9.8)	\$ (5.1)	-52.0%

STATE OF NEW YORK  
PRIVATE PURPOSE TRUST FUNDS  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2016-17  
(Amounts in millions)

EXHIBIT M

	2016												2017				6 Months Ended September 30			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2016	2015	\$ Increase/ (Decrease)	% Increase/ Decrease				
Beginning Fund Balance	\$ 11.6	\$ 10.0	\$ 11.7	\$ 10.2	\$ 10.2	\$ 10.2							\$ 11.6	\$ 11.5	\$ 0.1	0.9%				
<b>RECEIPTS:</b>																				
Miscellaneous Receipts	(1.5)	1.7	(1.5)	-	-	0.1							(1.2)	-	(1.2)	-100.0%				
<b>Total Receipts</b>	<b>(1.5)</b>	<b>1.7</b>	<b>(1.5)</b>	<b>-</b>	<b>-</b>	<b>0.1</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>(1.2)</b>	<b>-</b>	<b>(1.2)</b>	<b>-100.0%</b>				
<b>DISBURSEMENTS:</b>																				
Departmental Operations:																				
Personal Service	0.1	-	-	-	-	-							0.1	0.2	(0.1)	-50.0%				
Non-Personal Service	-	-	-	-	-	-							-	-	-	0.0%				
General State Charges	-	-	-	-	-	0.1							0.1	-	0.1	100.0%				
<b>Total Disbursements</b>	<b>0.1</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>0.1</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>0.2</b>	<b>0.2</b>	<b>-</b>	<b>0.0%</b>				
<b>Excess (Deficiency) of Receipts over Disbursements</b>	<b>(1.6)</b>	<b>1.7</b>	<b>(1.5)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>(1.4)</b>	<b>(0.2)</b>	<b>(1.2)</b>	<b>-600.0%</b>				
<b>OTHER FINANCING SOURCES (USES):</b>																				
Transfers from Other Funds	-	-	-	-	-	-							-	-	-	0.0%				
Transfers to Other Funds	-	-	-	-	-	-							-	-	-	0.0%				
<b>Total Other Financing Sources (Uses)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>0.0%</b>				
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>	<b>(1.6)</b>	<b>1.7</b>	<b>(1.5)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>(1.4)</b>	<b>(0.2)</b>	<b>(1.2)</b>	<b>-600.0%</b>				
Ending Fund Balance	\$ 10.0	\$ 11.7	\$ 10.2	\$ 10.2	\$ 10.2	\$ 10.2	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 10.2	\$ 11.3	\$ (1.1)	-9.7%				

**STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND  
CHANGES IN FUND BALANCES  
FOR THE MONTH OF SEPTEMBER 2016  
(Amounts in millions)**

**SCHEDULE 1**

	<u>BALANCE</u> <u>SEPTEMBER 1, 2016</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER FINANCING</u> <u>SOURCES (USES)</u>	<u>BALANCE</u> <u>SEPTEMBER 30, 2016</u>
<b><u>GENERAL FUND</u></b>					
10000-10049-Local Assistance Account	\$ -	\$ 0.046	\$ 3,653.508	\$ 3,653.462	\$ -
10050-10099-State Operations Account	6,169.736	5,070.500	237.517	(1,496.420)	9,506.299
10100-10149-Tax Stabilization Reserve	-	-	-	-	-
10150-10199-Contingency Reserve	-	-	-	-	-
10200-10249-Universal Pre-K Reserve	-	-	-	-	-
10250-10299-Community Projects	59.294	-	0.807	1.000	59.487
10300-10349-Rainy Day Reserve Fund	-	-	-	-	-
10400-10449-Refund Reserve Account	-	-	-	-	-
10500-10549-Fringe Benefits Escrow	-	511.572	511.572	-	-
10550-10599-Tobacco Revenue Guarantee	-	-	-	-	-
<b>TOTAL GENERAL FUND</b>	<b>6,229.030</b>	<b>5,582.118</b>	<b>4,403.404</b>	<b>2,158.042</b>	<b>9,565.786</b>
<b><u>SPECIAL REVENUE FUNDS-STATE</u></b>					
20000-20099-Mental Health Gifts and Donations	2.210	0.006	0.007	-	2.209
20100-20299-Combined Expendable Trust	65.201	0.806	2.020	-	63.987
20300-20349-New York Interest on Lawyer Account	42.383	1.192	1.432	-	42.143
20350-20399-NYS Archives Partnership Trust	0.239	0.001	0.027	-	0.213
20400-20449-Child Performer's Protection	0.245	0.015	0.051	-	0.209
20450-20499-Tuition Reimbursement	5.931	0.458	0.074	-	6.315
20500-20549-New York State Local Government Records Management Improvement	3.317	0.836	0.918	-	3.235
20550-20599-School Tax Relief	4.893	108.447	108.234	-	5.106
20600-20649-Charter Schools Stimulus	3.290	0.001	1.578	-	1.713
20650-20699-Not-For-Profit Short Term Revolving Loan	-	-	-	-	-
20800-20849-HCRA Resources	158.509	480.517	407.648	(17.651)	213.727
20850-20899-Dedicated Mass Transportation Trust	59.407	54.446	49.724	15.665	79.794
20900-20949-State Lottery	1,337.237	249.437	2,165.181	-	(578.507)
20950-20999-Combined Student Loan	18.326	1.377	7.068	-	12.635
21000-21049-Sewage Treatment Program Mgmt. & Administration	(4.197)	-	(0.482)	-	(3.715)
21050-21149-Encon Special Revenue	(24.818)	12.206	5.111	2.542	(15.181)
21150-21199-Conservation	66.326	16.095	3.159	-	79.262
21200-21249-Environmental Protection and Oil Spill Compensation	33.187	33.731	2.202	-	34.716
21250-21299-Training and Education Program on OSHA	10.406	7.101	7.384	-	10.123
21300-21349-Lawyers' Fund for Client Protection	2.937	1.092	0.061	-	3.968
21350-21399-Equipment Loan for the Disabled	0.515	0.003	-	-	0.518
21400-21449-Mass Transportation Operating Assistance	30.739	311.087	148.183	5.016	198.659
21450-21499-Clean Air	(19.859)	3.944	3.515	-	(19.430)
21500-21549-New York State Infrastructure Trust	0.067	-	-	-	0.067
21550-21599-Legislative Computer Services	11.015	0.061	0.076	-	11.000
21600-21649-Biodiversity Stewardship and Research	-	-	-	-	-
21650-21699-Combined Non-Expendable Trust	0.457	-	-	-	0.457
21700-21749-Winter Sports Education Trust	-	-	-	-	-
21750-21799-Musical Instrument Revolving	0.001	-	-	-	0.001
21850-21899-Arts Capital Revolving	0.837	0.002	-	-	0.839
21900-22499-Miscellaneous State Special Revenue	2,061.579	393.843	1,112.398	182.352	1,525.376

**STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND  
CHANGES IN FUND BALANCES  
FOR THE MONTH OF SEPTEMBER 2016  
(Amounts in millions)**

**SCHEDULE 1**

	<b>BALANCE SEPTEMBER 1, 2016</b>	<b>RECEIPTS</b>	<b>DISBURSEMENTS</b>	<b>OTHER FINANCING SOURCES (USES)</b>	<b>BALANCE SEPTEMBER 30, 2016</b>
<b><u>SPECIAL REVENUE FUNDS-STATE (CONTINUED)</u></b>					
22500-22549-Court Facilities Incentive Aid	16.660	0.010	3.641	-	13.029
22550-22599-Employment Training	0.050	-	-	-	0.050
22650-22699-State University Income	1,231.659	695.391	497.991	15.256	1,444.315
22700-22749-Chemical Dependence Service	42.510	0.549	-	-	43.059
22750-22799-Lake George Park Trust	0.444	0.001	0.078	-	0.367
22800-22849-State Police Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention	70.792	9.951	0.305	-	80.438
22850-22899-New York Great Lakes Protection	0.459	-	(0.041)	-	0.500
22900-22949-Federal Revenue Maximization	0.023	-	-	-	0.023
22950-22999-Housing Development	9.565	0.007	-	-	9.572
23000-23049-NYS/DOT Highway Safety Program	(8.535)	(0.002)	0.234	-	(8.771)
23050-23099-Vocational Rehabilitation	0.137	0.012	-	-	0.149
23100-23149-Drinking Water Program Management and Administration	(5.984)	-	-	-	(5.984)
23150-23199-NYC County Clerks' Operations Offset	(36.554)	-	2.391	-	(38.945)
23200-23249-Judiciary Data Processing Offset	4.069	5.231	1.683	-	7.617
23250-23449-IFR/CUTRA	163.837	10.728	13.729	-	160.836
23500-23549-USOC Lake Placid Training	0.076	0.002	-	-	0.078
23550-23599-Indigent Legal Services	179.840	11.974	3.115	-	188.699
23600-23649-Unemployment Insurance Interest and Penalty	25.149	1.003	0.501	(4.164)	21.487
23650-23699-MTA Financial Assistance Fund	185.024	127.499	231.500	0.785	81.808
23700-23749-New York State Commercial Gaming Fund	117.420	0.754	0.048	-	118.126
23750-23799-Medical Marihuana Trust Fund	1.297	0.048	0.457	-	0.888
23800-23899-Dedicated Miscellaneous State Special Revenue	-	-	-	-	-
40350-40399-State University Dormitory Income	185.999	2.320	-	(23.712)	164.607
<b>TOTAL SPECIAL REVENUE FUNDS-STATE</b>	<b>6,054.317</b>	<b>2,512.182</b>	<b>4,781.201</b>	<b>176.089</b>	<b>3,961.387</b>
<b><u>SPECIAL REVENUE FUNDS-FEDERAL</u></b>					
25000-25099-Federal USDA/Food and Consumer Services	16.074	117.080	129.403	(9.982)	(6.231)
25100-25199-Federal Health and Human Services	(379.340)	4,527.712	3,451.182	(150.635)	546.555
25200-25249-Federal Education	(15.814)	212.332	214.015	(1.407)	(18.904)
25300-25899-Federal Miscellaneous Operating Grants	(196.887)	112.731	124.419	(2.479)	(211.054)
25900-25949-Unemployment Insurance Administration	99.463	34.367	39.530	-	94.300
25950-25999-Unemployment Insurance Occupational Training	1.561	0.600	0.556	-	1.605
26000-26049-Federal Employment and Training Grants	(1.653)	23.757	23.967	-	(1.863)
<b>TOTAL SPECIAL REVENUE FUNDS-FEDERAL</b>	<b>(476.596)</b>	<b>5,028.579</b>	<b>3,983.072</b>	<b>(164.503)</b>	<b>404.408</b>
<b>TOTAL SPECIAL REVENUE FUNDS</b>	<b>5,577.721</b>	<b>7,540.761</b>	<b>8,764.273</b>	<b>11.586</b>	<b>4,365.795</b>
<b><u>DEBT SERVICE FUNDS</u></b>					
40000-40049-Debt Reduction Reserve	-	-	-	-	-
40100-40149-Mental Health Services	66.070	28.482	-	41.279	135.831
40150-40199-General Debt Service	959.756	1,502.368	750.905	(1,518.817)	192.402
40250-40299-State Housing Debt Service	-	1.091	3.689	2.598	-
40300-40349-Department of Health Income	32.151	12.454	-	(11.057)	33.548
40400-40449-Clean Water/Clean Air	8.551	88.824	-	(87.044)	10.331
40450-40499-Local Government Assistance Tax	42.117	326.284	38.768	(327.288)	2.345
<b>TOTAL DEBT SERVICE FUNDS</b>	<b>1,108.645</b>	<b>1,959.503</b>	<b>793.362</b>	<b>(1,900.329)</b>	<b>374.457</b>



**STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND  
CHANGES IN FUND BALANCES  
FOR THE MONTH OF SEPTEMBER 2016  
(Amounts in millions)**

**SCHEDULE 1**

	<u>BALANCE</u> <u>SEPTEMBER 1, 2016</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER FINANCING</u> <u>SOURCES (USES)</u>	<u>BALANCE</u> <u>SEPTEMBER 30, 2016</u>
<b><u>CAPITAL PROJECTS FUNDS</u></b>					
30000-30049-State Capital Projects	-	334.082	311.159	(22.923)	-
30050-30099-Dedicated Highway and Bridge Trust	142.616	206.362	247.516	(218.836)	(117.374)
30100-30299-SUNY Residence Halls Rehabilitation and Repair	134.958	(0.014)	5.324	3.145	132.765
30300-30349-New York State Canal System Development	5.032	0.078	-	-	5.110
30350-30399-Parks Infrastructure	(137.729)	114.683	7.035	-	(30.081)
30400-30449-Passenger Facility Charge	0.014	-	-	-	0.014
30450-30499-Environmental Protection	101.817	13.769	10.611	-	104.975
30500-30549-Clean Water/Clean Air Implementation	-	-	-	-	-
30600-30609-Energy Conservation Thru Improved Transportation Bond	0.164	-	-	-	0.164
30610-30619-Park and Recreation Land Acquisition Bond	-	-	-	-	-
30620-30629-Pure Waters Bond	0.668	-	-	-	0.668
30630-30639-Transportation Capital Facilities Bond	3.328	-	-	-	3.328
30640-30649-Environmental Quality Protection Bond	1.451	-	-	-	1.451
30650-30659-Rebuild and Renew New York Transportation Bond	23.839	-	-	(0.549)	23.290
30660-30669-Transportation Infrastructure Renewal Bond	4.255	-	-	-	4.255
30670-30679-1986 Environmental Quality Bond Act	5.576	-	-	-	5.576
30680-30689-Accelerated Capacity and Transportation Improvement Bond	2.778	-	-	-	2.778
30690-30699-Clean Water/Clean Air Bond	5.013	-	-	(0.444)	4.569
30700-30709-State Housing Bond	-	-	-	-	-
30710-30719-Smart Schools Bond	-	-	-	-	-
30750-30799-Outdoor Recreation Development Bond	-	-	-	-	-
30900-30949-Rail Preservation and Development Bond	-	-	-	-	-
31350-31449-Federal Capital Projects	(458.669)	359.928	318.611	(30.403)	(447.755)
31450-31499-Forest Preserve Expansion	0.902	0.001	-	-	0.903
31500-31549-Hazardous Waste Remedial	(162.023)	72.447	9.073	(0.509)	(99.158)
31650-31699-Suburban Transportation	0.508	0.001	-	-	0.509
31700-31749-Division for Youth Facilities Improvement	(11.271)	-	2.661	-	(13.932)
31800-31849-Housing Assistance	(13.631)	-	-	-	(13.631)
31850-31899-Housing Program	(197.588)	11.392	15.750	-	(201.946)
31900-31949-Natural Resource Damage	15.582	0.024	0.045	-	15.561
31950-31999-DOT Engineering Services	(12.661)	-	-	0.033	(12.628)
32200-32249-Miscellaneous Capital Projects	50.029	2.693	(0.311)	-	53.033
32250-32299-CUNY Capital Projects	(0.024)	-	-	-	(0.024)
32300-32349-Mental Hygiene Facilities Capital Improvement	(448.742)	39.532	12.137	0.200	(421.147)
32350-32399-Correction Facilities Capital Improvement	(99.788)	-	19.969	-	(119.757)
32400-32999-State University Capital Projects	171.532	2.870	5.598	3.581	172.385
33000-33049-NYS Storm Recovery Fund	(53.752)	-	(1.517)	-	(52.235)
33050-33099-Dedicated Infrastructure Investment Fund	198.472	-	78.889	-	119.583
<b>TOTAL CAPITAL PROJECTS FUNDS</b>	<b>(727.344)</b>	<b>1,157.848</b>	<b>1,042.550</b>	<b>(266.705)</b>	<b>(878.751)</b>
<b>TOTAL GOVERNMENTAL FUNDS</b>	<b>\$ 12,188.052</b>	<b>\$ 16,240.230</b>	<b>\$ 15,003.589</b>	<b>\$ 2.594</b>	<b>\$ 13,427.287</b>

STATE OF NEW YORK  
 PROPRIETARY FUNDS  
 SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND  
 CHANGES IN FUND BALANCES  
 FOR THE MONTH OF SEPTEMBER 2016  
 (Amounts in millions)

SCHEDULE 2

<u>FUND TYPE</u>	<u>BALANCE SEPTEMBER 1, 2016</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER FINANCING SOURCES (USES)</u>	<u>BALANCE SEPTEMBER 30, 2016</u>
<b><u>ENTERPRISE FUNDS</u></b>					
50000-50049-Youth Commissary	\$ 0.153	\$ 0.001	\$ 0.002	\$ -	\$ 0.152
50050-50099-State Exposition Special	2.294	5.022	2.612	-	4.704
50100-50299-Correctional Services Commissary	3.126	3.016	3.036	-	3.106
50300-50399-Agencies Enterprise	3.335	0.448	0.232	-	3.551
50400-50449-Sheltered Workshop	2.128	0.035	0.038	-	2.125
50450-50499-Patient Workshop	1.585	0.001	0.095	-	1.491
50500-50599-Mental Hygiene Community Stores	4.274	0.091	0.118	-	4.247
50650-50699-Unemployment Insurance Benefit	7.393	152.426	152.081	-	7.738
<b>TOTAL ENTERPRISE FUNDS</b>	<b>24.288</b>	<b>161.040</b>	<b>158.214</b>	<b>-</b>	<b>27.114</b>
<b><u>INTERNAL SERVICE FUNDS</u></b>					
55000-55049-Centralized Services	(49.556)	20.023	29.648	(7.041)	(66.222)
55050-55099-Agency Internal Service	(135.634)	6.441	10.680	4.047	(135.826)
55100-55149-Mental Hygiene Revolving	0.539	0.083	0.176	-	0.446
55150-55199-Youth Vocational Education	0.056	-	-	-	0.056
55200-55249-Joint Labor and Management Administration	0.965	-	0.036	-	0.929
55250-55299-Audit and Control Revolving	(9.997)	-	1.180	-	(11.177)
55300-55349-Health Insurance Revolving	(18.746)	0.050	0.808	-	(19.504)
55350-55399-Correctional Industries Revolving	(23.146)	2.886	7.073	-	(27.333)
<b>TOTAL INTERNAL SERVICE FUNDS</b>	<b>(235.519)</b>	<b>29.483</b>	<b>49.601</b>	<b>(2.994)</b>	<b>(258.631)</b>
<b>TOTAL PROPRIETARY FUNDS</b>	<b>\$ (211.231)</b>	<b>\$ 190.523</b>	<b>\$ 207.815</b>	<b>\$ (2.994)</b>	<b>\$ (231.517)</b>

STATE OF NEW YORK  
 FIDUCIARY FUNDS  
 SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES  
 FOR THE MONTH OF SEPTEMBER 2016  
 (Amounts in millions)

SCHEDULE 3

<u>FUND TYPE</u>	<u>BALANCE SEPTEMBER 1, 2016</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER FINANCING SOURCES (USES)</u>	<u>BALANCE SEPTEMBER 30, 2016</u>
<b><u>PENSION TRUST FUNDS</u></b>					
65000-65049-Common Retirement Administration	\$ (14.059)	\$ 4.746	\$ 5.578	\$ -	\$ (14.891)
<b>TOTAL PENSION TRUST FUNDS</b>	<b>(14.059)</b>	<b>4.746</b>	<b>5.578</b>	<b>-</b>	<b>(14.891)</b>
<b><u>PRIVATE PURPOSE TRUST FUNDS</u></b>					
66000-66049-Agriculture Producers' Security	2.480	0.001	0.017	-	2.464
66050-66099-Milk Producers' Security	7.735	0.056	0.027	-	7.764
<b>TOTAL PRIVATE PURPOSE TRUST FUNDS</b>	<b>10.215</b>	<b>0.057</b>	<b>0.044</b>	<b>-</b>	<b>10.228</b>
<b><u>AGENCY FUNDS</u></b>					
60050-60149-School Capital Facilities Financing Reserve	20.717	0.463	-	-	21.180
60150-60199-Child Performer's Holding	0.454	0.006	0.009	-	0.451
60200-60249-Employees Health Insurance	699.068	876.576	768.277	-	807.367
60250-60299-Social Security Contribution	14.953	106.313	106.272	-	14.994
60300-60399-Employee Payroll Withholding	10.953	422.901	430.672	-	3.182
60400-60449-Employees Dental Insurance	9.978	6.087	6.797	-	9.268
60450-60499-Management Confidential Group Insurance	0.493	0.872	0.906	-	0.459
60500-60549-Lottery Prize	424.132	71.030	70.159	-	425.003
60550-60599-Health Insurance Reserve Receipts	0.121	-	-	-	0.121
60600-60799-Miscellaneous New York State Agency	1,522.026	175.383	181.393	-	1,516.016
60800-60849-Elderly Pharmaceutical Insurance Coverage (EPIC) Escrow	19.477	14.913	10.178	-	24.212
60850-60899-CUNY Senior College Operating	169.469	165.000	288.599	-	45.870
60900-60949-Medicaid Management Information System (MMIS) Escrow	964.270	4,949.066	5,657.149	-	256.187
60950-60999-Special Education	-	-	-	-	-
61000-61099-State University of New York Revenue Collection	421.156	(153.199)	-	0.400	268.357
61100-61999-State University Federal Direct Lending Program	(9.059)	273.331	270.614	-	(6.342)
62000-62049-SSI SSP Payment Escrow	-	-	-	-	-
<b>TOTAL AGENCY FUNDS</b>	<b>4,268.208</b>	<b>6,908.742</b>	<b>7,791.025</b>	<b>0.400</b>	<b>3,386.325</b>
<b>TOTAL FIDUCIARY FUNDS</b>	<b>\$ 4,264.364</b>	<b>\$ 6,913.545</b>	<b>\$ 7,796.647</b>	<b>\$ 0.400</b>	<b>\$ 3,381.662</b>

STATE OF NEW YORK  
SOLE CUSTODY AND INVESTMENT ACCOUNTS  
STATEMENT OF CASH RECEIPTS AND DISBURSEMENTS  
FOR THE MONTH OF SEPTEMBER 2016  
(Amounts in millions)

SCHEDULE 4

<u>FUND TYPE</u>	<u>BALANCE SEPTEMBER 1, 2016</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>BALANCE SEPTEMBER 30, 2016</u>
<b><u>ACCOUNTS</u></b>				
70000-70049-Tobacco Settlement	\$ 2.721	\$ 0.001	\$ -	\$ 2.722
70050-70149-Sole Custody Investment (*)	1,694.303	8,498.852	7,988.343	2,204.812
70200-Comptroller's Refund	-	93.282	93.282	-
<b>TOTAL ACCOUNTS</b>	<b>\$ 1,697.024</b>	<b>\$ 8,592.135</b>	<b>\$ 8,081.625</b>	<b>\$ 2,207.534</b>

**(\*) Includes Public Asset Fund resources**

Chapter 1 of the Laws of 2002 authorized the conversion of Empire Health Choice, d/b/a Empire Blue Cross and Blue Shield from a not-for-profit corporation to a for-profit corporation. Chapter 1 requires, in part, that upon such conversion, assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to a fund designated as the "Public Asset Fund" and 5 percent transferred to a Charitable Foundation - as set forth in Section 7317 of the Insurance Law. On December 28, 2005, WellChoice, Inc. (previously known as Empire Blue Cross, Blue Shield) approved a takeover by WellPoint, Inc. This conversion was also subject to the same Chapter 1 requirements of assigning assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to the "Public Asset Fund".

As of September 30, 2016, \$8,993,622.74 (representing the remaining balance of the State's 95 percent share of the fair market value of the not-for-profit corporation plus interest) is on deposit in the sole custody account titled Public Asset Fund. In accordance with Section 4301(j)(4)(F) and (O) of the Insurance Law and at the direction of the Director of the Budget, these funds are available for transfer to HCRA Resources Fund (20800-20849).

STATE OF NEW YORK  
DEBT SERVICE FUNDS  
STATEMENT OF DIRECT STATE DEBT ACTIVITY  
FISCAL YEAR ENDED MARCH 31, 2017

SCHEDULE 5

PURPOSE	DEBT OUTSTANDING APR. 1, 2016	DEBT ISSUED		DEBT MATURED		DEBT OUTSTANDING SEPT. 30, 2016	INTEREST DISBURSED	
		MONTH OF SEPTEMBER	6 MONTHS ENDED SEPT. 30, 2016	MONTH OF SEPTEMBER	6 MONTHS ENDED SEPT. 30, 2016		MONTH OF SEPTEMBER	6 MONTHS ENDED SEPT. 30, 2016
<b>GENERAL OBLIGATION BONDED DEBT:</b>								
Accelerated Capacity and Transportation Improvements	\$ 106,955,627.05	\$ -	\$ -	\$ -	\$ 39,550,487.04	\$ 67,405,140.01	\$ 124,555.31	\$ 2,604,015.77
Clean Water/Clean Air:								
Air Quality	3,010,952.09	-	-	-	142,894.15	2,868,057.94	28,774.00	65,682.99
Safe Drinking Water	-	-	-	-	-	-	-	-
Water	402,544,044.61	-	-	-	11,662,595.73	390,881,448.88	2,885,740.17	7,734,423.87
Solid Waste	37,125,908.42	-	-	-	1,749,960.93	35,375,947.49	118,105.76	460,740.64
Environmental Restoration	79,264,390.55	-	-	-	150,000.00	79,114,390.55	843,146.90	1,638,298.50
Energy Conservation Through Improved Transportation:								
Rapid Transit and Rail Freight	2,574,158.76	-	-	175,000.00	641,630.73	1,932,528.03	9,629.45	56,622.41
Environmental Quality (1972):								
Air	494,853.29	-	-	-	160,000.00	334,853.29	-	12,265.14
Land and Wetlands	6,671,868.18	-	-	-	2,303,107.56	4,368,760.62	53,291.45	165,084.77
Water	29,701,680.75	-	-	630,000.00	7,787,062.80	21,914,617.95	210,453.54	602,792.17
Environmental Quality (1986):								
Land Acquisition/Development/Restoration/Forests	15,489,192.93	-	-	-	2,346,200.74	13,142,992.19	49,859.16	284,643.17
Solid Waste Management	179,135,421.94	-	-	-	6,579,393.52	172,556,028.42	1,072,408.11	3,840,834.12
Housing:								
Low Cost	16,120,000.00	-	-	-	1,820,000.00	14,300,000.00	-	255,600.00
Middle Income	13,975,000.00	-	-	3,455,000.00	3,455,000.00	10,520,000.00	233,745.00	233,745.00
Park and Recreation Land Acquisition	6,351.45	-	-	-	-	6,351.45	127.03	127.03
Pure Waters	31,246,366.40	-	-	465,000.00	4,845,555.71	26,400,810.69	204,756.06	659,242.04
Rail Preservation Development	297,438.64	-	-	-	297,438.64	-	-	7,867.25
Rebuild and Renew New York Transportation:								
Highway Facilities	802,029,290.37	-	-	-	-	802,029,290.37	9,990,486.14	17,907,368.51
Canals and Waterways	15,019,108.04	-	-	-	-	15,019,108.04	221,038.64	351,947.80
Aviation	48,703,092.50	-	-	-	-	48,703,092.50	513,873.55	1,049,387.84
Rail and Port	79,651,413.07	-	-	-	-	79,651,413.07	521,921.26	1,822,052.37
Mass Transit - Dept. of Transportation	6,268,331.08	-	-	-	-	6,268,331.08	18,146.97	141,704.35
Mass Transit - Metropolitan Transportation Authority	838,086,893.13	-	-	-	-	838,086,893.13	7,055,168.90	18,371,959.55
Rebuild New York-Transportation Infrastructure Renewal:								
Highways, Parkways, and Bridges	1,427,346.08	-	-	-	-	1,427,346.08	28,194.91	33,334.47
Rapid Transit, Rail and Aviation	5,775,985.38	-	-	-	1,304,038.11	4,471,947.27	15,450.00	130,652.34
Transportation Capital Facilities:								
Aviation	5,846,614.98	-	-	-	1,455,964.57	4,390,650.41	25,050.00	124,423.06
Mass Transportation	38,669.77	-	-	-	38,669.77	-	-	996.40
<b>Total General Obligation Bonded Debt</b>	<b>\$ 2,727,459,999.46</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 4,725,000.00</b>	<b>\$ 86,290,000.00</b>	<b>\$ 2,641,169,999.46</b>	<b>\$ 24,223,922.31</b>	<b>\$ 58,555,811.56</b>

STATE OF NEW YORK  
DEBT SERVICE FUNDS  
FINANCING AGREEMENTS  
FOR THE SIX MONTHS ENDED SEPTEMBER 30, 2016

SCHEDULE 5a

Special Contractual Financing Obligations:	DEBT	GENERAL	DEPARTMENT	LOCAL	MENTAL	REVENUE	SALES TAX	COMBINED TOTALS		\$ INCREASE/ (DECREASE)
	REDUCTION RESERVE (40000-40049)	DEBT SERVICE (40151)	OF HEALTH INCOME (40300-40349)	GOVERNMENT ASSISTANCE TAX (40450-40499)	HEALTH SERVICES (40100-40149)	BOND TAX (40152)	REVENUE BOND TAX (40154)	6 MONTHS ENDED SEPTEMBER 30		
								2016	2015	
<b>Payments to Public Authorities:</b>										
City University Construction	\$ -	\$ 123,767,943	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 123,767,943	\$ 166,518,520	\$ (42,750,577)
Dormitory Authority:										
Albany County Airport	-	-	-	-	-	-	-	-	-	-
Consolidated Service Contract Refunding	-	-	-	-	-	-	-	-	-	-
DASNY Revenue Bond	-	-	-	-	-	365,743,701	104,256,222	469,999,923	387,476,414	82,523,509
David Axelrod Institute	-	-	-	-	-	-	-	-	-	-
Department of Health Facilities	-	-	14,206,759	-	-	-	-	14,206,759	14,100,513	106,246
Economic Development Housing	-	-	-	-	-	-	-	-	-	-
Education	-	-	-	-	-	-	-	-	-	-
General Purpose	-	-	-	-	-	-	-	-	-	-
Health Care	-	-	-	-	-	-	-	-	-	-
Mental Health Facilities	-	-	-	-	69,156,250	-	-	69,156,250	71,839,317	(2,683,067)
OGS Parking	-	-	-	-	-	-	-	-	-	-
Sales Tax Revenue Bond	-	-	-	-	-	-	-	-	-	-
Secured Hospital Program	-	1,616,684	-	-	-	-	-	1,616,684	2,711,049	(1,094,365)
State Department of Education Facilities	-	-	-	-	-	-	-	-	-	-
State Facilities and Equipment	-	-	-	-	-	-	-	-	-	-
SUNY Community Colleges	-	-	-	-	-	-	-	-	-	-
SUNY Educational Facilities	-	-	-	-	-	-	-	-	-	-
Environmental Facilities Corporation	-	557,596	-	-	-	7,391,891	-	7,949,487	14,385,612	(6,436,125)
Housing Finance Agency	-	13,990,656	-	-	-	15,310,854	-	29,301,510	54,724,821	(25,423,311)
Local Government Assistance Corporation	-	-	-	38,352,901	-	-	-	38,352,901	45,915,369	(7,562,468)
Metropolitan Transportation Authority:										
Transit and Commuter Rail Projects	-	42,043,433	-	-	-	-	-	42,043,433	42,041,932	1,501
Thruway Authority:										
Dedicated Highway and Bridge	-	139,211,685	-	-	-	-	-	139,211,685	383,792,725	(244,581,040)
Local Highway and Bridge	-	64,908,450	-	-	-	-	-	64,908,450	63,661,450	1,247,000
Transportation	-	-	-	-	-	46,208,075	-	46,208,075	60,259,075	(14,051,000)
Urban Development Corporation:										
Center for Industrial Innovation at RPI	-	-	-	-	-	-	-	-	-	-
Clarkson University	-	-	-	-	-	-	-	-	-	-
Columbia Univer. Telecommunications Center	-	-	-	-	-	-	-	-	-	-
Community Enhancement Facilities Program	-	-	-	-	-	-	-	-	-	-
Consolidated Service Contract Refunding	-	-	-	-	-	-	-	-	-	-
Cornell Univer. Supercomputer Center	-	-	-	-	-	-	-	-	-	-
Correctional Facilities	-	-	-	-	-	-	-	-	-	-
Debt Reduction Reserve	-	-	-	-	-	-	-	-	-	-
Economic Development Housing	-	-	-	-	-	-	-	-	-	-
General Purpose	-	-	-	-	-	-	-	-	-	-
State Facilities and Equipment	-	-	-	-	-	-	-	-	-	-
Syracuse University Science and Technology Center	-	-	-	-	-	-	-	-	-	-
UDC Revenue Bond	-	-	-	-	-	271,586,217	-	271,586,217	207,413,913	64,172,304
University Facilities Grant 95 Refunding	-	-	-	-	-	-	-	-	-	-
<b>Total Disbursements for Special Contractual Financing Obligations</b>	<b>\$ -</b>	<b>\$ 386,096,447</b>	<b>\$ 14,206,759</b>	<b>\$ 38,352,901</b>	<b>\$ 69,156,250</b>	<b>\$ 706,240,738</b>	<b>\$ 104,256,222</b>	<b>\$ 1,318,309,317</b>	<b>\$ 1,514,840,710</b>	<b>\$ (196,531,393)</b>

**STATE OF NEW YORK  
SUMMARY OF THE OPERATING FUND INVESTMENTS  
FOR THE MONTH OF SEPTEMBER 2016  
AS REQUIRED OF THE STATE COMPTROLLER  
(Amounts in millions)**

**SCHEDULE 6**

	<u>SEPTEMBER 2016</u>	<u>FISCAL YEAR TO DATE</u>	<u>PRIOR FISCAL YEAR TO DATE SEPTEMBER 2015</u>
<b><u>SHORT TERM INVESTMENT POOL (*)</u></b>			
AVERAGE DAILY INVESTMENT BALANCE (**)	\$ 13,177.9	\$ 12,894.9	\$ 13,861.1
AVERAGE YIELD (**)	0.515%	0.490%	0.126%
TOTAL INVESTMENT EARNINGS	\$ 5.395	\$ 29.925	\$ 8.951

**Month-End Portfolio Balances**

<u>DESCRIPTION</u>	<u>SEPTEMBER 2016 PAR AMOUNT</u>	<u>SEPTEMBER 2015 PAR AMOUNT</u>
GOVT. AGENCY BILLS/NOTES	\$ -	\$ 285.0
REPURCHASE AGREEMENTS	33.2	1,180.7
COMMERCIAL PAPER	12,165.4	9,687.6
CERTIFICATES OF DEPOSIT/SAVINGS	1,717.4	2,221.6
0% COMPENSATING BALANCE CDs	5,220.0	4,855.0
	<u>\$ 19,136.0</u>	<u>\$ 18,229.9</u>

(\*) Pursuant to §98 of the State Finance Law, the State Comptroller is authorized to invest and keep invested all moneys, in any fund, held by the State. The Short Term investment Pool (STIP) represents an accounting mechanism that allows for the separate accounting of individual funds (on deposit in the State's General Checking account) for the purpose of making short term investments. Pursuant to State Finance Law §4(5) the STIP is authorized to temporarily loan to the General Fund-State Operations Account (10050) funds for a period of four months or the end of the fiscal year, whichever is shorter. However, it must be noted that certain funds are invested as part of STIP, but are held by the State Comptroller in a fiduciary capacity. Fiduciary fund balances are restricted and may not be used for any State purposes since moneys in such funds are held by the State in a trustee (or fiduciary) capacity or as an agent for individuals, private organizations, or non-State governmental units (e.g. local governments and public authorities). Therefore, Fiduciary fund balances are not available to be temporarily loaned to the General Fund-State Operations Account. Fiduciary fund balances are presented in Schedules 3 and 4 of this report.

(\*\*) Does not include 0% Compensating Balance CDs.

STATE OF NEW YORK  
 HCRA RESOURCES FUND  
 STATEMENT OF RECEIPTS AND DISBURSEMENTS BY ACCOUNT  
 FISCAL YEAR 2016-17

	2016								2017		6 Months Ended		
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	September 30, 2016
<b>OPENING CASH BALANCE</b>	\$ 77,568,773	\$ 174,881,047	\$ 150,252,706	\$ 252,199,769	\$ 135,671,242	\$ 158,509,367							\$ 77,568,773
<b>RECEIPTS:</b>													
Cigarette Tax	69,906,681	70,465,929	86,962,921	74,545,709	85,674,331	75,587,194							463,142,765
State Share of NYC Cigarette Tax	2,868,000	2,696,000	3,730,000	3,008,000	3,533,000	2,801,000							18,636,000
STIP Interest	157,862	85,296	108,226	165,893	146,816	126,403							790,496
Public Asset Transfers	-	-	-	-	-	-							-
Assessments	360,143,517	426,849,020	432,946,966	417,613,538	384,220,036	400,171,392							2,421,944,469
Fees	466,000	1,000,000	2,485,086	384,000	4,816,844	(3,675,844)							5,476,086
Rebates	2,758,000	2,854,691	970,588	13,794,356	1,052,475	5,506,892							26,937,002
Restitution and Settlements	-	-	-	-	-	-							-
Miscellaneous	-	1,669	51	-	-	-							1,720
<b>Total Receipts</b>	<b>436,300,060</b>	<b>503,952,605</b>	<b>527,203,838</b>	<b>509,511,496</b>	<b>479,443,502</b>	<b>480,517,037</b>	-	-	-	-	-	-	<b>2,936,928,538</b>
<b>DISBURSEMENTS:</b>													
Grants	321,080,063	525,480,383	418,899,454	624,008,882	449,917,568	406,367,773							2,745,754,123
Interest - Late Payments	2,682	597	308	(1,371)	104	(500)							1,820
Personal Service	471,992	841,268	1,134,203	871,453	1,204,427	141,107							4,664,450
Non-Personal Service	955,757	1,058,414	3,755,806	1,034,274	2,924,856	869,856							10,598,963
Employee Benefits/Indirect Costs	-	764,454	787,216	-	48,218	269,449							1,869,337
<b>Total Disbursements</b>	<b>322,510,494</b>	<b>528,145,116</b>	<b>424,576,987</b>	<b>625,913,238</b>	<b>454,095,173</b>	<b>407,647,685</b>	-	-	-	-	-	-	<b>2,762,888,693</b>
<b>OPERATING TRANSFERS:</b>													
Transfers to Capital Projects Fund	-	-	-	-	-	-							-
Transfers to General Fund	-	-	17,526	-	-	-							17,526
Transfers to Revenue Bond Tax Fund	-	-	-	-	1,306,200	9,285,215							10,591,415
Transfers to Miscellaneous Special Revenue Fund:													
Administration Program Account	-	-	-	-	-	-							-
Empire State Stem Cell Trust Account	15,148,000	-	-	-	-	7,574,000							22,722,000
Transfers to SUNY Income Fund	1,329,292	435,830	662,262	126,785	1,204,004	792,179							4,550,352
<b>Total Operating Transfers</b>	<b>16,477,292</b>	<b>435,830</b>	<b>679,788</b>	<b>126,785</b>	<b>2,510,204</b>	<b>17,651,394</b>	-	-	-	-	-	-	<b>37,881,293</b>
<b>Total Disbursements and Transfers</b>	<b>338,987,786</b>	<b>528,580,946</b>	<b>425,256,775</b>	<b>626,040,023</b>	<b>456,605,377</b>	<b>425,299,079</b>	-	-	-	-	-	-	<b>2,800,769,986</b>
<b>CLOSING CASH BALANCE</b>	<b>\$ 174,881,047</b>	<b>\$ 150,252,706</b>	<b>\$ 252,199,769</b>	<b>\$ 135,671,242</b>	<b>\$ 158,509,367</b>	<b>\$ 213,727,325</b>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	<b>\$ 213,727,325</b>



STATE OF NEW YORK  
 HCRA RESOURCES FUND  
 STATEMENT OF PROGRAM DISBURSEMENTS  
 FISCAL YEAR 2016-2017

APPENDIX B

Program/Purpose	Appropriation Amount (*)	April - June	July	August	September	6 Months Ended September 30, 2016 (**)
<b>AUDIT, COLLECTION, AND ENFORCEMENT PROGRAM</b>	<b>\$ 4,095,000</b>					
CIGARETTE STRIKE TASK FORCE		\$ 328,395	\$ -	\$ -	\$ -	\$ 328,395
<b>CENTER FOR COMMUNITY HEALTH PROGRAM</b>	<b>144,408,082</b>					
ADEPHI UNIVRST CANC SPRT PRG		-	-	-	-	-
BRST CANCER HOTLINE - ADELPHI		-	-	-	-	-
CENTER FOR COMMUNITY HLTH		757,361	163,909	217,654	168,902	1,307,826
EVIDENCE BASED CANCER SVC		-	-	-	-	-
FAMILY PLANNING		-	-	-	-	-
HYPERTENSION PREVENTION TREATMENT		-	-	-	-	-
INDIAN HEALTH PROGRAM		-	-	-	-	-
LEAD POISONING PREVENTION		-	-	-	-	-
MATERNITY AND EARLY CHHOOD FOUNDATION		-	-	-	-	-
NUTRITION SERVICES/EDUC - PREG WOMEN, CHILDREN		-	-	-	-	-
PRENATAL CARE ASSISTANCE PROGRAM		-	-	-	-	-
PUBLIC HEALTH CAMPAIGN		-	-	-	-	-
RAPE CRISIS		-	-	-	-	-
SCHOOL BASED HEALTH PROGRAM		-	-	-	-	-
STATE AID PHYSICALLY HANICAPPED CHILDREN REHAB		-	-	-	-	-
TOBACCO ENFORCEMENT		-	-	-	-	-
TUBERCULOSIS		-	-	-	-	-
<b>CHILD HEALTH INSURANCE PROGRAM</b>	<b>992,662,000</b>					
CHILD HEALTH INSURANCE		41,581,936	15,540,089	12,385,168	41,916,881	111,424,074
<b>COMMUNITY SUPPORT PROGRAM</b>	<b>225,000</b>					
COMMUNITY SUPPORT		15,000	-	15,000	-	30,000
<b>ELDERLY PHARMACEUTICAL INS COVERAGE PRG</b>	<b>283,964,000</b>					
ELDERLY PHARMACEUTICAL INSURANCE COVERAGE		26,862,218	12,830,063	13,827,006	13,958,097	67,477,384
<b>HEALTH CARE REFORM ACT PROGRAM</b>	<b>1,656,797,714</b>					
AIDS DRUG ASSISTANCE		-	-	20,000,000	-	20,000,000
AMBULATORY CARE TRAINING		39,778	396,594	164,465	18,758	619,595
AREA HEALTH EDUCATION CENTER		-	-	973,510	-	973,510
COMMISSIONER EMERGENCY DISTRIBUTIONS		-	-	-	-	-
DIAGNOSTIC AND TREATMENT CTR UNCOMPENSATED CARE		208,955	-	-	-	208,955
DIVERSITY IN MEDICINE		-	-	-	-	-
EMPIRE CLINIC RESEARCH INVESTMENT (ECRIP)		-	-	-	-	-
HCRA PAYOR/PROVIDER AUDITS		-	-	-	-	-
HEALTH FACILITY RESTRUCTURING DASNY		19,600,000	-	-	-	19,600,000
HEALTH WORKFORCE RETRAINING		881,738	163,090	1,093,747	83,371	2,221,946
INFERTILITY SERVICES GRANTS		217,593	312,788	127,491	117,520	775,392
MEDICAL INDEMNITY FUND		-	-	-	-	-
PART 405.4 HOSPITAL AUDITS		-	-	-	-	-
PART 405.4 HOSPITAL AUDITS NYCRR		356,308	-	194,032	-	550,340
PAY FOR PERFORMANCE		-	-	-	-	-
PHYSICIAN EXCESS MEDICAL MALPRACTICE		112,400,000	-	-	-	112,400,000
PHYSICIAN LOAN REPAYMENT		312,030	300,284	74,874	-	687,188
PHYSICIAN PRACTICE SUPPORT		-	100,208	-	-	100,208
PHYSICIAN WORKFORCE STUDIES		-	179,139	-	-	179,139
POISON CONTROL CENTERS		-	-	-	-	-
POOL ADMINISTRATION		675,087	203,538	436,861	-	1,315,486

STATE OF NEW YORK  
 HCRA RESOURCES FUND  
 STATEMENT OF PROGRAM DISBURSEMENTS  
 FISCAL YEAR 2016-2017

APPENDIX B

Program/Purpose	Appropriation Amount (*)	April - June	July	August	September	6 Months Ended September 30, 2016 (**)
ROSWELL PARK CANCER INSTITUTE		21,777,000	21,777,000	-	-	43,554,000
RPCI CANC RSRCH OPERATING COSTS		-	-	-	-	-
RURAL HEALTH CARE ACCESS		1,741,691	784,781	1,013,614	396,883	3,936,969
RURAL HEALTH NETWORK		1,400,264	310,692	794,915	72,607	2,578,478
SCHOOL BASED HEALTH CENTERS		-	-	-	-	-
SCHOOL BASED HEALTH CLINICS-POOL ADMN		-	-	-	-	-
TOBACCO USE PREVENTION/CONTROL		-	-	-	-	-
TRANSITION ACCT - PRIOR YEAR ALLOCATION		-	-	-	-	-
<b>MEDICAL ASSISTANCE PROGRAM</b>	<b>26,993,428,000</b>					
BREAST AND CERVICAL CANCER		-	-	-	-	-
DISABLED PERSONS		-	-	-	-	-
FAMILY HEALTH PLUS		-	-	-	-	-
FINANCIAL ASSISTANCE		-	-	-	-	-
HOME HEALTH RATE INCREASE		-	-	-	-	-
INPATIENT NURSING HOME PHARMACIES		-	-	-	-	-
MEDICAID INDIGENT CARE		178,896,179	156,060,320	64,269,387	63,339,179	462,565,065
MEDICAL ASSISTANCE		864,000,000	415,000,000	338,000,000	287,000,000	1,904,000,000
NYC MEDICAID		-	-	-	-	-
PHYSICIAN SERVICES		-	-	-	-	-
PRIMARY CARE CASE MANAGEMENT		-	-	-	-	-
PSNL CRE WRKR RECR & RETEN NYC (***)		-	-	-	-	-
PSNL CRE WRKR RECR & RETEN ROS (****)		-	-	-	-	-
SUPPLEMENTAL MEDICAL INSURANCE		-	-	-	-	-
<b>OFFICE OF HEALTH INSURANCE PROGRAM</b>	<b>5,834,000</b>					
OFFICE OF HEALTH INSURANCE		347,101	68,572	89,238	67,860	572,771
<b>OFFICE OF HEALTH SYSTEMS MANAGEMENT</b>	<b>61,229,975</b>					
OFFICE HEALTH SYSTEMS MANAGEMENT		4,790,711	1,659,847	1,261,615	798,636	8,510,809
<b>OFFICE OF LONG TERM CARE</b>	<b>6,748,101</b>					
ADULT HOME INITIATIVE		-	-	-	-	-
ENABLE AIR CONDITIONING		-	-	-	-	-
ENABLE QUALITY OF LIFE		-	-	-	-	-
QUALITY PROG ADULT CARE FACILITIES		-	-	-	-	-
<b>REVENUE, PROCESSING &amp; RECONCILIATION</b>	<b>4,095,000</b>					
REVENUE, PROCESSING & RECONCILIATION		469,734	189,234	359,892	506,792	1,525,652
<b>TOTAL</b>	<b>30,153,486,872</b>	<b>1,277,659,079</b>	<b>626,040,148</b>	<b>455,298,469</b>	<b>408,445,486</b>	<b>2,767,443,182</b>
Transfer to the General Fund - State Purposes Account (for administration of the program)	89,000					
Reclass of SUNY Hospital Disprop Share to Transfer		(2,427,384)	(126,785)	(1,204,004)	(792,179)	(4,550,352)
Reconciling Adjustment (P-Card and T-Card)		902	(125)	708	(5,622)	(4,137)
<b>TOTAL APPROPRIATED AMOUNT</b>	<b>\$ 30,153,575,872</b>	<b>\$ 1,275,232,597</b>	<b>\$ 625,913,238</b>	<b>\$ 454,095,173</b>	<b>\$ 407,647,685</b>	<b>\$ 2,762,888,693</b>

(\*) Includes amounts appropriated in SFY 2016-17, as well as prior year appropriations that were reappropriated.

(\*\*) Disbursements from the HCRA Resources Fund includes direct grant payments to program beneficiaries, services and expenses for administration of grant programs, and transfers to the Public Goods Pool to finance payments made by the State's fiscal agent.

(\*\*\*) Full title is: NYC Personal Care Workforce Recruitment and Retention Rates Grants.

(\*\*\*\*) Full title is: Personal Care Workforce Recruitment and Retention Rates Grants.

## APPENDIX C

STATE OF NEW YORK  
STATEMENT OF CASH FLOW - PUBLIC GOODS POOL  
FISCAL YEAR 2016-17

	1st Quarter APRIL - JUNE	2016 JULY	2016 AUGUST	2016 SEPTEMBER	2016-17
<b>OPENING CASH BALANCE</b>	<b>\$ 299,817,850.44</b>	<b>\$ 318,000,880.07</b>	<b>\$ 188,358,671.38</b>	<b>\$ 330,330,541.10</b>	<b>\$ 299,817,850.44</b>
<b>RECEIPTS:</b>					
Patient Services	814,872,120.28	188,476,958.36	339,202,422.12	209,426,388.75	1,551,977,889.51
Covered Lives	292,485,785.74	59,392,723.25	136,621,362.36	65,390,879.26	553,890,750.61
Provider Assessments	27,190,651.94	6,686,395.83	11,696,346.66	9,556,390.10	55,129,784.53
1% Assessments	93,651,580.00	29,906,767.12	35,308,628.00	29,489,933.00	188,356,908.12
DASNY- MOE/Recast receivables	-	-	-	-	-
Interest Income	46,323.73	18,915.41	27,683.90	24,399.00	117,322.04
Unassigned	(191,258.37)	(21,319.74)	119,432.00	(115,155.00)	(208,301.11)
<b>Total Receipts</b>	<b>1,228,055,203.32</b>	<b>284,460,440.23</b>	<b>522,975,875.04</b>	<b>313,772,835.11</b>	<b>2,349,264,353.70</b>
<b>PROGRAM DISBURSEMENTS:</b>					
Poison Control Centers	-	-	-	-	-
School Based Health Center Grants	-	-	-	-	-
ECRIP Distributions	-	-	-	-	-
<b>Total Program Disbursements</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Excess (Deficiency) of Receipts over Disbursements</b>	<b>1,228,055,203.32</b>	<b>284,460,440.23</b>	<b>522,975,875.04</b>	<b>313,772,835.11</b>	<b>2,349,264,353.70</b>
<b>OTHER FINANCING SOURCES (USES):</b>					
<b>Transfers From Other Pools:</b>					
Medicaid Disproportionate Share	-	-	-	-	-
Health Facility Assessment Fund - Hospital Quality Contribution	10,063,288.00	3,510,889.00	3,215,254.00	3,742,946.00	20,532,377.00
<b>Transfers From State Funds:</b>					
HCRA Resources Fund	-	-	-	-	-
<b>Total Other Financing Sources</b>	<b>10,063,288.00</b>	<b>3,510,889.00</b>	<b>3,215,254.00</b>	<b>3,742,946.00</b>	<b>20,532,377.00</b>
<b>Transfers To Other Pools:</b>					
Medicaid Disproportionate Share	-	-	-	-	-
Health Facility Assessment Fund	-	-	-	-	-
<b>Transfers To State Funds:</b>					
HCRA Resources Fund	(1,074,026,803.03)	(225,025,113.93)	(449,004,993.65)	(463,848,603.70)	(2,211,905,514.31)
Indigent Care Fund (matched)	(159,632,267.81)	(191,729,594.82)	64,660,467.45	63,301,091.06	(223,400,304.12)
Indigent Care Fund (non-matched)	13,723,609.15	(858,829.17)	125,266.88	377,266.88	13,367,313.74
<b>Total Other Financing Uses</b>	<b>(1,219,935,461.69)</b>	<b>(417,613,537.92)</b>	<b>(384,219,259.32)</b>	<b>(400,170,245.76)</b>	<b>(2,421,938,504.69)</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>18,183,029.63</b>	<b>(129,642,208.69)</b>	<b>141,971,869.72</b>	<b>(82,654,464.65)</b>	<b>(52,141,773.99)</b>
<b>CLOSING CASH BALANCE</b>	<b>\$ 318,000,880.07</b>	<b>\$ 188,358,671.38</b>	<b>\$ 330,330,541.10</b>	<b>\$ 247,676,076.45</b>	<b>\$ 247,676,076.45</b>

Source: HCRA - Office of Pool Administration

STATE OF NEW YORK  
STATEMENT OF CASH FLOW - MEDICAID DISPROPORTIONATE SHARE  
FISCAL YEAR 2016-17

	1st Quarter APRIL - JUNE	2016 JULY	2016 AUGUST	2016 SEPTEMBER	2016-17
<b>OPENING CASH BALANCE</b>	\$ 3,139.74	\$ -	\$ 777.11	\$ 362,716.09	\$ 3,139.74
<b>RECEIPTS:</b>					
Interest Income	901.20	777.11	1,146.09	433.38	3,257.78
<b>Total Receipts</b>	<b>901.20</b>	<b>777.11</b>	<b>1,146.09</b>	<b>433.38</b>	<b>3,257.78</b>
<b>PROGRAM DISBURSEMENTS:</b>					
Indigent Care	(150,207,124.26)	(191,032,138.82)	(64,597,100.89)	(63,489,724.50)	(469,326,088.47)
High Need Indigent Care	-	-	-	-	-
Other	(887,410.66)	58,694,418.83	6,113,839.37	4,031,488.16	67,952,335.70
<b>Total Program Disbursements</b>	<b>(151,094,534.92)</b>	<b>(132,337,719.99)</b>	<b>(58,483,261.52)</b>	<b>(59,458,236.34)</b>	<b>(401,373,752.77)</b>
<b>Excess (Deficiency) of Receipts over Disbursements</b>	<b>(151,093,633.72)</b>	<b>(132,336,942.88)</b>	<b>(58,482,115.43)</b>	<b>(59,457,802.96)</b>	<b>(401,370,494.99)</b>
<b>OTHER FINANCING SOURCES (USES):</b>					
<b>Transfers From Other Pools:</b>					
Public Goods Pool	-	-	-	-	-
Health Facility Assessment Fund	-	-	-	-	-
<b>Transfers From State Funds:</b>					
HCRA Resources Indigent Care - Matched	79,816,133.91	95,864,797.41	32,330,233.73	31,650,545.53	239,661,710.58
HCRA Resources Indigent Care - Unmatched	(8,437,132.99)	481,562.29	(63,366.56)	188,633.44	(7,830,303.82)
HCRA Resources Indigent Care - ATB	(25,357.85)	(1,172,080.00)	-	-	(1,197,437.85)
Federal DHHS Fund	79,816,133.90	95,864,797.41	32,330,233.72	31,650,545.53	239,661,710.56
Other	-	-	361,570.00	-	361,570.00
<b>Total Other Financing Sources</b>	<b>151,169,776.97</b>	<b>191,039,077.11</b>	<b>64,958,670.89</b>	<b>63,489,724.50</b>	<b>470,657,249.47</b>
<b>Transfers To Other Pools:</b>					
Public Goods Pool	-	-	-	-	-
Health Facility Assessment Fund	-	-	-	-	-
<b>Transfers To State Funds:</b>					
HCRA Resources Fund Indigent Care Acct	(79,282.99)	(58,701,357.12)	(6,114,616.48)	(4,032,634.25)	(68,927,890.84)
<b>Total Other Financing Uses</b>	<b>(79,282.99)</b>	<b>(58,701,357.12)</b>	<b>(6,114,616.48)</b>	<b>(4,032,634.25)</b>	<b>(68,927,890.84)</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>(3,139.74)</b>	<b>777.11</b>	<b>361,938.98</b>	<b>(712.71)</b>	<b>358,863.64</b>
<b>CLOSING CASH BALANCE</b>	<b>\$ -</b>	<b>\$ 777.11</b>	<b>\$ 362,716.09</b>	<b>\$ 362,003.38</b>	<b>\$ 362,003.38</b>

Source: HCRA - Office of Pool Administration

STATE OF NEW YORK  
SUMMARY OF OFF-BUDGET SPENDING REPORT  
FISCAL YEAR 2016-2017  
(Amounts in thousands)

APPENDIX E

	2016 APRIL	2016 MAY	2016 JUNE	2016 JULY	2016 AUGUST	2016 SEPTEMBER	2016 OCTOBER	2016 NOVEMBER	2016 DECEMBER	2017 JANUARY	2017 FEBRUARY	2017 MARCH	2016-2017 TOTAL
<b>DORMITORY AUTHORITY:</b>													
Education - All Other	\$ -	\$ -	\$ -	\$ -	\$ 1	\$ -							\$ 1
Education - EXCEL	1,748	4,817	5,133	1,356	106	-							13,160
Department of Health - All Other	-	2	38	-	14	-							54
Community Enhancement Facilities Assistance Program (CEFAP)	1,084	-	-	-	90	87							1,261
Regional Development:													
Community Capital Assistance Program (CCAP)/RESTORE	165	712	302	61	520	357							2,117
Multi-modal	-	-	-	-	-	-							-
GenNysis	-	-	-	-	-	-							-
CUNY Senior Colleges	17,760	36,784	15,736	16,679	38,084	21,416							146,459
CUNY Community Colleges	995	3,479	3,603	1,042	7,491	3,435							20,045
SUNY Dormitories	64	157	10	-	-	-							231
Upstate Community Colleges	1,123	9,803	9,367	3,550	4,066	3,529							31,438
Mental Health	4,050	7,079	5,820	1,738	9,595	4,804							33,086
Developmental Disabilities	404	1,628	1,543	144	1,812	737							6,268
Alcoholism and Substance Abuse	158	843	278	44	278	40							1,641
Brooklyn Court Officer Training Academy	219	925	1,570	879	2,113	1,589							7,295
<b>TOTAL DORMITORY AUTHORITY</b>	<b>27,770</b>	<b>66,229</b>	<b>43,400</b>	<b>25,493</b>	<b>64,170</b>	<b>35,994</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>263,056</b>
<b>EMPIRE STATE DEVELOPMENT CORP:</b>													
Regional Development:													
Centers of Excellence	-	-	-	-	-	-							-
Community Capital Assistance Program (CCAP)	-	1	-	-	364	1							366
Empire Opportunity	-	-	-	-	-	-							-
Community Enhancement Facilities Assistance Program (CEFAP)	-	-	-	-	-	-							-
State Facilities and Equipment	-	-	-	-	-	-							-
<b>TOTAL EMPIRE STATE DEVELOPMENT CORP</b>	<b>-</b>	<b>1</b>	<b>-</b>	<b>-</b>	<b>364</b>	<b>1</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>366</b>
<b>TOTAL OFF-BUDGET</b>	<b>\$ 27,770</b>	<b>\$ 66,230</b>	<b>\$ 43,400</b>	<b>\$ 25,493</b>	<b>\$ 64,534</b>	<b>\$ 35,995</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 263,422</b>

The Division of the Budget (DOB) is responsible for organizing and presenting the above schedule of 'Off Budget Spending'. Such reported disbursements are drawn from unaudited financial data provided by public authorities. Although the Office of the State Comptroller (OSC) has no reason to believe this information to be unreliable, it is important to note that these program disbursements are financed with public authority bond proceeds deposited directly into public authority accounts and all disbursements are made without any oversight by the OSC. Therefore, and pursuant to the provisions of Chapter 60, §16, of the Laws of 2006; this schedule is provided for information only.

STATE OF NEW YORK  
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(\*)

SFS Fund	ACCOUNT TITLE	June 30, 2016	July 31, 2016	August 31, 2016	Change	September 30, 2016
	<b>GENERAL FUND</b>					
10050	STATE OPERATIONS AND LOCAL ASSISTANCE	\$ -	\$ -	\$ -	\$ -	\$ - (***)
	<b>TOTAL GENERAL FUND</b>	-	-	-	-	-
	<b>CAPITAL PROJECT AND BOND REIMBURSABLE FUNDS</b>					
30051	HIGHWAY AND BRIDGE CAPITAL	7,779,728.57	-	-	193,270,283.12	193,270,283.12
30101	REHAB/REPAIR MARITIME	-	35,521.45	-	-	-
30102	D21RVE- MARITIME	-	-	-	-	-
30103	D36RVE- CENTRAL ADMIN	-	-	-	-	-
30104	RESIDENCE HALL CAMPUS LET BOND PROCEEDS	-	-	-	-	-
30105	REHAB/REPAIR ALBANY	-	-	-	-	-
30106	D01RVE- ALBANY	-	-	-	-	-
30107	REHAB/REPAIR BINGHAMTON	-	-	-	-	-
30108	D07RVE- BINGHAMTON	-	-	-	-	-
30109	REHAB/REPAIR BUFFALO UNIVERSITY	-	-	-	-	-
30110	D28RVE- SUNY BUFFALO	-	-	-	-	-
30111	REHAB/REPAIR STONYBROOK	-	-	-	-	-
30112	D13RVE- STONYBROOK	-	-	-	-	-
30113	REHAB/REPAIR BROOKLYN	-	-	-	-	-
30114	D14RVE - HSC BROOKLYN	-	-	-	-	-
30115	REHAB/REPAIR SYRACUSE	-	-	-	-	-
30116	D15RVE- HSC SYRACUSE	-	-	-	-	-
30117	REHAB/REPAIR BROCKPORT	-	-	-	-	-
30118	D02RVE- BROCKPORT	-	-	-	-	-
30119	REHAB/REPAIR BUFFALO COLLEGE	-	-	-	-	-
30120	D03RVE -SUB BUFFALO	-	-	-	-	-
30121	REHAB/REPAIR CORTLAND	-	-	-	-	-
30122	D04RVE- CORTLAND	-	-	-	-	-
30123	REHAB/REPAIR FREDONIA	-	-	-	-	-
30124	D05RVE- FREDONIA	-	-	-	-	-
30125	REHAB/REPAIR GENESEO	-	-	-	-	-
30126	D06RVE- GENESEO	-	-	-	-	-
30127	REHAB/REPAIR OLD WESTBURY	-	-	-	-	-
30128	D31RVE- OLD WESTBURY	-	-	-	-	-
30129	REHAB/REPAIR NEW PALTZ	-	-	-	-	-
30130	D08RVE- NEW PALTZ	-	-	-	-	-
30131	REHAB/REPAIR ONEONTA	-	-	-	-	-
30132	D09RVE- ONEONTA	-	-	-	-	-
30133	REHAB/REPAIR OSWEGO	-	-	-	-	-
30134	D10RVE- OSWEGO	-	-	-	-	-
30135	REHAB/REPAIR PLATTSBURGH	-	-	-	-	-
30136	D11RVE- PLATTSBURGH	-	-	-	-	-
30137	REHAB/REPAIR POTSDAM	-	-	-	-	-
30138	D12RVE- POTSDAM	-	-	-	-	-
30139	REHAB/REPAIR PURCHASE	-	-	-	-	-
30140	D29RVE- PURCHASE	-	-	-	-	-
30141	REHAB/REPAIR FOR UTICA/ROME	-	-	-	-	-
30142	D27RVE- CAMPUS RESERVE	-	-	-	-	-
30143	REHAB/REPAIR ALFRED	-	-	-	-	-
30144	D22RVE- ALFRED	-	-	-	-	-
30145	REHAB/REPAIR CANTON	-	-	-	-	-
30146	D23RVE- CANTON	-	-	-	-	-
30147	REHAB/REPAIR COBLESKILL	-	-	-	-	-
30148	D24RVE- COBLESKILL	-	-	-	-	-
30149	REHAB/REPAIR DELHI	-	-	-	-	-
30150	D25RVE- DELHI	-	-	-	-	-
30151	REHAB/REPAIR FARMINGDALE	-	-	-	-	-
30152	D26RVE- FARMINGDALE	-	-	-	-	-
30153	REHAB/REPAIR MORRISVILLE	-	-	-	-	-
30154	D27RVE- MORRISVILLE	-	-	-	-	-
30351	STATE PARK INFRASTRUCTURE	118,543,724.65	124,445,011.27	137,728,981.61	(107,647,737.32)	30,081,244.29
30501	CW/CA IMPLEMENTATION DEC	-	-	-	-	-
30502	CW/CA IMPLEMENTATION STATE	-	-	-	-	-
30503	CW/CA IMPLEMENTATION ERDA	-	-	-	-	-
30504	CW/CA IMPLEMENTATION EFC	-	-	-	-	-
31506	HAZARDOUS WASTE CLEAN UP	169,902,868.47	177,750,135.01	184,638,935.76	(63,164,846.30)	121,474,089.46
31701	YOUTH FACILITIES IMPROVEMENT	7,778,140.12	9,506,845.79	11,270,625.54	2,661,751.46	13,932,377.00
31801	HOUSING ASSISTANCE	10,815,607.54	-	13,630,607.54	-	13,630,607.54
31851	HOUSING PROG FD-HSG TR FD CORP	19,808,622.21	45,010,122.21	45,010,122.21	(7,306,306.67)	37,703,815.54
31852	HOUSING PROG FD AFFORD HSG CORP	29,437,271.89	36,233,521.89	42,233,521.89	-	42,233,521.89
31853	HOUSING PROG FD-DEPT OF SOCIAL SERVICES	110,636,927.07	110,636,927.07	110,636,927.07	11,664,302.78	122,301,229.85
31854	HOUSING PROG FD-HFA	-	-	-	-	-

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31951	HIGHWAY FAC PURPOSE	12,645,959.92	12,661,414.24	12,661,414.24	(33,527.00)	12,627,887.24
32213	NY RACING ACCOUNT	153,750.00	153,750.00	153,750.00	-	153,750.00
32215	IT CAPITAL FINANCING ACCT	46,611.15	46,629.07	53,388.35	19.21	53,407.56
32301	OPWDD-STATE FACILITIES PRE 12/99	-	-	-	-	-
32302	DSAS-COMMUNITY FACILITIES	-	-	-	-	-
32303	OMH-COMMUNITY FACILITIES	145,893,117.44	145,091,797.37	146,971,956.60	5,125,931.89	152,097,888.49
32304	OASAS-COMMUNITY FACILITIES	-	-	-	-	-
32305	OPWDD-COMMUNITY FACILITIES	218,484,387.15	222,214,387.15	223,464,387.15	1,101,922.33	224,566,309.48
32306	DASNY - OMH ADMIN	36,479,662.82	36,479,662.82	28,616,748.76	-	28,616,748.76
32307	DASNY - OPWDD ADMIN	5,516,639.89	5,516,639.89	4,518,493.32	-	4,518,493.32
32308	DASNY - OASAS ADMIN	753,554.67	753,554.67	556,276.88	-	556,276.88
32309	OMH -STATE FACILITIES	51,068,982.49	53,897,821.72	60,464,176.74	5,613,227.43	66,077,404.17
32310	OPWDD -STATE FACILITIES	-	-	-	-	-
32311	OASAS -STATE FACILITIES	1,778,970.38	176,494.68	342,774.68	94,892.37	437,667.05
32351	CORR. FACILITIES CAPITAL IMPROVEMENT	-	-	-	-	-
32352	DOCS-REHABILITATION PROJECTS	44,179,748.32	66,441,946.92	99,787,521.31	19,969,631.87	119,757,153.18
33001	STORM RECOVERY ACCOUNT	55,560,010.08	51,076,487.20	53,751,936.61	(1,517,091.67)	52,234,844.94
	<b>TOTAL CAPITAL AND BOND REIMBURSABLE FUNDS</b>	<b>1,047,064,284.83</b>	<b>1,111,759,277.96</b>	<b>1,176,492,546.26</b>	<b>59,832,453.50</b>	<b>1,236,324,999.76</b>
	<b>STATE SPECIAL REVENUE FUNDS</b>					
20452	VOCATIONAL SCHOOL SUPERVISION	-	-	-	-	-
20501	LOCAL GOVERNMENT RECORDS MGMT	-	-	-	-	-
20810	CHILD HEALTH INSURANCE	8,294,836.49	23,834,925.59	-	18,136,974.56	18,136,974.56
20818	EPIC PREMIUM ACCOUNT	6,964,230.67	5,660,937.29	-	745,673.19	745,673.19
20901	LOTTERY-EDUCATION	-	-	-	1,274,377,299.72	1,274,377,299.72
20904	VLT EDUCATION	-	-	-	-	-
21001	ENVIR FAC CORP ADM ACCT	-	-	-	-	-
21002	ENCON ADMIN ACCT	3,937,938.13	4,076,204.77	4,197,138.00	(482,013.95)	3,715,124.05
21061	HAZARDOUS BULK STORAGE	-	-	-	-	-
21065	FEDERAL GRANTS INDIRECT COST RECOVERY ACCOUNT	1,358,365.80	2,009,583.71	2,823,974.81	(2,823,974.81)	-
21066	ENCON-LOW LEVEL RADIOACTIVE WASTE SITING	4,418,630.19	3,920,670.30	4,232,760.13	(421,889.41)	3,810,870.72
21067	ENCON-RECREATION	10,248,517.17	9,237,060.13	8,446,963.70	(98,272.77)	8,348,690.93
21077	PUBLIC SAFETY RECOVERY ACCOUNT	-	-	-	-	-
21081	ENVIRONMENTAL REGULATORY	32,218,470.80	31,414,765.56	37,050,378.31	(1,332,337.44)	35,718,040.87
21082	NATURAL RESOURCES ACCOUNT	18,322,779.81	17,555,781.23	17,981,113.69	(204,923.56)	17,776,190.13
21084	MINED LAND RECLAMATION ACCT	-	-	-	-	-
21087	GREAT LAKES RESTORATION INITIATIVE	-	-	-	-	-
21201	AUDIT AND CONTROL OIL SPILL	200,546.61	244,011.26	370,949.73	39,377.24	410,326.97
21202	HEALTH DEPT OIL SPILL	76,577.73	90,472.78	109,048.74	14,020.20	123,068.94
21203	DEPT OF ENVIRONMENTAL CONSERVATION OIL SPILL	4,545,362.83	5,440,132.32	8,515,163.65	860,180.21	9,375,343.86
21204	OIL SPILL COMPENSATION	-	-	-	-	-
21205	LICENSE FEE SURCHARGES	-	-	-	-	-
21401	PUBLIC TRANSPORTATION SYSTEMS	-	-	-	-	-
21402	METROPOLITAN MASS TRANSPORTATION	-	-	55,878,708.91	(36,825,657.94)	19,053,050.97
21451	OPERATING PERMIT PROGRAM	21,317,194.84	21,718,252.98	22,796,006.41	(38,174.18)	22,757,832.23
21452	MOBILE SOURCE	-	-	-	-	-
21902	HEALTH-SPARC'S	-	-	-	-	-
21905	THRUWAY AUTHORITY ACCT	8,371,336.25	10,960,986.87	13,507,946.00	7,484,060.74	20,992,006.74
21907	MENTAL HYGIENE PROGRAM	-	-	-	-	-
21909	MENTAL HYGIENE PATIENT INCOME ACCOUNT	-	-	-	-	-
21911	FINANCIAL CONTROL BOARD	595,625.18	141,782.67	287,175.48	231,512.58	518,688.06
21912	RACING REGULATION ACCOUNT	6,261,055.63	5,827,751.51	5,647,531.62	(1,034,027.74)	4,613,503.88
21913	NY METROPOLITAN TRANSPORTATION COUNCIL	17,164,549.34	16,403,065.34	17,221,307.38	1,365,760.61	18,587,067.99
21937	SU DORM INCOME REIMBURSE	530,020.04	392,035.90	237,504.52	(52,080.53)	185,423.99
21945	CRIMINAL JUSTICE IMPROVEMENT	-	-	-	-	-
21959	ENV LAB REF FEE	-	-	-	-	-
21962	CLINICAL LAB FEE	13,437,945.40	11,402,888.40	10,942,394.39	70,460.52	11,012,854.91
21978	INDIRECT COST RECOVERY	-	257,835.36	-	-	-
21979	HIGH SCHOOL EQUIVALENCY PROGRAM	-	-	-	-	-
21989	MULTI - AGENCY TRAINING ACCOUNT	-	-	-	-	-
22003	BELL JAR COLLECTION ACCOUNT	16,064.18	60,049.78	-	-	-
22004	INDUSTRY AND UTILITY SERVICE	-	-	-	-	-
22006	REAL PROPERTY DISPOSITION	860,499.39	887,461.29	901,283.36	(146,468.07)	754,815.29
22007	PARKING ACCOUNT	-	-	-	-	-
22009	ASBESTOS SAFETY TRAINING	218,180.56	188,784.50	197,905.40	(14,278.06)	183,627.34
22032	BATAVIA SCHOOL FOR THE BLIND	7,335,375.84	5,126,660.39	6,314,010.26	765,137.90	7,079,148.16
22034	INVESTMENT SERVICES	-	-	-	-	-
22036	SURPLUS PROPERTY ACCOUNT	-	-	-	-	-
22039	FINANCIAL OVERSIGHT	932,434.76	178,319.91	746,353.23	172,030.03	918,383.26
22046	REGULATION INDIAN GAMING	60,819,235.04	60,219,332.03	61,404,550.30	372,659.01	61,777,209.31
22053	ROME SCHOOL FOR THE DEAF	1,075,274.22	133,076.60	1,127,343.69	669,341.61	1,796,685.30
22054	DSP-SEIZED ASSETS	8,654,245.04	8,569,357.87	8,505,622.21	(155,769.18)	8,349,853.03

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22055	ADMINISTRATIVE ADJUDICATION	1,889,782.35	290,050.78	-	4,004,914.09	4,004,914.09
22056	FEDERAL SALARY SHARING	609,734.03	793,804.99	893,041.90	81,129.85	974,171.75
22062	NYC ASSESSMENT ACCT	-	-	-	-	-
22063	CULTURAL EDUCATION ACCOUNT	3,494,748.96	2,625,727.79	4,124,464.42	(964,128.33)	3,160,336.09
22078	LOCAL SERVICE ACCOUNT	449,018.03	497,223.29	670,966.21	49,282.84	720,249.05
22085	DHCR MORTGAGE SERVICES	4,647,626.58	5,045,782.85	4,577,297.08	391,935.37	4,969,232.45
22087	DMV-COMPULSORY INS PRGM	646,762.72	646,762.72	349,870.72	-	349,870.72
22090	HOUSING INDIRECT COST RECOVERY	3,321,780.02	3,608,536.04	3,887,854.30	253,247.19	4,141,101.49
22100	DHCR-HOUSING CREDIT AGENCY APPLY FEE	451,290.00	501,545.17	590,683.58	323,316.68	914,000.26
22130	LOW INCOME HOUSING CREDIT MONITORING	-	-	-	-	-
22135	EFC-CORPORATION ADMINISTRATION	-	-	-	-	-
22144	MONTROSE VETERAN'S HOME	-	-	-	-	-
22151	DEFERRED COMPENSATION ADMIN	91,811.33	123,695.92	203,591.54	27,234.02	230,825.56
22156	RENT REVENUE OTHER - NYC	4,349,598.58	-	-	-	-
22158	RENT REVENUE	853,261.39	699,587.03	489,467.15	(19,663.05)	469,804.10
22168	TAX REVENUE ARREARAGE ACCOUNT	-	-	-	-	-
22654	S.U. NON-RESIDENT REV. OFFSET	19,457,957.42	19,465,437.17	19,473,484.43	7,907.57	19,481,392.00
22751	LAKE GEORGE PARK TRUST FUND	-	-	-	-	-
22802	STATE POLICE MV ENFORCE	-	-	-	-	-
23001	DOT - HIGHWAY SAFETY PRGM	8,336,737.24	8,417,198.55	8,534,620.20	236,058.98	8,770,679.18
23101	EFC DRINKING WATER PROGRAM	-	-	-	-	-
23102	DOH DRINKING WATER PROGRAM	5,984,237.62	5,984,237.62	5,984,237.62	-	5,984,237.62
23151	NYCCC OPERATING OFFSET	32,063,246.87	34,350,006.38	36,553,578.26	2,391,774.24	38,945,352.50
23701	COMMERCIAL GAMING REVENUE	-	-	-	-	-
23702	COMMERCIAL GAMING REGULATION	5,742,861.66	5,795,003.40	3,981,296.41	(705,560.90)	3,275,735.51
	<b>TOTAL STATE SPECIAL REVENUE FUNDS</b>	<b>330,565,926.74</b>	<b>334,796,786.04</b>	<b>379,757,587.74</b>	<b>1,267,752,069.03</b>	<b>1,647,509,656.77</b>
	<b>FEDERAL FUNDS</b>					
25000-25099	FEDERAL USDA/FOOD AND NUTRITION SERVICES FUND	8,406,449.76	10,467,989.40	4,545,145.66	21,559,715.06	26,104,860.72
25100-25199	FEDERAL HEALTH AND HUMAN SERVICES FUND	211,857,112.37	190,056,843.23	363,979,761.60	(212,039,127.46)	151,940,634.14
25200-25249	FEDERAL EDUCATION GRANTS FUND	7,248,015.03	11,495,965.04	17,749,878.60	2,235,317.15	19,985,195.75
25300-25899	FEDERAL OPERATING GRANTS FUND	342,474,625.73	338,234,665.40	360,979,233.22	6,275,228.33	367,254,461.55
31351	MILITARY AND NAVAL AFFAIRS	7,003,440.77	7,059,946.77	7,061,606.77	1,198.00	7,062,804.77
31354	DEPARTMENT OF TRANSPORTATION	382,175,659.36	406,200,828.13	414,977,585.19	(60,343,167.99)	354,634,417.20
31350-31449	FEDERAL CAPITAL PROJECTS FUND (ALL OTHER)	49,070,288.02	64,522,002.54	41,891,680.35	49,427,031.61	91,318,711.96
25900-25949	UNEMPLOYMENT INSURANCE ADMINISTRATION	13,674,553.42	13,514,045.13	12,019,315.98	730,713.64	12,750,029.62
25950	FEDERAL UNEMPLOYMENT INS OCCUPATIONAL TRAINING	-	-	-	-	-
26001-26049	DOL EMPLOYMENT AND TRAINING GRANTS	4,828,639.15	6,774,549.35	1,652,556.33	210,643.93	1,863,200.26
	<b>TOTAL FEDERAL FUNDS</b>	<b>1,026,738,783.61</b>	<b>1,048,326,834.99</b>	<b>1,224,856,763.70</b>	<b>(191,942,447.73)</b>	<b>1,032,914,315.97</b> (**)
	<b>AGENCY FUNDS</b>					
60201	EMPLOYEES HEALTH INSURANCE ACCT	-	-	-	-	-
60901	MMIS - STATE AND FEDERAL	-	-	-	-	-
	<b>TOTAL AGENCY FUNDS</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
	<b>ENTERPRISE FUND</b>					
50318	OGS CONVENTION CENTER ACCOUNT	-	-	-	-	-
50327	EMPIRE PLAZA GIFT SHOP	-	12,580.89	21,582.80	(21,582.80)	-
	<b>TOTAL ENTERPRISE FUND</b>	<b>-</b>	<b>12,580.89</b>	<b>21,582.80</b>	<b>(21,582.80)</b>	<b>-</b>
	<b>INTERNAL SERVICE FUNDS</b>					
55001	CENTRALIZED SERVICES-FLEET MGMT	1,315,834.80	1,419,601.70	1,459,716.90	68,038.83	1,527,755.73
55002	CENTRALIZED SERVICES-DATA PROCESSING	-	-	-	-	-
55003	CENTRALIZED SERVICES-PRINTING	2,757,675.91	2,641,698.98	2,970,347.06	(88,253.40)	2,882,093.66
55004	CENTRALIZED SERVICES-REAL PROPERTY-LABOR	-	24,401.84	-	-	-
55005	CENTRALIZED SERVICES-DONATED FOODS	-	-	-	-	-
55006	CENTRALIZED SERVICES-PERSONAL PROPERTY	-	-	-	-	-
55007	CENTRALIZED SERVICES-CONSTRUCTION SERVICES	566,164.30	226,195.48	215,994.43	(155,085.23)	60,909.20
55008	CENTRALIZED SERVICES-PASNY	19,879,908.63	17,226,524.95	29,337,460.79	(1,356,761.83)	27,980,698.96
55009	CENTRALIZED SERVICES-ADMIN SUPPORT	-	-	-	-	-
55010	CENTRALIZED SERVICES-DESIGN AND CONSTR	-	-	-	-	-
55011	CENTRALIZED SERVICES-INSURANCE	-	-	299,479.02	89,524.32	389,003.34
55012	CENTRALIZED SERVICES-SECURITY CARD ACCESS	179,512.21	166,746.71	208,182.69	(11,416.50)	196,766.19
55013	CENTRALIZED SERVICES-COP'S	-	-	-	-	-
55014	CENTRALIZED SERVICES-FOOD SERVICES	-	-	-	-	-
55015	CENTRALIZED SERVICES-HOMER FOLKS	-	-	-	-	-
55016	CENTRALIZED SERVICES-IMMICS	26,961.54	26,961.54	26,961.54	-	26,961.54
55017	DOWNSTATE WAREHOUSE	599,990.99	580,982.29	698,411.33	(46,539.83)	651,871.50
55018	BUILDING ADMINISTRATION	-	-	-	-	-
55019	LEASE SPACE INITIATIVE	-	-	-	-	-
55020	OGS ENTERPRISE CONTRACTING ACCT	39,042,427.44	39,480,985.54	49,155,148.39	8,914,039.37	58,069,187.76
55021	NYS MEDIA CENTER	3,974,079.91	4,125,376.16	4,271,693.96	(4,364.83)	4,267,329.13



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55022	BUSINESS SERVICES CENTER	-	-	-	-	-
55052	ARCHIVES RECORD MGMT I.S.	-	-	-	-	-
55053	FEDERAL SINGLE AUDIT	-	-	-	-	-
55056	CIVIL SERVICE EHS OCCUP HEALTH PROG	-	-	123,310.29	(20,884.32)	102,425.97
55057	BANKING SERVICES ACCOUNT	239,912.22	17,371.19	103,802.17	(43,495.10)	60,307.07
55058	CULTURAL RESOURCE SURVEY	3,986,495.98	4,110,951.25	4,458,543.06	639,173.30	5,097,716.36
55059	NEIGHBOR WORK PROJECT	11,426,593.50	11,376,085.48	11,291,913.20	(81,660.98)	11,210,252.22
55060	AUTOMATIC/PRINT CHARGBACKS	2,854,245.50	1,738,062.92	1,278,210.70	(1,278,210.70)	-
55061	OFT NYT ACCT	5,669,746.06	5,710,073.80	5,815,285.70	(10,480.40)	5,804,805.30
55062	DATA CENTER ACCOUNT	52,387,777.73	52,338,552.49	52,338,552.49	-	52,338,552.49
55066	CYBER SECURITY INTRUSION ACCT	1,261,584.27	1,261,584.27	1,261,584.27	-	1,261,584.27
55067	DOMESTIC VIOLENCE GRANT	94,519.05	140,724.23	167,161.03	1,510.92	168,671.95
55069	CENTRALIZED TECHNOLOGY SERVICES	74,186,236.34	71,929,261.53	73,138,836.95	1,303,508.44	74,442,345.39
55071	LABOR CONTACT CENTER ACCT	449,003.98	534,539.65	631,802.30	289,142.69	920,944.99
55072	HUMAN SERVICES CONTACT CNTR ACCT	2,590,161.63	600,721.91	476,638.33	1,219,585.95	1,696,224.28
55073	TAX CONTACT CENTER ACCT	-	-	-	-	-
55251	EXECUTIVE DIRECTION INTERNAL AUDIT	4,098,577.26	4,264,929.67	4,828,124.09	169,418.50	4,997,542.59
55252	CIO INFORMATION TECHNOLOGY CENTRALIZED SERVICES	1,237,412.89	2,440,355.73	5,168,715.10	1,010,968.49	6,179,683.59
55300	HEALTH INSURANCE INTERNAL SERVICE	8,351,918.23	9,244,585.79	10,885,063.05	630,115.85	11,515,178.90
55301	CIVIL SERVICE EMPLOYEE BENEFITS DIV ADM	7,320,524.00	7,454,183.21	7,860,915.54	127,626.38	7,988,541.92
55350	CORR INDUSTRIES INTERNAL SERVICE	25,002,455.88	24,283,143.08	23,145,766.90	4,187,597.90	27,333,364.80
	<b>TOTAL INTERNAL SERVICE FUNDS</b>	<b>269,499,720.25</b>	<b>263,364,601.39</b>	<b>291,617,621.28</b>	<b>15,553,097.82</b>	<b>307,170,719.10</b>
	<b>GRAND TOTAL - TEMPORARY LOANS OUTSTANDING</b>	<b>\$ 2,673,868,715.43</b>	<b>\$ 2,758,260,081.27</b>	<b>\$ 3,072,746,101.78</b>	<b>\$ 1,151,173,589.82</b>	<b>\$ 4,223,919,691.60</b>

(\*) Temporary Loans are authorized pursuant to Subdivision 5 of Section 4 of the State Finance Law and Chapter 54, Part UU, Section 1, of the Laws of 2016-17. The loans represent authorizations made by the Legislature to allow certain funds/accounts to make appropriated payments regardless of the fund (cash) balance. Such loans are made from the State's Short-Term Investment Pool (STIP) and are intended to satisfy temporary cash shortfalls whenever scheduled disbursements exceed available revenues during the fiscal year. Generally, temporary loans are repaid from the first cash receipts of the fund or account; however, in some cases actual revenues are not sufficient to repay all loans made to the fund or account and a transfer from the General Fund "Repayment of Receivables" appropriation is approved by the Budget Director. The balances reported here in Appendix F are the actual fund balances as of the close of business on the last day of the reporting month and do not include post-closing adjustments. Please refer to Schedule 1 for a detailed analysis of the 'reported' cash balances of the fund group.

(\*\*) Temporary loans to federal funds are typically reimbursed within 2-3 days. Such loans are made pursuant to federal regulations which require the State to disburse funds prior to making a reimbursement claim from the U.S. Treasury.

(\*\*\*) Per Section 72 of the State Finance Law, the General Fund includes the Local Assistance Fund (10000) and State Purpose Fund (10050).

STATE OF NEW YORK  
 DEDICATED INFRASTRUCTURE INVESTMENT FUND (\*)  
 STATEMENT OF RECEIPTS AND DISBURSEMENTS  
 FISCAL YEAR 2016-17

	2016 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2017 JANUARY	FEBRUARY	MARCH	6 Months Ended September 30, 2016
<b>OPENING CASH BALANCE</b>	<b>\$ 110,333,290</b>	<b>\$ 102,733,518</b>	<b>\$ 137,375,142</b>	<b>\$ 155,295,707</b>	<b>\$ 245,594,653</b>	<b>\$ 198,471,862</b>							<b>\$ 110,333,290</b>
<b>RECEIPTS:</b>													
Transfers from General Fund (**)	40,000,000	100,000,000	98,550,000	125,000,000	-	-							363,550,000
<b>Total Receipts</b>	<b>40,000,000</b>	<b>100,000,000</b>	<b>98,550,000</b>	<b>125,000,000</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>363,550,000</b>
<b>DISBURSEMENTS:</b>													
Broadband Initiative	-	-	-	-	-	-							-
Health Care / Hospital Initiatives	-	-	-	-	-	-							-
Information Technology/infrastructure for Behavioral Sciences	-	1,395	14,305	12,360	22,780	14,454							65,294
Infrastructure Improvements	159,949	1,997,342	13,030,085	2,512,984	1,734,050	347,870							19,782,280
Municipal Restructuring	-	1,909,118	205,840	410,631	259,424	2,002,167							4,787,180
Penn Station Access	-	-	-	-	-	-							-
Resiliency, Mitigation, Security and Emergency Response	1,340,487	2,853,343	8,001,370	7,403,988	9,471,695	4,185,619							33,256,502
Southern Tier / Hudson Valley Farm Initiative	-	32,914	-	5,875	2,993,397	954,947							3,987,133
Thruway Stabilization Program	46,099,336	58,564,264	59,377,835	24,355,216	32,641,445	31,674,986							252,713,082
Transformative Economic Development Projects	-	-	-	-	-	-							-
Transportation Capital Plan	-	-	-	-	-	18,708,546							18,708,546
Upstate Revitalization Program	-	-	-	-	-	21,000,000							21,000,000
<b>Total Disbursements</b>	<b>47,599,772</b>	<b>65,358,376</b>	<b>80,629,435</b>	<b>34,701,054</b>	<b>47,122,791</b>	<b>78,888,589</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>354,300,017</b>
<b>OPERATING TRANSFERS:</b>													
Transfers to General Fund	-	-	-	-	-	-							-
<b>Total Operating Transfers</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Total Disbursements and Transfers</b>	<b>47,599,772</b>	<b>65,358,376</b>	<b>80,629,435</b>	<b>34,701,054</b>	<b>47,122,791</b>	<b>78,888,589</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>354,300,017</b>
<b>CLOSING CASH BALANCE</b>	<b>\$ 102,733,518</b>	<b>\$ 137,375,142</b>	<b>\$ 155,295,707</b>	<b>\$ 245,594,653</b>	<b>\$ 198,471,862</b>	<b>\$ 119,583,273</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 119,583,273</b>

(\*) Fund created pursuant to Chapter 60, Laws of 2015-16, Part H and SFL§ 93-b  
 (\*\*) Pursuant to Chapter 54, Laws of 2016-17, Part UU