



Office of the NEW YORK STATE

COMPTROLLER

# Comptroller's Monthly Report on State Funds Cash Basis of Accounting

DECEMBER 2020

Office of Operations

Division of Payroll, Accounting and Revenue Services

Bureau of Financial Reporting and Oil Spill Remediation

NYS Comptroller

THOMAS P. DINAPOLI



STATE OF NEW YORK  
OFFICE OF OPERATIONS  
DIVISION OF PAYROLL, ACCOUNTING AND REVENUE SERVICES  
BUREAU OF FINANCIAL REPORTING AND OIL SPILL REMEDIATION

THOMAS P. DINAPOLI  
STATE COMPTROLLER

COMPTROLLER'S MONTHLY REPORT TO THE LEGISLATURE ON STATE FUNDS - CASH BASIS OF ACCOUNTING  
December 31, 2020

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STATE OF NEW YORK  
 GOVERNMENTAL FUNDS  
 COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES  
 (amounts in millions)

EXHIBIT A

|  | GENERAL               |                               | SPECIAL REVENUE       |                               | DEBT SERVICE          |                               | CAPITAL PROJECTS      |                               | TOTAL GOVERNMENTAL FUNDS |                               |                       |                               | YEAR OVER YEAR             |                         |
|--|-----------------------|-------------------------------|-----------------------|-------------------------------|-----------------------|-------------------------------|-----------------------|-------------------------------|--------------------------|-------------------------------|-----------------------|-------------------------------|----------------------------|-------------------------|
|  | MONTH OF<br>DEC. 2020 | 9 MOS. ENDED<br>DEC. 31, 2020 | MONTH OF<br>DEC. 2020 | 9 MOS. ENDED<br>DEC. 31, 2020 | MONTH OF<br>DEC. 2020 | 9 MOS. ENDED<br>DEC. 31, 2020 | MONTH OF<br>DEC. 2020 | 9 MOS. ENDED<br>DEC. 31, 2020 | MONTH OF<br>DEC. 2020    | 9 MOS. ENDED<br>DEC. 31, 2020 | MONTH OF<br>DEC. 2019 | 9 MOS. ENDED<br>DEC. 31, 2019 | \$ Increase/<br>(Decrease) | % Increase/<br>Decrease |
| <b>RECEIPTS:</b>   |                       |                               |                       |                               |                       |                               |                       |                               |                          |                               |                       |                               |                            |                         |
| Personal Income Tax (3)  | \$ 2,381.4            | \$ 18,362.5                   | \$ 35.0               | \$ 36.6                       | \$ 2,416.4            | \$ 18,399.1                   | \$ -                  | \$ -                          | \$ 4,832.8               | \$ 36,798.2                   | \$ 4,288.0            | \$ 37,317.2                   | \$ (519.0)                 | -1.4%                   |
| Consumption/Use Taxes  | 752.5                 | 5,392.5                       | 167.5                 | 1,335.6                       | 706.7                 | 4,912.3                       | 61.6                  | 403.3                         | 1,688.3                  | 12,043.7                      | 1,783.9               | 13,767.4                      | (1,723.7)                  | -12.5%                  |
| Business Taxes   | 1,363.5               | 4,470.1                       | 274.9                 | 1,250.9                       | -                     | -                             | 47.1                  | 409.9                         | 1,685.5                  | 6,130.9                       | 1,712.9               | 6,343.7                       | (212.8)                    | -3.4%                   |
| Other Taxes  | 124.4                 | 965.7                         | -                     | -                             | 95.6                  | 564.2                         | 11.9                  | 83.4                          | 231.9                    | 1,613.3                       | 231.2                 | 1,686.3                       | (73.0)                     | -4.3%                   |
| Miscellaneous Receipts   | 261.1                 | 6,579.7                       | 1,473.5               | 12,314.6                      | 50.6                  | 325.6                         | 1,055.2               | 4,760.1                       | 2,840.4                  | 23,980.0                      | 2,145.4               | 20,544.4                      | 3,435.6                    | 16.7%                   |
| Federal Receipts   | -                     | 0.2                           | 7,300.6               | 60,581.9                      | 12.5                  | 36.9                          | 228.2                 | 1,547.1                       | 7,541.3                  | 62,166.1                      | 6,605.8               | 49,735.4                      | 12,430.7                   | 25.0%                   |
| <b>Total Receipts</b>  | <b>4,882.9</b>        | <b>35,770.7</b>               | <b>9,251.5</b>        | <b>75,519.6</b>               | <b>3,281.8</b>        | <b>24,238.1</b>               | <b>1,404.0</b>        | <b>7,203.8</b>                | <b>18,820.2</b>          | <b>142,732.2</b>              | <b>16,767.2</b>       | <b>129,394.4</b>              | <b>13,337.8</b>            | <b>10.3%</b>            |
| <b>DISBURSEMENTS:</b>  |                       |                               |                       |                               |                       |                               |                       |                               |                          |                               |                       |                               |                            |                         |
| Local Assistance Grants:   |                       |                               |                       |                               |                       |                               |                       |                               |                          |                               |                       |                               |                            |                         |
| Education  | 2,408.4               | 15,758.5                      | 252.9                 | 5,245.5                       | -                     | -                             | 4.2                   | 90.4                          | 2,665.5                  | 21,094.4                      | 2,784.8               | 22,943.1                      | (1,848.7)                  | -8.1%                   |
| Environment and Recreation   | 0.3                   | 0.3                           | 0.1                   | 2.3                           | -                     | -                             | 20.4                  | 107.2                         | 20.8                     | 109.8                         | 14.2                  | 152.0                         | (42.2)                     | -27.8%                  |
| General Government   | 168.4                 | 805.5                         | 114.3                 | 4,329.6                       | -                     | -                             | 51.2                  | 403.7                         | 333.9                    | 5,538.8                       | 384.8                 | 1,857.9                       | 3,680.9                    | 198.1%                  |
| Public Health:   |                       |                               |                       |                               |                       |                               |                       |                               |                          |                               |                       |                               |                            |                         |
| Medicaid   | 1,632.6               | 11,921.0                      | 5,076.7               | 38,134.4                      | -                     | -                             | -                     | -                             | 6,709.3                  | 50,055.4                      | 4,996.3               | 49,517.5                      | 537.9                      | 1.1%                    |
| Other Public Health  | 168.3                 | 1,648.3                       | 650.7                 | 5,439.5                       | -                     | -                             | 45.5                  | 396.5                         | 864.5                    | 7,484.3                       | 1,049.0               | 7,551.0                       | (66.7)                     | -0.9%                   |
| Public Safety  | 45.6                  | 85.9                          | 133.8                 | 1,553.8                       | -                     | -                             | 1.3                   | 42.1                          | 180.7                    | 1,681.8                       | 133.1                 | 1,216.6                       | 465.2                      | 38.2%                   |
| Public Welfare   | 167.3                 | 1,817.5                       | 162.9                 | 2,531.8                       | -                     | -                             | 96.3                  | 471.4                         | 426.5                    | 4,820.7                       | 247.2                 | 5,322.9                       | (502.2)                    | -9.4%                   |
| Support and Regulate Business  | 10.1                  | 57.2                          | 1.0                   | 43.8                          | -                     | -                             | 133.8                 | 394.9                         | 144.9                    | 495.9                         | 121.6                 | 862.5                         | (366.6)                    | -42.5%                  |
| Transportation   | 24.3                  | 80.4                          | 764.5                 | 2,900.4                       | -                     | -                             | 220.4                 | 1,936.4                       | 1,009.2                  | 4,917.2                       | 1,027.2               | 4,680.9                       | 236.3                      | 5.0%                    |
| <b>Total Local Assistance Grants</b>   | <b>4,625.3</b>        | <b>32,174.6</b>               | <b>7,156.9</b>        | <b>60,181.1</b>               | <b>-</b>              | <b>-</b>                      | <b>573.1</b>          | <b>3,842.6</b>                | <b>12,355.3</b>          | <b>96,198.3</b>               | <b>10,758.2</b>       | <b>94,104.4</b>               | <b>2,093.9</b>             | <b>2.2%</b>             |
| Departmental Operations:   |                       |                               |                       |                               |                       |                               |                       |                               |                          |                               |                       |                               |                            |                         |
| Personal Service   | (485.5)               | 5,115.2                       | 1,784.0               | 6,074.2                       | -                     | -                             | -                     | -                             | 1,298.5                  | 11,189.4                      | 1,145.1               | 11,259.6                      | (70.2)                     | -0.6%                   |
| Non-Personal Service   | 241.1                 | 1,267.2                       | 408.8                 | 3,908.6                       | (8.9)                 | 23.9                          | -                     | -                             | 641.0                    | 5,199.7                       | 524.7                 | 4,943.5                       | 256.2                      | 5.2%                    |
| General State Charges  | 262.3                 | 5,377.7                       | 212.2                 | 1,162.3                       | -                     | -                             | -                     | -                             | 474.5                    | 6,540.0                       | 539.3                 | 7,114.4                       | (574.4)                    | -8.1%                   |
| Debt Service, Including Payments on  |                       |                               |                       |                               |                       |                               |                       |                               |                          |                               |                       |                               |                            |                         |
| Financing Agreements   | -                     | -                             | 102.2                 | 102.2                         | 1,229.8               | 2,579.0                       | -                     | -                             | 1,332.0                  | 2,681.2                       | 412.2                 | 1,512.2                       | 1,169.0                    | 77.3%                   |
| Capital Projects (1)   | -                     | -                             | -                     | 2.3                           | -                     | -                             | 630.5                 | 5,385.0                       | 630.5                    | 5,387.3                       | 577.0                 | 5,425.0                       | (37.7)                     | -0.7%                   |
| <b>Total Disbursements</b>   | <b>4,643.2</b>        | <b>43,934.7</b>               | <b>9,664.1</b>        | <b>71,430.7</b>               | <b>1,220.9</b>        | <b>2,602.9</b>                | <b>1,203.6</b>        | <b>9,227.6</b>                | <b>16,731.8</b>          | <b>127,195.9</b>              | <b>13,956.5</b>       | <b>124,359.1</b>              | <b>2,836.8</b>             | <b>2.3%</b>             |
| <b>Excess (Deficiency) of Receipts<br/>over Disbursements</b>  | <b>239.7</b>          | <b>(8,164.0)</b>              | <b>(412.6)</b>        | <b>4,088.9</b>                | <b>2,060.9</b>        | <b>21,635.2</b>               | <b>200.4</b>          | <b>(2,023.8)</b>              | <b>2,088.4</b>           | <b>15,536.3</b>               | <b>2,810.7</b>        | <b>5,035.3</b>                | <b>10,501.0</b>            | <b>208.5%</b>           |
| <b>OTHER FINANCING SOURCES (USES):</b>   |                       |                               |                       |                               |                       |                               |                       |                               |                          |                               |                       |                               |                            |                         |
| Bond and Note Proceeds (net)   | -                     | -                             | -                     | -                             | -                     | -                             | -                     | -                             | -                        | -                             | -                     | -                             | -                          | 0.0%                    |
| Transfers from Other Funds (2)   | 2,943.2               | 19,962.8                      | 128.5                 | 1,844.3                       | 135.4                 | 1,601.5                       | 124.1                 | 2,216.9                       | 3,331.2                  | 25,625.5                      | 4,008.5               | 32,660.4                      | (7,034.9)                  | -21.5%                  |
| Transfers to Other Funds (2)   | (233.2)               | (4,190.6)                     | (198.2)               | (1,466.7)                     | (2,848.8)             | (19,826.3)                    | (54.3)                | (352.9)                       | (3,334.5)                | (25,836.5)                    | (4,009.8)             | (32,770.4)                    | (6,933.9)                  | -21.2%                  |
| <b>Total Other Financing Sources (Uses)</b>  | <b>2,710.0</b>        | <b>15,772.2</b>               | <b>(69.7)</b>         | <b>377.6</b>                  | <b>(2,713.4)</b>      | <b>(18,224.8)</b>             | <b>69.8</b>           | <b>1,864.0</b>                | <b>(3.3)</b>             | <b>(211.0)</b>                | <b>(1.3)</b>          | <b>(110.0)</b>                | <b>(101.0)</b>             | <b>-91.8%</b>           |
| <b>Excess (Deficiency) of Receipts<br/>and Other Financing Sources over<br/>Disbursements and Other Financing Uses</b> | <b>2,949.7</b>        | <b>7,608.2</b>                | <b>(482.3)</b>        | <b>4,466.5</b>                | <b>(652.5)</b>        | <b>3,410.4</b>                | <b>270.2</b>          | <b>(159.8)</b>                | <b>2,085.1</b>           | <b>15,325.3</b>               | <b>2,809.4</b>        | <b>4,925.3</b>                | <b>10,400.0</b>            | <b>211.2%</b>           |
| <b>Beginning Fund Balances (Deficits)</b>  | <b>13,602.7</b>       | <b>8,944.2</b>                | <b>11,260.9</b>       | <b>6,312.1</b>                | <b>4,126.3</b>        | <b>63.4</b>                   | <b>(1,464.9)</b>      | <b>(1,034.9)</b>              | <b>27,525.0</b>          | <b>14,284.8</b>               | <b>12,090.9</b>       | <b>9,975.0</b>                | <b>4,309.8</b>             | <b>43.2%</b>            |
| <b>Ending Fund Balances (Deficits)</b>   | <b>\$ 16,552.4</b>    | <b>\$ 16,552.4</b>            | <b>\$ 10,778.6</b>    | <b>\$ 10,778.6</b>            | <b>\$ 3,473.8</b>     | <b>\$ 3,473.8</b>             | <b>\$ (1,194.7)</b>   | <b>\$ (1,194.7)</b>           | <b>\$ 29,610.1</b>       | <b>\$ 29,610.1</b>            | <b>\$ 14,900.3</b>    | <b>\$ 14,900.3</b>            | <b>\$ 14,709.8</b>         | <b>98.7%</b>            |

**STATE OF NEW YORK  
GOVERNMENTAL FUNDS-STATE OPERATING (\*)  
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES  
(amounts in millions)**

**EXHIBIT A  
SUPPLEMENTAL**

|  | GENERAL               |                               | STATE SPECIAL REVENUE (**) |                               | DEBT SERVICE          |                               | TOTAL STATE OPERATING FUNDS |                               |                       |                               |                            |                         |
|--|-----------------------|-------------------------------|----------------------------|-------------------------------|-----------------------|-------------------------------|-----------------------------|-------------------------------|-----------------------|-------------------------------|----------------------------|-------------------------|
|  | MONTH OF<br>DEC. 2020 | 9 MOS. ENDED<br>DEC. 31, 2020 | MONTH OF<br>DEC. 2020      | 9 MOS. ENDED<br>DEC. 31, 2020 | MONTH OF<br>DEC. 2020 | 9 MOS. ENDED<br>DEC. 31, 2020 | MONTH OF<br>DEC. 2020       | 9 MOS. ENDED<br>DEC. 31, 2020 | MONTH OF<br>DEC. 2019 | 9 MOS. ENDED<br>DEC. 31, 2019 | \$ Increase/<br>(Decrease) | % Increase/<br>Decrease |
| <b>RECEIPTS:</b>   |                       |                               |                            |                               |                       |                               |                             |                               |                       |                               |                            |                         |
| Personal Income Tax (3)  | \$ 2,381.4            | \$ 18,362.5                   | \$ 35.0                    | \$ 36.6                       | \$ 2,416.4            | \$ 18,399.1                   | \$ 4,832.8                  | \$ 36,798.2                   | \$ 4,288.0            | \$ 37,317.2                   | \$ (519.0)                 | -1.4%                   |
| Consumption/Use Taxes  | 752.5                 | 5,392.5                       | 167.5                      | 1,335.6                       | 706.7                 | 4,912.3                       | 1,626.7                     | 11,640.4                      | 1,718.1               | 13,275.3                      | (1,634.9)                  | -12.3%                  |
| Business Taxes   | 1,363.5               | 4,470.1                       | 274.9                      | 1,250.9                       | -                     | -                             | 1,638.4                     | 5,721.0                       | 1,658.7               | 5,828.9                       | (107.9)                    | -1.9%                   |
| Other Taxes  | 124.4                 | 965.7                         | -                          | -                             | 95.6                  | 564.2                         | 220.0                       | 1,529.9                       | 219.3                 | 1,602.9                       | (73.0)                     | -4.6%                   |
| Miscellaneous Receipts   | 261.1                 | 6,579.7                       | 1,463.2                    | 12,165.8                      | 50.6                  | 325.6                         | 1,774.9                     | 19,071.1                      | 1,727.6               | 16,480.2                      | 2,590.9                    | 15.7%                   |
| Federal Receipts   | -                     | 0.2                           | -                          | 7.2                           | 12.5                  | 36.9                          | 12.5                        | 44.3                          | -                     | 55.0                          | (10.7)                     | -19.5%                  |
| <b>Total Receipts</b>  | <b>4,882.9</b>        | <b>35,770.7</b>               | <b>1,940.6</b>             | <b>14,796.1</b>               | <b>3,281.8</b>        | <b>24,238.1</b>               | <b>10,105.3</b>             | <b>74,804.9</b>               | <b>9,611.7</b>        | <b>74,559.5</b>               | <b>245.4</b>               | <b>0.3%</b>             |
| <b>DISBURSEMENTS:</b>  |                       |                               |                            |                               |                       |                               |                             |                               |                       |                               |                            |                         |
| Local Assistance Grants:   |                       |                               |                            |                               |                       |                               |                             |                               |                       |                               |                            |                         |
| Education  | 2,408.4               | 15,758.5                      | 174.7                      | 3,094.4                       | -                     | -                             | 2,583.1                     | 18,852.9                      | 2,433.9               | 20,138.1                      | (1,285.2)                  | -6.4%                   |
| Environment and Recreation   | 0.3                   | 0.3                           | -                          | 1.8                           | -                     | -                             | 0.3                         | 2.1                           | 0.7                   | 3.8                           | (1.7)                      | -44.7%                  |
| General Government   | 168.4                 | 805.5                         | 15.7                       | 111.6                         | -                     | -                             | 184.1                       | 917.1                         | 232.4                 | 1,106.5                       | (189.4)                    | -17.1%                  |
| Public Health:   |                       |                               |                            |                               |                       |                               |                             |                               |                       |                               |                            |                         |
| Medicaid   | 1,632.6               | 11,921.0                      | 490.1                      | 4,170.7                       | -                     | -                             | 2,122.7                     | 16,091.7                      | 1,734.4               | 19,299.6                      | (3,207.9)                  | -16.6%                  |
| Other Public Health  | 168.3                 | 1,648.3                       | 95.0                       | 614.5                         | -                     | -                             | 263.3                       | 2,262.8                       | 382.9                 | 2,412.5                       | (149.7)                    | -6.2%                   |
| Public Safety  | 45.6                  | 85.9                          | 20.4                       | 108.1                         | -                     | -                             | 66.0                        | 194.0                         | 34.2                  | 275.1                         | (81.1)                     | -29.5%                  |
| Public Welfare   | 167.3                 | 1,817.5                       | 0.9                        | 1.9                           | -                     | -                             | 168.2                       | 1,819.4                       | 119.2                 | 1,839.9                       | (20.5)                     | -1.1%                   |
| Support and Regulate Business  | 10.1                  | 57.2                          | 0.8                        | 37.6                          | -                     | -                             | 10.9                        | 94.8                          | 19.0                  | 154.9                         | (60.1)                     | -38.8%                  |
| Transportation   | 24.3                  | 80.4                          | 757.0                      | 2,852.6                       | -                     | -                             | 781.3                       | 2,933.0                       | 739.5                 | 3,282.3                       | (349.3)                    | -10.6%                  |
| <b>Total Local Assistance Grants</b>   | <b>4,625.3</b>        | <b>32,174.6</b>               | <b>1,554.6</b>             | <b>10,993.2</b>               | <b>-</b>              | <b>-</b>                      | <b>6,179.9</b>              | <b>43,167.8</b>               | <b>5,696.2</b>        | <b>48,512.7</b>               | <b>(5,344.9)</b>           | <b>-11.0%</b>           |
| Departmental Operations:   |                       |                               |                            |                               |                       |                               |                             |                               |                       |                               |                            |                         |
| Personal Service   | (485.5)               | 5,115.2                       | 387.5                      | 3,833.0                       | -                     | -                             | (98.0)                      | 8,948.2                       | 1,092.2               | 10,771.1                      | (1,822.9)                  | -16.9%                  |
| Non-Personal Service   | 241.1                 | 1,267.2                       | 203.0                      | 1,850.3                       | (8.9)                 | 23.9                          | 435.2                       | 3,141.4                       | 399.2                 | 3,985.8                       | (844.4)                    | -21.2%                  |
| General State Charges  | 262.3                 | 5,377.7                       | 99.8                       | 678.7                         | -                     | -                             | 362.1                       | 6,056.4                       | 513.8                 | 6,872.6                       | (816.2)                    | -11.9%                  |
| Debt Service, Including Payments on  |                       |                               |                            |                               |                       |                               |                             |                               |                       |                               |                            |                         |
| Financing Agreements   | -                     | -                             | -                          | -                             | 1,229.8               | 2,579.0                       | 1,229.8                     | 2,579.0                       | 412.2                 | 1,512.2                       | 1,066.8                    | 70.5%                   |
| Capital Projects   | -                     | -                             | -                          | -                             | -                     | -                             | -                           | -                             | -                     | -                             | -                          | 0.0%                    |
| <b>Total Disbursements</b>   | <b>4,643.2</b>        | <b>43,934.7</b>               | <b>2,244.9</b>             | <b>17,355.2</b>               | <b>1,220.9</b>        | <b>2,602.9</b>                | <b>8,109.0</b>              | <b>63,892.8</b>               | <b>8,113.6</b>        | <b>71,654.4</b>               | <b>(7,761.6)</b>           | <b>-10.8%</b>           |
| <b>Excess (Deficiency) of Receipts over Disbursements</b>  | <b>239.7</b>          | <b>(8,164.0)</b>              | <b>(304.3)</b>             | <b>(2,559.1)</b>              | <b>2,060.9</b>        | <b>21,635.2</b>               | <b>1,996.3</b>              | <b>10,912.1</b>               | <b>1,498.1</b>        | <b>2,905.1</b>                | <b>8,007.0</b>             | <b>275.6%</b>           |
| <b>OTHER FINANCING SOURCES (USES):</b>   |                       |                               |                            |                               |                       |                               |                             |                               |                       |                               |                            |                         |
| Transfers from Other Funds (2)   | 2,943.2               | 19,962.8                      | 138.6                      | 2,394.8                       | 135.4                 | 1,601.5                       | 3,217.2                     | 23,959.1                      | 3,514.4               | 29,739.8                      | (5,780.7)                  | -19.4%                  |
| Transfers to Other Funds (2)   | (233.2)               | (4,190.6)                     | (42.5)                     | (233.1)                       | (2,848.8)             | (19,826.3)                    | (3,124.5)                   | (24,250.0)                    | (3,584.3)             | (30,983.0)                    | (6,733.0)                  | -21.7%                  |
| <b>Total Other Financing Sources (Uses)</b>  | <b>2,710.0</b>        | <b>15,772.2</b>               | <b>96.1</b>                | <b>2,161.7</b>                | <b>(2,713.4)</b>      | <b>(18,224.8)</b>             | <b>92.7</b>                 | <b>(290.9)</b>                | <b>(69.9)</b>         | <b>(1,243.2)</b>              | <b>952.3</b>               | <b>76.6%</b>            |
| <b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b> | <b>2,949.7</b>        | <b>7,608.2</b>                | <b>(208.2)</b>             | <b>(397.4)</b>                | <b>(652.5)</b>        | <b>3,410.4</b>                | <b>2,089.0</b>              | <b>10,621.2</b>               | <b>1,428.2</b>        | <b>1,661.9</b>                | <b>8,959.3</b>             | <b>539.1%</b>           |
| <b>Beginning Fund Balances (Deficits)</b>  | <b>13,602.7</b>       | <b>8,944.2</b>                | <b>5,211.5</b>             | <b>5,400.7</b>                | <b>4,126.3</b>        | <b>63.4</b>                   | <b>22,940.5</b>             | <b>14,408.3</b>               | <b>12,595.0</b>       | <b>12,361.3</b>               | <b>2,047.0</b>             | <b>16.6%</b>            |
| <b>Ending Fund Balances (Deficits)</b>   | <b>\$ 16,552.4</b>    | <b>\$ 16,552.4</b>            | <b>\$ 5,003.3</b>          | <b>\$ 5,003.3</b>             | <b>\$ 3,473.8</b>     | <b>\$ 3,473.8</b>             | <b>\$ 25,029.5</b>          | <b>\$ 25,029.5</b>            | <b>\$ 14,023.2</b>    | <b>\$ 14,023.2</b>            | <b>\$ 11,006.3</b>         | <b>78.5%</b>            |

(\*) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal Funds) and Debt Service Funds.

(\*\*) Eliminations between Special Revenue - State and Federal Funds are not included.

**GOVERNMENTAL FUNDS FOOTNOTES**

1. Certain disbursements from Capital Projects funds are financed by operating transfers from other funds, proceeds of State bonds and notes, and reimbursements received from Public Authorities and the Federal Government. The amounts shown below represent disbursements to be reimbursed in future months from the sources indicated:

|   |                 |
|---|-----------------|
| Urban Development Corporation (Correctional Facilities) | \$194.4 million |
| Urban Development Corporation (Youth Facilities)        | 12.4            |
| Housing Finance Agency (HFA)                            | 254.6           |
| Housing Assistance Fund                                 | 12.9            |
| Dormitory Authority (Mental Hygiene)                    | 457.7           |
| Dormitory Authority and State University Income Fund    | 394.2           |
| Federal Capital Projects                                | 351.7           |
| State bond and note proceeds                            | 203.3           |

2. Operating Transfers constitute legally authorized transfers from a fund receiving revenues to a fund through which disbursements will ultimately be made. The more significant transfers include:

**General Fund** "Transfers to Other Funds" are as follows:

|   |                   |
|---|-------------------|
| State Capital Projects Fund                               | \$1,801.6 million |
| General Debt Service Fund                                 | 154.1             |
| Banking Services Account                                  | 25.2              |
| Building Administration Account                           | 8.0               |
| Business Services Center                                  | 26.9              |
| Centralized Tech Services                                 | 11.5              |
| Court Facilities Incentive Aid Fund                       | 90.6              |
| Dedicated Highway & Bridge Trust Fund                     | 49.5              |
| Dedicated Infrastructure Investment Fund                  | 313.0             |
| Dedicated Mass Transportation - Railroad Account          | 6.6               |
| Dedicated Mass Transportation - Transit Authority Account | 36.7              |
| Dedicated Mass Transportation - (Non MTA)                 | 3.8               |
| Environmental Protection Fund                             | 19.8              |
| Health Insurance Revolving Fund                           | 12.0              |
| Mass Transportation Operating Assistance Fund             | 27.8              |
| Mass Transportation Financial Assistance                  | 244.3             |
| New York Central Business District Trust Fund             | 112.5             |
| New York City County Clerks' Operations Offset            | 2.8               |
| Recruitment Incentive Account                             | 2.1               |
| State Fair Receipts                                       | 3.0               |
| State University Income Fund                              | 987.4             |

Also included in the General Fund are transfers representing payments for patients residing in State-operated health, mental hygiene and State University facilities to Debt Service funds (6.2m), and the State University Income Fund (\$243.7.m).

§72(4)(b) was added to the State Finance Law in 2010 to permit the State's General Debt Service Fund to maintain a cash reserve for the payment of debt service, and related expenses, during the current fiscal quarter. As of December 31, 2020 - pursuant to a certification of the Budget Director - payment obligations were met out of these reserves and future payment amounts were scheduled for transfer at the commencement of the succeeding month.

**Special Revenue Funds** "Transfers To Other Funds" includes transfers to Mental Health Services Fund and Department of Health Income Fund (\$1,140.2m) representing the federal share of Medicaid payments for patients residing in State-operated Health and Mental Hygiene facilities, the General Debt Service Fund (\$4.9m), Medicaid Management Information System Escrow Fund (\$122.3m), SUNY Capital Projects Fund (\$2.9m), and All Other Capital Projects (\$30.1m).

Also included in Special Revenue funds are transfers to the General Fund from the following:

|   |               |
|---|---------------|
| Business & Licensing Services Account             | \$3.0 million |
| Clean Air   | 2.1           |
| Encon Special Revenue                             | 4.7           |
| Federal Department of Health Services Fund        | 57.7          |
| Federal Education Fund                            | 1.0           |
| Federal Operating Grants Fund                     | 5.3           |
| Federal USDA/Food and Nutrition Services Fund     | 7.7           |
| HESC Insurance Premium Account                    | 5.3           |
| Mass Transportation Operating Assistance Fund     | 1.1           |
| Miscellaneous State Special Revenue Fund          | 1.4           |
| Patron Services Account                           | 1.6           |
| Public Service Account                            | 3.6           |
| State Lottery Fund                                | 4.6           |
| SUNY Income Fund                                  | 22.4          |
| System and Technology Account                     | 2.6           |
| Unemployment Insurance Administration Fund        | 16.2          |
| Unemployment Insurance Interest & Penalty Account | 11.4          |
| Workers' Compensation Board                       | 7.3           |

**Debt Service Funds** "Transfers To Other Funds" includes transfers to the General Fund from the following:

|                                      |                    |
|--------------------------------------|--------------------|
| Revenue Bond Tax Fund                | \$14,222.2 million |
| Local Government Assistance Tax Fund | 2,456.2            |
| Sales Tax Revenue Bond Tax Fund      | 1,526.9            |
| Clean Water/Clean Air Fund           | 526.3              |
| Mental Health Services Fund          | 1,009.4            |

Also included in Debt Service funds are transfers to Special Revenue funds representing receipts in excess of lease-purchase obligations that are used to finance a portion of the operating expenses for the Department of Health (\$85.3m).

**Capital Projects Funds** "Transfers To Other Funds" includes transfers to the General Fund (\$57.5m), the General Debt Service Fund - Lease Purchase (\$93.5m), and the Revenue Bond Tax Fund (\$201.9m).

3. A portion of Personal Income Tax receipts is transferred to the State Special Revenue School Tax Relief (STAR) Fund to be used to reimburse school districts for the STAR property tax exemptions for homeowners and payments to homeowners for the STAR Property Rebate Program. School Tax Relief payments were (\$36.6m) as of December 31, 2020.

STATE OF NEW YORK  
 PROPRIETARY FUNDS  
 COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES  
 (amounts in millions)

EXHIBIT B

|  | ENTERPRISE            |                               | INTERNAL SERVICE      |                               | TOTAL PROPRIETARY FUNDS |                               |                       |                               | YEAR OVER YEAR             |                         |
|--|-----------------------|-------------------------------|-----------------------|-------------------------------|-------------------------|-------------------------------|-----------------------|-------------------------------|----------------------------|-------------------------|
|  | MONTH OF<br>DEC. 2020 | 9 MOS. ENDED<br>DEC. 31, 2020 | MONTH OF<br>DEC. 2020 | 9 MOS. ENDED<br>DEC. 31, 2020 | MONTH OF<br>DEC. 2020   | 9 MOS. ENDED<br>DEC. 31, 2020 | MONTH OF<br>DEC. 2019 | 9 MOS. ENDED<br>DEC. 31, 2019 | \$ Increase/<br>(Decrease) | % Increase/<br>Decrease |
| <b>RECEIPTS:</b>   |                       |                               |                       |                               |                         |                               |                       |                               |                            |                         |
| Miscellaneous Receipts   | \$ 3.1                | \$ 48.4                       | \$ 46.0               | \$ 296.7                      | \$ 49.1                 | \$ 345.1                      | \$ 46.5               | \$ 472.1                      | \$ (127.0)                 | -26.9%                  |
| Federal Receipts   | 2,184.6               | 41,032.9                      | -                     | -                             | 2,184.6                 | 41,032.9                      | 5.9                   | 13.7                          | 41,019.2                   | 299,410.2%              |
| Unemployment Taxes   | 561.3                 | 13,644.8                      | -                     | -                             | 561.3                   | 13,644.8                      | 220.3                 | 1,477.0                       | 12,167.8                   | 823.8%                  |
| <b>Total Receipts</b>  | <b>2,749.0</b>        | <b>54,726.1</b>               | <b>46.0</b>           | <b>296.7</b>                  | <b>2,795.0</b>          | <b>55,022.8</b>               | <b>272.7</b>          | <b>1,962.8</b>                | <b>53,060.0</b>            | <b>2,703.3%</b>         |
| <b>DISBURSEMENTS:</b>  |                       |                               |                       |                               |                         |                               |                       |                               |                            |                         |
| Departmental Operations:   |                       |                               |                       |                               |                         |                               |                       |                               |                            |                         |
| Personal Service   | 0.9                   | 10.4                          | 10.7                  | 104.2                         | 11.6                    | 114.6                         | 10.8                  | 109.9                         | 4.7                        | 4.3%                    |
| Non-Personal Service   | 4.6                   | 40.4                          | 45.0                  | 360.2                         | 49.6                    | 400.6                         | 34.3                  | 357.7                         | 42.9                       | 12.0%                   |
| General State Charges  | 0.2                   | 1.4                           | 4.3                   | 47.5                          | 4.5                     | 48.9                          | 4.7                   | 42.1                          | 6.8                        | 16.2%                   |
| Unemployment Benefits  | 2,745.9               | 54,668.2                      | -                     | -                             | 2,745.9                 | 54,668.2                      | 226.9                 | 1,492.1                       | 53,176.1                   | 3,563.8%                |
| <b>Total Disbursements</b>   | <b>2,751.6</b>        | <b>54,720.4</b>               | <b>60.0</b>           | <b>511.9</b>                  | <b>2,811.6</b>          | <b>55,232.3</b>               | <b>276.7</b>          | <b>2,001.8</b>                | <b>53,230.5</b>            | <b>2,659.1%</b>         |
| <b>Excess (Deficiency) of Receipts<br/>Over Disbursements</b>  | <b>(2.6)</b>          | <b>5.7</b>                    | <b>(14.0)</b>         | <b>(215.2)</b>                | <b>(16.6)</b>           | <b>(209.5)</b>                | <b>(4.0)</b>          | <b>(39.0)</b>                 | <b>(170.5)</b>             | <b>-437.2%</b>          |
| <b>OTHER FINANCING SOURCES (USES):</b>   |                       |                               |                       |                               |                         |                               |                       |                               |                            |                         |
| Transfers from Other Funds   | -                     | 3.0                           | 5.8                   | 88.5                          | 5.8                     | 91.5                          | 4.4                   | 57.0                          | 34.5                       | 60.5%                   |
| Transfers to Other Funds   | -                     | -                             | (2.9)                 | (3.3)                         | (2.9)                   | (3.3)                         | (3.2)                 | (4.3)                         | (1.0)                      | -23.3%                  |
| <b>Total Other Financing Sources (Uses)</b>  | <b>-</b>              | <b>3.0</b>                    | <b>2.9</b>            | <b>85.2</b>                   | <b>2.9</b>              | <b>88.2</b>                   | <b>1.2</b>            | <b>52.7</b>                   | <b>35.5</b>                | <b>67.4%</b>            |
| <b>Excess (Deficiency) of Receipts<br/>and Other Financing Sources<br/>over Disbursements and Other<br/>Financing Uses</b> | <b>(2.6)</b>          | <b>8.7</b>                    | <b>(11.1)</b>         | <b>(130.0)</b>                | <b>(13.7)</b>           | <b>(121.3)</b>                | <b>(2.8)</b>          | <b>13.7</b>                   | <b>(135.0)</b>             | <b>-985.4%</b>          |
| <b>Beginning Fund Balances (Deficits)</b>  | <b>41.0</b>           | <b>29.7</b>                   | <b>(416.4)</b>        | <b>(297.5)</b>                | <b>(375.4)</b>          | <b>(267.8)</b>                | <b>(259.6)</b>        | <b>(276.1)</b>                | <b>8.3</b>                 | <b>3.0%</b>             |
| <b>Ending Fund Balances (Deficits)</b>   | <b>\$ 38.4</b>        | <b>\$ 38.4</b>                | <b>\$ (427.5)</b>     | <b>\$ (427.5)</b>             | <b>\$ (389.1)</b>       | <b>\$ (389.1)</b>             | <b>\$ (262.4)</b>     | <b>\$ (262.4)</b>             | <b>\$ (126.7)</b>          | <b>-48.3%</b>           |

STATE OF NEW YORK  
TRUST FUNDS  
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES  
(amounts in millions)

EXHIBIT C

|  | PENSION               |                               | PRIVATE PURPOSE       |                               | TOTAL TRUST FUNDS     |                               |                       |                               | YEAR OVER YEAR             |                         |
|--|-----------------------|-------------------------------|-----------------------|-------------------------------|-----------------------|-------------------------------|-----------------------|-------------------------------|----------------------------|-------------------------|
|  | MONTH OF<br>DEC. 2020 | 9 MOS. ENDED<br>DEC. 31, 2020 | MONTH OF<br>DEC. 2020 | 9 MOS. ENDED<br>DEC. 31, 2020 | MONTH OF<br>DEC. 2020 | 9 MOS. ENDED<br>DEC. 31, 2020 | MONTH OF<br>DEC. 2019 | 9 MOS. ENDED<br>DEC. 31, 2019 | \$ Increase/<br>(Decrease) | % Increase/<br>Decrease |
| <b>RECEIPTS:</b>   |                       |                               |                       |                               |                       |                               |                       |                               |                            |                         |
| Miscellaneous Receipts   | \$ 15.8               | \$ 95.0                       | \$ -                  | \$ 0.2                        | \$ 15.8               | \$ 95.2                       | \$ 36.5               | \$ 114.0                      | \$ (18.8)                  | -16.5%                  |
| <b>Total Receipts</b>  | <b>15.8</b>           | <b>95.0</b>                   | <b>-</b>              | <b>0.2</b>                    | <b>15.8</b>           | <b>95.2</b>                   | <b>36.5</b>           | <b>114.0</b>                  | <b>(18.8)</b>              | <b>-16.5%</b>           |
| <b>DISBURSEMENTS:</b>  |                       |                               |                       |                               |                       |                               |                       |                               |                            |                         |
| Departmental Operations:   |                       |                               |                       |                               |                       |                               |                       |                               |                            |                         |
| Personal Service   | 5.6                   | 55.4                          | -                     | 0.2                           | 5.6                   | 55.6                          | 5.4                   | 52.3                          | 3.3                        | 6.3%                    |
| Non-Personal Service   | 2.7                   | 8.7                           | -                     | -                             | 2.7                   | 8.7                           | 19.5                  | 29.3                          | (20.6)                     | -70.3%                  |
| General State Charges  | 5.2                   | 31.1                          | -                     | 0.1                           | 5.2                   | 31.2                          | 3.4                   | 28.4                          | 2.8                        | 9.9%                    |
| <b>Total Disbursements</b>   | <b>13.5</b>           | <b>95.2</b>                   | <b>-</b>              | <b>0.3</b>                    | <b>13.5</b>           | <b>95.5</b>                   | <b>28.3</b>           | <b>110.0</b>                  | <b>(14.5)</b>              | <b>-13.2%</b>           |
| <b>Excess (Deficiency) of Receipts<br/>Over Disbursements</b>  | <b>2.3</b>            | <b>(0.2)</b>                  | <b>-</b>              | <b>(0.1)</b>                  | <b>2.3</b>            | <b>(0.3)</b>                  | <b>8.2</b>            | <b>4.0</b>                    | <b>(4.3)</b>               | <b>-107.5%</b>          |
| <b>OTHER FINANCING SOURCES (USES):</b>   |                       |                               |                       |                               |                       |                               |                       |                               |                            |                         |
| Transfers from Other Funds   | -                     | -                             | -                     | -                             | -                     | -                             | -                     | -                             | -                          | 0.0%                    |
| Transfers to Other Funds   | -                     | -                             | -                     | -                             | -                     | -                             | -                     | -                             | -                          | 0.0%                    |
| <b>Total Other Financing Sources (Uses)</b>  | <b>-</b>              | <b>-</b>                      | <b>-</b>              | <b>-</b>                      | <b>-</b>              | <b>-</b>                      | <b>-</b>              | <b>-</b>                      | <b>-</b>                   | <b>0.0%</b>             |
| <b>Excess (Deficiency) of Receipts<br/>and Other Financing Sources<br/>over Disbursements and Other<br/>Financing Uses</b> | <b>2.3</b>            | <b>(0.2)</b>                  | <b>-</b>              | <b>(0.1)</b>                  | <b>2.3</b>            | <b>(0.3)</b>                  | <b>8.2</b>            | <b>4.0</b>                    | <b>(4.3)</b>               | <b>-107.5%</b>          |
| <b>Beginning Fund Balances (Deficits)</b>  | <b>(3.6)</b>          | <b>(1.1)</b>                  | <b>14.2</b>           | <b>14.3</b>                   | <b>10.6</b>           | <b>13.2</b>                   | <b>6.0</b>            | <b>10.2</b>                   | <b>3.0</b>                 | <b>29.4%</b>            |
| <b>Ending Fund Balances (Deficits)</b>   | <b>\$ (1.3)</b>       | <b>\$ (1.3)</b>               | <b>\$ 14.2</b>        | <b>\$ 14.2</b>                | <b>\$ 12.9</b>        | <b>\$ 12.9</b>                | <b>\$ 14.2</b>        | <b>\$ 14.2</b>                | <b>\$ (1.3)</b>            | <b>-9.2%</b>            |

STATE OF NEW YORK  
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL  
 FISCAL YEAR 2020-2021  
 FOR NINE MONTHS ENDED DECEMBER 31, 2020  
 (amounts in millions)

EXHIBIT D

|  | ALL GOVERNMENTAL FUNDS           |                                   |                    |   |   |
|--|----------------------------------|-----------------------------------|--------------------|---|---|
|  | Enacted<br>Financial<br>Plan (*) | Updated<br>Financial<br>Plan (**) | Actual             | Actual<br>Over/<br>(Under)<br>Enacted<br>Financial Plan | Actual<br>Over/<br>(Under)<br>Updated<br>Financial Plan |
| <b>RECEIPTS:</b>   |                                  |                                   |                    |   |   |
| Taxes:   |                                  |                                   |                    |   |   |
| Personal Income  | \$ 34,547.0                      | \$ 35,457.0                       | \$ 36,798.2        | \$ 2,251.2  | \$ 1,341.2  |
| Consumption/Use  | 11,534.0                         | 11,254.0                          | 12,043.7           | 509.7   | 789.7   |
| Business   | 6,215.0                          | 6,539.0                           | 6,130.9            | (84.1)  | (408.1)   |
| Other  | 1,554.0                          | 1,545.0                           | 1,613.3            | 59.3  | 68.3  |
| Miscellaneous Receipts   | 24,156.0                         | 24,959.0                          | 23,980.0           | (176.0)   | (979.0)   |
| Federal Receipts   | 52,555.0                         | 61,301.0                          | 62,166.1           | 9,611.1   | 865.1   |
| <b>Total Receipts</b>  | <b>130,561.0</b>                 | <b>141,055.0</b>                  | <b>142,732.2</b>   | <b>12,171.2</b>   | <b>1,677.2</b>  |
| <b>DISBURSEMENTS:</b>  |                                  |                                   |                    |   |   |
| Local Assistance Grants  | 93,225.0                         | 97,982.0                          | 96,198.3           | 2,973.3   | (1,783.7)   |
| Departmental Operations  | 15,961.0                         | 19,554.0                          | 16,389.1           | 428.1   | (3,164.9)   |
| General State Charges  | 6,756.0                          | 6,711.0                           | 6,540.0            | (216.0)   | (171.0)   |
| Debt Service   | 1,731.0                          | 2,592.0                           | 2,681.2            | 950.2   | 89.2  |
| Capital Projects   | 7,372.0                          | 5,817.0                           | 5,387.3            | (1,984.7)   | (429.7)   |
| <b>Total Disbursements</b>   | <b>125,045.0</b>                 | <b>132,656.0</b>                  | <b>127,195.9</b>   | <b>2,150.9</b>  | <b>(5,460.1)</b>  |
| <b>Excess (Deficiency) of Receipts<br/>over Disbursements</b>  | <b>5,516.0</b>                   | <b>8,399.0</b>                    | <b>15,536.3</b>    | <b>10,020.3</b>   | <b>7,137.3</b>  |
| <b>OTHER FINANCING SOURCES (USES):</b>   |                                  |                                   |                    |   |   |
| Bond and Note Proceeds, net  | -                                | -                                 | -                  | -   | -   |
| Transfers from Other Funds   | 24,329.0                         | 25,248.0                          | 25,625.5           | 1,296.5   | 377.5   |
| Transfers to Other Funds   | (24,425.0)                       | (25,468.0)                        | (25,836.5)         | 1,411.5   | 368.5   |
| <b>Total Other Financing Sources (Uses)</b>  | <b>(96.0)</b>                    | <b>(220.0)</b>                    | <b>(211.0)</b>     | <b>(115.0)</b>  | <b>9.0</b>  |
| <b>Excess (Deficiency) of Receipts and Other<br/>Financing Sources over Disbursements<br/>and Other Financing Uses</b> | <b>5,420.0</b>                   | <b>8,179.0</b>                    | <b>15,325.3</b>    | <b>9,905.3</b>  | <b>7,146.3</b>  |
| <b>Fund Balances (Deficits) at April 1</b>   | <b>14,284.0</b>                  | <b>14,283.0</b>                   | <b>14,284.8</b>    | <b>0.8</b>  | <b>1.8</b>  |
| <b>Fund Balances (Deficits) at December 31, 2020</b>   | <b>\$ 19,704.0</b>               | <b>\$ 22,462.0</b>                | <b>\$ 29,610.1</b> | <b>\$ 9,906.1</b>                                       | <b>\$ 7,148.1</b>                                       |

(\*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.

(\*\*) Source: 2020-21 Mid Year Update dated October 30, 2020.



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EXHIBIT D

|  | STATE OPERATING FUNDS (***)      |                                   |                    |   |   |
|--|----------------------------------|-----------------------------------|--------------------|---|---|
|  | Enacted<br>Financial<br>Plan (*) | Updated<br>Financial<br>Plan (**) | Actual             | Actual<br>Over/<br>(Under)<br>Enacted<br>Financial Plan | Actual<br>Over/<br>(Under)<br>Updated<br>Financial Plan |
| <b>RECEIPTS:</b>   |                                  |                                   |                    |   |   |
| Taxes:   |                                  |                                   |                    |   |   |
| Personal Income  | \$ 34,547.0                      | \$ 35,457.0                       | \$ 36,798.2        | \$ 2,251.2  | \$ 1,341.2  |
| Consumption/Use  | 11,125.0                         | 10,854.0                          | 11,640.4           | 515.4   | 786.4   |
| Business   | 5,798.0                          | 6,109.0                           | 5,721.0            | (77.0)  | (388.0)   |
| Other  | 1,470.0                          | 1,462.0                           | 1,529.9            | 59.9  | 67.9  |
| Miscellaneous Receipts   | 17,916.0                         | 19,324.0                          | 19,071.1           | 1,155.1   | (252.9)   |
| Federal Receipts   | 36.0                             | 61.0                              | 44.3               | 8.3   | (16.7)  |
| <b>Total Receipts</b>  | <b>70,892.0</b>                  | <b>73,267.0</b>                   | <b>74,804.9</b>    | <b>3,912.9</b>  | <b>1,537.9</b>  |
| <b>DISBURSEMENTS:</b>  |                                  |                                   |                    |   |   |
| Local Assistance Grants  | 44,572.0                         | 43,526.0                          | 43,167.8           | (1,404.2)   | (358.2)   |
| Departmental Operations  | 14,388.0                         | 13,340.0                          | 12,089.6           | (2,298.4)   | (1,250.4)   |
| General State Charges  | 6,496.0                          | 6,257.0                           | 6,056.4            | (439.6)   | (200.6)   |
| Debt Service   | 1,598.0                          | 2,580.0                           | 2,579.0            | 981.0   | (1.0)   |
| Capital Projects   | -                                | -                                 | -                  | -   | -   |
| <b>Total Disbursements</b>   | <b>67,054.0</b>                  | <b>65,703.0</b>                   | <b>63,892.8</b>    | <b>(3,161.2)</b>  | <b>(1,810.2)</b>  |
| <b>Excess (Deficiency) of Receipts<br/>over Disbursements</b>  | <b>3,838.0</b>                   | <b>7,564.0</b>                    | <b>10,912.1</b>    | <b>7,074.1</b>  | <b>3,348.1</b>  |
| <b>OTHER FINANCING SOURCES (USES):</b>   |                                  |                                   |                    |   |   |
| Transfers from Other Funds   | 22,087.0                         | 23,619.0                          | 23,959.1 (****)    | 1,872.1   | 340.1   |
| Transfers to Other Funds   | (22,724.0)                       | (23,615.0)                        | (24,250.0) (****)  | 1,526.0   | 635.0   |
| <b>Total Other Financing Sources (Uses)</b>  | <b>(637.0)</b>                   | <b>4.0</b>                        | <b>(290.9)</b>     | <b>346.1</b>  | <b>(294.9)</b>  |
| <b>Excess (Deficiency) of Receipts and Other<br/>Financing Sources over Disbursements<br/>and Other Financing Uses</b> | <b>3,201.0</b>                   | <b>7,568.0</b>                    | <b>10,621.2</b>    | <b>7,420.2</b>  | <b>3,053.2</b>  |
| <b>Fund Balances (Deficits) at April 1</b>   | <b>14,408.0</b>                  | <b>14,407.0</b>                   | <b>14,408.3</b>    | <b>0.3</b>  | <b>1.3</b>  |
| <b>Fund Balances (Deficits) at December 31, 2020</b>   | <b>\$ 17,609.0</b>               | <b>\$ 21,975.0</b>                | <b>\$ 25,029.5</b> | <b>\$ 7,420.5</b>                                       | <b>\$ 3,054.5</b>                                       |

(\*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.

(\*\*) Source: 2020-21 Mid Year Update dated October 30, 2020.

(\*\*\*) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal Funds) and Debt Service Funds.

(\*\*\*\*) Eliminations between Special Revenue - State and Federal Funds are not included.

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EXHIBIT D

|  | GENERAL FUND                     |                                   |                    |   |   |
|--|----------------------------------|-----------------------------------|--------------------|---|---|
|  | Enacted<br>Financial<br>Plan (*) | Updated<br>Financial<br>Plan (**) | Actual             | Actual<br>Over/<br>(Under)<br>Enacted<br>Financial Plan | Actual<br>Over/<br>(Under)<br>Updated<br>Financial Plan |
| <b>RECEIPTS:</b>   |                                  |                                   |                    |   |   |
| Taxes:   |                                  |                                   |                    |   |   |
| Personal Income  | \$ 17,228.0                      | \$ 17,683.0                       | \$ 18,362.5        | \$ 1,134.5  | \$ 679.5  |
| Consumption/Use  | 5,150.0                          | 5,044.0                           | 5,392.5            | 242.5   | 348.5   |
| Business   | 4,487.0                          | 4,777.0                           | 4,470.1            | (16.9)  | (306.9)   |
| Other  | 890.0                            | 878.0                             | 965.7              | 75.7  | 87.7  |
| Miscellaneous Receipts   | 5,847.0                          | 6,392.0                           | 6,579.7            | 732.7   | 187.7   |
| Federal Receipts   | -                                | -                                 | 0.2                | 0.2   | 0.2   |
| Transfers From:  |                                  |                                   |                    |   |   |
| PIT / ECET in excess of Revenue Bond Debt Service  | 12,978.0                         | 14,130.0                          | 14,222.2           | 1,244.2   | 92.2  |
| Sales Tax in excess of LGAC / STRBF Debt Service   | 3,714.0                          | 3,599.0                           | 3,983.1            | 269.1   | 384.1   |
| Real Estate Taxes in excess of CW/CA Debt Service  | 544.0                            | 546.0                             | 526.3              | (17.7)  | (19.7)  |
| All Other  | 1,022.0                          | 1,544.0                           | 1,231.2            | 209.2   | (312.8)   |
| <b>Total Receipts and Other Financing Sources</b>  | <b>51,860.0</b>                  | <b>54,593.0</b>                   | <b>55,733.5</b>    | <b>3,873.5</b>  | <b>1,140.5</b>  |
| <b>DISBURSEMENTS:</b>  |                                  |                                   |                    |   |   |
| Local Assistance Grants  | 33,516.0                         | 32,391.0                          | 32,174.6           | (1,341.4)   | (216.4)   |
| Departmental Operations  | 8,769.0                          | 7,455.0                           | 6,382.4            | (2,386.6)   | (1,072.6)   |
| General State Charges  | 5,680.0                          | 5,509.0                           | 5,377.7            | (302.3)   | (131.3)   |
| Transfers To:  |                                  |                                   |                    |   |   |
| Debt Service   | 151.0                            | 163.0                             | 154.1              | 3.1   | (8.9)   |
| Capital Projects   | 2,172.0                          | 1,590.0                           | 2,183.9            | 11.9  | 593.9   |
| State Share Medicaid   | -                                | -                                 | 249.9 (***)        | 249.9   | 249.9   |
| SUNY Operations  | 1,214.0                          | 1,002.0                           | 987.4              | (226.6)   | (14.6)  |
| Other Purposes   | 848.0                            | 894.0                             | 615.3              | (232.7)   | (278.7)   |
| <b>Total Disbursements and Other Financing Uses</b>  | <b>52,350.0</b>                  | <b>49,004.0</b>                   | <b>48,125.3</b>    | <b>(4,224.7)</b>  | <b>(878.7)</b>  |
| <b>Excess (Deficiency) of Receipts and Other<br/>Financing Sources over Disbursements<br/>and Other Financing Uses</b> | <b>(490.0)</b>                   | <b>5,589.0</b>                    | <b>7,608.2</b>     | <b>8,098.2</b>  | <b>2,019.2</b>  |
| <b>Fund Balances (Deficits) at April 1</b>   | <b>8,944.0</b>                   | <b>8,944.0</b>                    | <b>8,944.2</b>     | <b>0.2</b>  | <b>0.2</b>  |
| <b>Fund Balances (Deficits) at December 31, 2020</b>   | <b>\$ 8,454.0</b>                | <b>\$ 14,533.0</b>                | <b>\$ 16,552.4</b> | <b>\$ 8,098.4</b>                                       | <b>\$ 2,019.4</b>                                       |

(\*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.

(\*\*) Source: 2020-21 Mid Year Update dated October 30, 2020.

(\*\*\*) Includes transfers to the Department of Health Income Fund and the State University Income Fund representing payments for patients residing in State-Operated Health and State University facilities.

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EXHIBIT D

| SPECIAL REVENUE FUNDS  |                                  |                                   |                    |                |                    |   |   |
|--|----------------------------------|-----------------------------------|--------------------|----------------|--------------------|---|---|
|  | Enacted<br>Financial<br>Plan (*) | Updated<br>Financial<br>Plan (**) | Actual             | Eliminations   | Total              | Actual<br>Over/<br>(Under)<br>Enacted<br>Financial Plan | Actual<br>Over/<br>(Under)<br>Updated<br>Financial Plan |
| <b>RECEIPTS:</b>   |                                  |                                   |                    |                |                    |   |   |
| Taxes:   |                                  |                                   |                    |                |                    |   |   |
| Personal Income  | \$ 46.0                          | \$ 45.0                           | \$ 36.6            | \$ -           | \$ 36.6            | \$ (9.4)  | \$ (8.4)  |
| Consumption/Use  | 1,315.0                          | 1,274.0                           | 1,335.6            | -              | 1,335.6            | 20.6  | 61.6  |
| Business   | 1,311.0                          | 1,332.0                           | 1,250.9            | -              | 1,250.9            | (60.1)  | (81.1)  |
| Miscellaneous Receipts   | 11,902.0                         | 12,780.0                          | 12,314.6           | -              | 12,314.6           | 412.6   | (465.4)   |
| Federal Receipts   | 50,933.0                         | 59,756.0                          | 60,581.9           | -              | 60,581.9           | 9,648.9   | 825.9   |
| Transfers from Other Funds (***)   | 2,410.0                          | 2,246.0                           | 2,394.8            | (550.5)        | 1,844.3            | (565.7)   | (401.7)   |
| <b>Total Receipts and Other Financing Sources</b>  | <b>67,917.0</b>                  | <b>77,433.0</b>                   | <b>77,914.4</b>    | <b>(550.5)</b> | <b>77,363.9</b>    | <b>9,446.9</b>  | <b>(69.1)</b>   |
| <b>DISBURSEMENTS:</b>  |                                  |                                   |                    |                |                    |   |   |
| Local Assistance Grants  | 55,944.0                         | 62,097.0                          | 60,181.1           | -              | 60,181.1           | 4,237.1   | (1,915.9)   |
| Departmental Operations  | 7,170.0                          | 12,065.0                          | 9,982.8            | -              | 9,982.8            | 2,812.8   | (2,082.2)   |
| General State Charges  | 1,076.0                          | 1,202.0                           | 1,162.3            | -              | 1,162.3            | 86.3  | (39.7)  |
| Debt Service   | 133.0                            | 12.0                              | 102.2              | -              | 102.2              | (30.8)  | 90.2  |
| Capital Projects   | -                                | 2.0                               | 2.3                | -              | 2.3                | 2.3   | 0.3   |
| Transfers to Other Funds (***)   | 1,520.0                          | 1,767.0                           | 2,017.2            | (550.5)        | 1,466.7            | (53.3)  | (300.3)   |
| <b>Total Disbursements and Other Financing Uses</b>  | <b>65,843.0</b>                  | <b>77,145.0</b>                   | <b>73,447.9</b>    | <b>(550.5)</b> | <b>72,897.4</b>    | <b>7,054.4</b>  | <b>(4,247.6)</b>  |
| <b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b> | <b>2,074.0</b>                   | <b>288.0</b>                      | <b>4,466.5</b>     | <b>-</b>       | <b>4,466.5</b>     | <b>2,392.5</b>  | <b>4,178.5</b>  |
| <b>Fund Balances (Deficits) at April 1</b>   | <b>6,312.0</b>                   | <b>6,311.0</b>                    | <b>6,312.1</b>     | <b>-</b>       | <b>6,312.1</b>     | <b>0.1</b>  | <b>1.1</b>  |
| <b>Fund Balances (Deficits) at December 31, 2020</b>   | <b>\$ 8,386.0</b>                | <b>\$ 6,599.0</b>                 | <b>\$ 10,778.6</b> | <b>\$ -</b>    | <b>\$ 10,778.6</b> | <b>\$ 2,392.6</b>                                       | <b>\$ 4,179.6</b>                                       |

(\*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.

(\*\*) Source: 2020-21 Mid Year Update dated October 30, 2020.

(\*\*\*) Actual reported transfer amounts include eliminations between Special Revenue - State and Federal Funds.

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EXHIBIT D

|  | STATE SPECIAL REVENUE FUNDS      |                                   |                   |   |   | FEDERAL SPECIAL REVENUE FUNDS    |                                   |                   |   |   |
|--|----------------------------------|-----------------------------------|-------------------|---|---|----------------------------------|-----------------------------------|-------------------|---|---|
|  | Enacted<br>Financial<br>Plan (*) | Updated<br>Financial<br>Plan (**) | Actual            | Actual<br>Over/<br>(Under)<br>Enacted<br>Financial Plan | Actual<br>Over/<br>(Under)<br>Updated<br>Financial Plan | Enacted<br>Financial<br>Plan (*) | Updated<br>Financial<br>Plan (**) | Actual            | Actual<br>Over/<br>(Under)<br>Enacted<br>Financial Plan | Actual<br>Over/<br>(Under)<br>Updated<br>Financial Plan |
| <b>RECEIPTS:</b>   |                                  |                                   |                   |   |   |                                  |                                   |                   |   |   |
| Taxes:   |                                  |                                   |                   |   |   |                                  |                                   |                   |   |   |
| Personal Income  | \$ 46.0                          | \$ 45.0                           | \$ 36.6           | \$ (9.4)  | \$ (8.4)  | \$ -                             | \$ -                              | \$ -              | \$ -  | \$ -  |
| Consumption/Use  | 1,315.0                          | 1,274.0                           | 1,335.6           | 20.6  | 61.6  | -                                | -                                 | -                 | -   | -   |
| Business   | 1,311.0                          | 1,332.0                           | 1,250.9           | (60.1)  | (81.1)  | -                                | -                                 | -                 | -   | -   |
| Miscellaneous Receipts   | 11,784.0                         | 12,644.0                          | 12,165.8          | 381.8   | (478.2)   | 118.0                            | 136.0                             | 148.8             | 30.8  | 12.8  |
| Federal Receipts   | -                                | 37.0                              | 7.2               | 7.2   | (29.8)  | 50,933.0                         | 59,719.0                          | 60,574.7          | 9,641.7   | 855.7   |
| Transfers from Other Funds   | 2,410.0                          | 2,246.0                           | 2,394.8           | (15.2)  | 148.8   | -                                | -                                 | -                 | -   | -   |
| <b>Total Receipts and Other Financing Sources</b>  | <b>16,866.0</b>                  | <b>17,578.0</b>                   | <b>17,190.9</b>   | <b>324.9</b>  | <b>(387.1)</b>  | <b>51,051.0</b>                  | <b>59,855.0</b>                   | <b>60,723.5</b>   | <b>9,672.5</b>  | <b>868.5</b>  |
| <b>DISBURSEMENTS:</b>  |                                  |                                   |                   |   |   |                                  |                                   |                   |   |   |
| Local Assistance Grants  | 11,056.0                         | 11,135.0                          | 10,993.2          | (62.8)  | (141.8)   | 44,888.0                         | 50,962.0                          | 49,187.9          | 4,299.9   | (1,774.1)   |
| Departmental Operations  | 5,597.0                          | 5,851.0                           | 5,683.3           | 86.3  | (167.7)   | 1,573.0                          | 6,214.0                           | 4,299.5           | 2,726.5   | (1,914.5)   |
| General State Charges  | 816.0                            | 748.0                             | 678.7             | (137.3)   | (69.3)  | 260.0                            | 454.0                             | 483.6             | 223.6   | 29.6  |
| Debt Service   | -                                | -                                 | -                 | -   | -   | 133.0                            | 12.0                              | 102.2             | (30.8)  | 90.2  |
| Capital Projects   | -                                | -                                 | -                 | -   | -   | -                                | 2.0                               | 2.3               | 2.3   | 0.3   |
| Transfers to Other Funds   | 137.0                            | 221.0                             | 233.1             | 96.1  | 12.1  | 1,383.0                          | 1,546.0                           | 1,784.1           | 401.1   | 238.1   |
| <b>Total Disbursements and Other Financing Uses</b>  | <b>17,606.0</b>                  | <b>17,955.0</b>                   | <b>17,588.3</b>   | <b>(17.7)</b>   | <b>(366.7)</b>  | <b>48,237.0</b>                  | <b>59,190.0</b>                   | <b>55,859.6</b>   | <b>7,622.6</b>  | <b>(3,330.4)</b>  |
| <b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b> | <b>(740.0)</b>                   | <b>(377.0)</b>                    | <b>(397.4)</b>    | <b>342.6</b>  | <b>(20.4)</b>   | <b>2,814.0</b>                   | <b>665.0</b>                      | <b>4,863.9</b>    | <b>2,049.9</b>  | <b>4,198.9</b>  |
| <b>Fund Balances (Deficits) at April 1</b>   | <b>5,401.0</b>                   | <b>5,400.0</b>                    | <b>5,400.7</b>    | <b>(0.3)</b>  | <b>0.7</b>  | <b>911.0</b>                     | <b>911.0</b>                      | <b>911.4</b>      | <b>0.4</b>  | <b>0.4</b>  |
| <b>Fund Balances (Deficits) at December 31, 2020</b>   | <b>\$ 4,661.0</b>                | <b>\$ 5,023.0</b>                 | <b>\$ 5,003.3</b> | <b>\$ 342.3</b>   | <b>\$ (19.7)</b>  | <b>\$ 3,725.0</b>                | <b>\$ 1,576.0</b>                 | <b>\$ 5,775.3</b> | <b>\$ 2,050.3</b>                                       | <b>\$ 4,199.3</b>                                       |

(\*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.

(\*\*) Source: 2020-21 Mid Year Update dated October 30, 2020.

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**EXHIBIT D**

|  | <b>DEBT SERVICE FUNDS</b>                 |  |                   |  |  |
|--|---|--|-------------------|--|--|
|  | <b>Enacted<br/>Financial<br/>Plan (*)</b> | <b>Updated<br/>Financial<br/>Plan (**)</b> | <b>Actual</b>     | <b>Actual<br/>Over/<br/>(Under)<br/>Enacted<br/>Financial Plan</b> | <b>Actual<br/>Over/<br/>(Under)<br/>Updated<br/>Financial Plan</b> |
| <b>RECEIPTS:</b>   |   |  |                   |  |  |
| Taxes:   |   |  |                   |  |  |
| Personal Income  | \$ 17,273.0                               | \$ 17,729.0                                | \$ 18,399.1       | \$ 1,126.1   | \$ 670.1   |
| Consumption/Use  | 4,660.0                                   | 4,536.0                                    | 4,912.3           | 252.3  | 376.3  |
| Other  | 580.0                                     | 584.0                                      | 564.2             | (15.8)   | (19.8)   |
| Miscellaneous Receipts   | 285.0                                     | 288.0                                      | 325.6             | 40.6   | 37.6   |
| Federal Receipts   | 36.0                                      | 24.0                                       | 36.9              | 0.9  | 12.9   |
| Transfers from Other Funds   | 1,419.0                                   | 1,554.0                                    | 1,601.5           | 182.5  | 47.5   |
| <b>Total Receipts and Other Financing Sources</b>  | <b>24,253.0</b>                           | <b>24,715.0</b>                            | <b>25,839.6</b>   | <b>1,586.6</b>   | <b>1,124.6</b>   |
| <b>DISBURSEMENTS:</b>  |   |  |                   |  |  |
| Departmental Operations  | 22.0                                      | 34.0                                       | 23.9              | 1.9  | (10.1)   |
| Debt Service   | 1,598.0                                   | 2,580.0                                    | 2,579.0           | 981.0  | (1.0)  |
| Transfers to Other Funds   | 18,202.0                                  | 19,745.0                                   | 19,826.3          | 1,624.3  | 81.3   |
| <b>Total Disbursements and Other Financing Uses</b>  | <b>19,822.0</b>                           | <b>22,359.0</b>                            | <b>22,429.2</b>   | <b>2,607.2</b>   | <b>70.2</b>  |
| <b>Excess (Deficiency) of Receipts and Other<br/>Financing Sources over Disbursements<br/>and Other Financing Uses</b> | <b>4,431.0</b>                            | <b>2,356.0</b>                             | <b>3,410.4</b>    | <b>(1,020.6)</b>   | <b>1,054.4</b>   |
| <b>Fund Balances (Deficits) at April 1</b>   | <b>63.0</b>                               | <b>63.0</b>                                | <b>63.4</b>       | <b>0.4</b>   | <b>0.4</b>   |
| <b>Fund Balances (Deficits) at December 31, 2020</b>   | <b>\$ 4,494.0</b>                         | <b>\$ 2,419.0</b>                          | <b>\$ 3,473.8</b> | <b>\$ (1,020.2)</b>  | <b>\$ 1,054.8</b>  |

(\*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.

(\*\*) Source: 2020-21 Mid Year Update dated October 30, 2020.

STATE OF NEW YORK  
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL  
 FISCAL YEAR 2020-2021  
 FOR NINE MONTHS ENDED DECEMBER 31, 2020  
 (amounts in millions)

EXHIBIT D

| <b>CAPITAL PROJECTS FUNDS</b>  |   |  |                     |                     |                     |  |  |
|--|---|--|---------------------|---------------------|---------------------|--|--|
|  | <b>Enacted<br/>Financial<br/>Plan (*)</b> | <b>Updated<br/>Financial<br/>Plan (**)</b> | <b>Actual</b>       | <b>Eliminations</b> | <b>Total</b>        | <b>Actual<br/>Over/<br/>(Under)<br/>Enacted<br/>Financial Plan</b> | <b>Actual<br/>Over/<br/>(Under)<br/>Updated<br/>Financial Plan</b> |
| <b>RECEIPTS:</b>   |   |  |                     |                     |                     |  |  |
| Taxes:   |   |  |                     |                     |                     |  |  |
| Consumption/Use  | \$ 409.0                                  | \$ 400.0                                   | \$ 403.3            | \$ -                | \$ 403.3            | \$ (5.7)   | \$ 3.3   |
| Business   | 417.0                                     | 430.0                                      | 409.9               | -                   | 409.9               | (7.1)  | (20.1)   |
| Other  | 84.0                                      | 83.0                                       | 83.4                | -                   | 83.4                | (0.6)  | 0.4  |
| Miscellaneous Receipts   | 6,122.0                                   | 5,499.0                                    | 4,760.1             | -                   | 4,760.1             | (1,361.9)  | (738.9)  |
| Federal Receipts   | 1,586.0                                   | 1,521.0                                    | 1,547.1             | -                   | 1,547.1             | (38.9)   | 26.1   |
| Bond and Note Proceeds, net  | -   | -  | -                   | -                   | -                   | -  | -  |
| Transfers from Other Funds   | 2,242.0                                   | 1,629.0                                    | 2,216.9             | -                   | 2,216.9             | (25.1)   | 587.9  |
| <b>Total Receipts and Other Financing Sources</b>  | <b>10,860.0</b>                           | <b>9,562.0</b>                             | <b>9,420.7</b>      | <b>-</b>            | <b>9,420.7</b>      | <b>(1,439.3)</b>   | <b>(141.3)</b>   |
| <b>DISBURSEMENTS:</b>  |   |  |                     |                     |                     |  |  |
| Local Assistance Grants  | 3,765.0                                   | 3,494.0                                    | 3,842.6             | -                   | 3,842.6             | 77.6   | 348.6  |
| Capital Projects   | 7,372.0                                   | 5,815.0                                    | 5,385.0             | -                   | 5,385.0             | (1,987.0)  | (430.0)  |
| Transfers to Other Funds   | 318.0                                     | 307.0                                      | 352.9               | -                   | 352.9               | 34.9   | 45.9   |
| <b>Total Disbursements and Other Financing Uses</b>  | <b>11,455.0</b>                           | <b>9,616.0</b>                             | <b>9,580.5</b>      | <b>-</b>            | <b>9,580.5</b>      | <b>(1,874.5)</b>   | <b>(35.5)</b>  |
| <b>Excess (Deficiency) of Receipts and Other<br/>Financing Sources over Disbursements<br/>and Other Financing Uses</b> | <b>(595.0)</b>                            | <b>(54.0)</b>                              | <b>(159.8)</b>      | <b>-</b>            | <b>(159.8)</b>      | <b>435.2</b>   | <b>(105.8)</b>   |
| <b>Fund Balances (Deficits) at April 1</b>   | <b>(1,035.0)</b>                          | <b>(1,035.0)</b>                           | <b>(1,034.9)</b>    | <b>-</b>            | <b>(1,034.9)</b>    | <b>0.1</b>   | <b>0.1</b>   |
| <b>Fund Balances (Deficits) at December 31, 2020</b>   | <b>\$ (1,630.0)</b>                       | <b>\$ (1,089.0)</b>                        | <b>\$ (1,194.7)</b> | <b>\$ -</b>         | <b>\$ (1,194.7)</b> | <b>\$ 435.3</b>  | <b>\$ (105.7)</b>  |

(\*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.

(\*\*) Source: 2020-21 Mid Year Update dated October 30, 2020.

STATE OF NEW YORK  
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL  
 FISCAL YEAR 2020-2021  
 FOR NINE MONTHS ENDED DECEMBER 31, 2020  
 (amounts in millions)

EXHIBIT D

|  | STATE CAPITAL PROJECTS FUNDS     |                                   |                   |   |   | FEDERAL CAPITAL PROJECTS FUNDS   |                                   |                   |   |   |
|--|----------------------------------|-----------------------------------|-------------------|---|---|----------------------------------|-----------------------------------|-------------------|---|---|
|  | Enacted<br>Financial<br>Plan (*) | Updated<br>Financial<br>Plan (**) | Actual            | Actual<br>Over/<br>(Under)<br>Enacted<br>Financial Plan | Actual<br>Over/<br>(Under)<br>Updated<br>Financial Plan | Enacted<br>Financial<br>Plan (*) | Updated<br>Financial<br>Plan (**) | Actual            | Actual<br>Over/<br>(Under)<br>Enacted<br>Financial Plan | Actual<br>Over/<br>(Under)<br>Updated<br>Financial Plan |
| <b>RECEIPTS:</b>   |                                  |                                   |                   |   |   |                                  |                                   |                   |   |   |
| Taxes:   |                                  |                                   |                   |   |   |                                  |                                   |                   |   |   |
| Consumption/Use  | \$ 409.0                         | \$ 400.0                          | \$ 403.3          | \$ (5.7)  | \$ 3.3  | \$ -                             | \$ -                              | \$ -              | \$ -  | \$ -  |
| Business   | 417.0                            | 430.0                             | 409.9             | (7.1)   | (20.1)  | -                                | -                                 | -                 | -   | -   |
| Other  | 84.0                             | 83.0                              | 83.4              | (0.6)   | 0.4   | -                                | -                                 | -                 | -   | -   |
| Miscellaneous Receipts   | 6,122.0                          | 5,498.0                           | 4,759.3           | (1,362.7)   | (738.7)   | -                                | 1.0                               | 0.8               | 0.8   | (0.2)   |
| Federal Receipts   | 2.0                              | 2.0                               | 2.1               | 0.1   | 0.1   | 1,584.0                          | 1,519.0                           | 1,545.0           | (39.0)  | 26.0  |
| Bond and Note Proceeds, net  | -                                | -                                 | -                 | -   | -   | -                                | -                                 | -                 | -   | -   |
| Transfers from Other Funds   | 2,411.0                          | 1,629.0                           | 2,216.9           | (194.1)   | 587.9   | (169.0)                          | -                                 | -                 | 169.0   | -   |
| <b>Total Receipts and Other Financing Sources</b>  | <b>9,445.0</b>                   | <b>8,042.0</b>                    | <b>7,874.9</b>    | <b>(1,570.1)</b>  | <b>(167.1)</b>  | <b>1,415.0</b>                   | <b>1,520.0</b>                    | <b>1,545.8</b>    | <b>130.8</b>  | <b>25.8</b>   |
| <b>DISBURSEMENTS:</b>  |                                  |                                   |                   |   |   |                                  |                                   |                   |   |   |
| Local Assistance Grants  | 3,246.0                          | 3,028.0                           | 3,437.1           | 191.1   | 409.1   | 519.0                            | 466.0                             | 405.5             | (113.5)   | (60.5)  |
| Capital Projects   | 6,421.0                          | 4,817.0                           | 4,455.7           | (1,965.3)   | (361.3)   | 951.0                            | 998.0                             | 929.3             | (21.7)  | (68.7)  |
| Transfers to Other Funds   | 319.0                            | 307.0                             | 352.9             | 33.9  | 45.9  | (1.0)                            | -                                 | -                 | 1.0   | -   |
| <b>Total Disbursements and Other Financing Uses</b>  | <b>9,986.0</b>                   | <b>8,152.0</b>                    | <b>8,245.7</b>    | <b>(1,740.3)</b>  | <b>93.7</b>   | <b>1,469.0</b>                   | <b>1,464.0</b>                    | <b>1,334.8</b>    | <b>(134.2)</b>  | <b>(129.2)</b>  |
| <b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b> | <b>(541.0)</b>                   | <b>(110.0)</b>                    | <b>(370.8)</b>    | <b>170.2</b>  | <b>(260.8)</b>  | <b>(54.0)</b>                    | <b>56.0</b>                       | <b>211.0</b>      | <b>265.0</b>  | <b>155.0</b>  |
| <b>Fund Balances (Deficits) at April 1</b>   | <b>(471.0)</b>                   | <b>(471.0)</b>                    | <b>(472.2)</b>    | <b>(1.2)</b>  | <b>(1.2)</b>  | <b>(564.0)</b>                   | <b>(564.0)</b>                    | <b>(562.7)</b>    | <b>1.3</b>  | <b>1.3</b>  |
| <b>Fund Balances (Deficits) at December 31, 2020</b>   | <b>\$ (1,012.0)</b>              | <b>\$ (581.0)</b>                 | <b>\$ (843.0)</b> | <b>\$ 169.0</b>   | <b>\$ (262.0)</b>                                       | <b>\$ (618.0)</b>                | <b>\$ (508.0)</b>                 | <b>\$ (351.7)</b> | <b>\$ 266.3</b>   | <b>\$ 156.3</b>   |

(\*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.

(\*\*) Source: 2020-21 Mid Year Update dated October 30, 2020.

STATE OF NEW YORK  
 GOVERNMENTAL FUNDS  
 COMPARATIVE SCHEDULE OF TAX RECEIPTS  
 (amounts in millions)

EXHIBIT E

|                                     | GENERAL               |                               | SPECIAL REVENUE       |                               | DEBT SERVICE          |                               | CAPITAL PROJECTS      |                               | TOTAL GOVERNMENTAL FUNDS |                               |                       |                               | YEAR OVER YEAR             |                         |
|-------------------------------------|-----------------------|-------------------------------|-----------------------|-------------------------------|-----------------------|-------------------------------|-----------------------|-------------------------------|--------------------------|-------------------------------|-----------------------|-------------------------------|----------------------------|-------------------------|
|                                     | MONTH OF<br>DEC. 2020 | 9 MOS. ENDED<br>DEC. 31, 2020 | MONTH OF<br>DEC. 2020 | 9 MOS. ENDED<br>DEC. 31, 2020 | MONTH OF<br>DEC. 2020 | 9 MOS. ENDED<br>DEC. 31, 2020 | MONTH OF<br>DEC. 2020 | 9 MOS. ENDED<br>DEC. 31, 2020 | MONTH OF<br>DEC. 2020    | 9 MOS. ENDED<br>DEC. 31, 2020 | MONTH OF<br>DEC. 2019 | 9 MOS. ENDED<br>DEC. 31, 2019 | \$ Increase/<br>(Decrease) | % Increase/<br>Decrease |
| <b>PERSONAL INCOME TAX</b>          |                       |                               |                       |                               |                       |                               |                       |                               |                          |                               |                       |                               |                            |                         |
| Withholding                         | \$ 4,648.0            | \$ 29,235.8                   | \$ -                  | \$ -                          | \$ -                  | \$ -                          | \$ -                  | \$ -                          | \$ 4,648.0               | \$ 29,235.8                   | \$ 4,054.3            | \$ 28,836.8                   | \$ 399.0                   | 1.4%                    |
| Estimated Payments                  | 335.5                 | 11,347.7                      | -                     | -                             | -                     | -                             | -                     | -                             | 335.5                    | 11,347.7                      | 379.8                 | 12,955.8                      | (1,608.1)                  | -12.4%                  |
| Returns                             | 32.3                  | 3,254.2                       | -                     | -                             | -                     | -                             | -                     | -                             | 32.3                     | 3,254.2                       | 24.6                  | 3,198.8                       | 55.4                       | 1.7%                    |
| State/City Offsets                  | (58.3)                | (1,023.9)                     | -                     | -                             | -                     | -                             | -                     | -                             | (58.3)                   | (1,023.9)                     | (17.7)                | (995.6)                       | 28.3                       | 2.8%                    |
| Other (Assessments/LLC)             | 119.3                 | 850.0                         | -                     | -                             | -                     | -                             | -                     | -                             | 119.3                    | 850.0                         | 134.6                 | 1,008.9                       | (158.9)                    | -15.7%                  |
| <b>Gross Receipts</b>               | <b>5,076.8</b>        | <b>43,663.8</b>               | -                     | -                             | -                     | -                             | -                     | -                             | <b>5,076.8</b>           | <b>43,663.8</b>               | <b>4,575.6</b>        | <b>45,004.7</b>               | <b>(1,340.9)</b>           | <b>-3.0%</b>            |
| Transfers to School Tax Relief Fund | (35.0)                | (36.6)                        | 35.0                  | 36.6                          | -                     | -                             | -                     | -                             | -                        | -                             | -                     | -                             | -                          | 0.0%                    |
| Transfers to Revenue Bond Tax Fund  | (2,416.4)             | (18,399.1)                    | -                     | -                             | 2,416.4               | 18,399.1                      | -                     | -                             | -                        | -                             | -                     | -                             | -                          | 0.0%                    |
| Less: Refunds Issued                | (244.0)               | (6,865.6)                     | -                     | -                             | -                     | -                             | -                     | -                             | (244.0)                  | (6,865.6)                     | (287.6)               | (7,687.5)                     | (821.9)                    | -10.7%                  |
| <b>Total</b>                        | <b>2,381.4</b>        | <b>18,362.5</b>               | <b>35.0</b>           | <b>36.6</b>                   | <b>2,416.4</b>        | <b>18,399.1</b>               | -                     | -                             | <b>4,832.8</b>           | <b>36,798.2</b>               | <b>4,288.0</b>        | <b>37,317.2</b>               | <b>(519.0)</b>             | <b>-1.4%</b>            |
| <b>CONSUMPTION/USE TAXES</b>        |                       |                               |                       |                               |                       |                               |                       |                               |                          |                               |                       |                               |                            |                         |
| Sales and Use                       | 707.2                 | 4,915.9                       | 86.3                  | 668.6                         | 706.7                 | 4,912.3                       | -                     | -                             | 1,500.2                  | 10,496.8                      | 1,586.8               | 12,155.6                      | (1,658.8)                  | -13.6%                  |
| Auto Rental                         | -                     | -                             | 3.8                   | 8.7                           | -                     | -                             | 15.3                  | 41.8                          | 19.1                     | 50.5                          | 26.2                  | 83.5                          | (33.0)                     | -39.5%                  |
| Cigarette/Tobacco Products          | 26.5                  | 245.3                         | 61.0                  | 556.3                         | -                     | -                             | -                     | -                             | 87.5                     | 801.6                         | 96.2                  | 819.0                         | (17.4)                     | -2.1%                   |
| Medical Marihuana                   | -                     | -                             | 0.8                   | 6.2                           | -                     | -                             | -                     | -                             | 0.8                      | 6.2                           | 0.6                   | 4.3                           | 1.9                        | 44.2%                   |
| Motor Fuel                          | -                     | -                             | 8.7                   | 69.9                          | -                     | -                             | 33.7                  | 258.8                         | 42.4                     | 328.7                         | 39.9                  | 395.3                         | (66.6)                     | -16.8%                  |
| Alcoholic Beverage                  | 18.6                  | 208.5                         | -                     | -                             | -                     | -                             | -                     | -                             | 18.6                     | 208.5                         | 20.4                  | 199.5                         | 9.0                        | 4.5%                    |
| Highway Use                         | -                     | -                             | 0.1                   | 0.4                           | -                     | -                             | 12.6                  | 102.7                         | 12.7                     | 103.1                         | 13.8                  | 110.2                         | (7.1)                      | -6.4%                   |
| Vapor Excise                        | -                     | -                             | 6.8                   | 25.5                          | -                     | -                             | -                     | -                             | 6.8                      | 25.5                          | -                     | -                             | 25.5                       | 100.0%                  |
| Opioid Excise                       | 0.2                   | 22.8                          | -                     | -                             | -                     | -                             | -                     | -                             | 0.2                      | 22.8                          | -                     | -                             | 22.8                       | 100.0%                  |
| <b>Total</b>                        | <b>752.5</b>          | <b>5,392.5</b>                | <b>167.5</b>          | <b>1,335.6</b>                | <b>706.7</b>          | <b>4,912.3</b>                | <b>61.6</b>           | <b>403.3</b>                  | <b>1,688.3</b>           | <b>12,043.7</b>               | <b>1,783.9</b>        | <b>13,767.4</b>               | <b>(1,723.7)</b>           | <b>-12.5%</b>           |
| <b>BUSINESS TAXES</b>               |                       |                               |                       |                               |                       |                               |                       |                               |                          |                               |                       |                               |                            |                         |
| Corporation Franchise               | 908.1                 | 2,863.5                       | 171.0                 | 691.5                         | -                     | -                             | -                     | -                             | 1,079.1                  | 3,555.0                       | 1,030.6               | 3,534.8                       | 20.2                       | 0.6%                    |
| Corporation and Utilities           | 74.8                  | 261.1                         | 24.9                  | 84.9                          | -                     | -                             | 2.3                   | 8.1                           | 102.0                    | 354.1                         | 119.4                 | 415.1                         | (61.0)                     | -14.7%                  |
| Insurance                           | 379.5                 | 1,198.5                       | 42.1                  | 137.1                         | -                     | -                             | -                     | -                             | 421.6                    | 1,335.6                       | 457.8                 | 1,526.6                       | (191.0)                    | -12.5%                  |
| Bank                                | 1.1                   | 147.0                         | 0.5                   | 18.6                          | -                     | -                             | -                     | -                             | 1.6                      | 165.6                         | 13.8                  | (29.9)                        | 195.5                      | 653.8%                  |
| Petroleum Business                  | -                     | -                             | 36.4                  | 318.8                         | -                     | -                             | 44.8                  | 401.8                         | 81.2                     | 720.6                         | 91.3                  | 897.1                         | (176.5)                    | -19.7%                  |
| <b>Total</b>                        | <b>1,363.5</b>        | <b>4,470.1</b>                | <b>274.9</b>          | <b>1,250.9</b>                | -                     | -                             | <b>47.1</b>           | <b>409.9</b>                  | <b>1,685.5</b>           | <b>6,130.9</b>                | <b>1,712.9</b>        | <b>6,343.7</b>                | <b>(212.8)</b>             | <b>-3.4%</b>            |
| <b>OTHER TAXES</b>                  |                       |                               |                       |                               |                       |                               |                       |                               |                          |                               |                       |                               |                            |                         |
| Real Property Gains                 | -                     | -                             | -                     | -                             | -                     | -                             | -                     | -                             | -                        | -                             | -                     | -                             | -                          | 0.0%                    |
| Estate and Gift                     | 123.1                 | 956.5                         | -                     | -                             | -                     | -                             | -                     | -                             | 123.1                    | 956.5                         | 143.6                 | 813.5                         | 143.0                      | 17.6%                   |
| Pari-Mutuel                         | 0.9                   | 8.1                           | -                     | -                             | -                     | -                             | -                     | -                             | 0.9                      | 8.1                           | 1.0                   | 12.2                          | (4.1)                      | -33.6%                  |
| Real Estate Transfer                | -                     | -                             | -                     | -                             | 95.2                  | 563.1                         | 11.9                  | 83.4                          | 107.1                    | 646.5                         | 86.1                  | 857.2                         | (210.7)                    | -24.6%                  |
| Racing and Exhibitions              | -                     | 0.1                           | -                     | -                             | -                     | -                             | -                     | -                             | -                        | 0.1                           | 0.1                   | 2.0                           | (1.9)                      | -95.0%                  |
| Employer Compensation Expense Tax   | 0.4                   | 1.0                           | -                     | -                             | 0.4                   | 1.1                           | -                     | -                             | 0.8                      | 2.1                           | 0.4                   | 1.4                           | 0.7                        | 50.0%                   |
| <b>Total</b>                        | <b>124.4</b>          | <b>965.7</b>                  | -                     | -                             | <b>95.6</b>           | <b>564.2</b>                  | <b>11.9</b>           | <b>83.4</b>                   | <b>231.9</b>             | <b>1,613.3</b>                | <b>231.2</b>          | <b>1,686.3</b>                | <b>(73.0)</b>              | <b>-4.3%</b>            |
| <b>Total Tax Receipts</b>           | <b>\$ 4,621.8</b>     | <b>\$ 29,190.8</b>            | <b>\$ 477.4</b>       | <b>\$ 2,623.1</b>             | <b>\$ 3,218.7</b>     | <b>\$ 23,875.6</b>            | <b>\$ 120.6</b>       | <b>\$ 896.6</b>               | <b>\$ 8,438.5</b>        | <b>\$ 56,586.1</b>            | <b>\$ 8,016.0</b>     | <b>\$ 59,114.6</b>            | <b>\$ (2,528.5)</b>        | <b>-4.3%</b>            |



**STATE OF NEW YORK  
GOVERNMENTAL FUNDS (\*)  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2020-2021  
(amounts in millions)**

|                                     |                |                |                |                 |                |                |                |                |                |                 | 9 Months Ended December 31 |       |                 |                 |                            |                         |
|-------------------------------------|----------------|----------------|----------------|-----------------|----------------|----------------|----------------|----------------|----------------|-----------------|----------------------------|-------|-----------------|-----------------|----------------------------|-------------------------|
|                                     | 2020<br>APRIL  | MAY            | JUNE           | JULY            | AUGUST         | SEPTEMBER      | OCTOBER        | NOVEMBER       | DECEMBER       | 2021<br>JANUARY | FEBRUARY                   | MARCH | 2020            | 2019            | \$ Increase/<br>(Decrease) | % Increase/<br>Decrease |
| <b>Beginning Fund Balance</b>       | \$ 14,284.8    | \$ 20,544.4    | \$ 17,650.3    | \$ 20,623.6     | \$ 28,288.0    | \$ 27,457.2    | \$ 25,800.5    | \$ 28,991.8    | \$ 27,525.0    |                 |                            |       | \$ 14,284.8     | \$ 9,975.0      | \$ 4,309.8                 | 43.2%                   |
| <b>RECEIPTS:</b>                    |                |                |                |                 |                |                |                |                |                |                 |                            |       |                 |                 |                            |                         |
| <b>Taxes:</b>                       |                |                |                |                 |                |                |                |                |                |                 |                            |       |                 |                 |                            |                         |
| Personal Income Tax:                |                |                |                |                 |                |                |                |                |                |                 |                            |       |                 |                 |                            |                         |
| Withholdings                        | 3,187.3        | 2,928.3        | 3,096.3        | 3,400.3         | 2,876.6        | 3,147.2        | 2,919.5        | 3,032.3        | 4,648.0        |                 |                            |       | 29,235.8        | 28,836.8        | 399.0                      | 1.4%                    |
| Estimated Payments                  | 211.6          | 70.9           | 1,493.0        | 6,329.0         | 121.3          | 2,510.0        | 176.8          | 99.6           | 335.5          |                 |                            |       | 11,347.7        | 12,955.8        | (1,608.1)                  | -12.4%                  |
| Returns                             | 339.1          | 124.7          | 260.9          | 1,765.1         | 69.3           | 83.4           | 528.0          | 51.4           | 32.3           |                 |                            |       | 3,254.2         | 3,198.8         | 55.4                       | 1.7%                    |
| State/City Offsets                  | (69.8)         | (39.8)         | (58.4)         | (187.0)         | (28.1)         | (71.7)         | (444.9)        | (65.9)         | (58.3)         |                 |                            |       | (1,023.9)       | (995.6)         | 28.3                       | 2.8%                    |
| Other (Assessments/LLC)             | 107.4          | 60.1           | 63.0           | 103.1           | 75.0           | 97.9           | 133.3          | 90.9           | 119.3          |                 |                            |       | 850.0           | 1,008.9         | (158.9)                    | -15.7%                  |
| <b>Gross Receipts</b>               | <b>3,775.6</b> | <b>3,144.2</b> | <b>4,854.8</b> | <b>11,410.5</b> | <b>3,114.1</b> | <b>5,766.8</b> | <b>3,312.7</b> | <b>3,208.3</b> | <b>5,076.8</b> |                 |                            |       | <b>43,663.8</b> | <b>45,004.7</b> | <b>(1,340.9)</b>           | <b>-3.0%</b>            |
| Transfers to School Tax Relief Fund | -              | -              | -              | -               | -              | -              | -              | -              | -              |                 |                            |       | -               | -               | -                          | 0.0%                    |
| Transfers to Revenue Bond Tax Fund  | -              | -              | -              | -               | -              | -              | -              | -              | -              |                 |                            |       | -               | -               | -                          | 0.0%                    |
| Refunds Issued                      | (1,709.4)      | (945.0)        | (486.3)        | (1,179.8)       | (391.1)        | (495.4)        | (781.1)        | (633.5)        | (244.0)        |                 |                            |       | (6,865.6)       | (7,687.5)       | (821.9)                    | -10.7%                  |
| <b>Total Personal Income Tax</b>    | <b>2,066.2</b> | <b>2,199.2</b> | <b>4,368.5</b> | <b>10,230.7</b> | <b>2,723.0</b> | <b>5,271.4</b> | <b>2,531.6</b> | <b>2,574.8</b> | <b>4,832.8</b> |                 |                            |       | <b>36,798.2</b> | <b>37,317.2</b> | <b>(519.0)</b>             | <b>-1.4%</b>            |
| Consumption/Use Taxes:              |                |                |                |                 |                |                |                |                |                |                 |                            |       |                 |                 |                            |                         |
| Sales and Use                       | 869.4          | 790.8          | 1,210.0        | 1,132.4         | 1,146.4        | 1,540.2        | 1,140.6        | 1,166.8        | 1,500.2        |                 |                            |       | 10,496.8        | 12,155.6        | (1,658.8)                  | -13.6%                  |
| Auto Rental                         | 0.5            | (1.4)          | 13.5           | 2.1             | 2.1            | 14.5           | 0.1            | -              | 19.1           |                 |                            |       | 50.5            | 83.5            | (33.0)                     | -39.5%                  |
| Cigarette/Tobacco Products          | 98.8           | 74.0           | 86.1           | 97.8            | 87.0           | 103.4          | 81.0           | 86.0           | 87.5           |                 |                            |       | 801.6           | 819.0           | (17.4)                     | -2.1%                   |
| Medical Marijuana                   | 0.5            | 0.6            | 0.7            | 0.6             | 0.8            | 0.7            | 0.8            | 0.7            | 0.8            |                 |                            |       | 6.2             | 4.3             | 1.9                        | 44.2%                   |
| Motor Fuel                          | 30.3           | 21.4           | 31.5           | 39.7            | 42.5           | 41.6           | 39.6           | 39.7           | 42.4           |                 |                            |       | 328.7           | 395.3           | (66.6)                     | -16.8%                  |
| Alcoholic Beverage                  | 26.7           | 21.4           | 22.8           | 26.0            | 23.1           | 23.3           | 23.7           | 22.9           | 18.6           |                 |                            |       | 208.5           | 199.5           | 9.0                        | 4.5%                    |
| Highway Use                         | 11.6           | 8.9            | 12.4           | 12.4            | 10.3           | 12.7           | 10.6           | 11.5           | 12.7           |                 |                            |       | 103.1           | 110.2           | (7.1)                      | -6.4%                   |
| Vapor Excise                        | -              | 0.1            | 11.7           | (0.4)           | (0.1)          | 7.4            | -              | -              | 6.8            |                 |                            |       | 25.5            | -               | 25.5                       | 100.0%                  |
| Opioid Excise                       | 7.2            | -              | -              | 8.9             | -              | 0.3            | 6.1            | 0.1            | 0.2            |                 |                            |       | 22.8            | -               | 22.8                       | 100.0%                  |
| <b>Total Consumption/Use Taxes</b>  | <b>1,045.0</b> | <b>915.8</b>   | <b>1,388.7</b> | <b>1,319.5</b>  | <b>1,312.1</b> | <b>1,744.1</b> | <b>1,302.5</b> | <b>1,327.7</b> | <b>1,688.3</b> |                 |                            |       | <b>12,043.7</b> | <b>13,767.4</b> | <b>(1,723.7)</b>           | <b>-12.5%</b>           |
| Business Taxes:                     |                |                |                |                 |                |                |                |                |                |                 |                            |       |                 |                 |                            |                         |
| Corporation Franchise               | 254.4          | (134.7)        | 557.5          | 563.2           | 29.7           | 1,000.7        | 115.0          | 90.1           | 1,079.1        |                 |                            |       | 3,555.0         | 3,534.8         | 20.2                       | 0.6%                    |
| Corporation and Utilities           | 15.6           | (11.0)         | 94.9           | 33.3            | 2.3            | 106.3          | 10.2           | 0.5            | 102.0          |                 |                            |       | 354.1           | 415.1           | (61.0)                     | -14.7%                  |
| Insurance                           | 70.2           | 6.5            | 364.0          | 33.3            | 16.0           | 391.7          | 23.1           | 9.2            | 421.6          |                 |                            |       | 1,335.6         | 1,526.6         | (191.0)                    | -12.5%                  |
| Bank                                | 7.4            | 2.6            | 91.8           | 0.7             | 46.5           | 15.3           | 0.4            | (0.7)          | 1.6            |                 |                            |       | 165.6           | (29.9)          | 195.5                      | 653.8%                  |
| Petroleum Business                  | 68.3           | 39.8           | 85.7           | 87.9            | 87.0           | 98.4           | 89.5           | 82.8           | 81.2           |                 |                            |       | 720.6           | 897.1           | (176.5)                    | -19.7%                  |
| <b>Total Business Taxes</b>         | <b>415.9</b>   | <b>(96.8)</b>  | <b>1,193.9</b> | <b>718.4</b>    | <b>181.5</b>   | <b>1,612.4</b> | <b>238.2</b>   | <b>181.9</b>   | <b>1,685.5</b> |                 |                            |       | <b>6,130.9</b>  | <b>6,343.7</b>  | <b>(212.8)</b>             | <b>-3.4%</b>            |
| Other Taxes:                        |                |                |                |                 |                |                |                |                |                |                 |                            |       |                 |                 |                            |                         |
| Real Property Gains                 | -              | -              | -              | -               | -              | -              | -              | -              | -              |                 |                            |       | -               | -               | -                          | 0.0%                    |
| Estate and Gift                     | 72.7           | 52.0           | 147.3          | 147.7           | 55.8           | 91.3           | 135.1          | 131.5          | 123.1          |                 |                            |       | 956.5           | 813.5           | 143.0                      | 17.6%                   |
| Pari-Mutuel                         | 0.7            | 0.2            | 0.8            | 1.0             | 1.4            | 0.7            | 1.6            | 0.8            | 0.9            |                 |                            |       | 8.1             | 12.2            | (4.1)                      | -33.6%                  |
| Real Estate Transfer                | 57.2           | 48.4           | 49.8           | 64.9            | 68.3           | 82.2           | 77.8           | 90.8           | 107.1          |                 |                            |       | 646.5           | 857.2           | (210.7)                    | -24.6%                  |
| Racing and Exhibitions              | 0.1            | -              | -              | -               | -              | -              | -              | -              | -              |                 |                            |       | 0.1             | 2.0             | (1.9)                      | -95.0%                  |
| Employer Compensation Expense Tax   | 0.2            | (0.2)          | 0.2            | 0.2             | 0.2            | 0.2            | 0.3            | 0.2            | 0.8            |                 |                            |       | 2.1             | 1.4             | 0.7                        | 50.0%                   |
| <b>Total Other Taxes</b>            | <b>130.9</b>   | <b>100.4</b>   | <b>198.1</b>   | <b>213.8</b>    | <b>125.7</b>   | <b>174.4</b>   | <b>214.8</b>   | <b>223.3</b>   | <b>231.9</b>   |                 |                            |       | <b>1,613.3</b>  | <b>1,686.3</b>  | <b>(73.0)</b>              | <b>-4.3%</b>            |
| <b>Total Taxes</b>                  | <b>3,658.0</b> | <b>3,118.6</b> | <b>7,149.2</b> | <b>12,482.4</b> | <b>4,342.3</b> | <b>8,802.3</b> | <b>4,287.1</b> | <b>4,307.7</b> | <b>8,438.5</b> |                 |                            |       | <b>56,586.1</b> | <b>59,114.6</b> | <b>(2,528.5)</b>           | <b>-4.3%</b>            |
| <b>Miscellaneous Receipts:</b>      |                |                |                |                 |                |                |                |                |                |                 |                            |       |                 |                 |                            |                         |
| Abandoned Property:                 |                |                |                |                 |                |                |                |                |                |                 |                            |       |                 |                 |                            |                         |
| Abandoned Property                  | 1.6            | 0.7            | 0.8            | 0.9             | 25.9           | 86.0           | 11.4           | 215.9          | 31.4           |                 |                            |       | 374.6           | 295.1           | 79.5                       | 26.9%                   |
| Bottle Bill                         | 0.7            | 0.3            | 20.6           | 18.4            | 0.1            | 43.2           | 2.1            | 0.7            | 21.4           |                 |                            |       | 107.5           | 95.0            | 12.5                       | 13.2%                   |
| Assessments:                        |                |                |                |                 |                |                |                |                |                |                 |                            |       |                 |                 |                            |                         |
| Business                            | 54.6           | 66.0           | 88.0           | 78.5            | 48.6           | 72.7           | 97.6           | 19.0           | 97.4           |                 |                            |       | 622.4           | 668.6           | (46.2)                     | -6.9%                   |
| Medical Care                        | 571.2          | 466.8          | 506.1          | 442.6           | 463.6          | 520.0          | 509.0          | 477.1          | 507.2          |                 |                            |       | 4,463.6         | 4,825.6         | (362.0)                    | -7.5%                   |
| Public Utilities                    | 0.1            | -              | 0.4            | 4.4             | 0.4            | 45.4           | (10.1)         | (1.6)          | 0.7            |                 |                            |       | 39.7            | 44.0            | (4.3)                      | -9.8%                   |
| Other                               | -              | 0.1            | -              | -               | -              | -              | -              | 0.1            | -              |                 |                            |       | 0.2             | 0.7             | (0.5)                      | -71.4%                  |
| Fees, Licenses and Permits:         |                |                |                |                 |                |                |                |                |                |                 |                            |       |                 |                 |                            |                         |
| Alcohol Beverage Control Licensing  | 2.2            | 2.9            | 2.9            | 4.9             | 4.8            | 5.4            | 5.0            | 3.8            | 3.0            |                 |                            |       | 34.9            | 57.1            | (22.2)                     | -38.9%                  |
| Audit Fees                          | -              | -              | 0.1            | 0.2             | 0.7            | 0.7            | 0.3            | 0.3            | 0.1            |                 |                            |       | 2.2             | 2.6             | (0.4)                      | -15.4%                  |
| Business/Professional:              | 69.0           | 71.9           | 116.0          | 55.6            | 55.3           | 128.6          | 66.5           | 47.1           | 114.5          |                 |                            |       | 724.5           | 757.7           | (33.2)                     | -4.4%                   |
| Civil                               | 4.3            | 3.3            | 5.5            | 3.1             | 88.8           | (9.8)          | 14.6           | 33.4           | 28.5           |                 |                            |       | 171.7           | 220.5           | (48.8)                     | -22.1%                  |
| Criminal                            | 0.6            | 0.4            | 0.2            | 1.6             | -              | 0.2            | 0.5            | 0.1            | 0.2            |                 |                            |       | 3.8             | 6.2             | (2.4)                      | -38.7%                  |
| Motor Vehicle                       | (29.8)         | -              | 187.3          | 200.9           | 103.6          | 128.9          | 105.0          | 114.2          | 114.4          |                 |                            |       | 924.5           | 1,020.3         | (95.8)                     | -9.4%                   |
| Recreational/Consumer               | 43.0           | -              | 36.6           | 34.2            | 43.3           | 155.0          | 85.3           | 60.7           | 57.8           |                 |                            |       | 515.9           | 671.7           | (155.8)                    | -23.2%                  |
| Fines, Penalties and Forfeitures    | 100.9          | 233.7          | 18.3           | 201.3           | 6.9            | 16.3           | 80.1           | 154.8          | 11.0           |                 |                            |       | 823.3           | 1,281.6         | (458.3)                    | -35.8%                  |
| Gaming:                             |                |                |                |                 |                |                |                |                |                |                 |                            |       |                 |                 |                            |                         |
| Casino                              | -              | -              | -              | 20.8            | -              | 8.0            | 33.4           | 10.0           | 10.2           |                 |                            |       | 82.4            | 210.6           | (128.2)                    | -60.9%                  |
| Lottery                             | 157.0          | 142.1          | 173.8          | 202.2           | 195.9          | 199.3          | 168.3          | 171.0          | 215.6          |                 |                            |       | 1,625.2         | 1,832.8         | (207.6)                    | -11.3%                  |
| Video Lottery                       | -              | 0.6            | -              | (0.4)           | -              | 33.8           | 59.6           | 54.2           | 58.0           |                 |                            |       | 205.8           | 709.7           | (503.9)                    | -71.0%                  |
| Interest Earnings                   | 32.9           | 18.2           | 9.2            | 5.2             | 6.7            | 6.2            | 5.8            | 5.9            | 5.9            |                 |                            |       | 96.0            | 337.9           | (241.9)                    | -71.6%                  |
| Receipts from Public Authorities:   |                |                |                |                 |                |                |                |                |                |                 |                            |       |                 |                 |                            |                         |
| Bond Proceeds                       | 1,122.1        | 1,019.1        | 3,842.4        | 269.9           | 32.4           | 384.7          | 895.8          | 1.0            | 982.3          |                 |                            |       | 8,549.7         | 3,023.7         | 5,526.0                    | 182.8%                  |
| Cost Recovery Assessments           | -              | -              | -              | -               | -              | -              | 8.9            | -              | -              |                 |                            |       | 8.9             | 52.7            | (43.8)                     | -83.1%                  |
| Issuance Fees                       | 0.5            | 1.4            | 25.5           | 24.7            | -              | 1.8            | 30.2           | 0.5            | 21.6           |                 |                            |       | 106.2           | 53.7            | 52.5                       | 97.8%                   |
| Non Bond Related                    | 9.0            | 0.3            | 0.5            | 4.3             | 2.3            | 0.1            | 0.8            | -              | 28.6           |                 |                            |       | 45.9            | 107.0           | (61.1)                     | -57.1%                  |
| Receipts from Municipalities        | 9.3            | 2.5            | 3.9            | 3.8             | 2.0            | 4.7            | 3.5            | 3.5            | 5.1            |                 |                            |       | 38.3            | 62.2            | (23.9)                     | -38.4%                  |
| Rentals                             | (4.6)          | (41.7)         | 1.8            | 4.2             | 7.8            | 35.9           | 61.8           | 12.5           | 8.5            |                 |                            |       | 86.2            | 227.4           | (141.2)                    | -62.1%                  |

**STATE OF NEW YORK  
GOVERNMENTAL FUNDS (\*)  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2020-2021  
(amounts in millions)**

|  |                    |                    |                    |                    |                    |                    |                    |                    |                    |                 |             | 9 Months Ended December 31 |                    |                    |                            |                         |
|--|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|-----------------|-------------|----------------------------|--------------------|--------------------|----------------------------|-------------------------|
|  | 2020<br>APRIL      | MAY                | JUNE               | JULY               | AUGUST             | SEPTEMBER          | OCTOBER            | NOVEMBER           | DECEMBER           | 2021<br>JANUARY | FEBRUARY    | MARCH                      | 2020               | 2019               | \$ Increase/<br>(Decrease) | % Increase/<br>Decrease |
| <b>Revenues of State Departments:</b>  |                    |                    |                    |                    |                    |                    |                    |                    |                    |                 |             |                            |                    |                    |                            |                         |
| Administrative Recoveries  | 25.0               | 8.8                | 25.4               | 9.1                | 8.9                | 25.6               | 24.5               | 8.8                | 22.6               |                 |             |                            | 158.7              | 159.9              | (1.2)                      | -0.8%                   |
| Commissions  | 0.6                | (0.3)              | 0.2                | 0.2                | -                  | 1.3                | 0.2                | 0.9                | 14.2               |                 |             |                            | 17.3               | 19.2               | (1.9)                      | -9.9%                   |
| Commissions - Asset Conversion   | -                  | -                  | -                  | -                  | -                  | -                  | -                  | -                  | 68.0               |                 |             |                            | 68.0               | 68.0               | -                          | 0.0%                    |
| Gifts, Grants and Donations  | 0.6                | 2.2                | 27.9               | 0.7                | 1.9                | 2.1                | 5.9                | 0.7                | 3.4                |                 |             |                            | 45.4               | 26.4               | 19.0                       | 72.0%                   |
| Indirect Cost Recoveries   | 5.5                | 5.4                | 7.4                | 5.9                | 6.1                | 5.7                | 5.0                | 5.5                | 8.7                |                 |             |                            | 55.2               | 60.6               | (5.4)                      | -8.9%                   |
| Patient/Client Care Reimbursement  | 526.5              | 372.8              | 350.6              | 227.5              | 221.8              | 79.0               | 291.4              | 187.1              | 371.4              |                 |             |                            | 2,628.1            | 1,984.7            | 643.4                      | 32.4%                   |
| Rebates  | 7.8                | 15.3               | 13.2               | 17.7               | 12.7               | 12.7               | 9.8                | 13.8               | 12.7               |                 |             |                            | 115.7              | 128.1              | (12.4)                     | -9.7%                   |
| Restitution and Settlements  | 7.0                | 0.6                | 0.6                | 0.3                | 42.7               | 5.3                | 3.6                | 1.3                | 2.0                |                 |             |                            | 63.4               | 37.8               | 25.6                       | 67.7%                   |
| Student Loans  | 6.1                | 1.4                | 3.2                | 6.4                | 6.8                | 3.7                | 6.0                | 3.6                | 4.9                |                 |             |                            | 42.1               | 54.5               | (12.4)                     | -22.8%                  |
| All Other  | (19.5)             | 10.7               | 30.0               | 75.6               | 46.8               | 65.5               | 75.7               | 42.8               | 41.3               |                 |             |                            | 368.9              | 503.7              | (134.8)                    | -26.8%                  |
| Sales  | 0.5                | 0.6                | 2.6                | 3.0                | 0.6                | 0.7                | 1.8                | 0.8                | 0.6                |                 |             |                            | 11.2               | 18.1               | (6.9)                      | -38.1%                  |
| Tuition  | (67.5)             | 33.6               | 56.9               | 50.9               | 113.3              | 375.7              | 172.8              | 49.7               | (32.8)             |                 |             |                            | 752.6              | 919.0              | (166.4)                    | -18.1%                  |
| <b>Total Miscellaneous Receipts</b>  | <b>2,637.2</b>     | <b>2,439.7</b>     | <b>5,557.9</b>     | <b>1,978.6</b>     | <b>1,550.7</b>     | <b>2,444.4</b>     | <b>2,831.9</b>     | <b>1,699.2</b>     | <b>2,840.4</b>     | -               | -           | -                          | <b>23,980.0</b>    | <b>20,544.4</b>    | <b>3,435.6</b>             | <b>16.7%</b>            |
| <b>Federal Receipts</b>  | <b>10,863.1</b>    | <b>4,207.0</b>     | <b>7,520.2</b>     | <b>5,423.2</b>     | <b>4,591.2</b>     | <b>10,136.1</b>    | <b>7,609.2</b>     | <b>4,274.8</b>     | <b>7,541.3</b>     | -               | -           | -                          | <b>62,166.1</b>    | <b>49,735.4</b>    | <b>12,430.7</b>            | <b>25.0%</b>            |
| <b>Total Receipts</b>  | <b>17,158.3</b>    | <b>9,765.3</b>     | <b>20,227.3</b>    | <b>19,884.2</b>    | <b>10,484.2</b>    | <b>21,382.8</b>    | <b>14,728.2</b>    | <b>10,281.7</b>    | <b>18,820.2</b>    | -               | -           | -                          | <b>142,732.2</b>   | <b>129,394.4</b>   | <b>13,337.8</b>            | <b>10.3%</b>            |
| <b>DISBURSEMENTS:</b>  |                    |                    |                    |                    |                    |                    |                    |                    |                    |                 |             |                            |                    |                    |                            |                         |
| <b>Local Assistance Grants:</b>  |                    |                    |                    |                    |                    |                    |                    |                    |                    |                 |             |                            |                    |                    |                            |                         |
| Education  | 1,149.2            | 4,132.7            | 3,964.9            | 799.2              | 1,070.5            | 4,157.3            | 1,350.8            | 1,804.3            | 2,665.5            |                 |             |                            | 21,094.4           | 22,943.1           | (1,848.7)                  | -8.1%                   |
| Environment and Recreation   | 4.5                | 3.7                | 14.0               | 12.9               | 20.6               | 15.6               | 7.7                | 10.0               | 20.8               |                 |             |                            | 109.8              | 152.0              | (42.2)                     | -27.8%                  |
| General Government   | 48.5               | 17.7               | 543.7              | 67.4               | 109.0              | 3,937.2            | 322.4              | 159.0              | 333.9              |                 |             |                            | 5,538.8            | 1,857.9            | 3,680.9                    | 198.1%                  |
| <b>Public Health:</b>  |                    |                    |                    |                    |                    |                    |                    |                    |                    |                 |             |                            |                    |                    |                            |                         |
| Medicaid   | 5,410.3            | 5,099.6            | 6,082.5            | 5,357.6            | 5,292.8            | 7,004.6            | 4,286.1            | 4,812.6            | 6,709.3            |                 |             |                            | 50,055.4           | 49,517.5           | 537.9                      | 1.1%                    |
| Other Public Health  | 602.5              | 638.5              | 980.4              | 1,028.7            | 701.7              | 1,078.5            | 815.2              | 774.3              | 864.5              |                 |             |                            | 7,484.3            | 7,551.0            | (66.7)                     | -0.9%                   |
| Public Safety  | 95.3               | 63.6               | 163.3              | 265.2              | 71.2               | 151.0              | 634.7              | 56.8               | 180.7              |                 |             |                            | 1,681.8            | 1,216.6            | 465.2                      | 38.2%                   |
| Public Welfare   | 211.8              | 217.7              | 388.7              | 850.8              | 364.1              | 1,487.5            | 479.0              | 394.6              | 426.5              |                 |             |                            | 4,820.7            | 5,322.9            | (502.2)                    | -9.4%                   |
| Support and Regulate Business  | 48.1               | 15.1               | 35.9               | 26.7               | 84.5               | 22.3               | 64.2               | 54.2               | 144.9              |                 |             |                            | 495.9              | 862.5              | (366.6)                    | -42.5%                  |
| Transportation   | 90.0               | 90.9               | 72.6               | 825.5              | 509.6              | 618.1              | 745.0              | 956.3              | 1,009.2            |                 |             |                            | 4,917.2            | 4,680.9            | 236.3                      | 5.0%                    |
| <b>Total Local Assistance Grants</b>   | <b>7,660.2</b>     | <b>10,279.5</b>    | <b>12,246.0</b>    | <b>9,234.0</b>     | <b>8,224.0</b>     | <b>18,472.1</b>    | <b>8,705.1</b>     | <b>9,022.1</b>     | <b>12,355.3</b>    | -               | -           | -                          | <b>96,198.3</b>    | <b>94,104.4</b>    | <b>2,093.9</b>             | <b>2.2%</b>             |
| <b>Departmental Operations:</b>  |                    |                    |                    |                    |                    |                    |                    |                    |                    |                 |             |                            |                    |                    |                            |                         |
| Personal Service   | 1,569.5            | 1,135.9            | 1,116.4            | 1,278.8            | 1,053.9            | 1,527.0            | 1,119.2            | 1,090.2            | 1,298.5            |                 |             |                            | 11,189.4           | 11,259.6           | (70.2)                     | -0.6%                   |
| Non-Personal Service   | 584.1              | 417.0              | 504.4              | 577.1              | 605.9              | 756.7              | 620.6              | 492.9              | 641.0              |                 |             |                            | 5,199.7            | 4,943.5            | 256.2                      | 5.2%                    |
| General State Charges  | 535.2              | 395.0              | 2,621.5            | 471.9              | 414.0              | 616.1              | 511.7              | 500.1              | 474.5              |                 |             |                            | 6,540.0            | 7,114.4            | (574.4)                    | -8.1%                   |
| <b>Debt Service, Including Payments on</b>   |                    |                    |                    |                    |                    |                    |                    |                    |                    |                 |             |                            |                    |                    |                            |                         |
| Financing Agreements   | 36.5               | 23.5               | 28.9               | 10.7               | 337.6              | 841.8              | 39.8               | 30.4               | 1,332.0            |                 |             |                            | 2,681.2            | 1,512.2            | 1,169.0                    | 77.3%                   |
| Capital Projects   | 509.8              | 406.0              | 681.8              | 641.4              | 667.7              | 706.7              | 533.6              | 609.8              | 630.5              |                 |             |                            | 5,387.3            | 5,425.0            | (37.7)                     | -0.7%                   |
| <b>Total Disbursements</b>   | <b>10,895.3</b>    | <b>12,656.9</b>    | <b>17,199.0</b>    | <b>12,213.9</b>    | <b>11,303.1</b>    | <b>22,920.4</b>    | <b>11,530.0</b>    | <b>11,745.5</b>    | <b>16,731.8</b>    | -               | -           | -                          | <b>127,195.9</b>   | <b>124,359.1</b>   | <b>2,836.8</b>             | <b>2.3%</b>             |
| <b>Excess (Deficiency) of Receipts over Disbursements</b>  | <b>6,263.0</b>     | <b>(2,891.6)</b>   | <b>3,028.3</b>     | <b>7,670.3</b>     | <b>(818.9)</b>     | <b>(1,537.6)</b>   | <b>3,198.2</b>     | <b>(1,463.8)</b>   | <b>2,088.4</b>     | -               | -           | -                          | <b>15,536.3</b>    | <b>5,035.3</b>     | <b>10,501.0</b>            | <b>208.5%</b>           |
| <b>OTHER FINANCING SOURCES (USES):</b>   |                    |                    |                    |                    |                    |                    |                    |                    |                    |                 |             |                            |                    |                    |                            |                         |
| Bond and Note Proceeds (net)   | -                  | -                  | -                  | -                  | -                  | -                  | -                  | -                  | -                  |                 |             |                            | -                  | -                  | -                          | 0.0%                    |
| Transfers from Other Funds   | 1,063.1            | 1,858.4            | 4,185.9            | 5,857.2            | 1,882.7            | 3,319.7            | 1,882.5            | 2,244.8            | 3,331.2            |                 |             |                            | 25,625.5           | 32,660.4           | (7,034.9)                  | -21.5%                  |
| Transfers to Other Funds   | (1,066.5)          | (1,860.9)          | (4,240.9)          | (5,863.1)          | (1,894.6)          | (3,438.8)          | (1,889.4)          | (2,247.8)          | (3,334.5)          |                 |             |                            | (25,836.5)         | (32,770.4)         | (6,933.9)                  | -21.2%                  |
| <b>Total Other Financing Sources (Uses)</b>  | <b>(3.4)</b>       | <b>(2.5)</b>       | <b>(55.0)</b>      | <b>(5.9)</b>       | <b>(11.9)</b>      | <b>(119.1)</b>     | <b>(6.9)</b>       | <b>(3.0)</b>       | <b>(3.3)</b>       | -               | -           | -                          | <b>(211.0)</b>     | <b>(110.0)</b>     | <b>(101.0)</b>             | <b>-91.8%</b>           |
| <b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b> | <b>6,259.6</b>     | <b>(2,894.1)</b>   | <b>2,973.3</b>     | <b>7,664.4</b>     | <b>(830.8)</b>     | <b>(1,656.7)</b>   | <b>3,191.3</b>     | <b>(1,466.8)</b>   | <b>2,085.1</b>     | -               | -           | -                          | <b>15,325.3</b>    | <b>4,925.3</b>     | <b>10,400.0</b>            | <b>211.2%</b>           |
| <b>Ending Fund Balance</b>   | <b>\$ 20,544.4</b> | <b>\$ 17,650.3</b> | <b>\$ 20,623.6</b> | <b>\$ 28,288.0</b> | <b>\$ 27,457.2</b> | <b>\$ 25,800.5</b> | <b>\$ 28,991.8</b> | <b>\$ 27,525.0</b> | <b>\$ 29,610.1</b> | <b>\$ -</b>     | <b>\$ -</b> | <b>\$ -</b>                | <b>\$ 29,610.1</b> | <b>\$ 14,900.3</b> | <b>\$ 14,709.8</b>         | <b>98.7%</b>            |

(\*) Governmental Funds includes General, Special Revenue, Debt Service and Capital Projects Funds combined.

**STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
STATEMENT OF CASH FLOW - STATE OPERATING (\*)  
FISCAL YEAR 2020-2021  
(amounts in millions)**

|                                     | 2020           |                |                |                 |                |                |                |                |                |         | 2021     |       |                 | 9 Months Ended December 31 |                            |                         |  |
|-------------------------------------|----------------|----------------|----------------|-----------------|----------------|----------------|----------------|----------------|----------------|---------|----------|-------|-----------------|----------------------------|----------------------------|-------------------------|--|
|                                     | APRIL          | MAY            | JUNE           | JULY            | AUGUST         | SEPTEMBER      | OCTOBER        | NOVEMBER       | DECEMBER       | JANUARY | FEBRUARY | MARCH | 2020            | 2019                       | \$ Increase/<br>(Decrease) | % Increase/<br>Decrease |  |
| <b>Beginning Fund Balance</b>       | \$ 14,408.3    | \$ 16,171.6    | \$ 13,542.7    | \$ 14,605.2     | \$ 22,665.9    | \$ 22,416.0    | \$ 22,583.0    | \$ 23,825.2    | \$ 22,940.5    |         |          |       | \$ 14,408.3     | \$ 12,361.3                | \$ 2,047.0                 | 16.6%                   |  |
| <b>RECEIPTS:</b>                    |                |                |                |                 |                |                |                |                |                |         |          |       |                 |                            |                            |                         |  |
| <b>Taxes:</b>                       |                |                |                |                 |                |                |                |                |                |         |          |       |                 |                            |                            |                         |  |
| Personal Income Tax:                |                |                |                |                 |                |                |                |                |                |         |          |       |                 |                            |                            |                         |  |
| Withholdings                        | 3,187.3        | 2,928.3        | 3,096.3        | 3,400.3         | 2,876.6        | 3,147.2        | 2,919.5        | 3,032.3        | 4,648.0        |         |          |       | 29,235.8        | 28,836.8                   | 399.0                      | 1.4%                    |  |
| Estimated Payments                  | 211.6          | 70.9           | 1,493.0        | 6,329.0         | 121.3          | 2,510.0        | 176.8          | 99.6           | 335.5          |         |          |       | 11,347.7        | 12,955.8                   | (1,608.1)                  | -12.4%                  |  |
| Returns                             | 339.1          | 124.7          | 260.9          | 1,765.1         | 69.3           | 83.4           | 528.0          | 51.4           | 32.3           |         |          |       | 3,254.2         | 3,198.8                    | 55.4                       | 1.7%                    |  |
| State/City Offsets                  | (69.8)         | (39.8)         | (58.4)         | (187.0)         | (28.1)         | (71.7)         | (444.9)        | (65.9)         | (58.3)         |         |          |       | (1,023.9)       | (995.6)                    | 28.3                       | 2.8%                    |  |
| Other (Assessments/LLC)             | 107.4          | 60.1           | 63.0           | 103.1           | 97.9           | 133.3          | 90.9           | 119.3          |                |         |          |       | 850.0           | 1,008.9                    | (158.9)                    | -15.7%                  |  |
| <b>Gross Receipts</b>               | <b>3,775.6</b> | <b>3,144.2</b> | <b>4,854.8</b> | <b>11,410.5</b> | <b>3,114.1</b> | <b>5,766.8</b> | <b>3,312.7</b> | <b>3,208.3</b> | <b>5,076.8</b> |         |          |       | <b>43,663.8</b> | <b>45,004.7</b>            | <b>(1,340.9)</b>           | <b>-3.0%</b>            |  |
| Transfers to School Tax Relief Fund | -              | -              | -              | -               | -              | -              | -              | -              | -              |         |          |       | -               | -                          | -                          | 0.0%                    |  |
| Transfers to Revenue Bond Tax Fund  | -              | -              | -              | -               | -              | -              | -              | -              | -              |         |          |       | -               | -                          | -                          | 0.0%                    |  |
| Refunds Issued                      | (1,709.4)      | (945.0)        | (486.3)        | (1,179.8)       | (391.1)        | (495.4)        | (781.1)        | (633.5)        | (244.0)        |         |          |       | (6,865.6)       | (7,687.5)                  | (821.9)                    | -10.7%                  |  |
| <b>Total Personal Income Tax</b>    | <b>2,066.2</b> | <b>2,199.2</b> | <b>4,368.5</b> | <b>10,230.7</b> | <b>2,723.0</b> | <b>5,271.4</b> | <b>2,531.6</b> | <b>2,574.8</b> | <b>4,832.8</b> |         |          |       | <b>36,798.2</b> | <b>37,317.2</b>            | <b>(519.0)</b>             | <b>-1.4%</b>            |  |
| Consumption/Use Taxes:              |                |                |                |                 |                |                |                |                |                |         |          |       |                 |                            |                            |                         |  |
| Sales and Use                       | 869.4          | 790.8          | 1,210.0        | 1,132.4         | 1,146.4        | 1,540.2        | 1,140.6        | 1,166.8        | 1,500.2        |         |          |       | 10,498.8        | 12,155.6                   | (1,656.8)                  | -13.6%                  |  |
| Auto Rental                         | (0.1)          | (1.5)          | 3.4            | 2.1             | 2.1            | (1.1)          | -              | -              | 3.8            |         |          |       | 8.7             | 12.9                       | (4.2)                      | -32.6%                  |  |
| Cigarette/Tobacco Products          | 98.8           | 74.0           | 86.1           | 97.8            | 87.0           | 103.4          | 81.0           | 86.0           | 87.5           |         |          |       | 819.0           | 819.0                      | (17.4)                     | -2.1%                   |  |
| Medical Marijuana                   | 0.5            | 0.6            | 0.7            | 0.6             | 0.8            | 0.7            | 0.8            | 0.7            | 0.8            |         |          |       | 6.2             | 4.3                        | 1.9                        | 44.2%                   |  |
| Motor Fuel                          | 6.5            | 4.7            | 6.6            | 8.5             | 9.1            | 8.9            | 8.3            | 8.6            | 8.7            |         |          |       | 69.9            | 83.6                       | (13.7)                     | -16.4%                  |  |
| Alcoholic Beverage                  | 26.7           | 21.4           | 22.8           | 26.0            | 23.1           | 23.3           | 23.7           | 22.9           | 18.6           |         |          |       | 208.5           | 199.5                      | 9.0                        | 4.5%                    |  |
| Highway Use                         | -              | 0.1            | -              | -               | 0.1            | -              | -              | 0.1            | 0.1            |         |          |       | 0.4             | 0.4                        | -                          | 0.0%                    |  |
| Vapor Excise                        | -              | 0.1            | 11.7           | (0.4)           | (0.1)          | 7.4            | -              | -              | 6.8            |         |          |       | 25.5            | -                          | 25.5                       | 100.0%                  |  |
| Opioid Excise                       | 7.2            | -              | -              | 8.9             | -              | 0.3            | 6.1            | 0.1            | 0.2            |         |          |       | 22.8            | -                          | 22.8                       | 100.0%                  |  |
| <b>Total Consumption/Use Taxes</b>  | <b>1,009.0</b> | <b>890.2</b>   | <b>1,341.3</b> | <b>1,275.9</b>  | <b>1,268.5</b> | <b>1,683.1</b> | <b>1,260.5</b> | <b>1,285.2</b> | <b>1,626.7</b> |         |          |       | <b>11,640.4</b> | <b>13,275.3</b>            | <b>(1,634.9)</b>           | <b>-12.3%</b>           |  |
| Business Taxes:                     |                |                |                |                 |                |                |                |                |                |         |          |       |                 |                            |                            |                         |  |
| Corporation Franchise               | 254.4          | (134.7)        | 557.5          | 563.2           | 29.7           | 1,000.7        | 115.0          | 90.1           | 1,079.1        |         |          |       | 3,555.0         | 3,534.8                    | 20.2                       | 0.6%                    |  |
| Corporation and Utilities           | 15.5           | (9.5)          | 94.3           | 29.7            | 2.2            | 104.1          | 9.5            | 0.5            | 99.7           |         |          |       | 346.0           | 404.0                      | (58.0)                     | -14.4%                  |  |
| Insurance                           | 70.2           | 6.5            | 364.0          | 33.3            | 16.0           | 391.7          | 23.1           | 9.2            | 421.6          |         |          |       | 1,335.6         | 1,526.6                    | (191.0)                    | -12.5%                  |  |
| Bank                                | 7.4            | 2.6            | 91.8           | 0.7             | 46.5           | 15.3           | 0.4            | (0.7)          | 1.6            |         |          |       | 165.6           | (29.9)                     | 195.5                      | 653.8%                  |  |
| Petroleum Business                  | 30.3           | 17.6           | 37.7           | 38.8            | 38.7           | 43.2           | 39.6           | 36.5           | 36.4           |         |          |       | 318.8           | 393.4                      | (74.6)                     | -19.0%                  |  |
| <b>Total Business Taxes</b>         | <b>377.8</b>   | <b>(117.5)</b> | <b>1,145.3</b> | <b>665.7</b>    | <b>133.1</b>   | <b>1,555.0</b> | <b>187.6</b>   | <b>135.6</b>   | <b>1,638.4</b> |         |          |       | <b>5,721.0</b>  | <b>5,828.9</b>             | <b>(107.9)</b>             | <b>-1.9%</b>            |  |
| Other Taxes:                        |                |                |                |                 |                |                |                |                |                |         |          |       |                 |                            |                            |                         |  |
| Real Property Gains                 | -              | -              | -              | -               | -              | -              | -              | -              | -              |         |          |       | -               | -                          | -                          | 0.0%                    |  |
| Estate and Gift                     | 72.7           | 52.0           | 147.3          | 147.7           | 55.8           | 91.3           | 135.1          | 131.5          | 123.1          |         |          |       | 956.5           | 813.5                      | 143.0                      | 17.6%                   |  |
| Pari-Mutuel                         | 0.7            | 0.2            | 0.8            | 1.0             | 1.4            | 0.7            | 1.6            | 0.8            | 0.9            |         |          |       | 8.1             | 12.2                       | (4.1)                      | -33.6%                  |  |
| Real Estate Transfer                | 57.2           | 48.4           | 37.9           | 53.0            | 56.4           | 70.3           | 65.8           | 78.9           | 95.2           |         |          |       | 563.1           | 773.8                      | (210.7)                    | -27.2%                  |  |
| Racing and Exhibitions              | 0.1            | -              | -              | -               | -              | -              | -              | -              | -              |         |          |       | 0.1             | 2.0                        | (1.9)                      | -95.0%                  |  |
| Employer Compensation Expense Tax   | 0.2            | (0.2)          | 0.2            | 0.2             | 0.2            | 0.2            | 0.3            | 0.2            | 0.8            |         |          |       | 2.1             | 1.4                        | 0.7                        | 50.0%                   |  |
| <b>Total Other Taxes</b>            | <b>130.9</b>   | <b>100.4</b>   | <b>186.2</b>   | <b>201.9</b>    | <b>113.8</b>   | <b>162.5</b>   | <b>202.8</b>   | <b>211.4</b>   | <b>220.0</b>   |         |          |       | <b>1,529.9</b>  | <b>1,602.9</b>             | <b>(73.0)</b>              | <b>-4.6%</b>            |  |
| <b>Total Taxes</b>                  | <b>3,583.9</b> | <b>3,072.3</b> | <b>7,041.3</b> | <b>12,374.2</b> | <b>4,238.4</b> | <b>8,672.0</b> | <b>4,182.5</b> | <b>4,207.0</b> | <b>8,317.9</b> |         |          |       | <b>55,689.5</b> | <b>58,024.3</b>            | <b>(2,334.8)</b>           | <b>-4.0%</b>            |  |
| <b>Miscellaneous Receipts:</b>      |                |                |                |                 |                |                |                |                |                |         |          |       |                 |                            |                            |                         |  |
| Abandoned Property:                 |                |                |                |                 |                |                |                |                |                |         |          |       |                 |                            |                            |                         |  |
| Abandoned Property                  | 1.6            | 0.7            | 0.8            | 0.9             | 25.9           | 86.0           | 11.4           | 215.9          | 31.4           |         |          |       | 374.6           | 295.1                      | 79.5                       | 26.9%                   |  |
| Bottle Bill                         | 0.7            | 0.3            | 20.6           | (4.6)           | 0.1            | 43.2           | 2.1            | 0.7            | 21.4           |         |          |       | 84.5            | 72.0                       | 12.5                       | 17.4%                   |  |
| Assessments:                        |                |                |                |                 |                |                |                |                |                |         |          |       |                 |                            |                            |                         |  |
| Business                            | 42.7           | 21.8           | 82.4           | 69.8            | 33.4           | 65.5           | 88.9           | 6.0            | 90.8           |         |          |       | 501.3           | 525.1                      | (23.8)                     | -4.5%                   |  |
| Medical Care                        | 571.2          | 466.8          | 506.1          | 442.6           | 463.6          | 520.0          | 509.0          | 477.1          | 507.2          |         |          |       | 4,463.6         | 4,825.6                    | (362.0)                    | -7.5%                   |  |
| Public Utilities                    | 0.1            | -              | 0.4            | 4.4             | 0.4            | 45.4           | (10.1)         | (1.6)          | 0.7            |         |          |       | 39.7            | 44.0                       | (4.3)                      | -9.8%                   |  |
| Other                               | -              | 0.1            | -              | -               | -              | -              | -              | 0.1            | -              |         |          |       | 0.2             | 0.7                        | (0.5)                      | -71.4%                  |  |
| Fees, Licenses and Permits:         |                |                |                |                 |                |                |                |                |                |         |          |       |                 |                            |                            |                         |  |
| Alcohol Beverage Control Licensing  | 2.2            | 2.9            | 2.9            | 4.9             | 4.8            | 5.4            | 5.0            | 3.8            | 3.0            |         |          |       | 34.9            | 57.1                       | (22.2)                     | -38.9%                  |  |
| Audit Fees                          | -              | -              | 0.1            | 0.2             | 0.7            | 0.7            | 0.1            | 0.3            | 0.1            |         |          |       | 2.2             | 2.6                        | (0.4)                      | -15.4%                  |  |
| Business/Professional               | 67.2           | 69.8           | 114.4          | 54.3            | 46.0           | 125.5          | 64.0           | 44.8           | 113.9          |         |          |       | 699.9           | 729.3                      | (29.4)                     | -4.0%                   |  |
| Civil                               | 4.3            | 3.3            | 5.5            | 3.1             | 88.8           | (9.8)          | 14.6           | 33.4           | 28.5           |         |          |       | 171.7           | 220.5                      | (48.8)                     | -22.1%                  |  |
| Criminal                            | 0.6            | 0.4            | 0.2            | 1.6             | -              | 0.2            | 0.5            | 0.1            | 0.2            |         |          |       | 3.8             | 6.2                        | (2.4)                      | -38.7%                  |  |
| Motor Vehicle                       | (82.0)         | (33.2)         | 144.2          | 140.3           | 40.2           | 71.5           | 44.3           | 53.9           | 54.8           |         |          |       | 434.0           | 465.6                      | (31.6)                     | -6.8%                   |  |
| Recreational/Consumer               | 43.0           | -              | 36.1           | 34.2            | 32.3           | 147.3          | 85.2           | 60.7           | 57.0           |         |          |       | 495.8           | 656.8                      | (161.0)                    | -24.5%                  |  |
| Fines, Penalties and Forfeitures    | 98.6           | 231.6          | 16.1           | 199.9           | 3.5            | 13.8           | 78.1           | 153.2          | 9.6            |         |          |       | 804.4           | 1,256.3                    | (451.9)                    | -36.0%                  |  |
| Gaming:                             |                |                |                |                 |                |                |                |                |                |         |          |       |                 |                            |                            |                         |  |
| Casino                              | -              | -              | -              | 20.8            | -              | 8.0            | 33.4           | 10.0           | 10.2           |         |          |       | 82.4            | 210.6                      | (128.2)                    | -60.9%                  |  |
| Lottery                             | 157.0          | 142.1          | 173.8          | 202.2           | 195.9          | 199.3          | 168.3          | 171.0          | 215.6          |         |          |       | 1,625.2         | 1,832.8                    | (207.6)                    | -11.3%                  |  |
| Video Lottery                       | -              | 0.6            | -              | (0.4)           | -              | 33.8           | 59.6           | 54.2           | 58.0           |         |          |       | 205.8           | 709.7                      | (503.9)                    | -71.0%                  |  |
| Interest Earnings                   | 29.2           | 13.8           | 7.7            | 4.3             | 5.4            | 5.3            | 5.0            | 5.0            | 5.1            |         |          |       | 80.8            | 307.6                      | (226.8)                    | -73.7%                  |  |
| Receipts from Public Authorities:   |                |                |                |                 |                |                |                |                |                |         |          |       |                 |                            |                            |                         |  |
| Bond Proceeds                       | -              | 1,000.0        | 3,500.0        | -               | -              | -              | -              | -              | 0.4            |         |          |       | 4,500.4         | -                          | 4,500.4                    | 100.0%                  |  |
| Cost Recovery Assessments           | -              | -              | -              | -               | -              | -              | 8.9            | -              | -              |         |          |       | 8.9             | 52.7                       | (43.8)                     | -83.1%                  |  |

**STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
STATEMENT OF CASH FLOW - STATE OPERATING (\*)  
FISCAL YEAR 2020-2021  
(amounts in millions)**

|  | 2020               |                    |                    |                    |                    |                    |                    |                    |                    |             |             |             | 2021               |                    |                            |                         |  |  |  |  |  |  |  |  | 9 Months Ended December 31 |  |  |  |
|--|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|-------------|-------------|-------------|--------------------|--------------------|----------------------------|-------------------------|--|--|--|--|--|--|--|--|----------------------------|--|--|--|
|  | APRIL              | MAY                | JUNE               | JULY               | AUGUST             | SEPTEMBER          | OCTOBER            | NOVEMBER           | DECEMBER           | JANUARY     | FEBRUARY    | MARCH       | 2020               | 2019               | \$ Increase/<br>(Decrease) | % Increase/<br>Decrease |  |  |  |  |  |  |  |  |                            |  |  |  |
| Issuance Fees  | 0.5                | 1.4                | 25.5               | 24.7               | -                  | 1.8                | 30.2               | 0.5                | 21.6               |             |             |             | 106.2              | 53.7               | 52.5                       | 97.8%                   |  |  |  |  |  |  |  |  |                            |  |  |  |
| Non Bond Related   | 8.9                | 0.3                | -                  | 4.2                | 1.8                | -                  | 0.4                | -                  | 28.2               |             |             |             | 43.8               | 57.0               | (13.2)                     | -23.2%                  |  |  |  |  |  |  |  |  |                            |  |  |  |
| Receipts from Municipalities   | 9.3                | 2.5                | 3.8                | 3.8                | 1.7                | 4.7                | 3.4                | 3.5                | 5.1                |             |             |             | 37.8               | 60.4               | (22.6)                     | -37.4%                  |  |  |  |  |  |  |  |  |                            |  |  |  |
| Rentals  | (5.1)              | (42.8)             | (0.3)              | 2.0                | 4.0                | 34.7               | 61.0               | 11.6               | 7.6                |             |             |             | 72.7               | 220.4              | (147.7)                    | -67.0%                  |  |  |  |  |  |  |  |  |                            |  |  |  |
| Revenues of State Departments:   |                    |                    |                    |                    |                    |                    |                    |                    |                    |             |             |             |                    |                    |                            |                         |  |  |  |  |  |  |  |  |                            |  |  |  |
| Administrative Recoveries  | 25.0               | 8.8                | 25.4               | 9.1                | 8.9                | 25.6               | 24.5               | 8.8                | 22.6               |             |             |             | 158.7              | 159.9              | (1.2)                      | -0.8%                   |  |  |  |  |  |  |  |  |                            |  |  |  |
| Commissions  | 0.6                | (0.3)              | 0.2                | 0.2                | -                  | 1.3                | 0.2                | 0.9                | 14.2               |             |             |             | 17.3               | 19.2               | (1.9)                      | -9.9%                   |  |  |  |  |  |  |  |  |                            |  |  |  |
| Commissions - Asset Conversion   | -                  | -                  | -                  | -                  | -                  | -                  | -                  | -                  | 68.0               |             |             |             | 68.0               | 68.0               | -                          | 0.0%                    |  |  |  |  |  |  |  |  |                            |  |  |  |
| Gifts, Grants and Donations  | 0.6                | 1.5                | 22.1               | 0.7                | 0.6                | 0.5                | 5.1                | 0.3                | 1.7                |             |             |             | 33.1               | 6.4                | 26.7                       | 417.2%                  |  |  |  |  |  |  |  |  |                            |  |  |  |
| Indirect Cost Recoveries   | 5.5                | 5.4                | 7.4                | 5.9                | 6.1                | 5.7                | 5.0                | 5.5                | 8.7                |             |             |             | 55.2               | 61.5               | (6.3)                      | -10.2%                  |  |  |  |  |  |  |  |  |                            |  |  |  |
| Patient/Client Care Reimbursement  | 526.5              | 372.8              | 350.6              | 227.5              | 221.8              | 79.0               | 291.4              | 187.1              | 371.4              |             |             |             | 2,628.1            | 1,984.7            | 643.4                      | 32.4%                   |  |  |  |  |  |  |  |  |                            |  |  |  |
| Rebates  | 0.1                | 8.6                | 5.7                | 10.2               | 5.3                | 5.0                | 2.3                | 6.4                | 4.8                |             |             |             | 46.4               | 53.4               | (7.0)                      | -13.1%                  |  |  |  |  |  |  |  |  |                            |  |  |  |
| Restitution and Settlements  | 3.9                | 0.4                | 0.6                | 0.2                | 41.8               | 3.9                | 0.3                | 0.9                | 1.2                |             |             |             | 53.2               | 27.0               | 26.2                       | 97.0%                   |  |  |  |  |  |  |  |  |                            |  |  |  |
| Student Loans  | 6.1                | 1.4                | 3.2                | 6.4                | 6.8                | 3.7                | 6.0                | 3.6                | 4.9                |             |             |             | 42.1               | 54.5               | (12.4)                     | -22.8%                  |  |  |  |  |  |  |  |  |                            |  |  |  |
| All Other  | (20.1)             | 8.6                | 18.0               | 75.3               | 45.5               | 51.9               | 70.9               | 41.5               | 39.2               |             |             |             | 330.8              | 451.3              | (120.5)                    | -26.7%                  |  |  |  |  |  |  |  |  |                            |  |  |  |
| Sales  | 0.5                | 0.6                | 2.6                | 3.0                | 0.5                | 0.6                | 1.8                | 0.8                | 0.6                |             |             |             | 11.0               | 13.5               | (2.5)                      | -18.5%                  |  |  |  |  |  |  |  |  |                            |  |  |  |
| Tuition  | (67.5)             | 33.6               | 56.9               | 50.9               | 113.3              | 375.7              | 172.8              | 49.7               | (32.8)             |             |             |             | 752.6              | 919.0              | (166.4)                    | -18.1%                  |  |  |  |  |  |  |  |  |                            |  |  |  |
| <b>Total Miscellaneous Receipts</b>  | <b>1,431.2</b>     | <b>2,321.8</b>     | <b>5,133.0</b>     | <b>1,602.6</b>     | <b>1,399.1</b>     | <b>1,955.2</b>     | <b>1,843.6</b>     | <b>1,609.7</b>     | <b>1,774.9</b>     | -           | -           | -           | <b>19,071.1</b>    | <b>16,480.2</b>    | <b>2,590.9</b>             | <b>15.7%</b>            |  |  |  |  |  |  |  |  |                            |  |  |  |
| Federal Receipts   | -                  | -                  | 4.1                | (4.1)              | 2.5                | 49.9               | 12.9               | (33.5)             | 12.5               |             |             |             | 44.3               | 55.0               | (10.7)                     | -19.5%                  |  |  |  |  |  |  |  |  |                            |  |  |  |
| <b>Total Receipts</b>  | <b>5,015.1</b>     | <b>5,394.1</b>     | <b>12,178.4</b>    | <b>13,972.7</b>    | <b>5,640.0</b>     | <b>10,677.1</b>    | <b>6,039.0</b>     | <b>5,783.2</b>     | <b>10,105.3</b>    | -           | -           | -           | <b>74,804.9</b>    | <b>74,559.5</b>    | <b>245.4</b>               | <b>0.3%</b>             |  |  |  |  |  |  |  |  |                            |  |  |  |
| <b>DISBURSEMENTS:</b>  |                    |                    |                    |                    |                    |                    |                    |                    |                    |             |             |             |                    |                    |                            |                         |  |  |  |  |  |  |  |  |                            |  |  |  |
| Local Assistance Grants:   |                    |                    |                    |                    |                    |                    |                    |                    |                    |             |             |             |                    |                    |                            |                         |  |  |  |  |  |  |  |  |                            |  |  |  |
| Education  | 754.3              | 4,065.1            | 3,409.1            | 563.6              | 856.2              | 3,861.8            | 1,200.2            | 1,559.5            | 2,583.1            |             |             |             | 18,852.9           | 20,138.1           | (1,285.2)                  | -6.4%                   |  |  |  |  |  |  |  |  |                            |  |  |  |
| Environment and Recreation   | 0.1                | 0.3                | -                  | 0.2                | (0.1)              | 0.4                | 0.7                | 0.2                | 0.3                |             |             |             | 2.1                | 3.8                | (1.7)                      | -44.7%                  |  |  |  |  |  |  |  |  |                            |  |  |  |
| General Government   | 16.1               | 4.6                | 500.0              | 20.4               | 33.6               | 62.5               | 77.7               | 18.1               | 184.1              |             |             |             | 917.1              | 1,106.5            | (189.4)                    | -17.1%                  |  |  |  |  |  |  |  |  |                            |  |  |  |
| Public Health:   |                    |                    |                    |                    |                    |                    |                    |                    |                    |             |             |             |                    |                    |                            |                         |  |  |  |  |  |  |  |  |                            |  |  |  |
| Medicaid   | 757.7              | 1,757.8            | 2,497.4            | 2,043.9            | 1,856.5            | 2,135.5            | 1,416.5            | 1,503.7            | 2,122.7            |             |             |             | 16,091.7           | 19,299.6           | (3,207.9)                  | -16.6%                  |  |  |  |  |  |  |  |  |                            |  |  |  |
| Other Public Health  | 93.5               | 72.8               | 307.2              | 486.1              | 118.0              | 438.1              | 249.0              | 234.9              | 263.3              |             |             |             | 2,262.8            | 2,412.5            | (149.7)                    | -6.2%                   |  |  |  |  |  |  |  |  |                            |  |  |  |
| Public Safety  | 20.9               | 11.1               | 4.5                | 16.9               | 29.4               | 34.6               | 17.3               | (6.7)              | 66.0               |             |             |             | 194.0              | 275.1              | (81.1)                     | -29.5%                  |  |  |  |  |  |  |  |  |                            |  |  |  |
| Public Welfare   | 77.0               | 158.2              | 61.4               | 578.1              | 57.1               | 428.8              | 70.3               | 222.3              | 168.2              |             |             |             | 1,819.4            | 1,630.9            | (20.5)                     | -1.1%                   |  |  |  |  |  |  |  |  |                            |  |  |  |
| Support and Regulate Business  | 4.6                | 7.1                | 9.0                | 5.4                | 11.0               | 10.9               | 9.7                | 26.2               | 10.9               |             |             |             | 94.8               | 154.9              | (60.1)                     | -38.8%                  |  |  |  |  |  |  |  |  |                            |  |  |  |
| Transportation   | 61.7               | 41.7               | 18.5               | 726.3              | 357.9              | 243.1              | 256.4              | 446.1              | 781.3              |             |             |             | 2,933.0            | 3,282.3            | (349.3)                    | -10.6%                  |  |  |  |  |  |  |  |  |                            |  |  |  |
| <b>Total Local Assistance Grants</b>   | <b>1,785.9</b>     | <b>6,118.7</b>     | <b>6,807.1</b>     | <b>4,440.9</b>     | <b>3,319.6</b>     | <b>7,213.7</b>     | <b>3,297.8</b>     | <b>4,004.2</b>     | <b>6,179.9</b>     | -           | -           | -           | <b>43,167.8</b>    | <b>48,512.7</b>    | <b>(5,344.9)</b>           | <b>-11.0%</b>           |  |  |  |  |  |  |  |  |                            |  |  |  |
| Departmental Operations:   |                    |                    |                    |                    |                    |                    |                    |                    |                    |             |             |             |                    |                    |                            |                         |  |  |  |  |  |  |  |  |                            |  |  |  |
| Personal Service   | 1,494.8            | 1,084.9            | 955.5              | 1,114.0            | 990.1              | 1,443.0            | 987.4              | 976.5              | (98.0)             |             |             |             | 8,948.2            | 10,771.1           | (1,822.9)                  | -16.9%                  |  |  |  |  |  |  |  |  |                            |  |  |  |
| Non-Personal Service   | 543.3              | 372.9              | 335.8              | (308.2)            | 384.5              | 528.7              | 432.0              | 417.2              | 435.2              |             |             |             | 3,141.4            | 3,985.8            | (844.4)                    | -21.2%                  |  |  |  |  |  |  |  |  |                            |  |  |  |
| General State Charges  | 512.5              | 370.3              | 2,582.6            | 388.2              | 343.3              | 592.6              | 434.0              | 470.8              | 362.1              |             |             |             | 6,056.4            | 6,872.6            | (816.2)                    | -11.9%                  |  |  |  |  |  |  |  |  |                            |  |  |  |
| Debt Service, Including Payments on Financing Agreements   | 36.5               | 23.5               | 28.9               | 10.7               | 337.6              | 841.8              | 39.8               | 30.4               | 1,229.8            |             |             |             | 2,579.0            | 1,512.2            | 1,066.8                    | 70.5%                   |  |  |  |  |  |  |  |  |                            |  |  |  |
| Capital Projects   | -                  | -                  | -                  | -                  | -                  | -                  | -                  | -                  | -                  |             |             |             | -                  | -                  | -                          | 0.0%                    |  |  |  |  |  |  |  |  |                            |  |  |  |
| <b>Total Disbursements</b>   | <b>4,373.0</b>     | <b>7,970.3</b>     | <b>10,709.9</b>    | <b>5,645.6</b>     | <b>5,375.1</b>     | <b>10,619.8</b>    | <b>5,191.0</b>     | <b>5,899.1</b>     | <b>8,109.0</b>     | -           | -           | -           | <b>63,892.8</b>    | <b>71,654.4</b>    | <b>(7,761.6)</b>           | <b>-10.8%</b>           |  |  |  |  |  |  |  |  |                            |  |  |  |
| <b>Excess (Deficiency) of Receipts over Disbursements</b>  | <b>642.1</b>       | <b>(2,576.2)</b>   | <b>1,468.5</b>     | <b>8,327.1</b>     | <b>264.9</b>       | <b>57.3</b>        | <b>848.0</b>       | <b>(115.9)</b>     | <b>1,996.3</b>     | -           | -           | -           | <b>10,912.1</b>    | <b>2,905.1</b>     | <b>8,007.0</b>             | <b>275.6%</b>           |  |  |  |  |  |  |  |  |                            |  |  |  |
| <b>OTHER FINANCING SOURCES (USES):</b>   |                    |                    |                    |                    |                    |                    |                    |                    |                    |             |             |             |                    |                    |                            |                         |  |  |  |  |  |  |  |  |                            |  |  |  |
| Transfers from Other Funds (**)  | 1,939.1            | 1,674.9            | 3,796.1            | 5,367.5            | 1,326.8            | 3,217.1            | 2,037.9            | 1,382.5            | 3,217.2            |             |             |             | 23,959.1           | 29,739.8           | (5,780.7)                  | -19.4%                  |  |  |  |  |  |  |  |  |                            |  |  |  |
| Transfers to Other Funds (**)  | (817.9)            | (1,727.6)          | (4,202.1)          | (5,633.9)          | (1,841.6)          | (3,107.4)          | (1,643.7)          | (2,151.3)          | (3,124.5)          |             |             |             | (24,250.0)         | (30,983.0)         | (6,733.0)                  | -21.7%                  |  |  |  |  |  |  |  |  |                            |  |  |  |
| <b>Total Other Financing Sources (Uses)</b>  | <b>1,121.2</b>     | <b>(52.7)</b>      | <b>(406.0)</b>     | <b>(266.4)</b>     | <b>(514.8)</b>     | <b>109.7</b>       | <b>394.2</b>       | <b>(768.8)</b>     | <b>92.7</b>        | -           | -           | -           | <b>(290.9)</b>     | <b>(1,243.2)</b>   | <b>952.3</b>               | <b>76.6%</b>            |  |  |  |  |  |  |  |  |                            |  |  |  |
| <b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b> | <b>1,763.3</b>     | <b>(2,628.9)</b>   | <b>1,062.5</b>     | <b>8,060.7</b>     | <b>(249.9)</b>     | <b>167.0</b>       | <b>1,242.2</b>     | <b>(884.7)</b>     | <b>2,089.0</b>     | -           | -           | -           | <b>10,621.2</b>    | <b>1,661.9</b>     | <b>8,959.3</b>             | <b>539.1%</b>           |  |  |  |  |  |  |  |  |                            |  |  |  |
| <b>Ending Fund Balance</b>   | <b>\$ 16,171.6</b> | <b>\$ 13,542.7</b> | <b>\$ 14,605.2</b> | <b>\$ 22,665.9</b> | <b>\$ 22,416.0</b> | <b>\$ 22,583.0</b> | <b>\$ 23,825.2</b> | <b>\$ 22,940.5</b> | <b>\$ 25,029.5</b> | <b>\$ -</b> | <b>\$ -</b> | <b>\$ -</b> | <b>\$ 25,029.5</b> | <b>\$ 14,023.2</b> | <b>\$ 11,006.3</b>         | <b>78.5%</b>            |  |  |  |  |  |  |  |  |                            |  |  |  |

(\*) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal funds) and Debt Service Funds.

(\*\*) Eliminations between State and Federal Special Revenue Funds are not included.

STATE OF NEW YORK  
GENERAL FUND  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2020-2021  
(amounts in millions)

EXHIBIT F

|                                     | 2020           |                |                |                 |                |                |                |                |                | 2021    |          |       | 9 Months Ended December 31 |                 |                            |                         |
|-------------------------------------|----------------|----------------|----------------|-----------------|----------------|----------------|----------------|----------------|----------------|---------|----------|-------|----------------------------|-----------------|----------------------------|-------------------------|
|                                     | APRIL          | MAY            | JUNE           | JULY            | AUGUST         | SEPTEMBER      | OCTOBER        | NOVEMBER       | DECEMBER       | JANUARY | FEBRUARY | MARCH | 2020                       | 2019            | \$ Increase/<br>(Decrease) | % Increase/<br>Decrease |
| Beginning Fund Balance              | \$ 8,944.2     | \$ 10,082.5    | \$ 7,310.2     | \$ 6,863.6      | \$ 14,383.0    | \$ 13,522.7    | \$ 15,441.8    | \$ 14,930.8    | \$ 13,602.7    |         |          |       | \$ 8,944.2                 | \$ 7,205.7      | \$ 1,738.5                 | 24.1%                   |
| <b>RECEIPTS:</b>                    |                |                |                |                 |                |                |                |                |                |         |          |       |                            |                 |                            |                         |
| <b>Taxes:</b>                       |                |                |                |                 |                |                |                |                |                |         |          |       |                            |                 |                            |                         |
| Personal Income Tax:                |                |                |                |                 |                |                |                |                |                |         |          |       |                            |                 |                            |                         |
| Withholdings                        | 3,187.3        | 2,928.3        | 3,096.3        | 3,400.3         | 2,876.6        | 3,147.2        | 2,919.5        | 3,032.3        | 4,648.0        |         |          |       | 29,235.8                   | 28,836.8        | 399.0                      | 1.4%                    |
| Estimated Payments                  | 211.6          | 70.9           | 1,493.0        | 6,329.0         | 121.3          | 2,510.0        | 176.8          | 99.6           | 335.5          |         |          |       | 11,347.7                   | 12,955.8        | (1,608.1)                  | -12.4%                  |
| Returns                             | 339.1          | 124.7          | 260.9          | 1,765.1         | 69.3           | 83.4           | 528.0          | 51.4           | 32.3           |         |          |       | 3,254.2                    | 3,198.8         | 55.4                       | 1.7%                    |
| State/City Offsets                  | (69.8)         | (39.8)         | (58.4)         | (187.0)         | (28.1)         | (71.7)         | (444.9)        | (65.9)         | (58.3)         |         |          |       | (1,023.9)                  | (995.6)         | 28.3                       | 2.8%                    |
| Other (Assessments/LLC)             | 107.4          | 60.1           | 63.0           | 103.1           | 75.0           | 97.9           | 133.3          | 90.9           | 119.3          |         |          |       | 850.0                      | 1,008.9         | (158.9)                    | -15.7%                  |
| <b>Gross Receipts</b>               | <b>3,775.6</b> | <b>3,144.2</b> | <b>4,854.8</b> | <b>11,410.5</b> | <b>3,114.1</b> | <b>5,766.8</b> | <b>3,312.7</b> | <b>3,208.3</b> | <b>5,076.8</b> |         |          |       | <b>43,663.8</b>            | <b>45,004.7</b> | <b>(1,340.9)</b>           | <b>-3.0%</b>            |
| Transfers to School Tax Relief Fund | -              | -              | -              | -               | -              | (0.1)          | (0.1)          | (1.4)          | (35.0)         |         |          |       | (36.6)                     | (41.7)          | (5.1)                      | -12.2%                  |
| Transfers to Revenue Bond Tax Fund  | (1,033.1)      | (1,099.6)      | (2,184.2)      | (5,115.4)       | (1,361.5)      | (2,635.7)      | (1,265.8)      | (1,287.4)      | (2,416.4)      |         |          |       | (18,399.1)                 | (18,658.6)      | (259.5)                    | -1.4%                   |
| Refunds Issued                      | (1,709.4)      | (945.0)        | (486.3)        | (1,179.8)       | (391.1)        | (495.4)        | (781.1)        | (633.5)        | (244.0)        |         |          |       | (6,865.6)                  | (7,687.5)       | (821.9)                    | -10.7%                  |
| <b>Total Personal Income Tax</b>    | <b>1,033.1</b> | <b>1,099.6</b> | <b>2,184.3</b> | <b>5,115.3</b>  | <b>1,361.5</b> | <b>2,635.6</b> | <b>1,265.7</b> | <b>1,286.0</b> | <b>2,381.4</b> |         |          |       | <b>18,362.5</b>            | <b>18,616.9</b> | <b>(254.4)</b>             | <b>-1.4%</b>            |
| Consumption/Use Taxes:              |                |                |                |                 |                |                |                |                |                |         |          |       |                            |                 |                            |                         |
| Sales and Use                       | 394.9          | 369.9          | 572.4          | 530.0           | 536.5          | 748.0          | 511.5          | 545.5          | 707.2          |         |          |       | 4,915.9                    | 5,663.3         | (747.4)                    | -13.2%                  |
| Auto Rental                         | -              | -              | -              | -               | -              | -              | -              | -              | -              |         |          |       | -                          | -               | -                          | 0.0%                    |
| Cigarette/Tobacco Products          | 30.0           | 22.7           | 25.9           | 29.0            | 26.6           | 32.4           | 25.3           | 26.9           | 26.5           |         |          |       | 245.3                      | 248.2           | (2.9)                      | -1.2%                   |
| Motor Fuel                          | -              | -              | -              | -               | -              | -              | -              | -              | -              |         |          |       | -                          | -               | -                          | 0.0%                    |
| Alcoholic Beverage                  | 26.7           | 21.4           | 22.8           | 26.0            | 23.1           | 23.3           | 23.7           | 22.9           | 18.6           |         |          |       | 208.5                      | 199.5           | 9.0                        | 4.5%                    |
| Highway Use                         | -              | -              | -              | -               | -              | -              | -              | -              | -              |         |          |       | -                          | -               | -                          | 0.0%                    |
| Vapor Excise                        | -              | -              | -              | -               | -              | -              | -              | -              | -              |         |          |       | -                          | -               | -                          | 0.0%                    |
| Opioid Excise                       | 7.2            | -              | -              | 8.9             | -              | 0.3            | 6.1            | 0.1            | 0.2            |         |          |       | 22.8                       | -               | 22.8                       | 100.0%                  |
| <b>Total Consumption/Use Taxes</b>  | <b>458.8</b>   | <b>414.0</b>   | <b>621.1</b>   | <b>593.9</b>    | <b>586.2</b>   | <b>804.0</b>   | <b>566.6</b>   | <b>595.4</b>   | <b>752.5</b>   |         |          |       | <b>5,392.5</b>             | <b>6,111.0</b>  | <b>(718.5)</b>             | <b>-11.8%</b>           |
| Business Taxes:                     |                |                |                |                 |                |                |                |                |                |         |          |       |                            |                 |                            |                         |
| Corporation Franchise               | 197.4          | (131.5)        | 447.4          | 449.2           | 18.0           | 835.0          | 74.3           | 65.6           | 908.1          |         |          |       | 2,863.5                    | 2,801.1         | 62.4                       | 2.2%                    |
| Corporation and Utilities           | 13.3           | (2.6)          | 73.8           | 12.7            | 3.6            | 79.7           | 5.4            | 0.4            | 74.8           |         |          |       | 261.1                      | 294.3           | (33.2)                     | -11.3%                  |
| Insurance                           | 63.0           | 6.9            | 325.4          | 28.9            | 15.6           | 348.4          | 21.9           | 8.9            | 379.5          |         |          |       | 1,198.5                    | 1,342.9         | (144.4)                    | -10.8%                  |
| Bank                                | 6.1            | 2.0            | 78.9           | 0.7             | 40.4           | 18.4           | (0.1)          | (0.5)          | 1.1            |         |          |       | 147.0                      | (27.9)          | 174.9                      | 626.9%                  |
| Petroleum Business                  | -              | -              | -              | -               | -              | -              | -              | -              | -              |         |          |       | -                          | -               | -                          | 0.0%                    |
| <b>Total Business Taxes</b>         | <b>279.8</b>   | <b>(125.2)</b> | <b>925.5</b>   | <b>491.5</b>    | <b>77.6</b>    | <b>1,281.5</b> | <b>101.5</b>   | <b>74.4</b>    | <b>1,363.5</b> |         |          |       | <b>4,470.1</b>             | <b>4,410.4</b>  | <b>59.7</b>                | <b>1.4%</b>             |
| Other Taxes:                        |                |                |                |                 |                |                |                |                |                |         |          |       |                            |                 |                            |                         |
| Real Property Gains                 | -              | -              | -              | -               | -              | -              | -              | -              | -              |         |          |       | -                          | -               | -                          | 0.0%                    |
| Estate and Gift                     | 72.7           | 52.0           | 147.3          | 147.7           | 55.8           | 91.3           | 135.1          | 131.5          | 123.1          |         |          |       | 956.5                      | 813.5           | 143.0                      | 17.6%                   |
| Pari-Mutuel                         | 0.7            | 0.2            | 0.8            | 1.0             | 1.4            | 0.7            | 1.6            | 0.8            | 0.9            |         |          |       | 8.1                        | 12.2            | (4.1)                      | -33.6%                  |
| Real Estate Transfer                | -              | -              | -              | -               | -              | -              | -              | -              | -              |         |          |       | -                          | -               | -                          | 0.0%                    |
| Racing and Exhibitions              | 0.1            | -              | -              | -               | -              | -              | -              | -              | -              |         |          |       | 0.1                        | 2.0             | (1.9)                      | -95.0%                  |
| Employer Compensation Expense Tax   | 0.1            | (0.1)          | 0.1            | 0.1             | 0.1            | 0.1            | 0.1            | 0.1            | 0.4            |         |          |       | 1.0                        | 0.7             | 0.3                        | 42.9%                   |
| <b>Total Other Taxes</b>            | <b>73.6</b>    | <b>52.1</b>    | <b>148.2</b>   | <b>148.8</b>    | <b>57.3</b>    | <b>92.1</b>    | <b>136.8</b>   | <b>132.4</b>   | <b>124.4</b>   |         |          |       | <b>965.7</b>               | <b>828.4</b>    | <b>137.3</b>               | <b>16.6%</b>            |
| <b>Total Taxes</b>                  | <b>1,845.3</b> | <b>1,440.5</b> | <b>3,879.1</b> | <b>6,349.5</b>  | <b>2,082.6</b> | <b>4,813.2</b> | <b>2,070.6</b> | <b>2,088.2</b> | <b>4,621.8</b> |         |          |       | <b>29,190.8</b>            | <b>29,966.7</b> | <b>(775.9)</b>             | <b>-2.6%</b>            |
| <b>Miscellaneous Receipts:</b>      |                |                |                |                 |                |                |                |                |                |         |          |       |                            |                 |                            |                         |
| Abandoned Property:                 |                |                |                |                 |                |                |                |                |                |         |          |       |                            |                 |                            |                         |
| Abandoned Property                  | 0.4            | -              | -              | -               | 25.1           | 84.8           | 10.6           | 215.0          | 30.1           |         |          |       | 366.0                      | 286.3           | 79.7                       | 27.8%                   |
| Bottle Bill                         | 0.7            | 0.3            | 20.6           | (4.6)           | 0.1            | 43.2           | 2.1            | 0.7            | 21.4           |         |          |       | 84.5                       | 72.0            | 12.5                       | 17.4%                   |
| Assessments:                        |                |                |                |                 |                |                |                |                |                |         |          |       |                            |                 |                            |                         |
| Business                            | -              | -              | -              | -               | -              | -              | -              | -              | -              |         |          |       | -                          | -               | -                          | 0.0%                    |
| Medical Care                        | 1.9            | 2.1            | 1.7            | 1.8             | 2.1            | 2.7            | 3.3            | 6.3            | -              |         |          |       | 21.9                       | 29.7            | (7.8)                      | -26.3%                  |
| Public Utilities                    | -              | -              | -              | -               | -              | -              | -              | -              | -              |         |          |       | -                          | -               | -                          | 0.0%                    |
| Other                               | -              | 0.1            | -              | -               | -              | -              | -              | 0.1            | -              |         |          |       | 0.2                        | 0.4             | (0.2)                      | -50.0%                  |
| Fees, Licenses and Permits:         |                |                |                |                 |                |                |                |                |                |         |          |       |                            |                 |                            |                         |
| Alcohol Beverage Control Licensing  | 2.2            | 2.9            | 2.9            | 4.9             | 4.8            | 5.4            | 5.0            | 3.8            | 3.0            |         |          |       | 34.9                       | 57.1            | (22.2)                     | -38.9%                  |
| Audit Fees                          | -              | -              | -              | -               | -              | -              | -              | -              | -              |         |          |       | -                          | -               | -                          | 0.0%                    |
| Business/Professional               | 19.0           | (0.6)          | 27.9           | 13.5            | 9.7            | 30.7           | 17.9           | 4.1            | 40.6           |         |          |       | 162.8                      | 189.8           | (27.0)                     | -14.2%                  |
| Civil                               | 1.1            | 0.9            | 2.9            | 1.7             | 82.7           | (14.5)         | 9.5            | 29.2           | 23.6           |         |          |       | 137.1                      | 177.3           | (40.2)                     | -22.7%                  |
| Criminal                            | 0.1            | 0.1            | 0.1            | 0.1             | -              | 0.2            | 0.1            | 0.1            | 0.1            |         |          |       | 0.9                        | 1.3             | (0.4)                      | -30.8%                  |
| Motor Vehicle                       | (100.4)        | (49.0)         | 127.2          | 109.7           | 13.2           | 43.2           | 28.5           | 19.0           | 39.4           |         |          |       | 230.8                      | 248.5           | (17.7)                     | -7.1%                   |
| Recreational/Consumer               | -              | -              | 0.1            | (0.1)           | 0.5            | 3.0            | 1.9            | 1.1            | 1.2            |         |          |       | 7.7                        | 14.3            | (6.6)                      | -46.2%                  |
| Fines, Penalties and Forfeitures    | 88.9           | 226.0          | 14.7           | 186.1           | 3.3            | 8.2            | 12.8           | 150.4          | 7.1            |         |          |       | 697.5                      | 1,012.3         | (314.8)                    | -31.1%                  |
| Interest Earnings                   | 15.6           | 5.9            | 1.6            | 0.9             | 1.4            | 1.9            | 1.7            | 1.6            | 1.4            |         |          |       | 32.0                       | 135.8           | (103.8)                    | -76.4%                  |
| Receipts from Public Authorities:   |                |                |                |                 |                |                |                |                |                |         |          |       |                            |                 |                            |                         |
| Bond Proceeds                       | -              | 1,000.0        | 3,500.0        | -               | -              | -              | -              | -              | -              |         |          |       | 4,500.0                    | -               | 4,500.0                    | 100.0%                  |
| Cost Recovery Assessments           | -              | -              | -              | -               | -              | -              | -              | -              | -              |         |          |       | -                          | 20.1            | (20.1)                     | -100.0%                 |
| Issuance Fees                       | -              | -              | 20.2           | 24.7            | -              | 1.8            | 30.2           | 0.5            | 21.6           |         |          |       | 99.0                       | 46.5            | 52.5                       | 112.9%                  |
| Non Bond Related                    | -              | -              | -              | -               | -              | -              | -              | -              | 28.2           |         |          |       | 28.2                       | 25.2            | 3.0                        | 11.9%                   |
| Receipts from Municipalities        | -              | -              | -              | -               | 0.1            | -              | -              | -              | -              |         |          |       | 0.1                        | 16.8            | (16.7)                     | -99.4%                  |
| Rentals                             | 0.2            | 0.1            | 0.1            | 0.1             | 0.2            | 0.1            | -              | 0.3            | 0.2            |         |          |       | 1.3                        | 1.7             | (0.4)                      | -23.5%                  |
| Revenues of State Departments:      |                |                |                |                 |                |                |                |                |                |         |          |       |                            |                 |                            |                         |
| Administrative Recoveries           | 0.4            | 0.3            | 16.7           | 0.4             | 0.1            | 15.5           | 0.3            | 0.1            | 14.0           |         |          |       | 47.8                       | 57.1            | (9.3)                      | -16.3%                  |
| Commissions                         | 0.4            | (0.4)          | 0.1            | -               | (0.3)          | 0.5            | (0.5)          | 0.1            | -              |         |          |       | (0.1)                      | 0.4             | (0.5)                      | -125.0%                 |
| Gifts, Grants and Donations         | -              | -              | -              | -               | -              | -              | -              | -              | -              |         |          |       | -                          | -               | -                          | 0.0%                    |
| Indirect Cost Recoveries            | 5.5            | 5.4            | 7.4            | 5.9             | 6.1            | 5.7            | 5.0            | 5.5            | 8.7            |         |          |       | 55.2                       | 60.6            | (5.4)                      | -8.9%                   |
| Patient/Client Care Reimbursement   | (3.7)          | 53.7           | 2.2            | (17.1)          | 7.7            | (107.3)        | 49.3           | 1.2            | 7.2            |         |          |       | (6.8)                      | (10.3)          | 3.5                        | 34.0%                   |
| Rebates                             | -              | 1.7            | (0.9)          | -               | 3.2            | -              | (0.7)          | 2.6            | -              |         |          |       | 5.9                        | 4.5             | 1.4                        | 31.1%                   |
| Restitution and Settlements         | 0.2            | -              | -              | 0.1             | -              | -              | -              | 0.2            | 0.7            |         |          |       | 1.2                        | 5.9             | (4.7)                      | -79.7%                  |

STATE OF NEW YORK  
GENERAL FUND  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2020-2021  
(amounts in millions)

EXHIBIT F

|  |                    |                   |                   |                    |                    |                    |                    |                    |                    |                 | 9 Months Ended December 31 |             |                    |                   |                            |                         |
|--|--------------------|-------------------|-------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|-----------------|----------------------------|-------------|--------------------|-------------------|----------------------------|-------------------------|
|  | 2020<br>APRIL      | MAY               | JUNE              | JULY               | AUGUST             | SEPTEMBER          | OCTOBER            | NOVEMBER           | DECEMBER           | 2021<br>JANUARY | FEBRUARY                   | MARCH       | 2020               | 2019              | \$ Increase/<br>(Decrease) | % Increase/<br>Decrease |
| Student Loans  | -                  | -                 | -                 | -                  | -                  | -                  | -                  | -                  | -                  | -               | -                          | -           | -                  | -                 | -                          | 0.0%                    |
| All Other  | 4.8                | 4.5               | 4.9               | 11.9               | 6.1                | 3.2                | 12.7               | 6.3                | 12.6               | -               | -                          | -           | 67.0               | 63.5              | 3.5                        | 5.5%                    |
| Sales  | -                  | -                 | 1.8               | 2.3                | -                  | -                  | 0.5                | -                  | -                  | -               | -                          | -           | 4.6                | -                 | 4.6                        | 100.0%                  |
| <b>Total Miscellaneous Receipts</b>  | <b>37.3</b>        | <b>1,254.0</b>    | <b>3,752.2</b>    | <b>342.3</b>       | <b>166.1</b>       | <b>128.3</b>       | <b>190.2</b>       | <b>448.2</b>       | <b>261.1</b>       | -               | -                          | -           | <b>6,579.7</b>     | <b>2,516.8</b>    | <b>4,062.9</b>             | <b>161.4%</b>           |
| Federal Receipts   | -                  | -                 | -                 | -                  | -                  | 0.1                | -                  | 0.1                | -                  | -               | -                          | -           | 0.2                | 0.5               | (0.3)                      | -60.0%                  |
| <b>Total Receipts</b>  | <b>1,882.6</b>     | <b>2,694.5</b>    | <b>7,631.3</b>    | <b>6,691.8</b>     | <b>2,248.7</b>     | <b>4,941.6</b>     | <b>2,260.8</b>     | <b>2,536.5</b>     | <b>4,882.9</b>     | -               | -                          | -           | <b>35,770.7</b>    | <b>32,484.0</b>   | <b>3,286.7</b>             | <b>10.1%</b>            |
| <b>DISBURSEMENTS:</b>  |                    |                   |                   |                    |                    |                    |                    |                    |                    |                 |                            |             |                    |                   |                            |                         |
| Local Assistance Grants:   |                    |                   |                   |                    |                    |                    |                    |                    |                    |                 |                            |             |                    |                   |                            |                         |
| Education  | 754.2              | 4,030.1           | 3,112.1           | 563.3              | 856.3              | 1,571.5            | 1,051.2            | 1,411.4            | 2,408.4            | -               | -                          | -           | 15,758.5           | 16,793.9          | (1,035.4)                  | -6.2%                   |
| Environment and Recreation   | 0.1                | -                 | -                 | -                  | (0.1)              | -                  | -                  | -                  | 0.3                | -               | -                          | -           | 0.3                | 2.3               | (2.0)                      | -87.0%                  |
| General Government   | 6.8                | 0.7               | 493.7             | 12.4               | 0.6                | 51.7               | 62.4               | 8.8                | 168.4              | -               | -                          | -           | 805.5              | 943.6             | (138.1)                    | -14.6%                  |
| Public Health:   |                    |                   |                   |                    |                    |                    |                    |                    |                    |                 |                            |             |                    |                   |                            |                         |
| Medicaid   | 229.4              | 1,283.6           | 2,466.9           | 1,220.8            | 1,431.8            | 1,614.5            | 999.5              | 1,041.9            | 1,632.6            | -               | -                          | -           | 11,921.0           | 14,864.9          | (2,943.9)                  | -19.8%                  |
| Other Public Health  | 63.9               | 47.3              | 239.8             | 433.9              | 72.7               | 247.3              | 193.6              | 181.5              | 168.3              | -               | -                          | -           | 1,648.3            | 1,754.0           | (105.7)                    | -6.0%                   |
| Public Safety  | 2.9                | 1.4               | 0.3               | 2.7                | 7.9                | 14.1               | 7.3                | 3.7                | 45.6               | -               | -                          | -           | 85.9               | 136.9             | (51.0)                     | -37.3%                  |
| Public Welfare   | 76.9               | 158.0             | 61.4              | 577.5              | 57.1               | 426.7              | 70.3               | 222.3              | 167.3              | -               | -                          | -           | 1,817.5            | 1,835.8           | (18.3)                     | -1.0%                   |
| Support and Regulate Business  | 4.6                | 6.7               | 4.6               | 4.8                | 4.3                | 5.6                | 9.0                | 7.5                | 10.1               | -               | -                          | -           | 57.2               | 110.8             | (53.6)                     | -48.4%                  |
| Transportation   | 0.1                | -                 | -                 | 24.5               | 12.7               | 2.0                | 2.9                | 13.9               | 24.3               | -               | -                          | -           | 80.4               | 97.5              | (17.1)                     | -17.5%                  |
| <b>Total Local Assistance Grants</b>   | <b>1,138.9</b>     | <b>5,527.8</b>    | <b>6,378.8</b>    | <b>2,839.9</b>     | <b>2,443.3</b>     | <b>3,933.4</b>     | <b>2,396.2</b>     | <b>2,891.0</b>     | <b>4,625.3</b>     | -               | -                          | -           | <b>32,174.6</b>    | <b>36,539.7</b>   | <b>(4,365.1)</b>           | <b>-11.9%</b>           |
| Departmental Operations:   |                    |                   |                   |                    |                    |                    |                    |                    |                    |                 |                            |             |                    |                   |                            |                         |
| Personal Service   | 893.7              | 691.3             | 564.6             | 738.8              | 663.2              | 835.8              | 598.0              | 615.3              | (485.5)            | -               | -                          | -           | 5,115.2            | 6,840.1           | (1,724.9)                  | -25.2%                  |
| Non-Personal Service   | 313.2              | 195.2             | 165.0             | (506.7)            | 222.4              | 260.7              | 183.6              | 192.7              | 241.1              | -               | -                          | -           | 1,267.2            | 1,811.1           | (543.9)                    | -30.0%                  |
| General State Charges  | 460.2              | 330.5             | 2,511.9           | 335.9              | 271.1              | 476.3              | 387.4              | 342.1              | 262.3              | -               | -                          | -           | 5,377.7            | 6,118.7           | (741.0)                    | -12.1%                  |
| <b>Total Disbursements</b>   | <b>2,806.0</b>     | <b>6,744.8</b>    | <b>9,620.3</b>    | <b>3,407.9</b>     | <b>3,600.0</b>     | <b>5,506.2</b>     | <b>3,565.2</b>     | <b>4,041.1</b>     | <b>4,643.2</b>     | -               | -                          | -           | <b>43,934.7</b>    | <b>51,309.6</b>   | <b>(7,374.9)</b>           | <b>-14.4%</b>           |
| <b>Excess (Deficiency) of Receipts over Disbursements</b>  | <b>(923.4)</b>     | <b>(4,050.3)</b>  | <b>(1,989.0)</b>  | <b>3,283.9</b>     | <b>(1,351.3)</b>   | <b>(564.6)</b>     | <b>(1,304.4)</b>   | <b>(1,504.6)</b>   | <b>239.7</b>       | -               | -                          | -           | <b>(8,164.0)</b>   | <b>(18,825.6)</b> | <b>10,661.6</b>            | <b>56.6%</b>            |
| <b>OTHER FINANCING SOURCES (USES):</b>   |                    |                   |                   |                    |                    |                    |                    |                    |                    |                 |                            |             |                    |                   |                            |                         |
| Transfers from Revenue Bond Tax Fund   | 1,032.9            | 1,098.9           | 2,178.3           | 4,323.6            | 487.1              | 1,889.6            | 665.9              | 518.5              | 2,027.4            | -               | -                          | -           | 14,222.2           | 18,553.3          | (4,331.1)                  | -23.3%                  |
| Transfers from LGAC / STRBTF   | 284.6              | 162.2             | 560.4             | 420.1              | 426.7              | 656.4              | 440.2              | 435.4              | 597.1              | -               | -                          | -           | 3,983.1            | 4,972.0           | (988.9)                    | -19.9%                  |
| Transfers from CW/CA Fund  | 43.8               | 48.4              | 37.5              | 50.5               | 52.3               | 66.1               | 61.8               | 74.8               | 91.1               | -               | -                          | -           | 526.3              | 732.1             | (205.8)                    | -28.1%                  |
| Transfers from Other Funds   | 74.9               | 193.6             | 83.5              | 126.5              | 195.3              | 151.5              | 45.0               | 133.3              | 227.6              | -               | -                          | -           | 1,231.2            | 1,021.2           | 210.0                      | 20.6%                   |
| Transfers to State Capital Projects  | 800.3              | (203.6)           | (312.4)           | (306.1)            | (565.6)            | (229.0)            | (90.8)             | (860.0)            | (34.2)             | -               | -                          | -           | (1,801.6)          | (2,108.5)         | (306.9)                    | -14.6%                  |
| Transfers to All Other Capital Projects  | -                  | -                 | (30.5)            | (204.0)            | -                  | (16.5)             | -                  | (45.8)             | (85.5)             | -               | -                          | -           | (382.3)            | (1,087.5)         | (705.2)                    | -64.8%                  |
| Transfers to General Debt Service  | (32.0)             | 1.7               | (3.7)             | (82.7)             | 4.0                | 21.6               | (75.6)             | 1.4                | 11.2               | -               | -                          | -           | (154.1)            | (340.6)           | (186.5)                    | -54.8%                  |
| Transfers to All Other State Funds   | (142.6)            | (23.0)            | (970.7)           | (92.4)             | (108.8)            | (56.0)             | (253.1)            | (81.1)             | (124.7)            | -               | -                          | -           | (1,852.6)          | (1,942.4)         | (89.8)                     | -4.6%                   |
| <b>Total Other Financing Sources (Uses)</b>  | <b>2,061.7</b>     | <b>1,278.0</b>    | <b>1,542.4</b>    | <b>4,235.5</b>     | <b>491.0</b>       | <b>2,483.7</b>     | <b>793.4</b>       | <b>176.5</b>       | <b>2,710.0</b>     | -               | -                          | -           | <b>15,772.2</b>    | <b>19,799.6</b>   | <b>(4,027.4)</b>           | <b>-20.3%</b>           |
| <b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b> | <b>1,138.3</b>     | <b>(2,772.3)</b>  | <b>(446.6)</b>    | <b>7,519.4</b>     | <b>(860.3)</b>     | <b>1,919.1</b>     | <b>(511.0)</b>     | <b>(1,328.1)</b>   | <b>2,949.7</b>     | -               | -                          | -           | <b>7,608.2</b>     | <b>974.0</b>      | <b>6,634.2</b>             | <b>681.1%</b>           |
| <b>Ending Fund Balance</b>   | <b>\$ 10,082.5</b> | <b>\$ 7,310.2</b> | <b>\$ 6,863.6</b> | <b>\$ 14,383.0</b> | <b>\$ 13,522.7</b> | <b>\$ 15,441.8</b> | <b>\$ 14,930.8</b> | <b>\$ 13,602.7</b> | <b>\$ 16,552.4</b> | <b>\$ -</b>     | <b>\$ -</b>                | <b>\$ -</b> | <b>\$ 16,552.4</b> | <b>\$ 8,179.7</b> | <b>\$ 8,372.7</b>          | <b>102.4%</b>           |

STATE OF NEW YORK  
SPECIAL REVENUE FUNDS - COMBINED  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2020-2021  
(amounts in millions)

EXHIBIT G

|                                     | 2020            |                |                |                |                |                 |                |                |                |          | 2021     |          |          | Intra-Fund<br>Transfer<br>Eliminations (*) | 9 Months Ended December 31 |                            |                         |  |
|-------------------------------------|-----------------|----------------|----------------|----------------|----------------|-----------------|----------------|----------------|----------------|----------|----------|----------|----------|--|----------------------------|----------------------------|-------------------------|--|
|                                     | APRIL           | MAY            | JUNE           | JULY           | AUGUST         | SEPTEMBER       | OCTOBER        | NOVEMBER       | DECEMBER       | JANUARY  | FEBRUARY | MARCH    | 2020     |  | 2019                       | \$ Increase/<br>(Decrease) | % Increase/<br>Decrease |  |
| Beginning Fund Balance              | \$ 6,312.1      | \$ 11,224.0    | \$ 11,140.2    | \$ 14,472.7    | \$ 13,232.7    | \$ 12,870.2     | 9,510.3        | \$ 12,183.8    | \$ 11,260.9    |          |          |          | \$ -     | \$ 6,312.1                                 | \$ 3,842.4                 | \$ 2,469.7                 | 64.3%                   |  |
| <b>RECEIPTS:</b>                    |                 |                |                |                |                |                 |                |                |                |          |          |          |          |  |                            |                            |                         |  |
| <b>Taxes:</b>                       |                 |                |                |                |                |                 |                |                |                |          |          |          |          |  |                            |                            |                         |  |
| Personal Income Tax                 | -               | -              | -              | -              | -              | 0.1             | 0.1            | 1.4            | 35.0           | -        | -        | -        | -        | 36.6                                       | 41.7                       | (5.1)                      | -12.2%                  |  |
| Consumption/Use Taxes:              |                 |                |                |                |                |                 |                |                |                |          |          |          |          |  |                            |                            |                         |  |
| Sales and Use                       | 80.3            | 51.2           | 65.6           | 72.7           | 73.6           | 83.3            | 79.2           | 76.4           | 86.3           | -        | -        | -        | -        | 668.6                                      | 833.5                      | (164.9)                    | -19.8%                  |  |
| Auto Rental                         | (0.1)           | (1.5)          | 3.4            | 2.1            | 2.1            | (1.1)           | -              | -              | 3.8            | -        | -        | -        | -        | 8.7  | 12.9                       | (4.2)                      | -32.6%                  |  |
| Cigarette/Tobacco Products          | 68.8            | 51.3           | 60.2           | 68.8           | 60.4           | 71.0            | 55.7           | 59.1           | 61.0           | -        | -        | -        | -        | 556.3                                      | 570.8                      | (14.5)                     | -2.5%                   |  |
| Medical Marijuana                   | 0.5             | 0.6            | 0.7            | 0.6            | 0.8            | 0.7             | 0.8            | 0.7            | 0.8            | -        | -        | -        | -        | 6.2  | 4.3                        | 1.9                        | 44.2%                   |  |
| Motor Fuel                          | 6.5             | 4.7            | 6.6            | 8.5            | 9.1            | 8.9             | 8.3            | 8.6            | 8.7            | -        | -        | -        | -        | 69.9                                       | 83.6                       | (13.7)                     | -16.4%                  |  |
| Alcoholic Beverage                  | -               | -              | -              | -              | -              | -               | -              | -              | -              | -        | -        | -        | -        | -  | -                          | -                          | 0.0%                    |  |
| Highway Use                         | -               | 0.1            | -              | -              | 0.1            | -               | -              | -              | 0.1            | -        | -        | -        | -        | 0.4  | 0.4                        | -                          | 0.0%                    |  |
| Vapor Excise                        | -               | 0.1            | 11.7           | (0.4)          | (0.1)          | 7.4             | -              | -              | 6.8            | -        | -        | -        | -        | 25.5                                       | -                          | 25.5                       | 100.0%                  |  |
| <b>Total Consumption/Use Taxes</b>  | <b>156.0</b>    | <b>106.5</b>   | <b>148.2</b>   | <b>152.3</b>   | <b>146.0</b>   | <b>170.2</b>    | <b>144.0</b>   | <b>144.9</b>   | <b>167.5</b>   | <b>-</b> | <b>-</b> | <b>-</b> | <b>-</b> | <b>1,335.6</b>                             | <b>1,505.5</b>             | <b>(169.9)</b>             | <b>-11.3%</b>           |  |
| Business Taxes:                     |                 |                |                |                |                |                 |                |                |                |          |          |          |          |  |                            |                            |                         |  |
| Corporation Franchise               | 57.0            | (3.2)          | 110.1          | 114.0          | 11.7           | 165.7           | 40.7           | 24.5           | 171.0          | -        | -        | -        | -        | 691.5                                      | 733.7                      | (42.2)                     | -5.8%                   |  |
| Corporation and Utilities           | 2.2             | (6.9)          | 20.5           | 17.0           | (1.4)          | 24.4            | 4.1            | 0.1            | 24.9           | -        | -        | -        | -        | 84.9                                       | 109.7                      | (24.8)                     | -22.6%                  |  |
| Insurance                           | 7.2             | (0.4)          | 38.6           | 4.4            | 0.4            | 43.3            | 1.2            | 0.3            | 42.1           | -        | -        | -        | -        | 137.1                                      | 183.7                      | (46.6)                     | -25.4%                  |  |
| Bank                                | 1.3             | 0.6            | 12.9           | -              | 6.1            | (3.1)           | 0.5            | (0.2)          | 0.5            | -        | -        | -        | -        | 18.6                                       | (2.0)                      | 20.6                       | 1,030.0%                |  |
| Petroleum Business                  | 30.3            | 17.6           | 37.7           | 38.8           | 38.7           | 43.2            | 39.6           | 36.5           | 36.4           | -        | -        | -        | -        | 318.8                                      | 393.4                      | (74.6)                     | -19.0%                  |  |
| <b>Total Business Taxes</b>         | <b>98.0</b>     | <b>7.7</b>     | <b>219.8</b>   | <b>174.2</b>   | <b>65.5</b>    | <b>273.5</b>    | <b>86.1</b>    | <b>61.2</b>    | <b>274.9</b>   | <b>-</b> | <b>-</b> | <b>-</b> | <b>-</b> | <b>1,250.9</b>                             | <b>1,418.5</b>             | <b>(167.6)</b>             | <b>-11.8%</b>           |  |
| <b>Total Taxes</b>                  | <b>254.0</b>    | <b>114.2</b>   | <b>368.0</b>   | <b>326.5</b>   | <b>201.5</b>   | <b>443.8</b>    | <b>230.2</b>   | <b>207.5</b>   | <b>477.4</b>   | <b>-</b> | <b>-</b> | <b>-</b> | <b>-</b> | <b>2,623.1</b>                             | <b>2,965.7</b>             | <b>(342.6)</b>             | <b>-11.6%</b>           |  |
| <b>Miscellaneous Receipts:</b>      |                 |                |                |                |                |                 |                |                |                |          |          |          |          |  |                            |                            |                         |  |
| Abandoned Property:                 |                 |                |                |                |                |                 |                |                |                |          |          |          |          |  |                            |                            |                         |  |
| Abandoned Property                  | 1.2             | 0.7            | 0.8            | 0.9            | 0.8            | 1.2             | 0.8            | 0.9            | 1.3            | -        | -        | -        | -        | 8.6  | 8.8                        | (0.2)                      | -2.3%                   |  |
| Assessments:                        |                 |                |                |                |                |                 |                |                |                |          |          |          |          |  |                            |                            |                         |  |
| Business                            | 46.7            | 62.4           | 82.6           | 71.5           | 41.0           | 65.6            | 90.3           | 11.7           | 90.9           | -        | -        | -        | -        | 562.7                                      | 591.5                      | (28.8)                     | -4.9%                   |  |
| Medical Care                        | 569.3           | 464.7          | 504.4          | 440.8          | 461.5          | 517.3           | 505.7          | 470.8          | 507.2          | -        | -        | -        | -        | 4,441.7                                    | 4,795.9                    | (354.2)                    | -7.4%                   |  |
| Public Utilities                    | 0.1             | -              | 0.4            | 4.4            | 0.4            | 45.4            | (10.1)         | (1.6)          | 0.7            | -        | -        | -        | -        | 39.7                                       | 44.0                       | (4.3)                      | -9.8%                   |  |
| Other                               | -               | -              | -              | -              | -              | -               | -              | -              | -              | -        | -        | -        | -        | -  | 0.3                        | (0.3)                      | -100.0%                 |  |
| Fees, Licenses and Permits:         |                 |                |                |                |                |                 |                |                |                |          |          |          |          |  |                            |                            |                         |  |
| Audit Fees                          | -               | -              | 0.1            | 0.2            | 0.7            | 0.7             | 0.1            | 0.3            | 0.1            | -        | -        | -        | -        | 2.2  | 2.6                        | (0.4)                      | -15.4%                  |  |
| Business/Professional               | 48.2            | 70.4           | 86.5           | 40.8           | 36.3           | 94.8            | 46.1           | 40.7           | 73.3           | -        | -        | -        | -        | 537.1                                      | 539.5                      | (2.4)                      | -0.4%                   |  |
| Civil                               | 3.2             | 2.4            | 2.6            | 1.4            | 6.1            | 4.7             | 5.1            | 4.2            | 4.9            | -        | -        | -        | -        | 34.6                                       | 43.2                       | (8.6)                      | -19.9%                  |  |
| Criminal                            | 0.5             | 0.3            | 0.1            | 1.5            | -              | -               | -              | 0.4            | 0.1            | -        | -        | -        | -        | 2.9  | 4.9                        | (2.0)                      | -40.8%                  |  |
| Motor Vehicle                       | 18.4            | 15.8           | 17.0           | 30.6           | 27.0           | 28.3            | 15.8           | 34.9           | 15.4           | -        | -        | -        | -        | 203.2                                      | 217.1                      | (13.9)                     | -6.4%                   |  |
| Recreational/Consumer               | 43.0            | -              | 36.0           | 34.3           | 31.8           | 144.3           | 83.3           | 59.6           | 55.8           | -        | -        | -        | -        | 488.1                                      | 642.5                      | (154.4)                    | -24.0%                  |  |
| Fines, Penalties and Forfeitures    | 10.0            | 5.8            | 1.6            | 14.0           | 0.4            | 5.9             | 65.4           | 3.0            | 2.8            | -        | -        | -        | -        | 108.9                                      | 248.7                      | (139.8)                    | -56.2%                  |  |
| Gaming:                             |                 |                |                |                |                |                 |                |                |                |          |          |          |          |  |                            |                            |                         |  |
| Casino                              | -               | -              | -              | 20.8           | -              | 8.0             | 33.4           | 10.0           | 10.2           | -        | -        | -        | -        | 82.4                                       | 210.6                      | (128.2)                    | -60.9%                  |  |
| Lottery                             | 157.0           | 142.1          | 173.8          | 202.2          | 195.9          | 199.3           | 168.3          | 171.0          | 215.6          | -        | -        | -        | -        | 1,625.2                                    | 1,832.8                    | (207.6)                    | -11.3%                  |  |
| Video Lottery                       | -               | 0.6            | -              | (0.4)          | -              | 33.8            | 59.6           | 54.2           | 58.0           | -        | -        | -        | -        | 205.8                                      | 709.7                      | (503.9)                    | -71.0%                  |  |
| Interest Earnings                   | 16.5            | 11.7           | 7.5            | 4.3            | 5.2            | 4.2             | 4.1            | 4.1            | 4.4            | -        | -        | -        | -        | 62.0                                       | 191.7                      | (129.7)                    | -67.7%                  |  |
| Receipts from Public Authorities:   |                 |                |                |                |                |                 |                |                |                |          |          |          |          |  |                            |                            |                         |  |
| Bond Proceeds                       | -               | -              | -              | -              | -              | -               | -              | -              | -              | -        | -        | -        | -        | -  | -                          | -                          | 0.0%                    |  |
| Cost Recovery Assessments           | -               | -              | -              | -              | -              | -               | 8.9            | -              | -              | -        | -        | -        | -        | 8.9  | 32.6                       | (23.7)                     | -72.7%                  |  |
| Issuance Fees                       | 0.5             | 1.4            | 5.3            | -              | -              | -               | -              | -              | -              | -        | -        | -        | -        | 7.2  | 7.2                        | -                          | 0.0%                    |  |
| Non Bond Related                    | 8.9             | 0.3            | -              | 4.2            | 1.8            | -               | 0.4            | -              | -              | -        | -        | -        | -        | 15.6                                       | 31.8                       | (16.2)                     | -50.9%                  |  |
| Receipts from Municipalities        | 9.3             | 2.2            | 3.7            | 3.7            | 1.6            | 4.7             | 3.4            | 1.6            | 5.1            | -        | -        | -        | -        | 35.3                                       | 41.8                       | (6.5)                      | -15.6%                  |  |
| Rentals                             | (5.3)           | (42.9)         | (0.4)          | 1.9            | 3.8            | 34.6            | 61.0           | 11.3           | 7.4            | -        | -        | -        | -        | 71.4                                       | 218.7                      | (147.3)                    | -67.4%                  |  |
| Revenues of State Departments:      |                 |                |                |                |                |                 |                |                |                |          |          |          |          |  |                            |                            |                         |  |
| Administrative Recoveries           | 24.6            | 8.5            | 8.7            | 8.7            | 8.8            | 10.1            | 24.2           | 8.7            | 8.6            | -        | -        | -        | -        | 110.9                                      | 102.8                      | 8.1                        | 7.9%                    |  |
| Commissions                         | 0.2             | 0.1            | 0.1            | 0.2            | 0.3            | 0.8             | 0.7            | 0.8            | 14.2           | -        | -        | -        | -        | 17.4                                       | 18.8                       | (1.4)                      | -7.4%                   |  |
| Commissions - Asset Conversion      | -               | -              | -              | -              | -              | -               | -              | -              | 68.0           | -        | -        | -        | -        | 68.0                                       | -                          | -                          | 0.0%                    |  |
| Gifts, Grants and Donations         | 0.6             | 1.5            | 22.1           | 0.7            | 0.6            | 0.5             | 5.1            | 0.3            | 1.7            | -        | -        | -        | -        | 33.1                                       | 6.4                        | 26.7                       | 417.2%                  |  |
| Indirect Cost Recoveries            | -               | -              | -              | -              | -              | -               | -              | -              | -              | -        | -        | -        | -        | -  | 0.9                        | (0.9)                      | -100.0%                 |  |
| Patient/Client Care Reimbursement   | 483.0           | 305.7          | 305.8          | 198.8          | 167.9          | 150.1           | 221.2          | 165.9          | 314.0          | -        | -        | -        | -        | 2,312.4                                    | 1,624.5                    | 687.9                      | 42.3%                   |  |
| Rebates                             | 7.8             | 13.6           | 14.1           | 17.7           | 9.5            | 12.7            | 10.5           | 11.2           | 12.7           | -        | -        | -        | -        | 109.8                                      | 123.4                      | (13.6)                     | -11.0%                  |  |
| Restitution and Settlements         | 3.7             | 0.4            | 0.6            | 0.1            | 41.8           | 3.9             | 0.3            | 0.7            | 0.5            | -        | -        | -        | -        | 52.0                                       | 21.1                       | 30.9                       | 146.4%                  |  |
| Student Loans                       | 6.1             | 1.4            | 3.2            | 6.4            | 6.8            | 3.7             | 6.0            | 3.6            | 4.9            | -        | -        | -        | -        | 42.1                                       | 54.5                       | (12.4)                     | -22.8%                  |  |
| All Other                           | (24.6)          | 4.4            | 13.1           | 63.5           | 40.0           | 48.6            | 58.3           | 35.2           | 27.9           | -        | -        | -        | -        | 266.4                                      | 392.4                      | (126.0)                    | -32.1%                  |  |
| Sales                               | 0.5             | 0.6            | 0.8            | 0.7            | 0.5            | 0.6             | 1.3            | 0.8            | 0.6            | -        | -        | -        | -        | 6.4  | 13.5                       | (7.1)                      | -52.6%                  |  |
| Tuition                             | (67.5)          | 33.6           | 59.9           | 50.9           | 113.3          | 375.7           | 172.5          | 49.7           | (32.9)         | -        | -        | -        | -        | 752.6                                      | 919.0                      | (166.4)                    | -18.1%                  |  |
| <b>Total Miscellaneous Receipts</b> | <b>1,361.9</b>  | <b>1,107.7</b> | <b>1,347.4</b> | <b>1,224.8</b> | <b>1,203.8</b> | <b>1,799.5</b>  | <b>1,642.4</b> | <b>1,153.6</b> | <b>1,473.5</b> | <b>-</b> | <b>-</b> | <b>-</b> | <b>-</b> | <b>12,314.6</b>                            | <b>13,761.2</b>            | <b>(1,446.6)</b>           | <b>-10.5%</b>           |  |
| Federal Receipts                    | 10,777.4        | 4,104.3        | 7,352.3        | 5,214.1        | 4,404.5        | 9,934.4         | 7,441.5        | 4,052.8        | 7,300.6        | -        | -        | -        | -        | 60,581.9                                   | 48,192.0                   | 12,389.9                   | 25.7%                   |  |
| <b>Total Receipts</b>               | <b>12,393.3</b> | <b>5,326.2</b> | <b>9,067.7</b> | <b>6,765.4</b> | <b>5,809.8</b> | <b>12,177.7</b> | <b>9,314.1</b> | <b>5,413.9</b> | <b>9,251.5</b> | <b>-</b> | <b>-</b> | <b>-</b> | <b>-</b> | <b>75,519.6</b>                            | <b>64,918.9</b>            | <b>10,600.7</b>            | <b>16.3%</b>            |  |

STATE OF NEW YORK  
SPECIAL REVENUE FUNDS - COMBINED  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2020-2021  
(amounts in millions)

EXHIBIT G

|   | 2020               |                    |                    |                    |                    |                   |                    |                    |                    | 2021        |             |             | Intra-Fund<br>Transfer<br>Eliminations (*) | 9 Months Ended December 31 |                   |                            |                         |
|---|--------------------|--------------------|--------------------|--------------------|--------------------|-------------------|--------------------|--------------------|--------------------|-------------|-------------|-------------|--|----------------------------|-------------------|----------------------------|-------------------------|
|   | APRIL              | MAY                | JUNE               | JULY               | AUGUST             | SEPTEMBER         | OCTOBER            | NOVEMBER           | DECEMBER           | JANUARY     | FEBRUARY    | MARCH       |  | 2020                       | 2019              | \$ Increase/<br>(Decrease) | % Increase/<br>Decrease |
| <b>DISBURSEMENTS:</b>   |                    |                    |                    |                    |                    |                   |                    |                    |                    |             |             |             |  |                            |                   |                            |                         |
| Local Assistance Grants:  |                    |                    |                    |                    |                    |                   |                    |                    |                    |             |             |             |  |                            |                   |                            |                         |
| Education   | 383.0              | 102.6              | 847.9              | 234.9              | 213.2              | 2,584.4           | 279.3              | 347.3              | 252.9              | -           | -           | -           | 5,245.5                                    | 6,003.9                    | (758.4)           | -12.6%                     |                         |
| Environment and Recreation  | -                  | 0.5                | -                  | 0.3                | 0.1                | 0.3               | 0.8                | 0.2                | 0.1                | -           | -           | -           | 2.3  | 2.4                        | (0.1)             | -4.2%                      |                         |
| General Government  | 11.3               | 6.1                | 8.5                | 19.0               | 39.4               | 3,866.8           | 169.6              | 94.6               | 114.3              | -           | -           | -           | 4,329.6                                    | 208.4                      | 4,121.2           | 1,977.5%                   |                         |
| Public Health:  |                    |                    |                    |                    |                    |                   |                    |                    |                    |             |             |             |  |                            |                   |                            |                         |
| Medicaid  | 5,180.9            | 3,816.0            | 3,615.6            | 4,136.8            | 3,861.0            | 5,390.1           | 3,286.6            | 3,770.7            | 5,076.7            | -           | -           | -           | 38,134.4                                   | 34,652.6                   | 3,481.8           | 10.0%                      |                         |
| Other Public Health   | 509.6              | 533.0              | 709.7              | 556.1              | 552.9              | 805.5             | 570.7              | 551.3              | 650.7              | -           | -           | -           | 5,439.5                                    | 5,438.7                    | 0.8               | 0.0%                       |                         |
| Public Safety   | 92.4               | 62.2               | 159.2              | 261.6              | 62.2               | 136.2             | 627.1              | 19.1               | 133.8              | -           | -           | -           | 1,553.8                                    | 1,040.3                    | 513.5             | 49.4%                      |                         |
| Public Welfare  | 134.9              | 25.9               | 253.6              | 201.6              | 253.9              | 950.4             | 384.1              | 164.5              | 162.9              | -           | -           | -           | 2,531.8                                    | 3,232.6                    | (700.8)           | -21.7%                     |                         |
| Support and Regulate Business   | 0.3                | 0.7                | 6.5                | 1.0                | 8.6                | 5.3               | 1.7                | 18.7               | 1.0                | -           | -           | -           | 43.8                                       | 52.0                       | (8.2)             | -15.8%                     |                         |
| Transportation  | 65.5               | 44.7               | 22.6               | 709.1              | 350.2              | 246.8             | 258.5              | 438.5              | 764.5              | -           | -           | -           | 2,900.4                                    | 3,226.2                    | (325.8)           | -10.1%                     |                         |
| Total Local Assistance Grants   | <u>6,377.9</u>     | <u>4,591.7</u>     | <u>5,623.6</u>     | <u>6,120.4</u>     | <u>5,341.6</u>     | <u>13,965.8</u>   | <u>5,578.4</u>     | <u>5,404.9</u>     | <u>7,156.9</u>     | -           | -           | -           | <u>60,161.1</u>                            | <u>53,667.1</u>            | <u>6,324.0</u>    | <u>11.7%</u>               |                         |
| Departmental Operations:  |                    |                    |                    |                    |                    |                   |                    |                    |                    |             |             |             |  |                            |                   |                            |                         |
| Personal Service  | 675.8              | 444.6              | 551.8              | 540.0              | 390.7              | 691.2             | 521.2              | 474.9              | 1,784.0            | -           | -           | -           | 6,074.2                                    | 4,419.5                    | 1,654.7           | 37.4%                      |                         |
| Non-Personal Service  | 270.9              | 220.9              | 327.3              | 1,078.0            | 380.6              | 488.1             | 437.0              | 297.0              | 408.8              | -           | -           | -           | 3,908.6                                    | 3,105.6                    | 803.0             | 25.9%                      |                         |
| General State Charges   | 75.0               | 64.5               | 109.6              | 136.0              | 142.9              | 139.8             | 124.3              | 158.0              | 212.2              | -           | -           | -           | 1,162.3                                    | 995.7                      | 166.6             | 16.7%                      |                         |
| Debt Service, Including Payments on<br>Financing Agreements   | -                  | -                  | -                  | -                  | -                  | -                 | -                  | -                  | 102.2              | -           | -           | -           | 102.2                                      | -                          | 102.2             | 100.0%                     |                         |
| Capital Projects  | -                  | -                  | -                  | -                  | 2.3                | -                 | -                  | -                  | -                  | -           | -           | -           | 2.3  | -                          | 2.3               | 100.0%                     |                         |
| Total Disbursements   | <u>7,399.6</u>     | <u>5,321.7</u>     | <u>6,612.3</u>     | <u>7,874.4</u>     | <u>6,258.0</u>     | <u>15,304.9</u>   | <u>6,660.9</u>     | <u>6,334.8</u>     | <u>9,664.1</u>     | -           | -           | -           | <u>71,430.7</u>                            | <u>62,377.9</u>            | <u>9,052.8</u>    | <u>14.5%</u>               |                         |
| Excess (Deficiency) of Receipts<br>over Disbursements   | <u>4,993.7</u>     | <u>4.5</u>         | <u>2,455.4</u>     | <u>(1,109.0)</u>   | <u>(448.2)</u>     | <u>(3,127.2)</u>  | <u>2,653.2</u>     | <u>(920.9)</u>     | <u>(412.6)</u>     | -           | -           | -           | <u>4,088.9</u>                             | <u>2,541.0</u>             | <u>1,547.9</u>    | <u>60.9%</u>               |                         |
| <b>OTHER FINANCING SOURCES (USES):</b>  |                    |                    |                    |                    |                    |                   |                    |                    |                    |             |             |             |  |                            |                   |                            |                         |
| Transfers from Other Funds  | 222.7              | 41.5               | 897.4              | 135.6              | 116.0              | 206.1             | 505.9              | 131.0              | 138.6              | -           | -           | -           | (550.5)                                    | 1,844.3                    | 1,993.1           | (148.8)                    | -7.5%                   |
| Transfers to Other Funds  | (304.5)            | (129.8)            | (20.3)             | (266.6)            | (30.3)             | (438.8)           | (485.6)            | (133.0)            | (208.3)            | -           | -           | -           | 550.5                                      | (1,466.7)                  | (1,513.7)         | (47.0)                     | -3.1%                   |
| Total Other Financing Sources (Uses)  | <u>(81.8)</u>      | <u>(88.3)</u>      | <u>877.1</u>       | <u>(131.0)</u>     | <u>85.7</u>        | <u>(232.7)</u>    | <u>20.3</u>        | <u>(2.0)</u>       | <u>(69.7)</u>      | -           | -           | -           | -  | <u>377.6</u>               | <u>479.4</u>      | <u>(101.8)</u>             | <u>-21.2%</u>           |
| Excess (Deficiency) of Receipts and<br>Other Financing Sources over<br>Disbursements and Other Financing Uses | <u>4,911.9</u>     | <u>(83.8)</u>      | <u>3,332.5</u>     | <u>(1,240.0)</u>   | <u>(362.5)</u>     | <u>(3,359.9)</u>  | <u>2,673.5</u>     | <u>(922.9)</u>     | <u>(482.3)</u>     | -           | -           | -           | <u>4,466.5</u>                             | <u>3,020.4</u>             | <u>1,446.1</u>    | <u>47.9%</u>               |                         |
| Ending Fund Balance   | <u>\$ 11,224.0</u> | <u>\$ 11,140.2</u> | <u>\$ 14,472.7</u> | <u>\$ 13,232.7</u> | <u>\$ 12,870.2</u> | <u>\$ 9,510.3</u> | <u>\$ 12,183.8</u> | <u>\$ 11,260.9</u> | <u>\$ 10,778.6</u> | <u>\$ -</u> | <u>\$ -</u> | <u>\$ -</u> | <u>\$ 10,778.6</u>                         | <u>\$ 6,862.8</u>          | <u>\$ 3,915.8</u> | <u>57.1%</u>               |                         |

(\*) Intra-Fund transfer eliminations represent transfers between Special Revenue-State and Federal Funds.



STATE OF NEW YORK  
SPECIAL REVENUE FUNDS - STATE  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2020-2021  
(amounts in millions)

EXHIBIT G

|                                     | 2020           |                |                |                |                |                |                |                |                | 2021    |          |       | 9 Months Ended December 31 |                 |                            |                         |
|-------------------------------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|---------|----------|-------|----------------------------|-----------------|----------------------------|-------------------------|
|                                     | APRIL          | MAY            | JUNE           | JULY           | AUGUST         | SEPTEMBER      | OCTOBER        | NOVEMBER       | DECEMBER       | JANUARY | FEBRUARY | MARCH | 2020                       | 2019            | \$ Increase/<br>(Decrease) | % Increase/<br>Decrease |
| Beginning Fund Balance              | \$ 5,400.7     | \$ 5,696.2     | \$ 5,710.4     | \$ 7,246.4     | \$ 6,679.9     | \$ 6,748.8     | \$ 4,803.9     | \$ 5,594.1     | \$ 5,211.5     |         |          |       | \$ 5,400.7                 | \$ 5,090.8      | \$ 309.9                   | 6.1%                    |
| <b>RECEIPTS:</b>                    |                |                |                |                |                |                |                |                |                |         |          |       |                            |                 |                            |                         |
| <b>Taxes:</b>                       |                |                |                |                |                |                |                |                |                |         |          |       |                            |                 |                            |                         |
| Personal Income Tax                 | -              | -              | -              | -              | -              | 0.1            | 0.1            | 1.4            | 35.0           |         |          |       | 36.6                       | 41.7            | (5.1)                      | -12.2%                  |
| Consumption/Use Taxes:              |                |                |                |                |                |                |                |                |                |         |          |       |                            |                 |                            |                         |
| Sales and Use                       | 80.3           | 51.2           | 65.6           | 72.7           | 73.6           | 83.3           | 79.2           | 76.4           | 86.3           |         |          |       | 668.6                      | 833.5           | (164.9)                    | -19.8%                  |
| Auto Rental                         | (0.1)          | (1.5)          | 3.4            | 2.1            | 2.1            | (1.1)          | -              | -              | 3.8            |         |          |       | 8.7                        | 12.9            | (4.2)                      | -32.6%                  |
| Cigarette/Tobacco Products          | 68.8           | 51.3           | 60.2           | 68.8           | 60.4           | 71.0           | 55.7           | 59.1           | 61.0           |         |          |       | 556.3                      | 570.8           | (14.5)                     | -2.5%                   |
| Medical Marijuana                   | 0.5            | 0.6            | 0.7            | 0.6            | 0.8            | 0.7            | 0.8            | 0.7            | 0.8            |         |          |       | 6.2                        | 4.3             | 1.9                        | 44.2%                   |
| Motor Fuel                          | 6.5            | 4.7            | 6.6            | 8.5            | 9.1            | 8.9            | 8.3            | 8.6            | 8.7            |         |          |       | 69.9                       | 83.6            | (13.7)                     | -16.4%                  |
| Alcoholic Beverage                  | -              | -              | -              | -              | -              | -              | -              | -              | -              |         |          |       | -                          | -               | -                          | 0.0%                    |
| Highway Use                         | -              | 0.1            | -              | -              | 0.1            | -              | -              | 0.1            | 0.1            |         |          |       | 0.4                        | 0.4             | -                          | 0.0%                    |
| Vapor Excise                        | -              | 0.1            | 11.7           | (0.4)          | (0.1)          | 7.4            | -              | -              | 6.8            |         |          |       | 25.5                       | -               | 25.5                       | 100.0%                  |
| <b>Total Consumption/Use Taxes</b>  | <b>156.0</b>   | <b>106.5</b>   | <b>148.2</b>   | <b>152.3</b>   | <b>146.0</b>   | <b>170.2</b>   | <b>144.0</b>   | <b>144.9</b>   | <b>167.5</b>   |         |          |       | <b>1,335.6</b>             | <b>1,505.5</b>  | <b>(169.9)</b>             | <b>-11.3%</b>           |
| Business Taxes                      |                |                |                |                |                |                |                |                |                |         |          |       |                            |                 |                            |                         |
| Corporation Franchise               | 57.0           | (3.2)          | 110.1          | 114.0          | 11.7           | 165.7          | 40.7           | 24.5           | 171.0          |         |          |       | 691.5                      | 733.7           | (42.2)                     | -5.8%                   |
| Corporation and Utilities           | 2.2            | (6.9)          | 20.5           | 17.0           | (1.4)          | 24.4           | 4.1            | 0.1            | 24.9           |         |          |       | 84.9                       | 109.7           | (24.8)                     | -22.6%                  |
| Insurance                           | 7.2            | (0.4)          | 38.6           | 4.4            | 0.4            | 43.3           | 1.2            | 0.3            | 42.1           |         |          |       | 137.1                      | 183.7           | (46.6)                     | -25.4%                  |
| Bank                                | 1.3            | 0.6            | 12.9           | -              | 6.1            | (3.1)          | 0.5            | (0.2)          | 0.5            |         |          |       | 18.6                       | (2.0)           | 20.6                       | 1,030.0%                |
| Petroleum Business                  | 30.3           | 17.6           | 37.7           | 38.8           | 38.7           | 43.2           | 39.6           | 36.5           | 36.4           |         |          |       | 318.8                      | 393.4           | (74.6)                     | -19.0%                  |
| <b>Total Business Taxes</b>         | <b>98.0</b>    | <b>7.7</b>     | <b>219.8</b>   | <b>174.2</b>   | <b>55.5</b>    | <b>273.5</b>   | <b>86.1</b>    | <b>61.2</b>    | <b>274.9</b>   |         |          |       | <b>1,250.9</b>             | <b>1,418.5</b>  | <b>(167.6)</b>             | <b>-11.8%</b>           |
| <b>Total Taxes</b>                  | <b>254.0</b>   | <b>114.2</b>   | <b>368.0</b>   | <b>326.5</b>   | <b>201.5</b>   | <b>443.8</b>   | <b>230.2</b>   | <b>207.5</b>   | <b>477.4</b>   |         |          |       | <b>2,623.1</b>             | <b>2,965.7</b>  | <b>(342.6)</b>             | <b>-11.6%</b>           |
| <b>Miscellaneous Receipts:</b>      |                |                |                |                |                |                |                |                |                |         |          |       |                            |                 |                            |                         |
| Abandoned Property:                 |                |                |                |                |                |                |                |                |                |         |          |       |                            |                 |                            |                         |
| Abandoned Property                  | 1.2            | 0.7            | 0.8            | 0.9            | 0.8            | 1.2            | 0.8            | 0.9            | 1.3            |         |          |       | 8.6                        | 8.8             | (0.2)                      | -2.3%                   |
| Assessments:                        |                |                |                |                |                |                |                |                |                |         |          |       |                            |                 |                            |                         |
| Business                            | 42.7           | 21.8           | 82.4           | 69.8           | 33.4           | 65.5           | 88.9           | 6.0            | 90.8           |         |          |       | 501.3                      | 525.1           | (23.8)                     | -4.5%                   |
| Medical Care                        | 569.3          | 464.7          | 504.4          | 440.8          | 461.5          | 517.3          | 505.7          | 470.8          | 507.2          |         |          |       | 4,441.7                    | 4,795.9         | (354.2)                    | -7.4%                   |
| Public Utilities                    | 0.1            | -              | 0.4            | 4.4            | 0.4            | 45.4           | (10.1)         | (1.6)          | 0.7            |         |          |       | 39.7                       | 44.0            | (4.3)                      | -9.8%                   |
| Other                               | -              | -              | -              | -              | -              | -              | -              | -              | -              |         |          |       | -                          | 0.3             | (0.3)                      | -100.0%                 |
| Fees, Licenses and Permits:         |                |                |                |                |                |                |                |                |                |         |          |       |                            |                 |                            |                         |
| Audit Fees                          | -              | -              | 0.1            | 0.2            | 0.7            | 0.7            | 0.1            | 0.3            | 0.1            |         |          |       | 2.2                        | 2.6             | (0.4)                      | -15.4%                  |
| Business/Professional               | 48.2           | 70.4           | 86.5           | 40.8           | 36.3           | 94.8           | 46.1           | 40.7           | 73.3           |         |          |       | 537.1                      | 539.5           | (2.4)                      | -0.4%                   |
| Civil                               | 3.2            | 2.4            | 2.6            | 1.4            | 6.1            | 4.7            | 5.1            | 4.2            | 4.9            |         |          |       | 34.6                       | 43.2            | (8.6)                      | -19.9%                  |
| Criminal                            | 0.5            | 0.3            | 0.1            | 1.5            | -              | -              | -              | -              | 0.1            |         |          |       | 2.9                        | 4.9             | (2.0)                      | -40.8%                  |
| Motor Vehicle                       | 18.4           | 15.8           | 17.0           | 30.6           | 27.0           | 28.3           | 15.8           | 34.9           | 15.4           |         |          |       | 203.2                      | 217.1           | (13.9)                     | -6.4%                   |
| Recreational/Consumer               | 43.0           | -              | 36.0           | 34.3           | 31.8           | 144.3          | 83.3           | 59.6           | 55.8           |         |          |       | 488.1                      | 642.5           | (154.4)                    | -24.0%                  |
| Fines, Penalties and Forfeitures    | 9.7            | 5.6            | 1.4            | 13.8           | 0.2            | 5.6            | 65.3           | 2.8            | 2.5            |         |          |       | 106.9                      | 244.0           | (137.1)                    | -56.2%                  |
| Gaming:                             |                |                |                |                |                |                |                |                |                |         |          |       |                            |                 |                            |                         |
| Casino                              | -              | -              | -              | 20.8           | -              | 8.0            | 33.4           | 10.0           | 10.2           |         |          |       | 82.4                       | 210.6           | (128.2)                    | -60.9%                  |
| Lottery                             | 157.0          | 142.1          | 173.8          | 202.2          | 195.9          | 199.3          | 168.3          | 171.0          | 215.6          |         |          |       | 1,625.2                    | 1,832.8         | (207.6)                    | -11.3%                  |
| Video Lottery                       | -              | 0.6            | -              | (0.4)          | -              | 33.8           | 59.6           | 54.2           | 58.0           |         |          |       | 205.8                      | 709.7           | (503.9)                    | -71.0%                  |
| Interest Earnings                   | 13.5           | 7.8            | 6.1            | 3.4            | 4.0            | 3.4            | 3.3            | 3.3            | 3.7            |         |          |       | 48.5                       | 170.3           | (121.8)                    | -71.5%                  |
| Receipts from Public Authorities:   |                |                |                |                |                |                |                |                |                |         |          |       |                            |                 |                            |                         |
| Bond Proceeds                       | -              | -              | -              | -              | -              | -              | -              | -              | -              |         |          |       | -                          | -               | -                          | 0.0%                    |
| Cost Recovery Assessments           | -              | -              | -              | -              | -              | -              | -              | 8.9            | -              |         |          |       | 8.9                        | 32.6            | (23.7)                     | -72.7%                  |
| Issuance Fees                       | 0.5            | 1.4            | 5.3            | -              | -              | -              | -              | -              | -              |         |          |       | 7.2                        | 7.2             | -                          | 0.0%                    |
| Non Bond Related                    | 8.9            | 0.3            | -              | 4.2            | 1.8            | -              | 0.4            | -              | -              |         |          |       | 15.6                       | 31.8            | (16.2)                     | -50.9%                  |
| Receipts from Municipalities        | 9.3            | 2.2            | 3.7            | 3.7            | 1.6            | 4.7            | 3.4            | 1.6            | 5.1            |         |          |       | 35.3                       | 41.8            | (6.5)                      | -15.6%                  |
| Rentals                             | (5.3)          | (42.9)         | (0.4)          | 1.9            | 3.8            | 34.6           | 61.0           | 11.3           | 7.4            |         |          |       | 71.4                       | 218.7           | (147.3)                    | -67.4%                  |
| Revenues of State Departments:      |                |                |                |                |                |                |                |                |                |         |          |       |                            |                 |                            |                         |
| Administrative Recoveries           | 24.6           | 8.5            | 8.7            | 8.7            | 8.8            | 10.1           | 24.2           | 8.7            | 8.6            |         |          |       | 110.9                      | 102.8           | 8.1                        | 7.9%                    |
| Commissions                         | 0.2            | 0.1            | 0.1            | 0.2            | 0.3            | 0.8            | 0.7            | 0.8            | 14.2           |         |          |       | 17.4                       | 18.8            | (1.4)                      | -7.4%                   |
| Commissions - Asset Conversion      | -              | -              | -              | -              | -              | -              | -              | -              | 68.0           |         |          |       | 68.0                       | 68.0            | -                          | 0.0%                    |
| Gifts, Grants and Donations         | 0.6            | 1.5            | 22.1           | 0.7            | 0.6            | 0.5            | 5.1            | 0.3            | 1.7            |         |          |       | 33.1                       | 6.4             | 26.7                       | 417.2%                  |
| Indirect Cost Recoveries            | -              | -              | -              | -              | -              | -              | -              | -              | -              |         |          |       | -                          | 0.9             | (0.9)                      | -100.0%                 |
| Patient/Client Care Reimbursement   | 483.0          | 305.7          | 305.8          | 198.8          | 167.9          | 150.1          | 221.2          | 165.9          | 314.0          |         |          |       | 2,312.4                    | 1,624.5         | 687.9                      | 42.3%                   |
| Rebates                             | 0.1            | 4.9            | 6.6            | 10.2           | 2.1            | 5.0            | 3.0            | 3.8            | 4.8            |         |          |       | 40.5                       | 48.9            | (8.4)                      | -17.2%                  |
| Restitution and Settlements         | 3.7            | 0.4            | 0.6            | 0.1            | 41.8           | 3.9            | 0.3            | 0.7            | 0.5            |         |          |       | 52.0                       | 21.1            | 30.9                       | 146.4%                  |
| Student Loans                       | 6.1            | 1.4            | 3.2            | 6.4            | 6.8            | 3.7            | 6.0            | 3.6            | 4.9            |         |          |       | 42.1                       | 54.5            | (12.4)                     | -22.8%                  |
| All Other                           | (24.9)         | 4.1            | 13.1           | 63.4           | 39.4           | 48.7           | 58.2           | 35.2           | 26.6           |         |          |       | 263.8                      | 387.7           | (123.9)                    | -32.0%                  |
| Sales                               | 0.5            | 0.6            | 0.8            | 0.7            | 0.5            | 0.6            | 1.3            | 0.8            | 0.6            |         |          |       | 6.4                        | 13.5            | (7.1)                      | -52.6%                  |
| Tuition                             | (67.5)         | 33.6           | 56.9           | 50.9           | 113.3          | 375.7          | 172.8          | 49.7           | (32.8)         |         |          |       | 752.6                      | 919.0           | (166.4)                    | -18.1%                  |
| <b>Total Miscellaneous Receipts</b> | <b>1,346.6</b> | <b>1,054.0</b> | <b>1,338.1</b> | <b>1,214.4</b> | <b>1,186.8</b> | <b>1,790.7</b> | <b>1,632.5</b> | <b>1,139.5</b> | <b>1,463.2</b> |         |          |       | <b>12,165.8</b>            | <b>13,589.5</b> | <b>(1,423.7)</b>           | <b>-10.5%</b>           |
| Federal Receipts                    | -              | -              | 4.1            | (4.1)          | 2.5            | 25.4           | 12.9           | (33.6)         | -              |         |          |       | 7.2                        | 17.7            | (10.5)                     | -59.3%                  |
| <b>Total Receipts</b>               | <b>1,600.6</b> | <b>1,168.2</b> | <b>1,710.2</b> | <b>1,536.8</b> | <b>1,390.8</b> | <b>2,259.9</b> | <b>1,875.6</b> | <b>1,313.4</b> | <b>1,940.6</b> |         |          |       | <b>14,796.1</b>            | <b>16,572.9</b> | <b>(1,776.8)</b>           | <b>-10.7%</b>           |

STATE OF NEW YORK  
SPECIAL REVENUE FUNDS - STATE  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2020-2021  
(amounts in millions)

EXHIBIT G

|  |                   |                   |                   |                   |                   |                   |                   |                   |                   |                 | 9 Months Ended December 31 |             |                   |                   |                            |                         |
|--|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-----------------|----------------------------|-------------|-------------------|-------------------|----------------------------|-------------------------|
|  | 2020<br>APRIL     | MAY               | JUNE              | JULY              | AUGUST            | SEPTEMBER         | OCTOBER           | NOVEMBER          | DECEMBER          | 2021<br>JANUARY | FEBRUARY                   | MARCH       | 2020              | 2019              | \$ Increase/<br>(Decrease) | % Increase/<br>Decrease |
| <b>DISBURSEMENTS:</b>  |                   |                   |                   |                   |                   |                   |                   |                   |                   |                 |                            |             |                   |                   |                            |                         |
| Local Assistance Grants:   |                   |                   |                   |                   |                   |                   |                   |                   |                   |                 |                            |             |                   |                   |                            |                         |
| Education  | 0.1               | 35.0              | 297.0             | 0.3               | (0.1)             | 2,290.3           | 149.0             | 148.1             | 174.7             | -               | -                          | -           | 3,094.4           | 3,344.2           | (249.8)                    | -7.5%                   |
| Environment and Recreation   | -                 | 0.3               | -                 | 0.2               | -                 | 0.4               | 0.7               | 0.2               | -                 | -               | -                          | -           | 1.8               | 1.5               | 0.3                        | 20.0%                   |
| General Government   | 9.3               | 3.9               | 6.3               | 8.0               | 33.0              | 10.8              | 15.3              | 9.3               | 15.7              | -               | -                          | -           | 111.6             | 162.9             | (51.3)                     | -31.5%                  |
| Public Health:   |                   |                   |                   |                   |                   |                   |                   |                   |                   |                 |                            |             |                   |                   |                            |                         |
| Medicaid   | 528.3             | 474.2             | 30.5              | 823.1             | 424.7             | 521.0             | 417.0             | 461.8             | 490.1             | -               | -                          | -           | 4,170.7           | 4,434.7           | (264.0)                    | -6.0%                   |
| Other Public Health  | 29.6              | 25.5              | 67.4              | 52.2              | 45.3              | 190.8             | 55.4              | 53.3              | 95.0              | -               | -                          | -           | 614.5             | 658.5             | (44.0)                     | -6.7%                   |
| Public Safety  | 18.0              | 9.7               | 4.2               | 14.2              | 21.5              | 20.5              | 10.0              | (10.4)            | 20.4              | -               | -                          | -           | 108.1             | 138.2             | (30.1)                     | -21.8%                  |
| Public Welfare   | 0.1               | 0.2               | -                 | 0.6               | -                 | 0.1               | -                 | -                 | 0.9               | -               | -                          | -           | 1.9               | 4.1               | (2.2)                      | -53.7%                  |
| Support and Regulate Business  | -                 | 0.4               | 4.4               | 0.6               | 6.7               | 5.3               | 0.7               | 18.7              | 0.8               | -               | -                          | -           | 37.6              | 44.1              | (6.5)                      | -14.7%                  |
| Transportation   | 61.6              | 41.7              | 18.5              | 701.8             | 345.2             | 241.1             | 253.5             | 432.2             | 757.0             | -               | -                          | -           | 2,852.6           | 3,184.8           | (332.2)                    | -10.4%                  |
| <b>Total Local Assistance Grants</b>   | <b>647.0</b>      | <b>590.9</b>      | <b>428.3</b>      | <b>1,601.0</b>    | <b>876.3</b>      | <b>3,280.3</b>    | <b>901.6</b>      | <b>1,113.2</b>    | <b>1,554.6</b>    | <b>-</b>        | <b>-</b>                   | <b>-</b>    | <b>10,993.2</b>   | <b>11,973.0</b>   | <b>(979.8)</b>             | <b>-8.2%</b>            |
| Departmental Operations:   |                   |                   |                   |                   |                   |                   |                   |                   |                   |                 |                            |             |                   |                   |                            |                         |
| Personal Service   | 601.1             | 393.6             | 390.9             | 375.2             | 326.9             | 607.2             | 389.4             | 361.2             | 387.5             | -               | -                          | -           | 3,833.0           | 3,931.0           | (98.0)                     | -2.5%                   |
| Non-Personal Service   | 230.1             | 176.8             | 158.7             | 192.7             | 159.2             | 260.1             | 248.4             | 221.3             | 203.0             | -               | -                          | -           | 1,850.3           | 2,147.9           | (297.6)                    | -13.9%                  |
| General State Charges  | 52.3              | 39.8              | 70.7              | 52.3              | 72.2              | 116.3             | 46.6              | 128.7             | 99.8              | -               | -                          | -           | 678.7             | 753.9             | (75.2)                     | -10.0%                  |
| Capital Projects   | -                 | -                 | -                 | -                 | -                 | -                 | -                 | -                 | -                 | -               | -                          | -           | -                 | -                 | -                          | 0.0%                    |
| <b>Total Disbursements</b>   | <b>1,530.5</b>    | <b>1,201.1</b>    | <b>1,048.6</b>    | <b>2,221.2</b>    | <b>1,434.6</b>    | <b>4,263.9</b>    | <b>1,586.0</b>    | <b>1,824.4</b>    | <b>2,244.9</b>    | <b>-</b>        | <b>-</b>                   | <b>-</b>    | <b>17,355.2</b>   | <b>18,805.8</b>   | <b>(1,450.6)</b>           | <b>-7.7%</b>            |
| <b>Excess (Deficiency) of Receipts over Disbursements</b>  | <b>70.1</b>       | <b>(32.9)</b>     | <b>661.6</b>      | <b>(684.4)</b>    | <b>(43.8)</b>     | <b>(2,004.0)</b>  | <b>289.6</b>      | <b>(511.0)</b>    | <b>(304.3)</b>    | <b>-</b>        | <b>-</b>                   | <b>-</b>    | <b>(2,559.1)</b>  | <b>(2,232.9)</b>  | <b>(326.2)</b>             | <b>-14.6%</b>           |
| <b>OTHER FINANCING SOURCES (USES):</b>   |                   |                   |                   |                   |                   |                   |                   |                   |                   |                 |                            |             |                   |                   |                            |                         |
| Transfers from Other Funds   | 222.7             | 41.5              | 897.4             | 135.6             | 116.0             | 206.1             | 505.9             | 131.0             | 138.6             | -               | -                          | -           | 2,394.8           | 2,421.4           | (26.6)                     | -1.1%                   |
| Transfers to Other Funds   | 2.7               | 5.6               | (23.0)            | (17.7)            | (3.3)             | (147.0)           | (5.3)             | (2.6)             | (42.5)            | -               | -                          | -           | (233.1)           | (420.9)           | (187.8)                    | -44.6%                  |
| <b>Total Other Financing Sources (Uses)</b>  | <b>225.4</b>      | <b>47.1</b>       | <b>874.4</b>      | <b>117.9</b>      | <b>112.7</b>      | <b>59.1</b>       | <b>500.6</b>      | <b>128.4</b>      | <b>96.1</b>       | <b>-</b>        | <b>-</b>                   | <b>-</b>    | <b>2,161.7</b>    | <b>2,000.5</b>    | <b>161.2</b>               | <b>8.1%</b>             |
| <b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b> | <b>295.5</b>      | <b>14.2</b>       | <b>1,536.0</b>    | <b>(566.5)</b>    | <b>68.9</b>       | <b>(1,944.9)</b>  | <b>790.2</b>      | <b>(382.6)</b>    | <b>(208.2)</b>    | <b>-</b>        | <b>-</b>                   | <b>-</b>    | <b>(397.4)</b>    | <b>(232.4)</b>    | <b>(165.0)</b>             | <b>-71.0%</b>           |
| <b>Ending Fund Balance</b>   | <b>\$ 5,696.2</b> | <b>\$ 5,710.4</b> | <b>\$ 7,246.4</b> | <b>\$ 6,679.9</b> | <b>\$ 6,748.8</b> | <b>\$ 4,803.9</b> | <b>\$ 5,594.1</b> | <b>\$ 5,211.5</b> | <b>\$ 5,003.3</b> | <b>\$ -</b>     | <b>\$ -</b>                | <b>\$ -</b> | <b>\$ 5,003.3</b> | <b>\$ 4,858.4</b> | <b>\$ 144.9</b>            | <b>3.0%</b>             |

STATE OF NEW YORK  
SPECIAL REVENUE FUNDS - FEDERAL  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2020-2021  
(amounts in millions)

EXHIBIT G

|                                     | 2020            |                |                |                |                |                |                |                |                |          | 2021     |          |                 | 9 Months Ended December 31 |                            |                         |  |
|-------------------------------------|-----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------|----------|----------|-----------------|----------------------------|----------------------------|-------------------------|--|
|                                     | APRIL           | MAY            | JUNE           | JULY           | AUGUST         | SEPTEMBER      | OCTOBER        | NOVEMBER       | DECEMBER       | JANUARY  | FEBRUARY | MARCH    | 2020            | 2019                       | \$ Increase/<br>(Decrease) | % Increase/<br>Decrease |  |
| Beginning Fund Balance              | \$ 911.4        | \$ 5,527.8     | \$ 5,429.8     | \$ 7,226.3     | \$ 6,552.8     | \$ 6,121.4     | \$ 4,706.4     | \$ 6,589.7     | \$ 6,049.4     |          |          |          | \$ 911.4        | \$ (1,248.4)               | \$ 2,159.8                 | 173.0%                  |  |
| <b>RECEIPTS:</b>                    |                 |                |                |                |                |                |                |                |                |          |          |          |                 |                            |                            |                         |  |
| <b>Miscellaneous Receipts:</b>      |                 |                |                |                |                |                |                |                |                |          |          |          |                 |                            |                            |                         |  |
| Abandoned Property:                 |                 |                |                |                |                |                |                |                |                |          |          |          |                 |                            |                            |                         |  |
| Abandoned Property                  | -               | -              | -              | -              | -              | -              | -              | -              | -              | -        | -        | -        | -               | -                          | -                          | 0.0%                    |  |
| Assessments:                        |                 |                |                |                |                |                |                |                |                |          |          |          |                 |                            |                            |                         |  |
| Business                            | 4.0             | 40.6           | 0.2            | 1.7            | 7.6            | 0.1            | 1.4            | 5.7            | 0.1            |          |          |          | 61.4            | 66.4                       | (5.0)                      | -7.5%                   |  |
| Medical Care                        | -               | -              | -              | -              | -              | -              | -              | -              | -              |          |          |          | -               | -                          | -                          | 0.0%                    |  |
| Public Utilities                    | -               | -              | -              | -              | -              | -              | -              | -              | -              |          |          |          | -               | -                          | -                          | 0.0%                    |  |
| Other                               | -               | -              | -              | -              | -              | -              | -              | -              | -              |          |          |          | -               | -                          | -                          | 0.0%                    |  |
| Fees, Licenses and Permits:         |                 |                |                |                |                |                |                |                |                |          |          |          |                 |                            |                            |                         |  |
| Business/Professional               | -               | -              | -              | -              | -              | -              | -              | -              | -              |          |          |          | -               | -                          | -                          | 0.0%                    |  |
| Civil                               | -               | -              | -              | -              | -              | -              | -              | -              | -              |          |          |          | -               | -                          | -                          | 0.0%                    |  |
| Criminal                            | -               | -              | -              | -              | -              | -              | -              | -              | -              |          |          |          | -               | -                          | -                          | 0.0%                    |  |
| Motor Vehicle                       | -               | -              | -              | -              | -              | -              | -              | -              | -              |          |          |          | -               | -                          | -                          | 0.0%                    |  |
| Recreational/Consumer               | -               | -              | -              | -              | -              | -              | -              | -              | -              |          |          |          | -               | -                          | -                          | 0.0%                    |  |
| Fines, Penalties and Forfeitures    | 0.3             | 0.2            | 0.2            | 0.2            | 0.2            | 0.3            | 0.1            | 0.2            | 0.3            |          |          |          | 2.0             | 4.7                        | (2.7)                      | -57.4%                  |  |
| Interest Earnings                   | 3.0             | 3.9            | 1.4            | 0.9            | 1.2            | 0.8            | 0.8            | 0.8            | 0.7            |          |          |          | 13.5            | 21.4                       | (7.9)                      | -36.9%                  |  |
| Receipts from Public Authorities:   |                 |                |                |                |                |                |                |                |                |          |          |          |                 |                            |                            |                         |  |
| Bond Proceeds                       | -               | -              | -              | -              | -              | -              | -              | -              | -              |          |          |          | -               | -                          | -                          | 0.0%                    |  |
| Cost Recovery Assessments           | -               | -              | -              | -              | -              | -              | -              | -              | -              |          |          |          | -               | -                          | -                          | 0.0%                    |  |
| Issuance Fees                       | -               | -              | -              | -              | -              | -              | -              | -              | -              |          |          |          | -               | -                          | -                          | 0.0%                    |  |
| Non Bond Related                    | -               | -              | -              | -              | -              | -              | -              | -              | -              |          |          |          | -               | -                          | -                          | 0.0%                    |  |
| Receipts from Municipalities        | -               | -              | -              | -              | -              | -              | -              | -              | -              |          |          |          | -               | -                          | -                          | 0.0%                    |  |
| Rentals                             | -               | -              | -              | -              | -              | -              | -              | -              | -              |          |          |          | -               | -                          | -                          | 0.0%                    |  |
| Revenues of State Departments:      |                 |                |                |                |                |                |                |                |                |          |          |          |                 |                            |                            |                         |  |
| Administrative Recoveries           | -               | -              | -              | -              | -              | -              | -              | -              | -              |          |          |          | -               | -                          | -                          | 0.0%                    |  |
| Commissions                         | -               | -              | -              | -              | -              | -              | -              | -              | -              |          |          |          | -               | -                          | -                          | 0.0%                    |  |
| Gifts, Grants and Donations         | -               | -              | -              | -              | -              | -              | -              | -              | -              |          |          |          | -               | -                          | -                          | 0.0%                    |  |
| Indirect Cost Recoveries            | -               | -              | -              | -              | -              | -              | -              | -              | -              |          |          |          | -               | -                          | -                          | 0.0%                    |  |
| Patient/Client Care Reimbursement   | -               | -              | -              | -              | -              | -              | -              | -              | -              |          |          |          | -               | -                          | -                          | 0.0%                    |  |
| Rebates                             | 7.7             | 8.7            | 7.5            | 7.5            | 7.4            | 7.7            | 7.5            | 7.4            | 7.9            |          |          |          | 69.3            | 74.5                       | (5.2)                      | -7.0%                   |  |
| Restitution and Settlements         | -               | -              | -              | -              | -              | -              | -              | -              | -              |          |          |          | -               | -                          | -                          | 0.0%                    |  |
| Student Loans                       | -               | -              | -              | -              | -              | -              | -              | -              | -              |          |          |          | -               | -                          | -                          | 0.0%                    |  |
| All Other                           | 0.3             | 0.3            | -              | 0.1            | 0.6            | (0.1)          | 0.1            | -              | 1.3            |          |          |          | 2.6             | 4.7                        | (2.1)                      | -44.7%                  |  |
| Sales                               | -               | -              | -              | -              | -              | -              | -              | -              | -              |          |          |          | -               | -                          | -                          | 0.0%                    |  |
| Tuition                             | -               | -              | -              | -              | -              | -              | -              | -              | -              |          |          |          | -               | -                          | -                          | 0.0%                    |  |
| <b>Total Miscellaneous Receipts</b> | <b>15.3</b>     | <b>53.7</b>    | <b>9.3</b>     | <b>10.4</b>    | <b>17.0</b>    | <b>8.8</b>     | <b>9.9</b>     | <b>14.1</b>    | <b>10.3</b>    | <b>-</b> | <b>-</b> | <b>-</b> | <b>148.8</b>    | <b>171.7</b>               | <b>(22.9)</b>              | <b>-13.3%</b>           |  |
| Federal Receipts                    | 10,777.4        | 4,104.3        | 7,348.2        | 5,218.2        | 4,402.0        | 9,909.0        | 7,428.6        | 4,086.4        | 7,300.6        |          |          |          | 60,574.7        | 48,174.3                   | 12,400.4                   | 25.7%                   |  |
| <b>Total Receipts</b>               | <b>10,792.7</b> | <b>4,158.0</b> | <b>7,357.5</b> | <b>5,228.6</b> | <b>4,419.0</b> | <b>9,917.8</b> | <b>7,438.5</b> | <b>4,100.5</b> | <b>7,310.9</b> | <b>-</b> | <b>-</b> | <b>-</b> | <b>60,723.5</b> | <b>48,346.0</b>            | <b>12,377.5</b>            | <b>25.6%</b>            |  |

STATE OF NEW YORK  
SPECIAL REVENUE FUNDS - FEDERAL  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2020-2021  
(amounts in millions)

EXHIBIT G

|  |                   |                   |                   |                   |                   |                   |                   |                   |                   |                 |             |             | 9 Months Ended December 31 |                   |                            |                         |
|--|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-----------------|-------------|-------------|----------------------------|-------------------|----------------------------|-------------------------|
|  | 2020<br>APRIL     | MAY               | JUNE              | JULY              | AUGUST            | SEPTEMBER         | OCTOBER           | NOVEMBER          | DECEMBER          | 2021<br>JANUARY | FEBRUARY    | MARCH       | 2020                       | 2019              | \$ Increase/<br>(Decrease) | % Increase/<br>Decrease |
| <b>DISBURSEMENTS:</b>  |                   |                   |                   |                   |                   |                   |                   |                   |                   |                 |             |             |                            |                   |                            |                         |
| Local Assistance Grants:   |                   |                   |                   |                   |                   |                   |                   |                   |                   |                 |             |             |                            |                   |                            |                         |
| Education  | 382.9             | 67.6              | 550.9             | 234.6             | 213.3             | 294.1             | 130.3             | 199.2             | 78.2              |                 |             |             | 2,151.1                    | 2,659.7           | (508.6)                    | -19.1%                  |
| Environment and Recreation   | -                 | 0.2               | -                 | 0.1               | 0.1               | (0.1)             | 0.1               | -                 | 0.1               |                 |             |             | 0.5                        | 0.9               | (0.4)                      | -44.4%                  |
| General Government   | 2.0               | 2.2               | 2.2               | 11.0              | 6.4               | 3,856.0           | 154.3             | 85.3              | 98.6              |                 |             |             | 4,218.0                    | 45.5              | 4,172.5                    | 9,170.3%                |
| Public Health:   |                   |                   |                   |                   |                   |                   |                   |                   |                   |                 |             |             |                            |                   |                            |                         |
| Medicaid   | 4,652.6           | 3,341.8           | 3,585.1           | 3,313.7           | 3,436.3           | 4,869.1           | 2,869.6           | 3,308.9           | 4,586.6           |                 |             |             | 33,963.7                   | 30,217.9          | 3,745.8                    | 12.4%                   |
| Other Public Health  | 480.0             | 507.5             | 642.3             | 503.9             | 507.6             | 614.7             | 515.3             | 498.0             | 555.7             |                 |             |             | 4,825.0                    | 4,780.2           | 44.8                       | 0.9%                    |
| Public Safety  | 74.4              | 52.5              | 155.0             | 247.4             | 40.7              | 115.7             | 617.1             | 29.5              | 113.4             |                 |             |             | 1,445.7                    | 902.1             | 543.6                      | 60.3%                   |
| Public Welfare   | 134.8             | 25.7              | 253.6             | 201.0             | 253.9             | 950.3             | 384.1             | 164.5             | 162.0             |                 |             |             | 2,529.9                    | 3,228.5           | (698.6)                    | -21.6%                  |
| Support and Regulate Business  | 0.3               | 0.3               | 2.1               | 0.4               | 1.9               | -                 | 1.0               | -                 | 0.2               |                 |             |             | 6.2                        | 7.9               | (1.7)                      | -21.5%                  |
| Transportation   | 3.9               | 3.0               | 4.1               | 7.3               | 5.0               | 5.7               | 5.0               | 6.3               | 7.5               |                 |             |             | 47.8                       | 41.4              | 6.4                        | 15.5%                   |
| <b>Total Local Assistance Grants</b>   | <b>5,730.9</b>    | <b>4,000.8</b>    | <b>5,195.3</b>    | <b>4,519.4</b>    | <b>4,465.2</b>    | <b>10,705.5</b>   | <b>4,676.8</b>    | <b>4,291.7</b>    | <b>5,602.3</b>    | -               | -           | -           | <b>49,187.9</b>            | <b>41,884.1</b>   | <b>7,303.8</b>             | <b>17.4%</b>            |
| Departmental Operations:   |                   |                   |                   |                   |                   |                   |                   |                   |                   |                 |             |             |                            |                   |                            |                         |
| Personal Service   | 74.7              | 51.0              | 160.9             | 164.8             | 63.8              | 84.0              | 131.8             | 113.7             | 1,396.5           |                 |             |             | 2,241.2                    | 488.5             | 1,752.7                    | 358.8%                  |
| Non-Personal Service   | 40.8              | 44.1              | 168.6             | 885.3             | 221.4             | 228.0             | 188.6             | 75.7              | 205.8             |                 |             |             | 2,058.3                    | 957.7             | 1,100.6                    | 114.9%                  |
| General State Charges  | 22.7              | 24.7              | 38.9              | 83.7              | 70.7              | 23.5              | 77.7              | 29.3              | 112.4             |                 |             |             | 483.6                      | 241.8             | 241.8                      | 100.0%                  |
| Debt Service, Including Payments on Financing Agreements   | -                 | -                 | -                 | -                 | -                 | -                 | -                 | -                 | 102.2             |                 |             |             | 102.2                      | -                 | 102.2                      | 100.0%                  |
| Capital Projects   | -                 | -                 | -                 | -                 | 2.3               | -                 | -                 | -                 | -                 |                 |             |             | 2.3                        | -                 | 2.3                        | 100.0%                  |
| <b>Total Disbursements</b>   | <b>5,869.1</b>    | <b>4,120.6</b>    | <b>5,563.7</b>    | <b>5,653.2</b>    | <b>4,823.4</b>    | <b>11,041.0</b>   | <b>5,074.9</b>    | <b>4,510.4</b>    | <b>7,419.2</b>    | -               | -           | -           | <b>54,075.5</b>            | <b>43,572.1</b>   | <b>10,503.4</b>            | <b>24.1%</b>            |
| <b>Excess (Deficiency) of Receipts over Disbursements</b>  | <b>4,923.6</b>    | <b>37.4</b>       | <b>1,793.8</b>    | <b>(424.6)</b>    | <b>(404.4)</b>    | <b>(1,123.2)</b>  | <b>2,363.6</b>    | <b>(409.9)</b>    | <b>(108.3)</b>    | -               | -           | -           | <b>6,648.0</b>             | <b>4,773.9</b>    | <b>1,874.1</b>             | <b>39.3%</b>            |
| <b>OTHER FINANCING SOURCES (USES):</b>   |                   |                   |                   |                   |                   |                   |                   |                   |                   |                 |             |             |                            |                   |                            |                         |
| Transfers from Other Funds   | -                 | -                 | -                 | -                 | -                 | -                 | -                 | -                 | -                 |                 |             |             | -                          | -                 | -                          | 0.0%                    |
| Transfers to Other Funds   | (307.2)           | (135.4)           | 2.7               | (248.9)           | (27.0)            | (291.8)           | (480.3)           | (130.4)           | (165.8)           |                 |             |             | (1,784.1)                  | (1,521.1)         | 263.0                      | 17.3%                   |
| <b>Total Other Financing Sources (Uses)</b>  | <b>(307.2)</b>    | <b>(135.4)</b>    | <b>2.7</b>        | <b>(248.9)</b>    | <b>(27.0)</b>     | <b>(291.8)</b>    | <b>(480.3)</b>    | <b>(130.4)</b>    | <b>(165.8)</b>    | -               | -           | -           | <b>(1,784.1)</b>           | <b>(1,521.1)</b>  | <b>263.0</b>               | <b>17.3%</b>            |
| <b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b> | <b>4,616.4</b>    | <b>(98.0)</b>     | <b>1,796.5</b>    | <b>(673.5)</b>    | <b>(431.4)</b>    | <b>(1,415.0)</b>  | <b>1,883.3</b>    | <b>(540.3)</b>    | <b>(274.1)</b>    | -               | -           | -           | <b>4,863.9</b>             | <b>3,252.8</b>    | <b>1,611.1</b>             | <b>49.5%</b>            |
| <b>Ending Fund Balance</b>   | <b>\$ 5,527.8</b> | <b>\$ 5,429.8</b> | <b>\$ 7,226.3</b> | <b>\$ 6,552.8</b> | <b>\$ 6,121.4</b> | <b>\$ 4,706.4</b> | <b>\$ 6,589.7</b> | <b>\$ 6,049.4</b> | <b>\$ 5,775.3</b> | <b>\$ -</b>     | <b>\$ -</b> | <b>\$ -</b> | <b>\$ 5,775.3</b>          | <b>\$ 2,004.4</b> | <b>\$ 3,770.9</b>          | <b>188.1%</b>           |

STATE OF NEW YORK  
DEBT SERVICE FUNDS  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2020-2021  
(amounts in millions)

EXHIBIT H

|  | 2020             |                  |                  |                  |                  |                  |                |                  |                  |         | 2021     |       |                   | 9 Months Ended December 31 |                            |                         |  |
|--|------------------|------------------|------------------|------------------|------------------|------------------|----------------|------------------|------------------|---------|----------|-------|-------------------|----------------------------|----------------------------|-------------------------|--|
|  | APRIL            | MAY              | JUNE             | JULY             | AUGUST           | SEPTEMBER        | OCTOBER        | NOVEMBER         | DECEMBER         | JANUARY | FEBRUARY | MARCH | 2020              | 2019                       | \$ Increase/<br>(Decrease) | % Increase/<br>Decrease |  |
| Beginning Fund Balance   | \$ 63.4          | \$ 392.9         | \$ 522.1         | \$ 495.2         | \$ 1,603.0       | \$ 2,144.5       | \$ 2,337.3     | \$ 3,300.3       | \$ 4,126.3       |         |          |       | \$ 63.4           | \$ 64.8                    | \$ (1.4)                   | -2.2%                   |  |
| <b>RECEIPTS:</b>   |                  |                  |                  |                  |                  |                  |                |                  |                  |         |          |       |                   |                            |                            |                         |  |
| <b>Taxes:</b>  |                  |                  |                  |                  |                  |                  |                |                  |                  |         |          |       |                   |                            |                            |                         |  |
| Personal Income Tax  | 1,033.1          | 1,099.6          | 2,184.2          | 5,115.4          | 1,361.5          | 2,635.7          | 1,265.8        | 1,287.4          | 2,416.4          |         |          |       | 18,399.1          | 18,658.6                   | (259.5)                    | -1.4%                   |  |
| Consumption/Use Taxes:   |                  |                  |                  |                  |                  |                  |                |                  |                  |         |          |       |                   |                            |                            |                         |  |
| Sales and Use  | 394.2            | 369.7            | 572.0            | 529.7            | 536.3            | 708.9            | 549.9          | 544.9            | 706.7            |         |          |       | 4,912.3           | 5,658.8                    | (746.5)                    | -13.2%                  |  |
| <b>Total Consumption/Use Taxes</b>   | <b>394.2</b>     | <b>369.7</b>     | <b>572.0</b>     | <b>529.7</b>     | <b>536.3</b>     | <b>708.9</b>     | <b>549.9</b>   | <b>544.9</b>     | <b>706.7</b>     | -       | -        | -     | <b>4,912.3</b>    | <b>5,658.8</b>             | <b>(746.5)</b>             | <b>-13.2%</b>           |  |
| Other Taxes:   |                  |                  |                  |                  |                  |                  |                |                  |                  |         |          |       |                   |                            |                            |                         |  |
| Real Estate Transfer   | 57.2             | 48.4             | 37.9             | 53.0             | 56.4             | 70.3             | 65.8           | 78.9             | 95.2             |         |          |       | 563.1             | 773.8                      | (210.7)                    | -27.2%                  |  |
| Employer Compensation Expense Tax  | 0.1              | (0.1)            | 0.1              | 0.1              | 0.1              | 0.1              | 0.2            | 0.1              | 0.4              |         |          |       | 1.1               | 0.7                        | 0.4                        | 57.1%                   |  |
| <b>Total Other Taxes</b>   | <b>57.3</b>      | <b>48.3</b>      | <b>38.0</b>      | <b>53.1</b>      | <b>56.5</b>      | <b>70.4</b>      | <b>66.0</b>    | <b>79.0</b>      | <b>95.6</b>      | -       | -        | -     | <b>564.2</b>      | <b>774.5</b>               | <b>(210.3)</b>             | <b>-27.2%</b>           |  |
| <b>Total Taxes</b>   | <b>1,484.6</b>   | <b>1,517.6</b>   | <b>2,794.2</b>   | <b>5,698.2</b>   | <b>1,954.3</b>   | <b>3,415.0</b>   | <b>1,881.7</b> | <b>1,911.3</b>   | <b>3,218.7</b>   | -       | -        | -     | <b>23,875.6</b>   | <b>25,091.9</b>            | <b>(1,216.3)</b>           | <b>-4.8%</b>            |  |
| <b>Miscellaneous Receipts:</b>   |                  |                  |                  |                  |                  |                  |                |                  |                  |         |          |       |                   |                            |                            |                         |  |
| Assessments:   |                  |                  |                  |                  |                  |                  |                |                  |                  |         |          |       |                   |                            |                            |                         |  |
| Medical Care   | -                | -                | -                | -                | -                | -                | -              | -                | -                |         |          |       | -                 | -                          | -                          | 0.0%                    |  |
| Fees, Licenses and Permits:  |                  |                  |                  |                  |                  |                  |                |                  |                  |         |          |       |                   |                            |                            |                         |  |
| Alcohol Beverage Control Licensing   | -                | -                | -                | -                | -                | -                | -              | -                | -                |         |          |       | -                 | -                          | -                          | 0.0%                    |  |
| Business/Professional  | -                | -                | -                | -                | -                | -                | -              | -                | -                |         |          |       | -                 | -                          | -                          | 0.0%                    |  |
| Civil  | -                | -                | -                | -                | -                | -                | -              | -                | -                |         |          |       | -                 | -                          | -                          | 0.0%                    |  |
| Criminal   | -                | -                | -                | -                | -                | -                | -              | -                | -                |         |          |       | -                 | -                          | -                          | 0.0%                    |  |
| Motor Vehicle  | -                | -                | -                | -                | -                | -                | -              | -                | -                |         |          |       | -                 | -                          | -                          | 0.0%                    |  |
| Recreational/Consumer  | -                | -                | -                | -                | -                | -                | -              | -                | -                |         |          |       | -                 | -                          | -                          | 0.0%                    |  |
| Interest Earnings  | 0.1              | 0.1              | -                | -                | -                | -                | -              | 0.1              | -                |         |          |       | 0.3               | 1.5                        | (1.2)                      | -80.0%                  |  |
| Receipts from Public Authorities:  |                  |                  |                  |                  |                  |                  |                |                  |                  |         |          |       |                   |                            |                            |                         |  |
| Bond Proceeds  | -                | -                | -                | -                | -                | -                | -              | -                | 0.4              |         |          |       | 0.4               | -                          | 0.4                        | 100.0%                  |  |
| Receipts from Municipalities   | -                | 0.3              | 0.1              | 0.1              | -                | -                | -              | 1.9              | -                |         |          |       | 2.4               | 1.8                        | 0.6                        | 33.3%                   |  |
| Rentals  | -                | -                | -                | -                | -                | -                | -              | -                | -                |         |          |       | -                 | -                          | -                          | 0.0%                    |  |
| Revenues of State Departments:   |                  |                  |                  |                  |                  |                  |                |                  |                  |         |          |       |                   |                            |                            |                         |  |
| Patient/Client Care Reimbursement  | 47.2             | 13.4             | 42.6             | 45.8             | 46.2             | 36.2             | 20.9           | 20.0             | 50.2             |         |          |       | 322.5             | 370.5                      | (48.0)                     | -13.0%                  |  |
| All Other  | -                | -                | -                | -                | -                | -                | -              | -                | -                |         |          |       | -                 | 0.1                        | (0.1)                      | -100.0%                 |  |
| Sales  | -                | -                | -                | -                | -                | -                | -              | -                | -                |         |          |       | -                 | -                          | -                          | 0.0%                    |  |
| <b>Total Miscellaneous Receipts</b>  | <b>47.3</b>      | <b>13.8</b>      | <b>42.7</b>      | <b>45.9</b>      | <b>46.2</b>      | <b>36.2</b>      | <b>20.9</b>    | <b>22.0</b>      | <b>50.6</b>      | -       | -        | -     | <b>325.6</b>      | <b>373.9</b>               | <b>(48.3)</b>              | <b>-12.9%</b>           |  |
| Federal Receipts   | -                | -                | -                | -                | -                | 24.4             | -              | -                | 12.5             |         |          |       | 36.9              | 36.8                       | 0.1                        | 0.3%                    |  |
| <b>Total Receipts</b>  | <b>1,531.9</b>   | <b>1,531.4</b>   | <b>2,836.9</b>   | <b>5,744.1</b>   | <b>2,000.5</b>   | <b>3,475.6</b>   | <b>1,902.6</b> | <b>1,933.3</b>   | <b>3,281.8</b>   | -       | -        | -     | <b>24,238.1</b>   | <b>25,502.6</b>            | <b>(1,264.5)</b>           | <b>-5.0%</b>            |  |
| <b>DISBURSEMENTS:</b>  |                  |                  |                  |                  |                  |                  |                |                  |                  |         |          |       |                   |                            |                            |                         |  |
| Departmental Operations:   |                  |                  |                  |                  |                  |                  |                |                  |                  |         |          |       |                   |                            |                            |                         |  |
| Non-Personal Service   | -                | 0.9              | 12.1             | 5.8              | 2.9              | 7.9              | -              | 3.2              | (8.9)            |         |          |       | 23.9              | 26.8                       | (2.9)                      | -10.8%                  |  |
| Debt Service, Including Payments on Financing Agreements   | 36.5             | 23.5             | 28.9             | 10.7             | 337.6            | 841.8            | 39.8           | 30.4             | 1,229.8          |         |          |       | 2,579.0           | 1,512.2                    | 1,066.8                    | 70.5%                   |  |
| <b>Total Disbursements</b>   | <b>36.5</b>      | <b>24.4</b>      | <b>41.0</b>      | <b>16.5</b>      | <b>340.5</b>     | <b>849.7</b>     | <b>39.8</b>    | <b>33.6</b>      | <b>1,220.9</b>   | -       | -        | -     | <b>2,602.9</b>    | <b>1,539.0</b>             | <b>1,063.9</b>             | <b>69.1%</b>            |  |
| <b>Excess (Deficiency) of Receipts over Disbursements</b>  | <b>1,495.4</b>   | <b>1,507.0</b>   | <b>2,795.9</b>   | <b>5,727.6</b>   | <b>1,660.0</b>   | <b>2,625.9</b>   | <b>1,862.8</b> | <b>1,899.7</b>   | <b>2,060.9</b>   | -       | -        | -     | <b>21,635.2</b>   | <b>23,963.6</b>            | <b>(2,328.4)</b>           | <b>-9.7%</b>            |  |
| <b>OTHER FINANCING SOURCES (USES):</b>   |                  |                  |                  |                  |                  |                  |                |                  |                  |         |          |       |                   |                            |                            |                         |  |
| Transfers from Other Funds   | 280.2            | 130.3            | 39.0             | 311.2            | 49.4             | 247.4            | 319.1          | 89.5             | 135.4            |         |          |       | 1,601.5           | 2,039.8                    | (438.3)                    | -21.5%                  |  |
| Transfers to Other Funds   | (1,446.1)        | (1,508.1)        | (2,861.8)        | (4,931.0)        | (1,167.9)        | (2,680.5)        | (1,218.9)      | (1,163.2)        | (2,848.8)        |         |          |       | (19,826.3)        | (25,083.1)                 | (5,256.8)                  | -21.0%                  |  |
| <b>Total Other Financing Sources (Uses)</b>  | <b>(1,165.9)</b> | <b>(1,377.8)</b> | <b>(2,822.8)</b> | <b>(4,619.8)</b> | <b>(1,118.5)</b> | <b>(2,433.1)</b> | <b>(899.8)</b> | <b>(1,073.7)</b> | <b>(2,713.4)</b> | -       | -        | -     | <b>(18,224.8)</b> | <b>(23,043.3)</b>          | <b>4,818.5</b>             | <b>20.9%</b>            |  |
| <b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b> | <b>329.5</b>     | <b>129.2</b>     | <b>(26.9)</b>    | <b>1,107.8</b>   | <b>541.5</b>     | <b>192.8</b>     | <b>963.0</b>   | <b>826.0</b>     | <b>(652.5)</b>   | -       | -        | -     | <b>3,410.4</b>    | <b>920.3</b>               | <b>2,490.1</b>             | <b>270.6%</b>           |  |
| Ending Fund Balance  | \$ 392.9         | \$ 522.1         | \$ 495.2         | \$ 1,603.0       | \$ 2,144.5       | \$ 2,337.3       | \$ 3,300.3     | \$ 4,126.3       | \$ 3,473.8       | \$ -    | \$ -     | \$ -  | \$ 3,473.8        | \$ 985.1                   | \$ 2,488.7                 | 252.6%                  |  |

STATE OF NEW YORK  
 CAPITAL PROJECTS FUNDS - COMBINED  
 STATEMENT OF CASH FLOW  
 FISCAL YEAR 2020-2021  
 (amounts in millions)

EXHIBIT I

|                                     | 2020           |              |              |              |              |              |                |              |                | 2021    |          |       | Intra-Fund<br>Transfer<br>Eliminations (*) | 9 Months Ended December 31 |                |                            |                         |
|-------------------------------------|----------------|--------------|--------------|--------------|--------------|--------------|----------------|--------------|----------------|---------|----------|-------|--|----------------------------|----------------|----------------------------|-------------------------|
|                                     | APRIL          | MAY          | JUNE         | JULY         | AUGUST       | SEPTEMBER    | OCTOBER        | NOVEMBER     | DECEMBER       | JANUARY | FEBRUARY | MARCH |  | 2020                       | 2019           | \$ Increase/<br>(Decrease) | % Increase/<br>Decrease |
| Beginning Fund Balance              | \$ (1,034.9)   | \$ (1,155.0) | \$ (1,322.2) | \$ (1,207.9) | \$ (930.7)   | \$ (1,080.2) | \$ (1,488.9)   | \$ (1,423.1) | \$ (1,464.9)   |         |          |       | \$ (1,034.9)                               | \$ (1,137.9)               | \$ 103.0       | 9.1%                       |                         |
| <b>RECEIPTS:</b>                    |                |              |              |              |              |              |                |              |                |         |          |       |  |                            |                |                            |                         |
| <b>Taxes:</b>                       |                |              |              |              |              |              |                |              |                |         |          |       |  |                            |                |                            |                         |
| Consumption/Use Taxes:              |                |              |              |              |              |              |                |              |                |         |          |       |  |                            |                |                            |                         |
| Auto Rental                         | 0.6            | 0.1          | 10.1         | -            | -            | 15.6         | 0.1            | -            | 15.3           | -       | -        | -     | 41.8                                       | 70.6                       | (28.8)         | -40.8%                     |                         |
| Motor Fuel                          | 23.8           | 16.7         | 24.9         | 31.2         | 33.4         | 32.7         | 31.3           | 31.1         | 33.7           | -       | -        | -     | 258.8                                      | 311.7                      | (52.9)         | -17.0%                     |                         |
| Highway Use                         | 11.6           | 8.8          | 12.4         | 12.4         | 10.2         | 12.7         | 10.6           | 11.4         | 12.6           | -       | -        | -     | 102.7                                      | 109.8                      | (7.1)          | -6.5%                      |                         |
| <b>Total Consumption/Use Taxes</b>  | <b>36.0</b>    | <b>25.6</b>  | <b>47.4</b>  | <b>43.6</b>  | <b>43.6</b>  | <b>61.0</b>  | <b>42.0</b>    | <b>42.5</b>  | <b>61.6</b>    | -       | -        | -     | <b>403.3</b>                               | <b>492.1</b>               | <b>(88.8)</b>  | <b>-18.0%</b>              |                         |
| Business Taxes:                     |                |              |              |              |              |              |                |              |                |         |          |       |  |                            |                |                            |                         |
| Corporation Franchise               | -              | -            | -            | -            | -            | -            | -              | -            | -              | -       | -        | -     | -  | -                          | -              | 0.0%                       |                         |
| Corporation and Utilities           | 0.1            | (1.5)        | 0.6          | 3.6          | 0.1          | 2.2          | 0.7            | -            | 2.3            | -       | -        | -     | 8.1  | 11.1                       | (3.0)          | -27.0%                     |                         |
| Petroleum Business                  | 38.0           | 22.2         | 48.0         | 49.1         | 48.3         | 55.2         | 49.9           | 46.3         | 44.8           | -       | -        | -     | 401.8                                      | 503.7                      | (101.9)        | -20.2%                     |                         |
| <b>Total Business Taxes</b>         | <b>38.1</b>    | <b>20.7</b>  | <b>48.6</b>  | <b>52.7</b>  | <b>48.4</b>  | <b>57.4</b>  | <b>50.6</b>    | <b>46.3</b>  | <b>47.1</b>    | -       | -        | -     | <b>409.9</b>                               | <b>514.8</b>               | <b>(104.9)</b> | <b>-20.4%</b>              |                         |
| Other Taxes:                        |                |              |              |              |              |              |                |              |                |         |          |       |  |                            |                |                            |                         |
| Real Estate Transfer                | -              | -            | 11.9         | 11.9         | 11.9         | 11.9         | 12.0           | 11.9         | 11.9           | -       | -        | -     | 83.4                                       | 83.4                       | -              | 0.0%                       |                         |
| <b>Total Other Taxes</b>            | <b>-</b>       | <b>-</b>     | <b>11.9</b>  | <b>11.9</b>  | <b>11.9</b>  | <b>11.9</b>  | <b>12.0</b>    | <b>11.9</b>  | <b>11.9</b>    | -       | -        | -     | <b>83.4</b>                                | <b>83.4</b>                | <b>-</b>       | <b>0.0%</b>                |                         |
| <b>Total Taxes</b>                  | <b>74.1</b>    | <b>46.3</b>  | <b>107.9</b> | <b>108.2</b> | <b>103.9</b> | <b>130.3</b> | <b>104.6</b>   | <b>100.7</b> | <b>120.6</b>   | -       | -        | -     | <b>896.6</b>                               | <b>1,090.3</b>             | <b>(193.7)</b> | <b>-17.8%</b>              |                         |
| <b>Miscellaneous Receipts:</b>      |                |              |              |              |              |              |                |              |                |         |          |       |  |                            |                |                            |                         |
| Abandoned Property:                 |                |              |              |              |              |              |                |              |                |         |          |       |  |                            |                |                            |                         |
| Bottle Bill                         | -              | -            | -            | 23.0         | -            | -            | -              | -            | -              | -       | -        | -     | 23.0                                       | 23.0                       | -              | 0.0%                       |                         |
| Assessments:                        |                |              |              |              |              |              |                |              |                |         |          |       |  |                            |                |                            |                         |
| Business                            | 7.9            | 3.6          | 5.4          | 7.0          | 7.6          | 7.1          | 7.3            | 7.3          | 6.5            | -       | -        | -     | 59.7                                       | 77.1                       | (17.4)         | -22.6%                     |                         |
| Fees, Licenses and Permits:         |                |              |              |              |              |              |                |              |                |         |          |       |  |                            |                |                            |                         |
| Business/Professional               | 1.8            | 2.1          | 1.6          | 1.3          | 9.3          | 3.1          | 2.5            | 2.3          | 0.6            | -       | -        | -     | 24.6                                       | 28.4                       | (3.8)          | -13.4%                     |                         |
| Civil                               | -              | -            | -            | -            | -            | -            | -              | -            | -              | -       | -        | -     | -  | -                          | -              | 0.0%                       |                         |
| Motor Vehicle                       | 52.2           | 33.2         | 43.1         | 60.6         | 63.4         | 57.4         | 60.7           | 60.3         | 59.6           | -       | -        | -     | 490.5                                      | 554.7                      | (64.2)         | -11.6%                     |                         |
| Recreational/Consumer               | -              | -            | 0.5          | -            | 11.0         | 7.7          | 0.1            | -            | 0.8            | -       | -        | -     | 20.1                                       | 14.9                       | 5.2            | 34.9%                      |                         |
| Fines, Penalties and Forfeitures    | 2.0            | 1.9          | 2.0          | 1.2          | 3.2          | 2.2          | 1.9            | 1.4          | 1.1            | -       | -        | -     | 16.9                                       | 20.6                       | (3.7)          | -18.0%                     |                         |
| Interest Earnings                   | 0.7            | 0.5          | 0.1          | -            | 0.1          | 0.1          | -              | 0.1          | 0.1            | -       | -        | -     | 1.7  | 8.9                        | (7.2)          | -80.9%                     |                         |
| Receipts from Public Authorities:   |                |              |              |              |              |              |                |              |                |         |          |       |  |                            |                |                            |                         |
| Bond Proceeds                       | 1,122.1        | 19.1         | 342.4        | 269.9        | 32.4         | 384.7        | 895.8          | 1.0          | 981.9          | -       | -        | -     | 4,049.3                                    | 3,023.7                    | 1,025.6        | 33.9%                      |                         |
| Issuance Fees                       | -              | -            | -            | -            | -            | -            | -              | -            | -              | -       | -        | -     | -  | -                          | -              | 0.0%                       |                         |
| Non Bond Related                    | 0.1            | -            | 0.5          | 0.1          | 0.5          | 0.1          | 0.4            | -            | 0.4            | -       | -        | -     | 2.1  | 50.0                       | (47.9)         | -95.8%                     |                         |
| Receipts from Municipalities        | -              | -            | 0.1          | -            | 0.3          | -            | 0.1            | -            | -              | -       | -        | -     | 0.5  | 1.8                        | (1.3)          | -72.2%                     |                         |
| Rentals                             | 0.5            | 1.1          | 2.1          | 2.2          | 3.8          | 1.2          | 0.8            | 0.9          | 0.9            | -       | -        | -     | 13.5                                       | 7.0                        | 6.5            | 92.9%                      |                         |
| Revenues of State Departments:      |                |              |              |              |              |              |                |              |                |         |          |       |  |                            |                |                            |                         |
| Administrative Recoveries           | -              | -            | -            | -            | -            | -            | -              | -            | -              | -       | -        | -     | -  | -                          | -              | 0.0%                       |                         |
| Gifts, Grants and Donations         | -              | 0.7          | 5.8          | -            | 1.3          | 1.6          | 0.8            | 0.4          | 1.7            | -       | -        | -     | 12.3                                       | 20.0                       | (7.7)          | -38.5%                     |                         |
| Indirect Cost Recoveries            | -              | -            | -            | -            | -            | -            | -              | -            | -              | -       | -        | -     | -  | (0.9)                      | 0.9            | 100.0%                     |                         |
| Rebates                             | -              | -            | -            | -            | -            | -            | -              | -            | -              | -       | -        | -     | -  | 0.2                        | (0.2)          | -100.0%                    |                         |
| Restitution and Settlements         | 3.1            | 0.2          | -            | 0.1          | 0.9          | 1.4          | 3.3            | 0.4          | 0.8            | -       | -        | -     | 10.2                                       | 10.8                       | (0.6)          | -5.6%                      |                         |
| All Other                           | 0.3            | 1.8          | 12.0         | 0.2          | 0.7          | 13.7         | 4.7            | 1.3          | 0.8            | -       | -        | -     | 35.5                                       | 47.7                       | (12.2)         | -25.6%                     |                         |
| Sales                               | -              | -            | -            | -            | 0.1          | 0.1          | -              | -            | -              | -       | -        | -     | 0.2  | 4.6                        | (4.4)          | -95.7%                     |                         |
| <b>Total Miscellaneous Receipts</b> | <b>1,190.7</b> | <b>64.2</b>  | <b>415.6</b> | <b>365.6</b> | <b>134.6</b> | <b>480.4</b> | <b>978.4</b>   | <b>75.4</b>  | <b>1,055.2</b> | -       | -        | -     | <b>4,760.1</b>                             | <b>3,892.5</b>             | <b>867.6</b>   | <b>22.3%</b>               |                         |
| Federal Receipts                    | 85.7           | 102.7        | 167.9        | 209.1        | 186.7        | 177.2        | 167.7          | 221.9        | 228.2          | -       | -        | -     | 1,547.1                                    | 1,506.1                    | 41.0           | 2.7%                       |                         |
| <b>Total Receipts</b>               | <b>1,350.5</b> | <b>213.2</b> | <b>691.4</b> | <b>682.9</b> | <b>425.2</b> | <b>787.9</b> | <b>1,250.7</b> | <b>398.0</b> | <b>1,404.0</b> | -       | -        | -     | <b>7,203.8</b>                             | <b>6,488.9</b>             | <b>714.9</b>   | <b>11.0%</b>               |                         |

STATE OF NEW YORK  
 CAPITAL PROJECTS FUNDS - COMBINED  
 STATEMENT OF CASH FLOW  
 FISCAL YEAR 2020-2021  
 (amounts in millions)

EXHIBIT I

|  | 2020                |                     |                     |                   |                     |                     |                     |                     |                     |             | 2021        |             |  | 9 Months Ended December 31 |                     |                            |                         |
|--|---------------------|---------------------|---------------------|-------------------|---------------------|---------------------|---------------------|---------------------|---------------------|-------------|-------------|-------------|--|----------------------------|---------------------|----------------------------|-------------------------|
|  | APRIL               | MAY                 | JUNE                | JULY              | AUGUST              | SEPTEMBER           | OCTOBER             | NOVEMBER            | DECEMBER            | JANUARY     | FEBRUARY    | MARCH       | Intra-Fund<br>Transfer<br>Eliminations (*) | 2020                       | 2019                | \$ Increase/<br>(Decrease) | % Increase/<br>Decrease |
| <b>DISBURSEMENTS:</b>  |                     |                     |                     |                   |                     |                     |                     |                     |                     |             |             |             |  |                            |                     |                            |                         |
| Local Assistance Grants:   |                     |                     |                     |                   |                     |                     |                     |                     |                     |             |             |             |  |                            |                     |                            |                         |
| Education  | 12.0                | -                   | 4.9                 | 1.0               | 1.0                 | 1.4                 | 20.3                | 45.6                | 4.2                 | -           | -           | -           | -  | 90.4                       | 145.3               | (54.9)                     | -37.8%                  |
| Environment and Recreation   | 4.4                 | 3.2                 | 14.0                | 12.6              | 20.6                | 15.3                | 6.9                 | 9.8                 | 20.4                | -           | -           | -           | -  | 107.2                      | 147.3               | (40.1)                     | -27.2%                  |
| General Government   | 30.4                | 10.9                | 41.5                | 36.0              | 69.0                | 18.7                | 90.4                | 55.6                | 51.2                | -           | -           | -           | -  | 403.7                      | 705.9               | (302.2)                    | -42.8%                  |
| Public Health:   |                     |                     |                     |                   |                     |                     |                     |                     |                     |             |             |             |  |                            |                     |                            |                         |
| Medicaid   | -                   | -                   | -                   | -                 | -                   | -                   | -                   | -                   | -                   | -           | -           | -           | -  | -                          | -                   | -                          | 0.0%                    |
| Other Public Health  | 29.0                | 58.2                | 30.9                | 38.7              | 76.1                | 25.7                | 50.9                | 41.5                | 45.5                | -           | -           | -           | -  | 396.5                      | 358.3               | 38.2                       | 10.7%                   |
| Public Safety  | -                   | -                   | 3.8                 | 0.9               | 1.1                 | 0.7                 | 0.3                 | 34.0                | 1.3                 | -           | -           | -           | -  | 42.1                       | 39.4                | 2.7                        | 6.9%                    |
| Public Welfare   | -                   | 33.8                | 73.7                | 71.7              | 53.1                | 110.4               | 24.6                | 7.8                 | 96.3                | -           | -           | -           | -  | 471.4                      | 254.5               | 216.9                      | 85.2%                   |
| Support and Regulate Business  | 43.2                | 7.7                 | 24.8                | 20.9              | 71.6                | 11.4                | 53.5                | 28.0                | 133.8               | -           | -           | -           | -  | 394.9                      | 699.7               | (304.8)                    | -43.6%                  |
| Transportation   | 24.4                | 46.2                | 50.0                | 91.9              | 146.7               | 369.3               | 483.6               | 503.9               | 220.4               | -           | -           | -           | -  | 1,936.4                    | 1,357.2             | 579.2                      | 42.7%                   |
| <b>Total Local Assistance Grants</b>   | <b>143.4</b>        | <b>160.0</b>        | <b>243.6</b>        | <b>273.7</b>      | <b>439.2</b>        | <b>552.9</b>        | <b>730.5</b>        | <b>726.2</b>        | <b>573.1</b>        | -           | -           | -           | -  | <b>3,842.6</b>             | <b>3,707.6</b>      | <b>135.0</b>               | <b>3.6%</b>             |
| Departmental Operations:   |                     |                     |                     |                   |                     |                     |                     |                     |                     |             |             |             |  |                            |                     |                            |                         |
| Personal Service   | -                   | -                   | -                   | -                 | -                   | -                   | -                   | -                   | -                   | -           | -           | -           | -  | -                          | -                   | -                          | 0.0%                    |
| Non-Personal Service   | -                   | -                   | -                   | -                 | -                   | -                   | -                   | -                   | -                   | -           | -           | -           | -  | -                          | -                   | -                          | 0.0%                    |
| General State Charges  | -                   | -                   | -                   | -                 | -                   | -                   | -                   | -                   | -                   | -           | -           | -           | -  | -                          | -                   | -                          | 0.0%                    |
| Capital Projects   | 509.8               | 406.0               | 681.8               | 641.4             | 665.4               | 706.7               | 533.6               | 609.8               | 630.5               | -           | -           | -           | -  | 5,385.0                    | 5,425.0             | (40.0)                     | -0.7%                   |
| <b>Total Disbursements</b>   | <b>653.2</b>        | <b>566.0</b>        | <b>925.4</b>        | <b>915.1</b>      | <b>1,104.6</b>      | <b>1,259.6</b>      | <b>1,264.1</b>      | <b>1,336.0</b>      | <b>1,203.6</b>      | -           | -           | -           | -  | <b>9,227.6</b>             | <b>9,132.6</b>      | <b>95.0</b>                | <b>1.0%</b>             |
| <b>Excess (Deficiency) of Receipts over Disbursements</b>  | <b>697.3</b>        | <b>(352.8)</b>      | <b>(234.0)</b>      | <b>(232.2)</b>    | <b>(679.4)</b>      | <b>(471.7)</b>      | <b>(13.4)</b>       | <b>(938.0)</b>      | <b>200.4</b>        | -           | -           | -           | -  | <b>(2,023.8)</b>           | <b>(2,643.7)</b>    | <b>619.9</b>               | <b>23.4%</b>            |
| <b>OTHER FINANCING SOURCES (USES):</b>   |                     |                     |                     |                   |                     |                     |                     |                     |                     |             |             |             |  |                            |                     |                            |                         |
| Bond and Note Proceeds (net)   | -                   | -                   | -                   | -                 | -                   | -                   | -                   | -                   | -                   | -           | -           | -           | -  | -                          | -                   | -                          | 0.0%                    |
| Transfers from Other Funds   | (805.1)             | 198.0               | 360.6               | 523.4             | 566.3               | 250.0               | 91.3                | 908.3               | 124.1               | -           | -           | -           | -  | 2,216.9                    | 3,348.9             | (1,132.0)                  | -33.8%                  |
| Transfers to Other Funds   | (12.3)              | (12.4)              | (12.3)              | (14.0)            | (36.4)              | (187.0)             | (12.1)              | (12.1)              | (54.3)              | -           | -           | -           | -  | (352.9)                    | (694.6)             | (341.7)                    | -49.2%                  |
| <b>Total Other Financing Sources (Uses)</b>  | <b>(817.4)</b>      | <b>185.6</b>        | <b>348.3</b>        | <b>509.4</b>      | <b>529.9</b>        | <b>63.0</b>         | <b>79.2</b>         | <b>896.2</b>        | <b>69.8</b>         | -           | -           | -           | -  | <b>1,864.0</b>             | <b>2,654.3</b>      | <b>(790.3)</b>             | <b>-29.8%</b>           |
| <b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b> | <b>(120.1)</b>      | <b>(167.2)</b>      | <b>114.3</b>        | <b>277.2</b>      | <b>(149.5)</b>      | <b>(408.7)</b>      | <b>65.8</b>         | <b>(41.8)</b>       | <b>270.2</b>        | -           | -           | -           | -  | <b>(159.8)</b>             | <b>10.6</b>         | <b>(170.4)</b>             | <b>-1,607.5%</b>        |
| <b>Ending Fund Balance</b>   | <b>\$ (1,155.0)</b> | <b>\$ (1,322.2)</b> | <b>\$ (1,207.9)</b> | <b>\$ (930.7)</b> | <b>\$ (1,080.2)</b> | <b>\$ (1,488.9)</b> | <b>\$ (1,423.1)</b> | <b>\$ (1,464.9)</b> | <b>\$ (1,194.7)</b> | <b>\$ -</b> | <b>\$ -</b> | <b>\$ -</b> | <b>\$ -</b>                                | <b>\$ (1,194.7)</b>        | <b>\$ (1,127.3)</b> | <b>\$ (67.4)</b>           | <b>-6.0%</b>            |

(\*) Intra-Fund transfer eliminations represent transfers from Capital Projects-State and Federal Funds.

STATE OF NEW YORK  
 CAPITAL PROJECTS FUNDS - STATE  
 STATEMENT OF CASH FLOW  
 FISCAL YEAR 2020-2021  
 (amounts in millions)

EXHIBIT I

|                                     | 2020           |              |              |              |              |              |                |              |                | 2021    |          |       | 9 Months Ended December 31 |                |                            |                         |
|-------------------------------------|----------------|--------------|--------------|--------------|--------------|--------------|----------------|--------------|----------------|---------|----------|-------|----------------------------|----------------|----------------------------|-------------------------|
|                                     | APRIL          | MAY          | JUNE         | JULY         | AUGUST       | SEPTEMBER    | OCTOBER        | NOVEMBER     | DECEMBER       | JANUARY | FEBRUARY | MARCH | 2020                       | 2019           | \$ Increase/<br>(Decrease) | % Increase/<br>Decrease |
| Beginning Fund Balance              | \$ (472.2)     | \$ (598.4)   | \$ (754.3)   | \$ (629.3)   | \$ (389.5)   | \$ (564.6)   | \$ (935.6)     | \$ (883.5)   | \$ (1,005.9)   |         |          |       | \$ (472.2)                 | \$ (633.2)     | \$ 161.0                   | 25.4%                   |
| <b>RECEIPTS:</b>                    |                |              |              |              |              |              |                |              |                |         |          |       |                            |                |                            |                         |
| <b>Taxes:</b>                       |                |              |              |              |              |              |                |              |                |         |          |       |                            |                |                            |                         |
| Consumption/Use Taxes               |                |              |              |              |              |              |                |              |                |         |          |       |                            |                |                            |                         |
| Auto Rental                         | 0.6            | 0.1          | 10.1         | -            | -            | 15.6         | 0.1            | -            | 15.3           |         |          |       | 41.8                       | 70.6           | (28.8)                     | -40.8%                  |
| Motor Fuel                          | 23.8           | 16.7         | 24.9         | 31.2         | 33.4         | 32.7         | 31.3           | 31.1         | 33.7           |         |          |       | 258.8                      | 311.7          | (52.9)                     | -17.0%                  |
| Highway Use                         | 11.6           | 8.8          | 12.4         | 12.4         | 10.2         | 12.7         | 10.6           | 11.4         | 12.6           |         |          |       | 102.7                      | 109.8          | (7.1)                      | -6.5%                   |
| <b>Total Consumption/Use Taxes</b>  | <b>36.0</b>    | <b>25.6</b>  | <b>47.4</b>  | <b>43.6</b>  | <b>43.6</b>  | <b>61.0</b>  | <b>42.0</b>    | <b>42.5</b>  | <b>61.6</b>    | -       | -        | -     | <b>403.3</b>               | <b>492.1</b>   | <b>(88.8)</b>              | <b>-18.0%</b>           |
| Business Taxes                      |                |              |              |              |              |              |                |              |                |         |          |       |                            |                |                            |                         |
| Corporation Franchise               | -              | -            | -            | -            | -            | -            | -              | -            | -              |         |          |       | -                          | -              | -                          | 0.0%                    |
| Corporation and Utilities           | 0.1            | (1.5)        | 0.6          | 3.6          | 0.1          | 2.2          | 0.7            | -            | 2.3            |         |          |       | 8.1                        | 11.1           | (3.0)                      | -27.0%                  |
| Petroleum Business                  | 38.0           | 22.2         | 48.0         | 49.1         | 48.3         | 55.2         | 49.9           | 46.3         | 44.8           |         |          |       | 401.8                      | 503.7          | (101.9)                    | -20.2%                  |
| <b>Total Business Taxes</b>         | <b>38.1</b>    | <b>20.7</b>  | <b>48.6</b>  | <b>52.7</b>  | <b>48.4</b>  | <b>57.4</b>  | <b>50.6</b>    | <b>46.3</b>  | <b>47.1</b>    | -       | -        | -     | <b>409.9</b>               | <b>514.8</b>   | <b>(104.9)</b>             | <b>-20.4%</b>           |
| Other Taxes                         |                |              |              |              |              |              |                |              |                |         |          |       |                            |                |                            |                         |
| Real Estate Transfer                | -              | -            | 11.9         | 11.9         | 11.9         | 11.9         | 12.0           | 11.9         | 11.9           |         |          |       | 83.4                       | 83.4           | -                          | 0.0%                    |
| <b>Total Other Taxes</b>            | <b>-</b>       | <b>-</b>     | <b>11.9</b>  | <b>11.9</b>  | <b>11.9</b>  | <b>11.9</b>  | <b>12.0</b>    | <b>11.9</b>  | <b>11.9</b>    | -       | -        | -     | <b>83.4</b>                | <b>83.4</b>    | <b>-</b>                   | <b>0.0%</b>             |
| <b>Total Taxes</b>                  | <b>74.1</b>    | <b>46.3</b>  | <b>107.9</b> | <b>108.2</b> | <b>103.9</b> | <b>130.3</b> | <b>104.6</b>   | <b>100.7</b> | <b>120.6</b>   | -       | -        | -     | <b>896.6</b>               | <b>1,090.3</b> | <b>(193.7)</b>             | <b>-17.8%</b>           |
| <b>Miscellaneous Receipts:</b>      |                |              |              |              |              |              |                |              |                |         |          |       |                            |                |                            |                         |
| Abandoned Property:                 |                |              |              |              |              |              |                |              |                |         |          |       |                            |                |                            |                         |
| Bottle Bill                         | -              | -            | -            | 23.0         | -            | -            | -              | -            | -              |         |          |       | 23.0                       | 23.0           | -                          | 0.0%                    |
| Assessments:                        |                |              |              |              |              |              |                |              |                |         |          |       |                            |                |                            |                         |
| Business                            | 7.9            | 3.6          | 5.4          | 7.0          | 7.6          | 7.1          | 7.3            | 7.3          | 6.5            |         |          |       | 59.7                       | 77.1           | (17.4)                     | -22.6%                  |
| Fees, Licenses and Permits:         |                |              |              |              |              |              |                |              |                |         |          |       |                            |                |                            |                         |
| Business/Professional               | 1.8            | 2.1          | 1.6          | 1.3          | 9.3          | 3.1          | 2.5            | 2.3          | 0.6            |         |          |       | 24.6                       | 28.4           | (3.8)                      | -13.4%                  |
| Civil                               | -              | -            | -            | -            | -            | -            | -              | -            | -              |         |          |       | -                          | -              | -                          | 0.0%                    |
| Motor Vehicle                       | 52.2           | 33.2         | 43.1         | 60.6         | 63.4         | 57.4         | 60.7           | 60.3         | 59.6           |         |          |       | 490.5                      | 554.7          | (64.2)                     | -11.6%                  |
| Recreational/Consumer               | -              | -            | 0.5          | -            | 11.0         | 7.7          | 0.1            | -            | 0.8            |         |          |       | 20.1                       | 14.9           | 5.2                        | 34.9%                   |
| Fines, Penalties and Forfeitures    | 2.0            | 1.9          | 2.0          | 1.2          | 3.2          | 2.2          | 1.9            | 1.4          | 1.1            |         |          |       | 16.9                       | 20.6           | (3.7)                      | -18.0%                  |
| Interest Earnings                   | 0.7            | 0.5          | 0.1          | -            | 0.1          | 0.1          | -              | 0.1          | 0.1            |         |          |       | 1.7                        | 8.9            | (7.2)                      | -80.9%                  |
| Receipts from Public Authorities:   |                |              |              |              |              |              |                |              |                |         |          |       |                            |                |                            |                         |
| Bond Proceeds                       | 1,122.1        | 19.1         | 342.4        | 269.9        | 32.4         | 384.7        | 895.8          | 1.0          | 981.9          |         |          |       | 4,049.3                    | 3,023.7        | 1,025.6                    | 33.9%                   |
| Issuance Fees                       | -              | -            | -            | -            | -            | -            | -              | -            | -              |         |          |       | -                          | -              | -                          | 0.0%                    |
| Non Bond Related                    | 0.1            | -            | 0.5          | 0.1          | 0.5          | 0.1          | 0.4            | -            | 0.4            |         |          |       | 2.1                        | 50.0           | (47.9)                     | -95.8%                  |
| Receipts from Municipalities        | -              | -            | 0.1          | -            | 0.3          | -            | 0.1            | -            | -              |         |          |       | 0.5                        | 1.8            | (1.3)                      | -72.2%                  |
| Rentals                             | 0.4            | 1.1          | 2.0          | 2.2          | 3.7          | 1.1          | 0.7            | 0.8          | 0.8            |         |          |       | 12.8                       | 6.4            | 6.4                        | 100.0%                  |
| Revenues of State Departments:      |                |              |              |              |              |              |                |              |                |         |          |       |                            |                |                            |                         |
| Administrative Recoveries           | -              | -            | -            | -            | -            | -            | -              | -            | -              |         |          |       | -                          | -              | -                          | 0.0%                    |
| Gifts, Grants and Donations         | -              | 0.7          | 5.8          | -            | 1.3          | 1.6          | 0.8            | 0.4          | 1.7            |         |          |       | 12.3                       | 20.0           | (7.7)                      | -38.5%                  |
| Indirect Cost Recoveries            | -              | -            | -            | -            | -            | -            | -              | -            | -              |         |          |       | -                          | (0.9)          | 0.9                        | 100.0%                  |
| Rebates                             | -              | -            | -            | -            | -            | -            | -              | -            | -              |         |          |       | -                          | 0.2            | (0.2)                      | -100.0%                 |
| Restitution and Settlements         | 3.1            | 0.2          | -            | 0.1          | 0.9          | 1.4          | 3.3            | 0.4          | 0.8            |         |          |       | 10.2                       | 10.8           | (0.6)                      | -5.6%                   |
| All Other                           | 0.3            | 1.8          | 12.0         | 0.2          | 0.7          | 13.7         | 4.7            | 1.3          | 0.8            |         |          |       | 35.5                       | 47.7           | (12.2)                     | -25.6%                  |
| Sales                               | -              | -            | -            | -            | 0.1          | -            | -              | -            | -              |         |          |       | 0.1                        | 4.6            | (4.5)                      | -97.8%                  |
| <b>Total Miscellaneous Receipts</b> | <b>1,190.6</b> | <b>64.2</b>  | <b>415.5</b> | <b>365.6</b> | <b>134.5</b> | <b>480.2</b> | <b>978.3</b>   | <b>75.3</b>  | <b>1,055.1</b> | -       | -        | -     | <b>4,759.3</b>             | <b>3,891.9</b> | <b>867.4</b>               | <b>22.3%</b>            |
| Federal Receipts                    | -              | -            | -            | -            | -            | 2.1          | -              | -            | -              |         |          |       | 2.1                        | 2.3            | (0.2)                      | -8.7%                   |
| <b>Total Receipts</b>               | <b>1,264.7</b> | <b>110.5</b> | <b>523.4</b> | <b>473.8</b> | <b>238.4</b> | <b>612.6</b> | <b>1,082.9</b> | <b>176.0</b> | <b>1,175.7</b> | -       | -        | -     | <b>5,658.0</b>             | <b>4,984.5</b> | <b>673.5</b>               | <b>13.5%</b>            |



STATE OF NEW YORK  
 CAPITAL PROJECTS FUNDS - STATE  
 STATEMENT OF CASH FLOW  
 FISCAL YEAR 2020-2021  
 (amounts in millions)

EXHIBIT I

|  |                   |                   |                   |                   |                   |                   |                   |                     |                   |                 |             |             | 9 Months Ended December 31 |                   |                            |                         |
|--|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|---------------------|-------------------|-----------------|-------------|-------------|----------------------------|-------------------|----------------------------|-------------------------|
|  | 2020<br>APRIL     | MAY               | JUNE              | JULY              | AUGUST            | SEPTEMBER         | OCTOBER           | NOVEMBER            | DECEMBER          | 2021<br>JANUARY | FEBRUARY    | MARCH       | 2020                       | 2019              | \$ Increase/<br>(Decrease) | % Increase/<br>Decrease |
| <b>DISBURSEMENTS:</b>  |                   |                   |                   |                   |                   |                   |                   |                     |                   |                 |             |             |                            |                   |                            |                         |
| Local Assistance Grants:   |                   |                   |                   |                   |                   |                   |                   |                     |                   |                 |             |             |                            |                   |                            |                         |
| Education  | 12.0              | -                 | 4.9               | 1.0               | 1.0               | 1.4               | 20.3              | 45.6                | 4.2               |                 |             |             | 90.4                       | 145.3             | (54.9)                     | -37.8%                  |
| Environment and Recreation   | 4.4               | 3.2               | 14.0              | 12.6              | 20.6              | 15.3              | 6.9               | 9.8                 | 20.4              |                 |             |             | 107.2                      | 147.3             | (40.1)                     | -27.2%                  |
| General Government   | 30.4              | 10.9              | 41.5              | 36.0              | 69.0              | 18.7              | 90.4              | 55.6                | 51.2              |                 |             |             | 403.7                      | 705.9             | (302.2)                    | -42.8%                  |
| Public Health:   |                   |                   |                   |                   |                   |                   |                   |                     |                   |                 |             |             |                            |                   |                            |                         |
| Medicaid   | -                 | -                 | -                 | -                 | -                 | -                 | -                 | -                   | -                 |                 |             |             | -                          | -                 | -                          | 0.0%                    |
| Other Public Health  | 29.0              | 58.2              | 30.9              | 37.5              | 76.1              | 25.1              | 50.9              | 41.5                | 45.2              |                 |             |             | 394.4                      | 319.2             | 75.2                       | 23.6%                   |
| Public Safety  | -                 | -                 | 0.7               | 0.9               | 1.1               | 0.3               | 0.3               | 34.0                | 0.4               |                 |             |             | 37.7                       | 10.8              | 26.9                       | 249.1%                  |
| Public Welfare   | -                 | 33.8              | 73.7              | 71.7              | 53.1              | 110.4             | 24.6              | 7.8                 | 96.3              |                 |             |             | 471.4                      | 254.5             | 216.9                      | 85.2%                   |
| Support and Regulate Business  | 43.2              | 7.7               | 24.8              | 20.9              | 71.6              | 11.4              | 53.5              | 28.0                | 133.8             |                 |             |             | 394.9                      | 699.7             | (304.8)                    | -43.6%                  |
| Transportation   | 2.4               | 4.7               | 14.4              | 50.4              | 104.0             | 316.6             | 432.9             | 456.5               | 155.5             |                 |             |             | 1,537.4                    | 981.3             | 556.1                      | 56.7%                   |
| <b>Total Local Assistance Grants</b>   | <b>121.4</b>      | <b>118.5</b>      | <b>204.9</b>      | <b>231.0</b>      | <b>396.5</b>      | <b>499.2</b>      | <b>679.8</b>      | <b>678.8</b>        | <b>507.0</b>      | <b>-</b>        | <b>-</b>    | <b>-</b>    | <b>3,437.1</b>             | <b>3,264.0</b>    | <b>173.1</b>               | <b>5.3%</b>             |
| Departmental Operations:   |                   |                   |                   |                   |                   |                   |                   |                     |                   |                 |             |             |                            |                   |                            |                         |
| Personal Service   | -                 | -                 | -                 | -                 | -                 | -                 | -                 | -                   | -                 |                 |             |             | -                          | -                 | -                          | 0.0%                    |
| Non-Personal Service   | -                 | -                 | -                 | -                 | -                 | -                 | -                 | -                   | -                 |                 |             |             | -                          | -                 | -                          | 0.0%                    |
| General State Charges  | -                 | -                 | -                 | -                 | -                 | -                 | -                 | -                   | -                 |                 |             |             | -                          | -                 | -                          | 0.0%                    |
| Capital Projects   | 452.1             | 333.5             | 541.8             | 512.4             | 546.9             | 547.4             | 430.2             | 515.8               | 575.6             |                 |             |             | 4,455.7                    | 4,497.3           | (41.6)                     | -0.9%                   |
| <b>Total Disbursements</b>   | <b>573.5</b>      | <b>452.0</b>      | <b>746.7</b>      | <b>743.4</b>      | <b>943.4</b>      | <b>1,046.6</b>    | <b>1,110.0</b>    | <b>1,194.6</b>      | <b>1,082.6</b>    | <b>-</b>        | <b>-</b>    | <b>-</b>    | <b>7,892.8</b>             | <b>7,761.3</b>    | <b>131.5</b>               | <b>1.7%</b>             |
| <b>Excess (Deficiency) of Receipts over Disbursements</b>  | <b>691.2</b>      | <b>(341.5)</b>    | <b>(223.3)</b>    | <b>(269.6)</b>    | <b>(705.0)</b>    | <b>(434.0)</b>    | <b>(27.1)</b>     | <b>(1,018.6)</b>    | <b>93.1</b>       | <b>-</b>        | <b>-</b>    | <b>-</b>    | <b>(2,234.8)</b>           | <b>(2,776.8)</b>  | <b>542.0</b>               | <b>19.5%</b>            |
| <b>OTHER FINANCING SOURCES (USES):</b>   |                   |                   |                   |                   |                   |                   |                   |                     |                   |                 |             |             |                            |                   |                            |                         |
| Bond and Note Proceeds (net)   | -                 | -                 | -                 | -                 | -                 | -                 | -                 | -                   | -                 |                 |             |             | -                          | -                 | -                          | 0.0%                    |
| Transfers from Other Funds   | (805.1)           | 198.0             | 360.6             | 523.4             | 566.3             | 250.0             | 91.3              | 908.3               | 124.1             |                 |             |             | 2,216.9                    | 3,524.8           | (1,307.9)                  | -37.1%                  |
| Transfers to Other Funds   | (12.3)            | (12.4)            | (12.3)            | (14.0)            | (36.4)            | (187.0)           | (12.1)            | (12.1)              | (54.3)            |                 |             |             | (352.9)                    | (694.5)           | (341.6)                    | -49.2%                  |
| <b>Total Other Financing Sources (Uses)</b>  | <b>(817.4)</b>    | <b>185.6</b>      | <b>348.3</b>      | <b>509.4</b>      | <b>529.9</b>      | <b>63.0</b>       | <b>79.2</b>       | <b>896.2</b>        | <b>69.8</b>       | <b>-</b>        | <b>-</b>    | <b>-</b>    | <b>1,864.0</b>             | <b>2,830.3</b>    | <b>(966.3)</b>             | <b>-34.1%</b>           |
| <b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b> | <b>(126.2)</b>    | <b>(155.9)</b>    | <b>125.0</b>      | <b>239.8</b>      | <b>(175.1)</b>    | <b>(371.0)</b>    | <b>52.1</b>       | <b>(122.4)</b>      | <b>162.9</b>      | <b>-</b>        | <b>-</b>    | <b>-</b>    | <b>(370.8)</b>             | <b>53.5</b>       | <b>(424.3)</b>             | <b>-793.1%</b>          |
| <b>Ending Fund Balance</b>   | <b>\$ (598.4)</b> | <b>\$ (754.3)</b> | <b>\$ (629.3)</b> | <b>\$ (389.5)</b> | <b>\$ (564.6)</b> | <b>\$ (935.6)</b> | <b>\$ (883.5)</b> | <b>\$ (1,005.9)</b> | <b>\$ (843.0)</b> | <b>\$ -</b>     | <b>\$ -</b> | <b>\$ -</b> | <b>\$ (843.0)</b>          | <b>\$ (579.7)</b> | <b>\$ (263.3)</b>          | <b>-45.4%</b>           |

STATE OF NEW YORK  
 CAPITAL PROJECTS FUNDS - FEDERAL  
 STATEMENT OF CASH FLOW  
 FISCAL YEAR 2020-2021  
 (amounts in millions)

EXHIBIT I

|  |               |               |               |              |              |               |              |              |              |                 | 9 Months Ended December 31 |          |                |                |                            |                         |
|--|---------------|---------------|---------------|--------------|--------------|---------------|--------------|--------------|--------------|-----------------|----------------------------|----------|----------------|----------------|----------------------------|-------------------------|
|  | 2020<br>APRIL | MAY           | JUNE          | JULY         | AUGUST       | SEPTEMBER     | OCTOBER      | NOVEMBER     | DECEMBER     | 2021<br>JANUARY | FEBRUARY                   | MARCH    | 2020           | 2019           | \$ Increase/<br>(Decrease) | % Increase/<br>Decrease |
| Beginning Fund Balance   | \$ (562.7)    | \$ (556.6)    | \$ (567.9)    | \$ (578.6)   | \$ (541.2)   | \$ (515.6)    | \$ (553.3)   | \$ (539.6)   | \$ (459.0)   |                 |                            |          | \$ (562.7)     | \$ (504.7)     | \$ (58.0)                  | -11.5%                  |
| <b>RECEIPTS:</b>   |               |               |               |              |              |               |              |              |              |                 |                            |          |                |                |                            |                         |
| Miscellaneous Receipts:  |               |               |               |              |              |               |              |              |              |                 |                            |          |                |                |                            |                         |
| Abandoned Property:  |               |               |               |              |              |               |              |              |              |                 |                            |          |                |                |                            |                         |
| Bottle Bill  | -             | -             | -             | -            | -            | -             | -            | -            | -            | -               | -                          | -        | -              | -              | -                          | 0.0%                    |
| Assessments:   |               |               |               |              |              |               |              |              |              |                 |                            |          |                |                |                            |                         |
| Business   | -             | -             | -             | -            | -            | -             | -            | -            | -            | -               | -                          | -        | -              | -              | -                          | 0.0%                    |
| Fees, Licenses and Permits:  |               |               |               |              |              |               |              |              |              |                 |                            |          |                |                |                            |                         |
| Business/Professional  | -             | -             | -             | -            | -            | -             | -            | -            | -            | -               | -                          | -        | -              | -              | -                          | 0.0%                    |
| Civil  | -             | -             | -             | -            | -            | -             | -            | -            | -            | -               | -                          | -        | -              | -              | -                          | 0.0%                    |
| Motor Vehicle  | -             | -             | -             | -            | -            | -             | -            | -            | -            | -               | -                          | -        | -              | -              | -                          | 0.0%                    |
| Recreational/Consumer  | -             | -             | -             | -            | -            | -             | -            | -            | -            | -               | -                          | -        | -              | -              | -                          | 0.0%                    |
| Fines, Penalties and Forfeitures   | -             | -             | -             | -            | -            | -             | -            | -            | -            | -               | -                          | -        | -              | -              | -                          | 0.0%                    |
| Interest Earnings  | -             | -             | -             | -            | -            | -             | -            | -            | -            | -               | -                          | -        | -              | -              | -                          | 0.0%                    |
| Receipts from Public Authorities:  |               |               |               |              |              |               |              |              |              |                 |                            |          |                |                |                            |                         |
| Bond Proceeds  | -             | -             | -             | -            | -            | -             | -            | -            | -            | -               | -                          | -        | -              | -              | -                          | 0.0%                    |
| Issuance Fees  | -             | -             | -             | -            | -            | -             | -            | -            | -            | -               | -                          | -        | -              | -              | -                          | 0.0%                    |
| Non Bond Related   | -             | -             | -             | -            | -            | -             | -            | -            | -            | -               | -                          | -        | -              | -              | -                          | 0.0%                    |
| Receipts from Municipalities   |               |               |               |              |              |               |              |              |              |                 |                            |          |                |                |                            |                         |
| Rentals  | 0.1           | -             | 0.1           | -            | 0.1          | 0.1           | 0.1          | 0.1          | 0.1          | -               | -                          | -        | 0.7            | 0.6            | 0.1                        | 16.7%                   |
| Revenues of State Departments:   |               |               |               |              |              |               |              |              |              |                 |                            |          |                |                |                            |                         |
| Administrative Recoveries  | -             | -             | -             | -            | -            | -             | -            | -            | -            | -               | -                          | -        | -              | -              | -                          | 0.0%                    |
| Gifts, Grants and Donations  | -             | -             | -             | -            | -            | -             | -            | -            | -            | -               | -                          | -        | -              | -              | -                          | 0.0%                    |
| Indirect Cost Recoveries   | -             | -             | -             | -            | -            | -             | -            | -            | -            | -               | -                          | -        | -              | -              | -                          | 0.0%                    |
| Restitution and Settlements  | -             | -             | -             | -            | -            | -             | -            | -            | -            | -               | -                          | -        | -              | -              | -                          | 0.0%                    |
| All Other  | -             | -             | -             | -            | -            | -             | -            | -            | -            | -               | -                          | -        | -              | -              | -                          | 0.0%                    |
| Sales  | -             | -             | -             | -            | -            | 0.1           | -            | -            | -            | -               | -                          | -        | 0.1            | -              | 0.1                        | 100.0%                  |
| <b>Total Miscellaneous Receipts</b>  | <b>0.1</b>    | <b>-</b>      | <b>0.1</b>    | <b>-</b>     | <b>0.1</b>   | <b>0.2</b>    | <b>0.1</b>   | <b>0.1</b>   | <b>0.1</b>   | <b>-</b>        | <b>-</b>                   | <b>-</b> | <b>0.8</b>     | <b>0.6</b>     | <b>0.2</b>                 | <b>33.3%</b>            |
| Federal Receipts   | 85.7          | 102.7         | 167.9         | 209.1        | 186.7        | 175.1         | 167.7        | 221.9        | 228.2        | -               | -                          | -        | 1,545.0        | 1,503.8        | 41.2                       | 2.7%                    |
| <b>Total Receipts</b>  | <b>85.8</b>   | <b>102.7</b>  | <b>168.0</b>  | <b>209.1</b> | <b>186.8</b> | <b>175.3</b>  | <b>167.8</b> | <b>222.0</b> | <b>228.3</b> | <b>-</b>        | <b>-</b>                   | <b>-</b> | <b>1,545.8</b> | <b>1,504.4</b> | <b>41.4</b>                | <b>2.8%</b>             |
| <b>DISBURSEMENTS:</b>  |               |               |               |              |              |               |              |              |              |                 |                            |          |                |                |                            |                         |
| Local Assistance Grants:   |               |               |               |              |              |               |              |              |              |                 |                            |          |                |                |                            |                         |
| Education  | -             | -             | -             | -            | -            | -             | -            | -            | -            | -               | -                          | -        | -              | -              | -                          | 0.0%                    |
| Environment and Recreation   | -             | -             | -             | -            | -            | -             | -            | -            | -            | -               | -                          | -        | -              | -              | -                          | 0.0%                    |
| General Government   | -             | -             | -             | -            | -            | -             | -            | -            | -            | -               | -                          | -        | -              | -              | -                          | 0.0%                    |
| Public Health:   |               |               |               |              |              |               |              |              |              |                 |                            |          |                |                |                            |                         |
| Medicaid   | -             | -             | -             | -            | -            | -             | -            | -            | -            | -               | -                          | -        | -              | -              | -                          | 0.0%                    |
| Other Public Health  | -             | -             | -             | 1.2          | -            | 0.6           | -            | -            | 0.3          | -               | -                          | -        | 2.1            | 39.1           | (37.0)                     | -94.6%                  |
| Public Safety  | -             | -             | 3.1           | -            | -            | 0.4           | -            | -            | 0.9          | -               | -                          | -        | 4.4            | 28.6           | (24.2)                     | -84.6%                  |
| Public Welfare   | -             | -             | -             | -            | -            | -             | -            | -            | -            | -               | -                          | -        | -              | -              | -                          | 0.0%                    |
| Support and Regulate Business  | -             | -             | -             | -            | -            | -             | -            | -            | -            | -               | -                          | -        | -              | -              | -                          | 0.0%                    |
| Transportation   | 22.0          | 41.5          | 35.6          | 41.5         | 42.7         | 52.7          | 50.7         | 47.4         | 64.9         | -               | -                          | -        | 399.0          | 375.9          | 23.1                       | 6.1%                    |
| <b>Total Local Assistance Grants</b>   | <b>22.0</b>   | <b>41.5</b>   | <b>38.7</b>   | <b>42.7</b>  | <b>42.7</b>  | <b>53.7</b>   | <b>50.7</b>  | <b>47.4</b>  | <b>66.1</b>  | <b>-</b>        | <b>-</b>                   | <b>-</b> | <b>405.5</b>   | <b>443.6</b>   | <b>(38.1)</b>              | <b>-8.6%</b>            |
| Departmental Operations:   |               |               |               |              |              |               |              |              |              |                 |                            |          |                |                |                            |                         |
| Personal Service   | -             | -             | -             | -            | -            | -             | -            | -            | -            | -               | -                          | -        | -              | -              | -                          | 0.0%                    |
| Non-Personal Service   | -             | -             | -             | -            | -            | -             | -            | -            | -            | -               | -                          | -        | -              | -              | -                          | 0.0%                    |
| General State Charges  | -             | -             | -             | -            | -            | -             | -            | -            | -            | -               | -                          | -        | -              | -              | -                          | 0.0%                    |
| Capital Projects   | 57.7          | 72.5          | 140.0         | 129.0        | 118.5        | 159.3         | 103.4        | 94.0         | 54.9         | -               | -                          | -        | 929.3          | 927.7          | 1.6                        | 0.2%                    |
| <b>Total Disbursements</b>   | <b>79.7</b>   | <b>114.0</b>  | <b>178.7</b>  | <b>171.7</b> | <b>161.2</b> | <b>213.0</b>  | <b>154.1</b> | <b>141.4</b> | <b>121.0</b> | <b>-</b>        | <b>-</b>                   | <b>-</b> | <b>1,334.8</b> | <b>1,371.3</b> | <b>(36.5)</b>              | <b>-2.7%</b>            |
| <b>Excess (Deficiency) of Receipts over Disbursements</b>  | <b>6.1</b>    | <b>(11.3)</b> | <b>(10.7)</b> | <b>37.4</b>  | <b>25.6</b>  | <b>(37.7)</b> | <b>13.7</b>  | <b>80.6</b>  | <b>107.3</b> | <b>-</b>        | <b>-</b>                   | <b>-</b> | <b>211.0</b>   | <b>133.1</b>   | <b>77.9</b>                | <b>58.5%</b>            |
| <b>OTHER FINANCING SOURCES (USES):</b>   |               |               |               |              |              |               |              |              |              |                 |                            |          |                |                |                            |                         |
| Transfers from Other Funds   | -             | -             | -             | -            | -            | -             | -            | -            | -            | -               | -                          | -        | -              | -              | -                          | 0.0%                    |
| Transfers to Other Funds   | -             | -             | -             | -            | -            | -             | -            | -            | -            | -               | -                          | -        | -              | (176.0)        | (176.0)                    | -100.0%                 |
| <b>Total Other Financing Sources (Uses)</b>  | <b>-</b>      | <b>-</b>      | <b>-</b>      | <b>-</b>     | <b>-</b>     | <b>-</b>      | <b>-</b>     | <b>-</b>     | <b>-</b>     | <b>-</b>        | <b>-</b>                   | <b>-</b> | <b>-</b>       | <b>(176.0)</b> | <b>(176.0)</b>             | <b>-100.0%</b>          |
| <b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b> | <b>6.1</b>    | <b>(11.3)</b> | <b>(10.7)</b> | <b>37.4</b>  | <b>25.6</b>  | <b>(37.7)</b> | <b>13.7</b>  | <b>80.6</b>  | <b>107.3</b> | <b>-</b>        | <b>-</b>                   | <b>-</b> | <b>211.0</b>   | <b>(42.9)</b>  | <b>253.9</b>               | <b>591.8%</b>           |
| Ending Fund Balance  | \$ (556.6)    | \$ (567.9)    | \$ (578.6)    | \$ (541.2)   | \$ (515.6)   | \$ (553.3)    | \$ (539.6)   | \$ (459.0)   | \$ (351.7)   | \$ -            | \$ -                       | \$ -     | \$ (351.7)     | \$ (547.6)     | \$ 195.9                   | 35.8%                   |

STATE OF NEW YORK  
ENTERPRISE FUNDS  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2020-2021  
(amounts in millions)

EXHIBIT J

|  |                |                |                 |                 |                |                |                |                |                |                 | 9 Months Ended December 31 |       |                 |                |                            |                         |
|--|----------------|----------------|-----------------|-----------------|----------------|----------------|----------------|----------------|----------------|-----------------|----------------------------|-------|-----------------|----------------|----------------------------|-------------------------|
|  | 2020<br>APRIL  | MAY            | JUNE            | JULY            | AUGUST         | SEPTEMBER      | OCTOBER        | NOVEMBER       | DECEMBER       | 2021<br>JANUARY | FEBRUARY                   | MARCH | 2020            | 2019           | \$ Increase/<br>(Decrease) | % Increase/<br>Decrease |
| Beginning Fund Balance   | \$ 29.7        | \$ 45.9        | \$ 35.9         | \$ 35.3         | \$ 40.4        | \$ 42.2        | \$ 42.1        | \$ 41.3        | \$ 41.0        |                 |                            |       | \$ 29.7         | \$ 26.6        | \$ 3.1                     | 11.7%                   |
| <b>RECEIPTS:</b>   |                |                |                 |                 |                |                |                |                |                |                 |                            |       |                 |                |                            |                         |
| Miscellaneous Receipts   | 4.2            | 4.8            | 6.4             | 6.9             | 7.7            | 7.0            | 4.4            | 3.9            | 3.1            |                 |                            |       | 48.4            | 65.6           | (17.2)                     | -26.2%                  |
| Federal Receipts   | 2,584.0        | 5,993.3        | 10,834.5        | 8,949.5         | 3,020.9        | 2,491.7        | 2,489.5        | 2,484.9        | 2,184.6        |                 |                            |       | 41,032.9        | 13.7           | 41,019.2                   | 299,410.2%              |
| Unemployment Taxes   | 1,823.9        | 2,261.7        | 2,631.7         | 1,980.9         | 1,831.3        | 1,396.4        | 613.4          | 544.2          | 561.3          |                 |                            |       | 13,644.8        | 1,477.0        | 12,167.8                   | 823.8%                  |
| <b>Total Receipts</b>  | <b>4,412.1</b> | <b>8,259.8</b> | <b>13,472.6</b> | <b>10,937.3</b> | <b>4,859.9</b> | <b>3,895.1</b> | <b>3,107.3</b> | <b>3,033.0</b> | <b>2,749.0</b> | -               | -                          | -     | <b>54,726.1</b> | <b>1,556.3</b> | <b>53,169.8</b>            | <b>3,416.4%</b>         |
| <b>DISBURSEMENTS:</b>  |                |                |                 |                 |                |                |                |                |                |                 |                            |       |                 |                |                            |                         |
| Departmental Operations:   |                |                |                 |                 |                |                |                |                |                |                 |                            |       |                 |                |                            |                         |
| Personal Service   | 1.4            | 0.9            | 1.1             | 1.1             | 1.3            | 1.7            | 1.1            | 0.9            | 0.9            |                 |                            |       | 10.4            | 14.3           | (3.9)                      | -27.3%                  |
| Non-Personal Service   | 3.6            | 4.9            | 5.4             | 4.9             | 4.6            | 4.4            | 4.1            | 3.9            | 4.6            |                 |                            |       | 40.4            | 46.3           | (5.9)                      | -12.7%                  |
| General State Charges  | 0.2            | 0.1            | 0.2             | 0.1             | 0.1            | 0.2            | 0.1            | 0.2            | 0.2            |                 |                            |       | 1.4             | 1.3            | 0.1                        | 7.7%                    |
| Unemployment Benefits  | 4,390.7        | 8,263.9        | 13,469.5        | 10,926.1        | 4,852.1        | 3,888.9        | 3,102.8        | 3,028.3        | 2,745.9        |                 |                            |       | 54,668.2        | 1,492.1        | 53,176.1                   | 3,563.8%                |
| <b>Total Disbursements</b>   | <b>4,395.9</b> | <b>8,269.8</b> | <b>13,476.2</b> | <b>10,932.2</b> | <b>4,858.1</b> | <b>3,895.2</b> | <b>3,108.1</b> | <b>3,033.3</b> | <b>2,751.6</b> | -               | -                          | -     | <b>54,720.4</b> | <b>1,554.0</b> | <b>53,166.4</b>            | <b>3,421.3%</b>         |
| <b>Excess (Deficiency) of Receipts over Disbursements</b>  | <b>16.2</b>    | <b>(10.0)</b>  | <b>(3.6)</b>    | <b>5.1</b>      | <b>1.8</b>     | <b>(0.1)</b>   | <b>(0.8)</b>   | <b>(0.3)</b>   | <b>(2.6)</b>   | -               | -                          | -     | <b>5.7</b>      | <b>2.3</b>     | <b>3.4</b>                 | <b>147.8%</b>           |
| <b>OTHER FINANCING SOURCES (USES):</b>   |                |                |                 |                 |                |                |                |                |                |                 |                            |       |                 |                |                            |                         |
| Transfers from Other Funds   | -              | -              | 3.0             | -               | -              | -              | -              | -              | -              |                 |                            |       | 3.0             | -              | 3.0                        | 100.0%                  |
| Transfers to Other Funds   | -              | -              | -               | -               | -              | -              | -              | -              | -              |                 |                            |       | -               | -              | -                          | 0.0%                    |
| <b>Total Other Financing Sources (Uses)</b>  | <b>-</b>       | <b>-</b>       | <b>3.0</b>      | <b>-</b>        | <b>-</b>       | <b>-</b>       | <b>-</b>       | <b>-</b>       | <b>-</b>       | -               | -                          | -     | <b>3.0</b>      | <b>-</b>       | <b>3.0</b>                 | <b>100.0%</b>           |
| <b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b> | <b>16.2</b>    | <b>(10.0)</b>  | <b>(0.6)</b>    | <b>5.1</b>      | <b>1.8</b>     | <b>(0.1)</b>   | <b>(0.8)</b>   | <b>(0.3)</b>   | <b>(2.6)</b>   | -               | -                          | -     | <b>8.7</b>      | <b>2.3</b>     | <b>6.4</b>                 | <b>278.3%</b>           |
| Ending Fund Balance  | \$ 45.9        | \$ 35.9        | \$ 35.3         | \$ 40.4         | \$ 42.2        | \$ 42.1        | \$ 41.3        | \$ 41.0        | \$ 38.4        | \$ -            | \$ -                       | \$ -  | \$ 38.4         | \$ 28.9        | \$ 9.5                     | 32.9%                   |

STATE OF NEW YORK  
INTERNAL SERVICE FUNDS  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2020-2021  
(amounts in millions)

EXHIBIT K

|   |               |             |              |             |             |             |             |             |             |                 | 9 Months Ended December 31 |       |              |              |                            |                         |
|---|---------------|-------------|--------------|-------------|-------------|-------------|-------------|-------------|-------------|-----------------|----------------------------|-------|--------------|--------------|----------------------------|-------------------------|
|   | 2020<br>APRIL | MAY         | JUNE         | JULY        | AUGUST      | SEPTEMBER   | OCTOBER     | NOVEMBER    | DECEMBER    | 2021<br>JANUARY | FEBRUARY                   | MARCH | 2020         | 2019         | \$ Increase/<br>(Decrease) | % Increase/<br>Decrease |
| Beginning Fund Balance  | \$ (297.5)    | \$ (281.0)  | \$ (299.2)   | \$ (315.2)  | \$ (342.3)  | \$ (355.2)  | \$ (372.7)  | \$ (389.1)  | \$ (416.4)  |                 |                            |       | \$ (297.5)   | \$ (302.7)   | \$ 5.2                     | 1.7%                    |
| <b>RECEIPTS:</b>  |               |             |              |             |             |             |             |             |             |                 |                            |       |              |              |                            |                         |
| Miscellaneous Receipts  | 25.2          | 22.3        | 34.9         | 19.8        | 32.4        | 34.1        | 53.0        | 29.0        | 46.0        |                 |                            |       | 296.7        | 406.5        | (109.8)                    | -27.0%                  |
| <b>Total Receipts</b>   | <b>25.2</b>   | <b>22.3</b> | <b>34.9</b>  | <b>19.8</b> | <b>32.4</b> | <b>34.1</b> | <b>53.0</b> | <b>29.0</b> | <b>46.0</b> | -               | -                          | -     | <b>296.7</b> | <b>406.5</b> | <b>(109.8)</b>             | <b>-27.0%</b>           |
| <b>DISBURSEMENTS:</b>   |               |             |              |             |             |             |             |             |             |                 |                            |       |              |              |                            |                         |
| Departmental Operations:  |               |             |              |             |             |             |             |             |             |                 |                            |       |              |              |                            |                         |
| Personal Service  | 14.5          | 11.1        | 11.0         | 12.3        | 9.9         | 14.6        | 10.2        | 9.9         | 10.7        |                 |                            |       | 104.2        | 95.6         | 8.6                        | 9.0%                    |
| Non-Personal Service  | (9.3)         | 26.6        | 83.3         | 31.7        | 41.6        | 35.2        | 61.3        | 44.8        | 45.0        |                 |                            |       | 360.2        | 311.4        | 48.8                       | 15.7%                   |
| General State Charges   | 4.8           | 5.3         | 8.6          | 4.6         | 5.7         | 4.8         | 4.8         | 4.6         | 4.3         |                 |                            |       | 47.5         | 40.8         | 6.7                        | 16.4%                   |
| <b>Total Disbursements</b>  | <b>10.0</b>   | <b>43.0</b> | <b>102.9</b> | <b>48.6</b> | <b>57.2</b> | <b>54.6</b> | <b>76.3</b> | <b>59.3</b> | <b>60.0</b> | -               | -                          | -     | <b>511.9</b> | <b>447.8</b> | <b>64.1</b>                | <b>14.3%</b>            |
| Excess (Deficiency) of Receipts<br>over Disbursements   | 15.2          | (20.7)      | (68.0)       | (28.8)      | (24.8)      | (20.5)      | (23.3)      | (30.3)      | (14.0)      | -               | -                          | -     | (215.2)      | (41.3)       | (173.9)                    | -421.1%                 |
| <b>OTHER FINANCING SOURCES (USES):</b>  |               |             |              |             |             |             |             |             |             |                 |                            |       |              |              |                            |                         |
| Transfers from Other Funds  | 1.3           | 2.5         | 52.0         | 1.7         | 12.1        | 3.1         | 6.9         | 3.1         | 5.8         |                 |                            |       | 88.5         | 57.0         | 31.5                       | 55.3%                   |
| Transfers to Other Funds  | -             | -           | -            | -           | (0.2)       | (0.1)       | -           | (0.1)       | (2.9)       |                 |                            |       | (3.3)        | (4.3)        | (1.0)                      | -23.3%                  |
| <b>Total Other Financing Sources (Uses)</b>   | <b>1.3</b>    | <b>2.5</b>  | <b>52.0</b>  | <b>1.7</b>  | <b>11.9</b> | <b>3.0</b>  | <b>6.9</b>  | <b>3.0</b>  | <b>2.9</b>  | -               | -                          | -     | <b>85.2</b>  | <b>52.7</b>  | <b>32.5</b>                | <b>61.7%</b>            |
| Excess (Deficiency) of Receipts and<br>Other Financing Sources Over<br>Disbursements and Other Financing Uses | 16.5          | (18.2)      | (16.0)       | (27.1)      | (12.9)      | (17.5)      | (16.4)      | (27.3)      | (11.1)      | -               | -                          | -     | (130.0)      | 11.4         | (141.4)                    | -1,240.4%               |
| Ending Fund Balance   | \$ (281.0)    | \$ (299.2)  | \$ (315.2)   | \$ (342.3)  | \$ (355.2)  | \$ (372.7)  | \$ (389.1)  | \$ (416.4)  | \$ (427.5)  | \$ -            | \$ -                       | \$ -  | \$ (427.5)   | \$ (291.3)   | \$ (136.2)                 | -46.8%                  |

STATE OF NEW YORK  
PENSION TRUST FUNDS  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2020-2021  
(amounts in millions)

EXHIBIT L

|   | 2020        |            |             |             |            |             |             |            |             |         | 2021     |       |             | 9 Months Ended December 31 |                            |                         |  |
|---|-------------|------------|-------------|-------------|------------|-------------|-------------|------------|-------------|---------|----------|-------|-------------|----------------------------|----------------------------|-------------------------|--|
|   | APRIL       | MAY        | JUNE        | JULY        | AUGUST     | SEPTEMBER   | OCTOBER     | NOVEMBER   | DECEMBER    | JANUARY | FEBRUARY | MARCH | 2020        | 2019                       | \$ Increase/<br>(Decrease) | % Increase/<br>Decrease |  |
| Beginning Fund Balance  | \$ (1.1)    | \$ (5.3)   | \$ (9.3)    | \$ (15.3)   | \$ (1.7)   | \$ (5.9)    | \$ (4.2)    | \$ (2.9)   | \$ (3.6)    |         |          |       | \$ (1.1)    | \$ (3.0)                   | \$ 1.9                     | 63.3%                   |  |
| <b>RECEIPTS:</b>  |             |            |             |             |            |             |             |            |             |         |          |       |             |                            |                            |                         |  |
| Miscellaneous Receipts  | 8.3         | 5.5        | 5.4         | 23.5        | 5.6        | 14.3        | 11.0        | 5.6        | 15.8        |         |          |       | 95.0        | 112.8                      | (17.8)                     | -15.8%                  |  |
| <b>Total Receipts</b>   | <b>8.3</b>  | <b>5.5</b> | <b>5.4</b>  | <b>23.5</b> | <b>5.6</b> | <b>14.3</b> | <b>11.0</b> | <b>5.6</b> | <b>15.8</b> | -       | -        | -     | <b>95.0</b> | <b>112.8</b>               | <b>(17.8)</b>              | <b>-15.8%</b>           |  |
| <b>DISBURSEMENTS:</b>   |             |            |             |             |            |             |             |            |             |         |          |       |             |                            |                            |                         |  |
| Departmental Operations:  |             |            |             |             |            |             |             |            |             |         |          |       |             |                            |                            |                         |  |
| Personal Service  | 8.3         | 5.5        | 5.6         | 5.5         | 5.5        | 8.3         | 5.6         | 5.5        | 5.6         |         |          |       | 55.4        | 52.1                       | 3.3                        | 6.3%                    |  |
| Non-Personal Service  | 0.7         | 0.6        | 0.6         | 1.0         | 0.9        | 0.8         | 0.7         | 0.7        | 2.7         |         |          |       | 8.7         | 29.3                       | (20.6)                     | -70.3%                  |  |
| General State Charges   | 3.5         | 3.4        | 5.2         | 3.4         | 3.4        | 3.5         | 3.4         | 0.1        | 5.2         |         |          |       | 31.1        | 28.3                       | 2.8                        | 9.9%                    |  |
| <b>Total Disbursements</b>  | <b>12.5</b> | <b>9.5</b> | <b>11.4</b> | <b>9.9</b>  | <b>9.8</b> | <b>12.6</b> | <b>9.7</b>  | <b>6.3</b> | <b>13.5</b> | -       | -        | -     | <b>95.2</b> | <b>109.7</b>               | <b>(14.5)</b>              | <b>-13.2%</b>           |  |
| Excess (Deficiency) of Receipts<br>over Disbursements   | (4.2)       | (4.0)      | (6.0)       | 13.6        | (4.2)      | 1.7         | 1.3         | (0.7)      | 2.3         | -       | -        | -     | (0.2)       | 3.1                        | (3.3)                      | -106.5%                 |  |
| <b>OTHER FINANCING SOURCES (USES):</b>  |             |            |             |             |            |             |             |            |             |         |          |       |             |                            |                            |                         |  |
| Transfers from Other Funds  | -           | -          | -           | -           | -          | -           | -           | -          | -           |         |          |       | -           | -                          | -                          | 0.0%                    |  |
| Transfers to Other Funds  | -           | -          | -           | -           | -          | -           | -           | -          | -           |         |          |       | -           | -                          | -                          | 0.0%                    |  |
| <b>Total Other Financing Sources (Uses)</b>   | <b>-</b>    | <b>-</b>   | <b>-</b>    | <b>-</b>    | <b>-</b>   | <b>-</b>    | <b>-</b>    | <b>-</b>   | <b>-</b>    | -       | -        | -     | <b>-</b>    | <b>-</b>                   | <b>-</b>                   | <b>0.0%</b>             |  |
| Excess (Deficiency) of Receipts and<br>Other Financing Sources Over<br>Disbursements and Other Financing Uses | (4.2)       | (4.0)      | (6.0)       | 13.6        | (4.2)      | 1.7         | 1.3         | (0.7)      | 2.3         | -       | -        | -     | (0.2)       | 3.1                        | (3.3)                      | -106.5%                 |  |
| Ending Fund Balance   | \$ (5.3)    | \$ (9.3)   | \$ (15.3)   | \$ (1.7)    | \$ (5.9)   | \$ (4.2)    | \$ (2.9)    | \$ (3.6)   | \$ (1.3)    | \$ -    | \$ -     | \$ -  | \$ (1.3)    | \$ 0.1                     | \$ (1.4)                   | -1,400.0%               |  |

STATE OF NEW YORK  
PRIVATE PURPOSE TRUST FUNDS  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2020-2021  
(amounts in millions)

EXHIBIT M

|  | 2020       |            |            |            |              |           |            |          |          | 2021     |          |          | 9 Months Ended December 31 |            |                            |                         |
|--|------------|------------|------------|------------|--------------|-----------|------------|----------|----------|----------|----------|----------|----------------------------|------------|----------------------------|-------------------------|
|  | APRIL      | MAY        | JUNE       | JULY       | AUGUST       | SEPTEMBER | OCTOBER    | NOVEMBER | DECEMBER | JANUARY  | FEBRUARY | MARCH    | 2020                       | 2019       | \$ Increase/<br>(Decrease) | % Increase/<br>Decrease |
| Beginning Fund Balance   | \$ 14.3    | \$ 14.4    | \$ 14.5    | \$ 14.6    | \$ 14.7      | \$ 14.1   | \$ 14.1    | \$ 14.2  | \$ 14.2  |          |          |          | \$ 14.3                    | \$ 13.2    | \$ 1.1                     | 8.3%                    |
| <b>RECEIPTS:</b>   |            |            |            |            |              |           |            |          |          |          |          |          |                            |            |                            |                         |
| Miscellaneous Receipts   | 0.2        | 0.1        | 0.1        | 0.2        | (0.6)        | -         | 0.2        | -        | -        |          |          |          | 0.2                        | 1.2        | (1.0)                      | -83.3%                  |
| <b>Total Receipts</b>  | <b>0.2</b> | <b>0.1</b> | <b>0.1</b> | <b>0.2</b> | <b>(0.6)</b> | <b>-</b>  | <b>0.2</b> | <b>-</b> | <b>-</b> | <b>-</b> | <b>-</b> | <b>-</b> | <b>0.2</b>                 | <b>1.2</b> | <b>(1.0)</b>               | <b>-83.3%</b>           |
| <b>DISBURSEMENTS:</b>  |            |            |            |            |              |           |            |          |          |          |          |          |                            |            |                            |                         |
| Departmental Operations:   |            |            |            |            |              |           |            |          |          |          |          |          |                            |            |                            |                         |
| Personal Service   | -          | -          | -          | 0.1        | -            | -         | 0.1        | -        | -        |          |          |          | 0.2                        | 0.2        | -                          | 0.0%                    |
| Non-Personal Service   | -          | -          | -          | -          | -            | -         | -          | -        | -        |          |          |          | -                          | -          | -                          | 0.0%                    |
| General State Charges  | 0.1        | -          | -          | -          | -            | -         | -          | -        | -        |          |          |          | 0.1                        | 0.1        | -                          | 0.0%                    |
| <b>Total Disbursements</b>   | <b>0.1</b> | <b>-</b>   | <b>-</b>   | <b>0.1</b> | <b>-</b>     | <b>-</b>  | <b>0.1</b> | <b>-</b> | <b>-</b> | <b>-</b> | <b>-</b> | <b>-</b> | <b>0.3</b>                 | <b>0.3</b> | <b>-</b>                   | <b>0.0%</b>             |
| <b>Excess (Deficiency) of Receipts over Disbursements</b>  | <b>0.1</b> | <b>0.1</b> | <b>0.1</b> | <b>0.1</b> | <b>(0.6)</b> | <b>-</b>  | <b>0.1</b> | <b>-</b> | <b>-</b> | <b>-</b> | <b>-</b> | <b>-</b> | <b>(0.1)</b>               | <b>0.9</b> | <b>(1.0)</b>               | <b>-111.1%</b>          |
| <b>OTHER FINANCING SOURCES (USES):</b>   |            |            |            |            |              |           |            |          |          |          |          |          |                            |            |                            |                         |
| Transfers from Other Funds   | -          | -          | -          | -          | -            | -         | -          | -        | -        |          |          |          | -                          | -          | -                          | 0.0%                    |
| Transfers to Other Funds   | -          | -          | -          | -          | -            | -         | -          | -        | -        |          |          |          | -                          | -          | -                          | 0.0%                    |
| <b>Total Other Financing Sources (Uses)</b>  | <b>-</b>   | <b>-</b>   | <b>-</b>   | <b>-</b>   | <b>-</b>     | <b>-</b>  | <b>-</b>   | <b>-</b> | <b>-</b> | <b>-</b> | <b>-</b> | <b>-</b> | <b>-</b>                   | <b>-</b>   | <b>-</b>                   | <b>0.0%</b>             |
| <b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b> | <b>0.1</b> | <b>0.1</b> | <b>0.1</b> | <b>0.1</b> | <b>(0.6)</b> | <b>-</b>  | <b>0.1</b> | <b>-</b> | <b>-</b> | <b>-</b> | <b>-</b> | <b>-</b> | <b>(0.1)</b>               | <b>0.9</b> | <b>(1.0)</b>               | <b>-111.1%</b>          |
| Ending Fund Balance  | \$ 14.4    | \$ 14.5    | \$ 14.6    | \$ 14.7    | \$ 14.1      | \$ 14.1   | \$ 14.2    | \$ 14.2  | \$ 14.2  | \$ -     | \$ -     | \$ -     | \$ 14.2                    | \$ 14.1    | \$ 0.1                     | 0.7%                    |

STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND  
CHANGES IN FUND BALANCES  
FISCAL YEAR 2020-2021  
FOR THE MONTH OF DECEMBER 2020  
(amounts in millions)

SCHEDULE 1

|   | BALANCE<br>DECEMBER 1, 2020 | RECEIPTS         | DISBURSEMENTS    | OTHER FINANCING<br>SOURCES (USES) | BALANCE<br>DECEMBER 31, 2020 |
|---|-----------------------------|------------------|------------------|-----------------------------------|------------------------------|
| <b>GENERAL FUND</b>   |                             |                  |                  |                                   |                              |
| 10000-10049-Local Assistance Account  | \$ -                        | \$ 0.025         | \$ 4,625.258     | \$ 4,625.233                      | \$ -                         |
| 10050-10099-State Operations Account  | 13,572.918                  | 4,882.834        | 17.897           | (1,915.233)                       | 16,522.622                   |
| 10100-10149-Tax Stabilization Reserve   | -                           | -                | -                | -                                 | -                            |
| 10150-10199-Contingency Reserve   | -                           | -                | -                | -                                 | -                            |
| 10200-10249-Universal Pre-K Reserve   | -                           | -                | -                | -                                 | -                            |
| 10250-10299-Community Projects  | 29.807                      | -                | 0.033            | -                                 | 29.774                       |
| 10300-10349-Rainy Day Reserve Fund  | -                           | -                | -                | -                                 | -                            |
| 10400-10449-Refund Reserve Account  | -                           | -                | -                | -                                 | -                            |
| 10500-10549-Fringe Benefits Escrow  | -                           | -                | -                | -                                 | -                            |
| 10550-10599-Tobacco Revenue Guarantee   | -                           | -                | -                | -                                 | -                            |
| <b>TOTAL GENERAL FUND</b>   | <b>13,602.725</b>           | <b>4,882.859</b> | <b>4,643.188</b> | <b>2,710.000</b>                  | <b>16,552.396</b>            |
| <b>SPECIAL REVENUE FUNDS-STATE</b>  |                             |                  |                  |                                   |                              |
| 20000-20099-Mental Health Gifts and Donations                                 | 0.837                       | 0.001            | 0.007            | -                                 | 0.831                        |
| 20100-20299-Combined Expendable Trust   | 70.464                      | 0.227            | 0.840            | -                                 | 69.851                       |
| 20300-20349-New York Interest on Lawyer Account                               | 113.260                     | 2.556            | 6.729            | -                                 | 109.087                      |
| 20350-20399-NYS Archives Partnership Trust                                    | -                           | 0.070            | 0.040            | -                                 | 0.030                        |
| 20400-20449-Child Performer's Protection                                      | 0.388                       | 0.005            | 0.044            | (0.005)                           | 0.344                        |
| 20450-20499-Tuition Reimbursement   | 7.930                       | 0.905            | 0.289            | -                                 | 8.546                        |
| 20500-20549-New York State Local Government Records<br>Management Improvement | 5.535                       | 0.914            | 0.464            | -                                 | 5.985                        |
| 20550-20599-School Tax Relief   | 0.141                       | 35.000           | 22.568           | -                                 | 12.573                       |
| 20600-20649-Charter Schools Stimulus  | 0.578                       | -                | -                | -                                 | 0.578                        |
| 20650-20699-Not-For-Profit Short Term Revolving Loan                          | -                           | -                | -                | -                                 | -                            |
| 20800-20849-HCRA Resources  | 200.742                     | 520.946          | 516.492          | (0.341)                           | 204.855                      |
| 20850-20899-Dedicated Mass Transportation Trust                               | 68.757                      | 47.057           | 47.310           | 15.665                            | 84.169                       |
| 20900-20949-State Lottery   | (1,260.344)                 | 273.742          | 149.883          | (4.571)                           | (1,141.056)                  |
| 20950-20999-Combined Student Loan   | 31.985                      | 1.845            | 1.461            | -                                 | 32.369                       |
| 21000-21049-Sewage Treatment Program Mgmt. & Administration                   | (3.851)                     | -                | 0.062            | -                                 | (3.913)                      |
| 21050-21149-Encon Special Revenue   | 2.378                       | 8.326            | 7.697            | 2.553                             | 5.560                        |
| 21150-21199-Conservation  | 102.358                     | 2.769            | 2.851            | 2.482                             | 104.758                      |
| 21200-21249-Environmental Protection and Oil Spill Compensation               | 19.957                      | 2.904            | 1.434            | (5.959)                           | 15.468                       |
| 21250-21299-Training and Education Program on OSHA                            | 6.456                       | (0.008)          | 2.829            | (0.407)                           | 3.212                        |
| 21300-21349-Lawyers' Fund for Client Protection                               | 9.483                       | 0.692            | 0.047            | -                                 | 10.128                       |
| 21350-21399-Equipment Loan for the Disabled                                   | 0.533                       | 0.002            | -                | -                                 | 0.535                        |
| 21400-21449-Mass Transportation Operating Assistance                          | 225.309                     | 341.770          | 542.862          | 3.215                             | 27.432                       |
| 21450-21499-Clean Air   | (30.440)                    | 3.248            | 3.265            | (2.067)                           | (32.524)                     |
| 21500-21549-New York State Infrastructure Trust                               | 0.071                       | -                | -                | -                                 | 0.071                        |
| 21550-21599-Legislative Computer Services                                     | 12.369                      | 0.012            | 0.107            | -                                 | 12.274                       |
| 21600-21649-Biodiversity Stewardship and Research                             | -                           | -                | -                | -                                 | -                            |
| 21650-21699-Combined Non-Expendable Trust                                     | 0.469                       | -                | -                | -                                 | 0.469                        |
| 21700-21749-Winter Sports Education Trust                                     | -                           | -                | -                | -                                 | -                            |
| 21750-21799-Musical Instrument Revolving                                      | -                           | -                | -                | -                                 | -                            |
| 21850-21899-Arts Capital Grants   | 0.835                       | 0.001            | -                | -                                 | 0.836                        |
| 21900-22499-Miscellaneous State Special Revenue                               | 1,727.317                   | 244.870          | 222.489          | (6.237)                           | 1,743.461                    |
| 22500-22549-Court Facilities Incentive Aid                                    | 16.784                      | 0.002            | 13.448           | 28.000                            | 31.338                       |

**STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND  
CHANGES IN FUND BALANCES  
FISCAL YEAR 2020-2021  
FOR THE MONTH OF DECEMBER 2020  
(amounts in millions)**

**SCHEDULE 1**

|  | <b>BALANCE<br/>DECEMBER 1, 2020</b> | <b>RECEIPTS</b>  | <b>DISBURSEMENTS</b> | <b>OTHER FINANCING<br/>SOURCES (USES)</b> | <b>BALANCE<br/>DECEMBER 31, 2020</b> |
|--|-------------------------------------|------------------|----------------------|---|--------------------------------------|
| <b><u>SPECIAL REVENUE FUNDS-STATE (CONTINUED)</u></b>  |                                     |                  |                      |   |                                      |
| 22550-22599-Employment Training  | 0.053                               | -                | -                    | -   | 0.053                                |
| 22650-22699-State University Income  | 2,064.558                           | 305.887          | 503.749              | 66.911                                    | 1,933.607                            |
| 22700-22749-Chemical Dependence Service  | 11.584                              | 0.221            | 0.629                | -   | 11.176                               |
| 22750-22799-Lake George Park Trust   | 0.041                               | 0.117            | 0.100                | -   | 0.058                                |
| 22800-22849-State Police Motor Vehicle Law Enforcement and<br>Motor Vehicle Theft and Insurance Fraud Prevention | 94.952                              | 0.001            | 1.289                | -   | 93.664                               |
| 22850-22899-New York Great Lakes Protection  | 0.460                               | -                | 0.013                | -   | 0.447                                |
| 22900-22949-Federal Revenue Maximization   | 0.024                               | -                | -                    | -   | 0.024                                |
| 22950-22999-Housing Development  | 10.647                              | 0.002            | 0.750                | -   | 9.899                                |
| 23000-23049-NYS/DOT Highway Safety Program   | (16.319)                            | (0.002)          | 0.372                | -   | (16.693)                             |
| 23050-23099-Vocational Rehabilitation  | 0.074                               | -                | 0.003                | -   | 0.071                                |
| 23100-23149-Drinking Water Program Management and<br>Administration  | (5.351)                             | -                | -                    | -   | (5.351)                              |
| 23150-23199-NYC County Clerks' Operations Offset   | (48.390)                            | -                | 2.341                | -   | (50.731)                             |
| 23200-23249-Judiciary Data Processing Offset   | 57.763                              | 3.885            | 2.353                | -   | 59.295                               |
| 23250-23449-IFR/CUTRA  | 148.972                             | 24.672           | 6.738                | -   | 166.906                              |
| 23500-23549-USOC Lake Placid Training  | 0.349                               | (0.113)          | -                    | -   | 0.236                                |
| 23550-23599-Indigent Legal Services  | 524.266                             | 15.094           | 14.623               | -   | 524.737                              |
| 23600-23649-Unemployment Insurance Interest and Penalty  | 21.958                              | 0.436            | 0.124                | (0.023)                                   | 22.247                               |
| 23650-23699-MTA Financial Assistance Fund  | 341.304                             | 0.038            | 167.240              | 12.500                                    | 186.602                              |
| 23700-23749-New York State Commercial Gaming Fund  | 33.087                              | 10.438           | 0.374                | -   | 43.151                               |
| 23750-23799-Medical Marihuana Trust Fund   | 13.098                              | 0.815            | 0.734                | (0.245)                                   | 12.934                               |
| 23800-23899-Dedicated Miscellaneous State Special Revenue  | 4.053                               | 0.361            | 0.148                | -   | 4.266                                |
| 24850-24899-Health Care Transformation   | 316.486                             | 81.366           | -                    | -   | 397.852                              |
| 24900-24949-Charitable Gifts Trust Fund  | 95.918                              | 0.012            | -                    | -   | 95.930                               |
| 24950-24999-Interactive Fantasy Sports   | 22.322                              | 0.709            | 0.033                | -   | 22.998                               |
| 40350-40399-State University Dormitory Income  | 189.261                             | 8.803            | -                    | (15.427)                                  | 182.637                              |
| <b>TOTAL SPECIAL REVENUE FUNDS-STATE</b>   | <b>5,211.471</b>                    | <b>1,940.598</b> | <b>2,244.831</b>     | <b>96.044</b>                             | <b>5,003.282</b>                     |
| <b><u>SPECIAL REVENUE FUNDS-FEDERAL</u></b>  |                                     |                  |                      |   |                                      |
| 25000-25099-Federal USDA/Food and Consumer Services  | (29.359)                            | 99.259           | 79.445               | (0.178)                                   | (9.723)                              |
| 25100-25199-Federal Health and Human Services  | 2,530.742                           | 6,832.872        | 5,383.814            | (147.183)                                 | 3,832.617                            |
| 25200-25249-Federal Education  | (40.769)                            | 149.502          | 121.715              | 1.122                                     | (11.860)                             |
| 25300-25899-Federal Miscellaneous Operating Grants   | 3,518.023                           | 195.041          | 1,740.802            | (5.567)                                   | 1,966.695                            |
| 25900-25949-Unemployment Insurance Administration  | 72.263                              | 20.746           | 77.347               | (13.468)                                  | 2.194                                |
| 25950-25999-Unemployment Insurance Occupational Training   | (0.391)                             | 0.080            | 0.212                | -   | (0.523)                              |
| 26000-26049-Federal Employment and Training Grants   | (1.053)                             | 13.375           | 15.979               | (0.452)                                   | (4.109)                              |
| <b>TOTAL SPECIAL REVENUE FUNDS-FEDERAL</b>   | <b>6,049.456</b>                    | <b>7,310.875</b> | <b>7,419.314</b>     | <b>(165.726)</b>                          | <b>5,775.291</b>                     |
| <b>TOTAL SPECIAL REVENUE FUNDS</b>   | <b>11,260.927</b>                   | <b>9,251.473</b> | <b>9,664.145</b>     | <b>(69.682)</b>                           | <b>10,778.573</b>                    |
| <b><u>DEBT SERVICE FUNDS</u></b>   |                                     |                  |                      |   |                                      |
| 40000-40049-Debt Reduction Reserve   | -                                   | -                | -                    | -   | -                                    |
| 40100-40149-Mental Health Services   | 235.979                             | 35.287           | -                    | 9.109                                     | 280.375                              |
| 40150-40199-General Debt Service   | 3,854.473                           | 2,782.703        | 1,220.875            | (2,271.606)                               | 3,144.695                            |
| 40250-40299-State Housing Debt Service   | -                                   | 0.411            | -                    | (0.411)                                   | -                                    |
| 40300-40349-Department of Health Income  | 22.800                              | 14.902           | -                    | (6.059)                                   | 31.643                               |
| 40400-40449-Clean Water/Clean Air  | 13.040                              | 95.150           | -                    | (91.063)                                  | 17.127                               |
| 40450-40499-Local Government Assistance Tax  | -                                   | 353.344          | -                    | (353.344)                                 | -                                    |
| <b>TOTAL DEBT SERVICE FUNDS</b>  | <b>4,126.292</b>                    | <b>3,281.797</b> | <b>1,220.875</b>     | <b>(2,713.374)</b>                        | <b>3,473.840</b>                     |



**STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND  
CHANGES IN FUND BALANCES  
FISCAL YEAR 2020-2021  
FOR THE MONTH OF DECEMBER 2020  
(amounts in millions)**

**SCHEDULE 1**

|   | <b>BALANCE<br/>DECEMBER 1, 2020</b> | <b>RECEIPTS</b>      | <b>DISBURSEMENTS</b> | <b>OTHER FINANCING<br/>SOURCES (USES)</b> | <b>BALANCE<br/>DECEMBER 31, 2020</b> |
|---|-------------------------------------|----------------------|----------------------|---|--------------------------------------|
| <b>CAPITAL PROJECTS FUNDS</b>   |                                     |                      |                      |   |                                      |
| 30000-30049-State Capital Projects                                      | -                                   | 574.227              | 607.394              | 33.167                                    | -                                    |
| 30050-30099-Dedicated Highway and Bridge Trust                          | (223.784)                           | 177.149              | 225.249              | (36.954)                                  | (308.838)                            |
| 30100-30299-SUNY Residence Halls Rehabilitation and Repair              | 111.780                             | 0.012                | 2.869                | -   | 108.923                              |
| 30300-30349-New York State Canal System Development                     | 14.099                              | 0.001                | -                    | -   | 14.100                               |
| 30350-30399-Parks Infrastructure  | (48.652)                            | 7.502                | 14.618               | -   | (55.768)                             |
| 30400-30449-Passenger Facility Charge                                   | 0.015                               | -                    | -                    | -   | 0.015                                |
| 30450-30499-Environmental Protection                                    | 107.135                             | 12.685               | 17.520               | -   | 102.300                              |
| 30500-30549-Clean Water/Clean Air Implementation                        | -                                   | -                    | -                    | -   | -                                    |
| 30600-30609-Energy Conservation Thru Improved Transportation Bond       | 0.164                               | -                    | -                    | -   | 0.164                                |
| 30610-30619-Park and Recreation Land Acquisition Bond                   | -                                   | -                    | -                    | -   | -                                    |
| 30620-30629-Pure Waters Bond  | 0.668                               | -                    | -                    | -   | 0.668                                |
| 30630-30639-Transportation Capital Facilities Bond                      | 3.328                               | -                    | -                    | -   | 3.328                                |
| 30640-30649-Environmental Quality Protection Bond                       | 1.419                               | -                    | -                    | -   | 1.419                                |
| 30650-30659-Rebuild and Renew New York Transportation Bond              | 17.200                              | -                    | -                    | -   | 17.200                               |
| 30660-30669-Transportation Infrastructure Renewal Bond                  | 4.255                               | -                    | -                    | -   | 4.255                                |
| 30670-30679-1986 Environmental Quality Bond Act                         | 5.551                               | -                    | -                    | -   | 5.551                                |
| 30680-30689-Accelerated Capacity and Transportation<br>Improvement Bond | 2.778                               | -                    | -                    | -   | 2.778                                |
| 30690-30699-Clean Water/Clean Air Bond                                  | 1.428                               | -                    | -                    | -   | 1.428                                |
| 30700-30709-State Housing Bond  | -                                   | -                    | -                    | -   | -                                    |
| 30710-30719-Smart Schools Bond  | -                                   | -                    | -                    | -   | -                                    |
| 30750-30799-Outdoor Recreation Development Bond                         | -                                   | -                    | -                    | -   | -                                    |
| 30900-30949-Rail Preservation and Development Bond                      | -                                   | -                    | -                    | -   | -                                    |
| 31350-31449-Federal Capital Projects                                    | (458.995)                           | 228.342              | 121.073              | -   | (351.726)                            |
| 31450-31499-Forest Preserve Expansion                                   | 1.082                               | -                    | -                    | -   | 1.082                                |
| 31500-31549-Hazardous Waste Remedial                                    | (58.318)                            | 1.086                | 8.498                | (0.358)                                   | (66.088)                             |
| 31650-31699-Suburban Transportation                                     | 0.540                               | -                    | -                    | -   | 0.540                                |
| 31700-31749-Division for Youth Facilities Improvement                   | (17.666)                            | 6.988                | 1.710                | -   | (12.388)                             |
| 31800-31849-Housing Assistance  | (12.942)                            | -                    | -                    | -   | (12.942)                             |
| 31850-31899-Housing Program   | (456.060)                           | 297.779              | 96.323               | -   | (254.604)                            |
| 31900-31949-Natural Resource Damage                                     | 17.228                              | 0.020                | 0.374                | -   | 16.874                               |
| 31950-31999-DOT Engineering Services                                    | (11.956)                            | -                    | -                    | -   | (11.956)                             |
| 32200-32249-Miscellaneous Capital Projects                              | 101.430                             | 1.847                | 5.855                | 3.998                                     | 101.420                              |
| 32250-32299-CUNY Capital Projects                                       | 0.037                               | -                    | -                    | -   | 0.037                                |
| 32300-32349-Mental Hygiene Facilities Capital Improvement               | (437.308)                           | 0.600                | 21.993               | 1.000                                     | (457.701)                            |
| 32350-32399-Correction Facilities Capital Improvement                   | (251.945)                           | 95.821               | 38.258               | -   | (194.382)                            |
| 32400-32999-State University Capital Projects                           | 142.761                             | 0.009                | 2.630                | (0.021)                                   | 140.119                              |
| 33000-33049-NYS Storm Recovery Fund                                     | (54.495)                            | -                    | 1.081                | -   | (55.576)                             |
| 33050-33099-Dedicated Infrastructure Investment Fund                    | 34.311                              | -                    | 38.241               | 68.967                                    | 65.037                               |
| <b>TOTAL CAPITAL PROJECTS FUNDS</b>                                     | <b>(1,464.912)</b>                  | <b>1,404.068</b>     | <b>1,203.686</b>     | <b>69.799</b>                             | <b>(1,194.731)</b>                   |
| <b>TOTAL GOVERNMENTAL FUNDS</b>   | <b>\$ 27,525.032</b>                | <b>\$ 18,820.197</b> | <b>\$ 16,731.894</b> | <b>\$ (3.257)</b>                         | <b>\$ 29,610.078</b>                 |

STATE OF NEW YORK  
 PROPRIETARY FUNDS  
 SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND  
 CHANGES IN FUND BALANCES  
 FISCAL YEAR 2020-2021  
 FOR THE MONTH OF DECEMBER 2020  
 (amounts in millions)

SCHEDULE 2

| <u>FUND TYPE</u>                                      | <u>BALANCE<br/>DECEMBER 1, 2020</u> | <u>RECEIPTS</u>     | <u>DISBURSEMENTS</u> | <u>OTHER<br/>FINANCING<br/>SOURCES (USES)</u> | <u>BALANCE<br/>DECEMBER 31, 2020</u> |
|---|-------------------------------------|---------------------|----------------------|---|--------------------------------------|
| <b><u>ENTERPRISE FUNDS</u></b>                        |                                     |                     |                      |   |                                      |
| 50000-50049-Youth Commissary                          | \$ 0.118                            | \$ 0.001            | \$ -                 | \$ -  | \$ 0.119                             |
| 50050-50099-State Exposition Special                  | 1.416                               | 0.035               | 0.347                | -   | 1.104                                |
| 50100-50299-Correctional Services Commissary          | 4.157                               | 2.884               | 3.938                | -   | 3.103                                |
| 50300-50399-Agencies Enterprise                       | 8.940                               | 0.091               | 1.224                | -   | 7.807                                |
| 50400-50449-Sheltered Workshop                        | 2.235                               | 0.027               | 0.010                | -   | 2.252                                |
| 50450-50499-Patient Workshop                          | 2.115                               | 0.091               | 0.074                | -   | 2.132                                |
| 50500-50599-Mental Hygiene Community Stores           | 4.925                               | 0.053               | 0.095                | -   | 4.883                                |
| 50650-50699-Unemployment Insurance                    | 17.052                              | 2,745.900           | 2,745.959            | -   | 16.993                               |
| <b>TOTAL ENTERPRISE FUNDS</b>                         | <b>40.958</b>                       | <b>2,749.082</b>    | <b>2,751.647</b>     | <b>-</b>                                      | <b>38.393</b>                        |
| <b><u>INTERNAL SERVICE FUNDS</u></b>                  |                                     |                     |                      |   |                                      |
| 55000-55049-Centralized Services                      | (126.738)                           | 23.729              | 29.999               | (0.661)                                       | (133.669)                            |
| 55050-55099-Agency Internal Service                   | (174.456)                           | 12.169              | 23.606               | 5.618   | (180.275)                            |
| 55100-55149-Mental Hygiene Revolving                  | (0.144)                             | 0.019               | 0.065                | -   | (0.190)                              |
| 55150-55199-Youth Vocational Education                | 0.081                               | -                   | -                    | -   | 0.081                                |
| 55200-55249-Joint Labor and Management Administration | 0.445                               | 0.644               | 0.070                | -   | 1.019                                |
| 55250-55299-Audit and Control Revolving               | (62.981)                            | 6.860               | (0.474)              | (0.052)                                       | (55.699)                             |
| 55300-55349-Health Insurance Revolving                | (4.368)                             | -                   | 1.520                | (2.104)                                       | (7.992)                              |
| 55350-55399-Correctional Industries Revolving         | (48.225)                            | 2.566               | 5.118                | -   | (50.777)                             |
| <b>TOTAL INTERNAL SERVICE FUNDS</b>                   | <b>(416.386)</b>                    | <b>45.987</b>       | <b>59.904</b>        | <b>2.801</b>                                  | <b>(427.502)</b>                     |
| <b>TOTAL PROPRIETARY FUNDS</b>                        | <b>\$ (375.428)</b>                 | <b>\$ 2,795.069</b> | <b>\$ 2,811.551</b>  | <b>\$ 2.801</b>                               | <b>\$ (389.109)</b>                  |

STATE OF NEW YORK  
 FIDUCIARY FUNDS  
 SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES  
 FISCAL YEAR 2020-2021  
 FOR THE MONTH OF DECEMBER 2020  
 (amounts in millions)

SCHEDULE 3

| <u>FUND TYPE</u>  | <u>BALANCE<br/>DECEMBER 1, 2020</u> | <u>RECEIPTS</u>      | <u>DISBURSEMENTS</u> | <u>OTHER<br/>FINANCING<br/>SOURCES (USES)</u> | <u>BALANCE<br/>DECEMBER 31, 2020</u> |
|---|-------------------------------------|----------------------|----------------------|---|--------------------------------------|
| <b><u>PENSION TRUST FUNDS</u></b>                                   |                                     |                      |                      |   |                                      |
| 65000-65049-Common Retirement Administration                        | \$ (3.562)                          | \$ 15.692            | \$ 13.449            | \$ -  | \$ (1.319)                           |
| <b>TOTAL PENSION TRUST FUNDS</b>                                    | <b>(3.562)</b>                      | <b>15.692</b>        | <b>13.449</b>        | <b>-</b>                                      | <b>(1.319)</b>                       |
| <b><u>PRIVATE PURPOSE TRUST FUNDS</u></b>                           |                                     |                      |                      |   |                                      |
| 66000-66049-Agriculture Producers' Security                         | 3.026                               | -                    | 0.020                | -   | 3.006                                |
| 66050-66099-Milk Producers' Security                                | 11.209                              | 0.051                | 0.031                | -   | 11.229                               |
| <b>TOTAL PRIVATE PURPOSE TRUST FUNDS</b>                            | <b>14.235</b>                       | <b>0.051</b>         | <b>0.051</b>         | <b>-</b>                                      | <b>14.235</b>                        |
| <b><u>AGENCY FUNDS</u></b>  |                                     |                      |                      |   |                                      |
| 60050-60149-School Capital Facilities Financing Reserve             | 16.669                              | 0.848                | -                    | 0.456   | 17.973                               |
| 60150-60199-Child Performer's Holding                               | 0.538                               | -                    | -                    | -   | 0.538                                |
| 60200-60249-Employees Health Insurance                              | 1,077.916                           | 1,001.778            | 934.053              | -   | 1,145.641                            |
| 60250-60299-Social Security Contribution                            | 14.381                              | 107.388              | 106.771              | -   | 14.998                               |
| 60300-60399-Employee Payroll Withholding                            | 35.175                              | 412.493              | 410.724              | -   | 36.944                               |
| 60400-60449-Employees Dental Insurance                              | 18.162                              | 5.991                | 7.232                | -   | 16.921                               |
| 60450-60499-Management Confidential Group Insurance                 | 0.834                               | 0.799                | 0.954                | -   | 0.679                                |
| 60500-60549-Lottery Prize   | 678.541                             | 94.015               | 76.833               | -   | 695.723                              |
| 60550-60599-Health Insurance Reserve Receipts                       | 0.146                               | -                    | -                    | -   | 0.146                                |
| 60600-60799-Miscellaneous New York State Agency                     | 892.678                             | 1,048.221            | 1,071.759            | -   | 869.140                              |
| 60800-60849-Elderly Pharmaceutical Insurance Coverage (EPIC) Escrow | 35.513                              | 6.719                | 13.834               | -   | 28.398                               |
| 60850-60899-CUNY Senior College Operating                           | 53.820                              | 240.227              | 235.459              | -   | 58.588                               |
| 60900-60949-Medicaid Management Information System (MMIS) Escrow    | 197.975                             | 8,200.911            | 7,953.119            | -   | 445.767                              |
| 60950-60999-Special Education                                       | -                                   | -                    | -                    | -   | -                                    |
| 61000-61099-State University of New York Revenue Collection         | 68.252                              | 48.406               | -                    | -   | 116.658                              |
| 61100-61999-State University Federal Direct Lending Program         | (2.033)                             | 15.026               | 15.462               | -   | (2.469)                              |
| 62000-62049-SSI SSP Payment Escrow                                  | -                                   | -                    | -                    | -   | -                                    |
| <b>TOTAL AGENCY FUNDS</b>   | <b>3,088.567</b>                    | <b>11,182.822</b>    | <b>10,826.200</b>    | <b>0.456</b>                                  | <b>3,445.645</b>                     |
| <b>TOTAL FIDUCIARY FUNDS</b>  | <b>\$ 3,099.240</b>                 | <b>\$ 11,198.565</b> | <b>\$ 10,839.700</b> | <b>\$ 0.456</b>                               | <b>\$ 3,458.561</b>                  |

STATE OF NEW YORK  
SOLE CUSTODY AND INVESTMENT ACCOUNTS  
STATEMENT OF CASH RECEIPTS AND DISBURSEMENTS  
FISCAL YEAR 2020-2021  
FOR THE MONTH OF DECEMBER 2020  
(amounts in millions)

SCHEDULE 4

| <u>FUND TYPE</u>                               | <u>BALANCE<br/>DECEMBER 1, 2020</u> | <u>RECEIPTS</u>            | <u>DISBURSEMENTS</u>       | <u>BALANCE<br/>DECEMBER 31, 2020</u> |
|--|-------------------------------------|----------------------------|----------------------------|--------------------------------------|
| <b><u>ACCOUNTS</u></b>                         |                                     |                            |                            |                                      |
| 70000-70049-Tobacco Settlement                 | \$ 2.888                            | \$ -                       | \$ -                       | \$ 2.888                             |
| 70093, 70095, 70300-70301-MTA State Assistance | 180.322                             | 199.562                    | 293.691                    | 86.193                               |
| 70050-70149-Sole Custody Investment (*)        | 2,521.117                           | 1,999.074                  | 2,483.319                  | 2,036.872                            |
| 70200-Comptroller's Refund Account             | -                                   | 113.646                    | 113.646                    | -                                    |
| <b>TOTAL ACCOUNTS</b>                          | <b><u>\$ 2,704.327</u></b>          | <b><u>\$ 2,312.282</u></b> | <b><u>\$ 2,890.656</u></b> | <b><u>\$ 2,125.953</u></b>           |

**(\*) Includes Public Asset Fund resources:**

Chapter 1 of the Laws of 2002 authorized the conversion of Empire Health Choice, d/b/a Empire Blue Cross and Blue Shield from a not-for-profit corporation to a for-profit corporation. Chapter 1 requires, in part, that upon such conversion, assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to a fund designated as the "Public Asset Fund" and 5 percent transferred to a Charitable Foundation - as set forth in Section 7317 of the Insurance Law. On December 28, 2005, WellChoice, Inc. (previously known as Empire Blue Cross, Blue Shield) approved a takeover by WellPoint, Inc. This conversion was also subject to the same Chapter 1 requirements of assigning assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to the "Public Asset Fund".

As of December 31, 2020, \$9,543,071.29 (representing the remaining balance of the State's 95 percent share of the fair market value of the not-for-profit corporation plus interest) is on deposit in the sole custody account titled Public Asset Fund. In accordance with Section 4301(j)(4)(F) and (O) of the Insurance Law and at the direction of the Director of the Budget, these funds are available for transfer to HCRA Resources Fund (20800-20849).

STATE OF NEW YORK  
DEBT SERVICE FUNDS  
STATEMENT OF DIRECT STATE DEBT ACTIVITY  
FISCAL YEAR 2020-2021

SCHEDULE 5

| PURPOSE   | DEBT<br>OUTSTANDING<br>APRIL 1, 2020 | DEBT ISSUED          |                                     | DEBT MATURED         |                                     | DEBT<br>OUTSTANDING<br>DECEMBER 31, 2020 | INTEREST DISBURSED   |                                     |
|---|--------------------------------------|----------------------|-------------------------------------|----------------------|-------------------------------------|--|----------------------|-------------------------------------|
|   |                                      | MONTH OF<br>DECEMBER | 9 MONTHS ENDED<br>DECEMBER 31, 2020 | MONTH OF<br>DECEMBER | 9 MONTHS ENDED<br>DECEMBER 31, 2020 |  | MONTH OF<br>DECEMBER | 9 MONTHS ENDED<br>DECEMBER 31, 2020 |
| <b>GENERAL OBLIGATION BONDED DEBT:</b>                  |                                      |                      |                                     |                      |                                     |  |                      |                                     |
| Accelerated Capacity and Transportation Improvements    | \$ 11,445,463                        | \$ -                 | \$ -                                | \$ 32,990            | \$ 753,209                          | \$ 10,692,254                            | \$ 1,691             | \$ 266,791                          |
| Clean Water/Clean Air:                                  |                                      |                      |                                     |                      |                                     |  |                      |                                     |
| Air Quality   | 1,795,354                            | -                    | -                                   | 33,020               | 33,020                              | 1,762,334                                | 963                  | 26,899                              |
| Safe Drinking Water                                     | -                                    | -                    | -                                   | -                    | -                                   | -  | -                    | -                                   |
| Clean Water   | 298,595,491                          | -                    | -                                   | 217,190              | 10,401,850                          | 288,193,641                              | 143,586              | 6,665,835                           |
| Solid Waste   | 16,287,590                           | -                    | -                                   | 829                  | 1,660,096                           | 14,627,494                               | 24                   | 356,253                             |
| Environmental Restoration                               | 40,070,447                           | -                    | -                                   | 1,112,879            | 1,272,879                           | 38,797,568                               | 183,545              | 934,506                             |
| Energy Conservation Through Improved Transportation:    |                                      |                      |                                     |                      |                                     |  |                      |                                     |
| Rapid Transit and Rail Freight                          | 1,198,754                            | -                    | -                                   | -                    | 176,298                             | 1,022,456                                | -                    | 48,908                              |
| Environmental Quality (1972):                           |                                      |                      |                                     |                      |                                     |  |                      |                                     |
| Air   | 3,184                                | -                    | -                                   | -                    | -                                   | 3,184                                    | -                    | 64                                  |
| Land and Wetlands                                       | 4,939,861                            | -                    | -                                   | 33,254               | 58,254                              | 4,881,607                                | 1,704                | 123,345                             |
| Water   | 6,370,803                            | -                    | -                                   | -                    | 715,000                             | 5,655,803                                | -                    | 168,874                             |
| Environmental Quality (1986):                           |                                      |                      |                                     |                      |                                     |  |                      |                                     |
| Land Acquisition/Development/Restoration/Forests        | 5,309,545                            | -                    | -                                   | 3,910                | 489,935                             | 4,819,610                                | 1,223                | 127,464                             |
| Solid Waste Management                                  | 91,992,747                           | -                    | -                                   | 387,130              | 7,648,053                           | 84,344,694                               | 121,108              | 2,522,780                           |
| Housing:  |                                      |                      |                                     |                      |                                     |  |                      |                                     |
| Low Income  | 5,840,000                            | -                    | -                                   | -                    | 1,060,000                           | 4,780,000                                | -                    | 87,600                              |
| Middle Income   | 4,035,000                            | -                    | -                                   | -                    | 2,240,000                           | 1,795,000                                | -                    | 80,718                              |
| Park and Recreation Land Acquisition                    | -                                    | -                    | -                                   | -                    | -                                   | -  | -                    | -                                   |
| Pure Waters   | 15,498,329                           | -                    | -                                   | 45,920               | 1,892,879                           | 13,605,450                               | 32,811               | 422,592                             |
| Rail Preservation Development                           | -                                    | -                    | -                                   | -                    | -                                   | -  | -                    | -                                   |
| Rebuild and Renew New York Transportation:              |                                      |                      |                                     |                      |                                     |  |                      |                                     |
| Highway Facilities                                      | 600,658,226                          | -                    | -                                   | 6,160,202            | 6,160,202                           | 594,498,024                              | 1,276,567            | 11,688,489                          |
| Canals and Waterways                                    | 9,419,680                            | -                    | -                                   | 507,158              | 507,158                             | 8,912,522                                | 25,992               | 215,317                             |
| Aviation  | 41,089,448                           | -                    | -                                   | -                    | -                                   | 41,089,448                               | -                    | 643,951                             |
| Rail and Port   | 92,824,245                           | -                    | -                                   | -                    | -                                   | 92,824,245                               | -                    | 1,413,133                           |
| Mass Transit - Dept. of Transportation                  | 12,168,734                           | -                    | -                                   | -                    | -                                   | 12,168,734                               | -                    | 286,617                             |
| Mass Transit - Metropolitan Transportation Authority    | 705,163,311                          | -                    | -                                   | 6,598,903            | 6,598,903                           | 698,564,408                              | 2,887,954            | 15,230,696                          |
| Rebuild New York-Transportation Infrastructure Renewal: |                                      |                      |                                     |                      |                                     |  |                      |                                     |
| Highways, Parkways, and Bridges                         | 553,992                              | -                    | -                                   | 16,615               | 16,615                              | 537,377                                  | 851                  | 50,864                              |
| Rapid Transit, Rail and Aviation                        | 2,042,563                            | -                    | -                                   | -                    | 479,171                             | 1,563,392                                | -                    | 49,370                              |
| Smart Schools Bond Act                                  | 161,307,133                          | -                    | -                                   | -                    | -                                   | 161,307,133                              | -                    | 4,031,427                           |
| Transportation Capital Facilities:                      |                                      |                      |                                     |                      |                                     |  |                      |                                     |
| Aviation  | 2,090,099                            | -                    | -                                   | -                    | 441,478                             | 1,648,621                                | -                    | 90,412                              |
| Mass Transportation                                     | -                                    | -                    | -                                   | -                    | -                                   | -  | -                    | -                                   |
| <b>Total General Obligation Bonded Debt</b>             | <b>\$ 2,130,699,999</b>              | <b>\$ -</b>          | <b>\$ -</b>                         | <b>\$ 15,150,000</b> | <b>\$ 42,605,000</b>                | <b>\$ 2,088,094,999</b>                  | <b>\$ 4,678,019</b>  | <b>\$ 45,532,905</b>                |

STATE OF NEW YORK  
DEBT SERVICE FUNDS  
FINANCING AGREEMENTS  
FOR THE NINE MONTHS ENDED DECEMBER 31, 2020

SCHEDULE 5a

| Special Contractual Financing Obligations:                               | DEBT        | GENERAL               | DEPARTMENT           | LOCAL         | MENTAL              | REVENUE                 | SALES TAX             | COMBINED TOTALS            |                         | \$ INCREASE/<br>(DECREASE) |
|--|-------------|-----------------------|----------------------|---------------|---------------------|-------------------------|-----------------------|----------------------------|-------------------------|----------------------------|
|  | REDUCTION   | DEBT                  | OF HEALTH            | GOVERNMENT    | HEALTH              | BOND                    | REVENUE BOND          | 9 MONTHS ENDED DECEMBER 31 |                         |                            |
|  | RESERVE     | SERVICE               | INCOME               | ASSISTANCE    | SERVICES            | TAX <sup>(*)</sup>      | TAX                   | 2020                       | 2019                    |                            |
| (40000-40049)  | (40151)     | (40300-40349)         | (40450-40499)        | (40100-40149) | (40152)             | (40154)                 |                       |                            |                         |                            |
| <b>Payments to Public Authorities:</b>                                   |             |                       |                      |               |                     |                         |                       |                            |                         |                            |
| City University Construction   | \$ -        | \$ 7,115,904          | \$ -                 | \$ -          | \$ -                | \$ -                    | \$ -                  | \$ 7,115,904               | \$ 71,889,337           | \$ (64,773,433)            |
| Dormitory Authority:   |             |                       |                      |               |                     |                         |                       |                            |                         |                            |
| Consolidated Service Contract Refunding                                  | -           | -                     | -                    | -             | -                   | -                       | -                     | -                          | 54,551,063              | (54,551,063)               |
| DASNY Revenue Bond   | -           | -                     | -                    | -             | -                   | 1,737,463,049           | 223,441,555           | 1,960,904,604              | 542,837,785             | 1,418,066,819              |
| Department of Health Facilities  | -           | -                     | 25,467,940           | -             | -                   | -                       | -                     | 25,467,940                 | 26,157,902              | (689,962)                  |
| Mental Health Facilities   | -           | -                     | -                    | -             | 8,334,210           | -                       | -                     | 8,334,210                  | 7,095,959               | 1,238,251                  |
| Secured Hospital Program   | -           | 2,495,165             | -                    | -             | -                   | -                       | -                     | 2,495,165                  | 3,829,093               | (1,333,928)                |
| SUNY Community Colleges  | -           | 8,347,200             | -                    | -             | -                   | -                       | -                     | 8,347,200                  | 5,928,700               | 2,418,500                  |
| SUNY Educational Facilities  | -           | 25,819,812            | -                    | -             | -                   | -                       | -                     | 25,819,812                 | 18,022,938              | 7,796,874                  |
| Environmental Facilities Corporation                                     | -           | -                     | -                    | -             | -                   | 17,266,263              | -                     | 17,266,263                 | 17,269,722              | (3,459)                    |
| Housing Finance Agency   | -           | 15,828,552            | -                    | -             | -                   | -                       | -                     | 15,828,552                 | 17,642,733              | (1,814,181)                |
| Local Government Assistance Corporation                                  | -           | -                     | -                    | -             | -                   | -                       | -                     | -                          | 21,302,971              | (21,302,971)               |
| Metropolitan Transportation Authority:                                   |             |                       |                      |               |                     |                         |                       |                            |                         |                            |
| Transit and Commuter Rail Projects                                       | -           | -                     | -                    | -             | -                   | -                       | -                     | -                          | -                       | -                          |
| Thruway Authority:   |             |                       |                      |               |                     |                         |                       |                            |                         |                            |
| Dedicated Highway and Bridge   | -           | 91,511,191            | -                    | -             | -                   | -                       | -                     | 91,511,191                 | 397,672,434             | (306,161,243)              |
| Local Highway and Bridge   | -           | -                     | -                    | -             | -                   | -                       | -                     | -                          | 21,772,000              | (21,772,000)               |
| Transportation   | -           | -                     | -                    | -             | -                   | 17,821,175              | -                     | 17,821,175                 | 27,320,113              | (9,498,938)                |
| Urban Development Corporation:   |             |                       |                      |               |                     |                         |                       |                            |                         |                            |
| Clarkson University  | -           | -                     | -                    | -             | -                   | -                       | -                     | -                          | 448,350                 | (448,350)                  |
| Columbia Univer. Telecommunications Center                               | -           | -                     | -                    | -             | -                   | -                       | -                     | -                          | -                       | -                          |
| Consolidated Service Contract Refunding                                  | -           | 23,405,116            | -                    | -             | -                   | -                       | -                     | 23,405,116                 | 78,840,807              | (55,435,691)               |
| Cornell Univer. Supercomputer Center                                     | -           | -                     | -                    | -             | -                   | -                       | -                     | -                          | -                       | -                          |
| Correctional Facilities  | -           | -                     | -                    | -             | -                   | -                       | -                     | -                          | 555,750                 | (555,750)                  |
| Debt Reduction Reserve   | -           | -                     | -                    | -             | -                   | -                       | -                     | -                          | -                       | -                          |
| UDC Revenue Bond   | -           | -                     | -                    | -             | -                   | 286,525,473             | -                     | 286,525,473                | 92,438,900              | 194,086,573                |
| University Facilities Grant 95 Refunding                                 | -           | 11,603                | -                    | -             | -                   | -                       | -                     | 11,603                     | 1,770,144               | (1,758,541)                |
| <b>Total Disbursements for Special Contractual Financing Obligations</b> | <b>\$ -</b> | <b>\$ 174,534,543</b> | <b>\$ 25,467,940</b> | <b>\$ -</b>   | <b>\$ 8,334,210</b> | <b>\$ 2,059,075,960</b> | <b>\$ 223,441,555</b> | <b>\$ 2,490,854,208</b>    | <b>\$ 1,407,346,701</b> | <b>\$ 1,083,507,507</b>    |

(\*) Debt Service does not include interest paid on Revenue Anticipation Notes (General Purpose), Series 2020A and 2020B that were reimbursed from the Coronavirus Relief Fund within the Special Revenue Federal Funds.

**STATE OF NEW YORK  
SUMMARY OF THE OPERATING FUND INVESTMENTS  
FOR THE MONTH OF DECEMBER 2020  
AS REQUIRED OF THE STATE COMPTROLLER  
(amounts in millions)**

**SCHEDULE 6**

|                                       | <u>MONTH OF<br/>DECEMBER 2020</u> | <u>FISCAL YEAR<br/>TO DATE</u> | <u>PRIOR FISCAL<br/>YEAR TO DATE</u> |
|---------------------------------------|-----------------------------------|--------------------------------|--------------------------------------|
| <b>SHORT TERM INVESTMENT POOL (*)</b> |                                   |                                |                                      |
| AVERAGE DAILY INVESTMENT BALANCE (**) | \$ 33,104.1                       | \$ 28,706.3                    | \$ 18,875.2                          |
| AVERAGE YIELD (**)                    | 0.132%                            | 0.212%                         | 2.207%                               |
| TOTAL INVESTMENT EARNINGS             | \$ 3.660                          | \$ 47.921                      | \$ 320.783                           |

| <b>Month-End Portfolio Balances</b> |                                     |                                     |
|-------------------------------------|-------------------------------------|-------------------------------------|
| <u>DESCRIPTION</u>                  | <u>DECEMBER 2020<br/>PAR AMOUNT</u> | <u>DECEMBER 2019<br/>PAR AMOUNT</u> |
| GOVT. AGENCY BILLS/NOTES            | \$ 13,106.3                         | \$ 4,244.5                          |
| REPURCHASE AGREEMENTS               | 119.3                               | 199.0                               |
| GOVT. SPONSORED AGENCIES            | 902.7                               | -                                   |
| COMMERCIAL PAPER                    | 18,315.2                            | 12,692.0                            |
| CERTIFICATES OF DEPOSIT/SAVINGS     | 1,993.0                             | 2,936.8                             |
| 0% COMPENSATING BALANCE CDs         | 723.0                               | 8.0                                 |
|                                     | <u>\$ 35,159.5</u>                  | <u>\$ 20,080.3</u>                  |

(\*) Pursuant to §98 of the State Finance Law, the State Comptroller is authorized to invest and keep invested all moneys, in any fund, held by the State. The Short Term investment Pool (STIP) represents an accounting mechanism that allows for the separate accounting of individual funds (on deposit in the State's General Checking account) for the purpose of making short term investments. Pursuant to State Finance Law §4(5) the STIP is authorized to temporarily loan to the General Fund-State Operations Account (10050) funds for a period not to exceed the end of the fiscal year. However, it must be noted that certain funds are invested as part of STIP, but are held by the State Comptroller in a fiduciary capacity. Fiduciary fund balances are restricted and may not be used for any State purposes since moneys in such funds are held by the State in a trustee (or fiduciary) capacity or as an agent for individuals, private organizations, or non-State governmental units (e.g. local governments and public authorities). Therefore, Fiduciary fund balances are not available to be temporarily loaned to the General Fund-State Operations Account. Fiduciary fund balances are presented in Schedules 3 and 4 of this report.

(\*\*) Does not include 0% Compensating Balance CDs.

STATE OF NEW YORK  
 HCRA RESOURCES FUND  
 STATEMENT OF RECEIPTS AND DISBURSEMENTS BY ACCOUNT  
 FISCAL YEAR 2020-2021

APPENDIX A

|  | 2020                 |                      |                       |                       |                       |                       |                       |                       |                       | 2021        |             |             | 9 Months Ended        |
|--|----------------------|----------------------|-----------------------|-----------------------|-----------------------|-----------------------|-----------------------|-----------------------|-----------------------|-------------|-------------|-------------|-----------------------|
|  | APRIL                | MAY                  | JUNE                  | JULY                  | AUGUST                | SEPTEMBER             | OCTOBER               | NOVEMBER              | DECEMBER              | JANUARY     | FEBRUARY    | MARCH       | December 31, 2020     |
| <b>OPENING CASH BALANCE</b>                      | \$ 15,704,540        | \$ 95,764,658        | \$ 80,082,746         | \$ 490,512,199        | \$ 186,132,186        | \$ 217,721,195        | \$ 104,334,501        | \$ 181,581,146        | \$ 200,742,306        |             |             |             | \$ 15,704,540         |
| <b>RECEIPTS:</b>                                 |                      |                      |                       |                       |                       |                       |                       |                       |                       |             |             |             |                       |
| Cigarette Tax                                    | 68,786,104           | 51,352,065           | 60,187,824            | 68,798,997            | 60,405,723            | 70,952,125            | 55,756,250            | 59,065,187            | 60,999,901            |             |             |             | 556,304,176           |
| State Share of NYC Cigarette Tax                 | 2,160,000            | 1,133,000            | 1,346,000             | 1,945,000             | 1,308,000             | 2,075,000             | 1,665,000             | 1,555,000             | 1,749,000             |             |             |             | 14,936,000            |
| Vapor Excise Tax                                 | 25,877               | 69,976               | 11,670,725            | (348,272)             | (123,922)             | 7,357,137             | 6,765                 | 27,573                | 6,801,844             |             |             |             | 25,487,703            |
| STIP Interest                                    | 382,848              | 258,771              | 58,513                | 43,854                | 53,717                | 52,869                | 41,598                | 38,448                | 48,760                |             |             |             | 979,378               |
| Public Asset Transfers                           | -                    | -                    | -                     | -                     | -                     | -                     | -                     | -                     | -                     |             |             |             | -                     |
| Assessments                                      | 478,443,458          | 390,720,867          | 437,012,587           | 376,078,217           | 389,691,001           | 454,153,792           | 435,070,365           | 401,657,632           | 444,521,644           |             |             |             | 3,807,349,563         |
| Fees   | 327,000              | 46,000               | 561,000               | 930,000               | 776,000               | 1,404,000             | 199,000               | 390,000               | 2,067,000             |             |             |             | 6,700,000             |
| Rebates  | 12,000               | 4,545,140            | 5,975,618             | 9,916,208             | 2,000,366             | 4,881,029             | 2,789,602             | 3,632,553             | 4,734,992             |             |             |             | 38,487,508            |
| Restitution and Settlements                      | -                    | -                    | -                     | -                     | -                     | -                     | -                     | -                     | -                     |             |             |             | -                     |
| Miscellaneous                                    | -                    | -                    | 297,248               | -                     | 1,148                 | -                     | 483                   | -                     | 22,682                |             |             |             | 321,561               |
| <b>Total Receipts</b>                            | <b>550,137,287</b>   | <b>448,125,819</b>   | <b>517,109,515</b>    | <b>457,364,004</b>    | <b>454,112,033</b>    | <b>540,875,952</b>    | <b>495,529,063</b>    | <b>466,366,393</b>    | <b>520,945,823</b>    | -           | -           | -           | <b>4,450,565,889</b>  |
| <b>DISBURSEMENTS:</b>                            |                      |                      |                       |                       |                       |                       |                       |                       |                       |             |             |             |                       |
| Grants   | 466,021,724          | 462,778,011          | 97,733,246            | 756,144,082           | 414,416,738           | 642,214,484           | 404,418,743           | 442,607,097           | 510,453,711           |             |             |             | 4,196,787,836         |
| Interest - Late Payments                         | 36                   | 22                   | 26,224                | 11,452                | (5,834)               | (3,803)               | 610                   | 203                   | (642)                 |             |             |             | 28,268                |
| Personal Service                                 | 1,509,162            | 534,992              | 1,290,941             | 270,686               | 1,523,044             | 750,054               | 1,609,108             | 983,288               | 345,679               |             |             |             | 8,816,954             |
| Non-Personal Service                             | 55,956               | (291,867)            | 5,284,609             | 4,077,923             | 3,349,084             | 7,839,793             | 3,878,285             | 2,812,150             | 5,182,277             |             |             |             | 32,188,210            |
| Employee Benefits/Indirect Costs                 | 612,447              | 299,051              | 1,164,130             | 638,546               | 568,694               | 194,836               | 891,073               | 593,884               | 511,315               |             |             |             | 5,473,976             |
| <b>Total Disbursements</b>                       | <b>468,199,325</b>   | <b>463,320,209</b>   | <b>105,499,150</b>    | <b>761,142,689</b>    | <b>419,851,726</b>    | <b>650,995,364</b>    | <b>410,797,819</b>    | <b>446,996,622</b>    | <b>516,492,340</b>    | -           | -           | -           | <b>4,243,295,244</b>  |
| <b>OPERATING TRANSFERS:</b>                      |                      |                      |                       |                       |                       |                       |                       |                       |                       |             |             |             |                       |
| Transfers to Capital Projects Fund               | -                    | -                    | -                     | -                     | -                     | -                     | -                     | -                     | -                     |             |             |             | -                     |
| Transfers to General Fund                        | -                    | -                    | 297,248               | -                     | 1,147                 | -                     | 484                   | -                     | 132,000               |             |             |             | 430,879               |
| Transfers to Revenue Bond Tax Fund               | -                    | -                    | -                     | -                     | 2,276,000             | 2,650,324             | -                     | -                     | -                     |             |             |             | 4,926,324             |
| Transfers to Miscellaneous Special Revenue Fund: |                      |                      |                       |                       |                       |                       |                       |                       |                       |             |             |             |                       |
| Administration Program Account                   | 989,254              | -                    | -                     | -                     | -                     | 222,807               | (1)                   | 1                     | -                     |             |             |             | 1,212,061             |
| Empire State Stem Cell Trust Account             | -                    | -                    | -                     | -                     | -                     | -                     | 7,100,000             | -                     | -                     |             |             |             | 7,100,000             |
| Transfers to SUNY Income Fund                    | 888,590              | 487,522              | 883,664               | 601,328               | 394,151               | 394,151               | 384,116               | 208,610               | 208,610               |             |             |             | 4,450,742             |
| <b>Total Operating Transfers</b>                 | <b>1,877,844</b>     | <b>487,522</b>       | <b>1,180,912</b>      | <b>601,328</b>        | <b>2,671,298</b>      | <b>3,267,282</b>      | <b>7,484,599</b>      | <b>208,611</b>        | <b>340,610</b>        | -           | -           | -           | <b>18,120,006</b>     |
| <b>Total Disbursements and Transfers</b>         | <b>470,077,169</b>   | <b>463,807,731</b>   | <b>106,680,062</b>    | <b>761,744,017</b>    | <b>422,523,024</b>    | <b>654,262,646</b>    | <b>418,282,418</b>    | <b>447,205,233</b>    | <b>516,832,950</b>    | -           | -           | -           | <b>4,261,415,250</b>  |
| <b>CLOSING CASH BALANCE</b>                      | <b>\$ 95,764,658</b> | <b>\$ 80,082,746</b> | <b>\$ 490,512,199</b> | <b>\$ 186,132,186</b> | <b>\$ 217,721,195</b> | <b>\$ 104,334,501</b> | <b>\$ 181,581,146</b> | <b>\$ 200,742,306</b> | <b>\$ 204,855,179</b> | <b>\$ -</b> | <b>\$ -</b> | <b>\$ -</b> | <b>\$ 204,855,179</b> |



STATE OF NEW YORK  
 HCRA RESOURCES FUND  
 STATEMENT OF PROGRAM DISBURSEMENTS  
 FISCAL YEAR 2020-21

APPENDIX B

| Program/Purpose   | Appropriation Amount (*)    | December                 | 9 Months Ended December 31, 2020 (**) |
|---|-----------------------------|--------------------------|---------------------------------------|
| <b>CENTER FOR COMMUNITY HEALTH PROGRAM</b>                                | <b>8,752,000.00</b>         | <b>276,926.07</b>        | <b>2,005,165.42</b>                   |
| CENTER FOR COMMUNITY HLTH   | 8,752,000.00                | 276,926.07               | 2,005,165.42                          |
| <b>CHILD HEALTH INSURANCE PROGRAM</b>                                     | <b>2,134,768,000.00</b>     | <b>82,283,686.58</b>     | <b>397,768,214.47</b>                 |
| CHILD HEALTH INSURANCE  | 2,134,768,000.00            | 82,283,686.58            | 397,768,214.47                        |
| <b>COMMUNITY SUPPORT PROGRAM</b>  | <b>120,000.00</b>           | -                        | -                                     |
| COMMUNITY SUPPORT   | 120,000.00                  | -                        | -                                     |
| <b>ELDERLY PHARMACEUTICAL INS COVERAGE PRG</b>                            | <b>384,850,000.00</b>       | <b>8,662,068.15</b>      | <b>77,814,018.67</b>                  |
| ELDERLY PHARMACEUTICAL INSURANCE COVERAGE                                 | 384,850,000.00              | 8,662,068.15             | 77,814,018.67                         |
| <b>HEALTH CARE REFORM ACT PROGRAM</b>                                     | <b>1,490,325,059.03</b>     | <b>5,884,461.26</b>      | <b>142,581,224.29</b>                 |
| AIDS DRUG ASSISTANCE  | 123,150,000.00              | -                        | -                                     |
| AMBULATORY CARE TRAINING  | 3,537,000.00                | -                        | 448,825.77                            |
| AREA HEALTH EDUCATION CENTER  | 3,387,000.00                | -                        | 583,185.44                            |
| COMMISSIONER EMERGENCY DISTRIBUTIONS                                      | 24,700,000.00               | -                        | (0.01)                                |
| DIAGNOSTIC AND TREATMENT CTR UNCOMPENSATED CARE                           | 163,200,000.00              | -                        | -                                     |
| DIVERSITY IN MEDICINE   | 4,732,000.00                | -                        | -                                     |
| EMPIRE CLINIC RESEARCH INVESTMENT (ECRIP)                                 | 10,335,000.00               | 2,400,000.00             | 2,400,000.00                          |
| HCRA PAYOR / PROVIDER AUDITS  | 9,440,000.00                | 450,000.00               | 1,643,213.15                          |
| HEALTH FACILITY RESTRUCTURING DASNY                                       | 39,200,000.00               | -                        | -                                     |
| HEALTH WORKFORCE RETRAINING   | 18,320,000.00               | 172,605.54               | 3,964,852.48                          |
| INFERTILITY SERVICES GRANTS   | 5,733,000.00                | 104,697.37               | 303,370.26                            |
| MEDICAL INDEMNITY FUND  | 52,000,000.00               | -                        | -                                     |
| PART 405.4 HOSPITAL AUDITS NYCRR  | 2,200,000.00                | -                        | -                                     |
| PHYSICIAN EXCESS MEDICAL MALPRACTICE                                      | 359,900,000.00              | -                        | 102,100,000.00                        |
| PHYSICIAN LOAN REPAYMENT  | 27,195,000.00               | 123,089.60               | 1,516,764.25                          |
| PHYSICIAN WORKFORCE STUDIES   | 974,000.00                  | 116,000.00               | 240,000.00                            |
| POISON CONTROL CENTERS  | 6,320,000.00                | 1,920,000.00             | 1,920,000.00                          |
| POOL ADMINISTRATION   | 5,300,000.00                | -                        | 966,827.13                            |
| ROSWELL PARK CANCER INSTITUTE   | 89,266,000.00               | -                        | 22,777,800.00                         |
| ROSWELL PARK COMPREHENSIVE CANCER CENTER                                  | 50,000.00                   | -                        | -                                     |
| RURAL HEALTH CARE ACCESS  | 17,050,000.00               | 1.00                     | 397,985.62                            |
| RURAL HEALTH CARE ACCESS & NETWORK DEVELOPMENT                            | 9,410,000.00                | 598,067.75               | 2,038,544.60                          |
| RURAL HEALTH CARE GRANTS  | 1,100,000.00                | -                        | -                                     |
| RURAL HEALTH NETWORK  | 11,610,000.00               | -                        | 1,279,855.60                          |
| SCHOOL BASED HEALTH CENTERS   | 4,230,000.00                | -                        | -                                     |
| SCHOOL BASED HEALTH CLINICS-POOL ADMN                                     | 8,460,000.00                | -                        | -                                     |
| TRANSITION ACCT - PRIOR YEAR ALLOCATION                                   | 489,526,059.03              | -                        | -                                     |
| <b>MEDICAL ASSISTANCE PROGRAM</b>   | <b>28,631,301,000.00</b>    | <b>416,440,160.10</b>    | <b>3,598,139,262.63</b>               |
| HOME HEALTH RATE INCREASE   | 300,000,000.00              | -                        | -                                     |
| MEDICAID INDIGENT CARE  | 4,999,000,000.00            | 66,440,160.10            | 583,139,262.63                        |
| MEDICAL ASSISTANCE  | 22,349,101,000.00           | 350,000,000.00           | 3,015,000,000.00                      |
| PSNL CRE WRKR RECR & RETEN NYC (***)                                      | 916,000,000.00              | -                        | -                                     |
| PSNL CRE WRKR RECR & RETEN ROS (****)                                     | 67,200,000.00               | -                        | -                                     |
| <b>NEW YORK STATE OF HEALTH</b>   | <b>102,431,000.00</b>       | <b>2,573,375.07</b>      | <b>20,863,438.79</b>                  |
| NEW YORK STATE OF HEALTH ADMINISTRATION                                   | 102,431,000.00              | 2,573,375.07             | 20,863,438.79                         |
| <b>OFFICE OF HEALTH INSURANCE PROGRAM</b>                                 | <b>1,834,000.00</b>         | -                        | -                                     |
| OFFICE OF HEALTH INSURANCE  | 1,834,000.00                | -                        | -                                     |
| <b>OFFICE OF HEALTH SYSTEMS MANAGEMENT</b>                                | <b>68,317,000.00</b>        | <b>580,504.03</b>        | <b>5,900,600.01</b>                   |
| OFFICE HEALTH SYSTEMS MANAGEMENT  | 68,317,000.00               | 580,504.03               | 5,900,600.01                          |
| <b>OFFICE OF LONG TERM CARE</b>   | <b>2,477,800.00</b>         | -                        | -                                     |
| ADULT HOME INITIATIVE   | 2,477,800.00                | -                        | -                                     |
| <b>REVENUE, PROCESSING &amp; RECONCILIATION</b>                           | <b>8,190,000.00</b>         | -                        | <b>2,674,293.07</b>                   |
| REVENUE, PROCESSING & RECONCILIATION                                      | 8,190,000.00                | -                        | 2,674,293.07                          |
| <b>TOTAL</b>  | <b>32,833,365,859.03</b>    | <b>516,701,181.26</b>    | <b>4,247,746,217.35</b>               |
| Reclass of SUNY Hospital Disprop Share to Transfer                        |                             | (208,610.57)             | (4,450,742.75)                        |
| Reclass of SUNY Hospital Poison Control Centers to Transfer               |                             | -                        | -                                     |
| Reclass of SUNY Empire Clinical Research Investigator Program to Transfer |                             | -                        | -                                     |
| Reconciling Adjustment (P-Card and T-Card)                                |                             | (230.84)                 | (230.84)                              |
| <b>TOTAL REPORTED AMOUNT</b>  | <b>\$ 32,833,365,859.03</b> | <b>\$ 516,492,339.85</b> | <b>\$ 4,243,295,243.76</b>            |

(\*) Includes amounts appropriated in SFY 2020-21, as well as prior year appropriations that were reappropriated.

(\*\*) Disbursements from the HCRA Resources Fund includes direct grant payments to program beneficiaries, services and expenses for administration of grant programs, and transfers to the Public Goods Pool to finance payments made by the State's fiscal agent.

(\*\*\*) Full title is: NYC Personal Care Workforce Recruitment and Retention Rates Grants.

(\*\*\*\*) Full title is: Personal Care Workforce Recruitment and Retention Rates Grants.

STATE OF NEW YORK  
STATEMENT OF CASH FLOW - PUBLIC GOODS POOL  
FISCAL YEAR 2020-21

|  | 1st Quarter<br>APRIL - JUNE | 2nd Quarter<br>JULY - SEPTEMBER | 2020<br>OCTOBER          | 2020<br>NOVEMBER         | 2020<br>DECEMBER         | 2020-21                   |
|--|-----------------------------|---------------------------------|--------------------------|--------------------------|--------------------------|---------------------------|
| <b>OPENING CASH BALANCE</b>  | \$ 350,947,309.06           | \$ 126,897,506.61               | \$ 318,804,091.76        | \$ 300,230,777.72        | \$ 324,134,854.88        | \$ 350,947,309.06         |
| <b>RECEIPTS:</b>   |                             |                                 |                          |                          |                          |                           |
| Patient Services   | 722,415,689.44              | 975,374,899.18                  | 285,489,035.07           | 290,610,212.04           | 283,765,583.86           | 2,557,655,419.59          |
| Covered Lives  | 224,564,997.99              | 294,913,084.03                  | 84,288,479.43            | 84,757,009.78            | 83,874,461.43            | 772,398,032.66            |
| Provider Assessments   | 19,621,242.87               | 22,021,897.80                   | 7,247,462.61             | 7,562,420.86             | 9,274,829.69             | 65,727,853.83             |
| 1% Assessments   | 103,739,180.00              | 107,280,064.00                  | 34,082,840.00            | 36,624,068.00            | 35,134,503.19            | 316,860,655.19            |
| DASNY- MOE/Recast receivables  | -                           | -                               | -                        | -                        | -                        | -                         |
| Interest Income  | 13,893.95                   | 16,145.63                       | 2,835.45                 | 2,611.67                 | 3,121.65                 | 38,608.35                 |
| Unassigned   | (1,563,049.32)              | (978,886.85)                    | 1,100,696.29             | 1,562,265.76             | 4,120,808.52             | 4,241,834.40              |
| <b>Total Receipts</b>  | <b>1,068,791,954.93</b>     | <b>1,398,627,203.79</b>         | <b>412,211,348.85</b>    | <b>421,118,588.11</b>    | <b>416,173,308.34</b>    | <b>3,716,922,404.02</b>   |
| <b>PROGRAM DISBURSEMENTS:</b>  |                             |                                 |                          |                          |                          |                           |
| Poison Control Centers   | -                           | -                               | -                        | -                        | (1,920,000.00)           | (1,920,000.00)            |
| School Based Health Center Grants  | -                           | -                               | -                        | -                        | -                        | -                         |
| ECRIP Distributions  | -                           | -                               | -                        | -                        | (2,400,000.00)           | (2,400,000.00)            |
| <b>Total Program Disbursements</b>   | <b>-</b>                    | <b>-</b>                        | <b>-</b>                 | <b>-</b>                 | <b>(4,320,000.00)</b>    | <b>(4,320,000.00)</b>     |
| <b>Excess (Deficiency) of Receipts over Disbursements</b>  | <b>1,068,791,954.93</b>     | <b>1,398,627,203.79</b>         | <b>412,211,348.85</b>    | <b>421,118,588.11</b>    | <b>411,853,308.34</b>    | <b>3,712,602,404.02</b>   |
| <b>OTHER FINANCING SOURCES (USES):</b>   |                             |                                 |                          |                          |                          |                           |
| <b>Transfers From Other Pools:</b>   |                             |                                 |                          |                          |                          |                           |
| Medicaid Disproportionate Share  | -                           | -                               | -                        | -                        | -                        | -                         |
| Health Facility Assessment Fund - Hospital Quality Contribution  | 13,334,232.00               | 13,201,960.00                   | 4,284,504.00             | 4,442,958.00             | 3,888,052.00             | 39,151,706.00             |
| <b>Transfers From State Funds:</b>   |                             |                                 |                          |                          |                          |                           |
| HCRA Resources Fund  | -                           | -                               | -                        | -                        | 4,320,000.00             | 4,320,000.00              |
| <b>Total Other Financing Sources</b>   | <b>13,334,232.00</b>        | <b>13,201,960.00</b>            | <b>4,284,504.00</b>      | <b>4,442,958.00</b>      | <b>8,208,052.00</b>      | <b>43,471,706.00</b>      |
| <b>Transfers To Other Pools:</b>   |                             |                                 |                          |                          |                          |                           |
| Medicaid Disproportionate Share  | -                           | -                               | -                        | -                        | -                        | -                         |
| Health Facility Assessment Fund  | -                           | -                               | -                        | -                        | -                        | -                         |
| <b>Transfers To State Funds:</b>   |                             |                                 |                          |                          |                          |                           |
| HCRA Resources Fund  | (1,306,175,989.38)          | (1,219,922,578.64)              | (435,069,166.89)         | (401,657,468.95)         | (444,521,521.26)         | (3,807,346,725.12)        |
| Indigent Care Fund - Matched   | -                           | -                               | -                        | -                        | -                        | -                         |
| Indigent Care Fund - Unmatched   | -                           | -                               | -                        | -                        | -                        | -                         |
| <b>Total Other Financing Uses</b>  | <b>(1,306,175,989.38)</b>   | <b>(1,219,922,578.64)</b>       | <b>(435,069,166.89)</b>  | <b>(401,657,468.95)</b>  | <b>(444,521,521.26)</b>  | <b>(3,807,346,725.12)</b> |
| <b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b> | <b>(224,049,802.45)</b>     | <b>191,906,585.15</b>           | <b>(18,573,314.04)</b>   | <b>23,904,077.16</b>     | <b>(24,460,160.92)</b>   | <b>(51,272,615.10)</b>    |
| <b>CLOSING CASH BALANCE</b>  | <b>\$ 126,897,506.61</b>    | <b>\$ 318,804,091.76</b>        | <b>\$ 300,230,777.72</b> | <b>\$ 324,134,854.88</b> | <b>\$ 299,674,693.96</b> | <b>\$ 299,674,693.96</b>  |

Source: HCRA - Office of Pool Administration

STATE OF NEW YORK  
STATEMENT OF CASH FLOW - MEDICAID DISPROPORTIONATE SHARE  
FISCAL YEAR 2020-21

|  | 1st Quarter<br>APRIL - JUNE | 2nd Quarter<br>JULY - SEPTEMBER | 2020<br>OCTOBER        | 2020<br>NOVEMBER       | 2020<br>DECEMBER       | 2020-21                 |
|--|-----------------------------|---------------------------------|------------------------|------------------------|------------------------|-------------------------|
| <b>OPENING CASH BALANCE</b>  | \$ 668.63                   | \$ 169.31                       | \$ 333,127.45          | \$ 163.10              | \$ 122.39              | \$ 668.63               |
| <b>RECEIPTS:</b>   |                             |                                 |                        |                        |                        |                         |
| Interest Income  | 422.98                      | 1,460.78                        | 163.10                 | 122.39                 | 134.57                 | 2,303.82                |
| <b>Total Receipts</b>  | <b>422.98</b>               | <b>1,460.78</b>                 | <b>163.10</b>          | <b>122.39</b>          | <b>134.57</b>          | <b>2,303.82</b>         |
| <b>PROGRAM DISBURSEMENTS:</b>  |                             |                                 |                        |                        |                        |                         |
| Indigent Care  | (188,629,665.12)            | (150,799,778.96)                | (52,705,108.77)        | (52,445,619.79)        | (78,448,344.58)        | (523,028,517.22)        |
| High Need Indigent Care  | -                           | -                               | -                      | -                      | -                      | -                       |
| Other  | 506,867.55                  | (90,700,038.60)                 | 2,479,580.40           | 2,194,060.46           | (940,024.22)           | (86,459,554.41)         |
| <b>Total Program Disbursements</b>   | <b>(188,122,797.57)</b>     | <b>(241,499,817.56)</b>         | <b>(50,225,528.37)</b> | <b>(50,251,559.33)</b> | <b>(79,388,368.80)</b> | <b>(609,488,071.63)</b> |
| <b>Excess (Deficiency) of Receipts over Disbursements</b>  | <b>(188,122,374.59)</b>     | <b>(241,498,356.78)</b>         | <b>(50,225,365.27)</b> | <b>(50,251,436.94)</b> | <b>(79,388,234.23)</b> | <b>(609,485,767.81)</b> |
| <b>OTHER FINANCING SOURCES (USES):</b>   |                             |                                 |                        |                        |                        |                         |
| <b>Transfers From Other Pools:</b>   |                             |                                 |                        |                        |                        |                         |
| Public Goods Pool  | -                           | -                               | -                      | -                      | -                      | -                       |
| Health Facility Assessment Fund  | -                           | -                               | -                      | -                      | -                      | -                       |
| <b>Transfers From State Funds:</b>   |                             |                                 |                        |                        |                        |                         |
| HCRA Resources Indigent Care - Matched   | 94,314,832.56               | 113,950,116.17                  | 23,029,709.38          | 22,971,181.47          | 34,000,497.18          | 288,266,336.76          |
| HCRA Resources Indigent Care - Unmatched   | (506,129.55)                | 16,528,236.56                   | (2,685,283.60)         | (2,194,060.46)         | 8,596,234.67           | 19,738,997.62           |
| HCRA Resources Indigent Care - ATB   | -                           | -                               | -                      | -                      | -                      | -                       |
| Federal DHHS Fund  | 94,314,832.56               | 113,950,116.15                  | 29,549,535.77          | 29,474,438.32          | 43,626,208.70          | 310,915,131.50          |
| Other  | -                           | -                               | -                      | -                      | -                      | -                       |
| <b>Total Other Financing Sources</b>   | <b>188,123,535.57</b>       | <b>244,428,468.88</b>           | <b>49,893,961.55</b>   | <b>50,251,559.33</b>   | <b>86,222,940.55</b>   | <b>618,920,465.88</b>   |
| <b>Transfers To Other Pools:</b>   |                             |                                 |                        |                        |                        |                         |
| Public Goods Pool  | -                           | -                               | -                      | -                      | -                      | -                       |
| Health Facility Assessment Fund  | -                           | -                               | -                      | -                      | -                      | -                       |
| <b>Transfers To State Funds:</b>   |                             |                                 |                        |                        |                        |                         |
| HCRA Resources Fund Indigent Care Acct   | (922.30)                    | (431.55)                        | (1,198.54)             | (163.10)               | (122.39)               | (2,837.88)              |
| CSRA Inc (eMedNY) General Fund   | (738.00)                    | (2,596,722.41)                  | (362.09)               | -                      | -                      | (2,597,822.50)          |
| <b>Total Other Financing Uses</b>  | <b>(1,660.30)</b>           | <b>(2,597,153.96)</b>           | <b>(1,560.63)</b>      | <b>(163.10)</b>        | <b>(122.39)</b>        | <b>(2,600,660.38)</b>   |
| <b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b> | <b>(499.32)</b>             | <b>332,958.14</b>               | <b>(332,964.35)</b>    | <b>(40.71)</b>         | <b>6,834,583.93</b>    | <b>6,834,037.69</b>     |
| <b>CLOSING CASH BALANCE</b>  | <b>\$ 169.31</b>            | <b>\$ 333,127.45</b>            | <b>\$ 163.10</b>       | <b>\$ 122.39</b>       | <b>\$ 6,834,706.32</b> | <b>\$ 6,834,706.32</b>  |

Source: HCRA - Office of Pool Administration

**STATE OF NEW YORK  
SUMMARY OF OFF-BUDGET SPENDING REPORT  
FISCAL YEAR 2020-2021  
(amounts in thousands)**

**APPENDIX E**

|   | <u>2020</u><br><u>APRIL</u> | <u>2020</u><br><u>MAY</u> | <u>2020</u><br><u>JUNE</u> | <u>2020</u><br><u>JULY</u> | <u>2020</u><br><u>AUGUST</u> | <u>2020</u><br><u>SEPTEMBER</u> | <u>2020</u><br><u>OCTOBER</u> | <u>2020</u><br><u>NOVEMBER</u> | <u>2020</u><br><u>DECEMBER</u> | <u>2021</u><br><u>JANUARY</u> | <u>2021</u><br><u>FEBRUARY</u> | <u>2021</u><br><u>MARCH</u> | <u>2020-2021</u><br><u>TOTAL</u> |
|---|-----------------------------|---------------------------|----------------------------|----------------------------|------------------------------|---------------------------------|-------------------------------|--------------------------------|--------------------------------|-------------------------------|--------------------------------|-----------------------------|----------------------------------|
| <b>DORMITORY AUTHORITY:</b>                                 |                             |                           |                            |                            |                              |                                 |                               |                                |                                |                               |                                |                             |                                  |
| Education - All Other                                       | \$ -                        | \$ -                      | \$ -                       | \$ -                       | \$ 15                        | \$ -                            | \$ -                          | \$ -                           | \$ -                           |                               |                                |                             | \$ 15                            |
| Education - EXCEL   | 427                         | 2,157                     | 1,567                      | 17                         | -                            | 649                             | -                             | 829                            | 90                             |                               |                                |                             | 5,736                            |
| Department of Health - All Other                            | (1)                         | -                         | 59                         | -                          | -                            | -                               | -                             | -                              | -                              |                               |                                |                             | 58                               |
| Community Enhancement Facilities Assistance Program (CEFAP) | -                           | -                         | -                          | -                          | -                            | -                               | -                             | -                              | -                              |                               |                                |                             | -                                |
| <b>Regional Development:</b>                                |                             |                           |                            |                            |                              |                                 |                               |                                |                                |                               |                                |                             |                                  |
| Community Capital Assistance Program (CCAP)/RESTORE         | 525                         | -                         | 454                        | 444                        | 323                          | 148                             | 14                            | 383                            | 94                             |                               |                                |                             | 2,385                            |
| Multi-modal   | -                           | -                         | 24                         | -                          | -                            | 20                              | -                             | -                              | -                              |                               |                                |                             | 44                               |
| GenNYsis  | -                           | -                         | -                          | -                          | -                            | -                               | -                             | -                              | -                              |                               |                                |                             | -                                |
| CUNY Senior Colleges  | 24,128                      | 11,443                    | 24,631                     | 18,581                     | 19,061                       | 18,031                          | 10,339                        | 32,044                         | 15,125                         |                               |                                |                             | 173,383                          |
| CUNY Community Colleges                                     | 4,766                       | 1,358                     | 5,403                      | 2,217                      | 1,052                        | 2,094                           | 83                            | 4,094                          | 2,211                          |                               |                                |                             | 23,278                           |
| Brooklyn Court Officer Training Academy                     | 26                          | -                         | -                          | 1,153                      | -                            | -                               | -                             | -                              | -                              |                               |                                |                             | 1,179                            |
| <b>TOTAL DORMITORY AUTHORITY</b>                            | <b>29,871</b>               | <b>14,958</b>             | <b>32,138</b>              | <b>22,412</b>              | <b>20,451</b>                | <b>20,942</b>                   | <b>10,436</b>                 | <b>37,350</b>                  | <b>17,520</b>                  | <b>-</b>                      | <b>-</b>                       | <b>-</b>                    | <b>206,078</b>                   |
| <b>EMPIRE STATE DEVELOPMENT CORP:</b>                       |                             |                           |                            |                            |                              |                                 |                               |                                |                                |                               |                                |                             |                                  |
| <b>Regional Development:</b>                                |                             |                           |                            |                            |                              |                                 |                               |                                |                                |                               |                                |                             |                                  |
| Centers of Excellence                                       | -                           | -                         | -                          | -                          | -                            | -                               | -                             | -                              | -                              |                               |                                |                             | -                                |
| Community Capital Assistance Program (CCAP)                 | -                           | -                         | -                          | -                          | -                            | -                               | -                             | -                              | -                              |                               |                                |                             | -                                |
| Empire Opportunity  | -                           | -                         | -                          | -                          | -                            | -                               | -                             | -                              | -                              |                               |                                |                             | -                                |
| Community Enhancement Facilities Assistance Program (CEFAP) | -                           | -                         | -                          | -                          | -                            | -                               | -                             | -                              | -                              |                               |                                |                             | -                                |
| State Facilities and Equipment                              | -                           | -                         | -                          | -                          | -                            | -                               | -                             | -                              | -                              |                               |                                |                             | -                                |
| <b>TOTAL EMPIRE STATE DEVELOPMENT CORP</b>                  | <b>-</b>                    | <b>-</b>                  | <b>-</b>                   | <b>-</b>                   | <b>-</b>                     | <b>-</b>                        | <b>-</b>                      | <b>-</b>                       | <b>-</b>                       | <b>-</b>                      | <b>-</b>                       | <b>-</b>                    | <b>-</b>                         |
| <b>TOTAL OFF-BUDGET</b>                                     | <b>\$ 29,871</b>            | <b>\$ 14,958</b>          | <b>\$ 32,138</b>           | <b>\$ 22,412</b>           | <b>\$ 20,451</b>             | <b>\$ 20,942</b>                | <b>\$ 10,436</b>              | <b>\$ 37,350</b>               | <b>\$ 17,520</b>               | <b>\$ -</b>                   | <b>\$ -</b>                    | <b>\$ -</b>                 | <b>\$ 206,078</b>                |

The Division of the Budget (DOB) is responsible for organizing and presenting the above schedule of 'Off Budget Spending'. Such reported disbursements are drawn from unaudited financial data provided by public authorities. Although the Office of the State Comptroller (OSC) has no reason to believe this information to be unreliable, it is important to note that these program disbursements are financed with public authority bond proceeds deposited directly into public authority accounts and all disbursements are made without any oversight by the OSC. Therefore, and pursuant to the provisions of Chapter 60, §16, of the Laws of 2006; this schedule is provided for information only.

STATE OF NEW YORK  
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(\*)

| SFS Fund | ACCOUNT TITLE                                      | September 30, 2020 | October 31, 2020 | November 30, 2020 | Change           | December 31, 2020 |
|----------|--|--------------------|------------------|-------------------|------------------|-------------------|
|          | <b>GENERAL FUND</b>                                |                    |                  |                   |                  |                   |
| 10050    | STATE OPERATIONS AND LOCAL ASSISTANCE              | \$ -               | \$ -             | \$ -              | \$ -             | \$ - (***)        |
|          | <b>TOTAL GENERAL FUND</b>                          | <u>-</u>           | <u>-</u>         | <u>-</u>          | <u>-</u>         | <u>-</u>          |
|          | <b>CAPITAL PROJECT AND BOND REIMBURSABLE FUNDS</b> |                    |                  |                   |                  |                   |
| 30051    | HIGHWAY AND BRIDGE CAPITAL                         | 362,853,243.13     | 229,640,531.67   | 251,120,260.33    | 97,529,023.88    | 348,649,284.21    |
| 30053    | AVIATION PURPOSE ACCOUNT                           | -                  | -                | -                 | -                | -                 |
| 30101    | REHAB/REPAIR MARITIME                              | -                  | -                | -                 | -                | -                 |
| 30102    | D21RVE- MARITIME                                   | -                  | -                | -                 | -                | -                 |
| 30103    | D36RVE- CENTRAL ADMIN                              | -                  | -                | -                 | -                | -                 |
| 30104    | RESIDENCE HALL CAMPUS LET BOND PROCEEDS            | -                  | -                | -                 | -                | -                 |
| 30105    | REHAB/REPAIR ALBANY                                | -                  | -                | -                 | -                | -                 |
| 30106    | D01RVE- ALBANY                                     | -                  | -                | -                 | -                | -                 |
| 30107    | REHAB/REPAIR BINGHAMTON                            | -                  | -                | -                 | -                | -                 |
| 30108    | D07RVE- BINGHAMTON                                 | -                  | -                | -                 | -                | -                 |
| 30109    | REHAB/REPAIR BUFFALO UNIVERSITY                    | -                  | -                | -                 | -                | -                 |
| 30110    | D28RVE- SUNY BUFFALO                               | -                  | -                | -                 | -                | -                 |
| 30111    | REHAB/REPAIR STONYBROOK                            | -                  | -                | -                 | -                | -                 |
| 30112    | D13RVE- STONYBROOK                                 | -                  | -                | -                 | -                | -                 |
| 30113    | REHAB/REPAIR BROOKLYN                              | -                  | -                | -                 | -                | -                 |
| 30114    | D14RVE - HSC BROOKLYN                              | -                  | -                | -                 | -                | -                 |
| 30115    | REHAB/REPAIR SYRACUSE                              | -                  | -                | -                 | -                | -                 |
| 30116    | D15RVE- HSC SYRACUSE                               | -                  | -                | -                 | -                | -                 |
| 30117    | REHAB/REPAIR BROCKPORT                             | -                  | -                | -                 | -                | -                 |
| 30118    | D02RVE- BROCKPORT                                  | -                  | -                | -                 | -                | -                 |
| 30119    | REHAB/REPAIR BUFFALO COLLEGE                       | -                  | -                | -                 | -                | -                 |
| 30120    | D03RVE -SUB BUFFALO                                | -                  | -                | -                 | -                | -                 |
| 30121    | REHAB/REPAIR CORTLAND                              | -                  | -                | -                 | -                | -                 |
| 30122    | D04RVE- CORTLAND                                   | -                  | -                | -                 | -                | -                 |
| 30123    | REHAB/REPAIR FREDONIA                              | -                  | -                | -                 | -                | -                 |
| 30124    | D05RVE- FREDONIA                                   | -                  | -                | -                 | -                | -                 |
| 30125    | REHAB/REPAIR GENESEO                               | -                  | -                | -                 | -                | -                 |
| 30126    | D06RVE- GENESEO                                    | -                  | -                | -                 | -                | -                 |
| 30127    | REHAB/REPAIR OLD WESTBURY                          | -                  | -                | -                 | -                | -                 |
| 30128    | D31RVE- OLD WESTBURY                               | -                  | -                | -                 | -                | -                 |
| 30129    | REHAB/REPAIR NEW PALTZ                             | -                  | -                | -                 | -                | -                 |
| 30130    | D08RVE- NEW PALTZ                                  | -                  | -                | -                 | -                | -                 |
| 30131    | REHAB/REPAIR ONEONTA                               | -                  | -                | -                 | -                | -                 |
| 30132    | D09RVE- ONEONTA                                    | -                  | -                | -                 | -                | -                 |
| 30133    | REHAB/REPAIR OSWEGO                                | -                  | -                | -                 | -                | -                 |
| 30134    | D10RVE- OSWEGO                                     | -                  | -                | -                 | -                | -                 |
| 30135    | REHAB/REPAIR PLATTSBURGH                           | -                  | -                | -                 | -                | -                 |
| 30136    | D11RVE- PLATTSBURGH                                | -                  | -                | -                 | -                | -                 |
| 30137    | REHAB/REPAIR POTSDAM                               | -                  | -                | -                 | -                | -                 |
| 30138    | D12RVE- POTSDAM                                    | -                  | -                | -                 | -                | -                 |
| 30139    | REHAB/REPAIR PURCHASE                              | -                  | -                | -                 | -                | -                 |
| 30140    | D29RVE- PURCHASE                                   | -                  | -                | -                 | -                | -                 |
| 30141    | REHAB/REPAIR FOR UTICA/ROME                        | -                  | -                | -                 | -                | -                 |
| 30142    | D27RVE- CAMPUS RESERVE                             | -                  | -                | -                 | -                | -                 |
| 30143    | REHAB/REPAIR ALFRED                                | -                  | -                | -                 | -                | -                 |
| 30144    | D22RVE- ALFRED                                     | -                  | -                | -                 | -                | -                 |
| 30145    | REHAB/REPAIR CANTON                                | -                  | -                | -                 | -                | -                 |
| 30146    | D23RVE- CANTON                                     | -                  | -                | -                 | -                | -                 |
| 30147    | REHAB/REPAIR COBLESKILL                            | 582,031.54         | 801,040.11       | 839,392.34        | 113,677.04       | 953,069.38        |
| 30148    | D24RVE- COBLESKILL                                 | -                  | -                | -                 | -                | -                 |
| 30149    | REHAB/REPAIR DELHI                                 | -                  | -                | -                 | -                | -                 |
| 30150    | D25RVE- DELHI                                      | -                  | -                | -                 | -                | -                 |
| 30151    | REHAB/REPAIR FARMINGDALE                           | -                  | -                | -                 | -                | -                 |
| 30152    | D26RVE- FARMINGDALE                                | -                  | -                | -                 | -                | -                 |
| 30153    | REHAB/REPAIR MORRISVILLE                           | -                  | -                | -                 | -                | -                 |
| 30154    | D27RVE- MORRISVILLE                                | -                  | -                | -                 | -                | -                 |
| 30351    | STATE PARK INFRASTRUCTURE                          | 70,449,897.42      | 34,853,969.61    | 48,651,826.34     | 7,116,590.30     | 55,768,416.64     |
| 30501    | CW/CA IMPLEMENTATION DEC                           | -                  | -                | -                 | -                | -                 |
| 30502    | CW/CA IMPLEMENTATION STATE                         | -                  | -                | -                 | -                | -                 |
| 30503    | CW/CA IMPLEMENTATION ERDA                          | -                  | -                | -                 | -                | -                 |
| 30504    | CW/CA IMPLEMENTATION EFC                           | -                  | -                | -                 | -                | -                 |
| 31506    | HAZARDOUS WASTE CLEAN UP                           | 103,476,661.09     | 90,789,823.06    | 99,450,386.33     | 7,512,451.09     | 106,962,837.42    |
| 31701    | YOUTH FACILITIES IMPROVEMENT                       | 15,758,588.97      | 17,191,103.28    | 17,665,916.53     | (5,278,094.55)   | 12,387,821.98     |
| 31801    | HOUSING ASSISTANCE                                 | 12,941,967.06      | 12,941,967.06    | 12,941,967.06     | -                | 12,941,967.06     |
| 31851    | HOUSING PROG FD-HSG TR FD CORP                     | 285,465,442.82     | 299,615,002.56   | 302,876,002.56    | (185,921,479.24) | 116,954,523.32    |
| 31852    | HOUSING PROG FD AFFORD HSG CORP                    | 42,074,022.81      | 44,736,658.81    | 44,736,658.81     | (6,041,537.09)   | 38,695,121.72     |
| 31853    | HOUSING PROG FD-DEPT OF SOCIAL SERVICES            | 97,039,684.37      | 104,339,684.37   | 108,739,684.37    | (9,493,167.23)   | 99,246,517.14     |
| 31854    | HOUSING PROG FD-HFA                                | -                  | -                | -                 | -                | -                 |
| 31951    | HIGHWAY FAC PURPOSE                                | 11,969,463.99      | 11,956,479.77    | 11,956,479.77     | -                | 11,956,479.77     |

STATE OF NEW YORK  
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(\*)

| SFS Fund | ACCOUNT TITLE                                    | September 30, 2020      | October 31, 2020        | November 30, 2020       | Change                  | December 31, 2020       |
|----------|--|-------------------------|-------------------------|-------------------------|-------------------------|-------------------------|
| 32213    | NY RACING ACCOUNT                                | 153,750.00              | 153,750.00              | 153,750.00              | -                       | 153,750.00              |
| 32214    | CAPITAL PROJECT MISC GIFTS                       | -                       | -                       | -                       | -                       | -                       |
| 32215    | IT CAPITAL FINANCING ACCT                        | 2,883,666.80            | 3,394,250.29            | 3,825,768.96            | 939,384.94              | 4,765,153.90            |
| 32219    | NY ENVIRONMENTAL PROTECTION & SPILL REMEDIATION  | -                       | -                       | -                       | 180.00                  | 180.00                  |
| 32301    | OPWDD-STATE FACILITIES PRE 12/99                 | -                       | -                       | -                       | -                       | -                       |
| 32302    | DSAS-COMMUNITY FACILITIES                        | -                       | -                       | -                       | -                       | -                       |
| 32303    | OMH-COMMUNITY FACILITIES                         | 69,237,204.51           | 70,034,608.01           | 70,371,273.02           | 1,491,048.35            | 71,862,321.37           |
| 32304    | OPWDD-COMMUNITY FACILITIES                       | -                       | -                       | -                       | -                       | -                       |
| 32305    | OASAS-COMMUNITY FACILITIES                       | 182,240,076.13          | 181,628,539.69          | 182,617,289.69          | 2,815,352.90            | 185,432,642.59          |
| 32306    | DASNY - OMH ADMIN                                | -                       | -                       | -                       | -                       | -                       |
| 32307    | DASNY - OPWDD ADMIN                              | 7,828,273.39            | 7,828,273.39            | 7,828,273.39            | 3,822,695.00            | 11,650,968.39           |
| 32308    | DASNY - OASAS ADMIN                              | 1,732,406.20            | 2,581,221.20            | 2,581,221.20            | -                       | 2,581,221.20            |
| 32309    | OMH - STATE FACILITIES                           | 116,382,293.79          | 125,053,038.62          | 155,276,393.23          | 10,140,825.53           | 165,417,218.76          |
| 32310    | OPWDD -STATE FACILITIES                          | 18,171,024.28           | 19,371,024.28           | 29,377,388.35           | 2,320,514.81            | 31,697,903.16           |
| 32311    | OASAS -STATE FACILITIES                          | 2,105,820.18            | 2,484,056.85            | 3,400,362.44            | (197,104.72)            | 3,203,257.72            |
| 32351    | CORR. FACILITIES CAPITAL IMPROVEMENT             | -                       | -                       | -                       | -                       | -                       |
| 32352    | DOCS-REHABILITATION PROJECTS                     | 212,506,047.90          | 232,977,446.82          | 251,945,041.28          | (57,509,801.43)         | 194,435,239.85          |
| 32353    | CORR. FACILITIES CAPITAL CLOSURE                 | 0.55                    | 0.55                    | -                       | -                       | -                       |
| 33001    | STORM RECOVERY ACCOUNT                           | 54,411,469.09           | 53,597,768.11           | 54,495,130.81           | 1,081,249.21            | 55,576,380.02           |
|          | <b>TOTAL CAPITAL AND BOND REIMBURSABLE FUNDS</b> | <b>1,670,263,036.02</b> | <b>1,545,970,238.11</b> | <b>1,660,850,466.81</b> | <b>(129,558,191.21)</b> | <b>1,531,292,275.60</b> |
|          | <b>STATE SPECIAL REVENUE FUNDS</b>               |                         |                         |                         |                         |                         |
| 20401    | DOL-CHILD PERFORMER PROTECTION ACCOUNT           | -                       | -                       | -                       | -                       | -                       |
| 20452    | VOCATIONAL SCHOOL SUPERVISION                    | -                       | -                       | -                       | -                       | -                       |
| 20501    | LOCAL GOVERNMENT RECORDS MGMT                    | -                       | -                       | -                       | -                       | -                       |
| 20810    | CHILD HEALTH INSURANCE                           | 69,887,137.16           | -                       | 23,122,890.06           | (23,122,890.06)         | -                       |
| 20818    | EPIC PREMIUM ACCOUNT                             | 277,647.70              | 10,282,047.95           | 15,622,758.03           | (15,622,758.03)         | -                       |
| 20901    | LOTTERY-EDUCATION                                | 1,562,212,831.94        | 1,412,369,107.33        | 1,260,608,694.01        | (191,900,563.59)        | 1,068,708,130.42        |
| 20904    | VLT EDUCATION                                    | 79,973,663.25           | 156,105,093.55          | 252,710,310.93          | 92,828,293.29           | 345,538,604.22          |
| 21001    | ENVIR FAC CORP ADM ACCT                          | -                       | -                       | -                       | -                       | -                       |
| 21002    | ENCON ADMIN ACCT                                 | 3,747,659.65            | 3,799,431.43            | 3,851,203.21            | 61,388.49               | 3,912,591.70            |
| 21061    | HAZARDOUS BULK STORAGE                           | -                       | -                       | -                       | -                       | -                       |
| 21064    | UTILITY ENVIRONMENTAL REGULATORY ACCOUNT         | 1,672,099.99            | 1,672,099.99            | 1,672,099.99            | -                       | 1,672,099.99            |
| 21065    | FEDERAL GRANTS INDIRECT COST RECOVERY ACCOUNT    | 4,193,299.47            | 1,669,475.98            | 2,337,334.86            | (1,574,697.10)          | 762,637.76              |
| 21066    | ENCON-LOW LEVEL RADIOACTIVE WASTE SITING         | 3,778,420.46            | 3,943,450.99            | 4,118,797.02            | (173,310.39)            | 3,945,486.63            |
| 21067    | ENCON-RECREATION                                 | -                       | -                       | -                       | -                       | -                       |
| 21077    | PUBLIC SAFETY RECOVERY ACCOUNT                   | -                       | -                       | -                       | -                       | -                       |
| 21081    | ENVIRONMENTAL REGULATORY                         | 66,000,252.83           | 60,296,707.17           | 59,172,374.86           | 1,521,634.76            | 60,694,009.62           |
| 21082    | NATURAL RESOURCES ACCOUNT                        | 14,524,726.57           | 14,265,932.36           | 14,337,266.95           | 353,053.25              | 14,690,320.20           |
| 21084    | MINED LAND RECLAMATION ACCT                      | -                       | -                       | -                       | -                       | -                       |
| 21087    | GREAT LAKES RESTORATION INITIATIVE               | -                       | -                       | -                       | -                       | -                       |
| 21201    | AUDIT AND CONTROL OIL SPILL                      | 17,340.72               | -                       | -                       | 11,957.03               | 11,957.03               |
| 21202    | HEALTH DEPT OIL SPILL                            | 3,555.33                | -                       | -                       | 2,318.43                | 2,318.43                |
| 21203    | DEPT OF ENVIRONMENTAL CONSERVATION OIL SPILL     | 451,031.84              | -                       | -                       | 270,435.85              | 270,435.85              |
| 21204    | OIL SPILL COMPENSATION                           | -                       | -                       | -                       | -                       | -                       |
| 21205    | LICENSE FEE SURCHARGES                           | -                       | -                       | -                       | -                       | -                       |
| 21401    | PUBLIC TRANSPORTATION SYSTEMS                    | -                       | -                       | -                       | -                       | -                       |
| 21402    | METROPOLITAN MASS TRANSPORTATION                 | -                       | -                       | -                       | 99,446,088.44           | 99,446,088.44           |
| 21451    | OPERATING PERMIT PROGRAM                         | 33,983,508.33           | 31,987,548.53           | 32,206,812.95           | 730,726.55              | 32,937,539.50           |
| 21452    | MOBILE SOURCE                                    | 221,275.54              | -                       | -                       | -                       | -                       |
| 21902    | HEALTH-SPARC'S                                   | -                       | -                       | -                       | -                       | -                       |
| 21905    | THRUWAY AUTHORITY ACCT                           | 11,541,462.39           | 6,628,040.93            | 4,385,613.50            | (1,472,752.00)          | 2,912,861.50            |
| 21907    | MENTAL HYGIENE PROGRAM                           | -                       | -                       | -                       | -                       | -                       |
| 21909    | MENTAL HYGIENE PATIENT INCOME ACCOUNT            | -                       | -                       | -                       | -                       | -                       |
| 21911    | FINANCIAL CONTROL BOARD                          | 609,859.59              | 227,316.04              | 392,548.02              | 186,991.38              | 579,539.40              |
| 21912    | RACING REGULATION ACCOUNT                        | 1,992,255.27            | 2,327,842.19            | 2,676,642.20            | 617,621.21              | 3,294,263.41            |
| 21937    | SU DORM INCOME REIMBURSE                         | 5,713,145.53            | 841,883.38              | 176,978.44              | (57,333.79)             | 119,644.65              |
| 21945    | CRIMINAL JUSTICE IMPROVEMENT                     | -                       | -                       | -                       | -                       | -                       |
| 21959    | ENV LAB REF FEE                                  | -                       | -                       | -                       | -                       | -                       |
| 21961    | TRAINING, MANAGEMENT AND EVALUATION ACCOUNT      | 685,911.18              | 587,324.51              | 628,138.35              | 29,752.34               | 657,890.69              |
| 21962    | CLINICAL LAB FEE                                 | 14,018,024.78           | 12,262,552.03           | 11,089,563.46           | 458,623.98              | 11,548,187.44           |
| 21978    | INDIRECT COST RECOVERY                           | -                       | -                       | -                       | -                       | -                       |
| 21979    | HIGH SCHOOL EQUIVALENCY PROGRAM                  | -                       | -                       | -                       | -                       | -                       |
| 21989    | MULTI - AGENCY TRAINING ACCOUNT                  | -                       | -                       | -                       | -                       | -                       |
| 22003    | BELL JAR COLLECTION ACCOUNT                      | -                       | -                       | -                       | -                       | -                       |
| 22004    | INDUSTRY AND UTILITY SERVICE                     | -                       | -                       | -                       | -                       | -                       |
| 22006    | REAL PROPERTY DISPOSITION                        | -                       | -                       | -                       | -                       | -                       |
| 22007    | PARKING ACCOUNT                                  | -                       | -                       | -                       | -                       | -                       |
| 22008    | COURTS SPECIAL GRANTS                            | -                       | -                       | -                       | -                       | -                       |
| 22009    | ASBESTOS SAFETY TRAINING                         | 47,455.78               | 38,751.38               | 22,724.52               | 1,579.92                | 24,304.44               |
| 22017    | CAMP SMITH BILLETING ACCOUNT                     | -                       | -                       | -                       | -                       | -                       |
| 22032    | BATAVIA SCHOOL FOR THE BLIND                     | 7,895,881.47            | 8,568,731.83            | 9,514,632.17            | 1,088,167.88            | 10,602,800.05           |
| 22034    | INVESTMENT SERVICES                              | -                       | -                       | -                       | -                       | -                       |
| 22036    | SURPLUS PROPERTY ACCOUNT                         | -                       | -                       | -                       | -                       | -                       |

STATE OF NEW YORK  
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(\*)

| SFS Fund    | ACCOUNT TITLE                                  | September 30, 2020      | October 31, 2020        | November 30, 2020       | Change                  | December 31, 2020       |
|-------------|--|-------------------------|-------------------------|-------------------------|-------------------------|-------------------------|
| 22039       | FINANCIAL OVERSIGHT                            | 928,264.63              | 259,686.11              | 517,309.49              | 310,308.53              | 827,618.02              |
| 22046       | REGULATION INDIAN GAMING                       | 93,807,558.71           | 94,761,447.78           | 95,821,480.92           | 171,984.64              | 95,993,465.56           |
| 22053       | ROME SCHOOL FOR THE DEAF                       | 3,307,030.74            | 3,717,957.37            | 4,429,589.30            | 1,156,947.72            | 5,586,537.02            |
| 22054       | DSP-SEIZED ASSETS                              | 951,946.85              | 902,838.61              | 859,008.57              | (50,255.25)             | 808,753.32              |
| 22055       | ADMINISTRATIVE ADJUDICATION                    | 27,496,671.52           | 31,016,774.62           | 34,239,897.64           | 3,656,999.13            | 37,896,896.77           |
| 22056       | FEDERAL SALARY SHARING                         | 1,004,479.83            | 1,120,954.74            | 1,343,372.58            | 141,248.85              | 1,484,621.43            |
| 22062       | NYC ASSESSMENT ACCT                            | -                       | -                       | -                       | -                       | -                       |
| 22063       | CULTURAL EDUCATION ACCOUNT                     | 5,954,486.22            | 5,490,643.04            | 5,432,522.42            | (646,052.39)            | 4,786,470.03            |
| 22078       | LOCAL SERVICE ACCOUNT                          | -                       | -                       | -                       | -                       | -                       |
| 22085       | DHCR MORTGAGE SERVICES                         | 16,644,492.31           | 1,581,265.97            | 1,722,996.43            | 206,397.56              | 1,929,393.99            |
| 22090       | HOUSING INDIRECT COST RECOVERY                 | -                       | -                       | -                       | -                       | -                       |
| 22100       | DHCR-HOUSING CREDIT AGENCY APPLY FEE           | 9,759,624.08            | 10,474,355.28           | 11,155,914.06           | 662,894.04              | 11,818,808.10           |
| 22130       | LOW INCOME HOUSING CREDIT MONITORING           | -                       | -                       | -                       | -                       | -                       |
| 22135       | EFC-CORPORATION ADMINISTRATION                 | -                       | -                       | -                       | -                       | -                       |
| 22144       | MONTROSE VETERAN'S HOME                        | -                       | 264,654.69              | -                       | -                       | -                       |
| 22151       | DEFERRED COMPENSATION ADMIN                    | 124,151.33              | 176,103.57              | 56,057.78               | 63,034.43               | 119,092.21              |
| 22156       | RENT REVENUE OTHER - NYC                       | 591,253.80              | 4,253,279.07            | 7,970,306.51            | 4,445,376.26            | 12,415,682.77           |
| 22158       | RENT REVENUE                                   | -                       | -                       | -                       | -                       | -                       |
| 22168       | TAX REVENUE ARREARAGE ACCOUNT                  | -                       | -                       | -                       | -                       | -                       |
| 22240       | NYS MEDICAL INDEMNITY FUND ACCOUNT             | 1,185,090.73            | 1,269,835.22            | 1,371,046.36            | 131,240.24              | 1,502,286.60            |
| 22654       | S.U. NON-RESIDENT REV. OFFSET                  | 20,664,809.17           | 20,667,047.29           | 20,669,171.39           | 2,150.57                | 20,671,321.96           |
| 22751       | LAKE GEORGE PARK TRUST FUND                    | -                       | -                       | -                       | -                       | -                       |
| 22802       | STATE POLICE MV ENFORCE                        | -                       | -                       | -                       | -                       | -                       |
| 23001       | DOT - HIGHWAY SAFETY PRGM                      | 15,895,636.27           | 16,041,242.69           | 16,319,265.62           | 374,006.25              | 16,693,271.87           |
| 23102       | DOH DRINKING WATER PROGRAM                     | 5,350,949.70            | 5,350,949.70            | 5,350,949.70            | -                       | 5,350,949.70            |
| 23151       | NYCCC OPERATING OFFSET                         | 43,872,916.00           | 46,094,230.01           | 48,390,644.22           | 2,340,141.09            | 50,730,785.31           |
| 23701       | COMMERCIAL GAMING REVENUE                      | -                       | -                       | -                       | -                       | -                       |
| 23702       | COMMERCIAL GAMING REGULATION                   | 20,189,828.45           | 20,509,123.97           | 20,807,346.97           | 373,894.89              | 21,181,241.86           |
| 23801       | HIGHWAY USE TAX ADMIN                          | -                       | -                       | -                       | -                       | -                       |
| 23806       | NYS SECURE CHOICE ADMIN                        | -                       | -                       | -                       | -                       | -                       |
| 24951       | FANTASY SPORTS ADMINISTRATION                  | 50,169.33               | 60,094.79               | 4,356.79                | 33,152.95               | 37,509.74               |
|             | <b>TOTAL STATE SPECIAL REVENUE FUNDS</b>       | <b>2,151,227,806.44</b> | <b>1,991,885,822.09</b> | <b>1,975,108,620.28</b> | <b>(22,942,202.65)</b>  | <b>1,952,166,417.63</b> |
|             | <b>FEDERAL FUNDS</b>                           |                         |                         |                         |                         |                         |
| 25000-25099 | FEDERAL USDA/FOOD AND NUTRITION SERVICES FUND  | 4,451,739.48            | 4,118,961.38            | 35,147,356.38           | (21,009,092.80)         | 14,138,263.58           |
| 25100-25199 | FEDERAL HEALTH AND HUMAN SERVICES FUND         | 2,748,340,824.81        | 231,401,978.90          | 380,493,084.52          | (136,333,967.49)        | 244,159,117.03          |
| 25200-25249 | FEDERAL EDUCATION GRANTS FUND                  | 18,968,485.01           | 35,344,470.68           | 43,743,707.94           | (29,070,528.36)         | 14,673,179.58           |
| 25250-25299 | FEDERAL DHHS BLOCK GRANTS                      | -                       | -                       | -                       | -                       | -                       |
| 25300-25899 | FEDERAL OPERATING GRANTS FUND                  | 483,311,461.02          | 552,029,917.32          | 473,639,410.90          | 2,953,161.22            | 476,592,572.12          |
| 31351       | MILITARY AND NAVAL AFFAIRS                     | 8,753,932.66            | 8,753,932.66            | 8,753,932.66            | -                       | 8,753,932.66            |
| 31354       | DEPARTMENT OF TRANSPORTATION                   | 441,892,716.97          | 432,424,080.01          | 369,051,296.52          | (26,275,928.34)         | 342,775,368.18          |
| 31350-31449 | FEDERAL CAPITAL PROJECTS FUND (ALL OTHER)      | 132,492,097.37          | 127,990,063.01          | 110,758,696.75          | 1,687,688.40            | 112,446,385.15          |
| 25900-25949 | UNEMPLOYMENT INSURANCE ADMINISTRATION          | 59,955,005.26           | 76,343,537.67           | 95,365,817.35           | 69,222,156.48           | 164,587,973.83          |
| 25950       | FEDERAL UNEMPLOYMENT INS OCCUPATIONAL TRAINING | 517,598.00              | 377,092.00              | 389,236.50              | 93,602.50               | 482,839.00              |
| 26001-26049 | DOL EMPLOYMENT AND TRAINING GRANTS             | 926,035.18              | 6,283,786.45            | 1,052,527.92            | 3,056,891.71            | 4,109,419.63            |
|             | <b>TOTAL FEDERAL FUNDS</b>                     | <b>3,899,609,895.76</b> | <b>1,475,067,820.08</b> | <b>1,518,395,067.44</b> | <b>(135,676,016.68)</b> | <b>1,382,719,050.76</b> |
|             | <b>AGENCY FUNDS</b>                            |                         |                         |                         |                         |                         |
| 60201       | EMPLOYEES HEALTH INSURANCE ACCT                | -                       | -                       | -                       | -                       | -                       |
| 60901       | MMIS - STATE AND FEDERAL                       | -                       | -                       | -                       | -                       | -                       |
|             | <b>TOTAL AGENCY FUNDS</b>                      | <b>-</b>                | <b>-</b>                | <b>-</b>                | <b>-</b>                | <b>-</b>                |
|             | <b>ENTERPRISE FUND</b>                         |                         |                         |                         |                         |                         |
| 50318       | OGS CONVENTION CENTER ACCOUNT                  | 713,220.32              | 754,811.15              | 796,325.29              | 62,235.82               | 858,561.11              |
| 50327       | EMPIRE PLAZA GIFT SHOP                         | 254,229.78              | 256,470.11              | 271,994.00              | 5,845.74                | 277,839.74              |
|             | <b>TOTAL ENTERPRISE FUND</b>                   | <b>967,450.10</b>       | <b>1,011,281.26</b>     | <b>1,068,319.29</b>     | <b>68,081.56</b>        | <b>1,136,400.85</b>     |
|             | <b>INTERNAL SERVICE FUNDS</b>                  |                         |                         |                         |                         |                         |
| 55001       | CENTRALIZED SERVICES-FLEET MGMT                | -                       | -                       | -                       | -                       | -                       |
| 55002       | CENTRALIZED SERVICES-DATA PROCESSING           | -                       | -                       | -                       | -                       | -                       |
| 55003       | CENTRALIZED SERVICES-PRINTING                  | 1,394,497.72            | 1,310,719.52            | 1,345,908.54            | 76,999.69               | 1,422,908.23            |
| 55004       | CENTRALIZED SERVICES-REAL PROPERTY-LABOR       | -                       | -                       | -                       | -                       | -                       |
| 55005       | CENTRALIZED SERVICES-DONATED FOODS             | -                       | -                       | -                       | -                       | -                       |
| 55006       | CENTRALIZED SERVICES-PERSONAL PROPERTY         | -                       | -                       | -                       | 14,991.22               | 14,991.22               |
| 55007       | CENTRALIZED SERVICES-CONSTRUCTION SERVICES     | 2,368,688.72            | 2,426,477.80            | 2,456,121.37            | (3,345.85)              | 2,452,775.52            |
| 55008       | CENTRALIZED SERVICES-PASNY                     | 16,165,549.76           | 15,954,413.12           | 18,472,982.82           | 2,330,556.94            | 20,803,539.76           |
| 55009       | CENTRALIZED SERVICES-ADMIN SUPPORT             | -                       | -                       | -                       | -                       | -                       |
| 55010       | CENTRALIZED SERVICES-DESIGN AND CONSTR         | 15,655,355.27           | 16,660,902.08           | 18,577,496.64           | (469,545.98)            | 18,107,950.66           |
| 55011       | CENTRALIZED SERVICES-INSURANCE                 | 7,450,496.64            | 6,350,431.78            | -                       | 1,164,558.81            | 1,164,558.81            |
| 55012       | CENTRALIZED SERVICES-SECURITY CARD ACCESS      | 180,435.30              | 168,839.30              | 163,954.30              | (5,122.00)              | 158,832.30              |
| 55013       | CENTRALIZED SERVICES-COP'S                     | -                       | -                       | -                       | -                       | -                       |
| 55014       | CENTRALIZED SERVICES-FOOD SERVICES             | -                       | -                       | -                       | -                       | -                       |
| 55015       | CENTRALIZED SERVICES-HOMER FOLKS               | -                       | -                       | -                       | -                       | -                       |

(\*\*)

STATE OF NEW YORK  
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(\*)

| SFS Fund | ACCOUNT TITLE                                    | September 30, 2020         | October 31, 2020           | November 30, 2020          | Change                     | December 31, 2020          |
|----------|--|----------------------------|----------------------------|----------------------------|----------------------------|----------------------------|
| 55016    | CENTRALIZED SERVICES-IMMICS                      | 1,588,350.50               | 1,511,168.30               | 1,447,566.51               | 393,016.58                 | 1,840,583.09               |
| 55017    | DOWNSTATE WAREHOUSE                              | 502,274.59                 | 483,425.53                 | 356,668.03                 | (206,769.76)               | 149,898.27                 |
| 55018    | BUILDING ADMINISTRATION                          | -                          | -                          | -                          | -                          | -                          |
| 55019    | LEASE SPACE INITIATIVE                           | -                          | -                          | -                          | -                          | -                          |
| 55020    | OGS ENTERPRISE CONTRACTING ACCT                  | 81,282,398.64              | 82,067,941.28              | 87,825,851.34              | 369,396.86                 | 88,195,248.20              |
| 55021    | NYS MEDIA CENTER                                 | 9,953,930.37               | 10,348,439.37              | 10,652,731.63              | 502,566.01                 | 11,155,297.64              |
| 55022    | BUSINESS SERVICES CENTER                         | 18,026,847.72              | 20,014,612.54              | 22,199,281.87              | 2,039,158.80               | 24,238,440.67              |
| 55052    | ARCHIVES RECORD MGMT I.S.                        | -                          | -                          | 37,676.44                  | (37,676.44)                | -                          |
| 55053    | FEDERAL SINGLE AUDIT                             | -                          | -                          | -                          | -                          | -                          |
| 55056    | CIVIL SERVICE EHS OCCUP HEALTH PROG              | -                          | -                          | -                          | -                          | -                          |
| 55057    | BANKING SERVICES ACCOUNT                         | 475,146.08                 | 133,693.35                 | 108,593.51                 | (77,791.22)                | 30,802.29                  |
| 55058    | CULTURAL RESOURCE SURVEY                         | 2,433,275.59               | 2,612,513.96               | 2,932,271.19               | (206,623.82)               | 2,725,647.37               |
| 55059    | NEIGHBOR WORK PROJECT                            | 11,338,641.98              | 11,074,396.76              | 11,602,383.89              | (16,880.91)                | 11,585,502.98              |
| 55060    | AUTOMATIC/PRINT CHARGBACKS                       | 4,903,094.05               | 4,535,498.04               | 4,453,300.14               | (1,718,990.27)             | 2,734,309.87               |
| 55061    | OFT NYT ACCT                                     | 1,246,980.34               | 1,246,980.34               | -                          | -                          | -                          |
| 55062    | DATA CENTER ACCOUNT                              | 49,321,855.09              | 48,830,817.34              | 48,830,817.34              | 3,993,587.28               | 52,824,404.62              |
| 55066    | CYBER SECURITY INTRUSION ACCT                    | 1,261,584.27               | 1,261,584.27               | 1,261,584.27               | -                          | 1,261,584.27               |
| 55067    | DOMESTIC VIOLENCE GRANT                          | 182,141.33                 | 207,012.97                 | 200,856.41                 | 24,691.88                  | 225,548.29                 |
| 55069    | CENTRALIZED TECHNOLOGY SERVICES                  | 66,381,761.96              | 89,155,482.60              | 99,463,734.84              | 4,336,913.69               | 103,800,648.53             |
| 55071    | LABOR CONTACT CENTER ACCT                        | 5,190,551.33               | 5,701,252.80               | 6,466,266.70               | (2,262,775.63)             | 4,203,491.07               |
| 55072    | HUMAN SERVICES CONTACT CNTR ACCT                 | 4,195,382.43               | 2,651,094.45               | 3,393,732.41               | (222,862.92)               | 3,170,869.49               |
| 55073    | TAX CONTACT CENTER ACCT                          | -                          | -                          | -                          | -                          | -                          |
| 55074    | CIVIL RECOVERIES ACCT                            | 1,500,691.21               | 1,937,226.53               | 2,838,791.78               | 1,197,052.29               | 4,035,844.07               |
| 55251    | EXECUTIVE DIRECTION INTERNAL AUDIT               | 10,301,952.13              | 10,531,322.97              | 10,766,740.89              | 271,260.27                 | 11,038,001.16              |
| 55252    | CIO INFORMATION TECHNOLOGY CENTRALIZED SERVICES  | 46,913,337.30              | 50,042,467.44              | 52,214,425.66              | (7,553,158.51)             | 44,661,267.15              |
| 55300    | HEALTH INSURANCE INTERNAL SERVICE                | 5,653,025.69               | -                          | -                          | 2,988,283.21               | 2,988,283.21               |
| 55301    | CIVIL SERVICE EMPLOYEE BENEFITS DIV ADM          | 4,723,682.87               | 4,818,733.51               | 4,916,106.36               | 87,401.45                  | 5,003,507.81               |
| 55350    | CORR INDUSTRIES INTERNAL SERVICE                 | 44,083,398.15              | 46,624,140.17              | 48,225,119.94              | 2,552,271.60               | 50,777,391.54              |
|          | <b>TOTAL INTERNAL SERVICE FUNDS</b>              | <b>414,675,327.03</b>      | <b>438,661,588.12</b>      | <b>461,210,964.82</b>      | <b>9,561,163.27</b>        | <b>470,772,128.09</b>      |
|          | <b>GRAND TOTAL - TEMPORARY LOANS OUTSTANDING</b> | <b>\$ 8,136,743,515.35</b> | <b>\$ 5,452,596,749.66</b> | <b>\$ 5,616,633,438.64</b> | <b>\$ (278,547,165.71)</b> | <b>\$ 5,338,086,272.93</b> |

(\*) Temporary Loans are authorized pursuant to Subdivision 5 of Section 4 of the State Finance Law and Chapter 56, Part JJ, Section 1, of the Laws of 2020-21.

The loans represent authorizations made by the Legislature to allow certain funds/accounts to make appropriated payments regardless of the fund (cash) balance.

Such loans are made from the State's Short-Term Investment Pool (STIP) and are intended to satisfy temporary cash shortfalls whenever scheduled disbursements exceed available revenues during the fiscal year. Generally, temporary loans are repaid from the first cash receipts of the fund or account; however, in some cases actual revenues are not sufficient to repay all loans made to the fund or account and a transfer from the General Fund "Repayment of Receivables" appropriation is approved by the Budget Director.

The balances reported here in Appendix F are the actual fund balances as of the close of business on the last day of the reporting month and do not include post-closing adjustments.

Please refer to Schedule 1 for a detailed analysis of the 'reported' cash balances of the fund group.

(\*\*) Temporary loans to federal funds are typically reimbursed within 2-3 days. Such loans are made pursuant to federal regulations which require the State to disburse funds prior to making a reimbursement claim from the U.S. Treasury.

(\*\*\*) Per Section 72 of the State Finance Law, the General Fund includes the Local Assistance Fund (10000) and State Purpose Fund (10050).



STATE OF NEW YORK  
DEDICATED INFRASTRUCTURE INVESTMENT FUND(\*)  
STATEMENT OF RECEIPTS AND DISBURSEMENTS  
FISCAL YEAR 2020-2021

APPENDIX G

|   | 2020<br>APRIL        | MAY                  | JUNE                | JULY                  | AUGUST                | SEPTEMBER            | OCTOBER              | NOVEMBER             | DECEMBER             | 2021<br>JANUARY | FEBRUARY    | MARCH       | 9 Months Ended<br>December 31, 2020 |
|---|----------------------|----------------------|---------------------|-----------------------|-----------------------|----------------------|----------------------|----------------------|----------------------|-----------------|-------------|-------------|-------------------------------------|
| <b>OPENING CASH BALANCE</b>                                   | \$ 86,513,214        | \$ 49,126,483        | \$ 42,662,065       | \$ 7,636,110          | \$ 165,822,096        | \$ 101,117,004       | \$ 90,519,037        | \$ 33,132,009        | \$ 34,311,372        |                 |             |             | \$ 86,513,214                       |
| <b>RECEIPTS:</b>  |                      |                      |                     |                       |                       |                      |                      |                      |                      |                 |             |             |                                     |
| Transfers from General Fund (**)                              | -                    | -                    | -                   | 204,000,000           | -                     | -                    | -                    | 40,000,000           | 68,967,000           |                 |             |             | 312,967,000                         |
| Other   | -                    | -                    | -                   | -                     | -                     | -                    | -                    | -                    | -                    |                 |             |             | -                                   |
| <b>Total Receipts</b>   | -                    | -                    | -                   | <b>204,000,000</b>    | -                     | -                    | -                    | <b>40,000,000</b>    | <b>68,967,000</b>    | -               | -           | -           | <b>312,967,000</b>                  |
| <b>DISBURSEMENTS:</b>   |                      |                      |                     |                       |                       |                      |                      |                      |                      |                 |             |             |                                     |
| Affordable and Homeless Housing                               | -                    | -                    | 9,481               | 533,024               | -                     | 415,671              | 516,927              | 83,421               | -                    |                 |             |             | 1,558,524                           |
| Broadband Initiative  | 1,735,855            | 1,420,080            | -                   | 6,989,621             | -                     | 47,334               | 7,596,310            | 707,298              | 5,896,536            |                 |             |             | 24,393,033                          |
| Downtown Revitalization                                       | -                    | -                    | -                   | -                     | -                     | 250,000              | 455,489              | 415,518              | 239,112              |                 |             |             | 1,360,118                           |
| Empire State Poverty Reduction Initiatives                    | 2,457,343            | 88,175               | -                   | 1,071,138             | 565,275               | 2,517,997            | 1,963,105            | 329,081              | 444,698              |                 |             |             | 9,436,811                           |
| Health Care / Hospital Initiatives                            | 2,586,638            | 3,634,367            | 1,781,021           | 298,010               | 2,275,903             | 643,795              | 468,438              | 105,191              | 736,003              |                 |             |             | 12,529,366                          |
| Information Technology/Infrastructure for Behavioral Sciences | -                    | -                    | -                   | -                     | -                     | -                    | -                    | -                    | -                    |                 |             |             | -                                   |
| Infrastructure Improvements                                   | -                    | -                    | 5,540,794           | 1,292,017             | 2,270,353             | 144,374              | 4,447,962            | 792,375              | 6,319,850            |                 |             |             | 20,807,725                          |
| Jacob Javits Center Expansion                                 | -                    | -                    | -                   | -                     | 55,700,000            | -                    | 22,620,551           | 28,198,264           | -                    |                 |             |             | 106,518,815                         |
| Life Sciences Initiative                                      | 2,500,000            | 1,500,000            | -                   | -                     | -                     | -                    | 5,811,363            | -                    | -                    |                 |             |             | 9,811,363                           |
| Municipal Restructuring / Consolidation Competition           | 3,054,840            | (2,778,292)          | -                   | 562,372               | -                     | 830,000              | 2,063,090            | 1,237,295            | 503,423              |                 |             |             | 5,472,729                           |
| Penn Station Access   | -                    | -                    | -                   | -                     | -                     | -                    | -                    | -                    | -                    |                 |             |             | -                                   |
| Resiliency, Mitigation, Security and Emergency Response       | -                    | -                    | -                   | -                     | (6,035)               | -                    | (10,425)             | -                    | -                    |                 |             |             | (16,459)                            |
| Southern Tier / Hudson Valley Farm Initiative                 | -                    | -                    | -                   | 30,000                | 55,274                | (14,282)             | 20,642               | 24,656               | (4,552)              |                 |             |             | 111,738                             |
| Thruway Stabilization Program                                 | -                    | -                    | 22,587,449          | 24,055,020            | 2,944,322             | 4,284,912            | -                    | -                    | -                    |                 |             |             | 53,871,703                          |
| Transformative Economic Development Projects                  | 10,440,876           | 79,325               | 4,746,161           | 282,274               | -                     | 1,478,166            | 5,814,301            | 4,625,918            | 11,485,752           |                 |             |             | 38,952,772                          |
| Transportation Capital Plan                                   | -                    | -                    | -                   | -                     | -                     | -                    | -                    | -                    | -                    |                 |             |             | -                                   |
| Upstate Revitalization Program                                | 14,611,179           | 2,520,763            | 361,049             | 10,700,538            | 900,000               | -                    | 5,619,275            | 2,301,620            | 12,620,169           |                 |             |             | 49,634,593                          |
| <b>Total Disbursements</b>                                    | <b>37,386,731</b>    | <b>6,464,418</b>     | <b>35,025,955</b>   | <b>45,814,014</b>     | <b>64,705,092</b>     | <b>10,597,967</b>    | <b>57,387,028</b>    | <b>38,820,637</b>    | <b>38,240,989</b>    | -               | -           | -           | <b>334,442,831</b>                  |
| <b>OPERATING TRANSFERS:</b>                                   |                      |                      |                     |                       |                       |                      |                      |                      |                      |                 |             |             |                                     |
| Transfers to General Fund                                     | -                    | -                    | -                   | -                     | -                     | -                    | -                    | -                    | -                    |                 |             |             | -                                   |
| <b>Total Operating Transfers</b>                              | -                    | -                    | -                   | -                     | -                     | -                    | -                    | -                    | -                    | -               | -           | -           | -                                   |
| <b>Total Disbursements and Transfers</b>                      | <b>37,386,731</b>    | <b>6,464,418</b>     | <b>35,025,955</b>   | <b>45,814,014</b>     | <b>64,705,092</b>     | <b>10,597,967</b>    | <b>57,387,028</b>    | <b>38,820,637</b>    | <b>38,240,989</b>    | -               | -           | -           | <b>334,442,831</b>                  |
| <b>CLOSING CASH BALANCE</b>                                   | <b>\$ 49,126,483</b> | <b>\$ 42,662,065</b> | <b>\$ 7,636,110</b> | <b>\$ 165,822,096</b> | <b>\$ 101,117,004</b> | <b>\$ 90,519,037</b> | <b>\$ 33,132,009</b> | <b>\$ 34,311,372</b> | <b>\$ 65,037,383</b> | <b>\$ -</b>     | <b>\$ -</b> | <b>\$ -</b> | <b>\$ 65,037,383</b>                |

(\*) Fund created pursuant to Chapter 60, Laws of 2015-16, Part H and SFL § 93-b

(\*\*) Pursuant to Section 93(b) of the State Finance Law

## STATE OF NEW YORK

## APPENDIX H

MEDICAL ASSISTANCE DISBURSEMENTS - STATE FUNDS<sup>(1)</sup>

## FISCAL YEAR 2020-2021

|   | DECEMBER 2020              |                          |                            | 9 MONTHS ENDED DECEMBER 31  |                          |                             |
|---|----------------------------|--------------------------|----------------------------|-----------------------------|--------------------------|-----------------------------|
|   | Department of Health       | Other State Agencies     | December                   | Department of Health        | Other State Agencies     | Year to Date                |
| Adult State Share Medicaid  | \$ -                       | \$ 66,691,726.00         | \$ 66,691,726.00           | \$ -                        | \$ 168,444,308.00        | \$ 168,444,308.00           |
| State Share Medicaid  | -                          | 14,552,998.88            | 14,552,998.88              | 71,548,963.00               | 23,878,763.37            | 95,427,726.37               |
| Medical Assistance (OPWDD)  | -                          | 374,491,462.00           | 374,491,462.00             | -                           | 545,785,982.00           | 545,785,982.00              |
| Medical Assistance Administration   | 1,405,946.79               | -                        | 1,405,946.79               | 38,624,174.75               | 145,062,864.00           | 183,687,038.75              |
| Population Health Improvement   | -                          | -                        | -                          | 2,134,333.54                | -                        | 2,134,333.54                |
| Traumatic Brain Injury Services   | 1,856,130.98               | -                        | 1,856,130.98               | 8,395,865.60                | -                        | 8,395,865.60                |
| Nursing Home Transition & Diversion   | -                          | -                        | -                          | -                           | -                        | -                           |
| Reducing Maternal Mortality   | -                          | -                        | -                          | 657,322.04                  | -                        | 657,322.04                  |
| New York Connects   | -                          | 491,743.15               | 491,743.15                 | -                           | 8,780,591.26             | 8,780,591.26                |
| Facilitated Enrollment  | 503,322.42                 | -                        | 503,322.42                 | 2,383,838.23                | -                        | 2,383,838.23                |
| Emergency Medical Transportation  | -                          | -                        | -                          | 750,000.00                  | -                        | 750,000.00                  |
| Managed Long-Term Care Ombudsman  | 1,041,420.70               | -                        | 1,041,420.70               | 3,892,487.95                | -                        | 3,892,487.95                |
| Major Academic Pool   | -                          | -                        | -                          | -                           | -                        | -                           |
| Women's Health & Multiple Births  | -                          | -                        | -                          | -                           | -                        | -                           |
| Vital Access Program (OASAS)  | -                          | -                        | -                          | -                           | -                        | -                           |
| Vital Access Program (OMH)  | -                          | -                        | -                          | -                           | -                        | -                           |
| Vital Access Provider Services  | -                          | -                        | -                          | -                           | -                        | -                           |
| General Hospitals Safety-Net Providers  | -                          | -                        | -                          | 35,239,490.00               | -                        | 35,239,490.00               |
| Rural Transportation  | -                          | -                        | -                          | -                           | -                        | -                           |
| AIDS Epidemic   | 917,547.02                 | -                        | 917,547.02                 | 7,059,654.95                | -                        | 7,059,654.95                |
| Fluoridation Systems  | -                          | -                        | -                          | 750,709.02                  | -                        | 750,709.02                  |
| Expanding Caregiver Support Services  | 1,764,721.62               | -                        | 1,764,721.62               | 18,942,891.64               | -                        | 18,942,891.64               |
| Provide Affordable Housing  | 2,082,499.51               | -                        | 2,082,499.51               | 18,135,377.73               | 7,323,594.38             | 25,458,972.11               |
| Health Homes Establishment  | -                          | -                        | -                          | 376,446.03                  | -                        | 376,446.03                  |
| Community Provider Network  | 7,255,830.00               | -                        | 7,255,830.00               | 16,387,813.95               | -                        | 16,387,813.95               |
| Inpatient Services  | 82,177,105.08              | -                        | 82,177,105.08              | 284,275,231.38              | -                        | 284,275,231.38              |
| Patient Centered Medical Homes  | -                          | -                        | -                          | 46,974,452.85               | -                        | 46,974,452.85               |
| Outpatient & Emergency Room Services  | 15,514,523.66              | -                        | 15,514,523.66              | 152,050,578.70              | -                        | 152,050,578.70              |
| Clinic Services   | 24,159,174.88              | -                        | 24,159,174.88              | 133,217,542.65              | -                        | 133,217,542.65              |
| Nursing Home Services   | 121,700,785.11             | -                        | 121,700,785.11             | 732,287,802.99              | -                        | 732,287,802.99              |
| Other Long Term Care Services   | 180,094,487.40             | -                        | 180,094,487.40             | 4,864,717,810.82            | -                        | 4,864,717,810.82            |
| Managed Care Services   | 762,169,877.95             | -                        | 762,169,877.95             | 4,064,380,199.64            | -                        | 4,064,380,199.64            |
| Pharmacy Services   | 18,861,996.39              | -                        | 18,861,996.39              | 118,158,118.49              | -                        | 118,158,118.49              |
| Transportation Services   | 12,242,685.47              | -                        | 12,242,685.47              | 81,067,026.44               | -                        | 81,067,026.44               |
| Dental Services   | 444,886.85                 | -                        | 444,886.85                 | 2,344,432.01                | -                        | 2,344,432.01                |
| Non-Institutional & Other   | (59,089,435.30)            | 389,383.00               | (58,700,052.30)            | 441,986,678.12              | 11,608,844.00            | 453,595,522.12              |
| Medical Services State Facilities   | 126,008,167.63             | -                        | 126,008,167.63             | 1,023,835,649.49            | -                        | 1,023,835,649.49            |
| CSEA Family Health Plus Buy In  | 274,233.35                 | -                        | 274,233.35                 | 1,437,931.96                | -                        | 1,437,931.96                |
| DC37 & Teamster Local 858   | -                          | -                        | -                          | -                           | -                        | -                           |
| Medical Assistance (HCRA)   | 350,000,000.00             | -                        | 350,000,000.00             | 3,015,000,000.00            | -                        | 3,015,000,000.00            |
| Indigent Care   | 66,440,160.10              | -                        | 66,440,160.10              | 583,139,262.63              | -                        | 583,139,262.63              |
| Provider Assessments  | 73,845,000.00              | -                        | 73,845,000.00              | 577,007,000.00              | -                        | 577,007,000.00              |
| NYC Personal Care Workforce Recruitment and Retention Rates (HCRA)  | -                          | -                        | -                          | -                           | -                        | -                           |
| Personal Care Workforce Recruitment and Retention Rates (HCRA)  | -                          | -                        | -                          | -                           | -                        | -                           |
| Home Health Rate Increase (HCRA)  | -                          | -                        | -                          | -                           | -                        | -                           |
| Additional DSH Payments SUNY  | -                          | -                        | -                          | 169,029,951.20              | -                        | 169,029,951.20              |
| <b>TOTAL<sup>(2)</sup></b>  | <b>1,791,671,067.61</b>    | <b>456,617,313.03</b>    | <b>2,248,288,380.64</b>    | <b>16,516,189,037.80</b>    | <b>910,884,947.01</b>    | <b>17,427,073,984.81</b>    |
| Reclassification of Medical Assistance payments for care and treatment of patients at State-operated health, mental hygiene and State University facilities to Transfers. | (125,677,532.49)           | -                        | (125,677,532.49)           | (1,335,424,613.41)          | -                        | (1,335,424,613.41)          |
| <b>TOTAL REPORTED MEDICAID</b>  | <b>\$ 1,665,993,535.12</b> | <b>\$ 456,617,313.03</b> | <b>\$ 2,122,610,848.15</b> | <b>\$ 15,180,764,424.39</b> | <b>\$ 910,884,947.01</b> | <b>\$ 16,091,649,371.40</b> |

<sup>(1)</sup> General Fund and State Special Revenue Funds only.

These amounts do not include Medical Assistance spending for State Operations.

These amounts are not comparable to Medicaid Global Cap spending.

Department of Health regularly reclassifies spending between programs, and therefore amounts for any individual program may be restated by DOH.

<sup>(2)</sup>Source: Statewide Financial System

STATE OF NEW YORK

APPENDIX I

MEDICAL ASSISTANCE DISBURSEMENTS - FEDERAL FUNDS<sup>(\*)</sup>

FISCAL YEAR 2020-2021

|   | DECEMBER 2020              |                      |                            | 9 MONTHS ENDED DECEMBER 31  |                          |                             |
|---|----------------------------|----------------------|----------------------------|-----------------------------|--------------------------|-----------------------------|
|   | Department of Health       | Other State Agencies | December                   | Department of Health        | Other State Agencies     | Year to Date                |
| Medical Assistance & Survey Certification Program   | \$ 6,563,725.91            | \$ -                 | \$ 6,563,725.91            | \$ 93,015,801.21            | \$ -                     | \$ 93,015,801.21            |
| Medical Assistance Administration   | 2,882,583.62               | -                    | 2,882,583.62               | 78,590,360.12               | 146,471,607.00           | 225,061,967.12              |
| Partnership Plan  | 12,518,125.77              | -                    | 12,518,125.77              | 746,230,284.57              | -                        | 746,230,284.57              |
| Inpatient Services  | 398,335,644.43             | -                    | 398,335,644.43             | 3,232,153,901.58            | -                        | 3,232,153,901.58            |
| Outpatient & Emergency Room Services  | 62,599,998.15              | -                    | 62,599,998.15              | 469,607,126.76              | -                        | 469,607,126.76              |
| Clinic Services   | 65,596,421.20              | -                    | 65,596,421.20              | 482,715,656.68              | -                        | 482,715,656.68              |
| Nursing Home Services   | 149,566,391.33             | -                    | 149,566,391.33             | 1,080,855,084.09            | -                        | 1,080,855,084.09            |
| Other Long Term Care Services   | 1,302,004,586.33           | -                    | 1,302,004,586.33           | 10,838,250,787.10           | -                        | 10,838,250,787.10           |
| Managed Care Services   | 2,187,580,488.58           | -                    | 2,187,580,488.58           | 15,659,440,784.84           | -                        | 15,659,440,784.84           |
| Pharmacy Services   | 38,247,848.92              | -                    | 38,247,848.92              | 311,248,120.41              | -                        | 311,248,120.41              |
| Transportation Services   | 47,954,744.15              | -                    | 47,954,744.15              | 362,852,942.05              | -                        | 362,852,942.05              |
| Dental Services   | 1,123,601.90               | -                    | 1,123,601.90               | 7,945,651.51                | -                        | 7,945,651.51                |
| Non-Institutional & Other   | (80,819,562.56)            | -                    | (80,819,562.56)            | 13,709,555.35               | (582,102.00)             | 13,127,453.35               |
| Medical Services State Facilities   | 515,442,010.22             | -                    | 515,442,010.22             | 1,017,863,485.07            | -                        | 1,017,863,485.07            |
| Additional DSH Payments SUNY  | -                          | -                    | -                          | 221,268,617.80              | -                        | 221,268,617.80              |
| <b>TOTAL<sup>(**)</sup></b>   | <b>4,709,596,607.95</b>    | <b>-</b>             | <b>4,709,596,607.95</b>    | <b>34,615,748,159.14</b>    | <b>145,889,505.00</b>    | <b>34,761,637,664.14</b>    |
| Reclassification of Medical Assistance payments for care and treatment of patients at State-operated health, mental hygiene and State University facilities to Transfers and adjustments for timing of payments at month end. | (122,989,907.66)           | -                    | (122,989,907.66)           | (797,926,802.18)            | -                        | (797,926,802.18)            |
| <b>TOTAL REPORTED MEDICAID<sup>(***)</sup></b>  | <b>\$ 4,586,606,700.29</b> | <b>\$ -</b>          | <b>\$ 4,586,606,700.29</b> | <b>\$ 33,817,821,356.96</b> | <b>\$ 145,889,505.00</b> | <b>\$ 33,963,710,861.96</b> |

<sup>(\*)</sup> Special Revenue Federal Funds only.

These amounts do not include Medical Assistance spending for State Operations.

These amounts are not comparable to Medicaid Global Cap spending.

<sup>(\*\*)</sup> Source: Statewide Financial System

<sup>(\*\*\*)</sup> Reported Medicaid spending does not include the Basic Health Plan.