

MARK J.F. SCHROEDER  
Acting Commissioner



Department of  
Motor Vehicles

(518) 402-4860

6 EMPIRE STATE PLAZA • ALBANY, NY 12228

May 6, 2019

**RECEIVED**  
EXECUTIVE CORRESPONDENCE

MAY 09 2019

OFFICE OF THE STATE COMPTROLLER  
THOMAS P. DINAPOLI  
COMPTROLLER

The Honorable Thomas P. DiNapoli  
Office of the New York State Comptroller  
110 State Street  
Albany, NY 12207

Re: Response to Report #2018-S-7; Department of Motor Vehicles – Enforcement of Article 19-A of the Vehicle and Traffic Law

Dear Comptroller DiNapoli,

On February 7, 2019, your office issued the above-referenced audit report. As required by section 170 of the New York State Executive Law, I am providing you with a response addressing the recommendations included in your report.

**RECOMMENDATION 1:** Develop and consistently apply policies and procedures and issue detailed guidance that clearly explains which entities are required to comply with the law.

*DMV RESPONSE:* As noted in our response to the audit report, the Department already complies with this recommendation.

**RECOMMENDATION 2:** Develop a process to periodically review entities that previously received exemptions and determine if their exemption status remains applicable.

*DMV RESPONSE:* The Department has implemented this recommendation.

**RECOMMENDATION 3:** Determine if the 311 in entities we identified in this report are subject to the requirements of the law, and require their enrollment in the program as warranted.

*DMV RESPONSE:* The Department has implemented this recommendation.

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**RECOMMENDATION 4:** Develop a process to identify motor carriers using all relevant information available to the department.

*DMV RESPONSE: The Department has implemented this recommendation.*

**RECOMMENDATION 5:** Formally assess the new record review program to determine effectiveness and include a risk assessment of motor carriers to determine if it is necessary to incorporate random, unannounced on-site evaluations into future motor carrier reviews.

*DMV RESPONSE: The Department has implemented this recommendation*

If you have any questions concerning our response to this audit, please contact me at (518) 474-0846.

Sincerely,

A handwritten signature in black ink that reads "Mark J.F. Schroeder".

Mark J.F. Schroeder  
Commissioner  
NYS Department of Motor Vehicles