



Department of Corrections and Community Supervision

KATHY HOCHUL
Governor
DANIEL F. MARTUSCELLO III
Commissioner

September 22, 2025

Kenrick Sifontes
Audit Director
Office of the State Comptroller
Division of State Government Accountability
110 State Street, 11th Floor
Albany, New York 12236

RE: 180 Day Report Response - 2023-S-50,
"New York State Artificial Intelligence
Governance"

Dear Audit Director Sifontes,

Thank you for the opportunity to respond to the Office of the State Comptroller's (OSC) above-referenced final audit report relating to Audit Report Number 2023-S-50 titled *New York State Artificial Intelligence Governance*. On behalf of DOCCS and pursuant to Executive Law § 170, I write to provide confirmatory information concerning DOCCS' responses to OSC's recommendations detailed in the final audit report.

Response to Recommendations:

OSC Recommendation 4: *Review ITS' Acceptable Use of Artificial Intelligence Technologies policy to identify areas within the agency that need to be strengthened.*

DOCCS Response: *DOCCS has reviewed the ITS' Acceptable Use of Artificial Intelligence Technologies policy and will share it with Central Office Executives to identify areas within the agency where it is applicable and may need to be strengthened.*

Corrective Action Taken: *DOCCS has reviewed the ITS' Acceptable Use of Artificial Intelligence Technologies policy and shared it with Central Office Executives to identify areas within the agency where it is applicable and may need to be strengthened. An inventory of potential areas within the agency that will be impacted by this policy is being developed.*

OSC Recommendation 5: *Implement policies to create an effective AI governance structure.*

DOCCS Response: *DOCCS will leverage the ITS' Acceptable Use of Artificial Intelligence Technologies policy and the findings of this audit to develop an effective AI governance structure.*

Corrective Action Taken: *An inventory of potential areas within the agency that will be impacted by this policy is being developed. An agency Directive, utilizing ITS policy and industry*

best practices, is being drafted and will detail the agency's overall governance structure. Policy guidelines for specific areas/applications identified as using AI will also be developed.

OSC Recommendation 6: *Coordinate with ITS on developing AI governance structures as necessary.*

DOCCS Response: *Once DOCCS develops a draft AI governance structure we will consult with NYS ITS for input on the draft prior to issuance of any such policy.*

Corrective Action Taken: *DOCCS will share the draft of the agency Directive with ITS for review and input before issuing it in its final form. Likewise, individual area/application policies will also be shared for input and review.*

Sincerely,



Daniel F. Martuscello III
Commissioner

cc: Jaifa Collado, Executive Deputy Commissioner
Melissa Coolidge, Associate Commissioner/Chief of Staff to the Commissioner
Anne Marie McGrath, Deputy Commissioner, Strategic Planning & Population Management
Osbourne A. McKay, Deputy Commissioner for Correctional Industries, Compliance
Standards, & Diversity
Jason Golub, Deputy Commissioner & Counsel
Denisha Goodman, Assistant Commissioner for Correctional Industries and Compliance
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