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EXECUTIVE CORRESPONDENCE

JUN 14 2018

OFFICE OF THE STATE COMPTROLLER
THOMAS P. DiNAPOLI
COMPTROLLER



June 4, 2018

Honorable Andrew M. Cuomo
Governor of New York State
NYS State Capitol Building
Albany, NY 12224

Honorable Thomas P. DiNapoli
Office of the State Comptroller
59 Maiden Lane, 31st Floor
New York, NY 10038

RE: Response to Report #2017-S-71 – MTA Staten Island Railway Operational Training and Medical Assessments of Train Crews

Gentlemen:

On March 1, 2018, the Office of the State Comptroller issued the above referenced audit report. As required by Section 170 of the Executive Law, I am providing you with the attached response which addresses the recommendations contained in the report.

A copy of the final audit report is attached for your convenience.

Sincerely,



Joseph J. Lhota

c: Helene Fromm, MTA Chief of Staff
Michael J. Fucilli, Auditor General, MTA Audit Services

Attachment

The agencies of the MTA

MTA New York City Transit
MTA Long Island Rail Road

MTA Metro-North Railroad
MTA Bridges and Tunnels


MTA Capital Construction
MTA Bus Company

Memorandum



Date May 31, 2018

To Joseph Lhota, Chairman, MTA

From Andy Byford, President, NYC Transit 

Re **New York State Comptroller Report #2016-S-71 – Staten Island Railway Operational Training and Medical Assessments of Train Crews: 90 Day Response**

In response to the requirements of Section 170 of the Executive Law to respond 90 days after receipt of the above-referenced audit report from the State Comptroller, we hereby provide you with steps taken by MTA New York City Transit to implement the recommendations outlined in the audit report.

The stated purpose of the audit was to determine whether SIR established and implemented training and retraining programs for train crews to ensure safe operations, and whether SIR ensured that train crews are medically fit and periodically monitored for continued medical fitness.

Comptroller Recommendation #1: Require all instructors to review the class files periodically during and at the end of training to ensure that all quizzes, tests, and final examinations are documented and graded, and are retained in the training files.

NYCT Response: This recommendation has been implemented. As is done for NYCT Department of Subways trainees, a checklist has been developed and placed in each SIR file to ensure all required quizzes, tests, and final exams are documented and graded. An annual review of these files by management is conducted to ensure compliance.

Comptroller Recommendation #2: Emphasize the importance of refresher training to ensure compliance by instructors and train crews, evidenced by complete records, including documents showing the employee attained passing grades.

NYCT Response: This recommendation has been implemented. As discussed in Recommendation #1, Training personnel ensure that all appropriate documentation is retained in the employee's folder, and compliance is reviewed annually by management.

Comptroller Recommendation #3: Develop a system that properly tracks and monitors employee medical examinations against the scheduled time intervals.

NYCT Response: This recommendation has been implemented. To enhance the scheduling process, a Medical Scheduling Database for SIR, which mirrors the process used in NYCT's Department of Subways, is currently active and utilized.