

**STATE OF NEW YORK
OFFICE OF THE STATE COMPTROLLER
DIVISION OF MANAGEMENT AUDIT AND STATE FINANCIAL SERVICES
BUREAU OF ACCOUNTING OPERATIONS**

Comptroller's Monthly Report To The Legislature
On State Funds Cash Basis of Accounting
(Pursuant to Sec. 8(9-a) of the State Finance Law)

OCTOBER 2002



**H. CARL McCALL
STATE COMPTROLLER**

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(in millions)**

EXHIBIT A

	GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS			
	MONTH OF	7 MO. ENDED	MONTH OF	7 MO. ENDED	MONTH OF	7 MO. ENDED	MONTH OF	7 MO. ENDED	MONTH OF	7 MO. ENDED	MONTH OF	7 MO. ENDED
	OCT. 2002	OCT. 31, 2002	OCT. 2002	OCT. 31, 2002	OCT. 2002	OCT. 31, 2002	OCT. 2002	OCT. 31, 2002	OCT. 2002	OCT. 31, 2002	OCT. 2001	OCT. 31, 2001
RECEIPTS:												
Personal Income Tax (6)	\$779.0	\$11,295.8	\$628.0	\$808.0	\$259.6	\$2,202.5	\$ --	\$ --	\$1,666.6	\$14,306.3	\$1,713.5	\$18,337.0
Consumption/Use Taxes and Fees (5)	524.3	4,121.6	40.1	324.1	164.3	1,292.4	83.5	614.1	812.2	6,352.2	935.6	6,125.3
Business Taxes	14.9	1,550.2	38.3	557.3	--	--	44.7	343.4	97.9	2,450.9	115.7	2,657.4
Other Taxes	45.3	468.4	--	--	47.4	234.6	11.2	56.0	103.9	759.0	99.0	650.1
Miscellaneous Receipts (8)	183.8	1,034.8	1,139.3	5,397.9	86.0	359.3	117.5	759.3	1,526.6	7,551.3	828.1	5,559.6
Federal Grants	0.6	4.2	2,485.6	17,999.0	--	--	160.1	924.9	2,646.3	18,928.1	2,093.9	15,666.0
Total Receipts	<u>1,547.9</u>	<u>18,475.0</u>	<u>4,331.3</u>	<u>25,086.3</u>	<u>557.3</u>	<u>4,088.8</u>	<u>417.0</u>	<u>2,697.7</u>	<u>6,853.5</u>	<u>50,347.8</u>	<u>5,785.8</u>	<u>48,995.4</u>
DISBURSEMENTS:												
Local Assistance Grants:												
General Purpose	16.7	311.8	--	--	--	--	--	--	16.7	311.8	5.3	256.9
Education	786.9	6,381.3	724.9	3,718.3	--	--	--	0.7	1,511.8	10,100.3	841.3	8,631.2
Social Services (4)	662.5	5,215.6	2,380.1	14,341.0	--	--	--	--	3,042.6	19,556.6	2,179.4	16,816.3
Health and Environment	22.2	294.0	159.1	1,141.6	--	--	0.1	152.0	181.4	1,587.6	177.1	1,458.4
Mental Hygiene	93.3	614.8	16.8	119.3	--	--	4.3	11.0	114.4	745.1	144.3	812.4
Transportation	0.1	97.8	105.3	884.9	--	--	28.7	143.6	134.1	1,126.3	95.5	960.0
Criminal Justice	21.8	84.1	13.2	73.7	--	--	--	--	35.0	157.8	29.5	166.3
SEMO and Disaster Assistance	7.2	12.1	55.8	777.6	--	--	--	--	63.0	789.7	162.6	309.7
Miscellaneous	21.1	231.6	61.2	404.8	--	--	30.8	94.0	113.1	730.4	145.1	734.4
Total Local Assistance Grants	<u>1,631.8</u>	<u>13,243.1</u>	<u>3,516.4</u>	<u>21,461.2</u>	<u>--</u>	<u>--</u>	<u>63.9</u>	<u>401.3</u>	<u>5,212.1</u>	<u>35,105.6</u>	<u>3,780.1</u>	<u>30,145.6</u>
Departmental Operations:												
Personal Service	447.5	4,033.6	467.9	1,945.6	--	--	--	--	915.4	5,979.2	760.8	5,622.6
Non-Personal Service	146.3	1,225.9	308.0	1,560.5	0.4	3.8	--	--	454.7	2,790.2	448.7	2,617.7
General State Charges	236.1	1,827.4	46.5	279.3	--	--	--	--	282.6	2,106.7	264.9	1,868.3
Debt Service, Including Payments on												
Financing Agreements (2)	--	--	--	--	107.0	1,757.4	--	--	107.0	1,757.4	86.2	1,987.3
Capital Projects (3)	--	--	0.4	1.7	--	--	345.4	2,295.1	345.8	2,296.8	338.5	2,199.8
Total Disbursements	<u>2,461.7</u>	<u>20,330.0</u>	<u>4,339.2</u>	<u>25,248.3</u>	<u>107.4</u>	<u>1,761.2</u>	<u>409.3</u>	<u>2,696.4</u>	<u>7,317.6</u>	<u>50,035.9</u>	<u>5,679.2</u>	<u>44,441.3</u>
Excess (Deficiency) of Receipts over Disbursements	<u>(913.8)</u>	<u>(1,855.0)</u>	<u>(7.9)</u>	<u>(162.0)</u>	<u>449.9</u>	<u>2,327.6</u>	<u>7.7</u>	<u>1.3</u>	<u>(464.1)</u>	<u>311.9</u>	<u>106.6</u>	<u>4,554.1</u>
OTHER FINANCING SOURCES (USES):												
Bond Proceeds (net)	--	--	--	--	--	--	--	--	--	--	--	93.0
Transfers from Other Funds (1)	476.0	3,757.7	221.4	1,643.3	144.1	2,568.2	44.1	332.1	885.6	8,301.3	706.4	5,334.0
Transfers to Other Funds (1)	(86.0)	(1,723.4)	(62.2)	(1,168.0)	(679.4)	(4,808.6)	(59.9)	(645.0)	(887.5)	(8,345.0)	(719.4)	(5,347.2)
Total Other Financing Sources (Uses)	<u>390.0</u>	<u>2,034.3</u>	<u>159.2</u>	<u>475.3</u>	<u>(535.3)</u>	<u>(2,240.4)</u>	<u>(15.8)</u>	<u>(312.9)</u>	<u>(1.9)</u>	<u>(43.7)</u>	<u>(13.0)</u>	<u>79.8</u>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	<u>(523.8)</u>	<u>179.3</u>	<u>151.3</u>	<u>313.3</u>	<u>(85.4)</u>	<u>87.2</u>	<u>(8.1)</u>	<u>(311.6)</u>	<u>(466.0)</u>	<u>268.2</u>	<u>93.6</u>	<u>4,633.9</u>
Beginning Fund Balances (Deficit) (7)	<u>1,734.9</u>	<u>1,031.8</u>	<u>1,208.9</u>	<u>1,046.9</u>	<u>341.6</u>	<u>169.0</u>	<u>(570.9)</u>	<u>(267.4)</u>	<u>2,714.5</u>	<u>1,980.3</u>	<u>8,181.6</u>	<u>3,641.3</u>
Ending Fund Balances (Deficit)	<u>\$1,211.1</u>	<u>\$1,211.1</u>	<u>\$1,360.2</u>	<u>\$1,360.2</u>	<u>\$256.2</u>	<u>\$256.2</u>	<u>(\$579.0)</u>	<u>(\$579.0)</u>	<u>\$2,248.5</u>	<u>\$2,248.5</u>	<u>\$8,275.2</u>	<u>\$8,275.2</u>

GOVERNMENTAL FUNDS FOOTNOTES

**Exhibit A Notes
October 2002**

1. Operating transfers constitute legally authorized transfers from a fund receiving revenues to a fund through which disbursements will ultimately be made.

The more significant transfers include the General Fund transfers "To" the State Capital Projects Fund (\$321.3m), the General Debt Service Fund (\$916.8m), the Court Facilities Incentive Aid Fund (\$41.1m), the SUNY Income Fund (\$50.6), the Banking Services Fund (\$42.1m) and the Community Provider Assistance Program Fund (\$100.0m).

Also included in General Fund transfers are transfers representing payments for patients residing in State-operated Health, Mental Hygiene and State University facilities to Debt Service Funds (\$18.9m) and Special Revenue Funds (\$185.4m).

In Special Revenue Funds, Transfers "To" Other Funds includes transfers to Debt Service Funds (\$1,120.4m) representing the federal share of Medicaid payments for patients residing in State-operated Health and Mental Hygiene facilities and (\$19.7m) from the SUNY Income Fund State University Hospital Income Reimbursable Account.

Also included in Special Revenue Funds are transfers to the General Fund from Miscellaneous State Special Revenue Fund (\$11.6m).

In Debt Service Funds, Transfers "To" Other Funds includes transfers to the General Fund from the Local Government Assistance Tax Fund (\$1,119.2m), the Clean Water/Clean Air Fund (\$190.0m), the Emergency Highway Reconditioning and Preservation Fund (\$37.0m) and the Emergency Highway Construction and Reconstruction Fund (\$37.0m).

Also included in Debt Service Fund transfers are transfers to Special Revenue Funds representing receipts in excess of lease-purchase obligations that are used to finance a portion of the operating expenses for the Departments of Health (\$54.7m), Mental Hygiene (\$1,040.3m) and the State University (\$120.5m).

Chapter 383 of the Laws of 2001 amended the SFL to establish the Revenue Bond Tax Fund within the Debt Service Fund Group. The act authorizes the Comptroller to deposit 25% of personal income tax withholdings to the Revenue Bond Tax Fund to satisfy debt service requirements. The Comptroller is further authorized to transfer personal income tax receipts in excess of debt service requirements to General Fund. Transfers from the Revenue Bond Tax Fund to the General Fund are \$2,199.1m.

In Capital Projects Funds, Transfers "To" Other Funds includes transfers to the General Fund from the Environmental Protection Fund (\$150.0m) and to the General Debt Service Fund (\$490.4m).

2. Total debt service disbursements include:
- | | |
|------------------------------------------------------|-----------------|
| - Principal and interest on general obligation bonds | \$298.3 million |
| - Lease-purchase/contractual obligation payments | 1,459.1 |

3. Certain disbursements from Capital Projects Funds are financed by operating transfers from other funds, proceeds of State bonds and notes, and reimbursements received from Public Authorities and the Federal Government.

The amounts shown below represent disbursements to be reimbursed in future months from the sources indicated:

State bond and note proceeds	\$100.8 million
Urban Development Corporation (Youth Facilities)	8.7
Urban Development Corporation (Correctional Facilities)	70.5
Housing Finance Agency (HFA)	172.2
Dormitory Authority (MCFFA)	171.8
Dormitory Authority (Health Facilities)	26.2
Dormitory Authority and State University Income Fund	62.7
Federal Capital Projects	170.6

4. Special Revenue Federal Funds disbursements include the following payments made by the State which will be reimbursed by the Federal Government in November 2002:
- | | |
|-----------------------------------------|---------------|
| Federal USDA/Food and Consumer Services | \$ -- million |
| Federal DHHS (Medicaid) | 176.6 |
| Federal DHHS (All Other) | -- |
| Federal DHHS/Block Grant | 1.1 |
| Federal Education | 11.2 |
| Federal Miscellaneous Operating Grants | -- |
| Federal DOL Grants | -- |

5. General Fund receipts do not include \$427.7 million of cigarette tax receipts which were collected by the State and credited to the Tobacco Control and Insurance Initiatives Pool.

6. A portion of personal income tax receipts are transfers to the State Special Revenue-School Tax Relief (STAR) Fund and used to reimburse school districts for the STAR property tax exemptions for homeowners. During September and October STAR payments totalling \$180.0 million and \$613.3 million were made and are included in Local Assistance Grants - Education.

7. The April 1, 2001 cash balance for the Agency Funds (-) and General Fund (+) have been adjusted to reflect the reclassification of the Fringe Benefit Escrow Fund.

GOVERNMENTAL FUNDS FOOTNOTES (continued)

8. Miscellaneous receipts in Governmental Funds include:

	GENERAL FUND	SPECIAL REVENUE	DEBT SERVICE	CAPITAL PROJECTS	7 Months Ended October 31		Increase/ (Decrease)
					2002	2001	
	(amounts in millions)						
Abandoned and Unclaimed Property	\$ 230.5	\$ --	\$ --	\$ --	\$ 230.5	\$ 135.0	\$ 95.5
Interest Earnings	22.7	26.8	1.9	3.7	55.1	324.0	(268.9)
Receipts from Public Authorities:							
Bond Issuance Fees	84.9	13.5	--	--	98.4	2.5	95.9
Bond Proceeds to Reimburse Capital Spending	--	--	--	688.0	688.0	303.0	385.0
Cost Recovery Assessments	--	0.6	--	--	0.6	0.3	0.3
Dormitory Authority	--	12.0	--	--	12.0	--	12.0
Housing Finance Agency	50.0	--	--	--	50.0	--	50.0
State of NY Mortgage Agency	150.0	--	--	--	150.0	--	150.0
SUNY Construction Fund	--	6.8	--	--	6.8	8.2	(1.4)
Thruway Authority	2.0	14.5	--	--	16.5	20.9	(4.4)
All Other	--	10.6	--	10.1	20.7	8.7	12.0
Refunds and Reimbursements:							
SUNY Contracts and Grants	--	175.5	--	--	175.5	171.1	4.4
Receipts from Municipalities	1.1	13.5	10.7	0.6	25.9	23.4	2.5
Women, Infants and Children Rebates	--	68.2	--	--	68.2	69.9	(1.7)
HESC Student Loan Recoveries	--	42.7	--	--	42.7	41.4	1.3
Admin Recoveries - Collection of Local Taxes	28.2	18.2	--	0.6	47.0	47.2	(0.2)
Indirect Cost Assessments	42.4	--	--	--	42.4	41.9	0.5
All Other	13.2	66.2	21.4	16.8	117.6	119.9	(2.3)
Health Care Reform Act Transfers From:							
Health Care Initiatives Pool	--	--	--	--	--	--	--
Loans from HCRA Pools	--	50.0	--	--	50.0	--	50.0
Tobacco Control & Insurance Initiatives Pool	--	680.7	--	--	680.7	301.5	379.2
Revenues of State Departments:							
Patient/Client Care	60.0	431.9	182.1	--	674.0	650.3	23.7
Medical Care Provider Assessments	79.9	1,258.8	--	--	1,338.7	765.0	573.7
Assessments against Regulated Industries	--	295.5	--	--	295.5	310.4	(14.9)
Student Tuition and Fees	--	453.9	129.4	--	583.3	472.5	110.8
Rental on World Trade Center	8.8	--	--	--	8.8	7.0	1.8
EPIC Premiums and Fees	--	79.0	--	--	79.0	48.6	30.4
Rentals and Leases	1.4	4.2	--	4.6	10.2	9.8	0.4
Miscellaneous Sales	8.8	41.9	0.1	0.1	50.9	44.9	6.0
All Other	4.1	56.7	13.7	6.5	81.0	40.1	40.9
Lottery Receipts:							
Education	--	883.1	--	--	883.1	777.0	106.1
Administration	--	249.9	--	--	249.9	200.6	49.3
Licenses and Fees	165.6	393.0	--	28.0	586.6	485.1	101.5
Fines	81.2	50.2	--	0.3	131.7	129.4	2.3
TOTAL	\$ 1,034.8	\$ 5,397.9	\$ 359.3	\$ 759.3	\$ 7,551.3	\$ 5,559.6	\$ 1,991.7

STATE OF NEW YORK
 PROPRIETARY FUNDS
 COMBINED STATEMENT OF
 CASH RECEIPTS, DISBURSEMENTS AND
 CHANGES IN EQUITY
 (in millions)

EXHIBIT B

	ENTERPRISE		INTERNAL SERVICE		TOTAL PROPRIETARY FUNDS (memorandum only)			
	MONTH OF OCT. 2002	7 MO. ENDED OCT. 31, 2002	MONTH OF OCT. 2002	7 MO. ENDED OCT. 31, 2002	MONTH OF OCT. 2002	7 MO. ENDED OCT. 31, 2002	MONTH OF OCT. 2001	7 MO. ENDED OCT. 31, 2001
RECEIPTS:								
Miscellaneous Receipts	\$5.5	\$44.9	\$46.0	\$316.4	\$51.5	\$361.3	\$44.0	\$296.0
TOTAL RECEIPTS	<u>5.5</u>	<u>44.9</u>	<u>46.0</u>	<u>316.4</u>	<u>51.5</u>	<u>361.3</u>	<u>44.0</u>	<u>296.0</u>
DISBURSEMENTS:								
Departmental Operations:								
Personal Service	0.9	7.6	9.8	67.9	10.7	75.5	9.2	71.8
Non-Personal Service	7.5	38.0	19.0	272.2	26.5	310.2	50.9	279.4
General State Charges	0.2	0.7	1.2	23.4	1.4	24.1	1.3	13.7
Debt Service	--	--	--	49.9	--	49.9	--	61.7
TOTAL DISBURSEMENTS	<u>8.6</u>	<u>46.3</u>	<u>30.0</u>	<u>413.4</u>	<u>38.6</u>	<u>459.7</u>	<u>61.4</u>	<u>426.6</u>
EXCESS (DEFICIENCY) OF RECEIPTS OVER DISBURSEMENTS	<u>(3.1)</u>	<u>(1.4)</u>	<u>16.0</u>	<u>(97.0)</u>	<u>12.9</u>	<u>(98.4)</u>	<u>(17.4)</u>	<u>(130.6)</u>
OTHER FINANCING SOURCES (USES):								
Transfers from Other Funds	--	--	2.1	42.0	2.1	42.0	12.6	12.6
Transfers to Other Funds	--	--	--	--	--	--	--	--
NET SOURCES (USES)	<u>--</u>	<u>--</u>	<u>2.1</u>	<u>42.0</u>	<u>2.1</u>	<u>42.0</u>	<u>12.6</u>	<u>12.6</u>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(3.1)	(1.4)	18.1	(55.0)	15.0	(56.4)	(4.8)	(118.0)
BEGINNING FUND EQUITY (DEFICITS)	<u>20.7</u>	<u>19.0</u>	<u>(174.6)</u>	<u>(101.5)</u>	<u>(153.9)</u>	<u>(82.5)</u>	<u>(178.5)</u>	<u>(65.3)</u>
ENDING FUND EQUITY (DEFICITS)	<u>\$17.6</u>	<u>\$17.6</u>	<u>(\$156.5)</u>	<u>(\$156.5)</u>	<u>(\$138.9)</u>	<u>(\$138.9)</u>	<u>(\$183.3)</u>	<u>(\$183.3)</u>

STATE OF NEW YORK
TRUST FUNDS
COMBINED STATEMENT OF
CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
(in millions)

EXHIBIT C

	EXPENDABLE TRUST		NONEXPENDABLE TRUST		TOTAL TRUST FUNDS (memorandum only)			
	MONTH OF	7 MO. ENDED	MONTH OF	7 MO. ENDED	MONTH OF	7 MO. ENDED	MONTH OF	7 MO. ENDED
	OCT. 2002	OCT. 31, 2002	OCT. 2002	OCT. 31, 2002	OCT. 2002	OCT. 31, 2002	OCT. 2001	OCT. 31, 2001
RECEIPTS:								
Miscellaneous Receipts	\$0.8	\$11.8	\$2.5	\$19.7	\$3.3	\$31.5	\$8.4	\$33.7
Federal Grants	85.4	853.0	0.2	1.2	85.6	854.2	2.5	16.3
Unemployment Taxes	225.0	1,705.8	--	--	225.0	1,705.8	306.8	1,463.3
TOTAL RECEIPTS	311.2	2,570.6	2.7	20.9	313.9	2,591.5	317.7	1,513.3
DISBURSEMENTS:								
Local Assistance Grants:								
Mental Hygiene	--	--	--	0.1	--	0.1	--	2.5
Miscellaneous	--	--	--	2.4	--	2.4	0.7	2.7
Departmental Operations:								
Personal Service	0.3	2.7	--	--	0.3	2.7	0.4	2.8
Non-Personal Service	1.3	10.0	5.7	14.0	7.0	24.0	8.4	23.4
General State Charges	--	1.0	--	--	--	1.0	--	0.9
Unemployment Benefits	304.2	2,537.6	--	--	304.2	2,537.6	268.7	1,454.8
Capital Projects	0.9	2.0	--	--	0.9	2.0	1.2	1.8
TOTAL DISBURSEMENTS	306.7	2,553.3	5.7	16.5	312.4	2,569.8	279.4	1,488.9
EXCESS (DEFICIENCY) OF RECEIPTS OVER DISBURSEMENTS	4.5	17.3	(3.0)	4.4	1.5	21.7	38.3	24.4
OTHER FINANCING SOURCES (USES):								
Transfers from Other Funds	--	1.8	--	--	--	1.8	0.3	0.6
Transfers to Other Funds	--	--	--	--	--	--	--	--
NET SOURCES (USES)	--	1.8	--	--	--	1.8	0.3	0.6
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	4.5	19.1	(3.0)	4.4	1.5	23.5	38.6	25.0
BEGINNING FUND BALANCES	61.0	46.4	31.2	23.8	92.2	70.2	49.2	62.8
ENDING FUND BALANCES	\$65.5	\$65.5	\$28.2	\$28.2	\$93.7	\$93.7	\$87.8	\$87.8

**STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
STATE FISCAL YEAR ENDED MARCH 31, 2003
FOR THE SEVEN (7) MONTHS ENDED OCTOBER 31, 2002
(amounts in millions)**

EXHIBIT "D"

	GENERAL FUND		
	Financial Plan October 2002	Actual	Favorable (Unfavorable) Variance
OPENING CASH BALANCE-APRIL 1, 2002	\$1,031.8	\$1,031.8	--
RECEIPTS:			
Taxes:			
Personal Income Tax	13,494.8	11,295.8	(2,199.0) (1)
Consumption/Use Taxes:			
Sales and Use Taxes	3,662.9	3,662.9	--
Other Consumption/Use Taxes	464.0	458.7	(5.3)
Business Taxes	1,550.1	1,550.2	0.1
Other Taxes	468.2	468.4	0.2
Miscellaneous Receipts/Federal Grants	1,038.8	1,039.0	0.2
Total Receipts	<u>20,678.8</u>	<u>18,475.0</u>	<u>(2,203.8)</u>
DISBURSEMENTS:			
Local Assistance Grants	13,242.9	13,243.1	(0.2)
Departmental Operations	5,259.6	5,259.5	0.1
General State Charges	1,827.4	1,827.4	--
Total Disbursements	<u>20,329.9</u>	<u>20,330.0</u>	<u>(0.1)</u>
Excess (Deficiency) of Receipts Over Disbursements	<u>348.9</u>	<u>(1,855.0)</u>	<u>(2,203.9)</u>
OTHER FINANCING SOURCES (USES):			
Transfers From Other Funds	1,552.1	3,757.7	2,205.6 (1)
Transfers To Other Funds	<u>(1,722.8)</u>	<u>(1,723.4)</u>	<u>(0.6)</u>
Total Other Financing Sources (Uses)	<u>(170.7)</u>	<u>2,034.3</u>	<u>2,205.0</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	<u>178.2</u>	<u>179.3</u>	<u>1.1</u>
CLOSING CASH BALANCE-OCTOBER 31, 2002	<u>\$1,210.0</u>	<u>\$1,211.1</u>	<u>\$1.1</u>

(1) Variance represents a perspective difference in the accounting for personal income tax receipts which are required by statute to be credited to the Revenue Bond Tax Fund (RBTF). Consistent with past practices and generally accepted accounting principles of dedicated funds, personal income tax receipts that flow through the RBTF are reported as tax receipts of the debt service fund and any excess of debt service needs are transferred from the RBTF to the General Fund.

STATE OF NEW YORK
GOVERNMENTAL FUNDS
COMPARATIVE SCHEDULE OF TAX RECEIPTS
(amounts in millions)

EXHIBIT E

	GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS			
	MONTH OF OCT. 2002	7 MO. ENDED OCT. 31, 2002	MONTH OF OCT. 2002	7 MO. ENDED OCT. 31, 2002	MONTH OF OCT. 2002	7 MO. ENDED OCT. 31, 2002	MONTH OF OCT. 2002	7 MO. ENDED OCT. 31, 2002	MONTH OF OCT. 2002	7 MO. ENDED OCT. 31, 2002	MONTH OF OCT. 2001	7 MO. ENDED OCT. 31, 2001
PERSONAL INCOME TAX												
Withholding	\$ 1,571.9	\$ 10,502.3	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$ 1,571.9	\$ 10,502.3	\$ 1,622.5	\$ 10,573.6
Estimated payments	38.3	3,190.8	--	--	--	--	--	--	38.3	3,190.8	67.8	4,205.0
Final returns	101.5	1,238.3	--	--	--	--	--	--	101.5	1,238.3	78.1	1,748.8
Other	41.1	235.9	--	--	--	--	--	--	41.1	235.9	23.6	242.0
Gross Receipts	<u>1,752.8</u>	<u>15,167.3</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>1,752.8</u>	<u>15,167.3</u>	<u>1,792.0</u>	<u>16,769.4</u>
Transfers to School Tax Relief Fund	(628.0)	(808.0)	628.0	808.0	--	--	--	--	--	--	--	--
Transfers to Debt Reduction Reserve Fund	--	--	--	--	--	--	--	--	--	--	--	--
Transfers to Revenue Bond Tax Fund	(259.6)	(2,202.5)	--	--	259.6	2,202.5	--	--	--	--	--	--
Refund Reserve Reduction (Increase)	--	1,677.4	--	--	--	--	--	--	--	1,677.4	--	3,517.4
Less: Refunds Issued	<u>(86.2)</u>	<u>(2,538.4)</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>(86.2)</u>	<u>(2,538.4)</u>	<u>(78.5)</u>	<u>(1,949.8)</u>
Total	<u>779.0</u>	<u>11,295.8</u>	<u>628.0</u>	<u>808.0</u>	<u>259.6</u>	<u>2,202.5</u>	<u>--</u>	<u>--</u>	<u>1,666.6</u>	<u>14,306.3</u>	<u>1,713.5</u>	<u>18,337.0</u>
CONSUMPTION / USE TAXES AND FEES												
Sales and Use	464.1	3,662.9	27.4	235.9	154.7	1,218.4	--	--	646.2	5,117.2	757.5	4,894.5
Auto Rental (1)	--	--	--	--	--	--	--	22.8	--	22.8	1.6	20.4
Hotel / Motel	--	--	--	--	--	--	--	--	--	--	--	--
Motor Vehicle	5.0	34.8	7.0	45.4	--	--	42.2	284.1	54.2	364.3	58.4	350.3
Cigarette/Tobacco Products	38.2	294.1	--	--	--	--	--	--	38.2	294.1	43.4	316.8
Motor Fuel	--	--	5.7	42.8	9.6	74.0	28.7	221.5	44.0	338.3	45.6	325.5
Alcoholic Beverage	13.3	107.6	--	--	--	--	--	--	13.3	107.6	13.0	104.2
Beverage Container	--	--	--	--	--	--	--	--	--	--	--	--
Highway Use	--	--	--	--	--	--	12.6	85.7	12.6	85.7	13.3	91.9
Alcoholic Beverage Control Licenses	<u>3.7</u>	<u>22.2</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>3.7</u>	<u>22.2</u>	<u>2.8</u>	<u>21.7</u>
Total	<u>524.3</u>	<u>4,121.6</u>	<u>40.1</u>	<u>324.1</u>	<u>164.3</u>	<u>1,292.4</u>	<u>83.5</u>	<u>614.1</u>	<u>812.2</u>	<u>6,352.2</u>	<u>935.6</u>	<u>6,125.3</u>
BUSINESS TAXES												
Corporation Franchise	24.0	641.8	2.0	99.2	--	--	--	--	26.0	741.0	7.6	883.9
Corporation and Utilities	(3.5)	361.5	(0.2)	116.1	--	--	--	--	(3.7)	477.6	10.3	591.2
Insurance	3.7	329.7	1.0	32.0	--	--	--	--	4.7	361.7	11.6	328.7
Bank	(9.3)	217.2	(0.6)	36.7	--	--	--	--	(9.9)	253.9	2.8	285.8
Petroleum Business	--	--	36.1	273.3	--	--	44.7	343.4	80.8	616.7	83.4	567.8
Lubricating Oil	--	--	--	--	--	--	--	--	--	--	--	--
Total	<u>14.9</u>	<u>1,550.2</u>	<u>38.3</u>	<u>557.3</u>	<u>--</u>	<u>--</u>	<u>44.7</u>	<u>343.4</u>	<u>97.9</u>	<u>2,450.9</u>	<u>115.7</u>	<u>2,657.4</u>
OTHER TAXES												
Real Property Gains	0.5	2.9	--	--	--	--	--	--	0.5	2.9	(0.2)	4.1
Estate and Gift	42.3	446.4	--	--	--	--	--	--	42.3	446.4	54.3	392.4
Pari-Mutuel	2.3	18.7	--	--	--	--	--	--	2.3	18.7	2.3	18.3
Real Estate Transfer	--	--	--	--	47.4	234.6	11.2	56.0	58.6	290.6	42.4	234.8
Racing and Exhibitions	<u>0.2</u>	<u>0.4</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>0.2</u>	<u>0.4</u>	<u>0.2</u>	<u>0.5</u>
Total	<u>45.3</u>	<u>468.4</u>	<u>--</u>	<u>--</u>	<u>47.4</u>	<u>234.6</u>	<u>11.2</u>	<u>56.0</u>	<u>103.9</u>	<u>759.0</u>	<u>99.0</u>	<u>650.1</u>
TOTAL TAX RECEIPTS	<u>\$ 1,363.5</u>	<u>\$ 17,436.0</u>	<u>\$ 706.4</u>	<u>\$ 1,689.4</u>	<u>\$ 471.3</u>	<u>\$ 3,729.5</u>	<u>\$ 139.4</u>	<u>\$ 1,013.5</u>	<u>\$ 2,680.6</u>	<u>\$ 23,868.4</u>	<u>\$ 2,863.8</u>	<u>\$ 27,769.8</u>

(1) Transfer Auto Use Tax from the General Fund to Highway and Bridge Trust Fund pursuant to Chapter 85, Part D, Laws of 2002.

STATE OF NEW YORK
GENERAL FUND
STATEMENT OF CASH FLOW
FISCAL YEAR 2002-2003
(in millions)

EXHIBIT "F"

		7 Months Ended Oct. 31											2002	2001		
		2002							2003							
		APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY			MARCH	
OPENING CASH BALANCE	(1)	\$1,031.8	\$3,800.0	\$1,170.6	\$1,736.7	\$1,337.2	\$997.9	\$1,734.9							\$1,031.8	\$1,109.7
RECEIPTS:																
Personal Income Tax		4,688.2	463.0	1,603.4	1,164.7	1,072.1	1,525.4	779.0							11,295.8	17,900.3
Consumption/Use Taxes and Fees	(2)(3)	591.4	502.3	687.5	572.9	490.3	752.9	524.3							4,121.6	4,076.1
Business Taxes		57.0	(64.1)	709.1	71.4	32.7	729.2	14.9							1,550.2	1,826.2
Other Taxes		59.4	61.5	88.7	66.7	77.7	69.1	45.3							468.4	415.3
Miscellaneous Receipts		77.3	132.3	304.6	86.8	93.8	156.2	183.8							1,034.8	860.2
Federal Grants		1.7	1.0	(0.2)	0.6	--	0.5	0.6							4.2	0.8
Total Receipts		5,475.0	1,096.0	3,393.1	1,963.1	1,766.6	3,233.3	1,547.9	0.0	0.0	0.0	0.0	0.0	18,475.0	25,078.9	
DISBURSEMENTS:																
Local Assistance Grants:																
General Purpose		70.0	11.1	60.4	4.8	12.2	136.6	16.7						311.8	256.9	
Education		233.2	1,807.2	1,731.2	249.8	830.6	742.4	786.9						6,381.3	5,885.9	
Social Services		800.4	1,208.8	501.3	1,101.6	592.4	348.6	662.5						5,215.6	5,345.6	
Health and Environment		55.2	20.1	61.8	52.6	24.8	57.3	22.2						294.0	360.1	
Mental Hygiene		149.1	57.4	34.1	152.3	34.0	94.6	93.3						614.8	658.8	
Transportation		0.1	13.0	58.4	0.1	26.1	--	0.1						97.8	104.1	
Criminal Justice		6.8	8.9	7.9	16.7	9.6	12.4	21.8						84.1	96.4	
SEMO and Disaster Assistance		2.3	0.1	1.7	--	--	0.8	7.2						12.1	3.2	
Miscellaneous		11.6	15.9	21.3	52.3	58.8	50.6	21.1						231.6	270.0	
Total Local Assistance Grants		1,328.7	3,142.5	2,478.1	1,630.2	1,588.5	1,443.3	1,631.8	0.0	0.0	0.0	0.0	0.0	13,243.1	12,981.0	
Departmental Operations:																
Personal Service		617.8	508.3	584.7	782.9	549.3	543.1	447.5						4,033.6	3,944.3	
Non-Personal Service	(1)	178.3	190.4	154.6	204.4	197.1	154.8	146.3						1,225.9	1,277.1	
General State Charges	(1)	350.6	159.0	180.6	248.1	192.2	460.8	236.1						1,827.4	1,707.2	
Debt Service, Including Payments on Financing Agreements		--	--	--	--	--	--	--						--	--	
Total Disbursements		2,475.4	4,000.2	3,398.0	2,865.6	2,527.1	2,602.0	2,461.7	0.0	0.0	0.0	0.0	0.0	20,330.0	19,909.6	
Excess (Deficiency) of Receipts over Disbursements		2,999.6	(2,904.2)	(4.9)	(902.5)	(760.5)	631.3	(913.8)	0.0	0.0	0.0	0.0	0.0	(1,855.0)	5,169.3	
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds		186.6	483.2	798.7	586.7	555.1	671.4	476.0						3,757.7	1,234.3	
Transfers to State Capital Projects		(52.0)	(32.1)	(6.9)	(36.9)	(48.9)	(101.7)	(42.8)						(321.3)	(269.3)	
Transfers to General Debt Service		(170.4)	(161.6)	(185.7)	(30.5)	(59.6)	(278.7)	(30.3)						(916.8)	(1,162.7)	
Transfers to All Other State Funds		(195.6)	(14.7)	(35.1)	(16.3)	(25.4)	(185.3)	(12.9)						(485.3)	(224.4)	
Total Other Financing Sources (Uses)		(231.4)	274.8	571.0	503.0	421.2	105.7	390.0	0.0	0.0	0.0	0.0	0.0	2,034.3	(422.1)	
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses		2,768.2	(2,629.4)	566.1	(399.5)	(339.3)	737.0	(523.8)	0.0	0.0	0.0	0.0	0.0	179.3	4,747.2	
CLOSING CASH BALANCE	(3)	\$3,800.0	\$1,170.6	\$1,736.7	\$1,337.2	\$997.9	\$1,734.9	\$1,211.1	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$1,211.1	\$5,856.9	

(1) The April 2001 beginning cash balance and activity in Non-Personal Services and General State Charges reflects the reclassification of the Employee Fringe Benefits Escrow Fund to the General Fund Group.

(2) Reported amount does not include cigarette taxes which, pursuant to statute, are credited to the Tobacco Control and Insurance Initiatives Pool (see Exhibit A - Note #5)

(3) Cash Balance was adjusted to reflect transfer of Auto Usage Tax from the General Fund to the Highway and Bridge Trust Fund, Capital Projects Fund Group, pursuant to Chapter 85, Part D, Laws of 2002.

STATE OF NEW YORK
GENERAL FUND
CASH FLOW SCHEDULE OF TAX RECEIPTS
FISCAL YEAR 2002-2003
(in millions)

EXHIBIT " F "

(page 2)

	7 Months Ended Oct. 31												2002	2001	
	2002 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2003 JANUARY	FEBRUARY	MARCH			
PERSONAL INCOME TAX															
Withholdings	\$1,605.3	\$1,480.4	\$1,449.4	\$1,553.2	\$1,444.2	\$1,397.9	\$1,571.9							\$10,502.3	\$10,573.6
Estimated payments	1,414.5	28.2	784.2	37.2	29.8	858.6	38.3							3,190.8	4,205.0
Final returns	1,020.3	29.0	19.9	19.9	33.5	14.2	101.5							1,238.3	1,748.8
Other	65.1	(43.9)	39.2	45.5	41.9	47.0	41.1							235.9	242.0
Gross Receipts	4,105.2	1,493.7	2,292.7	1,655.8	1,549.4	2,317.7	1,752.8	0.0	0.0	0.0	0.0	0.0		15,167.3	16,769.4
Transfers to School Tax Relief Fund	--	--	--	--	--	(180.0)	(628.0)							(808.0)	(186.7)
Transfers to Debt Reduction Reserve Fund	--	--	--	--	--	--	--							--	(250.0)
Transfers to Revenue Bond Tax Fund	--	(154.3)	(534.4)	(388.3)	(357.3)	(508.6)	(259.6)							(2,202.5)	--
Refund reserve reduction (increase)	1,677.4	--	--	--	--	--	--							1,677.4	3,517.4
Refunds issued	(1,094.4)	(876.4)	(154.9)	(102.8)	(120.0)	(103.7)	(86.2)							(2,538.4)	(1,949.8)
Total Personal Income Tax	4,688.2	463.0	1,603.4	1,164.7	1,072.1	1,525.4	779.0	0.0	0.0	0.0	0.0	0.0		11,295.8	17,900.3
CONSUMPTION/USE TAXES AND FEES															
Sales and Use	465.2	441.8	606.1	502.9	474.1	708.7	464.1							3,662.9	3,497.4
Auto Rental	(1)	--	--	--	--	--	--							--	20.4
Hotel / Motel	--	--	--	--	--	--	--							--	--
Motor Vehicle	43.4	9.4	21.3	9.1	(38.8)	(14.6)	5.0							34.8	115.6
Cigarette/Tobacco Products	62.5	34.0	42.9	40.7	37.3	38.5	38.2							294.1	316.8
Motor Fuel	--	--	--	--	--	--	--							--	--
Alcoholic Beverage	17.2	14.6	14.6	17.2	14.4	16.3	13.3							107.6	104.2
Beverage Container	--	--	--	--	--	--	--							--	--
Highway Use	--	--	--	--	--	--	--							--	--
Alcoholic Beverage Control Licenses	3.1	2.5	2.6	3.0	3.3	4.0	3.7							22.2	21.7
Total Consumption/Use Taxes and Fees	591.4	502.3	687.5	572.9	490.3	752.9	524.3	0.0	0.0	0.0	0.0	0.0		4,121.6	4,076.1
BUSINESS TAXES															
Corporation Franchise	22.0	(54.5)	292.1	45.9	31.8	280.5	24.0							641.8	783.3
Corporation and Utilities	2.4	(0.7)	161.1	4.1	3.5	194.6	(3.5)							361.5	493.1
Insurance	18.6	(5.1)	142.5	12.4	9.6	148.0	3.7							329.7	300.1
Bank	14.0	(3.8)	113.4	9.0	(12.2)	106.1	(9.3)							217.2	249.7
Petroleum Business	--	--	--	--	--	--	--							--	--
Lubricating Oil	--	--	--	--	--	--	--							--	--
Total Business Taxes	57.0	(64.1)	709.1	71.4	32.7	729.2	14.9	0.0	0.0	0.0	0.0	0.0		1,550.2	1,826.2
OTHER TAXES															
Real Property Gains	0.5	0.5	0.1	0.4	0.3	0.6	0.5							2.9	4.1
Estate and Gift	57.4	58.5	85.6	63.8	73.8	65.0	42.3							446.4	392.4
Pari-Mutuel	1.5	2.4	3.0	2.5	3.6	3.4	2.3							18.7	18.3
Real Estate Transfer	--	--	--	--	--	--	--							--	--
Racing and Exhibitions	--	0.1	--	--	--	0.1	0.2							0.4	0.5
Total Other Taxes	59.4	61.5	88.7	66.7	77.7	69.1	45.3	0.0	0.0	0.0	0.0	0.0		468.4	415.3
TOTAL TAX RECEIPTS	<u>\$5,396.0</u>	<u>\$962.7</u>	<u>\$3,088.7</u>	<u>\$1,875.7</u>	<u>\$1,672.8</u>	<u>\$3,076.6</u>	<u>\$1,363.5</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>		<u>\$17,436.0</u>	<u>\$24,217.9</u>

(1) Transfer Auto Use Tax from the General Fund to Highway and Bridge Trust Fund pursuant to Chapter 85, Part D, Laws of 2002.

**STATE OF NEW YORK
SPECIAL REVENUE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2002-2003
(in millions)**

EXHIBIT "G"

												7 Months Ended Oct. 31		
	2002 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2003 JANUARY	FEBRUARY	MARCH	2002	2001
OPENING CASH BALANCE	\$1,046.9	\$1,544.6	\$1,054.6	\$1,414.6	\$1,683.4	\$320.9	\$1,208.9						\$1,046.9	\$2,198.7
RECEIPTS:														
Personal Income Tax	--	--	--	--	--	180.0	628.0						808.0	186.7
Consumption/Use Taxes and Fees	58.1	37.6	39.9	44.3	52.6	51.5	40.1						324.1	289.2
Business Taxes	44.3	32.3	166.6	61.7	50.0	164.1	38.3						557.3	514.3
Other Taxes	--	--	--	--	--	--	--						--	--
Miscellaneous Receipts	529.2	590.2	693.8	687.1	831.0	927.3	1,139.3						5,397.9	4,003.0
Federal Grants	2,193.4	2,432.1	2,371.9	2,327.8	2,507.3	3,680.9	2,485.6						17,999.0	14,778.9
Total Receipts	2,825.0	3,092.2	3,272.2	3,120.9	3,440.9	5,003.8	4,331.3	0.0	0.0	0.0	0.0	0.0	25,086.3	19,772.1
DISBURSEMENTS:														
Local Assistance Grants:														
Education	326.6	388.3	299.7	108.1	1,620.0	250.7	724.9						3,718.3	2,744.2
Social Services	1,238.8	2,154.3	1,613.0	1,870.3	2,217.0	2,867.5	2,380.1						14,341.0	11,445.2
Health and Environment	143.1	181.9	138.8	154.1	193.3	171.3	159.1						1,141.6	866.2
Mental Hygiene	23.1	1.7	20.6	18.0	11.1	28.0	16.8						119.3	125.1
Transportation	102.7	186.7	133.3	98.9	156.7	101.3	105.3						884.9	813.9
Criminal Justice	14.5	5.5	11.7	13.7	4.3	10.8	13.2						73.7	69.9
SEMO and Disaster Assistance	201.5	5.5	108.2	120.2	39.9	246.5	55.8						777.6	306.4
Miscellaneous	49.5	55.3	50.1	58.2	63.1	67.4	61.2						404.8	387.5
Total Local Assistance Grants	2,099.8	2,979.2	2,375.4	2,441.5	4,305.4	3,743.5	3,516.4	0.0	0.0	0.0	0.0	0.0	21,461.2	16,758.4
Departmental Operations:														
Personal Service	171.6	393.7	177.5	244.6	227.6	262.7	467.9						1,945.6	1,678.3
Non-Personal Service	213.7	189.3	232.5	203.1	183.3	230.6	308.0						1,560.5	1,337.4
General State Charges	30.8	53.7	39.8	36.6	52.3	19.6	46.5						279.3	161.1
Capital Projects	0.1	0.2	0.1	0.5	0.2	0.2	0.4						1.7	3.7
Total Disbursements	2,516.0	3,616.1	2,825.3	2,926.3	4,768.8	4,256.6	4,339.2	0.0	0.0	0.0	0.0	0.0	25,248.3	19,938.9
Excess (Deficiency) of Receipts over Disbursements	309.0	(523.9)	446.9	194.6	(1,327.9)	747.2	(7.9)	0.0	0.0	0.0	0.0	0.0	(162.0)	(166.8)
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	282.8	133.4	161.5	184.7	293.9	365.6	221.4						1,643.3	1,186.7
Transfers to Other Funds	(94.1)	(99.5)	(248.4)	(110.5)	(328.5)	(224.8)	(62.2)						(1,168.0)	(1,033.8)
Total Other Financing Sources (Uses)	188.7	33.9	(86.9)	74.2	(34.6)	140.8	159.2	0.0	0.0	0.0	0.0	0.0	475.3	152.9
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	497.7	(490.0)	360.0	268.8	(1,362.5)	888.0	151.3	0.0	0.0	0.0	0.0	0.0	313.3	(13.9)
CLOSING CASH BALANCE	\$1,544.6	\$1,054.6	\$1,414.6	\$1,683.4	\$320.9	\$1,208.9	\$1,360.2	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$1,360.2	\$2,184.8

STATE OF NEW YORK
SPECIAL REVENUE FUNDS
CASH FLOW SCHEDULE OF TAX RECEIPTS
FISCAL YEAR 2002-2003
(in millions)

EXHIBIT "G"
(page 2)

	2002						2003						7 Months Ended Oct. 31	
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2002	2001
PERSONAL INCOME TAX	\$ --	\$ --	\$ --	\$ --	\$ --	\$180.0	\$628.0						\$808.0	\$186.7
Total Personal Income Tax	--	--	--	--	--	180.0	628.0	0.0	0.0	0.0	0.0	0.0	808.0	186.7
CONSUMPTION/USE TAXES AND FEES														
Sales and Use	49.5	26.4	29.8	34.8	28.0	40.0	27.4						235.9	232.5
Auto Rental	--	--	--	--	--	--	--						--	--
Hotel / Motel	--	--	--	--	--	--	--						--	--
Motor Vehicle	3.8	3.9	3.7	3.3	18.2	5.5	7.0						45.4	16.5
Cigarette/Tobacco Products	--	--	--	--	--	--	--						--	--
Motor Fuel	4.8	7.3	6.4	6.2	6.4	6.0	5.7						42.8	40.2
Alcoholic Beverage	--	--	--	--	--	--	--						--	--
Beverage Container	--	--	--	--	--	--	--						--	--
Highway Use	--	--	--	--	--	--	--						--	--
Alcoholic Beverage Control Licenses	--	--	--	--	--	--	--						--	--
Total Consumption/Use Taxes and Fees	58.1	37.6	39.9	44.3	52.6	51.5	40.1	0.0	0.0	0.0	0.0	0.0	324.1	289.2
BUSINESS TAXES														
Corporation Franchise	10.2	(0.5)	40.1	4.7	5.0	37.7	2.0						99.2	100.6
Corporation and Utilities	2.7	1.1	47.8	13.6	0.4	50.7	(0.2)						116.1	98.1
Insurance	(0.9)	(2.7)	18.7	1.0	1.3	13.6	1.0						32.0	28.6
Bank	(1.6)	(2.1)	19.8	1.0	0.3	19.9	(0.6)						36.7	36.1
Petroleum Business	33.9	36.5	40.2	41.4	43.0	42.2	36.1						273.3	250.9
Lubricating Oil	--	--	--	--	--	--	--						--	--
Total Business Taxes	44.3	32.3	166.6	61.7	50.0	164.1	38.3	0.0	0.0	0.0	0.0	0.0	557.3	514.3
OTHER TAXES														
Real Property Gains	--	--	--	--	--	--	--						--	--
Estate and Gift	--	--	--	--	--	--	--						--	--
Pari-Mutuel	--	--	--	--	--	--	--						--	--
Real Estate Transfer	--	--	--	--	--	--	--						--	--
Racing and Exhibitions	--	--	--	--	--	--	--						--	--
Total Other Taxes	--	--	--	--	--	--	--	0.0	0.0	0.0	0.0	0.0	--	--
TOTAL TAX RECEIPTS	\$102.4	\$69.9	\$206.5	\$106.0	\$102.6	\$395.6	\$706.4	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$1,689.4	\$990.2

**STATE OF NEW YORK
DEBT SERVICE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2002-2003
(in millions)**

EXHIBIT "H"

													7 Months Ended Oct. 31	
	2002 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2003			2002	2001
										JANUARY	FEBRUARY	MARCH		
OPENING CASH BALANCE	\$169.0	\$226.3	\$241.8	\$334.4	\$305.0	\$234.1	\$341.6						\$169.0	\$421.8
RECEIPTS:														
Personal Income Tax	--	154.3	534.4	388.3	357.3	508.6	259.6						2,202.5	250.0
Consumption/Use Taxes and Fees														
Sales and Use	152.8	147.2	201.9	167.6	158.0	236.2	154.7						1,218.4	1,164.6
Motor Fuel	8.4	12.6	11.8	10.3	11.1	10.2	9.6						74.0	71.2
Other Taxes	36.5	26.0	47.3	26.8	33.8	16.8	47.4						234.6	178.8
Miscellaneous Receipts	54.3	48.3	32.0	33.3	43.9	61.5	86.0						359.3	344.6
Total Receipts	<u>252.0</u>	<u>388.4</u>	<u>827.4</u>	<u>626.3</u>	<u>604.1</u>	<u>833.3</u>	<u>557.3</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>4,088.8</u>	<u>2,009.2</u>
DISBURSEMENTS:														
Departmental Operations:														
Non-Personal Service	0.1	0.2	1.4	0.3	--	1.4	0.4						3.8	3.2
Debt Service, including payments on financing agreements	242.4	236.3	273.4	103.8	281.8	512.7	107.0						1,757.4	1,987.3
Total Disbursements	<u>242.5</u>	<u>236.5</u>	<u>274.8</u>	<u>104.1</u>	<u>281.8</u>	<u>514.1</u>	<u>107.4</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>1,761.2</u>	<u>1,990.5</u>
Excess (Deficiency) of Receipts over Disbursements	<u>9.5</u>	<u>151.9</u>	<u>552.6</u>	<u>522.2</u>	<u>322.3</u>	<u>319.2</u>	<u>449.9</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>2,327.6</u>	<u>18.7</u>
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	326.9	318.2	481.0	199.4	451.0	647.6	144.1						2,568.2	2,631.5
Transfers to Other Funds	(279.1)	(454.6)	(941.0)	(751.0)	(844.2)	(859.3)	(679.4)						(4,808.6)	(2,193.2)
Total Other Financing Sources (Uses)	<u>47.8</u>	<u>(136.4)</u>	<u>(460.0)</u>	<u>(551.6)</u>	<u>(393.2)</u>	<u>(211.7)</u>	<u>(535.3)</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>(2,240.4)</u>	<u>438.3</u>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	<u>57.3</u>	<u>15.5</u>	<u>92.6</u>	<u>(29.4)</u>	<u>(70.9)</u>	<u>107.5</u>	<u>(85.4)</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>87.2</u>	<u>457.0</u>
CLOSING CASH BALANCE	<u>\$226.3</u>	<u>\$241.8</u>	<u>\$334.4</u>	<u>\$305.0</u>	<u>\$234.1</u>	<u>\$341.6</u>	<u>\$256.2</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$256.2</u>	<u>\$878.8</u>

**STATE OF NEW YORK
CAPITAL PROJECTS FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2002-2003
(in millions)**

EXHIBIT "I"

												7 Months Ended Oct. 31		
	2002 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2003 JANUARY	FEBRUARY	MARCH	2002	2001
OPENING CASH BALANCE (DEFICITS)	(\$267.4)	(\$346.1)	(\$466.6)	(\$485.3)	(\$582.5)	(\$689.1)	(\$570.9)						(\$267.4)	(\$88.9)
RECEIPTS:														
Consumption/Use Taxes and Fees														
Motor Vehicle		16.3	47.5	29.1	33.0	71.7	44.3	42.2					284.1	218.2
Auto Rental	(1)	1.8	0.1	8.8	0.1	--	12.0	--					22.8	--
Motor Fuel		25.1	37.9	35.5	30.9	33.0	30.4	28.7					221.5	214.1
Highway Use		12.1	11.4	11.7	12.1	13.2	12.6	12.6					85.7	91.9
Business Taxes														
Petroleum Business		41.9	47.8	52.0	51.2	53.2	52.6	44.7					343.4	316.9
Other Taxes		--	--	11.2	11.2	11.2	11.2	11.2					56.0	56.0
Miscellaneous Receipts		15.8	152.4	113.0	35.1	50.6	274.9	117.5					759.3	351.8
Federal Grants		97.3	100.4	117.7	114.9	121.5	213.0	160.1					924.9	886.3
Total Receipts	210.3	397.5	379.0	288.5	354.4	651.0	417.0	0.0	0.0	0.0	0.0	0.0	2,697.7	2,135.2
DISBURSEMENTS:														
Local Assistance Grants:														
Education		0.1	0.5	0.1	--	--	--	--					0.7	1.1
Social Services		--	--	--	--	--	--	--					--	25.5
Health and Environment		0.3	--	0.1	0.1	2.3	149.1	0.1					152.0	232.1
Mental Hygiene		0.9	0.5	1.8	1.1	1.4	1.0	4.3					11.0	28.5
Transportation		13.0	14.2	18.7	20.1	25.2	23.7	28.7					143.6	42.0
Miscellaneous		10.0	1.5	3.3	5.9	38.5	4.0	30.8					94.0	77.0
Total Local Assistance Grants	24.3	16.7	24.0	27.2	67.4	177.8	63.9	0.0	0.0	0.0	0.0	0.0	401.3	406.2
Departmental Operations:														
Personal Service		--	--	--	--	--	--	--					--	--
Non-Personal Service		--	--	--	--	--	--	--					--	--
General State Charges		--	--	--	--	--	--	--					--	--
Capital Projects	259.6	327.4	332.5	332.6	379.5	318.1	345.4						2,295.1	2,196.1
Total Disbursements	283.9	344.1	356.5	359.8	446.9	495.9	409.3	0.0	0.0	0.0	0.0	0.0	2,696.4	2,602.3
Excess (Deficiency) of Receipts over Disbursements	(73.6)	53.4	22.5	(71.3)	(92.5)	155.1	7.7	0.0	0.0	0.0	0.0	0.0	1.3	(467.1)
OTHER FINANCING SOURCES (USES):														
Bond Proceeds (net)	--	--	--	--	--	--	--	--					--	93.0
Transfers from Other Funds		51.0	32.2	17.4	32.4	48.8	106.2	44.1					332.1	281.5
Transfers to Other Funds		(56.1)	(206.1)	(58.6)	(58.3)	(62.9)	(143.1)	(59.9)					(645.0)	(463.8)
Total Other Financing Sources (Uses)	(5.1)	(173.9)	(41.2)	(25.9)	(14.1)	(36.9)	(15.8)	0.0	0.0	0.0	0.0	0.0	(312.9)	(89.3)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(78.7)	(120.5)	(18.7)	(97.2)	(106.6)	118.2	(8.1)	0.0	0.0	0.0	0.0	0.0	(311.6)	(556.4)
CLOSING CASH BALANCE (DEFICITS)	(2) (\$346.1)	(\$466.6)	(\$485.3)	(\$582.5)	(\$689.1)	(\$570.9)	(\$579.0)	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	(\$579.0)	(\$645.3)

(1) The Auto Use Tax was transferred from the General Fund to the Highway and Bridge Trust Fund, Capital Projects Fund group, pursuant to Chapter 85, Part D, Laws of 2002.

(2) Cash Balance was adjusted to reflect transfer of Auto Usage Tax pursuant to Chapter 85, Part D, Laws of 2002.

STATE OF NEW YORK
ENTERPRISE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2002-2003
(in millions)

EXHIBIT J

													7 Months Ended Oct. 31	
	2002 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2003			2002	2001
										JANUARY	FEBRUARY	MARCH		
OPENING CASH BALANCE (DEFICITS)	\$19.0	\$20.2	\$22.3	\$22.7	\$23.8	\$22.8	\$20.7						\$19.0	\$16.8
RECEIPTS:														
Miscellaneous Receipts	6.3	6.0	4.3	8.4	6.6	7.8	5.5						44.9	50.1
Total Receipts	6.3	6.0	4.3	8.4	6.6	7.8	5.5	0.0	0.0	0.0	0.0	0.0	44.9	50.1
DISBURSEMENTS:														
Departmental Operations:														
Personal Service	0.7	0.9	0.6	1.2	1.3	2.0	0.9						7.6	6.4
Non-Personal Service	4.3	3.0	3.2	6.0	6.2	7.8	7.5						38.0	40.2
General State Charges	0.1	--	0.1	0.1	0.1	0.1	0.2						0.7	0.6
Total Disbursements	5.1	3.9	3.9	7.3	7.6	9.9	8.6	0.0	0.0	0.0	0.0	0.0	46.3	47.2
Excess (Deficiency) of Receipts over Disbursements	1.2	2.1	0.4	1.1	(1.0)	(2.1)	(3.1)	0.0	0.0	0.0	0.0	0.0	(1.4)	2.9
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	--	--	--	--	--	--	--						--	--
Transfers to Other Funds	--	--	--	--	--	--	--						--	--
Total Other Financing Sources (Uses)	--	--	--	--	--	--	--	0.0	0.0	0.0	0.0	0.0	--	--
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	1.2	2.1	0.4	1.1	(1.0)	(2.1)	(3.1)	0.0	0.0	0.0	0.0	0.0	(1.4)	2.9
CLOSING CASH BALANCE	<u>\$20.2</u>	<u>\$22.3</u>	<u>\$22.7</u>	<u>\$23.8</u>	<u>\$22.8</u>	<u>\$20.7</u>	<u>\$17.6</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$17.6</u>	<u>\$19.7</u>

**STATE OF NEW YORK
INTERNAL SERVICE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2002-2003
(in millions)**

EXHIBIT K

													7 Months Ended Oct. 31	
	2002 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2003 JANUARY	FEBRUARY	MARCH	2002	2001
OPENING CASH BALANCE (DEFICITS)	(\$101.5)	(\$105.6)	(\$109.5)	(\$146.8)	(\$170.0)	(\$176.5)	(\$174.6)						(\$101.5)	(\$82.1)
RECEIPTS:														
Miscellaneous Receipts	33.2	39.2	59.0	36.9	59.6	42.5	46.0						316.4	245.9
Total Receipts	33.2	39.2	59.0	36.9	59.6	42.5	46.0	0.0	0.0	0.0	0.0	0.0	316.4	245.9
DISBURSEMENTS:														
Departmental Operations:														
Personal Service	9.5	9.7	8.4	12.9	8.9	8.7	9.8						67.9	65.4
Non-Personal Service	26.2	30.3	93.7	30.0	39.0	34.0	19.0						272.2	239.2
General State Charges	1.6	4.7	10.9	1.0	4.0	--	1.2						23.4	13.1
Debt Service, Including Payments on Financing Agreements	--	--	--	16.6	33.3	--	--						49.9	61.7
Total Disbursements	37.3	44.7	113.0	60.5	85.2	42.7	30.0	0.0	0.0	0.0	0.0	0.0	413.4	379.4
Excess (Deficiency) of Receipts over Disbursements	(4.1)	(5.5)	(54.0)	(23.6)	(25.6)	(0.2)	16.0	0.0	0.0	0.0	0.0	0.0	(97.0)	(133.5)
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	--	1.6	16.7	0.4	19.1	2.1	2.1						42.0	12.6
Transfers to Other Funds	--	--	--	--	--	--	--						--	--
Total Other Financing Sources (Uses)	--	1.6	16.7	0.4	19.1	2.1	2.1	0.0	0.0	0.0	0.0	0.0	42.0	12.6
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(4.1)	(3.9)	(37.3)	(23.2)	(6.5)	1.9	18.1	0.0	0.0	0.0	0.0	0.0	(55.0)	(120.9)
CLOSING CASH BALANCE (DEFICITS)	(\$105.6)	(\$109.5)	(\$146.8)	(\$170.0)	(\$176.5)	(\$174.6)	(\$156.5)	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	(\$156.5)	(\$203.0)

STATE OF NEW YORK
EXPENDABLE TRUST FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2002-2003
(in millions)

EXHIBIT L

7 Months Ended Oct. 31

	2002							2003					2002	2001
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH		
OPENING CASH BALANCE	\$46.4	\$10.5	\$23.5	\$56.5	\$44.4	\$69.0	\$61.0						\$46.4	\$36.1
RECEIPTS:														
Miscellaneous Receipts	1.2	0.7	0.9	0.8	6.2	1.2	0.8						11.8	16.0
Federal Grants	171.5	174.7	141.7	111.7	80.8	87.2	85.4						853.0	16.1
Unemployment Taxes	269.3	249.9	243.9	259.8	241.5	216.4	225.0						1,705.8	1,463.3
Total Receipts	442.0	425.3	386.5	372.3	328.5	304.8	311.2	0.0	0.0	0.0	0.0	0.0	2,570.6	1,495.4
DISBURSEMENTS:														
Local Assistance Grants:														
Miscellaneous	--	--	--	--	--	--	--						--	1.4
Departmental Operations:														
Personal Service	0.3	0.4	0.4	0.7	0.3	0.3	0.3						2.7	2.8
Non-Personal Service	1.5	1.7	1.1	1.8	1.2	1.4	1.3						10.0	8.5
General State Charges	0.3	0.3	--	--	0.4	--	--						1.0	0.9
Unemployment Benefits	474.8	409.7	355.7	380.1	302.8	310.3	304.2						2,537.6	1,454.8
Capital Projects	1.0	0.2	(3.4)	1.8	0.7	0.8	0.9						2.0	1.8
Total Disbursements	477.9	412.3	353.8	384.4	305.4	312.8	306.7	0.0	0.0	0.0	0.0	0.0	2,553.3	1,470.2
Excess (Deficiency) of Receipts over Disbursements	(35.9)	13.0	32.7	(12.1)	23.1	(8.0)	4.5	0.0	0.0	0.0	0.0	0.0	17.3	25.2
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	--	--	0.3	--	1.5	--	--						1.8	0.6
Transfers to Other Funds	--	--	--	--	--	--	--						--	--
Total Other Financing Sources (Uses)	--	--	0.3	--	1.5	--	--	0.0	0.0	0.0	0.0	0.0	1.8	0.6
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	(35.9)	13.0	33.0	(12.1)	24.6	(8.0)	4.5	0.0	0.0	0.0	0.0	0.0	19.1	25.8
CLOSING CASH BALANCE	\$10.5	\$23.5	\$56.5	\$44.4	\$69.0	\$61.0	\$65.5	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$65.5	\$61.9

**STATE OF NEW YORK
NONEXPENDABLE TRUST FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2002-2003
(in millions)**

EXHIBIT M

	7 Months Ended Oct. 31													
	2002						2003						2002	2001
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH		
OPENING CASH BALANCE	\$23.8	\$26.4	\$27.5	\$29.7	\$31.5	\$33.5	\$31.2						\$23.8	\$26.7
RECEIPTS:														
Miscellaneous Receipts	3.9	2.4	2.3	2.2	3.4	3.0	2.5						19.7	17.7
Federal Grants	0.2	0.1	0.6	--	0.1	--	0.2						1.2	0.2
Total Receipts	<u>4.1</u>	<u>2.5</u>	<u>2.9</u>	<u>2.2</u>	<u>3.5</u>	<u>3.0</u>	<u>2.7</u>	0.0	0.0	0.0	0.0	0.0	<u>20.9</u>	<u>17.9</u>
DISBURSEMENTS:														
Local Assistance Grants:														
Mental Hygiene	0.1	--	--	--	--	--	--						0.1	2.5
Miscellaneous	0.3	0.5	0.4	0.3	0.3	0.6	--						2.4	1.3
Departmental Operations:														
Personal Service	--	--	--	--	--	--	--						--	--
Non-Personal Service	1.1	0.9	0.3	0.1	1.2	4.7	5.7						14.0	14.9
Total Disbursements	<u>1.5</u>	<u>1.4</u>	<u>0.7</u>	<u>0.4</u>	<u>1.5</u>	<u>5.3</u>	<u>5.7</u>	0.0	0.0	0.0	0.0	0.0	<u>16.5</u>	<u>18.7</u>
Excess (Deficiency) of Receipts over Disbursements	<u>2.6</u>	<u>1.1</u>	<u>2.2</u>	<u>1.8</u>	<u>2.0</u>	<u>(2.3)</u>	<u>(3.0)</u>	0.0	0.0	0.0	0.0	0.0	4.4	(0.8)
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	--	--	--	--	--	--	--						--	--
Transfers to Other Funds	--	--	--	--	--	--	--						--	--
Total Other Financing Sources (Uses)	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	0.0	0.0	0.0	0.0	0.0	<u>--</u>	<u>--</u>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	<u>2.6</u>	<u>1.1</u>	<u>2.2</u>	<u>1.8</u>	<u>2.0</u>	<u>(2.3)</u>	<u>(3.0)</u>	0.0	0.0	0.0	0.0	0.0	4.4	(0.8)
CLOSING CASH BALANCE	<u>\$26.4</u>	<u>\$27.5</u>	<u>\$29.7</u>	<u>\$31.5</u>	<u>\$33.5</u>	<u>\$31.2</u>	<u>\$28.2</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$28.2</u>	<u>\$25.9</u>

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FOR THE MONTH OF OCTOBER 2002
(amounts in millions)

SCHEDULE 1

	BALANCE 10/1/02	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE 10/31/02
GENERAL FUND					
001-Local Assistance	\$ --	\$ 0.140	\$ 1,622.453	\$ 1,622.313	\$ --
003-State Operations	1,519.201	1,547.753	829.861	(1,241.393)	995.700
004-Tax Stabilization Reserve	--	--	--	--	--
005-Contingency Reserve	87.243	--	--	9.056	96.299
006-Universal Pre-K	--	--	--	--	--
007-Community Projects	128.373	--	9.229	--	119.144
166-Fringe Benefits Escrow	0.082	--	0.082	--	--
TOTAL GENERAL FUND	1,734.899	1,547.893	2,461.625	389.976	1,211.143
SPECIAL REVENUE FUNDS-GENERAL					
023-New York Interest on Lawyer Account	6.144	1.114	3.531	--	3.727
050-Tuition Reimbursement	1.254	0.131	0.114	--	1.271
052-Local Government Records Management Improvement	5.362	1.173	1.204	--	5.331
053-School Tax Relief	--	628.000	613.312	--	14.688
054-Charter Schools Stimulus	0.309	--	--	--	0.309
056-Hudson River Valley Greenway	--	--	--	--	--
059-Rehabilitative Alcohol & Substance Abuse Treatment	0.016	--	--	--	0.016
061-HCRA Transfer	111.204	52.845	97.546	--	66.503
062-Tobacco Transfer	0.600	--	--	--	0.600
068-Indigent Care	96.056	181.984	33.883	--	244.157
073-Dedicated Mass Transportation Trust	71.858	38.925	48.310	--	62.473
160-State Lottery	(908.692)	181.534	17.480	--	(744.638)
300-Sewage Treatment Program Mgmt. & Administration	1.410	--	0.512	--	0.898
301-EnCon Special Revenue	32.238	6.954	6.425	2.215	34.982
302-Conservation	15.501	11.331	6.893	--	19.939
303-Environmental Protection and Oil Spill Compensation	10.208	4.556	3.560	(2.214)	8.990
305-Training and Education Program on OSHA	8.965	0.013	2.201	--	6.777
306-Lawyers' Fund for Client Protection	3.907	1.240	0.653	--	4.494
312-Hazardous Waste Remedial	(9.932)	2.487	3.093	(2.057)	(12.595)
313-Mass Transportation Operating Assistance	197.071	43.000	54.327	3.513	189.257
314-Clean Air	(0.814)	7.436	4.458	--	2.164
318-New York State Infrastructure Trust	0.055	--	--	--	0.055
321-Legislative Computer Services	7.003	0.076	2.050	--	5.029
328-Biodiversity Stewardship and Research	--	--	--	--	--
337-Rural Housing Assistance	--	--	--	--	--
339-Miscellaneous State Special Revenue	1,149.363	477.321	691.076	207.161	1,142.769
340-Court Facilities Incentive Aid	14.327	0.024	11.361	--	2.990
341-Employment Training	0.217	--	--	--	0.217
342-Homeless Housing and Assistance	--	--	--	--	--
345-State University Income	474.329	176.384	273.916	7.420	384.217
346-Substance Abuse Service	4.833	0.251	--	--	5.084
349-Lake George Park Trust	0.533	0.108	0.109	--	0.532
354-State Police Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention	13.397	3.001	2.188	--	14.210

STATE OF NEW YORK
 GOVERNMENTAL FUNDS
 SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
 CHANGES IN FUND BALANCES
 FOR THE MONTH OF OCTOBER 2002
 (amounts in millions)

SCHEDULE 1
 (continued)

	BALANCE 10/1/02	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE 10/31/02
<u>SPECIAL REVENUE FUNDS-GENERAL (CONTINUED)</u>					
355-New York Great Lakes Protection	\$ 3.981	\$ 0.006	\$ 0.016	\$ --	\$ 3.971
359-Federal Revenue Maximization	0.023	3.817	--	10.688	14.528
362-NYS/DOT Highway Safety Program	0.945	0.002	0.193	--	0.754
365-Vocational Rehabilitation	0.572	0.027	--	--	0.599
366-Drinking Water Program Management and Administration	(0.296)	--	0.376	--	(0.672)
368-NYC County Clerks' Operations Offset	(4.497)	--	1.473	--	(5.970)
369-Judiciary Data Processing Offset	(3.955)	--	0.919	--	(4.874)
377-IFR / CUTRA	30.912	7.341	3.987	--	34.266
379-Racing Preservation	--	--	--	--	--
383-Supplemental Jury Facilities	--	--	--	--	--
385-USOC Lake Placid Training	0.054	0.015	--	--	0.069
482-Unemployment Insurance Interest and Penalty	3.820	0.652	0.126	--	4.346
TOTAL SPECIAL REVENUE FUNDS-GENERAL	1,338.281	1,831.748	1,885.292	226.726	1,511.463
<u>SPECIAL REVENUE FUNDS-FEDERAL</u>					
261-Federal USDA / Food and Consumer Services	(10.535)	103.221	90.956	--	1.730
265-Federal Health and Human Services	(116.407)	2,066.051	2,053.689	(67.576)	(171.621)
267-Federal Education	(9.926)	128.365	129.656	--	(11.217)
269-Federal DHHS Block Grant	(13.570)	47.264	34.803	--	(1.109)
290-Federal Miscellaneous Operating Grants	(12.607)	96.327	92.112	--	(8.392)
480-Unemployment Insurance Administration	31.759	17.850	19.230	--	30.379
484-Unemployment Insurance Occupational Training	0.840	18.443	12.645	--	6.638
486-DOL Federal Grants	1.094	22.060	20.834	--	2.320
TOTAL SPECIAL REVENUE FUNDS-FEDERAL	(129.352)	2,499.581	2,453.925	(67.576)	(151.272)
TOTAL SPECIAL REVENUE FUNDS	1,208.929	4,331.329	4,339.217	159.150	1,360.191
<u>DEBT SERVICE FUNDS</u>					
064-Debt Reduction Reserve	--	--	--	--	--
065-State University Educational Facilities	--	--	--	--	--
304-Mental Health Services	231.244	47.335	--	(137.727)	140.852
311-General Obligation Debt Service	3.463	259.653	104.070	(159.046)	--
315-Grade Crossing Elimination Debt Service	--	--	--	--	--
316-State Housing Debt Service	--	2.089	2.089	--	--
319-Department of Health Income	29.295	11.386	--	(9.766)	30.915
320-Emergency Highway Reconditioning & Preservation	--	4.804	--	(4.804)	--
330-State University Dormitory Income	66.400	25.133	--	(21.674)	69.859
336-Emergency Highway Construction & Reconstruction	--	4.804	--	(4.804)	--
361-Clean Water/Clean Air	6.247	47.395	--	(42.873)	10.769
364-Local Government Assistance Tax	4.934	154.704	1.200	(154.643)	3.795
TOTAL DEBT SERVICE FUNDS	\$ 341.583	\$ 557.303	\$ 107.359	\$ (535.337)	\$ 256.190

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FOR THE MONTH OF OCTOBER 2002
(amounts in millions)

SCHEDULE 1
(continued)

	BALANCE 10/1/02	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE 10/31/02
CAPITAL PROJECTS FUNDS					
002-State Capital Projects	\$ --	\$ 16.162	\$ 63.464	\$ 47.302	\$ --
071-Health Facilities Capital Improvement	--	--	--	--	--
072-Dedicated Highway and Bridge Trust	(35.167)	128.777	121.925	(179.786)	(208.101)
074-SUNY Residence Halls Rehabilitation and Repair	83.989	0.124	1.204	1.274	84.183
075-New York State Canal System Development	2.232	0.210	0.592	--	1.850
076-Parks Infrastructure	(6.087)	7.619	3.091	--	(1.559)
077-Passenger Facility Charge	0.244	0.001	--	--	0.245
078-Environmental Protection	172.761	13.098	5.639	--	180.220
079-Clean Water/Clean Air Implementation	(7.383)	--	--	--	(7.383)
080-Hudson River Park	0.073	--	--	--	0.073
101-Energy Conservation Thru Improved Transportation Bond	0.420	--	--	(0.040)	0.380
103-Park & Recreation Land Acquisition Bond	0.002	--	--	--	0.002
105-Pure Waters Bond	0.159	--	--	--	0.159
106-Outdoor Recreation Development Bond	--	--	--	--	--
109-Transportation Capital Facilities Bond	4.588	--	--	--	4.588
115-Environmental Quality Protection Bond	5.717	--	--	(0.054)	5.663
118-Rail Preservation and Development Bond	--	--	--	--	--
119-State Housing Bond	--	--	--	--	--
123-Transportation Infrastructure Renewal Bond	17.265	--	--	--	17.265
124-1986 Environmental Quality Bond Act	19.415	--	--	(3.172)	16.243
126-Accelerated Capacity and Transportation Improvement Bond	7.699	--	--	(0.199)	7.500
127-Clean Water/Clean Air Bond	13.765	--	--	(1.020)	12.745
291-Federal Capital Projects	(141.972)	160.200	129.171	(59.679)	(170.622)
310-Forest Preserve Expansion	0.236	--	--	--	0.236
317-Pine Barrens	--	--	--	--	--
322-Lake Champlain Bridges	0.561	--	--	--	0.561
327-Suburban Transportation	20.325	--	--	--	20.325
357-Division for Youth Facilities Improvement	(11.624)	3.400	0.461	--	(8.685)
358-Youth Centers Facility	--	--	--	--	--
374-Housing Assistance	(4.000)	--	--	--	(4.000)
376-Housing Program	(138.318)	--	29.842	--	(168.160)
378-Natural Resource Damage	8.372	0.012	0.013	--	8.371
380-DOT Engineering Services	(301.780)	--	38.240	179.487	(160.533)
384-State University Capital Projects	6.876	5.590	0.584	--	11.882
387-Miscellaneous Capital Projects	21.361	0.196	0.147	--	21.410
388-CUNY Capital Projects	(1.596)	--	--	--	(1.596)
389-Mental Hygiene Facilities Capital Improvement	(171.745)	12.793	12.861	--	(171.813)
399-Correction Facilities Capital Improvement	(137.238)	68.828	2.083	--	(70.493)
TOTAL CAPITAL PROJECTS FUNDS	<u>(570.850)</u>	<u>417.010</u>	<u>409.317</u>	<u>(15.887)</u>	<u>(579.044)</u>
TOTAL GOVERNMENTAL FUNDS	<u>\$ 2,714.561</u>	<u>\$ 6,853.535</u>	<u>\$ 7,317.518</u>	<u>\$ (2.098)</u>	<u>\$ 2,248.480</u>

STATE OF NEW YORK
 PROPRIETARY FUNDS

SCHEDULE 2

SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND EQUITY
 FOR THE MONTH OF OCTOBER 2002
 (amounts in millions)

<u>FUND TYPE</u>	<u>FUND EQUITY 10/1/02</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER FINANCING SOURCES (USES)</u>	<u>FUND EQUITY 10/31/02</u>
<u>ENTERPRISE FUNDS</u>					
324-Youth Commissary	\$ 0.294	\$ 0.010	\$ 0.009	\$ --	\$ 0.295
325-State Exposition Special	3.328	(0.448)	1.386	--	1.494
326-Correctional Services Commissary	1.264	2.727	2.766	--	1.225
329-Correctional Services Family Benefit	8.430	1.869	3.436	--	6.863
331-Agency Enterprise	1.242	0.096	0.255	--	1.083
351-Mental Health Sheltered Workshop	2.696	0.221	0.315	--	2.602
352-Mental Retardation Sheltered Workshop	0.580	0.051	0.072	--	0.559
353-Mental Hygiene Community Stores	2.456	0.179	0.226	--	2.409
450-Industrial Exhibit Authority	0.434	0.800	0.117	--	1.117
TOTAL ENTERPRISE FUNDS	<u>20.724</u>	<u>5.505</u>	<u>8.582</u>	<u>--</u>	<u>17.647</u>
<u>INTERNAL SERVICE FUNDS</u>					
323-O.G.S. Centralized Services	(32.476)	23.237	8.048	--	(17.287)
334-Agency Internal Service	(115.586)	16.794	13.430	2.099	(110.123)
343-Mental Hygiene Revolving	0.806	0.255	0.161	--	0.900
347-Youth Vocational Education	0.003	--	--	--	0.003
394-Joint Labor/Management Administration	1.441	--	0.145	--	1.296
395-Audit and Control Revolving	(0.534)	--	0.156	--	(0.690)
396-Health Insurance Revolving	(23.298)	1.518	1.928	--	(23.708)
397-Correctional Industries Revolving	(4.961)	4.245	6.133	--	(6.849)
TOTAL INTERNAL SERVICE FUNDS	<u>(174.605)</u>	<u>46.049</u>	<u>30.001</u>	<u>2.099</u>	<u>(156.458)</u>
TOTAL PROPRIETARY FUNDS	<u>\$ (153.881)</u>	<u>\$ 51.554</u>	<u>\$ 38.583</u>	<u>\$ 2.099</u>	<u>\$ (138.811)</u>

SCHEDULE 3

STATE OF NEW YORK
 FIDUCIARY FUNDS
 STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
 FOR THE MONTH OF OCTOBER 2002
 (amounts in millions)

<u>FUND TYPE</u>	<u>FUND BALANCE 10/1/02</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER FINANCING SOURCES (USES)</u>	<u>FUND BALANCE 10/31/02</u>
<u>EXPENDABLE TRUST FUNDS</u>					
019-Mental Health Gifts and Donations	\$ 1.815	\$ (0.038)	\$ 0.024	\$ --	\$ 1.753
020-Combined Expendable Trust	25.995	0.849	2.421	--	24.423
021-Agriculture Producer's Security	3.961	0.006	0.012	--	3.955
022-Milk Producers Security	5.835	0.009	0.010	--	5.834
024-Archives Partnership Trust	0.527	0.001	0.033	--	0.495
333-Winter Sports Education Trust	1.202	0.002	--	--	1.204
481-Unemployment Insurance Benefit	21.633	310.321	304.152	--	27.802
TOTAL EXPENDABLE TRUST FUNDS	<u>60.968</u>	<u>311.150</u>	<u>306.652</u>	<u>--</u>	<u>65.466</u>
<u>NONEXPENDABLE TRUST FUNDS</u>					
055-Not-For-Profit Short Term Revolving Loan	--	--	--	--	--
221-Combined Student Loan	16.155	2.738	5.621	--	13.272
307-Equipment Loan for the Disabled	0.293	0.007	--	--	0.300
332-Combined Non-Expendable Trust	4.080	0.008	0.014	--	4.074
335-Musical Instrument Revolving	0.001	--	--	--	0.001
338-Arts Capital Revolving	0.469	0.001	--	--	0.470
360-Housing Development	10.229	(0.116)	0.005	--	10.108
TOTAL NONEXPENDABLE TRUST FUNDS	<u>\$ 31.227</u>	<u>\$ 2.638</u>	<u>\$ 5.640</u>	<u>\$ --</u>	<u>\$ 28.225</u>

STATE OF NEW YORK
 FIDUCIARY FUNDS
 STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
 FOR THE MONTH OF OCTOBER 2002
 (amounts in millions)

SCHEDULE 3
 (continued)

<u>FUND TYPE</u>	<u>FUND BALANCE 10/1/02</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER FINANCING SOURCES (USES)</u>	<u>FUND BALANCE 10/31/02</u>
<u>AGENCY FUNDS</u>					
129-Private Not-For-Profit School Capital Facilities Financing Reserve	\$ --	\$ --	\$ --	\$ --	\$ --
130-School Capital Facilities Financing Reserve	42.672	1.011	--	--	43.683
152-Employees Health Insurance	121.175	319.978	309.159	--	131.994
153-Social Security Contribution	26.851	75.317	72.741	--	29.427
154-Employee Payroll Withholding Escrow	8.921	292.581	264.633	--	36.869
162-Employees Dental Insurance	11.646	10.512	3.938	--	18.220
163-Management Confidential Group Insurance	0.843	0.690	0.930	--	0.603
165-Lottery Prize	154.662	60.875	48.710	--	166.827
167-Health Insurance Reserve Receipts	4.654	0.007	--	--	4.661
169-Miscellaneous New York State Agency	598.208	219.650	125.931	--	691.927
175-Elderly Pharmaceutical Insurance Coverage Escrow	21.460	44.399	64.900	--	0.959
176-City University Senior College Operating	60.160	92.000	105.845	--	46.315
179-Medicaid Management Information System Escrow	276.685	3,208.749	2,859.240	--	626.194
309-Special Education	--	--	--	--	--
344-State University Collection	175.013	(27.742)	--	--	147.271
382-SUNY Federal Direct Lending Program	(3.728)	3.468	--	--	(0.260)
TOTAL AGENCY FUNDS	<u>1,499.222</u>	<u>4,301.495</u>	<u>3,856.027</u>	<u>--</u>	<u>1,944.690</u>
TOTAL FIDUCIARY FUNDS	<u>\$ 1,591.417</u>	<u>\$ 4,615.283</u>	<u>\$ 4,168.319</u>	<u>\$ --</u>	<u>\$ 2,038.381</u>

STATE OF NEW YORK
 SOLE CUSTODY AND INVESTMENT ACCOUNTS
 STATEMENT OF CASH RECEIPTS AND DISBURSEMENTS
 FOR THE MONTH OF OCTOBER 2002
 (amounts in millions)

SCHEDULE 4

<u>FUND TYPE</u>	<u>BEGINNING BALANCE 10/1/02</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>ENDING BALANCE 10/31/02</u>
<u>ACCOUNTS</u>				
060-Tobacco Settlement	\$ 52.686	\$ 0.077	\$ --	\$ 52.763
149-Sole Custody Investment	1,419.584	1,092.753	1,459.228	1,053.109
650-Comptroller's Refund	--	115.250	115.250	--
750-NYS Thruway Authority Operating	1.414	39.179	37.500	3.093
TOTAL ACCOUNTS	\$ 1,473.684	\$ 1,247.259	\$ 1,611.978	\$ 1,108.965

**STATEMENT OF DIRECT STATE DEBT ACTIVITY
FISCAL YEAR ENDED MARCH 31, 2003**

PURPOSE	DEBT OUTSTANDING APR. 1, 2002	DEBT ISSUED		DEBT MATURED		DEBT OUTSTANDING OCT. 31, 2002	INTEREST DISBURSED	
		MONTH OF OCTOBER	7 MONTHS ENDED OCT. 31, 2002	MONTH OF OCTOBER	7 MONTHS ENDED OCT. 31, 2002		MONTH OF OCTOBER	7 MONTHS ENDED OCT. 31, 2002
GENERAL OBLIGATION BONDED DEBT:								
Accelerated Capacity and Transportation Improvements	\$ 1,304,285,262.09	\$ --	\$ --	\$ 8,356,764.64	\$ 72,747,787.69	\$ 1,231,537,474.40	\$ 3,967,560.56	\$ 34,829,949.14
Clean Water/Clean Air:								
Air Quality	138,723,327.21	--	--	281,285.28	6,254,556.10	132,468,771.11	75,065.77	3,270,661.29
Safe Drinking Water	228,817,482.95	--	--	--	8,118,578.14	220,698,904.81	134,962.13	6,161,966.90
Water	276,400,686.44	--	--	500,046.17	1,573,419.29	274,827,267.15	714,296.60	5,531,272.70
Solid Waste	96,166,804.31	--	--	--	1,283,411.90	94,883,392.41	119,787.93	1,376,452.68
Environmental Restoration	17,518,242.13	--	--	--	84,655.17	17,433,586.96	26,633.50	192,599.65
Energy Conservation Through Improved Transportation:								
Rapid Transit and Rail Freight	74,597,947.52	--	--	57,504.70	7,259,324.29	67,338,623.23	55,677.22	2,082,046.18
Environmental Quality Protection (1972):								
Air	34,292,298.25	--	--	125,579.00	2,236,081.60	32,056,216.65	94,319.10	993,256.54
Land	93,036,402.64	--	--	1,394,453.89	6,707,093.75	86,329,308.89	280,021.72	2,727,257.89
Wet Lands	1,000.00	--	--	--	--	1,000.00	--	30.00
Water	248,821,529.23	--	--	2,774,325.00	15,361,472.84	233,460,056.39	901,372.65	7,801,870.59
Environmental Quality (1986):								
Land and Forests	141,459,633.87	--	--	5,257,916.74	9,858,542.50	131,601,091.37	1,116,216.82	4,382,644.72
Solid Waste Management	681,822,787.03	--	--	2,402,013.00	3,723,102.03	678,099,685.00	2,144,879.52	12,464,858.85
Higher Education Construction	6,380,000.00	--	--	1,800,000.00	1,800,000.00	4,580,000.00	27,000.00	147,975.00
Housing								
Low Cost	152,385,863.00	--	--	1,060,000.00	9,593,930.38	142,791,932.62	1,020,015.00	3,601,239.67
Middle Income	75,482,000.00	--	--	--	375,000.00	75,107,000.00	--	1,639,091.00
Urban Renewal	862,383.18	--	--	8,737.00	30,977.75	831,405.43	205.32	22,499.28
Outdoor Recreation Development	838,234.48	--	--	120,101.00	129,897.60	708,336.88	20,638.51	43,304.85
Park and Recreation Land Acquisition	219,163.58	--	--	--	27,800.94	191,362.64	--	6,238.61
Pure Waters	205,278,758.72	--	--	3,367,652.36	11,580,423.73	193,698,334.99	813,770.54	6,291,448.26
Rail Preservation Development	63,982,328.96	--	--	1,446,876.00	6,962,582.79	57,019,746.17	213,466.91	2,205,075.96
Rebuild New York-Transportation Infrastructure Renewal:								
Highways, Parkways, and Bridges	11,970,833.58	--	--	93,761.76	2,588,761.71	9,382,071.87	46,639.29	270,094.49
Ports, Canals, and Waterways	4,739,546.83	--	--	22,154.00	386,149.06	4,353,397.77	52,260.33	176,831.92
Rapid Transit, Rail, and Aviation	68,224,275.27	--	--	1,105,856.53	3,854,229.48	64,370,045.79	241,013.79	2,141,502.41
Transportation Capital Facilities:								
Aviation	72,101,722.78	--	--	518,016.93	4,022,450.47	68,079,272.31	204,169.81	2,266,955.28
Mass Transportation	143,808,485.23	--	--	1,751,956.00	16,429,770.79	127,378,714.44	335,983.42	4,671,396.58
Total General Obligation Bonded Debt	\$ 4,142,216,999.28	\$ --	\$ --	\$ 32,445,000.00	\$ 192,990,000.00	\$ 3,949,226,999.28	\$ 12,605,956.44	\$ 105,298,520.44

STATE OF NEW YORK
DEBT SERVICE FUNDS
FINANCING AGREEMENTS
FOR THE SEVEN (7) MONTHS ENDED OCTOBER 31, 2002

SCHEDULE 5a

	DEBT	EMERGENCY	GENERAL	DEPARTMENT	LOCAL	MENTAL	STATE	COMBINED TOTALS		INCREASE
	REDUCTION				GOVERNMENT		UNIVERSITY	7 MONTHS ENDED OCTOBER 31	INCREASE	
	RESERVE	HIGHWAY	DEBT	OF HEALTH	ASSISTANCE	HEALTH	DORMITORY	2002	2001	(DECREASE)
	FUND		SERVICE	INCOME	TAX	SERVICES	INCOME			
	(064)	(320/336)	(311)	(319)	(364)	(304)	(330)			
Special Contractual Financing Obligations:										
City University Construction	\$ --	\$ --	\$ 153,031,023	\$ --	\$ --	\$ --	\$ --	\$ 153,031,023	\$ 159,462,504	\$ (6,431,481)
Community Enhancement Facilities Program	--	--	1,060,616	--	--	--	--	1,060,616	5,527,455	(4,466,839)
County of Albany	--	--	--	--	--	--	--	--	2,076,631	(2,076,631)
Department of TransRegion 1 Schenectady	--	--	851,949	--	--	--	--	851,949	85,962	765,987
Dormitory Authority	--	--	347,527,341	14,274,300	--	153,419,479	17,946,262	533,167,382	659,990,807	(126,823,425)
Environmental Conservation - Broadway Albat	--	--	3,185,973	--	--	--	--	3,185,973	3,188,628	(2,655)
Environmental Conservation - 50 Wolf Rd Alb	--	--	1,301,250	--	--	--	--	1,301,250	1,290,274	10,976
Energy Research & Development Authority	--	--	7,093,675	--	--	--	--	7,093,675	7,249,274	(155,599)
Environmental Facilities Corporation	--	--	13,254,447	--	--	--	--	13,254,447	14,406,866	(1,152,419)
Hampton Plaza	--	--	181,188	--	--	--	--	181,188	186,858	(5,670)
Hanson Place	--	--	2,199,750	--	--	--	--	2,199,750	2,199,750	--
44 Holland Avenue	--	--	830,016	--	--	--	--	830,016	809,800	20,216
Housing Finance Agency	--	--	37,739,415	--	--	--	--	37,739,415	38,554,536	(815,121)
Local Government Assistance Corporation	--	--	--	--	93,445,348	--	--	93,445,348	101,755,533	(8,310,185)
Metropolitan Transportation Authority:										
Transit and Commuter Rail Projects	--	--	--	--	--	--	--	--	84,841,624	(84,841,624)
Triborough Bridge & Tunnel Authority:										
Javits Convention Center Project	--	--	8,849,897	--	--	--	--	8,849,897	8,826,793	23,104
Thruway Authority	--	--	489,693,500	--	--	--	--	489,693,500	469,124,638	20,568,862
Urban Development Corporation:										
Correctional Facilities	--	--	94,425,106	--	--	--	--	94,425,106	96,518,255	(2,093,149)
Center for Industrial Innovation at RPI	--	--	991,123	--	--	--	--	991,123	1,055,670	(64,547)
Syracuse University Science and										
Technology Center	--	--	216,871	--	--	--	--	216,871	252,869	(35,998)
Cornell Univer. Supercomputer Center	--	--	793,368	--	--	--	--	793,368	809,754	(16,386)
Columbia Univer. Telecommunications Cent	--	--	3,838,663	--	--	--	--	3,838,663	3,842,938	(4,275)
Onondaga Convention Center	--	--	1,327,363	--	--	--	--	1,327,363	1,375,925	(48,562)
Clarkson University	--	--	319,204	--	--	--	--	319,204	333,639	(14,435)
Alfred University	--	--	147,833	--	--	--	--	147,833	114,653	33,180
New York University	--	--	--	--	--	--	--	--	26,494	(26,494)
Rochester University	--	--	--	--	--	--	--	--	--	--
Higher Education	--	--	1,338,053	--	--	--	--	1,338,053	1,404,186	(66,133)
Youth Facilities	--	--	4,367,092	--	--	--	--	4,367,092	4,349,848	17,244
University Facilities Grant 95 Refunding	--	--	384,131	--	--	--	--	384,131	583,421	(199,290)
Economic Development Heritage Trail Proje	--	--	488,743	--	--	--	--	488,743	593,866	(105,123)
Sports Facility	--	--	3,438,044	--	--	--	--	3,438,044	3,568,451	(130,407)
Ten Eyck Project Albany	--	--	822,416	--	--	--	--	822,416	822,416	--
Long Island and Pine Barren	--	--	352,048	--	--	--	--	352,048	368,531	(16,483)
South Mall	--	--	--	--	--	--	--	--	--	--
Total Disbursements for Special Contractual Financing Obligations	\$ --	\$ --	\$ 1,180,050,098	\$ 14,274,300	\$ 93,445,348	\$ 153,419,479	\$ 17,946,262	\$ 1,459,135,487	\$ 1,675,598,849	\$ (216,463,362)

**SUMMARY OF THE OPERATING FUND INVESTMENTS
FOR THE MONTH OF OCTOBER 2002
AS REQUIRED OF THE STATE COMPTROLLER**
(amounts in millions)

	<u>OCTOBER 2002</u>	<u>FISCAL YEAR TO DATE</u>																								
<u>SHORT TERM INVESTMENT POOL</u>																										
AVERAGE DAILY INVESTMENT BALANCE	\$3,783.6	\$3,559.7																								
AVERAGE YIELD	1.784%	1.795%																								
TOTAL INVESTMENT EARNINGS	\$5.733	\$37.527																								
<table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 60%;">DESCRIPTION</th> <th style="width: 20%; text-align: center;"><u>MONTH END PAR AMOUNT</u></th> <th style="width: 20%;"></th> </tr> </thead> <tbody> <tr> <td>TREASURY BILLS</td> <td style="text-align: right;">\$0.0</td> <td></td> </tr> <tr> <td>GOVT. AGENCY BILLS/NOTES</td> <td style="text-align: right;">\$0.0</td> <td></td> </tr> <tr> <td>REPURCHASE AGREEMENTS</td> <td style="text-align: right;">\$100.7</td> <td></td> </tr> <tr> <td>COMMERCIAL PAPER</td> <td style="text-align: right;">\$3,577.6</td> <td></td> </tr> <tr> <td>CERTIFICATES OF DEPOSIT</td> <td style="text-align: right;">\$296.7</td> <td></td> </tr> <tr> <td>0% COMPENSATING BALANCE CD's</td> <td style="text-align: right;"><u>\$1,520.3</u></td> <td></td> </tr> <tr> <td></td> <td style="text-align: right;">\$5,495.3</td> <td></td> </tr> </tbody> </table>			DESCRIPTION	<u>MONTH END PAR AMOUNT</u>		TREASURY BILLS	\$0.0		GOVT. AGENCY BILLS/NOTES	\$0.0		REPURCHASE AGREEMENTS	\$100.7		COMMERCIAL PAPER	\$3,577.6		CERTIFICATES OF DEPOSIT	\$296.7		0% COMPENSATING BALANCE CD's	<u>\$1,520.3</u>			\$5,495.3	
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SOURCE: DIVISION OF INVESTMENTS AND CASH MANAGEMENT