

**STATE OF NEW YORK  
OFFICE OF THE STATE COMPTROLLER  
OFFICE OF OPERATIONS  
DIVISION OF PAYROLL, ACCOUNTING, AND REVENUE SERVICES  
BUREAU OF STATE ACCOUNTING OPERATIONS**

Comptroller's Monthly Report  
On State Funds Cash Basis of Accounting  
(Pursuant to Sec. 8(9-a) of the State Finance Law)  
**January 2009**



**THOMAS P. DiNAPOLI  
STATE COMPTROLLER**

**STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES  
(amounts in millions)**

**EXHIBIT A**

	GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS				YEAR OVER YEAR	
	MONTH OF	10 MOS. ENDED	MONTH OF	10 MOS. ENDED	MONTH OF	10 MOS. ENDED	MONTH OF	10 MOS. ENDED	MONTH OF	10 MOS. ENDED	MONTH OF	10 MOS. ENDED	\$ Increase/ (Decrease)	% Increase/ Decrease
	JAN. 2009	JAN. 31, 2009	JAN. 2009	JAN. 31, 2009	JAN. 2009	JAN. 31, 2009	JAN. 2009	JAN. 31, 2009	JAN. 2009	JAN. 31, 2009	JAN. 2008	JAN. 31, 2008		
<b>RECEIPTS:</b>														
Personal Income Tax (1)	\$4,359.1	\$20,594.9	\$ --	\$4,439.7	\$1,453.1	\$8,344.9	\$ --	\$ --	\$5,812.2	\$33,379.5	\$7,118.4	\$31,973.0	\$1,406.5	4.4%
Consumption/Use Taxes and Fees	682.6	7,139.5	155.0	1,679.6	207.3	2,174.4	81.6	937.1	1,126.5	11,930.6	1,138.0	11,832.4	98.2	0.8%
Business Taxes	51.9	3,910.0	48.1	1,080.7	--	--	49.9	525.7	149.9	5,516.4	248.9	5,884.7	(368.3)	-6.3%
Other Taxes	114.5	1,140.2	--	--	17.7	452.8	23.7	189.6	155.9	1,782.6	188.3	1,782.3	0.3	--
Miscellaneous Receipts (7)	539.4	2,138.8	1,029.8	10,348.9	97.8	677.5	128.3	1,756.2	1,795.3	14,921.4	1,605.3	14,997.7	(76.3)	-0.5%
Federal Receipts	--	44.7	3,099.5	28,192.8	--	--	99.3	1,506.9	3,198.8	29,744.4	2,861.0	27,455.4	2,289.0	8.3%
<b>Total Receipts</b>	<b>5,747.5</b>	<b>34,968.1</b>	<b>4,332.4</b>	<b>45,741.7</b>	<b>1,775.9</b>	<b>11,649.6</b>	<b>382.8</b>	<b>4,915.5</b>	<b>12,238.6</b>	<b>97,274.9</b>	<b>13,159.9</b>	<b>93,925.5</b>	<b>3,349.4</b>	<b>3.6%</b>
<b>DISBURSEMENTS:</b>														
Local Assistance Grants: (1)(2)														
General Purpose	0.1	948.2	--	--	--	--	--	--	0.1	948.2	6.7	700.8	247.4	35.3%
Education	792.5	13,249.6	1,329.9	8,525.6	--	--	0.1	6.6	2,122.5	21,781.8	1,220.1	20,458.2	1,323.6	6.5%
Social Services:														
Medicaid (6)	809.2	8,457.7	1,564.5	19,438.3	--	--	--	--	2,373.7	27,896.0	2,862.7	27,098.8	797.2	2.9%
Other Social Services	358.3	2,473.7	119.5	3,288.6	--	--	--	--	477.8	5,762.3	406.7	5,350.0	412.3	7.7%
Health and Environment (6)	79.7	1,036.8	230.6	2,574.0	--	--	5.4	164.1	315.7	3,774.9	271.8	3,263.9	511.0	15.7%
Mental Hygiene	37.9	308.8	165.3	1,154.6	--	--	13.0	79.0	216.2	1,542.4	188.4	1,405.8	136.6	9.7%
Transportation	0.1	97.6	60.6	2,753.9	--	--	33.8	385.3	94.5	3,236.8	132.2	3,033.2	203.6	6.7%
Criminal Justice	15.6	151.6	17.6	206.3	--	--	--	--	33.2	357.9	28.7	294.9	63.0	21.4%
SEMO and Disaster Assistance	--	12.9	7.5	66.0	--	--	--	--	7.5	78.9	4.8	256.9	(178.0)	-69.3%
Miscellaneous	9.0	389.6	50.7	1,744.9	--	--	51.8	253.0	111.5	2,387.5	130.2	2,236.0	151.5	6.8%
<b>Total Local Assistance Grants</b>	<b>2,102.4</b>	<b>27,126.5</b>	<b>3,546.2</b>	<b>39,752.2</b>	<b>--</b>	<b>--</b>	<b>104.1</b>	<b>888.0</b>	<b>5,752.7</b>	<b>67,766.7</b>	<b>5,252.3</b>	<b>64,098.5</b>	<b>3,668.2</b>	<b>5.7%</b>
Departmental Operations:														
Personal Service	433.7	5,473.3	514.2	5,224.9	--	--	--	--	947.9	10,698.2	1,074.1	9,941.0	757.2	7.6%
Non-Personal Service	140.9	1,809.7	319.6	3,378.7	0.5	48.8	--	--	461.0	5,237.2	543.5	5,072.7	164.5	3.2%
General State Charges	296.1	2,884.1	100.7	1,781.4	--	--	--	--	396.8	4,665.5	437.9	4,626.0	39.5	0.9%
Debt Service, Including Payments on														
Financing Agreements (3)	--	--	--	--	64.2	3,138.2	--	--	64.2	3,138.2	57.6	2,754.4	383.8	13.9%
Capital Projects (4)	--	--	0.2	6.8	--	--	385.2	4,685.1	385.4	4,691.9	418.5	4,344.4	347.5	8.0%
<b>Total Disbursements</b>	<b>2,973.1</b>	<b>37,293.6</b>	<b>4,480.9</b>	<b>50,144.0</b>	<b>64.7</b>	<b>3,187.0</b>	<b>489.3</b>	<b>5,573.1</b>	<b>8,008.0</b>	<b>96,197.7</b>	<b>7,783.9</b>	<b>90,837.0</b>	<b>5,360.7</b>	<b>5.9%</b>
<b>Excess (Deficiency) of Receipts over Disbursements</b>	<b>2,774.4</b>	<b>(2,325.5)</b>	<b>(148.5)</b>	<b>(4,402.3)</b>	<b>1,711.2</b>	<b>8,462.6</b>	<b>(106.5)</b>	<b>(657.6)</b>	<b>4,230.6</b>	<b>1,077.2</b>	<b>5,376.0</b>	<b>3,088.5</b>	<b>(2,011.3)</b>	<b>65.1%</b>
<b>OTHER FINANCING SOURCES (USES):</b>														
Bond Proceeds (net)	--	--	--	--	--	--	--	--	--	--	--	--	--	--
Transfers from Other Funds (5)	1,591.7	10,551.5	415.3	5,475.4	300.4	4,788.3	92.9	756.6	2,400.3	21,571.8	2,612.2	18,482.7	3,089.1	16.7%
Transfers to Other Funds (5)	(346.4)	(5,226.5)	(322.8)	(2,717.2)	(1,674.5)	(12,792.1)	(59.6)	(864.2)	(2,403.3)	(21,600.0)	(2,615.5)	(18,515.7)	3,084.3	16.7%
<b>Total Other Financing Sources (Uses)</b>	<b>1,245.3</b>	<b>5,325.0</b>	<b>92.5</b>	<b>2,758.2</b>	<b>(1,374.1)</b>	<b>(8,003.8)</b>	<b>33.3</b>	<b>(107.6)</b>	<b>(3.0)</b>	<b>(28.2)</b>	<b>(3.3)</b>	<b>(33.0)</b>	<b>4.8</b>	<b>14.5%</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>4,019.7</b>	<b>2,999.5</b>	<b>(56.0)</b>	<b>(1,644.1)</b>	<b>337.1</b>	<b>458.8</b>	<b>(73.2)</b>	<b>(765.2)</b>	<b>4,227.6</b>	<b>1,049.0</b>	<b>5,372.7</b>	<b>3,055.5</b>	<b>(2,006.5)</b>	<b>65.7%</b>
<b>Beginning Fund Balances (Deficit)</b>	<b>1,733.8</b>	<b>2,754.0</b>	<b>2,290.5</b>	<b>3,878.6</b>	<b>407.9</b>	<b>286.2</b>	<b>(1,124.8)</b>	<b>(432.8)</b>	<b>3,307.4</b>	<b>6,486.0</b>	<b>4,535.9</b>	<b>6,853.1</b>	<b>(367.1)</b>	<b>-5.4%</b>
<b>Ending Fund Balances (Deficit)</b>	<b>\$5,753.5</b>	<b>\$5,753.5</b>	<b>\$2,234.5</b>	<b>\$2,234.5</b>	<b>\$745.0</b>	<b>\$745.0</b>	<b>(\$1,198.0)</b>	<b>(\$1,198.0)</b>	<b>\$7,535.0</b>	<b>\$7,535.0</b>	<b>\$9,908.6</b>	<b>\$9,908.6</b>	<b>(\$2,373.6)</b>	<b>-24.0%</b>

**GOVERNMENTAL FUNDS FOOTNOTES**

January 2009 - Exhibit A Notes

1. A portion of personal income tax receipts is also transferred to the State Special Revenue School Tax Relief (STAR) Fund to be used to reimburse school districts for the STAR property tax exemptions for homeowners and payments to homeowners for the STAR Property Rebate Program. Local Assistance Education grant payments total \$390m for the month of June, \$343m for the month of September, \$640m for the month of October, \$731m for the month of November, \$232m for the month of December and \$878m in January. Miscellaneous grant payments include a total of \$1,209m for the STAR Property Rebate Program.

2. Special Revenue Federal Funds disbursements include the payments made by the State which will be reimbursed by the Federal Government in February 2009:

Federal DHHS (Medicaid)	\$89.3 million
Federal DHHS (All Other)	57.4
Federal USDA/Food and Consumer Services	11.1
Federal DHHS/Block Grant	--
Federal Education	27.9
Federal Miscellaneous Operating Grants	--
Federal Employment and Training Grants	1.9

3. Total debt service disbursements include principal and interest on general obligation bonds and lease-purchase / contractual obligation payments. For a complete analysis of debt payments please refer to **Schedule 5 and Schedule 5a**.

4. Certain disbursements from Capital Projects Funds are financed by operating transfers from other funds, proceeds of State bonds and notes, and reimbursements received from Public Authorities and the Federal Government. The amounts shown below represent disbursements to be reimbursed in future months from the sources indicated:

Urban Development Corporation (Correctional Facilities)	\$146.8 million
Urban Development Corporation (Youth Facilities)	22.1
Housing Finance Agency (HFA)	103.1
Dormitory Authority (Mental Hygiene)	397.0
Dormitory Authority and State University Income Fund	53.6
Federal Capital Projects	175.2
State bond and note proceeds	72.7

5. Operating Transfers constitute legally authorized transfers from a fund receiving revenues to a fund through which disbursements will ultimately be made. The more significant transfers include:

**General Fund** "Transfers to Other Funds" includes transfers to the following funds:

State Capital Projects	\$704.7 million
General Debt Service	1,557.3
Court Facilities Incentive Aid	74.7
New York City County Clerks' Operating	26.5
Judiciary Data Processing Offset	21.2
State University Income	159.5
Indigent Legal Services	42.4
Banking Services	57.2
Debt Reduction Reserve	57.8
Mass Transportation Operating Assistance	44.0
Alcoholic Beverage Control Account	14.6

Also included in the General Fund are transfers representing payments for patients residing in State-operated Health, Mental Hygiene and State University facilities to Debt Service Funds (\$42.4m), the State University Income Fund (\$51.3m) and the Mental Hygiene Program Account (\$2,360.1m).

**Special Revenue Funds** "Transfers To Other Funds" includes transfers to Debt Service Funds (\$2,430.1m) representing the federal share of Medicaid payments for patients residing in State-operated Health and Mental Hygiene facilities.

Also included in Special Revenue Funds are transfers to the General Fund from the following:

Revenue Arrearage Account	\$15.0 million
Cultural Education Account	15.0
DMV-Compulsory Insurance Account	6.3
Tribal State Compact Revenue	28.2
State Code Enforcement Account	11.0
Criminal Justice Improvements Account	7.1
Business Licensing Account	25.0
Legal Services Account	8.3
Department of Labor - Fee & Penalty Account	13.4
Federal Health and Human Services	36.0
IFR / City University Tuition Fund	25.0
Elderly Pharmaceutical Insurance Coverage Premium Account	5.0
Local Wireless Public Safety Answering Point Account	5.0
Local Public Health Services Program Account	5.0
Motor Vehicle Theft & Insurance Fraud Prevention Account	5.0
Miscellaneous State Special Revenue Fund	31.2

**Debt Service Funds** "Transfers To Other Funds" includes transfers to the General Fund from the following funds:

Revenue Bond Tax	\$7,713.6 million
Local Government Assistance Tax	2,074.6
Clean Water/Clean Air	350.5

Also included in Debt Service Funds are transfers to Special Revenue Funds representing receipts in excess of lease-purchase obligations that are used to finance a portion of the operating expenses for Departments of Health (\$106.9m), Mental Hygiene (\$2,258.5m) and the State University (\$254.6m).

**Capital Projects Funds** "Transfers To Other Funds" includes transfers to the General Debt Service Fund (\$682.8m), the General Fund (\$157m) and the Special Revenue Fund - Mass Transportation Operating Assistance (\$20m).

6. The State receives moneys that represent refunds, pharmacy rebates, reimbursements, or disallowances of medical assistance payments previously made from appropriated State and Federal funds. These moneys are initially credited to an agency escrow account and shortly after receipt, are allocated and refunded to State or Federal fund appropriations from which the medical assistance payments were originally made.

A change in accounting treatment for Medicaid Program refunds and rebates was instituted in April 2008 to count these moneys as available General Fund and Special Revenue Fund resources. At month end, the following balances remain in agency escrow accounts and accounting entries have been made to reduce medical assistance spending and count these moneys as financial resources of the funds shown.

Account	Allocation of Month-End Balances	
	General Fund	Special Revenue-Federal
Medicaid Recoveries - Health Facilities	\$598,689	\$6,676,546
Medicaid Recoveries - Audit	--	372,389
Medicaid Recoveries - Third Parties	--	4,177,706
Pharmacy Rebates	--	352,397
Medicare Catastrophic Recovery	--	--
Medicaid "Windfall" Recovery	--	--
Total	<u>\$598,689</u>	<u>\$11,579,038</u>

**GOVERNMENTAL FUNDS FOOTNOTES (continued)**

7. Miscellaneous receipts in Governmental Funds include:

	GENERAL	SPECIAL	DEBT	CAPITAL	10 Months Ended January 31		\$ Increase/ (Decrease)
	FUND	REVENUE	SERVICE	PROJECTS	2009	2008	
	(amounts in millions)						
<b>Abandoned Property</b>	\$ 350.0	\$ --	\$ --	\$ --	\$ 350.0	\$ 437.0	\$ (87.0)
<b>Interest Earnings</b>	102.9	104.3	4.3	6.1	217.6	478.1	(260.5)
<b>Receipts from Public Authorities:</b>							
Bond Issuance Fees	98.7	7.2	--	--	105.9	83.7	22.2
Cost Recovery Assessments	--	5.6	--	--	5.6	16.4	(10.8)
Empire State/Urban Development Corporation	--	0.2	--	--	0.2	--	0.2
Environmental Facilities Corporation	--	4.9	--	--	4.9	4.9	0.0
Hudson River Park Trust	--	--	--	3.5	3.5	35.6	(32.1)
Lower Manhattan Development Corporation	--	--	--	2.3	2.3	5.5	(3.2)
Metropolitan Transportation Authority	--	--	--	20.0	20.0	20.0	0.0
Power Authority	171.1	11.5	--	0.3	182.9	184.0	(1.1)
State of NY Mortgage Agency	101.0	--	--	--	101.0	101.0	0.0
Thruway Authority - Policing the Thruway	--	37.6	--	--	37.6	39.5	(1.9)
<b>Bond Proceeds</b>							
Dormitory Authority	--	42.5	--	642.0	684.5	627.5	57.0
Empire State/Urban Development Corporation	--	--	--	279.6	279.6	152.3	127.3
Environmental Facilities Corporation	--	--	--	149.9	149.9	95.1	54.8
Housing Finance Agency	--	--	--	126.5	126.5	121.7	4.8
Thruway Authority	--	--	--	344.0	344.0	374.1	(30.1)
All Other	0.1	9.2	--	1.8	11.1	5.3	5.8
<b>Refunds and Reimbursements:</b>							
Receipts from Municipalities	140.4	133.5	15.0	--	288.9	192.3	96.6
Women, Infants and Children Rebates	--	96.5	--	--	96.5	91.1	5.4
HESC Student Loan Recoveries	--	80.5	--	--	80.5	83.0	(2.5)
Admin Recoveries - Collection of Local Taxes	48.8	63.3	--	1.0	113.1	100.2	12.9
Indirect Cost Assessments	59.1	--	--	--	59.1	55.4	3.7
Reimbursements from Cornell University	14.7	--	--	3.6	18.3	32.4	(14.1)
Hazardous Waste and Oil Spill	--	5.8	--	11.1	16.9	20.4	(3.5)
Third Party Recoveries	50.0	14.5	--	11.0	75.5	69.4	6.1
All Other	19.9	10.8	5.5	11.5	47.7	32.0	15.7
<b>Health Care Reform Act:</b>							
Public Goods and Health Care Initiatives Pools	--	2,718.2	--	--	2,718.2	2,520.2	198.0
Public Asset Transfers	--	--	--	--	--	498.9	(498.9)
<b>Revenues of State Departments:</b>							
Patient/Client Care Reimbursements	--	991.0	349.1	--	1,340.1	1,284.5	55.6
Medical Care Provider Assessments	144.4	477.5	--	--	621.9	584.2	37.7
Industry Assessments	40.7	570.6	--	39.8	651.1	635.7	15.4
Student Tuition, Fees and Other SUNY Revenues	--	1,354.3	303.6	--	1,657.9	1,592.0	65.9
Student Tuition, Fees and Other CUNY Revenues	--	87.1	--	--	87.1	68.8	18.3
EPIC Fees and Rebates	--	168.4	--	--	168.4	213.1	(44.7)
Miscellaneous Sales, Rentals and Leases	9.4	25.3	--	6.6	41.3	50.1	(8.8)
Gifts and Unclaimed Property	0.9	17.2	--	--	18.1	23.5	(5.4)
All Other	10.5	20.0	--	0.4	30.9	37.3	(6.4)
<b>Gaming:</b>							
Lottery - Education	--	1,443.4	--	--	1,443.4	1,425.8	17.6
Lottery - Administration	--	450.0	--	--	450.0	438.8	11.2
Video Lottery Terminal - Education	--	355.2	--	--	355.2	395.6	(40.4)
Video Lottery Terminal - Administration	--	28.2	--	--	28.2	27.2	1.0
Casinos	--	67.6	--	--	67.6	145.3	(77.7)
<b>Licenses and Fees</b>	321.2	840.3	--	91.5	1,253.0	1,237.5	15.5
<b>Fines</b>	455.0	106.7	--	3.7	565.4	361.3	204.1
<b>TOTAL</b>	<u>\$ 2,138.8</u>	<u>\$ 10,348.9</u>	<u>\$ 677.5</u>	<u>\$ 1,756.2</u>	<u>\$ 14,921.4</u>	<u>\$ 14,997.7</u>	<u>\$ (76.3)</u>

STATE OF NEW YORK  
 PROPRIETARY FUNDS  
 COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN EQUITY  
 (amounts in millions)

EXHIBIT B

	ENTERPRISE		INTERNAL SERVICE		TOTAL PROPRIETARY FUNDS (memorandum only)			
	MONTH OF JAN. 2009	10 MOS. ENDED JAN. 31, 2009	MONTH OF JAN. 2009	10 MOS. ENDED JAN. 31, 2009	MONTH OF JAN. 2009	10 MOS. ENDED JAN. 31, 2009	MONTH OF JAN. 2008	10 MOS. ENDED JAN. 31, 2008
<b>RECEIPTS:</b>								
Miscellaneous Receipts	\$4.3	\$54.3	\$40.3	\$400.1	\$44.6	\$454.4	\$57.7	\$454.2
Federal Receipts	132.3	763.5 (*)	--	--	132.3	763.5	3.0	27.9
Unemployment Taxes	381.8	2,271.9	--	--	381.8	2,271.9	253.3	1,739.1
<b>TOTAL RECEIPTS</b>	<b>518.4</b>	<b>3,089.7</b>	<b>40.3</b>	<b>400.1</b>	<b>558.7</b>	<b>3,489.8</b>	<b>314.0</b>	<b>2,221.2</b>
<b>DISBURSEMENTS:</b>								
Departmental Operations:								
Personal Service	0.4	6.0	8.8	100.8	9.2	106.8	10.1	108.4
Non-Personal Service	3.9	45.6	23.4	342.6	27.3	388.2	35.7	397.1
General State Charges	0.2	1.7	0.2	41.8	0.4	43.5	0.5	37.6
Debt Service, Including Payments on Financing Agreements	--	--	--	--	--	--	--	--
Unemployment Benefits	477.2	3,003.3	--	--	477.2	3,003.3	229.5	1,766.3
<b>TOTAL DISBURSEMENTS</b>	<b>481.7</b>	<b>3,056.6</b>	<b>32.4</b>	<b>485.2</b>	<b>514.1</b>	<b>3,541.8</b>	<b>275.8</b>	<b>2,309.4</b>
<b>EXCESS (DEFICIENCY) OF RECEIPTS OVER DISBURSEMENTS</b>	<b>36.7</b>	<b>33.1</b>	<b>7.9</b>	<b>(85.1)</b>	<b>44.6</b>	<b>(52.0)</b>	<b>38.2</b>	<b>(88.2)</b>
<b>OTHER FINANCING SOURCES (USES):</b>								
Transfers from Other Funds	--	--	3.0	57.2	3.0	57.2	3.2	54.4
Transfers to Other Funds	--	--	(0.1)	(2.1)	(0.1)	(2.1)	--	(0.3)
<b>NET SOURCES (USES)</b>	<b>--</b>	<b>--</b>	<b>2.9</b>	<b>55.1</b>	<b>2.9</b>	<b>55.1</b>	<b>3.2</b>	<b>54.1</b>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	36.7	33.1	10.8	(30.0)	47.5	3.1	41.4	(34.1)
<b>BEGINNING FUND EQUITY (DEFICITS)</b>	<b>(13.5)</b>	<b>(9.9)</b>	<b>(49.1)</b>	<b>(8.3)</b>	<b>(62.6)</b>	<b>(18.2)</b>	<b>(78.4)</b>	<b>(2.9)</b>
<b>ENDING FUND EQUITY (DEFICITS)</b>	<b>\$23.2</b>	<b>\$23.2</b>	<b>(\$38.3)</b>	<b>(\$38.3)</b>	<b>(\$15.1)</b>	<b>(\$15.1)</b>	<b>(\$37.0)</b>	<b>(\$37.0)</b>

(\*) The increase in Federal Receipts resulted from Governor Patterson signing the Emergency Unemployment Compensation agreement on July 2, 2008, which extended unemployment compensation benefits.

STATE OF NEW YORK  
TRUST FUNDS  
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES  
(amounts in millions)

EXHIBIT C

	PENSION		PRIVATE PURPOSE		TOTAL TRUST FUNDS (memorandum only)			
	MONTH OF JAN. 2009	10 MOS. ENDED JAN. 31, 2009	MONTH OF JAN. 2009	10 MOS. ENDED JAN. 31, 2009	MONTH OF JAN. 2009	10 MOS. ENDED JAN. 31, 2009	MONTH OF JAN. 2008	10 MOS. ENDED JAN. 31, 2008
<b>RECEIPTS:</b>								
Miscellaneous Receipts	\$5.7	\$81.4	\$0.1	\$0.8	\$5.8	\$82.2	\$5.7	\$71.7
<b>TOTAL RECEIPTS</b>	<u>5.7</u>	<u>81.4</u>	<u>0.1</u>	<u>0.8</u>	<u>5.8</u>	<u>82.2</u>	<u>5.7</u>	<u>71.7</u>
<b>DISBURSEMENTS:</b>								
Departmental Operations:								
Personal Service	3.7	41.9	0.1	0.3	3.8	42.2	2.7	38.2
Non-Personal Service	2.1	22.9	--	--	2.1	22.9	3.0	19.0
General State Charges	--	16.6	--	0.1	--	16.7	4.8	19.6
<b>TOTAL DISBURSEMENTS</b>	<u>5.8</u>	<u>81.4</u>	<u>0.1</u>	<u>0.4</u>	<u>5.9</u>	<u>81.8</u>	<u>10.5</u>	<u>76.8</u>
<b>EXCESS (DEFICIENCY) OF RECEIPTS OVER DISBURSEMENTS</b>	<u>(0.1)</u>	<u>--</u>	<u>--</u>	<u>0.4</u>	<u>(0.1)</u>	<u>0.4</u>	<u>(4.8)</u>	<u>(5.1)</u>
<b>OTHER FINANCING SOURCES (USES):</b>								
Transfers from Other Funds	--	--	--	--	--	--	--	--
Transfers to Other Funds	--	--	--	--	--	--	--	--
<b>NET SOURCES (USES)</b>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(0.1)	--	--	0.4	(0.1)	0.4	(4.8)	(5.1)
<b>BEGINNING FUND EQUITY (DEFICITS)</b>	<u>0.1</u>	<u>--</u>	<u>9.8</u>	<u>9.4</u>	<u>9.9</u>	<u>9.4</u>	<u>9.3</u>	<u>9.6</u>
<b>ENDING FUND EQUITY (DEFICITS)</b>	<u>\$ --</u>	<u>\$ --</u>	<u>\$9.8</u>	<u>\$9.8</u>	<u>\$9.8</u>	<u>\$9.8</u>	<u>\$4.5</u>	<u>\$4.5</u>

STATE OF NEW YORK  
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL  
 STATE FISCAL YEAR ENDING MARCH 31, 2009  
 FOR TEN (10) MONTHS ENDED JANUARY 31, 2009  
 (amounts in millions)

EXHIBIT D

	ALL GOVERNMENTAL FUNDS		
	Financial Plan (*)	Actual	Actual Over (Under) Financial Plan
<b>RECEIPTS:</b>			
Taxes.....	\$52,417	\$52,609.1	\$192.1
Miscellaneous Receipts.....	14,660	14,921.4	261.4
Federal Receipts.....	29,534	29,744.4	210.4
<b>Total Receipts.....</b>	<b>96,611</b>	<b>97,274.9</b>	<b>663.9</b>
<b>DISBURSEMENTS:</b>			
Local Assistance Grants.....	67,714	67,766.7	52.7
Departmental Operations.....	16,024	15,935.4	(88.6)
General State Charges.....	4,662	4,665.5	3.5
Debt Service.....	3,150	3,138.2	(11.8)
Capital Projects.....	4,877	4,691.9	(185.1)
<b>Total Disbursements.....</b>	<b>96,427</b>	<b>96,197.7</b>	<b>(229.3)</b>
<b>Excess (Deficiency) of Receipts over Disbursements.....</b>	<b>184</b>	<b>1,077.2</b>	<b>893.2</b>
<b>OTHER FINANCING SOURCES (USES):</b>			
Bond and Note Proceeds, net.....	--	--	--
Transfers from Other Funds.....	21,557	21,571.8	14.8
Transfers to Other Funds.....	(21,583)	(21,600.0)	17.0
<b>Total Other Financing Sources (Uses).....</b>	<b>(26)</b>	<b>(28.2)</b>	<b>(2.2)</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....</b>	<b>158</b>	<b>1,049.0</b>	<b>891.0</b>
<b>Fund Balances (Deficit) at April 1.....</b>	<b>6,486</b>	<b>6,486.0</b>	<b>--</b>
<b>Fund Balances (Deficit) at January 31.....</b>	<b>\$6,644</b>	<b>\$7,535.0</b>	<b>\$891.0</b>

(\*) Source: DOB, 2009-10 Executive Budget with 30-days amendments dated January 15, 2009.

STATE OF NEW YORK  
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL  
 STATE FISCAL YEAR ENDING MARCH 31, 2009  
 FOR TEN (10) MONTHS ENDED JANUARY 31, 2009  
 (amounts in millions)

EXHIBIT D  
 (continued)

	GENERAL			SPECIAL REVENUE		
	Financial Plan (*)	Actual	Actual Over (Under) Financial Plan	Financial Plan (*)	Actual	Actual Over (Under) Financial Plan
<b>RECEIPTS:</b>						
Taxes:						
Personal Income .....	\$20,350	\$20,594.9	\$244.9	\$4,439	\$4,439.7	\$0.7
Consumption/Use.....	7,198	7,139.5	(58.5)	1,679	1,679.6	0.6
Business.....	3,933	3,910.0	(23.0)	1,084	1,080.7	(3.3)
Other.....	1,105	1,140.2	35.2	--	--	--
Miscellaneous Receipts.....	1,871	2,138.8	267.8	10,410	10,348.9	(61.1)
Federal Receipts.....	46	44.7	(1.3)	27,922	28,192.8	270.8
Bond and Note Proceeds, net.....	--	--	--	--	--	--
Transfers From:						
PIT in excess of Revenue Bond Debt Service.....	7,652	7,713.6	61.6	--	--	--
Sales Tax in excess of LGAC Debt Service.....	2,083	2,074.6	(8.4)	--	--	--
Real Estate Taxes in excess of CW/CA Debt Service..	356	350.5	(5.5)	--	--	--
All Other.....	426	412.8	(13.2)	--	--	--
<b>Total Receipts.....</b>	<b>45,020</b>	<b>45,519.6</b>	<b>499.6</b>	<b>5,527</b>	<b>5,475.4</b>	<b>(51.6)</b>
				<b>51,061</b>	<b>51,217.1</b>	<b>156.1</b>
<b>DISBURSEMENTS:</b>						
Local Assistance Grants.....	27,030	27,126.5	96.5	39,981	39,752.2	(228.8)
Departmental Operations.....	7,302	7,283.0	(19.0)	8,673	8,603.6	(69.4)
General State Charges.....	2,774	2,884.1	110.1	1,888	1,781.4	(106.6)
Debt Service.....	--	--	--	--	--	--
Capital Projects.....	--	--	--	9	6.8	(2.2)
Transfers To:						
Debt Service.....	1,560	1,557.3	(2.7)	--	--	--
Capital Projects.....	665	704.7	39.7	--	--	--
State Share Medicaid.....	2,376	2,360.1	(15.9)	--	--	--
Other Purposes.....	601	604.4	3.4	--	--	--
<b>Total Disbursements.....</b>	<b>42,308</b>	<b>42,520.1</b>	<b>212.1</b>	<b>2,727</b>	<b>2,717.2</b>	<b>(9.8)</b>
				<b>53,278</b>	<b>52,861.2</b>	<b>(416.8)</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....</b>	<b>2,712</b>	<b>2,999.5</b>	<b>287.5</b>	<b>(2,217)</b>	<b>(1,644.1)</b>	<b>572.9</b>
<b>Fund Balances (Deficit) at April 1.....</b>	<b>2,754</b>	<b>2,754.0</b>	<b>--</b>	<b>3,879</b>	<b>3,878.6</b>	<b>(0.4)</b>
<b>Fund Balances (Deficit) at January 31.....</b>	<b>\$5,466</b>	<b>\$5,753.5</b>	<b>\$287.5</b>	<b>\$1,662</b>	<b>\$2,234.5</b>	<b>\$572.5</b>

(\*) Source: DOB, 2009-10 Executive Budget with 30-days amendments dated January 15, 2009.



STATE OF NEW YORK  
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL  
 STATE FISCAL YEAR ENDING MARCH 31, 2009  
 FOR TEN (10) MONTHS ENDED JANUARY 31, 2009  
 (amounts in millions)

**EXHIBIT D**  
**(continued)**

	DEBT SERVICE			CAPITAL PROJECTS		
	Financial Plan (*)	Actual	Actual Over (Under) Financial Plan	Financial Plan (*)	Actual	Actual Over (Under) Financial Plan
<b>RECEIPTS:</b>						
Taxes.....	\$10,949	\$10,972.1	\$23.1	\$1,680	\$1,652.4	(\$27.6)
Miscellaneous Receipts.....	646	677.5	31.5	1,733	1,756.2	23.2
Federal Receipts.....	--	--	--	1,566	1,506.9	(59.1)
Bond and Note Proceeds, net.....	--	--	--	--	--	--
Transfers from Other Funds.....	4,798	4,788.3	(9.7)	715	756.6	41.6
<b>Total Receipts.....</b>	<b>16,393</b>	<b>16,437.9</b>	<b>44.9</b>	<b>5,694</b>	<b>5,672.1</b>	<b>(21.9)</b>
<b>DISBURSEMENTS:</b>						
Local Assistance Grants.....	--	--	--	703	888.0	185.0
Departmental Operations.....	49	48.8	(0.2)	--	--	--
General State Charges.....	--	--	--	--	--	--
Debt Service.....	3,150	3,138.2	(11.8)	--	--	--
Capital Projects.....	--	--	--	4,868	4,685.1	(182.9)
Transfers to Other Funds.....	12,785	12,792.1	7.1	869	864.2	(4.8)
<b>Total Disbursements.....</b>	<b>15,984</b>	<b>15,979.1</b>	<b>(4.9)</b>	<b>6,440</b>	<b>6,437.3</b>	<b>(2.7)</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....</b>	<b>409</b>	<b>458.8</b>	<b>49.8</b>	<b>(746)</b>	<b>(765.2)</b>	<b>(19.2)</b>
<b>Fund Balances (Deficit) at April 1.....</b>	<b>286</b>	<b>286.2</b>	<b>0.2</b>	<b>(433)</b>	<b>(432.8)</b>	<b>0.2</b>
<b>Fund Balances (Deficit) at January 31.....</b>	<b>\$695</b>	<b>\$745.0</b>	<b>\$50.0</b>	<b>(\$1,179)</b>	<b>(\$1,198.0)</b>	<b>(\$19.0)</b>

(\*) Source: DOB, 2009-10 Executive Budget with 30-days amendments dated January 15, 2009.

STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
COMPARATIVE SCHEDULE OF TAX RECEIPTS  
(amounts in millions)

EXHIBIT "E"

	GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS				YEAR OVER YEAR	
	MONTH OF JAN. 2009	10 MOS. ENDED JAN. 31, 2009	MONTH OF JAN. 2009	10 MOS. ENDED JAN. 31, 2009	MONTH OF JAN. 2009	10 MOS. ENDED JAN. 31, 2009	MONTH OF JAN. 2009	10 MOS. ENDED JAN. 31, 2009	MONTH OF JAN. 2009	10 MOS. ENDED JAN. 31, 2009	MONTH OF JAN. 2008	10 MOS. ENDED JAN. 31, 2008	\$ Increase / (Decrease)	% Increase / Decrease
<b>PERSONAL INCOME TAX</b>														
Withholding	\$3,243.4	\$22,703.6	--	--	--	--	--	--	\$3,243.4	\$22,703.6	\$4,039.2	\$22,633.3	\$70.3	0.3%
Estimated payments	2,511.6	12,599.3	--	--	--	--	--	--	2,511.6	12,599.3	2,970.9	11,536.2	1,063.1	9.2%
Final returns	17.4	2,574.4	--	--	--	--	--	--	17.4	2,574.4	13.8	2,030.4	544.0	26.8%
State/City Offsets	(44.0)	(468.9)	--	--	--	--	--	--	(44.0)	(468.9)	(0.2)	(466.4)	2.5	0.5%
Other (Assessments/LLC)	93.7	718.0	--	--	--	--	--	--	93.7	718.0	107.7	715.3	2.7	0.4%
Gross Receipts	5,822.1	38,126.4	--	--	--	--	--	--	5,822.1	38,126.4	7,131.4	36,448.8	1,677.6	4.6%
Transfers to School Tax Relief Fund	--	(4,439.7)	--	4,439.7	--	--	--	--	--	--	--	--	--	--
Transfers to Revenue Bond Tax Fund	(1,453.1)	(8,344.9)	--	--	1,453.1	8,344.9	--	--	--	--	--	--	--	--
Less: Refunds Issued	(9.9)	(4,746.9)	--	--	--	--	--	--	(9.9)	(4,746.9)	(13.0)	(4,475.8)	271.1	6.1%
Total	4,359.1	20,594.9	--	4,439.7	1,453.1	8,344.9	--	--	5,812.2	33,379.5	7,118.4	31,973.0	1,406.5	4.4%
<b>CONSUMPTION / USE TAXES AND FEES</b>														
Sales and Use	622.5	6,539.4	57.4	655.8	207.3	2,174.4	--	--	887.2	9,369.6	908.6	9,517.9	(148.3)	-1.6%
Auto Rental	--	--	--	--	--	--	0.1	47.7	0.1	47.7	0.1	39.0	8.7	22.3%
Motor Vehicle	--	--	14.7	164.0	--	--	38.0	432.2	52.7	596.2	63.4	634.1	(37.9)	-6.0%
Cigarette/Tobacco Products	34.4	385.5	74.3	770.6	--	--	--	--	108.7	1,156.1	82.1	853.6	302.5	35.4%
Motor Fuel	--	--	8.6	89.2	--	--	32.8	335.3	41.4	424.5	46.0	440.3	(15.8)	-3.6%
Alcoholic Beverage	22.3	180.2	--	--	--	--	--	--	22.3	180.2	21.2	180.3	(0.1)	-0.1%
Beverage Container	--	--	--	--	--	--	--	--	--	--	--	--	--	--
Highway Use	--	--	--	--	--	--	10.7	121.9	10.7	121.9	12.7	126.8	(4.9)	-3.9%
Alcoholic Beverage Control Licenses	3.4	34.4	--	--	--	--	--	--	3.4	34.4	3.9	40.4	(6.0)	-14.9%
Total	682.6	7,139.5	155.0	1,679.6	207.3	2,174.4	81.6	937.1	1,126.5	11,930.6	1,138.0	11,832.4	98.2	0.8%
<b>BUSINESS TAXES</b>														
Corporation Franchise	36.2	2,092.1	9.7	352.7	--	--	--	--	45.9	2,444.8	167.0	2,720.3	(275.5)	-10.1%
Corporation and Utilities	9.8	481.9	(0.1)	139.6	--	--	0.1	12.9	9.8	634.4	(1.6)	564.0	70.4	12.5%
Insurance	4.7	698.7	0.6	59.4	--	--	--	--	5.3	758.1	(0.1)	844.6	(86.5)	-10.2%
Bank	1.2	637.3	(2.4)	116.9	--	--	--	--	(1.2)	754.2	(18.0)	780.5	(26.3)	-3.4%
Petroleum Business	--	--	40.3	412.1	--	--	49.8	512.8	90.1	924.9	101.6	975.3	(50.4)	-5.2%
Total	51.9	3,910.0	48.1	1,080.7	--	--	49.9	525.7	149.9	5,516.4	248.9	5,884.7	(368.3)	-6.3%
<b>OTHER TAXES</b>														
Real Property Gains	--	0.1	--	--	--	--	--	--	--	0.1	--	0.5	(0.4)	-80.0%
Estate and Gift	113.3	1,120.5	--	--	--	--	--	--	113.3	1,120.5	101.8	875.8	244.7	27.9%
Pari-Mutuel	1.2	18.9	--	--	--	--	--	--	1.2	18.9	1.4	19.8	(0.9)	-4.5%
Real Estate Transfer	--	--	--	--	17.7	452.8	23.7	189.6	41.4	642.4	85.0	885.5	(243.1)	-27.5%
Racing and Exhibitions	--	0.7	--	--	--	--	--	--	--	0.7	0.1	0.7	--	--
Total	114.5	1,140.2	--	--	17.7	452.8	23.7	189.6	155.9	1,782.6	188.3	1,782.3	0.3	--
<b>TOTAL TAX RECEIPTS</b>	<b>\$5,208.1</b>	<b>\$32,784.6</b>	<b>\$203.1</b>	<b>\$7,200.0</b>	<b>\$1,678.1</b>	<b>\$10,972.1</b>	<b>\$155.2</b>	<b>\$1,652.4</b>	<b>\$7,244.5</b>	<b>\$52,609.1</b>	<b>\$8,693.6</b>	<b>\$51,472.4</b>	<b>\$1,136.7</b>	<b>2.2%</b>

STATE OF NEW YORK  
GENERAL FUND  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2008-2009  
(amounts in millions)

EXHIBIT "F"

	2008												2009				10 Months Ended Jan. 31			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2009	2008	\$ Increase/ (Decrease)	% Increase/ Decrease				
<b>OPENING CASH BALANCE</b>	\$2,754.0	\$7,589.4	\$3,546.1	\$3,617.6	\$4,233.9	\$4,396.4	\$5,672.7	\$3,887.7	\$1,166.3	\$1,733.8			\$2,754.0	\$3,045.1	(\$291.1)	-9.6%				
<b>RECEIPTS:</b>																				
Personal Income Tax	5,613.0	849.9	2,381.7	1,714.7	1,540.1	2,099.0	251.8	(70.2)	1,855.8	4,359.1			20,594.9	19,249.3	1,345.6	7.0%				
Consumption/Use Taxes and Fees	636.7	651.1	847.5	704.1	684.3	877.5	645.5	631.4	778.8	682.6			7,139.5	7,236.4	(96.9)	-1.3%				
Business Taxes	104.1	(17.1)	947.7	58.9	84.9	1,217.8	35.6	64.0	1,362.2	51.9			3,910.0	4,205.3	(295.3)	-7.0%				
Other Taxes	101.9	134.1	80.5	294.3	81.6	63.8	88.7	80.5	100.3	114.5			1,140.2	896.8	243.4	27.1%				
Miscellaneous Receipts	116.4	188.8	278.6	79.4	118.4	223.2	126.0	233.0	235.6	539.4			2,138.8	1,935.7	203.1	10.5%				
Federal Receipts	2.9	--	--	13.4	--	14.4	--	--	14.0	--			44.7	65.0	(20.3)	-31.2%				
Total Receipts	6,575.0	1,806.8	4,536.0	2,864.8	2,509.3	4,495.7	1,147.6	938.7	4,346.7	5,747.5	0.0	0.0	34,968.1	33,588.5	1,379.6	4.11%				
<b>DISBURSEMENTS:</b>																				
Local Assistance Grants:																				
General Purpose	--	11.4	375.3	0.1	4.1	160.7	9.4	3.8	383.3	0.1			948.2	700.8	247.4	35.3%				
Education	448.8	2,376.6	2,770.5	331.8	779.6	1,581.8	874.6	1,506.4	1,787.0	792.5			13,249.6	11,863.6	1,386.0	11.7%				
Social Services:																				
Medicaid	882.1	1,200.8	1,007.7	763.4	291.2	642.3	1,119.1	864.3	877.6	809.2			8,457.7	7,681.6	776.1	10.1%				
Other Social Services	131.1	192.1	492.0	354.8	300.0	340.2	(47.8)	185.0	168.0	358.3			2,473.7	2,524.5	(50.8)	-2.0%				
Health and Environment	49.4	75.0	72.1	81.6	81.5	254.6	88.4	153.4	101.1	79.7			1,036.8	664.9	371.9	55.9%				
Mental Hygiene	60.3	68.7	38.7	3.8	(30.3)	40.2	43.9	6.4	39.2	37.9			308.8	1,001.0	(692.2)	-69.2%				
Transportation	0.3	13.6	31.6	0.2	17.2	0.7	0.3	27.3	6.3	0.1			97.6	96.7	0.9	0.9%				
Criminal Justice	13.5	9.2	11.7	12.1	4.8	15.7	24.0	19.8	25.2	15.6			151.6	140.7	10.9	7.7%				
SEMO and Disaster Assistance	1.4	1.2	1.8	2.1	1.7	2.0	1.0	1.1	0.6	--			12.9	49.4	(36.5)	-73.9%				
Miscellaneous	24.4	22.1	35.8	52.4	40.7	73.5	56.2	33.9	41.6	9.0			389.6	416.5	(26.9)	-6.5%				
Total Local Assistance Grants	1,611.3	3,970.7	4,837.2	1,602.3	1,490.5	3,111.7	2,169.1	2,801.4	3,429.9	2,102.4	0.0	0.0	27,126.5	25,139.7	1,986.8	7.9%				
Departmental Operations:																				
Personal Service	774.8	418.9	476.5	661.2	531.9	460.3	699.3	495.4	521.3	433.7			5,473.3	6,133.5	(660.2)	-10.8%				
Non-Personal Service	225.7	206.2	190.8	197.7	180.6	226.4	138.6	115.5	187.3	140.9			1,809.7	2,263.2	(453.5)	-20.0%				
General State Charges	488.9	1,020.4	(142.4)	341.2	278.0	19.0	376.2	39.0	167.7	296.1			2,884.1	3,936.0	(1,051.9)	-26.7%				
Total Disbursements	3,100.7	5,616.2	5,362.1	2,802.4	2,481.0	3,817.4	3,383.2	3,451.3	4,306.2	2,973.1	0.0	0.0	37,293.6	37,472.4	(178.8)	-0.5%				
Excess (Deficiency) of Receipts over Disbursements	3,474.3	(3,809.4)	(826.1)	62.4	28.3	678.3	(2,235.6)	(2,512.6)	40.5	2,774.4	0.0	0.0	(2,325.5)	(3,883.9)	1,558.4	40.1%				
<b>OTHER FINANCING SOURCES (USES):</b>																				
Transfers from Other Funds	2,099.0	303.2	1,469.3	902.4	519.1	1,329.7	730.3	288.5	1,318.3	1,591.7			10,551.5	10,580.1	(28.6)	-0.3%				
Transfers to State Capital Projects	(100.1)	(76.9)	(72.4)	(45.4)	(90.4)	(118.4)	3.5	(6.7)	(108.9)	(89.0)			(704.7)	(740.2)	(35.5)	-4.8%				
Transfers to General Debt Service	(239.7)	(131.8)	(220.1)	(49.1)	(36.3)	(278.8)	(1.2)	(193.3)	(403.2)	(3.8)			(1,557.3)	(1,366.0)	191.3	14.0%				
Transfers to All Other State Funds	(398.1)	(328.4)	(279.2)	(254.0)	(258.2)	(334.5)	(282.0)	(297.3)	(279.2)	(253.6)			(2,964.5)	(600.4)	2,364.1	393.8%				
Total Other Financing Sources (Uses)	1,361.1	(233.9)	897.6	553.9	134.2	598.0	450.6	(208.8)	527.0	1,245.3	0.0	0.0	5,325.0	7,873.5	(2,548.5)	-32.4%				
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	4,835.4	(4,043.3)	71.5	616.3	162.5	1,276.3	(1,785.0)	(2,721.4)	567.5	4,019.7	0.0	0.0	2,999.5	3,989.6	(990.1)	-24.8%				
<b>CLOSING CASH BALANCE</b>	\$7,589.4	\$3,546.1	\$3,617.6	\$4,233.9	\$4,396.4	\$5,672.7	\$3,887.7	\$1,166.3	\$1,733.8	\$5,753.5	\$0.0	\$0.0	\$5,753.5	\$7,034.7	(\$1,281.2)	-18.2%				

STATE OF NEW YORK  
GENERAL FUND  
CASH FLOW SCHEDULE OF TAX RECEIPTS  
FISCAL YEAR 2008-2009  
(amounts in millions)

EXHIBIT " F "  
TAX RECEIPTS

	10 Months Ended Jan. 31												2009	2008	
	2008 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2009 JANUARY	FEBRUARY	MARCH			
<b>PERSONAL INCOME TAX</b>															
Withholdings	\$2,200.6	\$2,002.4	\$2,025.8	\$2,233.8	\$2,038.0	\$2,090.5	\$2,092.2	\$1,927.8	\$2,849.1	\$3,243.4			\$22,703.6	\$22,633.3	
Estimated payments	5,537.0	71.4	1,708.4	70.7	61.7	1,760.8	104.9	54.0	718.8	2,511.6			12,599.3	11,536.2	
Final returns	2,003.2	48.6	40.9	26.7	26.9	41.5	340.8	15.4	13.0	17.4			2,574.4	2,030.4	
State/City Offsets	(20.6)	(120.6)	(10.7)	(5.3)	--	(0.1)	(46.8)	(350.2)	129.4	(44.0)			(468.9)	(466.4)	
Other (Assessments/LLC)	95.2	52.3	66.5	74.8	45.0	58.9	71.3	62.6	97.7	93.7			718.0	715.3	
Gross Receipts	9,815.4	2,054.1	3,830.9	2,400.7	2,171.6	3,951.6	2,562.4	1,709.6	3,808.0	5,822.1	0.0	0.0	38,126.4	36,448.8	
Transfers to School Tax Relief Fund	--	--	(389.6)	--	--	(781.9)	(1,397.3)	(970.9)	(900.0)	--			(4,439.7)	(4,730.5)	
Transfers to Revenue Bond Tax Fund	(1,871.0)	(283.3)	(923.7)	(571.6)	(513.4)	(960.3)	(549.7)	(300.2)	(918.6)	(1,453.1)			(8,344.9)	(7,993.2)	
Refunds issued	(2,331.4)	(920.9)	(135.9)	(114.4)	(118.1)	(110.4)	(363.6)	(508.7)	(133.6)	(9.9)			(4,746.9)	(4,475.8)	
Total Personal Income Tax	5,613.0	849.9	2,381.7	1,714.7	1,540.1	2,099.0	251.8	(70.2)	1,855.8	4,359.1	0.0	0.0	20,594.9	19,249.3	
<b>CONSUMPTION/USE TAXES AND FEES</b>															
Sales and Use	576.3	594.9	810.2	624.1	624.7	811.4	585.7	573.1	716.5	622.5			6,539.4	6,657.4	
Auto Rental	--	--	--	--	--	--	--	--	--	--			--	--	
Motor Vehicle	--	--	--	--	--	--	--	--	--	--			--	--	
Cigarette/Tobacco Products	38.1	36.2	15.8	55.8	39.9	44.8	40.0	36.0	44.5	34.4			385.5	358.3	
Motor Fuel	--	--	--	--	--	--	--	--	--	--			--	--	
Alcoholic Beverage	18.5	16.5	17.8	21.0	16.5	17.3	16.5	19.1	14.7	22.3			180.2	180.3	
Beverage Container	--	--	--	--	--	--	--	--	--	--			--	--	
Highway Use	--	--	--	--	--	--	--	--	--	--			--	--	
Alcoholic Beverage Control Licenses	3.8	3.5	3.7	3.2	3.2	4.0	3.3	3.2	3.1	3.4			34.4	40.4	
Total Consumption/Use Taxes and Fees	636.7	651.1	847.5	704.1	684.3	877.5	645.5	631.4	778.8	682.6	0.0	0.0	7,139.5	7,236.4	
<b>BUSINESS TAXES</b>															
Corporation Franchise	117.0	(25.8)	436.3	49.8	57.2	525.8	53.5	54.3	787.8	36.2			2,092.1	2,361.1	
Corporation and Utilities	(4.5)	1.6	134.6	0.9	2.9	152.5	12.8	0.7	170.6	9.8			481.9	426.7	
Insurance	(4.0)	6.2	245.1	2.4	9.4	212.2	12.2	(1.6)	212.1	4.7			698.7	759.8	
Bank	(4.4)	0.9	131.7	5.8	15.4	327.3	(42.9)	10.6	191.7	1.2			637.3	657.7	
Petroleum Business	--	--	--	--	--	--	--	--	--	--			--	--	
Total Business Taxes	104.1	(17.1)	947.7	58.9	84.9	1,217.8	35.6	64.0	1,362.2	51.9	0.0	0.0	3,910.0	4,205.3	
<b>OTHER TAXES</b>															
Real Property Gains	--	--	0.1	--	--	--	--	--	--	--			0.1	0.5	
Estate and Gift	101.0	132.6	77.2	292.3	78.7	61.0	86.9	78.7	98.8	113.3			1,120.5	875.8	
Pari-Mutuel	0.9	1.4	3.2	2.0	2.8	2.5	1.7	1.8	1.4	1.2			18.9	19.8	
Real Estate Transfer	--	--	--	--	--	--	--	--	--	--			--	--	
Racing and Exhibitions	--	0.1	--	--	0.1	0.3	0.1	--	0.1	--			0.7	0.7	
Total Other Taxes	101.9	134.1	80.5	294.3	81.6	63.8	88.7	80.5	100.3	114.5	0.0	0.0	1,140.2	896.8	
<b>TOTAL TAX RECEIPTS</b>	<u>\$6,455.7</u>	<u>\$1,618.0</u>	<u>\$4,257.4</u>	<u>\$2,772.0</u>	<u>\$2,390.9</u>	<u>\$4,258.1</u>	<u>\$1,021.6</u>	<u>\$705.7</u>	<u>\$4,097.1</u>	<u>\$5,208.1</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$32,784.6</u>	<u>\$31,587.8</u>	

**STATE OF NEW YORK  
SPECIAL REVENUE FUNDS - COMBINED  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2008-2009  
(amounts in millions)**

**EXHIBIT "G"  
COMBINED**

													10 Months Ended Jan. 31			
	2008 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2009 JANUARY	FEBRUARY	MARCH	2009	2008	\$ Increase/ (Decrease)	% Increase/ Decrease
<b>OPENING CASH BALANCE</b>	\$3,878.6	\$4,358.0	\$5,318.9	\$5,111.3	\$4,715.5	\$4,069.1	\$2,647.8	\$2,411.7	\$2,818.8	\$2,290.5			\$3,878.6	\$4,006.3	(\$127.7)	-3.2%
<b>RECEIPTS:</b>																
Personal Income Tax	--	--	389.6	--	--	781.9	1,397.3	970.9	900.0	--			4,439.7	4,730.5	(290.8)	-6.1%
Consumption/Use Taxes and Fees	208.0	119.0	182.9	147.4	169.1	191.9	163.6	143.9	198.8	155.0			1,679.6	1,414.5	265.1	18.7%
Business Taxes	58.9	38.1	210.6	61.6	62.5	255.6	26.9	55.7	262.7	48.1			1,080.7	1,130.0	(49.3)	-4.4%
Miscellaneous Receipts	1,023.7	896.7	956.7	1,096.7	909.3	1,304.1	1,122.5	890.7	1,118.7	1,029.8			10,348.9	10,796.8	(447.9)	-4.1%
Federal Receipts	2,239.4	3,212.4	2,901.5	2,773.5	2,522.7	2,641.8	3,369.3	2,829.6	2,603.1	3,099.5			28,192.8	26,024.2	2,168.6	8.3%
Total Receipts	3,530.0	4,266.2	4,641.3	4,079.2	3,663.6	5,175.3	6,079.6	4,890.8	5,083.3	4,332.4	0.0	0.0	45,741.7	44,096.0	1,645.7	3.7%
<b>DISBURSEMENTS:</b>																
Local Assistance Grants:																
Education	364.3	221.0	1,059.6	270.6	124.8	2,442.7	952.1	1,122.3	638.3	1,329.9			8,525.6	8,584.4	(58.8)	-0.7%
Social Services:																
Medicaid	1,854.4	1,705.7	1,527.2	2,244.4	2,199.9	1,867.4	2,170.2	1,876.6	2,428.0	1,564.5			19,438.3	19,417.1	21.2	0.1%
Other Social Services	136.1	155.5	511.4	198.0	387.7	377.6	604.6	400.0	398.2	119.5			3,288.6	2,825.6	463.0	16.4%
Health and Environment	143.3	209.0	273.4	288.0	444.1	258.5	231.0	199.0	297.1	230.6			2,574.0	2,506.9	67.1	2.7%
Mental Hygiene	37.0	33.6	60.4	253.9	123.4	148.7	133.6	50.0	148.7	165.3			1,154.6	338.9	815.7	240.7%
Transportation	68.6	354.8	227.0	335.7	398.9	273.2	110.1	294.1	630.9	60.6			2,753.9	2,583.6	170.3	6.6%
Criminal Justice	16.8	38.3	11.1	25.7	10.9	16.6	36.0	13.9	19.4	17.6			206.3	154.2	52.1	33.8%
SEMO and Disaster Assistance	3.3	5.0	8.7	7.0	9.2	11.5	5.2	5.1	3.5	7.5			66.0	207.5	(141.5)	-68.2%
Miscellaneous	48.2	40.1	51.6	71.9	77.1	164.1	1,069.8	106.2	65.2	50.7			1,744.9	1,635.3	109.6	6.7%
Total Local Assistance Grants	2,672.0	2,763.0	3,730.4	3,695.2	3,776.0	5,560.3	5,312.6	4,067.2	4,629.3	3,546.2	0.0	0.0	39,752.2	38,253.5	1,498.7	3.92%
Departmental Operations:																
Personal Service	471.4	509.8	461.9	605.1	420.4	503.6	648.6	477.1	612.8	514.2			5,224.9	3,807.5	1,417.4	37.2%
Non-Personal Service	261.0	296.1	423.1	272.1	337.4	391.8	403.7	304.1	369.8	319.6			3,378.7	2,784.3	594.4	21.3%
General State Charges	65.3	79.6	461.4	137.6	72.0	381.3	76.1	111.7	295.7	100.7			1,781.4	690.0	1,091.4	158.2%
Capital Projects	0.3	(0.3)	0.6	1.1	1.7	0.7	0.8	0.6	1.1	0.2			6.8	6.8	--	--
Total Disbursements	3,470.0	3,648.2	5,077.4	4,711.1	4,607.5	6,837.7	6,441.8	4,960.7	5,908.7	4,480.9	0.0	0.0	50,144.0	45,542.1	4,601.9	10.1%
Excess (Deficiency) of Receipts over Disbursements	60.0	618.0	(436.1)	(631.9)	(943.9)	(1,662.4)	(362.2)	(69.9)	(825.4)	(148.5)	0.0	0.0	(4,402.3)	(1,446.1)	(2,956.2)	-204.4%
<b>OTHER FINANCING SOURCES (USES):</b>																
Transfers from Other Funds	699.0	645.9	493.7	514.6	531.7	493.0	382.6	716.2	583.4	415.3			5,475.4	2,938.1	2,537.3	86.4%
Transfers to Other Funds	(279.6)	(303.0)	(265.2)	(278.5)	(234.2)	(251.9)	(256.5)	(239.2)	(286.3)	(322.8)			(2,717.2)	(2,435.5)	281.7	11.6%
Total Other Financing Sources (Uses)	419.4	342.9	228.5	236.1	297.5	241.1	126.1	477.0	297.1	92.5	0.0	0.0	2,758.2	502.6	2,255.6	448.8%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	479.4	960.9	(207.6)	(395.8)	(646.4)	(1,421.3)	(236.1)	407.1	(528.3)	(56.0)	0.0	0.0	(1,644.1)	(943.5)	(700.6)	-74.3%
<b>CLOSING CASH BALANCE</b>	\$4,358.0	\$5,318.9	\$5,111.3	\$4,715.5	\$4,069.1	\$2,647.8	\$2,411.7	\$2,818.8	\$2,290.5	\$2,234.5	\$0.0	\$0.0	\$2,234.5	\$3,062.8	(\$828.3)	-27.0%

**STATE OF NEW YORK  
SPECIAL REVENUE FUNDS - STATE  
STATEMENT OF RECEIPTS AND DISBURSEMENTS  
FISCAL YEAR 2008-2009  
(amounts in millions)**

**EXHIBIT "G"  
STATE**

													<b>10 Months Ended Jan. 31</b>				
	2008								2009				Intra-Fund Transfer Eliminations (*)	2009	2008	\$ Increase/ (Decrease)	% Increase/ Decrease
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH					
<b>RECEIPTS:</b>																	
Personal Income Tax	\$ --	\$ --	\$389.6	\$ --	\$ --	\$781.9	\$1,397.3	\$970.9	\$900.0	\$ --			\$ --	\$4,439.7	\$4,730.5	(\$290.8)	-6.1%
Consumption/Use Taxes and Fees	208.0	119.0	182.9	147.4	169.1	191.9	163.6	143.9	198.8	155.0			--	1,679.6	1,414.5	265.1	18.7%
Business Taxes	58.9	38.1	210.6	61.6	62.5	255.6	26.9	55.7	262.7	48.1			--	1,080.7	1,130.0	(49.3)	-4.4%
Miscellaneous Receipts	1,010.7	859.3	945.4	1,084.3	889.6	1,292.7	1,111.5	874.9	1,107.6	1,020.0			--	10,196.0	10,642.7	(446.7)	-4.2%
Federal Receipts	--	--	--	--	--	0.1	--	--	--	--			--	0.1	14.1	(14.0)	-99.3%
<b>Total Receipts</b>	<b>1,277.6</b>	<b>1,016.4</b>	<b>1,728.5</b>	<b>1,293.3</b>	<b>1,121.2</b>	<b>2,522.2</b>	<b>2,699.3</b>	<b>2,045.4</b>	<b>2,469.1</b>	<b>1,223.1</b>	<b>0.0</b>	<b>0.0</b>	<b>--</b>	<b>17,396.1</b>	<b>17,931.8</b>	<b>(535.7)</b>	<b>-3.0%</b>
<b>DISBURSEMENTS:</b>																	
Local Assistance Grants:																	
Education	(1.4)	2.5	717.9	(0.2)	4.0	2,255.9	757.8	848.4	349.3	993.5			--	5,927.7	6,251.4	(323.7)	-5.2%
Social Services:																	
Medicaid	49.5	34.4	34.5	400.9	692.9	400.2	338.7	213.5	439.8	160.5			--	2,764.9	3,691.6	(926.7)	-25.1%
Other Social Services	0.3	0.3	0.1	6.0	0.1	0.2	0.5	0.1	0.1	0.2			--	7.9	2.5	5.4	216.0%
Health and Environment	58.3	121.9	184.9	193.1	329.1	160.1	146.9	136.3	191.6	166.0			--	1,688.2	1,657.5	30.7	1.9%
Mental Hygiene	23.2	28.1	49.3	240.3	109.1	127.3	119.0	48.2	133.3	138.7			--	1,016.5	187.3	829.2	442.7%
Transportation	67.1	354.2	224.1	333.7	395.8	271.6	108.0	290.6	626.1	56.2			--	2,727.4	2,562.8	164.6	6.4%
Criminal Justice	6.4	6.4	5.1	6.2	3.9	7.9	3.9	1.3	4.1	2.6			--	47.8	35.5	12.3	34.6%
SEMO and Disaster Assistance	--	--	--	--	--	--	--	--	--	1.6			--	1.6	1.7	(0.1)	-5.9%
Miscellaneous	12.1	10.9	18.7	20.4	31.6	128.6	1,033.3	77.7	19.6	16.0			--	1,368.9	1,252.3	116.6	9.3%
<b>Total Local Assistance Grants</b>	<b>215.5</b>	<b>558.7</b>	<b>1,234.6</b>	<b>1,200.4</b>	<b>1,566.5</b>	<b>3,351.8</b>	<b>2,508.1</b>	<b>1,616.1</b>	<b>1,763.9</b>	<b>1,535.3</b>	<b>0.0</b>	<b>0.0</b>	<b>--</b>	<b>15,550.9</b>	<b>15,642.6</b>	<b>(91.7)</b>	<b>-0.6%</b>
Departmental Operations:																	
Personal Service	406.8	464.2	421.5	526.2	379.4	461.7	584.4	435.0	568.3	470.3			--	4,717.8	3,331.6	1,386.2	41.6%
Non-Personal Service	217.1	241.7	357.9	209.3	252.3	279.1	325.8	248.1	303.1	250.6			--	2,685.0	2,212.4	472.6	21.4%
General State Charges	61.0	41.2	455.7	136.3	63.5	339.6	64.8	72.7	282.5	86.0			--	1,603.3	522.2	1,081.1	207.0%
Capital Projects	0.3	(0.3)	0.6	1.1	1.7	0.7	0.8	0.6	1.1	0.2			--	6.8	6.8	--	--
<b>Total Disbursements</b>	<b>900.7</b>	<b>1,305.5</b>	<b>2,470.3</b>	<b>2,073.3</b>	<b>2,263.4</b>	<b>4,432.9</b>	<b>3,483.9</b>	<b>2,372.5</b>	<b>2,918.9</b>	<b>2,342.4</b>	<b>0.0</b>	<b>0.0</b>	<b>--</b>	<b>24,563.8</b>	<b>21,715.6</b>	<b>2,848.2</b>	<b>13.1%</b>
Excess (Deficiency) of Receipts over Disbursements	376.9	(289.1)	(741.8)	(780.0)	(1,142.2)	(1,910.7)	(784.6)	(327.1)	(449.8)	(1,119.3)	0.0	0.0	--	(7,167.7)	(3,783.8)	(3,383.9)	-89.4%
<b>OTHER FINANCING SOURCES (USES):</b>																	
Transfers from Other Funds	706.6	653.7	520.6	556.7	549.0	535.5	419.1	759.5	626.1	528.2			(379.6)	5,475.4	2,938.1	2,537.3	86.4%
Transfers to Other Funds	(5.9)	(1.2)	(52.1)	(8.0)	(21.7)	(14.6)	(3.2)	(3.1)	(55.8)	(85.5)			--	(251.1)	(285.3)	(34.2)	-12.0%
<b>Total Other Financing Sources (Uses)</b>	<b>700.7</b>	<b>652.5</b>	<b>468.5</b>	<b>548.7</b>	<b>527.3</b>	<b>520.9</b>	<b>415.9</b>	<b>756.4</b>	<b>570.3</b>	<b>442.7</b>	<b>0.0</b>	<b>0.0</b>	<b>(379.6)</b>	<b>5,224.3</b>	<b>2,652.8</b>	<b>2,571.5</b>	<b>96.9%</b>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	<b>\$1,077.6</b>	<b>\$363.4</b>	<b>(\$273.3)</b>	<b>(\$231.3)</b>	<b>(\$614.9)</b>	<b>(\$1,389.8)</b>	<b>(\$368.7)</b>	<b>\$429.3</b>	<b>\$120.5</b>	<b>(\$676.6)</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>(\$379.6)</b>	<b>(\$1,943.4)</b>	<b>(\$1,131.0)</b>	<b>(\$812.4)</b>	<b>-71.8%</b>

(\*) Intra-Fund transfer eliminations represent transfers from Special Revenue-Federal funds.

**STATE OF NEW YORK  
SPECIAL REVENUE FUNDS - FEDERAL  
STATEMENT OF RECEIPTS AND DISBURSEMENTS  
FISCAL YEAR 2008-2009  
(amounts in millions)**

**EXHIBIT "G"  
FEDERAL**

													10 Months Ended Jan. 31				
	2008 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2009 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	2009	2008	\$ Increase/ (Decrease)	% Increase/ Decrease
<b>RECEIPTS:</b>																	
Personal Income Tax	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --			\$ --	\$ --	\$ --	--	
Consumption/Use Taxes and Fees	--	--	--	--	--	--	--	--	--	--			--	--	--	--	
Business Taxes	--	--	--	--	--	--	--	--	--	--			--	--	--	--	
Miscellaneous Receipts	13.0	37.4	11.3	12.4	19.7	11.4	11.0	15.8	11.1	9.8			--	152.9	154.1	(1.2)	-0.8%
Federal Receipts	2,239.4	3,212.4	2,901.5	2,773.5	2,522.7	2,641.7	3,369.3	2,829.6	2,603.1	3,099.5			--	28,192.7	26,010.1	2,182.6	8.4%
<b>Total Receipts</b>	<b>2,252.4</b>	<b>3,249.8</b>	<b>2,912.8</b>	<b>2,785.9</b>	<b>2,542.4</b>	<b>2,653.1</b>	<b>3,380.3</b>	<b>2,845.4</b>	<b>2,614.2</b>	<b>3,109.3</b>	<b>0.0</b>	<b>0.0</b>	<b>--</b>	<b>28,345.6</b>	<b>26,164.2</b>	<b>2,181.4</b>	<b>8.3%</b>
<b>DISBURSEMENTS:</b>																	
Local Assistance Grants:																	
Education	365.7	218.5	341.7	270.8	120.8	186.8	194.3	273.9	289.0	336.4			--	2,597.9	2,333.0	264.9	11.4%
Social Services:																	
Medicaid	1,804.9	1,671.3	1,492.7	1,843.5	1,507.0	1,467.2	1,831.5	1,663.1	1,988.2	1,404.0			--	16,673.4	15,725.6	947.8	6.0%
Other Social Services	135.8	155.2	511.3	192.0	387.6	377.4	604.1	399.9	398.1	119.3			--	3,280.7	2,823.0	457.7	16.2%
Health and Environment	85.0	87.1	88.5	94.9	115.0	98.4	84.1	62.7	105.5	64.6			--	885.8	849.4	36.4	4.3%
Mental Hygiene	13.8	5.5	11.1	13.6	14.3	21.4	14.6	1.8	15.4	26.6			--	138.1	151.6	(13.5)	-8.9%
Transportation	1.5	0.6	2.9	2.0	3.1	1.6	2.1	3.5	4.8	4.4			--	26.5	20.8	5.7	27.4%
Criminal Justice	10.4	31.9	6.0	19.5	7.0	8.7	32.1	12.6	15.3	15.0			--	158.5	118.7	39.8	33.5%
SEMO and Disaster Assistance	3.3	5.0	8.7	7.0	9.2	11.5	5.2	5.1	3.5	5.9			--	64.4	205.8	(141.4)	-68.7%
Miscellaneous	36.1	29.2	32.9	51.5	45.5	35.5	36.5	28.5	45.6	34.7			--	376.0	383.0	(7.0)	-1.8%
<b>Total Local Assistance Grants</b>	<b>2,456.5</b>	<b>2,204.3</b>	<b>2,495.8</b>	<b>2,494.8</b>	<b>2,209.5</b>	<b>2,208.5</b>	<b>2,804.5</b>	<b>2,451.1</b>	<b>2,865.4</b>	<b>2,010.9</b>	<b>0.0</b>	<b>0.0</b>	<b>--</b>	<b>24,201.3</b>	<b>22,610.9</b>	<b>1,590.4</b>	<b>7.03%</b>
Departmental Operations:																	
Personal Service	64.6	45.6	40.4	78.9	41.0	41.9	64.2	42.1	44.5	43.9			--	507.1	475.9	31.2	6.6%
Non-Personal Service	43.9	54.4	65.2	62.8	85.1	112.7	77.9	56.0	66.7	69.0			--	693.7	571.9	121.8	21.3%
General State Charges	4.3	38.4	5.7	1.3	8.5	41.7	11.3	39.0	13.2	14.7			--	178.1	167.8	10.3	6.1%
Capital Projects	--	--	--	--	--	--	--	--	--	--			--	--	--	--	--
<b>Total Disbursements</b>	<b>2,569.3</b>	<b>2,342.7</b>	<b>2,607.1</b>	<b>2,637.8</b>	<b>2,344.1</b>	<b>2,404.8</b>	<b>2,957.9</b>	<b>2,588.2</b>	<b>2,989.8</b>	<b>2,138.5</b>	<b>0.0</b>	<b>0.0</b>	<b>--</b>	<b>25,580.2</b>	<b>23,826.5</b>	<b>1,753.7</b>	<b>7.4%</b>
Excess (Deficiency) of Receipts over Disbursements	(316.9)	907.1	305.7	148.1	198.3	248.3	422.4	257.2	(375.6)	970.8	0.0	0.0	--	2,765.4	2,337.7	427.7	18.3%
<b>OTHER FINANCING SOURCES (USES):</b>																	
Transfers from Other Funds	--	--	--	--	--	--	--	--	--	--			--	--	--	--	--
Transfers to Other Funds	(281.3)	(309.6)	(240.0)	(312.6)	(229.8)	(279.8)	(289.8)	(279.4)	(273.2)	(350.2)			379.6	(2,466.1)	(2,150.2)	315.9	14.7%
<b>Total Other Financing Sources (Uses)</b>	<b>(281.3)</b>	<b>(309.6)</b>	<b>(240.0)</b>	<b>(312.6)</b>	<b>(229.8)</b>	<b>(279.8)</b>	<b>(289.8)</b>	<b>(279.4)</b>	<b>(273.2)</b>	<b>(350.2)</b>	<b>0.0</b>	<b>0.0</b>	<b>379.6</b>	<b>(2,466.1)</b>	<b>(2,150.2)</b>	<b>315.9</b>	<b>14.7%</b>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(\$598.2)	\$597.5	\$65.7	(\$164.5)	(\$31.5)	(\$31.5)	\$132.6	(\$22.2)	(\$648.8)	\$620.6	\$0.0	\$0.0	\$379.6	\$299.3	\$187.5	\$111.8	59.6%

(\*) Intra-Fund transfer eliminations represent transfers to Special Revenue-State funds.

STATE OF NEW YORK  
SPECIAL REVENUE FUNDS  
CASH FLOW SCHEDULE OF TAX RECEIPTS  
FISCAL YEAR 2008-2009  
(amounts in millions)

EXHIBIT "G"  
TAX RECEIPTS

													10 Months Ended Jan. 31	
	2008 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2009			2009	2008
										JANUARY	FEBRUARY	MARCH		
<b>PERSONAL INCOME TAX</b>	\$ --	\$ --	\$389.6	\$ --	\$ --	\$781.9	\$1,397.3	\$970.9	\$900.0	\$ --			\$4,439.7	\$4,730.5
Total Personal Income Tax	--	--	389.6	--	--	781.9	1,397.3	970.9	900.0	--	0.0	0.0	4,439.7	4,730.5
<b>CONSUMPTION/USE TAXES AND FEES</b>														
Sales and Use	114.5	54.5	75.0	55.9	56.3	71.6	54.2	52.8	63.6	57.4			655.8	648.1
Auto Rental	--	--	--	--	--	--	--	--	--	--			--	--
Motor Vehicle	32.6	3.9	16.9	19.6	15.7	16.7	16.7	8.4	18.8	14.7			164.0	178.8
Cigarette/Tobacco Products	52.8	51.7	82.6	61.7	86.6	95.8	84.0	73.8	107.3	74.3			770.6	495.3
Motor Fuel	8.1	8.9	8.4	10.2	10.5	7.8	8.7	8.9	9.1	8.6			89.2	92.3
Alcoholic Beverage	--	--	--	--	--	--	--	--	--	--			--	--
Beverage Container	--	--	--	--	--	--	--	--	--	--			--	--
Highway Use	--	--	--	--	--	--	--	--	--	--			--	--
Alcoholic Beverage Control Licenses	--	--	--	--	--	--	--	--	--	--			--	--
Total Consumption/Use Taxes and Fees	208.0	119.0	182.9	147.4	169.1	191.9	163.6	143.9	198.8	155.0	0.0	0.0	1,679.6	1,414.5
<b>BUSINESS TAXES</b>														
Corporation Franchise	24.9	(0.5)	75.4	11.8	11.3	76.2	7.6	14.1	122.2	9.7			352.7	359.2
Corporation and Utilities	(5.6)	(0.2)	42.7	0.3	0.7	51.5	3.6	(0.3)	47.0	(0.1)			139.6	128.7
Insurance	0.5	(1.4)	26.7	--	0.6	20.3	(9.6)	(0.4)	22.1	0.6			59.4	84.8
Bank	1.4	0.7	24.4	(0.2)	4.8	72.7	(15.6)	1.2	29.9	(2.4)			116.9	122.8
Petroleum Business	37.7	39.5	41.4	49.7	45.1	34.9	40.9	41.1	41.5	40.3			412.1	434.5
Total Business Taxes	58.9	38.1	210.6	61.6	62.5	255.6	26.9	55.7	262.7	48.1	0.0	0.0	1,080.7	1,130.0
<b>OTHER TAXES</b>														
Real Property Gains	--	--	--	--	--	--	--	--	--	--			--	--
Estate and Gift	--	--	--	--	--	--	--	--	--	--			--	--
Pari-Mutuel	--	--	--	--	--	--	--	--	--	--			--	--
Real Estate Transfer	--	--	--	--	--	--	--	--	--	--			--	--
Racing and Exhibitions	--	--	--	--	--	--	--	--	--	--			--	--
Total Other Taxes	--	--	--	--	--	--	--	--	--	--	0.0	0.0	--	--
<b>TOTAL TAX RECEIPTS</b>	<b>\$266.9</b>	<b>\$157.1</b>	<b>\$783.1</b>	<b>\$209.0</b>	<b>\$231.6</b>	<b>\$1,229.4</b>	<b>\$1,587.8</b>	<b>\$1,170.5</b>	<b>\$1,361.5</b>	<b>\$203.1</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$7,200.0</b>	<b>\$7,275.0</b>



**STATE OF NEW YORK  
DEBT SERVICE FUNDS  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2008-2009  
(amounts in millions)**

**EXHIBIT "H"**

													<b>10 Months Ended Jan. 31</b>			
	2008 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2009 JANUARY	FEBRUARY	MARCH	2009	2008	\$ Increase/ (Decrease)	% Increase/ Decrease
<b>OPENING CASH BALANCE</b>	\$286.2	\$321.1	\$571.0	\$298.6	\$302.0	\$466.8	\$352.5	\$611.7	\$705.1	\$407.9			\$286.2	\$233.1	\$53.1	22.8%
<b>RECEIPTS:</b>																
Personal Income Tax	1,871.0	283.3	923.7	571.6	513.4	960.3	549.7	300.2	918.6	1,453.1			8,344.9	7,993.2	351.7	4.4%
Consumption/Use Taxes and Fees																
Sales and Use	187.8	198.1	270.1	207.9	208.0	270.4	195.1	191.0	238.7	207.3			2,174.4	2,212.4	(38.0)	-1.7%
Other Taxes	64.2	64.4	61.8	47.7	62.2	41.9	38.5	32.5	21.9	17.7			452.8	715.9	(263.1)	-36.8%
Miscellaneous Receipts	66.2	55.7	55.7	46.3	69.2	105.8	70.5	53.1	57.2	97.8			677.5	661.8	15.7	2.4%
Total Receipts	2,189.2	601.5	1,311.3	873.5	852.8	1,378.4	853.8	576.8	1,236.4	1,775.9	0.0	0.0	11,649.6	11,583.3	66.3	0.6%
<b>DISBURSEMENTS:</b>																
Departmental Operations:																
Non-Personal Service	0.4	2.9	9.0	15.2	3.8	5.1	0.7	2.0	9.2	0.5			48.8	25.2	23.6	93.7%
Debt Service, including payments on financing agreements	315.5	210.9	410.4	109.9	213.3	708.2	99.7	261.6	744.5	64.2			3,138.2	2,754.4	383.8	13.9%
Total Disbursements	315.9	213.8	419.4	125.1	217.1	713.3	100.4	263.6	753.7	64.7	0.0	0.0	3,187.0	2,779.6	407.4	14.7%
Excess (Deficiency) of Receipts over Disbursements	1,873.3	387.7	891.9	748.4	635.7	665.1	753.4	313.2	482.7	1,711.2	0.0	0.0	8,462.6	8,803.7	(341.1)	-3.9%
<b>OTHER FINANCING SOURCES (USES):</b>																
Transfers from Other Funds	581.0	493.2	514.5	339.5	317.8	731.5	321.7	496.0	692.7	300.4			4,788.3	4,162.9	625.4	15.0%
Transfers to Other Funds (*)	(2,419.4)	(631.0)	(1,678.8)	(1,084.5)	(788.7)	(1,510.9)	(815.9)	(715.8)	(1,472.6)	(1,674.5)			(12,792.1)	(12,698.9)	93.2	0.7%
Total Other Financing Sources (Uses)	(1,838.4)	(137.8)	(1,164.3)	(745.0)	(470.9)	(779.4)	(494.2)	(219.8)	(779.9)	(1,374.1)	0.0	0.0	(8,003.8)	(8,536.0)	532.2	6.2%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	34.9	249.9	(272.4)	3.4	164.8	(114.3)	259.2	93.4	(297.2)	337.1			458.8	267.7	191.1	71.4%
<b>CLOSING CASH BALANCE</b>	\$321.1	\$571.0	\$298.6	\$302.0	\$466.8	\$352.5	\$611.7	\$705.1	\$407.9	\$745.0	\$0.0	\$0.0	\$745.0	\$500.8	\$244.2	48.8%

(\*) See Exhibit A, Footnote #5

**STATE OF NEW YORK  
CAPITAL PROJECTS FUNDS-COMBINED  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2008-2009  
(amounts in millions)**

**EXHIBIT "I"  
COMBINED**

													10 Months Ended Jan. 31			
	2008 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2009 JANUARY	FEBRUARY	MARCH	2009	2008	\$ Increase/ (Decrease)	% Increase/ Decrease
<b>OPENING CASH BALANCE (DEFICITS)</b>	(\$432.8)	(\$488.8)	(\$590.9)	(\$664.9)	(\$800.9)	(\$962.0)	(\$1,113.5)	(\$1,117.9)	(\$1,241.0)	(\$1,124.8)			(\$432.8)	(\$431.4)	(\$1.4)	-0.3%
<b>RECEIPTS:</b>																
Consumption/Use Taxes and Fees																
Auto Rental	5.2	--	12.9	--	--	16.7	--	--	12.8	0.1			47.7	39.0	8.7	22.3%
Motor Vehicle	50.5	53.2	45.3	47.7	41.7	6.7	76.0	29.6	43.5	38.0			432.2	455.3	(23.1)	-5.1%
Motor Fuel	31.0	33.5	30.5	40.2	36.8	29.5	34.1	33.8	33.1	32.8			335.3	348.0	(12.7)	-3.6%
Highway Use	12.5	10.8	14.0	12.1	12.4	12.5	11.7	10.8	14.4	10.7			121.9	126.8	(4.9)	-3.9%
Business Taxes																
Petroleum Business	46.9	49.0	51.9	61.5	55.7	44.2	50.4	51.3	52.1	49.8			512.8	540.8	(28.0)	-5.2%
Transmission	0.2	(0.3)	3.6	--	--	5.4	0.1	(0.1)	3.9	0.1			12.9	8.6	4.3	50.0%
Other Taxes	--	--	21.2	26.2	23.7	23.7	23.7	23.7	23.7	23.7			189.6	169.6	20.0	11.8%
Miscellaneous Receipts	60.2	84.1	197.8	89.4	71.9	401.9	243.8	108.1	370.7	128.3			1,756.2	1,603.4	152.8	9.5%
Federal Receipts	119.7	97.7	122.7	173.9	125.6	149.7	296.8	170.2	151.3	99.3			1,506.9	1,366.2	140.7	10.3%
Total Receipts	326.2	328.0	499.9	451.0	367.8	690.3	736.6	427.4	705.5	382.8	0.0	0.0	4,915.5	4,657.7	257.8	5.5%
<b>DISBURSEMENTS:</b>																
Local Assistance Grants:																
Education	5.7	0.1	0.1	0.2	0.2	--	--	0.2	--	0.1			6.6	10.2	(3.6)	-35.3%
Social Services	--	--	--	--	--	--	--	--	--	--			--	--	--	--
Health and Environment	34.5	0.3	39.4	15.5	11.4	32.7	42.2	(22.2)	4.9	5.4			164.1	92.1	72.0	78.2%
Mental Hygiene	10.3	3.6	13.7	5.2	8.3	17.3	(5.7)	5.0	8.3	13.0			79.0	65.9	13.1	19.9%
Transportation	29.5	24.1	17.8	62.2	44.7	40.3	53.4	29.8	49.7	33.8			385.3	352.9	32.4	9.2%
Miscellaneous	6.0	28.8	57.5	9.5	15.3	22.5	18.7	28.8	14.1	51.8			253.0	184.2	68.8	37.4%
Total Local Assistance Grants	86.0	56.9	128.5	92.6	79.9	112.8	108.6	41.6	77.0	104.1	0.0	0.0	888.0	705.3	182.7	25.9%
Departmental Operations:																
Personal Service	--	--	--	--	--	--	--	--	--	--			--	--	--	--
Non-Personal Service	--	--	--	--	--	--	--	--	--	--			--	--	--	--
General State Charges	--	--	--	--	--	--	--	--	--	--			--	--	--	--
Capital Projects	347.2	393.9	470.6	444.4	484.9	667.7	543.4	456.9	490.9	385.2			4,685.1	4,337.6	347.5	8.0%
Total Disbursements	433.2	450.8	599.1	537.0	564.8	780.5	652.0	498.5	567.9	489.3	0.0	0.0	5,573.1	5,042.9	530.2	10.5%
Excess (Deficiency) of Receipts over Disbursements	(107.0)	(122.8)	(99.2)	(86.0)	(197.0)	(90.2)	84.6	(71.1)	137.6	(106.5)	0.0	0.0	(657.6)	(385.2)	(272.4)	-70.7%
<b>OTHER FINANCING SOURCES (USES):</b>																
Bond Proceeds (net)	--	--	--	--	--	--	--	--	--	--			--	--	--	--
Transfers from Other Funds	107.4	80.8	81.1	57.9	90.4	118.5	1.6	7.0	119.0	92.9			756.6	801.6	(45.0)	-5.6%
Transfers to Other Funds	(56.4)	(60.1)	(55.9)	(107.9)	(54.5)	(179.8)	(90.6)	(59.0)	(140.4)	(59.6)			(864.2)	(674.7)	189.5	28.1%
Total Other Financing Sources (Uses)	51.0	20.7	25.2	(50.0)	35.9	(61.3)	(89.0)	(52.0)	(21.4)	33.3	0.0	0.0	(107.6)	126.9	(234.5)	-184.8%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(56.0)	(102.1)	(74.0)	(136.0)	(161.1)	(151.5)	(4.4)	(123.1)	116.2	(73.2)	0.0	0.0	(765.2)	(258.3)	(506.9)	-196.2%
<b>CLOSING CASH BALANCE (DEFICITS)</b>	(\$488.8)	(\$590.9)	(\$664.9)	(\$800.9)	(\$962.0)	(\$1,113.5)	(\$1,117.9)	(\$1,241.0)	(\$1,124.8)	(\$1,198.0)	\$0.0	\$0.0	(\$1,198.0)	(\$689.7)	(\$508.3)	-73.7%

**STATE OF NEW YORK  
CAPITAL PROJECTS FUNDS - STATE  
STATEMENT OF RECEIPTS AND DISBURSEMENTS  
FISCAL YEAR 2008-2009  
(amounts in millions)**

**EXHIBIT "I"  
STATE**

													10 Months Ended Jan. 31				
	2008 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2009 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	2009	2008	\$ Increase/ (Decrease)	% Increase/ Decrease
<b>RECEIPTS:</b>																	
Consumption/Use Taxes and Fees																	
Auto Rental	\$5.2	\$ --	\$12.9	\$ --	\$ --	\$16.7	\$ --	\$ --	\$12.8	\$0.1			\$ --	\$47.7	\$39.0	\$8.7	22.3%
Motor Vehicle	50.5	53.3	45.2	47.7	41.7	6.7	76.0	29.6	43.5	38.0			--	432.2	455.3	(23.1)	-5.1%
Motor Fuel	31.0	33.5	30.5	40.2	36.8	29.5	34.1	33.8	33.1	32.8			--	335.3	348.0	(12.7)	-3.6%
Highway Use	12.5	10.8	14.0	12.1	12.4	12.5	11.7	10.8	14.4	10.7			--	121.9	126.8	(4.9)	-3.9%
Business Taxes																	
Petroleum Business	46.9	49.0	51.9	61.5	55.7	44.2	50.4	51.3	52.1	49.8			--	512.8	540.8	(28.0)	-5.2%
Transmission	0.2	(0.3)	3.6	--	--	5.4	0.1	(0.1)	3.9	0.1			--	12.9	8.6	4.3	50.0%
Other Taxes	--	--	21.2	26.2	23.7	23.7	23.7	23.7	23.7	23.7			--	189.6	169.6	20.0	11.8%
Miscellaneous Receipts	60.2	84.1	197.7	89.4	71.3	401.9	243.6	108.1	370.6	126.3			--	1,753.2	1,597.4	155.8	9.8%
Federal Receipts	--	--	--	--	--	--	--	--	--	--			--	--	--	--	--
<b>Total Receipts</b>	<b>206.5</b>	<b>230.4</b>	<b>377.0</b>	<b>277.1</b>	<b>241.6</b>	<b>540.6</b>	<b>439.6</b>	<b>257.2</b>	<b>554.1</b>	<b>281.5</b>	<b>0.0</b>	<b>0.0</b>	<b>--</b>	<b>3,405.6</b>	<b>3,285.5</b>	<b>120.1</b>	<b>3.7%</b>
<b>DISBURSEMENTS:</b>																	
Local Assistance Grants:																	
Education	5.7	0.1	0.1	0.2	0.2	--	--	0.2	--	0.1			--	6.6	10.2	(3.6)	-35.3%
Social Services	--	--	--	--	--	--	--	--	--	--			--	--	--	--	--
Health and Environment	34.5	0.3	39.4	15.5	11.4	29.5	42.2	(22.2)	4.9	5.4			--	160.9	89.1	71.8	80.6%
Mental Hygiene	10.3	3.6	13.7	5.2	8.3	17.3	(5.7)	5.0	8.3	13.0			--	79.0	65.9	13.1	19.9%
Transportation	3.2	2.3	2.8	2.5	4.0	4.0	4.0	3.9	2.2	3.6			--	32.5	74.7	(42.2)	-56.5%
Miscellaneous	6.0	28.8	57.5	9.5	15.3	22.5	18.7	28.8	14.1	51.8			--	253.0	184.2	68.8	37.4%
<b>Total Local Assistance Grants</b>	<b>59.7</b>	<b>35.1</b>	<b>113.5</b>	<b>32.9</b>	<b>39.2</b>	<b>73.3</b>	<b>59.2</b>	<b>15.7</b>	<b>29.5</b>	<b>73.9</b>	<b>0.0</b>	<b>0.0</b>	<b>--</b>	<b>532.0</b>	<b>424.1</b>	<b>107.9</b>	<b>25.4%</b>
Departmental Operations:																	
Personal Service	--	--	--	--	--	--	--	--	--	--			--	--	--	--	--
Non-Personal Service	--	--	--	--	--	--	--	--	--	--			--	--	--	--	--
General State Charges	--	--	--	--	--	--	--	--	--	--			--	--	--	--	--
Capital Projects	302.9	313.7	368.1	327.7	385.1	543.7	412.4	365.2	404.7	325.0			--	3,748.5	3,417.4	331.1	9.7%
<b>Total Disbursements</b>	<b>362.6</b>	<b>348.8</b>	<b>481.6</b>	<b>360.6</b>	<b>424.3</b>	<b>617.0</b>	<b>471.6</b>	<b>380.9</b>	<b>434.2</b>	<b>398.9</b>	<b>0.0</b>	<b>0.0</b>	<b>--</b>	<b>4,280.5</b>	<b>3,841.5</b>	<b>439.0</b>	<b>11.4%</b>
Excess (Deficiency) of Receipts over Disbursements	(156.1)	(118.4)	(104.6)	(83.5)	(182.7)	(76.4)	(32.0)	(123.7)	119.9	(117.4)	0.0	0.0	--	(874.9)	(556.0)	(318.9)	-57.4%
<b>OTHER FINANCING SOURCES (USES):</b>																	
Bond Proceeds (net)	--	--	--	--	--	--	--	--	--	--			--	--	--	--	--
Transfers from Other Funds	107.4	80.8	81.1	57.9	132.3	118.5	1.6	66.9	119.0	92.9			(101.8)	756.6	801.6	(45.0)	-5.6%
Transfers to Other Funds	(56.4)	(55.7)	(55.9)	(108.0)	(54.5)	(179.8)	(90.5)	(59.0)	(140.4)	(59.6)			--	(859.8)	(670.6)	189.2	28.2%
<b>Total Other Financing Sources (Uses)</b>	<b>51.0</b>	<b>25.1</b>	<b>25.2</b>	<b>(50.1)</b>	<b>77.8</b>	<b>(61.3)</b>	<b>(88.9)</b>	<b>7.9</b>	<b>(21.4)</b>	<b>33.3</b>	<b>0.0</b>	<b>0.0</b>	<b>(101.8)</b>	<b>(103.2)</b>	<b>131.0</b>	<b>(234.2)</b>	<b>-178.8%</b>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(\$105.1)	(\$93.3)	(\$79.4)	(\$133.6)	(\$104.9)	(\$137.7)	(\$120.9)	(\$115.8)	\$98.5	(\$84.1)	\$0.0	\$0.0	(\$101.8)	(\$978.1)	(\$425.0)	(\$553.1)	-130.1%

(\*) Intra-Fund transfer eliminations represent transfers from Capital Projects-Federal funds.

**STATE OF NEW YORK  
CAPITAL PROJECTS FUNDS - FEDERAL  
STATEMENT OF RECEIPTS AND DISBURSEMENTS  
FISCAL YEAR 2008-2009  
(amounts in millions)**

**EXHIBIT "I"  
FEDERAL**

													10 Months Ended Jan. 31				
	2008 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2009 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	2009	2008	\$ Increase/ (Decrease)	% Increase/ Decrease
<b>RECEIPTS:</b>																	
Consumption/Use Taxes and Fees																	
Auto Rental	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --			\$ --	\$ --	\$ --	\$ --	--
Motor Vehicle	--	--	--	--	--	--	--	--	--	--			--	--	--	--	--
Motor Fuel	--	--	--	--	--	--	--	--	--	--			--	--	--	--	--
Highway Use	--	--	--	--	--	--	--	--	--	--			--	--	--	--	--
Business Taxes																	
Petroleum Business	--	--	--	--	--	--	--	--	--	--			--	--	--	--	--
Transmission	--	--	--	--	--	--	--	--	--	--			--	--	--	--	--
Other Taxes	--	--	--	--	--	--	--	--	--	--			--	--	--	--	--
Miscellaneous Receipts	--	--	0.1	--	0.6	--	0.2	--	0.1	2.0			--	3.0	6.0	(3.0)	-50.0%
Federal Receipts	119.7	97.7	122.7	173.9	125.6	149.7	296.8	170.2	151.3	99.3			--	1,506.9	1,366.2	140.7	10.3%
<b>Total Receipts</b>	<b>119.7</b>	<b>97.7</b>	<b>122.8</b>	<b>173.9</b>	<b>126.2</b>	<b>149.7</b>	<b>297.0</b>	<b>170.2</b>	<b>151.4</b>	<b>101.3</b>	<b>0.0</b>	<b>0.0</b>	<b>--</b>	<b>1,509.9</b>	<b>1,372.2</b>	<b>137.7</b>	<b>10.0%</b>
<b>DISBURSEMENTS:</b>																	
Local Assistance Grants:																	
Education	--	--	--	--	--	--	--	--	--	--			--	--	--	--	--
Social Services	--	--	--	--	--	--	--	--	--	--			--	--	--	--	--
Health and Environment	--	--	--	--	--	3.2	--	--	--	--			--	3.2	3.0	0.2	6.7%
Mental Hygiene	--	--	--	--	--	--	--	--	--	--			--	--	--	--	--
Transportation	26.3	21.8	15.0	59.7	40.7	36.3	49.4	25.9	47.5	30.2			--	352.8	278.2	74.6	26.8%
Miscellaneous	--	--	--	--	--	--	--	--	--	--			--	--	--	--	--
<b>Total Local Assistance Grants</b>	<b>26.3</b>	<b>21.8</b>	<b>15.0</b>	<b>59.7</b>	<b>40.7</b>	<b>39.5</b>	<b>49.4</b>	<b>25.9</b>	<b>47.5</b>	<b>30.2</b>	<b>0.0</b>	<b>0.0</b>	<b>--</b>	<b>356.0</b>	<b>281.2</b>	<b>74.8</b>	<b>26.6%</b>
Departmental Operations:																	
Personal Service	--	--	--	--	--	--	--	--	--	--			--	--	--	--	--
Non-Personal Service	--	--	--	--	--	--	--	--	--	--			--	--	--	--	--
General State Charges	--	--	--	--	--	--	--	--	--	--			--	--	--	--	--
Capital Projects	44.3	80.2	102.5	116.7	99.8	124.0	131.0	91.7	86.2	60.2			--	936.6	920.2	16.4	1.8%
<b>Total Disbursements</b>	<b>70.6</b>	<b>102.0</b>	<b>117.5</b>	<b>176.4</b>	<b>140.5</b>	<b>163.5</b>	<b>180.4</b>	<b>117.6</b>	<b>133.7</b>	<b>90.4</b>	<b>0.0</b>	<b>0.0</b>	<b>--</b>	<b>1,292.6</b>	<b>1,201.4</b>	<b>91.2</b>	<b>7.6%</b>
Excess (Deficiency) of Receipts over Disbursements	49.1	(4.3)	5.3	(2.5)	(14.3)	(13.8)	116.6	52.6	17.7	10.9	0.0	0.0	--	217.3	170.8	46.5	27.2%
<b>OTHER FINANCING SOURCES (USES):</b>																	
Transfers from Other Funds	--	--	--	--	--	--	--	--	--	--			--	--	--	--	--
Transfers to Other Funds	--	(4.4)	--	0.1	(41.9)	--	(0.1)	(59.9)	--	--			101.8	(4.4)	(4.1)	0.3	7.3%
<b>Total Other Financing Sources (Uses)</b>	<b>--</b>	<b>(4.4)</b>	<b>--</b>	<b>0.1</b>	<b>(41.9)</b>	<b>--</b>	<b>(0.1)</b>	<b>(59.9)</b>	<b>--</b>	<b>--</b>	<b>0.0</b>	<b>0.0</b>	<b>101.8</b>	<b>(4.4)</b>	<b>(4.1)</b>	<b>0.3</b>	<b>7.3%</b>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	\$49.1	(\$8.7)	\$5.3	(\$2.4)	(\$56.2)	(\$13.8)	\$116.5	(\$7.3)	\$17.7	\$10.9	\$0.0	\$0.0	\$101.8	\$212.9	\$166.7	\$46.2	27.7%

(\*) Intra-Fund transfer eliminations represent transfers to Capital Projects-State funds.

**STATE OF NEW YORK  
ENTERPRISE FUNDS  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2008-2009  
(amounts in millions)**

**EXHIBIT J**

													<b>10 Months Ended Jan. 31</b>	
	2008 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2009 JANUARY	FEBRUARY	MARCH	2009	2008
<b>BEGINNING FUND EQUITY (DEFICITS)</b>	(\$9.9)	\$11.0	\$27.9	\$9.8	\$24.5	\$29.5	(\$2.7)	\$27.3	\$23.1	(\$13.5)			(\$9.9)	\$19.1
<b>RECEIPTS:</b>														
Miscellaneous Receipts	5.6	5.0	5.8	5.4	6.4	8.7	5.6	3.6	3.9	4.3			54.3	56.8
Federal Receipts (*)	2.5	2.3	2.3	40.0	115.8	136.3	94.9	60.2	176.9	132.3			763.5	27.9
Unemployment Taxes	225.6	187.5	169.2	220.6	190.4	185.2	211.3	204.1	296.2	381.8			2,271.9	1,739.1
<b>Total Receipts</b>	<b>233.7</b>	<b>194.8</b>	<b>177.3</b>	<b>266.0</b>	<b>312.6</b>	<b>330.2</b>	<b>311.8</b>	<b>267.9</b>	<b>477.0</b>	<b>518.4</b>	<b>0.0</b>	<b>0.0</b>	<b>3,089.7</b>	<b>1,823.8</b>
<b>DISBURSEMENTS:</b>														
Departmental Operations:														
Personal Service	0.7	0.4	0.3	0.5	0.4	0.6	2.0	0.4	0.3	0.4			6.0	11.5
Non-Personal Service	3.1	3.8	3.8	4.4	4.9	9.1	5.2	3.5	3.9	3.9			45.6	46.8
General State Charges	0.1	0.1	0.1	--	0.2	0.1	0.5	0.3	0.1	0.2			1.7	1.8
Unemployment Benefits	208.9	173.6	191.2	246.4	302.1	352.6	274.1	267.9	509.3	477.2			3,003.3	1,766.3
<b>Total Disbursements</b>	<b>212.8</b>	<b>177.9</b>	<b>195.4</b>	<b>251.3</b>	<b>307.6</b>	<b>362.4</b>	<b>281.8</b>	<b>272.1</b>	<b>513.6</b>	<b>481.7</b>	<b>0.0</b>	<b>0.0</b>	<b>3,056.6</b>	<b>1,826.4</b>
Excess (Deficiency) of Receipts over Disbursements	20.9	16.9	(18.1)	14.7	5.0	(32.2)	30.0	(4.2)	(36.6)	36.7	0.0	0.0	33.1	(2.6)
<b>OTHER FINANCING SOURCES (USES):</b>														
Transfers from Other Funds	--	--	--	--	--	--	--	--	--	--			--	--
Transfers to Other Funds	--	--	--	--	--	--	--	--	--	--			--	(0.2)
<b>Total Other Financing Sources (Uses)</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>0.0</b>	<b>0.0</b>	<b>--</b>	<b>(0.2)</b>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	20.9	16.9	(18.1)	14.7	5.0	(32.2)	30.0	(4.2)	(36.6)	36.7	0.0	0.0	33.1	(2.8)
<b>CLOSING CASH BALANCE</b>	<b>\$11.0</b>	<b>\$27.9</b>	<b>\$9.8</b>	<b>\$24.5</b>	<b>\$29.5</b>	<b>(\$2.7)</b>	<b>\$27.3</b>	<b>\$23.1</b>	<b>(\$13.5)</b>	<b>\$23.2</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$23.2</b>	<b>\$16.3</b>

(\*) The increase in Federal Receipts resulted from Governor Patterson signing the Emergency Unemployment Compensation agreement on July 2, 2008, which extended unemployment compensation benefits.

**STATE OF NEW YORK  
INTERNAL SERVICE FUNDS  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2008-2009  
(amounts in millions)**

**EXHIBIT K**

													<b>10 Months Ended Jan. 31</b>	
	2008 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2009 JANUARY	FEBRUARY	MARCH	2009	2008
<b>BEGINNING FUND EQUITY (DEFICITS)</b>	(\$8.3)	(\$18.7)	(\$29.0)	(\$9.4)	(\$19.7)	(\$31.1)	(\$33.0)	(\$26.5)	(\$40.4)	(\$49.1)			(\$8.3)	(\$22.0)
<b>RECEIPTS:</b>														
Miscellaneous Receipts	31.8	28.8	56.5	31.8	42.2	47.7	56.2	33.0	31.8	40.3			400.1	397.4
Total Receipts	31.8	28.8	56.5	31.8	42.2	47.7	56.2	33.0	31.8	40.3	0.0	0.0	400.1	397.4
<b>DISBURSEMENTS:</b>														
Departmental Operations:														
Personal Service	12.9	9.4	8.5	12.3	8.6	9.0	12.8	9.1	9.4	8.8			100.8	96.9
Non-Personal Service	30.8	33.8	38.4	34.8	42.1	34.3	41.2	30.5	33.3	23.4			342.6	350.3
General State Charges	5.4	4.1	2.9	--	6.2	7.9	2.2	10.9	2.0	0.2			41.8	35.8
Debt Service, Including Payments on Financing Agreements	--	--	--	--	--	--	--	--	--	--			--	--
Total Disbursements	49.1	47.3	49.8	47.1	56.9	51.2	56.2	50.5	44.7	32.4	0.0	0.0	485.2	483.0
Excess (Deficiency) of Receipts over Disbursements	(17.3)	(18.5)	6.7	(15.3)	(14.7)	(3.5)	--	(17.5)	(12.9)	7.9	0.0	0.0	(85.1)	(85.6)
<b>OTHER FINANCING SOURCES (USES):</b>														
Transfers from Other Funds	6.9	8.2	12.9	5.0	3.3	3.6	6.5	3.6	4.2	3.0			57.2	54.4
Transfers to Other Funds	--	--	--	--	--	(2.0)	--	--	--	(0.1)			(2.1)	(0.1)
Total Other Financing Sources (Uses)	6.9	8.2	12.9	5.0	3.3	1.6	6.5	3.6	4.2	2.9	0.0	0.0	55.1	54.3
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(10.4)	(10.3)	19.6	(10.3)	(11.4)	(1.9)	6.5	(13.9)	(8.7)	10.8	0.0	0.0	(30.0)	(31.3)
<b>ENDING FUND EQUITY(DEFICITS)</b>	(\$18.7)	(\$29.0)	(\$9.4)	(\$19.7)	(\$31.1)	(\$33.0)	(\$26.5)	(\$40.4)	(\$49.1)	(\$38.3)	\$0.0	\$0.0	(\$38.3)	(\$53.3)

**STATE OF NEW YORK  
PRIVATE PURPOSE TRUST FUNDS  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2008-2009  
(amounts in millions)**

**EXHIBIT L**

	2008										2009			<u>10 Months Ended Jan. 31</u>	
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2009	2008	
<b>OPENING CASH BALANCE</b>	\$9.4	\$9.5	\$9.6	\$9.7	\$9.7	\$9.7	\$9.7	\$9.7	\$9.7	\$9.8			\$9.4	\$8.6	
<b>RECEIPTS:</b>															
Miscellaneous Receipts	0.2	0.1	0.1	0.1	(0.1)	0.1	0.1	--	0.1	0.1			0.8	0.9	
Total Receipts	0.2	0.1	0.1	0.1	(0.1)	0.1	0.1	--	0.1	0.1	0.0	0.0	0.8	0.9	
<b>DISBURSEMENTS:</b>															
Departmental Operations:															
Personal Service	0.1	--	--	0.1	(0.1)	0.1	--	--	--	0.1			0.3	0.3	
Non-Personal Service	--	--	--	--	--	--	--	--	--	--			--	--	
General State Charges	--	--	--	--	--	--	0.1	--	--	--			0.1	0.1	
Total Disbursements	0.1	--	--	0.1	(0.1)	0.1	0.1	--	--	0.1	0.0	0.0	0.4	0.4	
Excess (Deficiency) of Receipts over Disbursements	0.1	0.1	0.1	--	--	--	--	--	0.1	--	0.0	0.0	0.4	0.5	
<b>OTHER FINANCING SOURCES (USES):</b>															
Transfers from Other Funds	--	--	--	--	--	--	--	--	--	--			--	--	
Transfers to Other Funds	--	--	--	--	--	--	--	--	--	--			--	--	
Total Other Financing Sources (Uses)	--	--	--	--	--	--	--	--	--	--	0.0	0.0	--	--	
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	0.1	0.1	0.1	--	--	--	--	--	0.1	--	0.0	0.0	0.4	0.5	
<b>CLOSING CASH BALANCE</b>	<u>\$9.5</u>	<u>\$9.6</u>	<u>\$9.7</u>	<u>\$9.7</u>	<u>\$9.7</u>	<u>\$9.7</u>	<u>\$9.7</u>	<u>\$9.7</u>	<u>\$9.8</u>	<u>\$9.8</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$9.8</u>	<u>\$9.1</u>	

**STATE OF NEW YORK  
PENSION TRUST FUNDS  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2008-2009  
(amounts in millions)**

**EXHIBIT M**

	2008												2009	
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2009	2008
<b>OPENING CASH BALANCE</b>	\$ --	\$0.6	\$0.3	\$0.3	(\$0.4)	(\$0.3)	(\$0.3)	(\$0.6)	\$0.1	\$0.1			\$ --	\$1.0
<b>RECEIPTS:</b>														
Miscellaneous Receipts	8.8	9.4	8.0	7.5	11.3	5.3	7.8	12.2	5.4	5.7			81.4	70.8
Total Receipts	8.8	9.4	8.0	7.5	11.3	5.3	7.8	12.2	5.4	5.7	0.0	0.0	81.4	70.8
<b>DISBURSEMENTS:</b>														
Departmental Operations:														
Personal Service	5.0	3.8	3.6	4.6	3.8	3.9	5.6	3.9	4.0	3.7			41.9	37.9
Non-Personal Service	3.2	1.4	4.4	3.6	1.7	1.4	2.5	1.2	1.4	2.1			22.9	19.0
General State Charges	--	4.5	--	--	5.7	--	--	6.4	--	--			16.6	19.5
Total Disbursements	8.2	9.7	8.0	8.2	11.2	5.3	8.1	11.5	5.4	5.8	0.0	0.0	81.4	76.4
Excess (Deficiency) of Receipts over Disbursements	0.6	(0.3)	--	(0.7)	0.1	--	(0.3)	0.7	--	(0.1)	0.0	0.0	--	(5.6)
<b>OTHER FINANCING SOURCES (USES):</b>														
Transfers from Other Funds	--	--	--	--	--	--	--	--	--	--			--	--
Transfers to Other Funds	--	--	--	--	--	--	--	--	--	--			--	--
Total Other Financing Sources (Uses)	--	--	--	--	--	--	--	--	--	--	0.0	0.0	--	--
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	0.6	(0.3)	--	(0.7)	0.1	--	(0.3)	0.7	--	(0.1)	0.0	0.0	--	(5.6)
<b>CLOSING CASH BALANCE</b>	<u>\$0.6</u>	<u>\$0.3</u>	<u>\$0.3</u>	<u>(\$0.4)</u>	<u>(\$0.3)</u>	<u>(\$0.3)</u>	<u>(\$0.6)</u>	<u>\$0.1</u>	<u>\$0.1</u>	<u>\$ --</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$ --</u>	<u>(\$4.6)</u>

**10 Months Ended Jan. 31**



**STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND  
CHANGES IN FUND BALANCES  
FOR THE MONTH OF JANUARY 2009  
(amounts in millions)**

**SCHEDULE 1**

	<b>BALANCE 1/1/09</b>	<b>RECEIPTS</b>	<b>DISBURSEMENTS</b>	<b>OTHER FINANCING SOURCES (USES)</b>	<b>BALANCE 1/31/09</b>
<b>GENERAL FUND</b>					
001-Local Assistance Account	\$ --	\$0.032	\$2,094.351	\$2,094.319	\$ --
003-State Operations Account	1,326.400	5,657.739	780.110	(849.080)	5,354.949
004-Tax Stabilization Reserve	--	--	--	--	--
005-Contingency Reserve	20.624	--	--	--	20.624
006-Universal Pre-K Reserve	--	--	--	--	--
007-Community Projects	211.099	--	8.302	--	202.797
008-Rainy Day Reserve Fund	175.000	--	--	--	175.000
013-Attica State Employee Victims'	--	--	--	--	--
017-Refund Reserve Account	--	--	--	--	--
166-Fringe Benefits Escrow	0.714	89.746	90.369	--	0.091
348-Tobacco Revenue Guarantee	--	--	--	--	--
<b>TOTAL GENERAL FUND</b>	<b>1,733.837</b>	<b>5,747.517</b>	<b>2,973.132</b>	<b>1,245.239</b>	<b>5,753.461</b>
<b>SPECIAL REVENUE FUNDS-STATE</b>					
019-Mental Health Gifts and Donations	2.312	0.013	0.014	--	2.311
020-Combined Expendable Trust	57.845	3.504	2.028	--	59.321
023-New York Interest on Lawyer Account	32.946	1.560	0.213	--	34.293
024-NYS Archives Partnership Trust	0.075	--	0.021	--	0.054
025-Child Performer's Protection	0.171	0.002	0.010	--	0.163
050-Tuition Reimbursement	3.806	0.124	0.119	(0.500)	3.311
052-New York State Local Government Records Management Improvement	4.222	0.659	1.133	--	3.748
053-School Tax Relief	910.697	--	885.409	--	25.288
054-Charter Schools Stimulus	2.539	0.002	0.060	--	2.481
055-Not-For-Profit Short Term Revolving Loan	--	--	--	--	--
056-Hudson River Valley Greenway	0.001	--	--	--	0.001
059-Rehabilitative Alcohol & Substance Abuse Treatment	0.019	--	--	--	0.019
061-HCRA Resources	466.086	367.939	315.999	--	518.026
073-Dedicated Mass Transportation Trust	88.656	49.011	56.150	--	81.517
160-State Lottery	(624.809)	197.011	130.121	--	(557.919)
221-Combined Student Loan	18.821	2.639	2.523	--	18.937
300-Sewage Treatment Program Mgmt. & Administration	(3.199)	--	0.683	--	(3.882)
301-EnCon Special Revenue	10.017	5.517	3.586	(2.711)	9.237
302-Conservation	36.843	0.853	1.459	--	36.237
303-Environmental Protection and Oil Spill Compensation	3.594	2.165	1.723	(3.690)	0.346
305-Training and Education Program on OSHA	14.581	0.007	2.522	--	12.066
306-Lawyers' Fund for Client Protection	5.012	0.951	1.232	--	4.731
307-Equipment Loan for the Disabled	0.533	0.003	--	--	0.536
313-Mass Transportation Operating Assistance	(253.402)	76.182	0.289	(1.300)	(178.809)
314-Clean Air	1.071	2.273	2.638	--	0.706
318-New York State Infrastructure Trust	0.066	--	--	--	0.066
321-Legislative Computer Services	10.200	0.103	--	--	10.303
328-Biodiversity Stewardship and Research	--	--	--	--	--
332-Combined Non-Expendable Trust	7.101	0.004	0.016	--	7.089
333-Winter Sports Education Trust	1.215	--	--	--	1.215
335-Musical Instrument Revolving	0.001	--	--	--	0.001
337-Rural Housing Assistance	--	--	--	--	--
338-Arts Capital Revolving	0.655	0.002	--	--	0.657
339-Miscellaneous State Special Revenue	1,133.167	131.919	607.531	420.612	1,078.167 *

(\* ) The Miscellaneous State Special Revenue Fund balance as of January 31, 2009 includes \$98m from investment protection security and real estate finance registration filing fees that were collected by the Department of Law. Such fees have been credited to the Litigation Settlement and Civil Recovery Account. It is expected that all, or virtually all, of such fees will be transferred to the State's General Fund by March 31, 2009.

STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND  
CHANGES IN FUND BALANCES  
FOR THE MONTH OF JANUARY 2009  
(amounts in millions)

SCHEDULE 1

	BALANCE 1/1/09	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE 1/31/09
<b><u>SPECIAL REVENUE FUNDS-STATE (CONTINUED)</u></b>					
340-Court Facilities Incentive Aid	3.389	0.002	1.687	--	1.704
341-Employment Training	0.236	--	0.005	--	0.231
342-Homeless Housing and Assistance	--	--	--	--	--
345-State University Income	645.112	363.470	288.180	39.828	760.230
346-Chemical Dependence Service	15.484	0.294	0.009	--	15.769
349-Lake George Park Trust	1.152	0.006	0.041	--	1.117
354-State Police Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention	14.321	3.967	16.710	(5.000)	(3.422)
355-New York Great Lakes Protection	3.799	0.003	0.007	(2.000)	1.795
359-Federal Revenue Maximization	0.060	0.001	--	--	0.061
360-Housing Development	11.308	0.010	(0.789)	--	12.107
362-NYS/DOT Highway Safety Program	(0.844)	0.091	0.219	--	(0.972)
365-Vocational Rehabilitation	0.114	0.008	0.026	--	0.096
366-Drinking Water Program Management and Administration	(3.288)	--	0.570	--	(3.858)
368-NYC County Clerks' Operations Offset	(9.114)	--	1.723	--	(10.837)
369-Judiciary Data Processing Offset	8.099	2.154	1.239	--	9.014
377-IFR / CUTRA	77.445	3.471	4.785	--	76.131
383-Supplemental Jury Facilities	--	--	--	--	--
385-USOC Lake Placid Training	0.007	0.001	--	--	0.008
390-Indigent Legal Services	96.789	6.537	12.500	--	90.826
482-Unemployment Insurance Interest and Penalty	15.525	0.762	--	(2.500)	13.787
<b>TOTAL SPECIAL REVENUE FUNDS-STATE</b>	<b>2,810.436</b>	<b>1,223.220</b>	<b>2,342.391</b>	<b>442.739</b>	<b>2,134.004</b>
<b><u>SPECIAL REVENUE FUNDS-FEDERAL</u></b>					
261-Federal USDA / Food and Consumer Services	(17.256)	213.097	206.976	--	(11.135)
265-Federal Health and Human Services	(772.915)	2,583.679	1,609.433	(348.051)	(146.720)
267-Federal Education	(34.963)	237.555	228.336	(2.159)	(27.903)
269-Federal DHHS Block Grant	(0.566)	9.366	4.474	--	4.326
290-Federal Miscellaneous Operating Grants	221.389	33.854	52.805	(0.002)	202.436
480-Unemployment Insurance Administration	82.640	19.993	21.367	--	81.266
484-Unemployment Insurance Occupational Training	1.465	(1.150)	0.224	--	0.091
486-Federal Employment and Training Grants	0.229	12.881	14.987	--	(1.877)
<b>TOTAL SPECIAL REVENUE FUNDS-FEDERAL</b>	<b>(519.977)</b>	<b>3,109.275</b>	<b>2,138.602</b>	<b>(350.212)</b>	<b>100.484</b>
<b>TOTAL SPECIAL REVENUE FUNDS</b>	<b>2,290.459</b>	<b>4,332.495</b>	<b>4,480.993</b>	<b>92.527</b>	<b>2,234.488</b>
<b><u>DEBT SERVICE FUNDS</u></b>					
064-Debt Reduction Reserve	9.795	--	0.598	--	9.197
065-State University Educational Facilities	--	--	--	--	--
304-Mental Health Services	185.990	40.171	2.902	108.650	331.909
311-General Obligation Debt Service	1.261	1,453.047	59.978	(1,229.393)	164.937
315-Grade Crossing Elimination Debt Service	--	--	--	--	--
316-State Housing Debt Service	--	1.916	1.304	(0.612)	--
319-Department of Health Income	26.326	3.170	--	(5.605)	23.891
330-State University Dormitory Income	142.478	52.513	--	(29.526)	165.465
361-Clean Water/Clean Air	35.941	17.658	--	(8.654)	44.945
364-Local Government Assistance Tax	6.128	207.387	--	(208.869)	4.646
<b>TOTAL DEBT SERVICE FUNDS</b>	<b>407.919</b>	<b>1,775.862</b>	<b>64.782</b>	<b>(1,374.009)</b>	<b>744.990</b>

STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND  
CHANGES IN FUND BALANCES  
FOR THE MONTH OF JANUARY 2009  
(amounts in millions)

SCHEDULE 1

	BALANCE 1/1/09	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE 1/31/09
<b>CAPITAL PROJECTS FUNDS</b>					
002-State Capital Projects	--	90.269	180.018	89.749	--
072-Dedicated Highway and Bridge Trust	(382.057)	146.869	142.915	(54.890)	(432.993)
074-SUNY Residence Halls Rehabilitation and Repair	76.660	0.045	1.529	--	75.176
075-New York State Canal System Development	2.335	0.001	--	--	2.336
076-Parks Infrastructure	(46.930)	0.500	5.136	--	(51.566)
077-Passenger Facility Charge	0.014	--	--	--	0.014
078-Environmental Protection	3.393	23.938	2.973	--	24.358
079-Clean Water/Clean Air Implementation	(0.346)	--	0.103	--	(0.449)
080-Hudson River Park	0.088	--	--	--	0.088
101-Energy Conservation Thru Improved Transportation Bond	0.164	--	--	--	0.164
103-Park & Recreation Land Acquisition Bond	--	--	--	--	--
105-Pure Waters Bond	--	--	--	--	--
106-Outdoor Recreation Development Bond	--	--	--	--	--
109-Transportation Capital Facilities Bond	3.393	--	--	(0.001)	3.392
115-Environmental Quality Protection Bond	1.667	--	--	--	1.667
118-Rail Preservation and Development Bond	--	--	--	--	--
119-State Housing Bond	--	--	--	--	--
121-Rebuild and Renew New York Transportation Bond	10.870	--	--	(0.318)	10.552
123-Transportation Infrastructure Renewal Bond	5.431	--	--	(0.007)	5.424
124-1986 Environmental Quality Bond Act	20.025	--	--	(0.504)	19.521
126-Accelerated Capacity and Transportation Improvement Bond	4.308	--	--	--	4.308
127-Clean Water/Clean Air Bond	6.387	--	--	--	6.387
291-Federal Capital Projects	(186.166)	101.356	90.418	--	(175.228)
310-Forest Preserve Expansion	0.887	0.001	--	--	0.888
312-Hazardous Waste Remedial	(12.613)	1.842	8.744	(0.984)	(20.499)
317-Pine Barrens	--	--	--	--	--
322-Lake Champlain Bridges	--	--	--	--	--
327-Suburban Transportation	0.500	0.001	--	--	0.501
357-Division for Youth Facilities Improvement	(20.934)	--	1.205	--	(22.139)
358-Youth Centers Facility	--	--	--	--	--
374-Housing Assistance	(12.585)	--	--	--	(12.585)
376-Housing Program	(92.462)	8.053	18.663	--	(103.072)
378-Natural Resource Damage	20.548	0.013	0.289	--	20.272
380-DOT Engineering Services	(13.841)	--	1.093	--	(14.934)
384-State University Capital Projects	83.360	0.053	0.728	0.286	82.971
387-Miscellaneous Capital Projects	(78.891)	0.825	0.719	--	(78.785)
388-CUNY Capital Projects	(0.021)	(0.001)	--	--	(0.022)
389-Mental Hygiene Facilities Capital Improvement	(390.601)	8.938	15.327	--	(396.990)
399-Correction Facilities Capital Improvement	(127.424)	--	19.376	--	(146.800)
<b>TOTAL CAPITAL PROJECTS FUNDS</b>	<b>(1,124.841)</b>	<b>382.703</b>	<b>489.236</b>	<b>33.331</b>	<b>(1,198.043)</b>
<b>TOTAL GOVERNMENTAL FUNDS</b>	<b>\$3,307.374</b>	<b>\$12,238.577</b>	<b>\$8,008.143</b>	<b>(\$2.912)</b>	<b>\$7,534.896</b>

STATE OF NEW YORK  
 PROPRIETARY FUNDS  
 SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND EQUITY  
 FOR THE MONTH OF JANUARY 2009  
 (amounts in millions)

SCHEDULE 2

<u>FUND TYPE</u>	<u>FUND EQUITY 1/1/09</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER FINANCING SOURCES (USES)</u>	<u>FUND EQUITY 1/31/09</u>
<b><u>ENTERPRISE FUNDS</u></b>					
324-Youth Commissary	\$0.202	\$0.006	\$0.008	\$ --	\$0.200
325-State Exposition Special	(0.253)	0.185	0.200	--	(0.268)
326-Correctional Services Commissary	1.639	3.251	3.198	--	1.692
329-Correctional Services Family Benefit	0.017	0.001	--	--	0.018
331-Agency Enterprise	2.815	0.238	0.245	--	2.808
351-Sheltered Workshop	1.940	0.155	0.170	--	1.925
352-Patient Workshop	0.831	0.157	0.080	--	0.908
353-Mental Hygiene Community Stores	2.334	0.168	0.245	--	2.257
450-Industrial Exhibit Authority	1.154	0.081	0.316	--	0.919
481-Unemployment Insurance Benefit	(24.206)	514.117	477.174	--	12.737
<b>TOTAL ENTERPRISE FUNDS</b>	<b>(13.527)</b>	<b>518.359</b>	<b>481.636</b>	<b>--</b>	<b>23.196</b>
<b><u>INTERNAL SERVICE FUNDS</u></b>					
323-O.G.S. Centralized Services	4.027	11.486	8.475	--	7.038
334-Agency Internal Service	(11.643)	24.147	19.549	2.912	(4.133)
343-Mental Hygiene Revolving	0.958	0.059	0.056	--	0.961
347-Youth Vocational Education	0.052	--	--	--	0.052
394-Joint Labor/Management Administration	0.537	--	0.071	--	0.466
395-Audit and Control Revolving	(0.888)	--	0.174	--	(1.062)
396-Health Insurance Revolving	(21.339)	1.043	1.430	--	(21.726)
397-Correctional Industries Revolving	(20.830)	3.552	2.603	--	(19.881)
<b>TOTAL INTERNAL SERVICE FUNDS</b>	<b>(49.126)</b>	<b>40.287</b>	<b>32.358</b>	<b>2.912</b>	<b>(38.285)</b>
<b>TOTAL PROPRIETARY FUNDS</b>	<b>(\$62.653)</b>	<b>\$558.646</b>	<b>\$513.994</b>	<b>\$2.912</b>	<b>(\$15.089)</b>

STATE OF NEW YORK  
 FIDUCIARY FUNDS  
 SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES  
 FOR THE MONTH OF JANUARY 2009  
 (amounts in millions)

SCHEDULE 3

<u>FUND TYPE</u>	<u>FUND BALANCE 1/1/09</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER FINANCING SOURCES (USES)</u>	<u>FUND BALANCE 1/31/09</u>
<b><u>PRIVATE PURPOSE TRUST FUNDS</u></b>					
021-Agriculture Producers' Security	\$2.559	\$0.002	\$0.009	\$ --	\$2.552
022-Milk Producers' Security	7.219	0.033	0.014	--	7.238
<b>TOTAL PRIVATE PURPOSE TRUST FUNDS</b>	<b>9.778</b>	<b>0.035</b>	<b>0.023</b>	<b>--</b>	<b>9.790</b>
<b><u>AGENCY FUNDS</u></b>					
129-Private Not-For-Profit School Capital Facilities Financing Reserve	--	--	--	--	--
130-School Capital Facilities Financing Reserve	33.498	2.465	--	--	35.963
135-Child Performer's Holding	0.080	--	--	--	0.080
136-Child Performer's Holding II	0.017	0.004	--	--	0.021
152-Employees Health Insurance	483.727	494.984	553.953	--	424.758
153-Social Security Contribution	13.713	109.275	83.263	--	39.725
154-Employee Payroll Withholding Escrow	21.602	408.734	322.679	--	107.657
162-Employees Dental Insurance	2.606	9.395	6.379	--	5.622
163-Management Confidential Group Insurance	1.168	0.890	0.608	--	1.450
165-Lottery Prize	188.874	60.257	64.787	--	184.344 *
167-Health Insurance Reserve Receipts	0.068	0.002	--	--	0.070
169-Miscellaneous New York State Agency	607.168	(3.085)	19.548	--	584.535
175-Elderly Pharmaceutical Insurance Coverage Escrow	2.553	35.570	35.610	--	2.513
176-CUNY Senior College Operating	26.574	134.009	108.074	--	52.509
179-Medicaid Management Information System Escrow	1,199.523	2,895.520	3,856.606	--	238.437
309-Special Education	--	--	--	--	--
344-State University Collection	143.392	226.757	--	--	370.149
382-SUNY Federal Direct Lending Program	--	(4.945)	--	--	(4.945)
<b>TOTAL AGENCY FUNDS</b>	<b>2,724.563</b>	<b>4,369.832</b>	<b>5,051.507</b>	<b>--</b>	<b>2,042.888</b>
<b><u>PENSION TRUST FUNDS</u></b>					
400-Common Retirement-Administration	0.074	5.775	5.896	--	(0.047)
<b>TOTAL PENSION TRUST FUNDS</b>	<b>0.074</b>	<b>5.775</b>	<b>5.896</b>	<b>--</b>	<b>(0.047)</b>
<b>TOTAL FIDUCIARY FUNDS</b>	<b>\$2,734.415</b>	<b>\$4,375.642</b>	<b>\$5,057.426</b>	<b>\$ --</b>	<b>\$2,052.631</b>

(\*) Includes investment outside of the Short Term Investment Pool.

STATE OF NEW YORK  
SOLE CUSTODY AND INVESTMENT ACCOUNTS  
STATEMENT OF CASH RECEIPTS AND DISBURSEMENTS  
FOR THE MONTH OF JANUARY 2009  
(amounts in millions)

SCHEDULE 4

<u>FUND TYPE</u>	<u>BEGINNING BALANCE 1/1/09</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>ENDING BALANCE 1/31/09</u>
<b><u>ACCOUNTS</u></b>				
060-Tobacco Settlement	\$2.678	\$0.001	\$ --	\$2.679
149-Sole Custody Investment (*)	1,299.274	1,459.577	1,294.742	1,464.109
650-Comptroller's Refund	--	181.724	181.724	--
750-NYS Thruway Authority Operating	0.169	--	--	0.169
<b>TOTAL ACCOUNTS</b>	<b><u>\$1,302.121</u></b>	<b><u>\$1,641.302</u></b>	<b><u>\$1,476.466</u></b>	<b><u>\$1,466.957</u></b>

**(\*) Includes Public Asset Fund resources**

Chapter 1 of the Laws of 2002 authorized the conversion of Empire Health Choice, d/b/a Empire Blue Cross and Blue Shield from a not-for-profit corporation to a for-profit corporation. Chapter 1 requires, in part, that upon such conversion, assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to a fund designated as the "Public Asset Fund" and 5 percent transferred to a Charitable Foundation - as set forth in (s) 7317 of the Insurance Law. On December 28, 2005, Wellchoice, Inc. (previously known as Empire Blue Cross, Blue Shield) approved a takeover by Wellpoint, Inc. This conversion was also subject to the same Chapter 1 requirements of assigning assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to the "Public Asset Fund".

As of January 31, 2009, \$23,951,467.36 (representing the remaining balance of the State's 95 percent share of the fair market value of the not-for-profit corporation plus interest) is on deposit in the sole custody account titled Public Asset Fund. In accordance with Section 4301(j)(4)(F) and (O) of the Insurance Law and at the direction of the Director of the Budget, these funds are available for transfer to HCRA Resource Fund (061).

SCHEDULE 5

STATE OF NEW YORK  
DEBT SERVICE FUNDS  
STATEMENT OF DIRECT STATE DEBT ACTIVITY  
FISCAL YEAR ENDED MARCH 31, 2009

PURPOSE	DEBT OUTSTANDING APRIL 1, 2008	DEBT ISSUED		DEBT MATURED (*)		DEBT OUTSTANDING JAN. 31, 2009	INTEREST DISBURSED	
		MONTH OF JANUARY	10 MONTHS ENDED JAN. 31, 2009	MONTH OF JANUARY	10 MONTHS ENDED JAN. 31, 2009		MONTH OF JANUARY	10 MONTHS ENDED JAN. 31, 2009
<b>GENERAL OBLIGATION BONDED DEBT:</b>								
Accelerated Capacity and Transportation Improvements	\$741,777,041.27	\$ --	\$ --	\$ --	\$62,291,255.85	\$679,485,785.42	\$171,803.79	\$24,868,227.16
Clean Water/Clean Air:								
Air Quality	102,780,316.84	--	--	--	9,986,681.22	92,793,635.62	182,142.98	\$3,408,959.32
Safe Drinking Water	108,728,151.78	--	--	--	14,471,114.82	94,257,036.96	590,619.68	3,922,898.52
Water	501,159,752.49	--	--	--	1,808,951.88	499,350,800.61	421,891.38	12,520,434.43
Solid Waste	108,574,214.57	--	--	--	3,571,658.74	105,002,555.83	121,562.27	3,086,233.45
Environmental Restoration	49,842,276.47	--	--	--	124,224.13	49,718,052.34	11,010.14	1,150,014.35
Energy Conservation Through Improved Transportation:								
Rapid Transit and Rail Freight	29,019,009.33	--	--	--	4,152,625.99	24,866,383.34	1,097.59	901,430.64
Environmental Quality Protection (1972):								
Air	28,549,435.53	--	--	--	7,016,028.70	21,533,406.83	85,426.28	1,029,977.70
Land and Wetlands	55,879,095.34	--	--	--	8,033,651.53	47,845,443.81	17,412.36	1,940,242.82
Water	142,204,119.18	--	--	--	16,306,036.93	125,898,082.25	180,420.95	5,271,316.49
Environmental Quality (1986):								
Land and Forests	73,133,818.05	--	--	--	10,820,804.81	62,313,013.24	49,816.44	2,503,253.55
Solid Waste Management	593,490,996.95	--	--	--	34,742,498.57	558,748,498.38	281,853.35	14,822,594.71
Housing:								
Low Cost	69,951,723.92	--	--	--	9,421,571.93	60,530,151.99	12,360.11	1,920,481.18
Middle Income	50,735,000.00	--	--	1,203,000.00	4,733,000.00	46,002,000.00	88,725.00	2,269,755.00
Urban Renewal	10,284.39	--	--	--	10,284.39	--	--	289.25
Outdoor Recreation Development	130,524.74	--	--	--	100,206.74	30,318.00	--	6,892.97
Park and Recreation Land Acquisition	47,942.19	--	--	--	5,345.13	42,597.06	--	971.62
Pure Waters	101,157,624.14	--	--	--	10,497,379.84	90,660,244.30	78,661.94	3,665,739.48
Rail Preservation Development	22,461,463.16	--	--	--	5,878,403.46	16,583,059.70	--	746,902.11
Rebuild and Renew New York Transportation:								
Highway Facilities	203,158,447.46	--	--	--	1,713.08	203,156,734.38	--	3,585,180.39
Canals and Waterways	--	--	--	--	--	--	--	--
Aviation	--	--	--	--	--	--	--	--
Rail and Port	3,929,300.35	--	--	--	--	3,929,300.35	--	82,178.70
Mass Transit - Dept. of Transportation	3,914,332.00	--	--	--	--	3,914,332.00	--	60,815.06
Mass Transit - Metropolitan Transportation Authority	129,906,945.38	--	--	--	4,739.42	129,902,205.96	--	2,523,254.98
Rebuild New York-Transportation Infrastructure Renewal:								
Highways, Parkways, and Bridges	6,896,211.71	--	--	--	398,631.78	6,497,579.93	17,358.64	168,417.99
Ports, Canals, and Waterways	200,200.06	--	--	--	33,730.58	166,469.48	--	6,309.58
Rapid Transit, Rail, and Aviation	26,495,728.48	--	--	--	2,453,390.41	24,042,338.07	13,139.37	1,007,554.87
Transportation Capital Facilities:								
Aviation	31,168,362.59	--	--	--	3,855,174.47	27,313,188.12	16,672.31	1,162,125.82
Mass Transportation	35,498,681.08	--	--	--	10,287,895.59	25,210,785.49	--	1,175,187.51
<b>Total General Obligation Bonded Debt</b>	<b>\$3,220,800,999.45</b>	<b>\$ --</b>	<b>\$ --</b>	<b>\$ 1,203,000.00</b>	<b>\$221,006,999.99</b>	<b>\$2,999,793,999.46</b>	<b>\$2,341,974.58</b>	<b>\$93,807,639.65</b>

(\*) Includes adjustments for reallocation of bond proceeds from EQ72 - Air to Clean Water/Clean Air - Water and Solid Waste purposes.

STATE OF NEW YORK  
DEBT SERVICE FUNDS  
FINANCING AGREEMENTS  
FOR THE TEN (10) MONTHS ENDED JANUARY 31, 2009

SCHEDULE 5a

	DEBT	GENERAL DEBT SERVICE FUND (064)	DEPARTMENT OF HEALTH INCOME (319)	LOCAL	MENTAL HEALTH SERVICES (304)	REVENUE	STATE	COMBINED TOTALS		\$ INCREASE / (DECREASE)
	REDUCTION RESERVE			GOVERNMENT ASSISTANCE TAX (364)		BOND TAX (311-02)	UNIVERSITY DORMITORY INCOME (330)	10 MONTHS ENDED JAN. 31		
	FUND							2009	2008	
<b>Special Contractual Financing Obligations:</b>										
<b>Managed by Office of General Services:</b>										
44 Holland Avenue	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$773,202	(\$773,202)
Department of Trans Region 1 Schenectady	--	578,925	--	--	--	--	--	578,925	760,221	(181,296)
Environmental Conservation - 50 Wolf Rd Albany	--	--	--	--	--	--	--	--	1,264,463	(1,264,463)
Environmental Conservation - Broadway Albany	--	--	--	--	--	--	--	--	2,431,025	(2,431,025)
Hampton Plaza	--	133,281	--	--	--	--	--	133,281	142,656	(9,375)
Hanson Place	--	--	--	--	--	--	--	--	1,077,136	(1,077,136)
<b>Subtotal</b>	<b>\$ --</b>	<b>\$712,206</b>	<b>\$ --</b>	<b>\$ --</b>	<b>\$ --</b>	<b>\$ --</b>	<b>\$ --</b>	<b>\$712,206</b>	<b>\$6,448,703</b>	<b>(\$5,736,497)</b>
<b>Payments to Public Authorities:</b>										
City University Construction	--	278,016,296	--	--	--	--	--	278,016,296	285,762,351	(7,746,055)
Community Enhancement Facilities Program	--	274,291	--	--	--	--	--	274,291	5,585,866	(5,311,575)
Dormitory Authority	21,738,954	392,748,076	28,876,508	--	141,494,689	174,563,958	64,534,569	823,956,754	595,626,627	228,330,127
Energy Research & Development Authority	--	901,993	--	--	--	--	--	901,993	868,876	33,117
Environmental Facilities Corporation	--	4,910,214	--	--	--	62,057,318	--	66,967,532	51,297,994	15,669,538
Housing Finance Agency	598,314	35,028,300	--	--	--	35,654,477	--	71,281,091	57,775,306	13,505,785
Local Government Assistance Corporation	--	--	--	86,611,084	--	--	--	86,611,084	89,932,558	(3,321,474)
Metropolitan Transportation Authority:										
Transit and Commuter Rail Projects	--	164,858,919	--	--	--	--	--	164,858,919	164,844,513	14,406
Triborough Bridge & Tunnel Authority:										
Javits Convention Center Project	--	41,845,063	--	--	--	--	--	41,845,063	41,845,062	1
Thruway Authority	2,022,854	644,655,947	--	--	--	37,837,870	--	684,516,671	608,333,508	76,183,163
Urban Development Corporation:										
Correctional Facilities	--	297,498,122	--	--	--	--	--	297,498,122	282,223,985	15,274,137
Center for Industrial Innovation at RPI	--	4,244,963	--	--	--	--	--	4,244,963	3,327,825	917,138
Syracuse University Science and Technology Center	--	2,648,150	--	--	--	--	--	2,648,150	2,654,522	(6,372)
Cornell Univer. Supercomputer Center	--	492,000	--	--	--	--	--	492,000	491,000	1,000
Columbia Univer. Telecommunications Center	--	3,715,000	--	--	--	--	--	3,715,000	3,705,000	10,000
Onondaga Convention Center	--	--	--	--	--	--	--	--	2,093,763	(2,093,763)
Clarkson University	--	1,016,624	--	--	--	--	--	1,016,624	969,894	46,730
Debt Reduction Reserve	24,265,432	--	--	--	--	--	--	24,265,432	--	24,265,432
Higher Education	--	--	--	--	--	--	--	--	3,086,615	(3,086,615)
University Facilities Grant 95 Refunding	--	514,239	--	--	--	--	--	514,239	3,669,088	(3,154,849)
Youth Facilities	--	19,041,170	--	--	--	--	--	19,041,170	14,654,922	4,386,248
Economic Development Housing	--	--	--	--	--	147,150,505	--	147,150,505	128,251,705	18,898,800
Sports Facility	--	215,214	--	--	--	--	--	215,214	8,098,405	(7,883,191)
Ten Eyck Project Albany	--	--	--	--	--	--	--	--	--	--
Long Island and Pine Barren	--	--	--	--	--	--	--	--	811,196	(811,196)
South Mall	--	34,429,271	--	--	--	--	--	34,429,271	34,425,090	4,181
State Facilities and Equipment	--	--	--	--	--	42,238,782	--	42,238,782	37,014,040	5,224,742
Consolidated Service Contract Refunding	--	25,987,867	--	--	--	--	--	25,987,867	--	25,987,867
<b>Subtotal</b>	<b>\$48,625,554</b>	<b>\$1,953,041,719</b>	<b>\$28,876,508</b>	<b>\$86,611,084</b>	<b>\$141,494,689</b>	<b>\$499,502,910</b>	<b>\$64,534,569</b>	<b>\$2,822,687,033</b>	<b>\$2,427,349,711</b>	<b>\$395,337,322</b>
<b>Total Disbursements for Special Contractual Financing Obligations</b>	<b>\$48,625,554</b>	<b>\$1,953,753,925</b>	<b>\$28,876,508</b>	<b>\$86,611,084</b>	<b>\$141,494,689</b>	<b>\$499,502,910</b>	<b>\$64,534,569</b>	<b>\$2,823,399,239</b>	<b>\$2,433,798,414</b>	<b>\$389,600,825</b>



**SUMMARY OF THE OPERATING FUND INVESTMENTS  
FOR THE MONTH OF JANUARY 2009  
AS REQUIRED OF THE STATE COMPTROLLER**  
(amounts in millions)

	<u>JANUARY 2009</u>	<u>FISCAL YEAR TO DATE</u>	<u>Prior FYTD JANUARY 2008</u>
<b><u>SHORT TERM INVESTMENT POOL</u></b>			
AVERAGE DAILY INVESTMENT BALANCE*	\$8,529.5	\$9,964.8	\$11,189.6
AVERAGE YIELD*	0.353%	1.917%	4.910%
TOTAL INVESTMENT EARNINGS	\$3.190	\$160.694	\$460.345
	<u>DESCRIPTION</u>	<u>PAR AMOUNT</u>	
	GOVT. AGENCY BILLS/NOTES	\$750.0	
	REPURCHASE AGREEMENTS	\$788.4	
	COMMERCIAL PAPER	\$7,948.1	
	CERTIFICATES OF DEPOSIT	\$1,606.2	
	0% COMPENSATING BALANCE CD's	\$148.0	
TOTAL		<u>\$11,240.7</u>	

\*Does not include 0% Compensating Balance CD's.

STATE OF NEW YORK  
OFFICE OF THE STATE COMPTROLLER  
DIVISION OF PAYROLL, ACCOUNTING, AND REVENUE SERVICES  
BUREAU OF STATE ACCOUNTING OPERATIONS

**COMPTROLLER'S MONTHLY REPORT TO THE LEGISLATURE ON STATE FUNDS - CASH BASIS OF ACCOUNTING  
FISCAL YEAR 2008-2009**

**APPENDIX - TABLE OF CONTENTS**

<u>HCRA Resources Fund - Statement of Receipts and Disbursements by Object</u>	Appendix A
<u>HCRA Resources Fund - Statement of Program Disbursements</u>	Appendix B
<u>HCRA Public Goods Pool - Statement of Cash Flow</u>	Appendix C
<u>HCRA Medicaid Disproportionate Share - Statement of Cash Flow</u>	Appendix D
<u>Public Authority Off Budget Spending Report</u>	Appendix E

STATE OF NEW YORK  
 HCRA RESOURCES FUND  
 STATEMENT OF RECEIPTS AND DISBURSEMENTS BY OBJECT  
 FISCAL YEAR 2008-2009

APPENDIX A

	2008 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER
<b>OPENING CASH BALANCE</b>	<b>\$597,450,621</b>	<b>\$860,340,793</b>	<b>\$1,059,096,697</b>	<b>\$1,241,132,628</b>	<b>\$1,121,852,402</b>	<b>\$540,621,952</b>
<b>RECEIPTS:</b>						
Cigarette Tax	52,841,203	51,642,911	82,661,943	61,654,286	86,646,801	95,672,806
State Share of NYC Cigarette Tax	9,509,000	11,010,000	8,374,000	6,442,000	6,483,000	7,537,000
STIP Interest	2,159,453	1,560,339	1,914,827	2,213,991	2,465,301	1,868,125
Public Asset Transfers	--	--	--	--	--	--
Indigent Care Pool	14,241	6,747	2,825	3,842	6,084	12,797
Public Goods Pool	295,347,317	259,938,273	267,434,685	271,076,853	245,833,677	269,918,002
Hospital Excess Liability Pool	--	--	--	--	--	--
Miscellaneous	2,848	406,023	--	109,790	73	54,638
<b>Total Receipts</b>	<b>359,874,062</b>	<b>324,564,293</b>	<b>360,388,280</b>	<b>341,500,762</b>	<b>341,434,936</b>	<b>375,063,368</b>
<b>DISBURSEMENTS:</b>						
Grants - Social Service	108,634	83,495	11,078	145,820	32,218	77,517
Medical Assistance Payments	45,447,393	34,128,792	34,414,703	339,915,533	631,477,802	339,152,688
Grants - Health	46,829,723	83,621,783	138,689,872	115,125,678	284,364,661	93,890,053
Grants - Mental Hygiene	15,708	282	25,792	(32,607)	--	--
Grants - Miscellaneous	161,894	173,200	391,993	329,786	190,178	182,341
Interest - Late Payments	473	14,130	4,307	3,958	8,359	5,686
Personal Service	1,635,017	1,062,572	445,345	1,203,513	1,158,323	875,536
Non-Personal Service	2,781,535	6,116,328	3,996,658	4,089,307	4,516,946	4,022,093
Employee Benefits/Indirect Costs	3,513	607,807	--	--	916,899	236,869
Transfers to 002	--	--	--	--	--	--
Transfers to 003	--	--	--	--	--	--
Transfers to 339-AP	--	--	372,601	--	--	--
Transfers to 339-ES	--	--	--	--	--	--
<b>Total Disbursements</b>	<b>96,983,890</b>	<b>125,808,389</b>	<b>178,352,349</b>	<b>460,780,988</b>	<b>922,665,386</b>	<b>438,442,783</b>
<b>CLOSING CASH BALANCE</b>	<b>\$860,340,793</b>	<b>\$1,059,096,697</b>	<b>\$1,241,132,628</b>	<b>\$1,121,852,402</b>	<b>\$540,621,952</b>	<b>\$477,242,537</b>

STATE OF NEW YORK  
 HCRA RESOURCES FUND  
 STATEMENT OF RECEIPTS AND DISBURSEMENTS BY OBJECT  
 FISCAL YEAR 2008-2009

APPENDIX A  
 (continued)

	OCTOBER	NOVEMBER	DECEMBER	2009 JANUARY	10 Months Ended January 31, 2009
<b>OPENING CASH BALANCE</b>	<b>\$477,242,537</b>	<b>\$428,945,817</b>	<b>\$524,942,919</b>	<b>\$466,085,966</b>	<b>\$597,450,621</b>
<b>RECEIPTS:</b>					
Cigarette Tax	84,043,267	73,892,729	107,163,700	74,425,321	770,644,967
State share of NYC Cigarette Tax	7,024,000	7,086,000	7,018,000	7,433,000	77,916,000
STIP Interest	857,298	854,736	736,892	343,859	14,974,821
Public Asset Transfers	--	--	--	--	--
Indigent Care Pool	6,570	4,469	1,025	1,520	60,120
Public Goods Pool	263,189,345	272,746,176	286,800,301	285,674,586	2,717,959,215
Hospital Excess Liability Pool	265,533	--	--	--	265,533
Miscellaneous	3,537	69,110	74,950	60,539	781,508
<b>Total Receipts</b>	<b>355,389,550</b>	<b>354,653,220</b>	<b>401,794,868</b>	<b>367,938,825</b>	<b>3,582,602,164</b>
<b>DISBURSEMENTS:</b>					
Grants - Social Service	355,067	16,082	16,826	4,259	850,996
Medical Assistance Payments	277,777,689	151,628,694	317,675,152	160,181,037	2,331,799,483
Grants - Health	119,864,768	101,790,661	137,493,700	151,056,911	1,272,727,810
Grants - Mental Hygiene	--	--	--	--	9,175
Grants - Miscellaneous	205,801	399,901	163,109	64,761	2,262,964
Interest - Late Payments	4,170	583	1,654	1,687	45,007
Personal Service	811,198	707,622	883,027	870,892	9,653,045
Non-Personal Service	3,485,380	3,098,735	3,918,353	3,819,012	39,844,347
Employee Benefits/Indirect Costs	1,182,197	118,872	--	--	3,066,157
Transfers to 002	--	--	--	--	--
Transfers to 003	--	--	--	--	--
Transfers to 339-AP	--	894,968	500,000	--	1,767,569
Transfers to 339-ES	--	--	--	--	--
<b>Total Disbursements</b>	<b>403,686,270</b>	<b>258,656,118</b>	<b>460,651,821</b>	<b>315,998,559</b>	<b>3,662,026,553</b>
<b>CLOSING CASH BALANCE</b>	<b>\$428,945,817</b>	<b>\$524,942,919</b>	<b>\$466,085,966</b>	<b>\$518,026,232</b>	<b>\$518,026,232</b>

STATE OF NEW YORK  
 HCRA RESOURCES FUND  
 STATEMENT OF PROGRAM DISBURSEMENTS  
 FISCAL YEAR 2008-2009

APPENDIX B

Program/Purpose	Appropriation Amount (1)	Segregation Amount	April - June Disbursements	July - September Disbursements	October - December Disbursements	January Disbursements	Total Disbursements 10 Months Ending January 31, 2009 (3)
<b>COMMUNITY SERVICES PROGRAM</b>	\$ 5,771,607	\$	\$	\$	\$	\$	\$
LONG TERM CARE INSUR EDUC/OUTREACH		5,494,446	727,088	690,306	768,812	64,761	2,250,966
<b>ADMIN &amp; GRANTS MGMT HCRA RESOURCE</b>	<b>100,000</b>						
LONG TERM CARE INSUR EDUC/OUTREACH		95,000	3,767	5,324	11,241	5,949	26,282
<b>ADULT HOMES PROGRAM</b>	<b>60,000</b>						
ADULT HOME RESIDENT COUNCIL PROJECT		60,000	--	12,000	--	--	12,000
<b>ADMIN &amp; EXECUTIVE DIRECTION PROGRAM</b>	<b>12,213,900</b>						
HEALTH CARE DELIVERY ADMINISTRATION		812,580	54,361	49,364	68,599	16,244	188,568
HEALTH OCCUPATION DEVELOP/WORK DEMO		1,281,630	121,823	112,948	123,502	23,762	382,036
HEALTH WORKFORCE RETRAINING PROGRAM		2,218,155	57,121	294,056	258,404	45,825	655,407
PILOT HEALTH INSURANCE ACCOUNT		2,954,540	334,829	299,977	260,004	59,620	954,430
PRIMARY CARE INITIATIVES MONITORING		1,294,215	134,375	134,638	115,959	27,034	412,006
<b>AIDS INSTITUTE PROGRAM</b>	<b>202,176,123</b>						
HEALTH CARE SERVICES ACCOUNT		178,553,436	12,924,751	20,139,610	19,429,591	5,676,974	58,170,926
HOSPITAL BASED GRANTS PROGRAM		11,485,797	933,029	1,316,127	1,306,384	247,467	3,803,007
MATERNAL & CHILD HIV SERVICES		9,220,390	892,438	1,642,777	816,617	338,970	3,690,803
OPERATIONAL SUPPORT FOR AIDS HOUSING		2,916,500	203,208	255,554	93,015	4,259	556,036
<b>CENTER FOR COMMUNITY HEALTH PROGRAM</b>	<b>129,470,219</b>						
HEALTH CARE SERVICES ACCOUNT		87,679,436	8,533,505	10,055,600	7,012,102	1,181,652	26,782,860
HOSPITAL BASED GRANTS PROGRAM		31,102,823	3,900,286	2,182,997	1,464,731	1,707,230	9,255,245
TOBACCO CONTROL & CANCER SERVICES		6,726,600	767,988	739,754	759,698	146,248	2,413,688
<b>WADSWORTH CENTER FOR LABS &amp; RESEARCH</b>	<b>11,886,000</b>						
HEALTH CARE SERVICES ACCOUNT		9,919,000	873,544	280,573	(419,024)	--	735,093
<b>HEALTH CARE STANDARDS &amp; SURVEILLANCE</b>	<b>78,476,000</b>						
EMERGENCY MEDICAL SERVICES ACCOUNT		56,840,818	3,792,533	5,497,119	4,059,465	999,869	14,348,987
HEALTH CARE SERVICES ACCOUNT		13,990,000	1,256,530	183,502	--	45,000	1,485,032
QUALITY INCENTIVE PAYMENT		2,750,000	--	--	294,960	--	294,960
<b>HEALTH CARE FINANCING PROGRAM</b>	<b>10,049,000</b>						
PROVIDER COLLECTION MONITORING ACCOUNT		5,301,515	704,964	569,842	509,106	125,795	1,909,707
<b>OFFICE OF HEALTH INSURANCE PROGRAM</b>	<b>16,800,000</b>						
FAMILY HEALTH PLUS		13,702,000	1,289,297	1,531,273	1,619,847	270,516	4,710,932
MEDICAID FRAUD HOTLINE/ADMIN.		2,065,700	355,392	147,423	52,989	5,253	561,058
<b>MEDICAL ASSISTANCE PROGRAM</b>	<b>5,922,300,000</b>						
BREAST & CERVICAL CANCER GRANTS		4,200,000	--	--	--	--	--
D&TC RATES FOR R&R GRANTS (4)		6,300,000	--	1,100,000	1,100,000	--	2,200,000
DISABLED PERSONS GRANTS		47,000,000	--	7,833,000	7,833,000	7,834,000	23,500,000
FAMILY HEALTH PLUS GRANTS		1,071,400,000	--	172,240,000	206,740,000	34,500,000	413,480,000
GRANTS & RATES - SECTION 2808D GRANTS		17,500,000	37,331	--	--	--	37,331
HOME CARE INSURANCE DEMO GRANTS		3,800,000	--	--	--	--	--
HOME CARE RATES		8,000,000	--	--	8,000,000	(8,000,000)	--
HOME HEALTH R&R RATES GRANTS (5)		100,000,000	--	--	--	--	--
HOME HEALTH WORKFORCE RECRUITMENT		37,000,000	--	--	8,667,000	(8,667,000)	--
MEDICAL ASSISTANCE - INDIGENT CARE FUND		1,689,600,000	113,953,558	243,942,022	243,117,535	85,947,037	686,960,152
MEDICAL ASSISTANCE - PAYMENTS GRANTS		175,600,000	--	82,000,000	--	--	82,000,000
NASSAU & SUFFOLK HOSPITAL DIST GRANTS		5,000,000	--	--	--	--	--
NON-PUBLIC GENERAL HOSP RATES FOR R&R (6)		106,600,000	--	21,600,000	19,700,000	(41,300,000)	--
NON-PUBLIC NH RATES FOR R&R GRANTS (7)		52,500,000	--	5,140,000	5,140,000	(10,280,000)	--
NURSING HOME FINANCIAL ASSIST GRANTS		30,000,000	--	--	--	--	--
NYC MEDICAID GRANTS		249,400,000	--	124,700,000	--	--	124,700,000
NYC NON-PUBLIC HOSP MEANINGFUL ACCESS		38,000,000	--	--	--	--	--
NYC PERSONAL CARE WRR RATES GRANTS (8)		272,000,000	--	45,333,000	45,333,000	--	90,666,000
PERSONAL CARE & CHHA RATES GRANTS (9)		65,200,000	--	--	--	--	--
PERSONAL CARE WRR RATES GRANTS (10)		22,400,000	--	3,733,000	3,733,000	3,500,000	10,966,000
PHARMACY SERVICES GRANT		1,514,900,000	--	551,858,000	122,651,000	92,247,000	766,756,000
PHYSICIAN SERVICES GRANT		170,400,000	--	28,400,000	28,400,000	28,400,000	85,200,000
PRIORITY RESTORATION GRANTS		48,000,000	--	--	--	--	--
PUBLIC HOSPITAL RATES - SECTION 2807C		48,000,000	--	--	24,000,000	(24,000,000)	--
SUPPLEMENTAL MED INS PAYMENTS GRANTS		136,000,000	--	22,667,000	22,667,000	--	45,334,000
SUPPLEMENTAL RURAL HOSPITAL RATES		3,500,000	--	--	--	--	--
<b>ENHANCED COMMUNITY SERVICES PROGRAM</b>	<b>97,900,000</b>						
ENHANCED COMMUNITY SERVICES ACCOUNT		97,784,705	41,782	(32,607)	500,000	--	509,175
NON-RESIDENTIAL ENHANCED COMM SRVCS		115,295	--	--	--	--	--
<b>OFFICE OF LONG TERM CARE</b>	<b>4,311,780</b>						
<b>ELDERLY PHARMACEUTICAL INS COVERAGE PRG</b>	<b>832,150,000</b>						
ELDERLY PHARMACEUTICAL INSURANCE COVER		638,250,000	--	--	93,085,126	54,850,744	147,935,870
<b>PAYBILL</b>	<b>2,201,000</b>						
<b>CHILD HEALTH INSURANCE PROGRAM</b>	<b>912,604,000</b>						
CHILD HEALTH INSURANCE		664,415,500	63,105,855	105,691,705	80,309,985	51,041,038	300,148,582

STATE OF NEW YORK  
 HCRA RESOURCES FUND  
 STATEMENT OF PROGRAM DISBURSEMENTS  
 FISCAL YEAR 2008-2009

APPENDIX B  
 (continued)

Program/Purpose	Appropriation Amount (1)	Segregation Amount	April - June Disbursements	July - September Disbursements	October - December Disbursements	January Disbursements	Total Disbursements 10 Months Ending January 31, 2009 (3)
<b>HEALTH CARE REFORM ACT PROGRAM</b>	<b>\$ 3,300,955,805</b>						
ADAP/HIV UNINSURED CARE (HRI) (11)		71,760,000	--	20,000,000	--	--	20,000,000
AREA HEALTH CARE CENTERS		788,000	--	--	--	--	--
ASSEMBLY PRIORITY DISTRIBUTIONS		29,577,000	2,171,971	500,000	1,428,585	--	4,100,556
AUDIT SERVICE PAYER/PROVIDER COMPLIANCE		15,474,000	64,764	253,336	502,000	108,229	928,329
CANCER RELATED SERVICES		51,648,002	6,324,037	2,816,869	5,862,006	3,033,828	18,036,740
CATASTROPHIC HEALTH CARE EXPENSE		3,111,420	--	--	--	--	--
COMMISSIONER EMERGENCY DISTRIBUTIONS		5,900,000	622,645	--	--	--	622,645
COMMISSIONER'S PRIORITY POOL DISTRIB.		71,643,000	968,589	3,057,504	119,395	91,384	4,236,872
DIAGNOSTIC & TREAT CTR UNCOMPENSAT CARE		155,024,620	49,670,456	5,898,065	--	--	55,568,521
DISEASE MANAGEMENT DEMO PROGRAM		9,750,000	151,670	306,077	236,255	13,425	707,427
DIVERSITY IN MEDICINE/POST BACCALAUREAT		1,960,000	--	--	--	--	--
GRADUATE MEDICAL EDUCATION DISTRIB		540,830,000	52,321,892	75,295,239	75,300,000	25,100,000	228,017,130
HEALTH CARE STABILIZATION PROGRAM		28,000,000	251,840	406,458	343,542	--	1,001,840
HEALTH FACILITY RESTRUCTURING		19,600,000	--	19,600,000	--	--	19,600,000
HEALTH MAINTENANCE ORG (HMO) DIRECT PAY		79,200,000	--	39,200,000	--	--	39,200,000
HEALTH WORKFORCE RETRAINING		194,380,000	8,190,476	7,224,885	5,854,658	405,078	21,675,098
HEALTHY NY - ADMINISTRATION		26,920,000	356,077	503,700	767,237	109,123	1,736,137
HEALTHY NY - ENTERTAINMENT WORKERS		3,360,000	196,133	125,434	216,898	12,098	550,562
HEALTHY NY - GROUP PROGRAM		219,253,600	17,438	122,075,728	9,570	--	122,102,736
INDIVIDUAL SUBSIDY PROGRAM		4,151,130	--	--	1,008,293	--	1,008,293
INFERTILITY GRANT PROGRAM		2,830,000	--	--	--	--	--
INFERTILITY SRVCS TREATMENTS & PROC		14,139,619	824,712	458,212	1,608,917	399,173	3,291,014
LONG TERM CARE DELIVERY DEMO PROJECTS		956,244	45,963	397,127	155,295	--	598,385
LONG TERM CARE DEMO PROJECTS		750,000	--	139,511	--	--	139,511
LONG TERM CARE INSUR EDUC/OUTREACH		4,296,960	624,638	98,721	6,911	300	730,570
MINORITY PARTICIPATION MED EDUC		215,000	--	--	--	--	--
NYS AREA HEALTH EDUCATION CENTER (AHEC)		4,100,000	472,538	276,761	216,958	--	966,257
OTHER MEDICAL SCHOOL		1,160,000	--	--	--	--	--
PAY FOR PERFORMANCE INITIATIVES		11,907,859	588,317	77,365	81,945	497,525	1,245,152
PHYSICIANS EXCESS MEDICAL MALPRACTICE		257,400,000	--	--	--	--	--
POISON CONTROL CENTERS		10,100,000	--	2,387,817	--	2,486,542	4,874,359
POOL ADMINISTRATOR-SERVICES & EXPENSES		13,471,000	660,058	821,340	1,026,850	281,929	2,790,176
PRIMARY HEALTH CARE SERVICES		3,260,000	--	--	--	--	--
ROSWELL PARK CANCER INSTITUTE		184,100,000	22,775,000	22,775,000	22,775,000	--	68,325,000
RURAL HEALTH CARE ACCESS DEVELOP		41,363,000	669,035	2,939,962	2,680,702	802,695	7,092,394
RURAL HEALTH CARE DELIVERY DEVELOP		13,200,000	--	--	--	--	--
RURAL HEALTH NETWORK DEVELOPMENT		14,062,000	1,944,389	1,959,074	951,984	165,220	5,020,666
SCHOOL BASED HEALTH CENTERS		10,196,000	3,459,292	--	--	--	3,459,292
SCHOOL BASED HEALTH CLINICS		13,392,000	--	--	--	--	--
SECTION 405.4 HOSPITAL AUDITS		5,500,000	382,186	1,147,740	167,206	397,609	2,094,742
SENATE PRIORITY DISTRIBUTIONS		30,794,347	1,623,587	332,239	900,000	(217)	2,855,609
SUPPLEMENTAL GRADUATE MEDICAL EDUCATION		65,270,000	--	9,000,000	23,800,000	--	32,800,000
TELEMEDICINE DEMONSTRATION PROGRAM		6,950,566	556,351	329,963	810,121	85,937	1,782,372
TOBACCO USE PREVENTION & CONTROL		190,481,259	15,189,579	19,139,144	15,686,265	4,943,435	54,958,423
WORKER RECRUIT/RETAIN NON-PUBLIC HOSP		4,663,000	--	--	--	--	--
WORKER RECRUIT/RETAIN PUBLIC HOSPITALS		86,367,000	11,983,333	--	--	--	11,983,333
WORKER/RECRUIT/RETAIN PUBLIC RHCF (12)		17,948,000	2,665,706	--	--	--	2,665,706
<b>TOTAL</b>	<b>\$ 11,539,425,434 (2)</b>	<b>\$ 10,310,534,706</b>	<b>\$ 400,772,027</b>	<b>\$ 1,821,889,157</b>	<b>\$ 1,122,099,241</b>	<b>\$ 315,998,559</b>	<b>\$ 3,660,758,984</b>
Transfer to the General Fund - State Purposes Account (for administration of the program)	1,493,932						
<b>TOTAL APPROPRIATED AMOUNT</b>	<b>\$ 11,540,919,366</b>						

- (1) Includes amounts appropriated in 2008 as well as prior year appropriations that were reappropriated in the SFY 2008 budget chapter;
- (2) Unsegregated appropriation total is \$1,228,890,728.
- (3) Disbursements from the HCRA Resource Fund includes direct grant payments to program beneficiaries, services and expenses for administration of grant programs, and transfers to the Public Goods Pool to finance payments made by the State's fiscal agent
- (4) Full title is: Diagnostic and Treatment Center Rates Increase for Recruitment and Retention of Health Care Worker;
- (5) Full title is: Home Health Recruitment and Retention Rates Grant;
- (6) Full title is: Non-Public General Hospital Rates for Recruitment and Retention of Health Care Worker;
- (7) Full title is: Non-Public Nursing Home Rates for Recruitment and Retention of Health Care Worker;
- (8) Full title is: NYC Personal Care Workforce Recruitment and Retention Rates Grant;
- (9) Full title is: Personal Care and Certified Home Health Agency Rates Grants
- (10) Full title is: Personal Care Workforce Recruitment and Retention Rates Grant;
- (11) Full title is: Aids Drug Assistance Program/Human Immunodeficiency Virus - Uninsured Care - Health Research Incorporated
- (12) Full title is: Workers Recruitment and Retention of Residential Health Care Facilities

**STATE OF NEW YORK - STATEMENT OF CASH FLOW - PUBLIC GOODS POOL  
FISCAL YEAR 2008-2009**

	<u>1st Quarter</u> <u>April-June</u>	<u>2nd Quarter</u> <u>July-September</u>	<u>3rd Quarter</u> <u>October-December</u>	<u>2009</u> <u>JANUARY</u>	<u>2008-2009</u>
<b>OPENING CASH BALANCE</b>	<b>\$ 207,177,582.27</b>	<b>\$ 186,383,090.34</b>	<b>\$ 177,014,170.31</b>	<b>\$ 181,286,449.30</b>	<b>\$ 207,177,582.27</b>
<b>RECEIPTS:</b>					
Patient Services	501,210,052.03	488,994,248.13	491,859,941.18	189,439,414.51	1,671,503,655.85
Covered Lives	215,462,722.15	203,774,954.10	246,780,486.36	66,133,930.26	732,152,092.87
Provider Assessments	13,655,282.30	13,338,647.56	12,821,264.57	3,589,660.61	43,404,855.04
1% Assessments	76,272,918.25	73,024,528.51	71,684,017.89	22,182,357.00	243,163,821.65
DASNY- MOE/Recast receivables	0.00	0.00	0.00	0.00	0.00
Interest Income	330,701.17	319,915.15	149,624.27	14,760.30	815,000.89
Other	(7,255,909.60)	(2,310,924.47)	3,590,669.44	793,475.96	(5,182,688.67)
<b>Total Receipts</b>	<b>799,675,766.30</b>	<b>777,141,368.98</b>	<b>826,886,003.71</b>	<b>282,153,598.64</b>	<b>2,685,856,737.63</b>
<b>DISBURSEMENTS:</b>					
<b>Program Disbursements:</b>					
Diagnostic and Treatment Centers	0.00	0.00	0.00	0.00	0.00
Rural Health Care Initiatives	0.00	0.00	0.00	0.00	0.00
Poison Control	0.00	0.00	0.00	0.00	0.00
Cancer Related Services	0.00	0.00	0.00	0.00	0.00
Health Work Force Retraining Program	0.00	0.00	0.00	0.00	0.00
Minority Partnership in Medical Education Grants	0.00	0.00	0.00	0.00	0.00
GME Distributions	0.00	0.00	0.00	0.00	0.00
Health Care Recruitment & Retention	(14,649,039.45)	0.00	0.00	0.00	(14,649,039.45)
Poison Control Centers	0.00	(2,387,817.00)	0.00	(2,486,542.00)	(4,874,359.00)
School Based Health Center Grants	0.00	0.00	0.00	0.00	0.00
Professional Education Pool Distributions	(52,321,891.55)	(84,300,000.00)	(99,100,000.00)	(25,100,000.00)	(260,821,891.55)
DSH Cap "pop-up"	0.00	0.00	0.00	0.00	0.00
<b>Total Program Disbursements</b>	<b>(66,970,931.00)</b>	<b>(86,687,817.00)</b>	<b>(99,100,000.00)</b>	<b>(27,586,542.00)</b>	<b>(280,345,290.00)</b>
Administrative Expenses	0.00	0.00	0.00	0.00	0.00
<b>Total Disbursements</b>	<b>(66,970,931.00)</b>	<b>(86,687,817.00)</b>	<b>(99,100,000.00)</b>	<b>(27,586,542.00)</b>	<b>(280,345,290.00)</b>
<b>Excess (Deficiency) of Receipts over Disbursements</b>	<b>732,704,835.30</b>	<b>690,453,551.98</b>	<b>727,786,003.71</b>	<b>254,567,056.64</b>	<b>2,405,511,447.63</b>
<b>OTHER FINANCING SOURCES (USES):</b>					
<b>Transfers from Other Pools:</b>					
Tobacco Control and Insurance Initiatives	0.00	0.00	0.00	0.00	0.00
Medicaid Disproportionate Share	2,216,928.18	212,557.18	441,148.51	0.00	2,870,633.87
Health Facility Assessment Fund	0.00	0.00	38,574.28	0.00	38,574.28
Hospital Regional Pool Contribution	0.00	0.00	0.00	0.00	0.00
Statewide Bad Debt & Charity Care Pool	0.00	0.00	0.00	0.00	0.00
<b>Transfers From State Funds:</b>					
061-HCRA Resources Fund	66,970,931.00	86,683,055.80	99,100,000.00	27,586,542.00	280,340,528.80
Other	0.00	0.00	0.00	0.00	0.00
<b>Total Other Financing Sources</b>	<b>69,187,859.18</b>	<b>86,895,612.98</b>	<b>99,579,722.79</b>	<b>27,586,542.00</b>	<b>283,249,736.95</b>
<b>Transfers to Other Pools:</b>					
Medicaid Disproportionate Share	0.00	0.00	(38,600.39)	0.00	(38,600.39)
Tobacco Control & Insurance Initiatives	0.00	0.00	0.00	0.00	0.00
Health Facility Assessment Fund	0.00	0.00	0.00	0.00	0.00
Escrow	0.00	0.00	0.00	0.00	0.00
Other	0.00	0.00	0.00	0.00	0.00
<b>Transfers to State Funds:</b>					
061-HCRA Resources Fund	(1,049,137,614.50)	(995,007,537.10)	(1,029,644,318.55)	(365,942,323.56)	(3,439,731,793.71)
061-IN Indigent Care Fund (matched)	222,552,458.83	205,837,471.45	204,086,489.58	67,845,689.30	700,322,109.16
061-IN Indigent Care Fund (non-matched)	3,897,969.26	2,451,980.66	2,502,981.85	12,422,047.56	21,274,979.33
Other	0.00	0.00	0.00	0.00	0.00
<b>Total Other Financing Uses</b>	<b>(822,687,186.41)</b>	<b>(786,718,084.99)</b>	<b>(823,093,447.51)</b>	<b>(285,674,586.70)</b>	<b>(2,718,173,305.61)</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>(20,794,491.93)</b>	<b>(9,368,920.03)</b>	<b>4,272,278.99</b>	<b>(3,520,988.06)</b>	<b>(29,412,121.03)</b>
<b>CLOSING CASH BALANCE</b>	<b>\$ 186,383,090.34</b>	<b>\$ 177,014,170.31</b>	<b>\$ 181,286,449.30</b>	<b>\$ 177,765,461.24</b>	<b>\$ 177,765,461.24</b>

Source: HCRA - Office of Pool Administration

STATE OF NEW YORK - STATEMENT OF CASH FLOW - MEDICAID DISPROPORTIONATE SHARE  
FISCAL YEAR 2008-2009

	<u>1st Quarter</u> <u>April-June</u>	<u>2nd Quarter</u> <u>July-September</u>	<u>3rd Quarter</u> <u>October-December</u>	<u>2009</u> <u>JANUARY</u>	<u>2008-2009</u>
<b>OPENING CASH BALANCE</b>	\$ 14,240.97	\$ 3,842.36	\$ 6,570.01	\$ 1,519.87	\$ 14,240.97
<b>RECEIPTS:</b>					
Interest Income	13,413.75	25,451.65	7,030.69	377.12	46,273.21
<b>Total Receipts</b>	<u>13,413.75</u>	<u>25,451.65</u>	<u>7,030.69</u>	<u>377.12</u>	<u>46,273.21</u>
<b>DISBURSEMENTS:</b>					
<b>Program Disbursements:</b>					
Indigent Care	(195,290,350.82)	(188,280,133.72)	(186,951,156.90)	(61,991,427.00)	(632,513,068.44)
High Need Indigent Care	(27,706,942.85)	(18,257,795.50)	(17,884,328.74)	(5,837,123.58)	(69,686,190.67)
Other	(15,565.22)	(275,325.64)	(45,598.23)	0.00	(336,489.09)
<b>Total Program Disbursements</b>	<u>(223,012,858.89)</u>	<u>(206,813,254.86)</u>	<u>(204,881,083.87)</u>	<u>(67,828,550.58)</u>	<u>(702,535,748.20)</u>
Investment Purchases	0.00	0.00	0.00	0.00	0.00
<b>Total Disbursements</b>	<u>(223,012,858.89)</u>	<u>(206,813,254.86)</u>	<u>(204,881,083.87)</u>	<u>(67,828,550.58)</u>	<u>(702,535,748.20)</u>
<b>Excess (Deficiency) of Receipts over Disbursements</b>	<u>(222,999,445.14)</u>	<u>(206,787,803.21)</u>	<u>(204,874,053.18)</u>	<u>(67,828,173.46)</u>	<u>(702,489,474.99)</u>
<b>OTHER FINANCING SOURCES (USES):</b>					
<b>Transfers from Other Pools:</b>					
Tobacco Control and Insurance Initiatives	0.00	0.00	0.00	0.00	0.00
Public Goods Pool	0.00	0.00	38,600.39	0.00	38,600.39
Health Facility Assessment Fund	0.00	0.00	0.00	0.00	0.00
<b>Transfers From State Funds:</b>					
061-IN HCRA Resources Indigent Care - Matched	111,276,229.42	102,918,735.73	102,043,244.80	33,922,844.65	350,161,054.60
061-IN HCRA Resources Indigent Care - Unmatched	2,677,328.24	1,223,286.65	1,274,290.04	5,424,192.13	10,599,097.06
265-Federal DHHS Fund	111,276,229.41	102,918,735.72	102,043,244.78	33,922,844.65	350,161,054.56
Other	0.00	0.00	0.00	0.00	0.00
<b>Total Other Financing Sources</b>	<u>225,229,787.07</u>	<u>207,060,758.10</u>	<u>205,399,380.01</u>	<u>73,269,881.43</u>	<u>710,959,806.61</u>
<b>Transfers to Other Pools:</b>					
Public Goods Pool	(2,216,928.18)	(212,557.18)	(441,148.51)	0.00	(2,870,633.87)
Healthy Facility Assessment	0.00	(34,946.06)	(77,147.63)	0.00	(112,093.69)
Other	0.00	0.00	0.00	0.00	0.00
<b>Transfers to State Funds:</b>					
061-HCRA Resources Fund	(23,812.36)	(22,724.00)	(12,080.83)	(1,519.87)	(60,137.06)
<b>Total Other Financing Uses</b>	<u>(2,240,740.54)</u>	<u>(270,227.24)</u>	<u>(530,376.97)</u>	<u>(1,519.87)</u>	<u>(3,042,864.62)</u>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(10,398.61)	2,727.65	(5,050.14)	5,440,188.10	5,427,467.00
<b>CLOSING CASH BALANCE</b>	<u>\$ 3,842.36</u>	<u>\$ 6,570.01</u>	<u>\$ 1,519.87</u>	<u>\$ 5,441,707.97</u>	<u>\$ 5,441,707.97</u>

Source: HCRA - Office of Pool Administration



APPENDIX E

SUMMARY OF OFF-BUDGET SPENDING REPORT

	DISBURSED APRIL '08 (000)	DISBURSED MAY '08 (000)	DISBURSED JUNE '08 (000)	DISBURSED JULY '08 (000)	DISBURSED AUG '08 (000)	DISBURSED SEPT '08 (000)	DISBURSED OCT '08 (000)	DISBURSED NOV '08 (000)	DISBURSED DEC '08 (000)	DISBURSED JAN '09 (000)	DISBURSED FEB '09 (000)	DISBURSED MAR '09 (000)	DISBURSED TOTAL 08-09 (000)
<b>DORMITORY AUTHORITY:</b>													
Education - All Other	88	14	196	95	27	125	593	1	89	--	--	--	1,228
Education - EXCEL	491,122	2,880	--	270,834	4,001	81,069	39,664	914	23,871	--	--	--	914,355
Department of Health - All Other	26	1	--	2	--	63	9	(45)	13	--	--	--	69
Department of Health - Oxford	--	--	--	--	--	--	--	--	--	--	--	--	--
Judicial Institutes (Pace)	--	4	--	--	11	4	--	--	--	--	--	--	19
CEFAP	107	--	447	132	--	4,157	140	163	2,435	--	--	--	7,581
Regional Development:													
CCAP	3,730	481	13,901	1,865	1,736	3,062	4,387	1,138	3,301	--	--	--	33,601
Multi-modal	801	551	--	664	--	--	429	--	--	--	--	--	2,445
GenNYsis	6,288	--	1,305	1,395	1,000	5	--	--	14,168	--	--	--	24,161
RESTORE	--	--	--	--	--	--	--	--	--	--	--	--	--
CUNY Senior Colleges	29,559	4,954	31,815	18,735	7,739	57,764	36,334	11,924	36,262	--	--	--	235,086
CUNY Community Colleges	8,545	3,098	9,186	8,302	1,692	15,743	5,334	2,942	12,163	--	--	--	67,005
SUNY Dormitories	11,753	4,568	12,233	12,234	6,282	13,399	7,756	3,907	7,902	--	--	--	80,034
Upstate Community Colleges	3,471	3,059	3,142	3,142	4,474	4,975	5,786	1,254	3,571	--	--	--	32,874
Mental Health	12,285	2,282	12,184	11,541	2,091	54,501	6,248	5,011	9,358	--	--	--	115,501
Mental Retardation	10,555	3,199	8,482	5,184	3,684	10,157	6,448	1,231	9,777	--	--	--	58,717
Alcoholism & Alcohol Abuse	129	99	58	391	19	871	239	102	516	--	--	--	2,424
<b>TOTAL DORMITORY AUTHORITY:</b>	<b>578,459</b>	<b>25,190</b>	<b>92,949</b>	<b>334,516</b>	<b>32,756</b>	<b>245,895</b>	<b>113,367</b>	<b>28,542</b>	<b>123,426</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>1,575,100</b>
<b>EMPIRE STATE DEVELOPMENT CORP:</b>													
Regional Development:													
Centers of Excellence	--	1,551	1,427	2,566	1,186	2,948	1,354	3,027	--	--	--	--	14,059
CCAP	625	(17)	730	1,330	670	1,265	938	1,327	574	--	--	--	7,442
Empire Opportunity	--	--	--	319	--	625	--	--	208	--	--	--	1,152
CEFAP	123	--	--	34	171	167	--	117	--	--	--	--	612
SEMATECH	--	--	--	--	--	--	--	--	--	--	--	--	--
State Facilities and Equipment	373	1,049	408	770	162	445	449	--	437	--	--	--	4,093
<b>TOTAL EMPIRE STATE DEVELOPMENT CORP:</b>	<b>1,121</b>	<b>2,583</b>	<b>2,565</b>	<b>5,019</b>	<b>2,189</b>	<b>5,450</b>	<b>2,741</b>	<b>4,471</b>	<b>1,219</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>27,358</b>
<b>THRUWAY AUTHORITY:</b>													
CHIPS	--	--	24,455	--	--	96,612	--	--	259,942	--	--	--	381,009
SHIPS	--	--	--	--	--	--	--	--	--	--	--	--	--
Marchiselli	--	--	7,395	--	--	8,126	--	--	9,976	--	--	--	25,497
Multi-modal	--	2,338	--	--	1,494	--	--	2,238	--	--	--	--	6,070
<b>TOTAL THRUWAY AUTHORITY:</b>	<b>--</b>	<b>2,338</b>	<b>31,850</b>	<b>--</b>	<b>1,494</b>	<b>104,738</b>	<b>--</b>	<b>2,238</b>	<b>269,918</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>412,576</b>
<b>TOTAL OFF-BUDGET:</b>	<b>579,580</b>	<b>30,111</b>	<b>127,364</b>	<b>339,535</b>	<b>36,439</b>	<b>356,083</b>	<b>116,108</b>	<b>35,251</b>	<b>394,563</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>2,015,034</b>
TOTAL CEFAP	230	--	447	166	171	4,324	140	280	2,435	--	--	--	8,193
ECONOMIC DEVELOPMENT:													
Total CCAP	4,355	464	14,631	3,195	2,406	4,327	5,325	2,465	3,875	--	--	--	41,043
Total Multi-modal	801	551	--	664	--	--	429	--	--	--	--	--	2,445
Total GenNYsis	6,288	--	1,305	1,395	1,000	5	--	--	14,168	--	--	--	24,161
Total RESTORE	--	--	--	--	--	--	--	--	--	--	--	--	--
Total Centers for Excellence	--	1,551	1,427	2,566	1,186	2,948	1,354	3,027	--	--	--	--	14,059
Total Empire Opportunity	--	--	--	319	--	625	--	--	208	--	--	--	1,152
Total Economic Development	11,444	2,566	17,363	8,139	4,592	7,905	7,108	5,492	18,251	--	--	--	82,860

The Division of the Budget (DOB) is responsible for organizing and presenting the above schedule of 'Off Budget Spending'. Such reported disbursements are drawn from unaudited financial data provided by public authorities. Although the Office of the State Comptroller (OSC) has no reason to believe this information to be unreliable, it is important to note that these program disbursements are financed with public authority bond proceeds deposited directly into public authority accounts and all disbursements are made without any oversight by the OSC. Therefore, and pursuant to the provisions of Chapter 60, §16, of the Laws of 2006; this schedule is provided for information only.