

**STATE OF NEW YORK
OFFICE OF THE STATE COMPTROLLER
OFFICE OF OPERATIONS
DIVISION OF PAYROLL, ACCOUNTING, AND REVENUE SERVICES
BUREAU OF STATE ACCOUNTING OPERATIONS**

**Comptroller's Monthly Report
On State Funds Cash Basis of Accounting
(Pursuant to Sec. 8(9-a) of the State Finance Law)
September 2009**



**THOMAS P. DiNAPOLI
STATE COMPTROLLER**

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(amounts in millions)**

EXHIBIT A

		GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS				YEAR OVER YEAR	
		MONTH OF SEPT. 2009	6 MOS. ENDED SEPT. 30, 2009	MONTH OF SEPT. 2009	6 MOS. ENDED SEPT. 30, 2009	MONTH OF SEPT. 2009	6 MOS. ENDED SEPT. 30, 2009	MONTH OF SEPT. 2009	6 MOS. ENDED SEPT. 30, 2009	MONTH OF SEPT. 2009	6 MOS. ENDED SEPT. 30, 2009	MONTH OF SEPT. 2008	6 MOS. ENDED SEPT. 30, 2008	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:															
Personal Income Tax	(7)	\$2,352.1	\$11,128.3	\$221.0	\$916.6	\$857.7	\$4,015.0	\$ --	\$ --	\$3,430.8	\$16,059.9	\$3,841.2	\$20,493.2	(\$4,433.3)	-21.6%
Consumption/Use Taxes and Fees		859.6	4,103.4	196.9	1,067.8	264.5	1,235.7	114.1	600.6	1,435.1	7,007.5	1,405.2	7,317.5	(310.0)	-4.2%
Business Taxes		1,009.8	2,393.2	283.2	761.6	--	--	59.5	325.7	1,352.5	3,480.5	1,523.0	3,401.7	78.8	2.3%
Other Taxes		138.6	496.6	--	--	26.3	139.0	20.0	79.8	184.9	715.4	129.4	1,193.2	(477.8)	-40.0%
Miscellaneous Receipts	(9)	991.7	1,671.3	1,594.4	7,276.3	121.8	417.3	167.4	1,375.5	2,875.3	10,740.4	2,035.0	8,496.2	2,244.2	26.4%
Federal Receipts	(1)	--	45.2	3,219.0	19,748.7	--	--	193.8	875.6	3,412.8	20,669.5	2,805.9	17,111.3	3,558.2	20.8%
Total Receipts		5,351.8	19,838.0	5,514.5	29,771.0	1,270.3	5,807.0	554.8	3,257.2	12,691.4	58,673.2	11,739.7	58,013.1	660.1	1.1%
DISBURSEMENTS:															
Local Assistance Grants:	(2) (7)														
General Purpose		157.0	595.9	--	--	--	--	--	--	157.0	595.9	160.7	551.6	44.3	8.0%
Education		1,581.3	8,992.3	2,310.3	4,676.6	--	--	1.9	39.4	3,893.5	13,708.3	4,024.5	12,778.4	929.9	7.3%
Social Services:															
Medicaid	(1)(6)	958.3	3,726.9	3,142.4	15,988.5	--	--	--	--	4,100.7	19,715.4	2,509.7	16,186.5	3,528.9	21.8%
Other Social Services		343.8	1,501.2	292.9	1,743.0	--	--	0.2	0.2	636.9	3,244.4	717.8	3,576.5	(332.1)	-9.3%
Health and Environment	(6)	136.2	826.7	388.0	1,378.9	--	--	21.8	70.1	546.0	2,275.7	545.8	2,364.3	(88.6)	-3.7%
Mental Hygiene		43.1	165.4	129.4	650.1	--	--	7.1	30.5	179.6	846.0	206.2	896.8	(50.8)	-5.7%
Transportation		2.3	43.0	150.7	1,136.6	--	--	19.3	218.6	172.3	1,398.2	314.2	1,940.4	(542.2)	-27.9%
Criminal Justice		22.2	75.2	17.2	180.3	--	--	--	--	39.4	255.5	32.3	186.4	69.1	37.1%
Emergency Management & Security Services		2.6	20.1	13.9	66.8	--	--	--	--	16.5	86.9	13.5	54.9	32.0	58.3%
Miscellaneous		50.4	192.0	112.4	443.7	--	--	41.9	163.1	204.7	798.8	260.1	841.5	(42.7)	-5.1%
Total Local Assistance Grants		3,297.2	16,138.7	6,557.2	26,264.5	--	--	92.2	521.9	9,946.6	42,925.1	8,784.8	39,377.3	3,547.8	9.0%
Departmental Operations:															
Personal Service		615.5	3,509.3	704.9	3,222.6	--	--	--	--	1,320.4	6,731.9	963.9	6,295.8	436.1	6.9%
Non-Personal Service		157.7	1,058.0	374.8	1,775.3	6.3	24.8	--	--	538.8	2,858.1	623.3	3,245.3	(387.2)	-11.9%
General State Charges	(8)	214.4	1,403.0	172.5	891.0	--	--	--	--	386.9	2,294.0	400.3	3,202.3	(908.3)	-28.4%
Debt Service, Including Payments on															
Financing Agreements	(3)	--	--	--	--	701.3	1,957.3	--	--	701.3	1,957.3	708.2	1,968.2	(10.9)	-0.6%
Capital Projects	(4)	--	--	0.9	5.3	--	--	618.1	2,866.0	619.0	2,871.3	668.4	2,812.8	58.5	2.1%
Total Disbursements		4,284.8	22,109.0	7,810.3	32,158.7	707.6	1,982.1	710.3	3,387.9	13,513.0	59,637.7	12,148.9	56,901.7	2,736.0	4.8%
Excess (Deficiency) of Receipts over Disbursements		1,067.0	(2,271.0)	(2,295.8)	(2,387.7)	562.7	3,824.9	(155.5)	(130.7)	(821.6)	(964.5)	(409.2)	1,111.4	(2,075.9)	-186.8%
OTHER FINANCING SOURCES (USES):															
Bond Proceeds (net)		--	--	--	--	--	--	--	--	--	--	--	--	--	--
Transfers from Other Funds	(5)	1,250.9	5,362.2	527.9	3,247.7	742.8	3,058.4	128.2	297.4	2,649.8	11,965.7	2,672.7	13,514.2	(1,548.5)	-11.5%
Transfers to Other Funds	(5)	(600.8)	(2,609.4)	(317.2)	(2,042.8)	(1,536.9)	(6,845.6)	(194.2)	(506.9)	(2,649.1)	(12,004.7)	(2,674.3)	(13,552.1)	(1,547.4)	-11.4%
Total Other Financing Sources (Uses)		650.1	2,752.8	210.7	1,204.9	(794.1)	(3,787.2)	(66.0)	(209.5)	0.7	(39.0)	(1.6)	(37.9)	(1.1)	-2.9%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses		1,717.1	481.8	(2,085.1)	(1,182.8)	(231.4)	37.7	(221.5)	(340.2)	(820.9)	(1,003.5)	(410.8)	1,073.5	(2,077.0)	-193.5%
Beginning Fund Balances (Deficit)		713.2	1,948.5	3,748.7	2,846.4	567.2	298.1	(625.9)	(507.2)	4,403.2	4,585.8	7,970.3	6,486.0	(1,900.2)	-29.3%
Ending Fund Balances (Deficit)		\$2,430.3	\$2,430.3	\$1,663.6	\$1,663.6	\$335.8	\$335.8	(\$847.4)	(\$847.4)	\$3,582.3	\$3,582.3	\$7,559.5	\$7,559.5	(\$3,977.2)	-52.6%

GOVERNMENTAL FUNDS FOOTNOTES

September 2009 - Exhibit A Notes

1. On February 17, 2009, President Obama signed into law the American Recovery and Reinvestment Act of 2009 (ARRA). This Act contains provisions for direct federal aid for fiscal relief, consisting of increases in the Federal matching rate for eligible State Medicaid expenditures and funds provided through the Federal State Fiscal Stabilization Fund to restore proposed reductions in education, higher education, and to maintain essential government services. Information on state disbursement resulting from ARRA can be found in Appendix C of this report

2. Special Revenue Federal Funds disbursements include the payments made by the State which will be reimbursed by the Federal Government in October 2009

Federal DHHS (Medicaid)	\$95.4 million
Federal DHHS (All Other)	680.3
Federal USDA/Food and Consumer Services	5.4
Federal DHHS/Block Grant	0.6
Federal Education	45.1
Federal Miscellaneous Operating Grants	--
Federal Employment and Training Grants	0.2

3. Total debt service disbursements include principal and interest on general obligation bonds and lease-purchase / contractual obligation payments. For a complete analysis of debt payments please refer to **Schedule 5 and Schedule 5a**.

4. Certain disbursements from Capital Projects Funds are financed by operating transfers from other funds, proceeds of State bonds and notes, and reimbursements received from Public Authorities and the Federal Government. The amounts shown below represent disbursements to be reimbursed in future months from the sources indicated

Urban Development Corporation (Correctional Facilities)	\$39.9 million
Urban Development Corporation (Youth Facilities)	4.9
Housing Finance Agency (HFA)	87.6
Dormitory Authority (Mental Hygiene)	398.4
Dormitory Authority and State University Income Fund	57.2
Federal Capital Projects	278.9
State bond and note proceeds	28.1

5. Operating Transfers constitute legally authorized transfers from a fund receiving revenues to fund through which disbursements will ultimately be made. The more significant transfers include:

General Fund "Transfers to Other Funds" as follows

State Capital Projects	\$198.8 million
General Debt Service	918.3
Banking Services	44.2
Court Facilities Incentive Aid	97.4
State University Income	79.2
NYC County Courts Operating	8.3
Housing Debt Fund	2.1
Alcoholic Beverage Control Account	7.5
Mass Transportation Operating Assistance	19.2

Also included in the General Fund are transfers representing payments for patients residing in State operated Health, Mental Hygiene and State University facilities to Debt Service Funds (\$16.5m), the State University Income Fund (\$77.6m) and the Mental Hygiene Program Account (\$1,137.6m)

Special Revenue Funds "Transfers To Other Funds" includes transfers to Debt Service Fund (\$1,617.0m) representing the federal share of Medicaid payments for patients residing in State operated Health and Mental Hygiene facilities

Also included in Special Revenue funds are transfers to the General Fund from the following

Tribal State Compact Revenue Account	\$17.3 million
Workers Compensation Board	57.8

Statewide Public Safety Communications Account	40.0
Insurance Department Account	15.0
Code Enforcement Account	5.0
Revenue Arrearage Account	15.0
Youth Facility Per Diem	96.0
Banking Department	8.0
OTDA Earned Revenue Account	10.0
DMV Compulsory Account	6.0
Federal Health and Human Services Account	41.0
Training & Education Program on OSHA	7.0
Indigent Legal Services	7.2
Unemployment Insurance Interest & Penalty	5.0
Business Licencing Account	10.0

Debt Service Funds "Transfers To Other Funds" includes transfers to the General Fund from the following funds:

Revenue Bond Tax	\$3,756.3 million
Local Government Assistance Tax	1,163.1
Clean Water/Clean Air	80.1

Also included in Debt Service Funds are transfers to Special Revenue Funds representing receipts in excess of lease-purchase obligations that are used to finance a portion of the operating expenses for Departments of Health (\$66.4m), Mental Hygiene (\$1,598.9m) and the State University (\$148.9m)

Capital Projects Funds "Transfers To Other Funds" includes transfers to the General Fund (\$19.6m), to the General Debt Service Fund (\$436.9m) and to the Revenue Bond Tax Fund (\$50.3m)

6. The State receives moneys that represent refunds, pharmacy rebates, reimbursements, or disallowances of medical assistance payments previously made from appropriated State and Federal funds. These monies are initially credited to an agency escrow account and shortly after receipt are allocated and refunded to State or Federal fund appropriations from which the medical assistance payments were originally made.

At month end, the following balances remained in agency escrow accounts and accounting entries have been made to reduce medical assistance spending and count these monies as financial resources of the funds shown.

Account	Allocation of Month-End Balances	
	General Fund	Special Revenue-Federal
Medicaid Recoveries - Health Facilities	\$ --	\$811,654
Medicaid Recoveries - Audit	--	8,154,574
Medicaid Recoveries - Third Parties	--	11,742,429
Pharmacy Rebates	--	267,934
Medicare Catastrophic Recovery	--	--
Medicaid "Windfall" Recovery	--	--
Total	\$ --	\$20,976,591

7. A portion of personal income tax receipts is also transferred to the State Special Revenue School Tax Relief (STAR) Fund to be used to reimburse school districts for the STAR property tax exemptions for homeowners and payments to homeowners for the STAR Property Rebate Program. Local Assistance Education grant payments total (\$695.6m) for the month of June and (\$221.0m) for the month of September

8. The Health Insurance Fund - Reserve for Rate Fluctuations Account, holds health insurance dividends which are used to offset the State's contribution for employee health insurance premiums. As of September 30, 2009, the Account had a balance of \$549.1m but only \$156.2m in appropriation authority was available to offset the State's contribution for employee health insurance. This will leave a balance of \$392.9m in available cash for future offset or refunds to participating employees and pensioners

GOVERNMENTAL FUNDS FOOTNOTES (continued)

9. Miscellaneous receipts in Governmental Funds include:

	GENERAL	SPECIAL	DEBT	CAPITAL	6 Months Ended September 30		\$ Increase/ (Decrease)
	FUND	REVENUE	SERVICE	PROJECTS	2009	2008	
	(amounts in millions)						
Abandoned Property	\$ 149.0	\$ --	\$ --	\$ --	\$ 149.0	\$ 75.0	\$ 74.0
Interest Earnings	10.5	14.0	0.5	0.6	25.6	146.4	(120.8)
Receipts from Public Authorities:							
Bond Issuance Fees	63.8	7.2	--	--	71.0	71.3	(0.3)
Cost Recovery Assessments	--	0.5	--	--	0.5	2.2	(1.7)
Empire State/Urban Development Corporation	--	--	--	0.7	0.7	0.2	0.5
Environmental Facilities Corporation	--	5.0	--	--	5.0	4.8	0.2
Hudson River Park Trust	--	--	--	12.1	12.1	3.5	8.6
Lower Manhattan Development Corporation	--	--	--	--	--	0.4	(0.4)
Power Authority	103.0	3.0	--	0.2	106.2	63.6	42.6
State of NY Mortgage Agency	8.5	--	--	--	8.5	101.0	(92.5)
Thruway Authority - Policing the Thruway	--	27.3	--	--	27.3	22.8	4.5
Bond Proceeds							
Dormitory Authority	--	17.1	--	583.1	600.2	373.5	226.7
Empire State/Urban Development Corporation	--	--	--	371.3	371.3	172.4	198.9
Environmental Facilities Corporation	--	--	--	2.1	2.1	10.2	(8.1)
Housing Finance Agency	--	--	--	76.8	76.8	79.5	(2.7)
Thruway Authority	--	--	--	237.1	237.1	192.0	45.1
All Other	--	0.6	--	0.2	0.8	10.0	(9.2)
Refunds and Reimbursements:							
Receipts from Municipalities	74.3	159.6	7.0	--	240.9	179.2	61.7
Women, Infants and Children Rebates	--	52.5	--	--	52.5	58.5	(6.0)
HESC Student Loan Recoveries	--	38.5	--	--	38.5	46.0	(7.5)
Administrative Recoveries	34.9	41.1	--	--	76.0	63.5	12.5
Indirect Cost Assessments	55.0	--	--	--	55.0	36.7	18.3
Reimbursements from Cornell University	11.1	--	--	--	11.1	12.8	(1.7)
Hazardous Waste and Oil Spill	--	4.5	--	11.8	16.3	10.8	5.5
Third Party Recoveries	6.8	64.9	--	--	71.7	(22.8)	94.5
All Other	45.7	13.7	0.9	2.3	62.6	30.3	32.3
Health Care Reform Act:							
Public Goods and Health Care Initiatives Pools	--	1,963.9	--	--	1,963.9	1,609.5	354.4
Public Asset Transfers	--	95.0	--	--	95.0	--	95.0
Revenues of State Departments:							
Patient/Client Care Reimbursements	--	668.6	239.1	--	907.7	820.3	87.4
Medical Care Provider Assessments	88.7	356.5	--	--	445.2	349.1	96.1
Industry Assessments - Regular	40.6	586.7	--	9.9	637.2	464.6	172.6
Industry Assessments - Temporary Surcharge	601.8	--	--	--	601.8	--	601.8
Student Tuition, Fees and Other SUNY Revenues	--	874.0	169.8	--	1,043.8	934.7	109.1
Student Tuition, Fees and Other CUNY Revenues	--	65.4	--	--	65.4	65.3	0.1
EPIC Fees and Rebates	--	82.2	--	--	82.2	98.0	(15.8)
Miscellaneous Sales, Rentals and Leases	4.7	12.5	--	4.8	22.0	19.7	2.3
Gifts and Unclaimed Property	0.4	11.6	--	--	12.0	11.4	0.6
All Other	(9.1)	10.8	--	0.2	1.9	29.8	(27.9)
Gaming:							
Lottery - Education	--	919.1	--	--	919.1	850.7	68.4
Lottery - Administration	--	288.9	--	--	288.9	270.5	18.4
Video Lottery Terminal - Education	--	236.3	--	--	236.3	225.6	10.7
Video Lottery Terminal - Administration	--	22.0	--	--	22.0	18.2	3.8
Casinos	--	64.8	--	--	64.8	63.6	1.2
Licenses and Fees	212.9	489.5	--	58.9	761.3	730.5	30.8
Fines	168.7	79.0	--	3.4	251.1	190.9	60.2
TOTAL	<u>\$ 1,671.3</u>	<u>\$ 7,276.3</u>	<u>\$ 417.3</u>	<u>\$ 1,375.5</u>	<u>\$ 10,740.4</u>	<u>\$ 8,496.2</u>	<u>\$ 2,244.2</u>

STATE OF NEW YORK
 PROPRIETARY FUNDS
 COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN EQUITY
 (amounts in millions)

EXHIBIT B

	ENTERPRISE		INTERNAL SERVICE		TOTAL PROPRIETARY FUNDS (memorandum only)			
	MONTH OF SEPT. 2009	6 MOS. ENDED SEPT. 30, 2009	MONTH OF SEPT. 2009	6 MOS. ENDED SEPT. 30, 2009	MONTH OF SEPT. 2009	6 MOS. ENDED SEPT. 30, 2009	MONTH OF SEPT. 2008	6 MOS. ENDED SEPT. 30, 2008
RECEIPTS:								
Miscellaneous Receipts	\$9.6	\$34.7	\$25.0	\$200.7	\$34.6	\$235.4	\$56.4	\$275.7
Federal Receipts (*)(**)	492.1	2,624.0	--	--	492.1	2,624.0	136.3	299.2
Unemployment Taxes	401.5	2,181.6	--	--	401.5	2,181.6	196.7	1,240.8 (***)
TOTAL RECEIPTS	903.2	4,840.3	25.0	200.7	928.2	5,041.0	389.4	1,815.7
DISBURSEMENTS:								
Departmental Operations:								
Personal Service	2.1	4.1	12.7	61.9	14.8	66.0	9.6	63.6
Non-Personal Service	6.9	27.7	28.8	215.0	35.7	242.7	43.4	243.3
General State Charges	0.2	0.8	10.5	24.1	10.7	24.9	8.0	27.1
Unemployment Benefits (**)	817.5	4,762.5	--	--	817.5	4,762.5	364.1	1,537.1 (***)
TOTAL DISBURSEMENTS	826.7	4,795.1	52.0	301.0	878.7	5,096.1	425.1	1,871.1
EXCESS (DEFICIENCY) OF RECEIPTS OVER DISBURSEMENTS	76.5	45.2	(27.0)	(100.3)	49.5	(55.1)	(35.7)	(55.4)
OTHER FINANCING SOURCES (USES):								
Transfers from Other Funds	--	--	8.3	48.0	8.3	48.0	3.6	39.9
Transfers to Other Funds	--	--	(4.0)	(4.0)	(4.0)	(4.0)	(2.0)	(2.0)
NET SOURCES (USES)	--	--	4.3	44.0	4.3	44.0	1.6	37.9
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	76.5	45.2	(22.7)	(56.3)	53.8	(11.1)	(34.1)	(17.5)
BEGINNING FUND EQUITY (DEFICITS)	(86.4)	(55.1)	(6.2)	27.4	(92.6)	(27.7)	(1.6)	(18.2)
ENDING FUND EQUITY (DEFICITS)	(\$9.9)	(\$9.9)	(\$28.9)	(\$28.9)	(\$38.8)	(\$38.8)	(\$35.7)	(\$35.7)

(*) Due to economic downturn, Governor Patterson signed the Emergency Unemployment Compensation agreement on July 2, 2008, which extended unemployment compensation benefits and resulted in the increase of the Federal Receipts \$149 million after the American Recovery and Reinvestment Act disbursements.

(**) A summary of American Recovery and Reinvestment Act (ARRA) disbursements is located in Appendix C.

(***) The increase in Unemployment Taxes and Benefits is due to an increase in unemployment claims filed because of the economic downturn and a change in accounting treatment of Federal Withholding Taxes deducted from Unemployment Benefits. To provide greater transparency and accountability, Federal Withholding Taxes deducted from Unemployment Benefits will be added to Unemployment Benefits paid and Unemployment Taxes received rather than as a reduction of Unemployment Taxes received. To implement this change, Unemployment Taxes and Benefits were increased by \$11.5 million for the month of September 2008 and \$62.3 million for the 6 months ended in September 2008 to reflect Withholding Taxes deducted for comparative purposes.

STATE OF NEW YORK
TRUST FUNDS
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(amounts in millions)

EXHIBIT C

	PENSION		PRIVATE PURPOSE		TOTAL TRUST FUNDS (memorandum only)			
	MONTH OF SEPT. 2009	6 MOS. ENDED SEPT. 30, 2009	MONTH OF SEPT. 2009	6 MOS. ENDED SEPT. 30, 2009	MONTH OF SEPT. 2009	6 MOS. ENDED SEPT. 30, 2009	MONTH OF SEPT. 2008	6 MOS. ENDED SEPT. 30, 2008
RECEIPTS:								
Miscellaneous Receipts	\$15.3	\$53.8	\$0.1	\$0.5	\$15.4	\$54.3	\$5.4	\$50.8
TOTAL RECEIPTS	15.3	53.8	0.1	0.5	15.4	54.3	5.4	50.8
DISBURSEMENTS:								
Departmental Operations:								
Personal Service	6.0	28.5	0.1	0.2	6.1	28.7	4.0	24.9
Non-Personal Service	3.2	11.3	--	--	3.2	11.3	1.4	15.7
General State Charges	6.1	13.9	--	--	6.1	13.9	--	10.2
TOTAL DISBURSEMENTS	15.3	53.7	0.1	0.2	15.4	53.9	5.4	50.8
EXCESS (DEFICIENCY) OF RECEIPTS OVER DISBURSEMENTS	--	0.1	--	0.3	--	0.4	--	--
OTHER FINANCING SOURCES (USES):								
Transfers from Other Funds	--	--	--	--	--	--	--	--
Transfers to Other Funds	--	--	--	--	--	--	--	--
NET SOURCES (USES)	--	--	--	--	--	--	--	--
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	--	0.1	--	0.3	--	0.4	--	--
BEGINNING FUND EQUITY (DEFICITS)	--	(0.1)	10.2	9.9	10.2	9.8	9.4	9.4
ENDING FUND EQUITY (DEFICITS)	\$ --	\$ --	\$10.2	\$10.2	\$10.2	\$10.2	\$9.4	\$9.4

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 STATE FISCAL YEAR ENDED MARCH 31, 2010
 FOR SIX (6) MONTHS ENDED SEPTEMBER 30, 2009
 (amounts in millions)

EXHIBIT D

	ALL GOVERNMENTAL FUNDS		
	Financial Plan (*)	Actual	Actual Over (Under) Financial Plan
RECEIPTS:			
Taxes.....	\$28,002	\$27,263.3	(\$738.7)
Miscellaneous Receipts.....	10,557	10,740.4	183.4
Federal Receipts.....	22,523	20,669.5	(1,853.5)
Total Receipts.....	61,082	58,673.2	(2,408.8)
DISBURSEMENTS:			
Local Assistance Grants.....	43,407	42,925.1	(481.9)
Departmental Operations.....	10,120	9,590.0	(530.0)
General State Charges.....	3,382	2,294.0	(1,088.0)
Debt Service.....	2,068	1,957.3	(110.7)
Capital Projects.....	3,269	2,871.3	(397.7)
Total Disbursements.....	62,246	59,637.7	(2,608.3)
Excess (Deficiency) of Receipts over Disbursements.....	(1,164)	(964.5)	199.5
OTHER FINANCING SOURCES (USES):			
Bond and Note Proceeds, net.....	142	--	(142.0)
Transfers from Other Funds.....	12,018	11,965.7	(52.3)
Transfers to Other Funds.....	(12,062)	(12,004.7)	(57.3)
Total Other Financing Sources (Uses).....	98.0	(39.0)	(137.0)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	(1,066)	(1,003.5)	62.5
Fund Balances (Deficit) at April 1.....	4,585	4,585.8	0.8
Fund Balances (Deficit) at September 30.....	\$3,519	\$3,582.3	\$63.3

(*) Source: DOB, 2009-10 Financial Plan First Quarterly Update dated July 30, 2009.

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 STATE FISCAL YEAR ENDED MARCH 31, 2010
 FOR SIX (6) MONTHS ENDED SEPTEMBER 30, 2009
 (amounts in millions)

EXHIBIT D
 (continued)

	GENERAL			SPECIAL REVENUE		
	Financial Plan (*)	Actual	Actual Over (Under) Financial Plan	Financial Plan (*)	Actual	Actual Over (Under) Financial Plan
RECEIPTS:						
Taxes:						
Personal Income	\$11,734	\$11,128.3	(\$605.7)	\$917	\$916.6	(\$0.4)
Consumption/Use.....	4,137	4,103.4	(33.6)	1,043	1,067.8	24.8
Business.....	2,434	2,393.2	(40.8)	737	761.6	24.6
Other.....	451	496.6	45.6	--	--	--
Miscellaneous Receipts.....	1,469	1,671.3	202.3	7,236	7,276.3	40.3
Federal Receipts.....	39	45.2	6.2	21,535	19,748.7	(1,786.3)
Bond and Note Proceeds, net.....	--	--	--	--	--	--
Transfers From:						
PIT in excess of Revenue Bond Debt Service.....	3,918	3,756.3	(161.7)	--	--	--
Sales Tax in excess of LGAC Debt Service.....	1,169	1,163.1	(5.9)	--	--	--
Real Estate Taxes in excess of CW/CA Debt Service.....	42	80.1	38.1	--	--	--
All Other.....	429	362.7	(66.3)	3,116	3,247.7	131.7
Total Receipts.....	25,822	25,200.2	(621.8)	34,584	33,018.7	(1,565.3)
DISBURSEMENTS:						
Local Assistance Grants.....	16,134	16,138.7	4.7	26,853	26,264.5	(588.5)
Departmental Operations.....	4,902	4,567.3	(334.7)	5,177	4,997.9	(179.1)
General State Charges.....	2,328	1,403.0	(925.0)	1,054	891.0	(163.0)
Debt Service.....	--	--	--	--	--	--
Capital Projects.....	--	--	--	3	5.3	2.3
Transfers To:						
Debt Service.....	952	918.3	(33.7)	--	--	--
Capital Projects.....	170	198.8	28.8	--	--	--
State Share Medicaid.....	1,186	1,137.6	(48.4)	--	--	--
Other Purposes.....	378	354.7	(23.3)	2,104	2,042.8	(61.2)
Total Disbursements.....	26,050	24,718.4	(1,331.6)	35,191	34,201.5	(989.5)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	(228)	481.8	709.8	(607)	(1,182.8)	(575.8)
Fund Balances (Deficit) at April 1.....	1,948	1,948.5	0.5	2,846	2,846.4	0.4
Fund Balances (Deficit) at September 30.....	\$1,720	\$2,430.3	\$710.3	\$2,239	\$1,663.6	(\$575.4)

(*) Source: DOB, 2009-10 Financial Plan First Quarterly Update dated July 30, 2009.

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 STATE FISCAL YEAR ENDED MARCH 31, 2010
 FOR SIX (6) MONTHS ENDED SEPTEMBER 30, 2009
 (amounts in millions)

EXHIBIT D
 (continued)

	DEBT SERVICE			CAPITAL PROJECTS		
	Financial Plan (*)	Actual	Actual Over (Under) Financial Plan	Financial Plan (*)	Actual	Actual Over (Under) Financial Plan
RECEIPTS:						
Taxes.....	\$5,574	\$5,389.7	(\$184.3)	\$975	\$1,006.1	\$31.1
Miscellaneous Receipts.....	397	417.3	20.3	1,455	1,375.5	(79.5)
Federal Receipts.....	--	--	--	949	875.6	(73.4)
Bond and Note Proceeds, net.....	--	--	--	142	--	(142.0)
Transfers from Other Funds.....	3,048	3,058.4	10.4	296	297.4	1.4
Total Receipts.....	9,019	8,865.4	(153.6)	3,817	3,554.6	(262.4)
DISBURSEMENTS:						
Local Assistance Grants.....	--	--	--	420	521.9	101.9
Departmental Operations.....	41	24.8	(16.2)	--	--	--
General State Charges.....	--	--	--	--	--	--
Debt Service.....	2,068	1,957.3	(110.7)	--	--	--
Capital Projects.....	--	--	--	3,266	2,866.0	(400.0)
Transfers to Other Funds.....	6,768	6,845.6	77.6	504	506.9	2.9
Total Disbursements.....	8,877	8,827.7	(49.3)	4,190	3,894.8	(295.2)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	142	37.7	(104.3)	(373)	(340.2)	32.8
Fund Balances (Deficit) at April 1.....	298	298.1	0.1	(507)	(507.2)	(0.2)
Fund Balances (Deficit) at September 30.....	\$440	\$335.8	(\$104.2)	(\$880)	(\$847.4)	\$32.6

(*) Source: DOB, 2009-10 Financial Plan First Quarterly Update dated July 30, 2009.

STATE OF NEW YORK
GOVERNMENTAL FUNDS
COMPARATIVE SCHEDULE OF TAX RECEIPTS
(amounts in millions)

EXHIBIT "E"

	GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS				YEAR OVER YEAR	
	MONTH OF SEPT. 2009	6 MOS. ENDED SEPT. 30, 2009	MONTH OF SEPT. 2009	6 MOS. ENDED SEPT. 30, 2009	MONTH OF SEPT. 2009	6 MOS. ENDED SEPT. 30, 2009	MONTH OF SEPT. 2009	6 MOS. ENDED SEPT. 30, 2009	MONTH OF SEPT. 2009	6 MOS. ENDED SEPT. 30, 2009	MONTH OF SEPT. 2008	6 MOS. ENDED SEPT. 30, 2008	\$ Increase / (Decrease)	% Increase / Decrease
PERSONAL INCOME TAX														
Withholding	\$2,023.4	\$12,438.7	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$2,023.4	\$12,438.7	\$2,090.5	\$12,591.1	(\$152.4)	-1.2%
Estimated payments	1,456.5	5,426.8	--	--	--	--	--	--	1,456.5	5,426.8	1,760.8	9,210.0	(3,783.2)	-41.1%
Final returns	40.0	1,400.5	--	--	--	--	--	--	40.0	1,400.5	41.5	2,187.8	(787.3)	-36.0%
State/City Offsets	(18.0)	298.2	--	--	--	--	--	--	(18.0)	298.2	(0.1)	(157.3)	455.5	289.6%
Other (Assessments/LLC)	60.1	470.9	--	--	--	--	--	--	60.1	470.9	58.9	392.7	78.2	19.9%
Gross Receipts	3,562.0	20,035.1	--	--	--	--	--	--	3,562.0	20,035.1	3,951.6	24,224.3	(4,189.2)	-17.3%
Transfers to School Tax Relief Fund	(221.0)	(916.6)	221.0	916.6	--	--	--	--	--	--	--	--	--	--
Transfers to Revenue Bond Tax Fund	(857.7)	(4,015.0)	--	--	857.7	4,015.0	--	--	--	--	--	--	--	--
Less: Refunds Issued	(131.2)	(3,975.2)	--	--	--	--	--	--	(131.2)	(3,975.2)	(110.4)	(3,731.1)	244.1	6.5%
Total	2,352.1	11,128.3	221.0	916.6	857.7	4,015.0	--	--	3,430.8	16,059.9	3,841.2	20,493.2	(4,433.3)	-21.6%
CONSUMPTION / USE TAXES AND FEES														
Sales and Use	794.9	3,720.4	68.0	378.3	264.5	1,235.7	--	--	1,127.4	5,334.4	1,153.4	5,811.7	(477.3)	-8.2%
Auto Rental	--	--	3.6	9.5	--	--	18.4	24.0	22.0	33.5	16.7	34.8	(1.3)	-3.7%
Motor Vehicle	--	--	29.2	128.2	--	--	49.3	301.4	78.5	429.6	23.4	350.5	79.1	22.6%
Cigarette/Tobacco Products	41.7	239.2	87.1	498.6	--	--	--	--	128.8	737.8	140.6	661.8	76.0	11.5%
Motor Fuel	--	--	9.0	53.2	--	--	34.5	202.8	43.5	256.0	37.3	255.4	0.6	0.2%
Alcoholic Beverage	18.4	114.7	--	--	--	--	--	--	18.4	114.7	17.3	107.6	7.1	6.6%
Highway Use	--	--	--	--	--	--	11.9	72.4	11.9	72.4	12.5	74.3	(1.9)	-2.6%
Alcoholic Beverage Control Licenses	4.6	29.1	--	--	--	--	--	--	4.6	29.1	4.0	21.4	7.7	36.0%
Total	859.6	4,103.4	196.9	1,067.8	264.5	1,235.7	114.1	600.6	1,435.1	7,007.5	1,405.2	7,317.5	(310.0)	-4.2%
BUSINESS TAXES														
Corporation Franchise	518.4	1,045.4	82.0	189.5	--	--	--	--	600.4	1,234.9	602.0	1,359.4	(124.5)	-9.2%
Corporation and Utilities	145.6	315.0	46.8	98.4	--	--	5.1	9.0	197.5	422.4	209.4	386.3	36.1	9.3%
Insurance	204.3	509.0	86.4	121.9	--	--	--	--	290.7	630.9	232.5	518.0	112.9	21.8%
Bank	141.5	523.8	24.5	97.9	--	--	--	--	166.0	621.7	400.0	580.5	41.2	7.1%
Petroleum Business	--	--	43.5	253.9	--	--	54.4	316.7	97.9	570.6	79.1	557.5	13.1	2.3%
Total	1,009.8	2,393.2	283.2	761.6	--	--	59.5	325.7	1,352.5	3,480.5	1,523.0	3,401.7	78.8	2.3%
OTHER TAXES														
Real Property Gains	(0.1)	(0.6)	--	--	--	--	--	--	(0.1)	(0.6)	--	0.1	(0.7)	-700.0%
Estate and Gift	136.2	485.6	--	--	--	--	--	--	136.2	485.6	61.0	742.8	(257.2)	-34.6%
Pari-Mutuel	2.5	11.4	--	--	--	--	--	--	2.5	11.4	2.5	12.8	(1.4)	-10.9%
Real Estate Transfer	--	--	--	--	26.3	139.0	20.0	79.8	46.3	218.8	65.6	437.0	(218.2)	-49.9%
Racing and Exhibitions	--	0.2	--	--	--	--	--	--	--	0.2	0.3	0.5	(0.3)	-60.0%
Total	138.6	496.6	--	--	26.3	139.0	20.0	79.8	184.9	715.4	129.4	1,193.2	(477.8)	-40.0%
TOTAL TAX RECEIPTS	\$4,360.1	\$18,121.5	\$701.1	\$2,746.0	\$1,148.5	\$5,389.7	\$193.6	\$1,006.1	\$6,403.3	\$27,263.3	\$6,898.8	\$32,405.6	(\$5,142.3)	-15.9%

**STATE OF NEW YORK
GENERAL FUND
STATEMENT OF CASH FLOW
FISCAL YEAR 2009-2010
(amounts in millions)**

EXHIBIT "F"

	2009						2010						6 Months Ended Sept. 30			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2009	2008	\$ Increase/ (Decrease)	% Increase/ Decrease
OPENING CASH BALANCE	\$1,948.5	\$2,799.2	\$36.7	\$1,027.2	\$1,012.7	\$713.2							\$1,948.5	\$2,754.0	(\$805.5)	-29.2%
RECEIPTS:																
Personal Income Tax	2,866.5	744.3	2,058.2	1,629.6	1,477.6	2,352.1							11,128.3	14,198.4	(3,070.1)	-21.6%
Consumption/Use Taxes and Fees	614.4	593.7	804.1	613.2	618.4	859.6							4,103.4	4,401.2	(297.8)	-6.8%
Business Taxes	61.0	(16.0)	1,195.3	34.6	108.5	1,009.8							2,393.2	2,396.3	(3.1)	-0.1%
Other Taxes	51.0	95.6	64.9	83.0	63.5	138.6							496.6	756.2	(259.6)	-34.3%
Miscellaneous Receipts	81.4	199.7	253.5	(47.2)	192.2	991.7							1,671.3	1,004.8	666.5	66.3%
Federal Receipts	5.2	24.4	--	--	15.6	--							45.2	30.7	14.5	47.2%
Total Receipts	3,679.5	1,641.7	4,376.0	2,313.2	2,475.8	5,351.8	0.0	0.0	0.0	0.0	0.0	0.0	19,838.0	22,787.6	(2,949.6)	-12.94%
DISBURSEMENTS:																
Local Assistance Grants:																
General Purpose	--	11.3	427.4	--	0.2	157.0							595.9	551.6	44.3	8.0%
Education	669.3	2,848.1	2,822.4	236.4	834.8	1,581.3							8,992.3	8,289.1	703.2	8.5%
Social Services:																
Medicaid	805.6	492.0	180.8	628.8	661.4	958.3							3,726.9	4,787.5	(1,060.6)	-22.2%
Other Social Services	83.9	218.3	143.6	529.6	182.0	343.8							1,501.2	1,810.2	(309.0)	-17.1%
Health and Environment	119.9	118.7	110.1	189.5	152.3	136.2							826.7	614.2	212.5	34.6%
Mental Hygiene	13.0	21.7	26.5	28.4	32.7	43.1							165.4	181.4	(16.0)	-8.8%
Transportation	--	13.0	5.1	0.2	22.4	2.3							43.0	63.6	(20.6)	-32.4%
Criminal Justice	11.8	13.6	7.3	8.8	11.5	22.2							75.2	67.0	8.2	12.2%
Emergency Management & Security Services	8.2	2.9	1.4	4.1	0.9	2.6							20.1	10.2	9.9	97.1%
Miscellaneous	42.1	28.3	13.0	35.2	23.0	50.4							192.0	248.9	(56.9)	-22.9%
Total Local Assistance Grants	1,753.8	3,767.9	3,737.6	1,661.0	1,921.2	3,297.2	0.0	0.0	0.0	0.0	0.0	0.0	16,138.7	16,623.7	(485.0)	-2.9%
Departmental Operations:																
Personal Service	747.7	460.2	515.3	607.5	563.1	615.5							3,509.3	3,323.6	185.7	5.6%
Non-Personal Service	213.0	187.5	163.3	148.0	188.5	157.7							1,058.0	1,227.4	(169.4)	-13.8%
General State Charges	386.6	4.1	219.6	268.0	310.3	214.4							1,403.0	2,005.1	(602.1)	-30.0%
Total Disbursements	3,101.1	4,419.7	4,635.8	2,684.5	2,983.1	4,284.8	0.0	0.0	0.0	0.0	0.0	0.0	22,109.0	23,179.8	(1,070.8)	-4.6%
Excess (Deficiency) of Receipts over Disbursements	578.4	(2,778.0)	(259.8)	(371.3)	(507.3)	1,067.0	0.0	0.0	0.0	0.0	0.0	0.0	(2,271.0)	(392.2)	(1,878.8)	-479.0%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	1,148.4	436.5	1,391.8	761.0	373.6	1,250.9							5,362.2	6,622.7	(1,260.5)	-19.0%
Transfers to State Capital Projects	(31.0)	(40.2)	(28.9)	(63.9)	73.2	(108.0)							(198.8)	(503.6)	(304.8)	-60.5%
Transfers to General Debt Service	(487.8)	(91.5)	(30.9)	(14.3)	(36.2)	(257.6)							(918.3)	(955.8)	(37.5)	-3.9%
Transfers to All Other State Funds	(357.3)	(289.3)	(81.7)	(326.0)	(202.8)	(235.2)							(1,492.3)	(1,852.4)	(360.1)	-19.4%
Total Other Financing Sources (Uses)	272.3	15.5	1,250.3	356.8	207.8	650.1	0.0	0.0	0.0	0.0	0.0	0.0	2,752.8	3,310.9	(558.1)	-16.9%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	850.7	(2,762.5)	990.5	(14.5)	(299.5)	1,717.1	0.0	0.0	0.0	0.0	0.0	0.0	481.8	2,918.7	(2,436.9)	-83.5%
CLOSING CASH BALANCE	<u>\$2,799.2</u>	<u>\$36.7</u>	<u>\$1,027.2</u>	<u>\$1,012.7</u>	<u>\$713.2</u>	<u>\$2,430.3</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$2,430.3</u>	<u>\$5,672.7</u>	<u>(\$3,242.4)</u>	<u>-57.2%</u>

STATE OF NEW YORK
GENERAL FUND
CASH FLOW SCHEDULE OF TAX RECEIPTS
FISCAL YEAR 2009-2010
(amounts in millions)

EXHIBIT "F"
TAX RECEIPTS

	6 Months Ended Sept. 30												2009	2008
	2009 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2010 JANUARY	FEBRUARY	MARCH		
PERSONAL INCOME TAX														
Withholdings	\$2,078.6	\$1,987.2	\$2,092.5	\$2,249.9	\$2,007.1	\$2,023.4							\$12,438.7	\$12,591.1
Estimated payments (*)	2,652.3	(96.6)	1,321.3	49.5	43.8	1,456.5							5,426.8	9,210.0
Final returns	1,234.9	40.6	30.7	29.9	24.4	40.0							1,400.5	2,187.8
State/City Offsets	(25.0)	117.7	240.3	(6.9)	(9.9)	(18.0)							298.2	(157.3)
Other (Assessments/LLC)	129.9	68.3	85.8	69.0	57.8	60.1							470.9	392.7
Gross Receipts	6,070.7	2,117.2	3,770.6	2,391.4	2,123.2	3,562.0	0.0	0.0	0.0	0.0	0.0	0.0	20,035.1	24,224.3
Transfers to School Tax Relief Fund	--	--	(695.6)	--	--	(221.0)							(916.6)	(1,171.5)
Transfers to Revenue Bond Tax Fund	(955.5)	(248.1)	(917.9)	(543.2)	(492.6)	(857.7)							(4,015.0)	(5,123.3)
Refunds issued	(2,248.7)	(1,124.8)	(98.9)	(218.6)	(153.0)	(131.2)							(3,975.2)	(3,731.1)
Total Personal Income Tax	2,866.5	744.3	2,058.2	1,629.6	1,477.6	2,352.1	0.0	0.0	0.0	0.0	0.0	0.0	11,128.3	14,198.4
CONSUMPTION/USE TAXES AND FEES														
Sales and Use	551.3	535.0	740.1	543.5	555.6	794.9							3,720.4	4,041.6
Auto Rental	--	--	--	--	--	--							--	--
Motor Vehicle	--	--	--	--	--	--							--	--
Cigarette/Tobacco Products	40.8	36.7	39.9	39.3	40.8	41.7							239.2	230.6
Motor Fuel	--	--	--	--	--	--							--	--
Alcoholic Beverage	16.5	17.8	19.4	25.7	16.9	18.4							114.7	107.6
Highway Use	--	--	--	--	--	--							--	--
Alcoholic Beverage Control Licenses	5.8	4.2	4.7	4.7	5.1	4.6							29.1	21.4
Total Consumption/Use Taxes and Fees	614.4	593.7	804.1	613.2	618.4	859.6	0.0	0.0	0.0	0.0	0.0	0.0	4,103.4	4,401.2
BUSINESS TAXES														
Corporation Franchise	(0.1)	(31.9)	472.7	23.8	62.5	518.4							1,045.4	1,160.3
Corporation and Utilities	28.5	2.3	130.9	0.4	7.3	145.6							315.0	288.0
Insurance	1.7	7.8	264.0	(0.8)	32.0	204.3							509.0	471.3
Bank	30.9	5.8	327.7	11.2	6.7	141.5							523.8	476.7
Petroleum Business	--	--	--	--	--	--							--	--
Total Business Taxes	61.0	(16.0)	1,195.3	34.6	108.5	1,009.8	0.0	0.0	0.0	0.0	0.0	0.0	2,393.2	2,396.3
OTHER TAXES														
Real Property Gains	--	--	--	(0.5)	--	(0.1)							(0.6)	0.1
Estate and Gift	50.1	93.8	62.8	81.7	61.0	136.2							485.6	742.8
Pari-Mutuel	0.8	1.8	2.1	1.7	2.5	2.5							11.4	12.8
Real Estate Transfer	--	--	--	--	--	--							--	--
Racing and Exhibitions	0.1	--	--	0.1	--	--							0.2	0.5
Total Other Taxes	51.0	95.6	64.9	83.0	63.5	138.6	0.0	0.0	0.0	0.0	0.0	0.0	496.6	756.2
TOTAL TAX RECEIPTS	\$3,592.9	\$1,417.6	\$4,122.5	\$2,360.4	\$2,268.0	\$4,360.1	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$18,121.5	\$21,752.1

(*) The Department of Taxation and Finance has implemented a new Empire Processing System which allows for more timely distribution adjustments between NYS, NYC and Yonkers. The negative collections are due to adjustments processed in April 2009. Prior to the onset of this new system these adjustments were not processed until receipt of the final PIT returns which occurred later in the Fiscal Year.

**STATE OF NEW YORK
SPECIAL REVENUE FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2009-2010
(amounts in millions)**

**EXHIBIT "G"
COMBINED**

													6 Months Ended Sept. 30			
	2009 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2010 JANUARY	FEBRUARY	MARCH	2009	2008	\$ Increase/ (Decrease)	% Increase/ Decrease
OPENING CASH BALANCE	\$2,846.4	\$3,139.8	\$2,753.8	\$2,691.4	\$3,098.3	\$3,748.7							\$2,846.4	\$3,878.6	(\$1,032.2)	-26.6%
RECEIPTS:																
Personal Income Tax	--	--	695.6	--	--	221.0							916.6	1,171.5	(254.9)	-21.8%
Consumption/Use Taxes and Fees	210.1	152.1	181.3	163.2	164.2	196.9							1,067.8	1,018.3	49.5	4.9%
Business Taxes	56.5	42.0	244.1	65.2	70.6	283.2							761.6	687.3	74.3	10.8%
Miscellaneous Receipts	1,113.0	1,118.8	1,248.9	1,120.2	1,081.0	1,594.4							7,276.3	6,187.2	1,089.1	17.6%
Federal Receipts	2,837.6	3,219.7	3,079.3	3,828.1	3,565.0	3,219.0							19,748.7	16,291.3	3,457.4	21.2%
Total Receipts	4,217.2	4,532.6	5,449.2	5,176.7	4,880.8	5,514.5	0.0	0.0	0.0	0.0	0.0	0.0	29,771.0	25,355.6	4,415.4	17.4%
DISBURSEMENTS:																
Local Assistance Grants:																
Education	332.3	563.5	1,065.7	252.3	152.5	2,310.3							4,676.6	4,483.0	193.6	4.3%
Social Services:																
Medicaid	2,424.6	2,556.9	2,536.7	2,780.4	2,547.5	3,142.4							15,988.5	11,399.0	4,589.5	40.3%
Other Social Services	59.3	214.8	375.7	298.7	501.6	292.9							1,743.0	1,766.3	(23.3)	-1.3%
Health and Environment	165.3	173.4	212.0	247.6	192.6	388.0							1,378.9	1,616.3	(237.4)	-14.7%
Mental Hygiene	83.0	64.1	135.5	128.0	110.1	129.4							650.1	657.0	(6.9)	-1.1%
Transportation	43.6	294.9	191.0	140.3	316.1	150.7							1,136.6	1,658.2	(521.6)	-31.5%
Criminal Justice	36.3	14.8	28.0	65.9	18.1	17.2							180.3	119.4	60.9	51.0%
Emergency Management & Security Services	8.2	9.5	7.9	23.2	4.1	13.9							66.8	44.7	22.1	49.4%
Miscellaneous	57.6	54.6	49.1	98.1	71.9	112.4							443.7	453.0	(9.3)	-2.1%
Total Local Assistance Grants	3,210.2	3,946.5	4,601.6	4,034.5	3,914.5	6,557.2	0.0	0.0	0.0	0.0	0.0	0.0	26,264.5	22,196.9	4,067.6	18.3%
Departmental Operations:																
Personal Service	562.0	508.8	430.3	528.7	487.9	704.9							3,222.6	2,972.2	250.4	8.4%
Non-Personal Service	285.4	245.6	356.4	278.1	235.0	374.8							1,775.3	1,981.5	(206.2)	-10.4%
General State Charges	82.1	387.7	173.3	24.8	50.6	172.5							891.0	1,197.2	(306.2)	-25.6%
Capital Projects	0.9	0.9	0.9	1.0	0.7	0.9							5.3	4.1	1.2	29.3%
Total Disbursements	4,140.6	5,089.5	5,562.5	4,867.1	4,688.7	7,810.3	0.0	0.0	0.0	0.0	0.0	0.0	32,158.7	28,351.9	3,806.8	13.4%
Excess (Deficiency) of Receipts over Disbursements	76.6	(556.9)	(113.3)	309.6	192.1	(2,295.8)	0.0	0.0	0.0	0.0	0.0	0.0	(2,387.7)	(2,996.3)	608.6	20.3%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	493.2	633.4	238.6	616.7	737.9	527.9							3,247.7	3,377.9	(130.2)	-3.9%
Transfers to Other Funds	(276.4)	(462.5)	(187.7)	(519.4)	(279.6)	(317.2)							(2,042.8)	(1,612.4)	430.4	26.7%
Total Other Financing Sources (Uses)	216.8	170.9	50.9	97.3	458.3	210.7	0.0	0.0	0.0	0.0	0.0	0.0	1,204.9	1,765.5	(560.6)	-31.8%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	293.4	(386.0)	(62.4)	406.9	650.4	(2,085.1)	0.0	0.0	0.0	0.0	0.0	0.0	(1,182.8)	(1,230.8)	48.0	3.9%
CLOSING CASH BALANCE	\$3,139.8	\$2,753.8	\$2,691.4	\$3,098.3	\$3,748.7	\$1,663.6	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$1,663.6	\$2,647.8	(\$984.2)	-37.2%

**STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2009-2010
(amounts in millions)**

**EXHIBIT "G"
STATE**

													6 Months Ended Sept. 30		\$ Increase/ (Decrease)	% Increase/ Decrease	
	2009 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2010 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	2009			2008
RECEIPTS:																	
Personal Income Tax	\$ --	\$ --	\$695.6	\$ --	\$ --	\$221.0							\$ --	\$916.6	\$1,171.5	(\$254.9)	-21.8%
Consumption/Use Taxes and Fees	210.1	152.1	181.3	163.2	164.2	196.9							--	1,067.8	1,018.3	49.5	4.9%
Business Taxes	56.5	42.0	244.1	65.2	70.6	283.2							--	761.6	687.3	74.3	10.8%
Miscellaneous Receipts	1,100.8	1,084.7	1,238.6	1,109.8	1,065.0	1,584.5							--	7,183.4	6,082.0	1,101.4	18.1%
Federal Receipts	--	0.2	--	0.2	--	--							--	0.4	0.1	0.3	300.0%
Total Receipts	1,367.4	1,279.0	2,359.6	1,338.4	1,299.8	2,285.6	0.0	0.0	0.0	0.0	0.0	0.0	--	9,929.8	8,959.2	970.6	10.8%
DISBURSEMENTS:																	
Local Assistance Grants:																	
Education	1.6	240.8	781.9	0.4	0.5	2,223.7							--	3,248.9	2,978.7	270.2	9.1%
Social Services:																	
Medicaid	373.1	516.6	631.1	357.5	289.9	284.6							--	2,452.8	1,612.4	840.4	52.1%
Other Social Services	0.7	0.3	0.2	0.2	0.3	0.4							--	2.1	7.0	(4.9)	-70.0%
Health and Environment	90.2	102.6	116.2	125.8	104.4	313.4							--	852.6	1,047.4	(194.8)	-18.6%
Mental Hygiene	71.4	55.0	129.9	115.0	89.5	122.6							--	583.4	577.3	6.1	1.1%
Transportation	42.5	293.1	188.5	136.7	314.7	149.5							--	1,125.0	1,646.5	(521.5)	-31.7%
Criminal Justice	6.2	5.2	5.7	6.3	4.7	3.5							--	31.6	35.9	(4.3)	-12.0%
Emergency Management & Security Services	(0.1)	0.1	--	--	--	1.2							--	1.2	--	1.2	100.0%
Miscellaneous	25.5	7.4	4.2	49.0	19.4	25.2							--	130.7	222.3	(91.6)	-41.2%
Total Local Assistance Grants	611.1	1,221.1	1,857.7	790.9	823.4	3,124.1	0.0	0.0	0.0	0.0	0.0	0.0	--	8,428.3	8,127.5	300.8	3.7%
Departmental Operations:																	
Personal Service	490.2	459.2	371.6	483.9	443.6	637.8							--	2,886.3	2,659.8	226.5	8.5%
Non-Personal Service	232.9	197.1	303.4	225.5	190.2	252.1							--	1,401.2	1,557.4	(156.2)	-10.0%
General State Charges	70.0	349.3	165.1	24.2	42.8	132.5							--	783.9	1,097.3	(313.4)	-28.6%
Capital Projects	0.9	0.9	0.9	1.0	0.7	0.9							--	5.3	4.1	1.2	29.3%
Total Disbursements	1,405.1	2,227.6	2,698.7	1,525.5	1,500.7	4,147.4	0.0	0.0	0.0	0.0	0.0	0.0	--	13,505.0	13,446.1	58.9	0.4%
Excess (Deficiency) of Receipts over Disbursements	(37.7)	(948.6)	(339.1)	(187.1)	(200.9)	(1,861.8)	0.0	0.0	0.0	0.0	0.0	0.0	--	(3,575.2)	(4,486.9)	911.7	20.3%
OTHER FINANCING SOURCES (USES):																	
Transfers from Other Funds	513.8	706.7	266.5	651.9	775.8	555.0							(222.0)	3,247.7	3,377.9	(130.2)	-3.9%
Transfers to Other Funds	(20.2)	(158.0)	(127.1)	(29.4)	(15.6)	(34.1)							--	(384.4)	(103.5)	280.9	271.4%
Total Other Financing Sources (Uses)	493.6	548.7	139.4	622.5	760.2	520.9	0.0	0.0	0.0	0.0	0.0	0.0	(222.0)	2,863.3	3,274.4	(411.1)	-12.6%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	\$455.9	(\$399.9)	(\$199.7)	\$435.4	\$559.3	(\$1,340.9)	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	(\$222.0)	(\$711.9)	(\$1,212.5)	\$500.6	41.3%

(*) Intra-Fund transfer eliminations represent transfers from Special Revenue-Federal funds.

**STATE OF NEW YORK
SPECIAL REVENUE FUNDS - FEDERAL
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2009-2010
(amounts in millions)**

**EXHIBIT "G"
FEDERAL**

													6 Months Ended Sept. 30				
	2009 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2010 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	2009	2008	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:																	
Personal Income Tax	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --							\$ --	\$ --	\$ --	--	
Consumption/Use Taxes and Fees	--	--	--	--	--	--							--	--	--	--	
Business Taxes	--	--	--	--	--	--							--	--	--	--	
Miscellaneous Receipts	12.2	34.1	10.3	10.4	16.0	9.9							--	92.9	105.2	(12.3)	-11.7%
Federal Receipts	2,837.6	3,219.5	3,079.3	3,827.9	3,565.0	3,219.0							--	19,748.3	16,291.2	3,457.1	21.2%
Total Receipts	2,849.8	3,253.6	3,089.6	3,838.3	3,581.0	3,228.9	0.0	0.0	0.0	0.0	0.0	0.0	--	19,841.2	16,396.4	3,444.8	21.0%
DISBURSEMENTS:																	
Local Assistance Grants:																	
Education	330.7	322.7	283.8	251.9	152.0	86.6							--	1,427.7	1,504.3	(76.6)	-5.1%
Social Services:																	
Medicaid	2,051.5	2,040.3	1,905.6	2,422.9	2,257.6	2,857.8							--	13,535.7	9,786.6	3,749.1	38.3%
Other Social Services	58.6	214.5	375.5	298.5	501.3	292.5							--	1,740.9	1,759.3	(18.4)	-1.0%
Health and Environment	75.1	70.8	95.8	121.8	88.2	74.6							--	526.3	568.9	(42.6)	-7.5%
Mental Hygiene	11.6	9.1	5.6	13.0	20.6	6.8							--	66.7	79.7	(13.0)	-16.3%
Transportation	1.1	1.8	2.5	3.6	1.4	1.2							--	11.6	11.7	(0.1)	-0.9%
Criminal Justice	30.1	9.6	22.3	59.6	13.4	13.7							--	148.7	83.5	65.2	78.1%
Emergency Management & Security Services	8.3	9.4	7.9	23.2	4.1	12.7							--	65.6	44.7	20.9	46.8%
Miscellaneous	32.1	47.2	44.9	49.1	52.5	87.2							--	313.0	230.7	82.3	35.7%
Total Local Assistance Grants	2,599.1	2,725.4	2,743.9	3,243.6	3,091.1	3,433.1	0.0	0.0	0.0	0.0	0.0	0.0	--	17,836.2	14,069.4	3,766.8	26.8%
Departmental Operations:																	
Personal Service	71.8	49.6	58.7	44.8	44.3	67.1							--	336.3	312.4	23.9	7.7%
Non-Personal Service	52.5	48.5	53.0	52.6	44.8	122.7							--	374.1	424.1	(50.0)	-11.8%
General State Charges	12.1	38.4	8.2	0.6	7.8	40.0							--	107.1	99.9	7.2	7.2%
Capital Projects	--	--	--	--	--	--							--	--	--	--	--
Total Disbursements	2,735.5	2,861.9	2,863.8	3,341.6	3,188.0	3,662.9	0.0	0.0	0.0	0.0	0.0	0.0	--	18,653.7	14,905.8	3,747.9	25.1%
Excess (Deficiency) of Receipts over Disbursements	114.3	391.7	225.8	496.7	393.0	(434.0)	0.0	0.0	0.0	0.0	0.0	0.0	--	1,187.5	1,490.6	(303.1)	-20.3%
OTHER FINANCING SOURCES (USES):																	
Transfers from Other Funds	--	--	--	--	--	--							--	--	--	--	--
Transfers to Other Funds	(276.8)	(377.8)	(88.5)	(525.2)	(301.9)	(310.2)							222.0	(1,658.4)	(1,508.9)	149.5	9.9%
Total Other Financing Sources (Uses)	(276.8)	(377.8)	(88.5)	(525.2)	(301.9)	(310.2)	0.0	0.0	0.0	0.0	0.0	0.0	222.0	(1,658.4)	(1,508.9)	(149.5)	-9.9%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(\$162.5)	\$13.9	\$137.3	(\$28.5)	\$91.1	(\$744.2)	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$222.0	(\$470.9)	(\$18.3)	(\$452.6)	-2473.2%

(*) Intra-Fund transfer eliminations represent transfers to Special Revenue-State funds.

STATE OF NEW YORK
SPECIAL REVENUE FUNDS
CASH FLOW SCHEDULE OF TAX RECEIPTS
FISCAL YEAR 2009-2010
(amounts in millions)

EXHIBIT "G"
TAX RECEIPTS

													6 Months Ended Sept. 30	
	2009 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2010 JANUARY	FEBRUARY	MARCH	2009	2008
PERSONAL INCOME TAX	\$ --	\$ --	\$695.6	\$ --	\$ --	\$221.0							\$916.6	\$1,171.5
Total Personal Income Tax	--	--	695.6	--	--	221.0	0.0	0.0	0.0	0.0	0.0	0.0	916.6	1,171.5
CONSUMPTION/USE TAXES AND FEES														
Sales and Use	98.1	49.5	63.5	48.9	50.3	68.0							378.3	427.8
Auto Rental	--	--	1.2	2.4	2.3	3.6							9.5	--
Motor Vehicle	19.9	18.3	23.5	20.4	16.9	29.2							128.2	105.4
Cigarette/Tobacco Products	85.0	74.7	83.6	82.7	85.5	87.1							498.6	431.2
Motor Fuel	7.1	9.6	9.5	8.8	9.2	9.0							53.2	53.9
Alcoholic Beverage	--	--	--	--	--	--							--	--
Highway Use	--	--	--	--	--	--							--	--
Alcoholic Beverage Control Licenses	--	--	--	--	--	--							--	--
Total Consumption/Use Taxes and Fees	210.1	152.1	181.3	163.2	164.2	196.9	0.0	0.0	0.0	0.0	0.0	0.0	1,067.8	1,018.3
BUSINESS TAXES														
Corporation Franchise	0.4	1.4	64.3	22.6	18.8	82.0							189.5	199.1
Corporation and Utilities	8.3	(1.2)	41.2	2.3	1.0	46.8							98.4	89.4
Insurance	0.5	(1.5)	30.1	(0.5)	6.9	86.4							121.9	46.7
Bank	9.3	(0.3)	63.6	1.2	(0.4)	24.5							97.9	103.8
Petroleum Business	38.0	43.6	44.9	39.6	44.3	43.5							253.9	248.3
Total Business Taxes	56.5	42.0	244.1	65.2	70.6	283.2	0.0	0.0	0.0	0.0	0.0	0.0	761.6	687.3
OTHER TAXES														
Real Property Gains	--	--	--	--	--	--							--	--
Estate and Gift	--	--	--	--	--	--							--	--
Pari-Mutuel	--	--	--	--	--	--							--	--
Real Estate Transfer	--	--	--	--	--	--							--	--
Racing and Exhibitions	--	--	--	--	--	--							--	--
Total Other Taxes	--	--	--	--	--	--	0.0	0.0	0.0	0.0	0.0	0.0	--	--
TOTAL TAX RECEIPTS	\$266.6	\$194.1	\$1,121.0	\$228.4	\$234.8	\$701.1	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$2,746.0	\$2,877.1

STATE OF NEW YORK
DEBT SERVICE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2009-2010
(amounts in millions)

EXHIBIT "H"

													6 Months Ended Sept. 30			
	2009 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2010 JANUARY	FEBRUARY	MARCH	2009	2008	\$ Increase/ (Decrease)	% Increase/ Decrease
OPENING CASH BALANCE	\$298.1	\$513.7	\$625.3	\$315.6	\$543.7	\$567.2							\$298.1	\$286.2	\$11.9	4.2%
RECEIPTS:																
Personal Income Tax	955.5	248.1	917.9	543.2	492.6	857.7							4,015.0	5,123.3	(1,108.3)	-21.6%
Consumption/Use Taxes and Fees																
Sales and Use	180.1	178.3	246.9	180.8	185.1	264.5							1,235.7	1,342.3	(106.6)	-7.9%
Other Taxes	29.7	30.2	11.6	17.4	23.8	26.3							139.0	342.2	(203.2)	-59.4%
Miscellaneous Receipts	86.4	35.2	60.1	47.1	66.7	121.8							417.3	398.9	18.4	4.6%
Total Receipts	1,251.7	491.8	1,236.5	788.5	768.2	1,270.3	0.0	0.0	0.0	0.0	0.0	0.0	5,807.0	7,206.7	(1,399.7)	-19.4%
DISBURSEMENTS:																
Departmental Operations:																
Non-Personal Service	7.1	1.3	6.8	0.3	3.0	6.3							24.8	36.4	(11.6)	-31.9%
Debt Service, including payments on financing agreements	552.8	194.4	214.2	85.9	208.7	701.3							1,957.3	1,968.2	(10.9)	-0.6%
Total Disbursements	559.9	195.7	221.0	86.2	211.7	707.6	0.0	0.0	0.0	0.0	0.0	0.0	1,982.1	2,004.6	(22.5)	-1.1%
Excess (Deficiency) of Receipts over Disbursements	691.8	296.1	1,015.5	702.3	556.5	562.7	0.0	0.0	0.0	0.0	0.0	0.0	3,824.9	5,202.1	(1,377.2)	-26.5%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	810.4	425.6	151.1	565.2	363.3	742.8							3,058.4	2,977.5	80.9	2.7%
Transfers to Other Funds (*)	(1,286.6)	(610.1)	(1,476.3)	(1,039.4)	(896.3)	(1,536.9)							(6,845.6)	(8,113.3)	(1,267.7)	-15.6%
Total Other Financing Sources (Uses)	(476.2)	(184.5)	(1,325.2)	(474.2)	(533.0)	(794.1)	0.0	0.0	0.0	0.0	0.0	0.0	(3,787.2)	(5,135.8)	1,348.6	26.3%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	215.6	111.6	(309.7)	228.1	23.5	(231.4)	0.0	0.0	0.0	0.0	0.0	0.0	37.7	66.3	(28.6)	-43.1%
CLOSING CASH BALANCE	\$513.7	\$625.3	\$315.6	\$543.7	\$567.2	\$335.8	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$335.8	\$352.5	(\$16.7)	-4.7%

(*) See Exhibit A, Footnote #5

**STATE OF NEW YORK
CAPITAL PROJECTS FUNDS-COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2009-2010
(amounts in millions)**

**EXHIBIT "I"
COMBINED**

													6 Months Ended Sept. 30			
	2009 APRIL (\$507.2)	MAY (\$494.3)	JUNE (\$531.2)	JULY (\$520.8)	AUGUST (\$598.2)	SEPTEMBER (\$625.9)	OCTOBER	NOVEMBER	DECEMBER	2010 JANUARY	FEBRUARY	MARCH	2009 (\$507.2)	2008 (\$432.8)	\$ Increase/ (Decrease)	% Increase/ Decrease
OPENING CASH BALANCE (DEFICITS)																
RECEIPTS:																
Consumption/Use Taxes and Fees																
Auto Rental	(5.4)	0.1	5.3	5.5	0.1	18.4							24.0	34.8	(10.8)	-31.0%
Motor Vehicle	49.1	47.2	56.4	50.5	48.9	49.3							301.4	245.1	56.3	23.0%
Motor Fuel	27.7	36.5	35.8	33.4	34.9	34.5							202.8	201.5	1.3	0.6%
Highway Use	12.1	10.1	12.1	13.1	13.1	11.9							72.4	74.3	(1.9)	-2.6%
Business Taxes																
Petroleum Business	47.2	54.2	56.3	49.2	55.4	54.4							316.7	309.2	7.5	2.4%
Transmission	0.4	(0.3)	3.4	0.4	--	5.1							9.0	8.9	0.1	1.1%
Other Taxes	--	--	19.9	20.0	19.9	20.0							79.8	94.8	(15.0)	-15.8%
Miscellaneous Receipts	177.5	240.9	213.4	230.1	346.2	167.4							1,375.5	905.3	470.2	51.9%
Federal Receipts	128.4	105.7	138.3	165.9	143.5	193.8							875.6	789.3	86.3	10.9%
Total Receipts	437.0	494.4	540.9	568.1	662.0	554.8	0.0	0.0	0.0	0.0	0.0	0.0	3,257.2	2,663.2	594.0	22.3%
DISBURSEMENTS:																
Local Assistance Grants:																
Education	17.3	16.3	0.8	0.5	2.6	1.9							39.4	6.3	33.1	525.4%
Social Services	--	--	--	--	--	0.2							0.2	--	0.2	100.0%
Health and Environment	2.4	13.7	9.7	5.0	17.5	21.8							70.1	133.8	(63.7)	-47.6%
Mental Hygiene	2.1	6.1	2.8	5.7	6.7	7.1							30.5	58.4	(27.9)	-47.8%
Transportation	31.8	17.4	21.5	95.1	33.5	19.3							218.6	218.6	--	--
Miscellaneous	14.3	15.3	24.3	40.2	27.1	41.9							163.1	139.6	23.5	16.8%
Total Local Assistance Grants	67.9	68.8	59.1	146.5	87.4	92.2	0.0	0.0	0.0	0.0	0.0	0.0	521.9	556.7	(34.8)	-6.3%
Departmental Operations:																
Personal Service	--	--	--	--	--	--							--	--	--	--
Non-Personal Service	--	--	--	--	--	--							--	--	--	--
General State Charges	--	--	--	--	--	--							--	--	--	--
Capital Projects	336.1	455.2	487.5	504.1	465.0	618.1							2,866.0	2,808.7	57.3	2.0%
Total Disbursements	404.0	524.0	546.6	650.6	552.4	710.3	0.0	0.0	0.0	0.0	0.0	0.0	3,387.9	3,365.4	22.5	0.7%
Excess (Deficiency) of Receipts over Disbursements	33.0	(29.6)	(5.7)	(82.5)	109.6	(155.5)	0.0	0.0	0.0	0.0	0.0	0.0	(130.7)	(702.2)	571.5	81.4%
OTHER FINANCING SOURCES (USES):																
Bond Proceeds (net)	--	--	--	--	--	--							--	--	--	--
Transfers from Other Funds	39.3	51.4	77.7	68.6	(67.8)	128.2							297.4	536.1	(238.7)	-44.5%
Transfers to Other Funds	(59.4)	(58.7)	(61.6)	(63.5)	(69.5)	(194.2)							(506.9)	(514.6)	(7.7)	-1.5%
Total Other Financing Sources (Uses)	(20.1)	(7.3)	16.1	5.1	(137.3)	(66.0)	0.0	0.0	0.0	0.0	0.0	0.0	(209.5)	21.5	(231.0)	-1074.4%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	12.9	(36.9)	10.4	(77.4)	(27.7)	(221.5)	0.0	0.0	0.0	0.0	0.0	0.0	(340.2)	(680.7)	340.5	50.0%
CLOSING CASH BALANCE (DEFICITS)	<u>(\$494.3)</u>	<u>(\$531.2)</u>	<u>(\$520.8)</u>	<u>(\$598.2)</u>	<u>(\$625.9)</u>	<u>(\$847.4)</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>(\$847.4)</u>	<u>(\$1,113.5)</u>	<u>\$266.1</u>	<u>23.9%</u>

**STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - STATE
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2009-2010
(amounts in millions)**

**EXHIBIT "I"
STATE**

													6 Months Ended Sept. 30				
	2009 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2010 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	2009	2008	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:																	
Consumption/Use Taxes and Fees																	
Auto Rental	(\$5.4)	\$0.1	\$5.3	\$5.5	\$0.1	\$18.4							\$ --	\$24.0	\$34.8	(\$10.8)	-31.0%
Motor Vehicle	49.1	47.2	56.4	50.5	48.9	49.3							--	301.4	245.1	56.3	23.0%
Motor Fuel	27.7	36.5	35.8	33.4	34.9	34.5							--	202.8	201.5	1.3	0.6%
Highway Use	12.1	10.1	12.1	13.1	13.1	11.9							--	72.4	74.3	(1.9)	-2.6%
Business Taxes																	
Petroleum Business	47.2	54.2	56.3	49.2	55.4	54.4							--	316.7	309.2	7.5	2.4%
Transmission	0.4	(0.3)	3.4	0.4	--	5.1							--	9.0	8.9	0.1	1.1%
Other Taxes	--	--	19.9	20.0	19.9	20.0							--	79.8	94.8	(15.0)	-15.8%
Miscellaneous Receipts	177.5	240.8	213.3	229.9	346.1	167.4							--	1,375.0	904.6	470.4	52.0%
Federal Receipts	--	--	--	--	--	--							--	--	--	--	--
Total Receipts	<u>308.6</u>	<u>388.6</u>	<u>402.5</u>	<u>402.0</u>	<u>518.4</u>	<u>361.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>--</u>	<u>2,381.1</u>	<u>1,873.2</u>	<u>507.9</u>	<u>27.1%</u>
DISBURSEMENTS:																	
Local Assistance Grants:																	
Education	17.3	16.3	0.8	0.5	2.6	1.9							--	39.4	6.3	33.1	525.4%
Social Services	--	--	--	--	--	0.2							--	0.2	--	0.2	100.0%
Health and Environment	2.4	13.7	9.7	5.0	17.5	7.9							--	56.2	130.6	(74.4)	-57.0%
Mental Hygiene	2.1	6.1	2.8	5.7	6.7	7.1							--	30.5	58.4	(27.9)	-47.8%
Transportation	1.5	1.4	2.5	56.2	5.9	2.1							--	69.6	18.8	50.8	270.2%
Miscellaneous	14.3	15.3	24.3	40.2	27.1	41.9							--	163.1	139.6	23.5	16.8%
Total Local Assistance Grants	<u>37.6</u>	<u>52.8</u>	<u>40.1</u>	<u>107.6</u>	<u>59.8</u>	<u>61.1</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>--</u>	<u>359.0</u>	<u>353.7</u>	<u>5.3</u>	<u>1.5%</u>
Departmental Operations:																	
Personal Service	--	--	--	--	--	--							--	--	--	--	--
Non-Personal Service	--	--	--	--	--	--							--	--	--	--	--
General State Charges	--	--	--	--	--	--							--	--	--	--	--
Capital Projects	279.3	391.4	362.7	374.6	342.3	487.3							--	2,237.6	2,241.2	(3.6)	-0.2%
Total Disbursements	<u>316.9</u>	<u>444.2</u>	<u>402.8</u>	<u>482.2</u>	<u>402.1</u>	<u>548.4</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>--</u>	<u>2,596.6</u>	<u>2,594.9</u>	<u>1.7</u>	<u>0.1%</u>
Excess (Deficiency) of Receipts over Disbursements	<u>(8.3)</u>	<u>(55.6)</u>	<u>(0.3)</u>	<u>(80.2)</u>	<u>116.3</u>	<u>(187.4)</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>--</u>	<u>(215.5)</u>	<u>(721.7)</u>	<u>506.2</u>	<u>70.1%</u>
OTHER FINANCING SOURCES (USES):																	
Bond Proceeds (net)	--	--	--	--	--	--							--	--	--	--	--
Transfers from Other Funds	39.3	51.4	77.7	135.5	(67.8)	128.2							(66.9)	297.4	536.1	(238.7)	-44.5%
Transfers to Other Funds	(59.4)	(58.7)	(61.6)	(63.5)	(69.5)	(194.2)							--	(506.9)	(510.3)	(3.4)	-0.7%
Total Other Financing Sources (Uses)	<u>(20.1)</u>	<u>(7.3)</u>	<u>16.1</u>	<u>72.0</u>	<u>(137.3)</u>	<u>(66.0)</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>(66.9)</u>	<u>(209.5)</u>	<u>25.8</u>	<u>(235.3)</u>	<u>-912.0%</u>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	<u>(\$28.4)</u>	<u>(\$62.9)</u>	<u>\$15.8</u>	<u>(\$8.2)</u>	<u>(\$21.0)</u>	<u>(\$253.4)</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>(\$66.9)</u>	<u>(\$425.0)</u>	<u>(\$695.9)</u>	<u>\$270.9</u>	<u>38.9%</u>

(*) Intra-Fund transfer eliminations represent transfers from Capital Projects-Federal funds.

**STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - FEDERAL
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2009-2010
(amounts in millions)**

**EXHIBIT "I"
FEDERAL**

													6 Months Ended Sept. 30				
	2009 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2010 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	2009	2008	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:																	
Miscellaneous Receipts	\$ --	\$0.1	\$0.1	\$0.2	\$0.1	\$ --							\$ --	\$0.5	\$0.7	(\$0.2)	-28.6%
Federal Receipts	128.4	105.7	138.3	165.9	143.5	193.8							--	875.6	789.3	86.3	10.9%
Total Receipts	128.4	105.8	138.4	166.1	143.6	193.8	0.0	0.0	0.0	0.0	0.0	0.0	--	876.1	790.0	86.1	10.9%
DISBURSEMENTS:																	
Local Assistance Grants:																	
Education	--	--	--	--	--	--							--	--	--	--	--
Social Services	--	--	--	--	--	--							--	--	--	--	--
Health and Environment	--	--	--	--	--	13.9							--	13.9	3.2	10.7	334.4%
Mental Hygiene	--	--	--	--	--	--							--	--	--	--	--
Transportation	30.3	16.0	19.0	38.9	27.6	17.2							--	149.0	199.8	(50.8)	-25.4%
Miscellaneous	--	--	--	--	--	--							--	--	--	--	--
Total Local Assistance Grants	30.3	16.0	19.0	38.9	27.6	31.1	0.0	0.0	0.0	0.0	0.0	0.0	--	162.9	203.0	(40.1)	-19.8%
Departmental Operations:																	
Personal Service	--	--	--	--	--	--							--	--	--	--	--
Non-Personal Service	--	--	--	--	--	--							--	--	--	--	--
General State Charges	--	--	--	--	--	--							--	--	--	--	--
Capital Projects	56.8	63.8	124.8	129.5	122.7	130.8							--	628.4	567.5	60.9	10.7%
Total Disbursements	87.1	79.8	143.8	168.4	150.3	161.9	0.0	0.0	0.0	0.0	0.0	0.0	--	791.3	770.5	20.8	2.7%
Excess (Deficiency) of Receipts over Disbursements	41.3	26.0	(5.4)	(2.3)	(6.7)	31.9	0.0	0.0	0.0	0.0	0.0	0.0	--	84.8	19.5	65.3	334.9%
OTHER FINANCING SOURCES (USES):																	
Transfers from Other Funds	--	--	--	--	--	--							--	--	--	--	--
Transfers to Other Funds	--	--	--	(66.9)	--	--							66.9	--	(4.3)	(4.3)	-100.0%
Total Other Financing Sources (Uses)	--	--	--	(66.9)	--	--	0.0	0.0	0.0	0.0	0.0	0.0	66.9	--	(4.3)	4.3	100.0%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	\$41.3	\$26.0	(\$5.4)	(\$69.2)	(\$6.7)	\$31.9	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$66.9	\$84.8	\$15.2	\$69.6	457.9%

(*) Intra-Fund transfer eliminations represent transfers to Capital Projects-State funds.

**STATE OF NEW YORK
ENTERPRISE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2009-2010
(amounts in millions)**

EXHIBIT J

													6 Months Ended Sept. 30	
	2009 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2010 JANUARY	FEBRUARY	MARCH	2009	2008
BEGINNING FUND EQUITY (DEFICITS)	(\$55.1)	\$16.6	\$19.2	(\$59.8)	\$22.0	(\$86.4)							(\$55.1)	(\$9.9)
RECEIPTS:														
Miscellaneous Receipts	5.3	4.4	5.5	4.6	5.3	9.6							34.7	36.9
Federal Receipts (*) (**)	294.2	284.7	501.5	492.7	558.8	492.1							2,624.0	299.2
Unemployment Taxes	492.9	415.2	283.4	369.7	218.9	401.5							2,181.6	1,240.8 (***)
Total Receipts	792.4	704.3	790.4	867.0	783.0	903.2	0.0	0.0	0.0	0.0	0.0	0.0	4,840.3	1,576.9
DISBURSEMENTS:														
Departmental Operations:														
Personal Service	0.6	0.3	0.4	0.4	0.3	2.1							4.1	2.9
Non-Personal Service	3.0	3.8	3.8	4.6	5.6	6.9							27.7	29.1
General State Charges	0.1	0.3	0.1	0.1	--	0.2							0.8	0.6
Unemployment Benefits (**)	717.0	697.3	865.1	780.1	885.5	817.5							4,762.5	1,537.1 (***)
Total Disbursements	720.7	701.7	869.4	785.2	891.4	826.7	0.0	0.0	0.0	0.0	0.0	0.0	4,795.1	1,569.7
Excess (Deficiency) of Receipts over Disbursements	71.7	2.6	(79.0)	81.8	(108.4)	76.5	0.0	0.0	0.0	0.0	0.0	0.0	45.2	7.2
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	--	--	--	--	--	--							--	--
Transfers to Other Funds	--	--	--	--	--	--							--	--
Total Other Financing Sources (Uses)	--	--	--	--	--	--	0.0	0.0	0.0	0.0	0.0	0.0	--	--
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	71.7	2.6	(79.0)	81.8	(108.4)	76.5	0.0	0.0	0.0	0.0	0.0	0.0	45.2	7.2
CLOSING CASH BALANCE	\$16.6	\$19.2	(\$59.8)	\$22.0	(\$86.4)	(\$9.9)	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	(\$9.9)	(\$2.7)

(*) Due to economic downturn, Governor Patterson signed the Emergency Unemployment Compensation agreement on July 2, 2008, which extended unemployment compensation benefits and resulted in the increase of the Federal Receipts \$149 million after the American Recovery and Reinvestment Act disbursements.

(**) A summary of American Recovery and Reinvestment Act (ARRA) disbursements is located in Appendix C.

(***) The increase in Unemployment Taxes and Benefits is due to an increase in unemployment claims filed because of the economic downturn and a change in accounting treatment of Federal Withholding Taxes deducted from Unemployment Benefits. To provide greater transparency and accountability, Federal Withholding Taxes deducted from Unemployment Benefits will be added to Unemployment Benefits paid and Unemployment Taxes received rather than as a reduction of Unemployment Taxes received. To implement this change, Unemployment Taxes and Benefits were increased by \$11.5 million for the month of September 2008 and \$62.3 million for the 6 months ended in September 2008 to reflect Withholding Taxes deducted for comparative purposes.

**STATE OF NEW YORK
INTERNAL SERVICE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2009-2010
(amounts in millions)**

EXHIBIT K

													6 Months Ended Sept. 30	
	2009 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2010 JANUARY	FEBRUARY	MARCH	2009	2008
BEGINNING FUND EQUITY (DEFICITS)	\$27.4	\$36.3	\$20.9	\$9.5	\$4.5	(\$6.2)							\$27.4	(\$8.3)
RECEIPTS:														
Miscellaneous Receipts	43.8	31.3	36.9	30.5	33.2	25.0							200.7	238.8
Total Receipts	43.8	31.3	36.9	30.5	33.2	25.0	0.0	0.0	0.0	0.0	0.0	0.0	200.7	238.8
DISBURSEMENTS:														
Departmental Operations:														
Personal Service	13.1	9.0	8.7	9.6	8.8	12.7							61.9	60.7
Non-Personal Service	27.3	33.4	47.7	40.9	36.9	28.8							215.0	214.2
General State Charges	1.7	9.5	--	--	2.4	10.5							24.1	26.5
Total Disbursements	42.1	51.9	56.4	50.5	48.1	52.0	0.0	0.0	0.0	0.0	0.0	0.0	301.0	301.4
Excess (Deficiency) of Receipts over Disbursements	1.7	(20.6)	(19.5)	(20.0)	(14.9)	(27.0)	0.0	0.0	0.0	0.0	0.0	0.0	(100.3)	(62.6)
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	7.2	5.2	8.1	15.0	4.2	8.3							48.0	39.9
Transfers to Other Funds	--	--	--	--	--	(4.0)							(4.0)	(2.0)
Total Other Financing Sources (Uses)	7.2	5.2	8.1	15.0	4.2	4.3	0.0	0.0	0.0	0.0	0.0	0.0	44.0	37.9
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	8.9	(15.4)	(11.4)	(5.0)	(10.7)	(22.7)	0.0	0.0	0.0	0.0	0.0	0.0	(56.3)	(24.7)
ENDING FUND EQUITY(DEFICITS)	\$36.3	\$20.9	\$9.5	\$4.5	(\$6.2)	(\$28.9)	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	(\$28.9)	(\$33.0)

**STATE OF NEW YORK
PRIVATE PURPOSE TRUST FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2009-2010
(amounts in millions)**

EXHIBIT L

	2009						2010						6 Months Ended Sept. 30	
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2009	2008
OPENING CASH BALANCE	\$9.9	\$10.1	\$10.1	\$10.1	\$10.2	\$10.2							\$9.9	\$9.4
RECEIPTS:														
Miscellaneous Receipts	0.2	0.1	--	0.1	--	0.1							0.5	0.5
Total Receipts	0.2	0.1	--	0.1	--	0.1	0.0	0.0	0.0	0.0	0.0	0.0	0.5	0.5
DISBURSEMENTS:														
Departmental Operations:														
Personal Service	--	0.1	--	--	--	0.1							0.2	0.2
Non-Personal Service	--	--	--	--	--	--							--	--
General State Charges	--	--	--	--	--	--							--	--
Total Disbursements	--	0.1	--	--	--	0.1	0.0	0.0	0.0	0.0	0.0	0.0	0.2	0.2
Excess (Deficiency) of Receipts over Disbursements	0.2	--	--	0.1	--	--	0.0	0.0	0.0	0.0	0.0	0.0	0.3	0.3
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	--	--	--	--	--	--							--	--
Transfers to Other Funds	--	--	--	--	--	--							--	--
Total Other Financing Sources (Uses)	--	--	--	--	--	--	0.0	0.0	0.0	0.0	0.0	0.0	--	--
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	0.2	--	--	0.1	--	--	0.0	0.0	0.0	0.0	0.0	0.0	0.3	0.3
CLOSING CASH BALANCE	<u>\$10.1</u>	<u>\$10.1</u>	<u>\$10.1</u>	<u>\$10.2</u>	<u>\$10.2</u>	<u>\$10.2</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$10.2</u>	<u>\$9.7</u>

**STATE OF NEW YORK
PENSION TRUST FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2009-2010
(amounts in millions)**

EXHIBIT M

	2009						2010						6 Months Ended Sept. 30	
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2009	2008
OPENING CASH BALANCE	(\$0.1)	(\$0.3)	(\$0.1)	(\$1.7)	\$0.1	\$ --							(\$0.1)	\$ --
RECEIPTS:														
Miscellaneous Receipts	15.4	5.0	6.8	6.9	4.4	15.3							53.8	50.3
Total Receipts	15.4	5.0	6.8	6.9	4.4	15.3	0.0	0.0	0.0	0.0	0.0	0.0	53.8	50.3
DISBURSEMENTS:														
Departmental Operations:														
Personal Service	6.0	4.0	4.5	4.0	4.0	6.0							28.5	24.7
Non-Personal Service	2.0	0.8	3.7	1.1	0.5	3.2							11.3	15.7
General State Charges	7.6	--	0.2	--	--	6.1							13.9	10.2
Total Disbursements	15.6	4.8	8.4	5.1	4.5	15.3	0.0	0.0	0.0	0.0	0.0	0.0	53.7	50.6
Excess (Deficiency) of Receipts over Disbursements	(0.2)	0.2	(1.6)	1.8	(0.1)	--	0.0	0.0	0.0	0.0	0.0	0.0	0.1	(0.3)
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	--	--	--	--	--	--							--	--
Transfers to Other Funds	--	--	--	--	--	--							--	--
Total Other Financing Sources (Uses)	--	--	--	--	--	--	0.0	0.0	0.0	0.0	0.0	0.0	--	--
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	(0.2)	0.2	(1.6)	1.8	(0.1)	--	0.0	0.0	0.0	0.0	0.0	0.0	0.1	(0.3)
CLOSING CASH BALANCE	(\$0.3)	(\$0.1)	(\$1.7)	\$0.1	\$ --	\$ --	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$ --	(\$0.3)

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FOR THE MONTH OF SEPTEMBER 2009
(amounts in millions)

SCHEDULE 1

	BALANCE 9/1/09	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE 9/30/09
GENERAL FUND					
001-Local Assistance Account	\$ --	\$0.141	\$3,283.848	\$3,283.707	\$ --
003-State Operations Account	599.565	5,062.959	696.901	(2,643.662)	2,321.961
004-Tax Stabilization Reserve	--	--	--	--	--
005-Contingency Reserve	--	--	--	--	--
006-Universal Pre-K Reserve	--	--	--	--	--
007-Community Projects	111.626	--	13.298	10.000	108.328
008-Rainy Day Reserve Fund	--	--	--	--	--
013-Attica State Employee Victims'	2.000	--	2.000	--	--
017-Refund Reserve Account	--	--	--	--	--
166-Fringe Benefits Escrow	--	288.770	288.770	--	--
348-Tobacco Revenue Guarantee	--	--	--	--	--
TOTAL GENERAL FUND	713.191	5,351.870	4,284.817	650.045	2,430.289
SPECIAL REVENUE FUNDS-STATE					
019-Mental Health Gifts and Donations	2.302	0.003	0.003	--	2.302
020-Combined Expendable Trust	72.885	0.684	2.152	0.750	72.167
023-New York Interest on Lawyer Account	13.826	0.588	0.233	--	14.181
024-NYS Archives Partnership Trust	0.267	--	0.033	(0.013)	0.221
025-Child Performer's Protection	0.134	0.005	0.017	--	0.122
050-Tuition Reimbursement	4.074	0.406	0.183	--	4.297
052-New York State Local Government Records Management Improvement	4.732	1.001	0.486	(0.111)	5.136
053-School Tax Relief	2.536	221.041	221.036	--	2.541
054-Charter Schools Stimulus	2.702	0.001	0.224	--	2.479
055-Not-For-Profit Short Term Revolving Loan	--	--	--	--	--
056-Hudson River Valley Greenway	0.001	--	--	--	0.001
059-Rehabilitative Alcohol & Substance Abuse Treatment	0.019	--	--	--	0.019
061-HCRA Resources	277.199	486.883	298.850	(22.853)	442.379
073-Dedicated Mass Transportation Trust	84.130	57.418	54.314	--	87.234
160-State Lottery	812.175	265.572	2,015.225	--	(937.478)
221-Combined Student Loan	25.057	1.974	2.492	--	24.539
225-MTA Financial Assistance Fund	6.258	10.594	--	--	16.852
300-Sewage Treatment Program Mgmt. & Administration	(2.560)	2.500	0.788	--	(0.848)
301-EnCon Special Revenue	(7.412)	9.038	8.093	1.015	(5.452)
302-Conservation	31.698	20.084	2.194	--	49.588
303-Environmental Protection and Oil Spill Compensation	1.008	4.036	2.425	--	2.619
305-Training and Education Program on OSHA	3.348	10.755	3.400	--	10.703
306-Lawyers' Fund for Client Protection	4.098	0.715	0.060	--	4.753
307-Equipment Loan for the Disabled	0.516	0.003	0.001	--	0.518
313-Mass Transportation Operating Assistance	95.545	268.057	95.528	5.357	273.431
314-Clean Air	(5.657)	6.046	3.965	--	(3.576)
318-New York State Infrastructure Trust	0.066	--	--	--	0.066
321-Legislative Computer Services	10.253	0.074	1.283	--	9.044
328-Biodiversity Stewardship and Research	--	--	--	--	--
332-Combined Non-Expendable Trust	7.122	0.002	--	--	7.124
333-Winter Sports Education Trust	1.181	--	--	--	1.181
335-Musical Instrument Revolving	0.001	--	--	--	0.001
337-Rural Housing Assistance	--	--	--	--	--
338-Arts Capital Revolving	0.695	0.001	--	--	0.696
339-Miscellaneous State Special Revenue	1,165.435	354.360	986.393	481.035	1,014.437

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FOR THE MONTH OF SEPTEMBER 2009
(amounts in millions)

SCHEDULE 1
(continued)

	BALANCE 9/1/09	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE 9/30/09
<u>SPECIAL REVENUE FUNDS-STATE (CONTINUED)</u>					
340-Court Facilities Incentive Aid	17.517	0.007	23.344	21.000	15.180
341-Employment Training	0.183	--	--	--	0.183
342-Homeless Housing and Assistance	--	--	--	--	--
345-State University Income	756.456	502.856	355.127	34.678	938.863
346-Chemical Dependence Service	6.632	1.062	--	--	7.694
349-Lake George Park Trust	1.551	0.020	0.098	--	1.473
354-State Police Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention	(15.290)	11.372	26.463	--	(30.381)
355-New York Great Lakes Protection	1.688	--	0.010	--	1.678
359-Federal Revenue Maximization	0.061	--	--	--	0.061
360-Housing Development	10.912	0.006	0.478	--	10.440
362-NYS/DOT Highway Safety Program	(1.608)	0.844	0.378	--	(1.142)
365-Vocational Rehabilitation	0.107	0.016	0.014	--	0.109
366-Drinking Water Program Management and Administration	(4.588)	2.551	0.687	--	(2.724)
368-NYC County Clerks' Operations Offset	(21.422)	--	2.671	--	(24.093)
369-Judiciary Data Processing Offset	6.230	1.789	2.051	--	5.968
377-IFR / CUTRA	70.088	37.661	30.388	--	77.361
383-Supplemental Jury Facilities	--	--	--	--	--
385-USOC Lake Placid Training	0.004	0.002	--	--	0.006
390-Indigent Legal Services	27.345	5.035	6.250	--	26.130
482-Unemployment Insurance Interest and Penalty	11.748	0.593	0.117	--	12.224
TOTAL SPECIAL REVENUE FUNDS-STATE	3,481.248	2,285.655	4,147.454	520.858	2,140.307
<u>SPECIAL REVENUE FUNDS-FEDERAL</u>					
261-Federal USDA / Food and Consumer Services	(2.628)	90.022	92.668	(0.093)	(5.367)
265-Federal Health and Human Services	(56.066)	2,814.107	3,226.874	(306.877)	(775.710)
267-Federal Education	(4.225)	131.299	170.312	(1.849)	(45.087)
269-Federal DHHS Block Grant	0.132	5.761	6.370	(0.122)	(0.599)
290-Federal Miscellaneous Operating Grants	234.167	109.501	83.155	(1.287)	259.226
480-Unemployment Insurance Administration	99.101	38.801	46.922	--	90.980
484-Unemployment Insurance Occupational Training	0.162	--	0.096	--	0.066
486-Federal Employment and Training Grants	(3.164)	39.414	36.467	--	(0.217)
TOTAL SPECIAL REVENUE FUNDS-FEDERAL	267.479	3,228.905	3,662.864	(310.228)	(476.708)
TOTAL SPECIAL REVENUE FUNDS	3,748.727	5,514.560	7,810.318	210.630	1,663.599
<u>DEBT SERVICE FUNDS</u>					
064-Debt Reduction Reserve	--	--	--	--	--
065-State University Educational Facilities	--	--	--	--	--
304-Mental Health Services	58.764	26.499	--	19.392	104.655
311-General Debt Service	280.529	857.714	651.759	(485.943)	0.541
315-Grade Crossing Elimination Debt Service	--	--	--	--	--
316-State Housing Debt Service	--	1.402	--	(1.402)	--
319-Department of Health Income	25.912	13.652	--	(8.109)	31.455
330-State University Dormitory Income	121.136	80.321	--	(21.088)	180.369
361-Clean Water/Clean Air	6.957	26.316	--	(23.876)	9.397
364-Local Government Assistance Tax	73.866	264.483	55.820	(273.100)	9.429
TOTAL DEBT SERVICE FUNDS	567.164	1,270.387	707.579	(794.126)	335.846

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FOR THE MONTH OF SEPTEMBER 2009
(amounts in millions)

SCHEDULE 1
(continued)

	BALANCE 9/1/09	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE 9/30/09
CAPITAL PROJECTS FUNDS					
002-State Capital Projects	--	88.861	233.303	144.442	--
072-Dedicated Highway and Bridge Trust	(74.790)	232.983	252.668	(193.793)	(288.268)
074-SUNY Residence Halls Rehabilitation and Repair	80.556	3.998	3.682	1.000	81.872
075-New York State Canal System Development	2.250	0.589	0.666	--	2.173
076-Parks Infrastructure	(38.837)	0.012	4.359	(0.118)	(43.302)
077-Passenger Facility Charge	0.014	--	--	--	0.014
078-Environmental Protection	33.225	20.906	10.698	--	43.433
079-Clean Water/Clean Air Implementation	(0.660)	--	--	--	(0.660)
080-Hudson River Park	0.088	--	--	--	0.088
101-Energy Conservation Thru Improved Transportation Bond	0.164	--	--	--	0.164
103-Park & Recreation Land Acquisition Bond	--	--	--	--	--
105-Pure Waters Bond	--	--	--	--	--
106-Outdoor Recreation Development Bond	--	--	--	--	--
109-Transportation Capital Facilities Bond	3.392	--	--	--	3.392
115-Environmental Quality Protection Bond	2.010	--	--	(0.108)	1.902
118-Rail Preservation and Development Bond	--	--	--	--	--
119-State Housing Bond	--	--	--	--	--
121-Rebuild and Renew New York Transportation Bond	87.876	--	--	(16.562)	71.314
123-Transportation Infrastructure Renewal Bond	5.378	--	--	(0.002)	5.376
124-1986 Environmental Quality Bond Act	15.931	--	--	--	15.931
126-Accelerated Capacity and Transportation Improvement Bond	4.308	--	--	--	4.308
127-Clean Water/Clean Air Bond	13.080	--	--	(0.697)	12.383
291-Federal Capital Projects	(310.798)	193.888	161.945	--	(278.855)
310-Forest Preserve Expansion	0.890	--	--	--	0.890
312-Hazardous Waste Remedial	(74.681)	3.168	5.767	(0.241)	(77.521)
317-Pine Barrens	--	--	--	--	--
322-Lake Champlain Bridges	--	--	--	--	--
327-Suburban Transportation	0.502	--	--	--	0.502
357-Division for Youth Facilities Improvement	(1.847)	--	3.074	--	(4.921)
358-Youth Centers Facility	--	--	--	--	--
374-Housing Assistance	(18.219)	0.145	--	--	(18.074)
376-Housing Program	(90.995)	3.379	--	--	(87.616)
378-Natural Resource Damage	22.642	0.007	0.048	--	22.601
380-DOT Engineering Services	(12.591)	--	0.623	--	(13.214)
384-State University Capital Projects	114.533	1.680	2.746	--	113.467
387-Miscellaneous Capital Projects	23.864	0.414	0.823	--	23.455
388-CUNY Capital Projects	(0.022)	--	--	--	(0.022)
389-Mental Hygiene Facilities Capital Improvement	(393.281)	4.783	9.960	0.100	(398.358)
399-Correction Facilities Capital Improvement	(19.892)	--	19.998	--	(39.890)
TOTAL CAPITAL PROJECTS FUNDS	(625.910)	554.813	710.360	(65.979)	(847.436)
TOTAL GOVERNMENTAL FUNDS	\$4,403.172	\$12,691.630	\$13,513.074	\$0.570	\$3,582.298

STATE OF NEW YORK
 PROPRIETARY FUNDS
 SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND EQUITY
 FOR THE MONTH OF SEPTEMBER 2009
 (amounts in millions)

SCHEDULE 2

<u>FUND TYPE</u>	<u>FUND EQUITY 9/1/09</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER FINANCING SOURCES (USES)</u>	<u>FUND EQUITY 9/30/09</u>
<u>ENTERPRISE FUNDS</u>					
324-Youth Commissary	\$0.223	\$0.006	\$0.013	\$ --	\$0.216
325-State Exposition Special	1.797	5.398	5.788	--	1.407
326-Correctional Services Commissary	1.571	3.010	2.225	--	2.356
331-Agency Enterprise	3.460	0.464	0.240	--	3.684
351-Sheltered Workshop	1.832	0.122	0.148	--	1.806
352-Patient Workshop	1.127	0.069	0.088	--	1.108
353-Mental Hygiene Community Stores	2.407	0.125	0.156	--	2.376
450-Industrial Exhibit Authority	0.795	0.397	0.623	--	0.569
481-Unemployment Insurance Benefit	(99.584)	893.595	817.479	--	(23.468)
TOTAL ENTERPRISE FUNDS	(86.372)	903.186	826.760	--	(9.946)
<u>INTERNAL SERVICE FUNDS</u>					
323-O.G.S. Centralized Services	25.491	8.610	17.058	0.219	17.262
334-Agency Internal Service	(4.686)	10.702	26.991	4.176	(16.799)
343-Mental Hygiene Revolving	0.868	0.056	0.222	--	0.702
347-Youth Vocational Education	0.057	0.001	0.002	--	0.056
394-Joint Labor/Management Administration	1.034	--	0.108	--	0.926
395-Audit and Control Revolving	(0.397)	--	0.124	--	(0.521)
396-Health Insurance Revolving	(19.114)	1.990	1.355	--	(18.479)
397-Correctional Industries Revolving	(9.500)	3.723	6.281	--	(12.058)
TOTAL INTERNAL SERVICE FUNDS	(6.247)	25.082	52.141	4.395	(28.911)
TOTAL PROPRIETARY FUNDS	(\$92.619)	\$928.268	\$878.901	\$4.395	(\$38.857)

STATE OF NEW YORK
 FIDUCIARY FUNDS
 SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
 FOR THE MONTH OF SEPTEMBER 2009
 (amounts in millions)

SCHEDULE 3

<u>FUND TYPE</u>	<u>FUND BALANCE 9/1/09</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER FINANCING SOURCES (USES)</u>	<u>FUND BALANCE 9/30/09</u>
<u>PENSION TRUST FUNDS</u>					
400-Common Retirement-Administration	(\$0.009)	\$15.250	\$15.279	\$ --	(\$0.038)
TOTAL PENSION TRUST FUNDS	(0.009)	15.250	15.279	--	(0.038)
<u>PRIVATE PURPOSE TRUST FUNDS</u>					
021-Agriculture Producers' Security	2.714	--	0.031	--	2.683
022-Milk Producers' Security	7.465	0.103	0.048	--	7.520
TOTAL PRIVATE PURPOSE TRUST FUNDS	10.179	0.103	0.079	--	10.203
<u>AGENCY FUNDS</u>					
129-Private Not-For-Profit School Capital Facilities Financing Reserve	--	--	--	--	--
130-School Capital Facilities Financing Reserve	34.986	0.746	--	--	35.732
135-Child Performer's Holding	0.065	--	--	--	0.065
136-Child Performer's Holding II	0.039	0.001	--	--	0.040
152-Employees Health Insurance (*)	633.648	465.680	539.931	--	559.397
153-Social Security Contribution	26.121	83.339	109.319	--	0.141
154-Employee Payroll Withholding Escrow	114.376	307.495	389.511	--	32.360
162-Employees Dental Insurance	19.796	6.917	7.630	--	19.083
163-Management Confidential Group Insurance	1.473	0.610	0.851	--	1.232
165-Lottery Prize	146.276	153.466	62.384	(99.815)	137.543
167-Health Insurance Reserve Receipts	0.077	0.003	--	--	0.080
169-Miscellaneous New York State Agency	590.364	47.258	18.065	(4.966)	614.591
175-Elderly Pharmaceutical Insurance Coverage Escrow	3.097	33.482	33.700	--	2.879
176-CUNY Senior College Operating	43.341	119.043	93.471	--	68.913
179-Medicaid Management Information System Escrow	169.151	4,458.846	3,622.032	--	1,005.965
309-Special Education	--	--	--	--	--
344-State University Collection	439.494	(212.126)	--	--	227.368
382-SUNY Federal Direct Lending Program	(18.412)	16.927	--	--	(1.485)
TOTAL AGENCY FUNDS	2,203.892	5,481.687	4,876.894	(104.781)	2,703.904
TOTAL FIDUCIARY FUNDS	\$2,214.062	\$5,497.040	\$4,892.252	(\$104.781)	\$2,714.069

(*) The Health Insurance Fund - Reserve for Rate Fluctuations Account, holds health insurance dividends which are used to offset the State's contribution for employee health insurance premiums. As of September 30, 2009, the Account had a balance of \$549.1m but only \$156.2m in appropriation authority was available to 'offset' the State's contribution for employee health insurance. This will leave a balance of \$392.9m in available cash for future 'offset' or refunds to participating employees and pensioners.

STATE OF NEW YORK
SOLE CUSTODY AND INVESTMENT ACCOUNTS
STATEMENT OF CASH RECEIPTS AND DISBURSEMENTS
FOR THE MONTH OF SEPTEMBER 2009
(amounts in millions)

SCHEDULE 4

<u>FUND TYPE</u>	<u>BEGINNING BALANCE 9/1/09</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>ENDING BALANCE 9/30/09</u>
<u>ACCOUNTS</u>				
060-Tobacco Settlement	\$2.685	\$0.001	\$ --	\$2.686
149-Sole Custody Investment (*)	1,350.455	1,688.014	1,342.325	1,696.144
650-Comptroller's Refund	--	87.854	87.854	--
750-NYS Thruway Authority Operating	0.169	--	--	0.169
TOTAL ACCOUNTS	<u>\$1,353.309</u>	<u>\$1,775.869</u>	<u>\$1,430.179</u>	<u>\$1,698.999</u>

(*) Includes Public Asset Fund resources

Chapter 1 of the Laws of 2002 authorized the conversion of Empire Health Choice, d/b/a Empire Blue Cross and Blue Shield from a not-for-profit corporation to a for-profit corporation. Chapter 1 requires, in part, that upon such conversion, assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to a fund designated as the "Public Asset Fund" and 5 percent transferred to a Charitable Foundation - as set forth in (s) 7317 of the Insurance Law. On December 28, 2005, Wellchoice, Inc. (previously known as Empire Blue Cross, Blue Shield) approved a takeover by Wellpoint, Inc. This conversion was also subject to the same Chapter 1 requirements of assigning assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to the "Public Asset Fund".

As of September 30, 2009, \$15,627,335.37 (representing the remaining balance of the State's 95 percent share of the fair market value of the not-for-profit corporation plus interest) is on deposit in the sole custody account titled Public Asset Fund. In accordance with Section 4301(j)(4)(F) and (O) of the Insurance Law and at the direction of the Director of the Budget, these funds are available for transfer to HCRA Resource Fund (061).

SCHEDULE 5

STATE OF NEW YORK
DEBT SERVICE FUNDS
STATEMENT OF DIRECT STATE DEBT ACTIVITY
FISCAL YEAR ENDED MARCH 31, 2010

PURPOSE	DEBT OUTSTANDING APRIL 1, 2009	DEBT ISSUED		DEBT MATURED		DEBT OUTSTANDING SEPT. 30, 2009	INTEREST DISBURSED	
		MONTH OF SEPTEMBER	6 MONTHS ENDED SEPT. 30, 2009	MONTH OF SEPTEMBER	6 MONTHS ENDED SEPT. 30, 2009		MONTH OF SEPTEMBER	6 MONTHS ENDED SEPT. 30, 2009
GENERAL OBLIGATION BONDED DEBT:								
Accelerated Capacity and Transportation Improvements	\$648,961,405.51	\$ --	\$ --	\$22,674,737.10	\$54,411,267.07	\$594,550,138.44	\$6,748,239.70	\$14,336,321.59
Clean Water/Clean Air:								
Air Quality	86,783,652.74	--	--	--	9,765,117.23	77,018,535.51	541,426.47	1,692,247.03
Safe Drinking Water	75,187,786.89	--	--	--	15,305,314.04	59,882,472.85	438,273.08	1,587,322.06
Water	504,653,063.02	--	--	--	4,451,078.31	500,201,984.71	5,199,691.90	7,842,223.79
Solid Waste	99,179,187.43	--	--	--	5,984,100.11	93,195,087.32	292,178.50	1,259,869.13
Environmental Restoration	75,363,682.79	--	--	--	132,586.21	75,231,096.58	841,649.24	1,486,236.81
Energy Conservation Through Improved Transportation: Rapid Transit and Rail Freight	24,630,987.27	--	--	2,349,534.02	3,553,759.59	21,077,227.68	419,875.14	630,124.64
Environmental Quality Protection (1972):								
Air	21,498,402.83	--	--	--	3,303,164.51	18,195,238.32	75.95	449,405.16
Land and Wetlands	47,541,208.24	--	--	1,337,410.34	6,247,849.40	41,293,358.84	292,118.53	1,064,378.93
Water	125,389,186.41	--	--	5,134,868.71	12,540,250.33	112,848,936.08	1,044,975.31	3,067,091.41
Environmental Quality (1986):								
Land and Forests	60,589,492.07	--	--	1,918,094.79	11,919,293.63	48,670,198.44	395,358.17	1,135,624.88
Solid Waste Management	537,288,426.88	--	--	12,785,065.18	29,705,266.90	507,583,159.98	4,603,858.60	7,574,361.76
Housing:								
Low Cost	59,480,151.99	--	--	--	6,542,326.17	52,937,825.82	--	926,268.49
Middle Income	46,002,000.00	--	--	--	535,000.00	45,467,000.00	--	1,045,255.00
Outdoor Recreation Development	30,318.00	--	--	--	--	30,318.00	--	818.59
Park and Recreation Land Acquisition	40,224.71	--	--	4,736.96	4,736.96	35,487.75	866.83	866.83
Pure Waters	91,335,778.20	--	--	2,548,486.50	8,726,871.86	82,608,906.34	729,434.40	2,149,443.12
Rail Preservation Development	16,583,059.70	--	--	814,757.77	4,860,550.28	11,722,509.42	131,667.35	445,472.54
Rebuild and Renew New York Transportation:								
Highway Facilities	323,061,087.80	--	--	--	--	323,061,087.80	3,856,525.30	6,245,279.05
Canals and Waterways	7,737,683.52	--	--	--	--	7,737,683.52	--	123,602.72
Aviation	16,170,986.25	--	--	--	--	16,170,986.25	--	297,980.72
Rail and Port	39,388,920.99	--	--	--	--	39,388,920.99	80,884.71	769,703.18
Mass Transit - Dept. of Transportation	11,921,084.46	--	--	--	--	11,921,084.46	66,846.19	196,025.37
Mass Transit - Metropolitan Transportation Authority	322,321,343.08	--	--	--	--	322,321,343.08	2,537,057.60	6,227,251.39
Rebuild New York-Transportation Infrastructure Renewal:								
Highways, Parkways, and Bridges	5,432,361.75	--	--	--	292,133.68	5,140,228.07	86,805.92	105,367.33
Ports, Canals, and Waterways	126,438.48	--	--	--	14,912.47	111,526.01	--	2,491.49
Rapid Transit, Rail, and Aviation	23,663,282.84	--	--	353,273.47	1,100,652.29	22,562,630.55	160,695.67	551,191.93
Transportation Capital Facilities:								
Aviation	27,107,010.12	--	--	414,012.05	3,516,196.09	23,590,814.03	152,728.33	654,695.68
Mass Transportation	25,210,785.49	--	--	900,023.11	8,422,572.88	16,788,212.61	128,279.79	689,141.60
Total General Obligation Bonded Debt	\$3,322,678,999.46	\$ --	\$ --	\$51,235,000.00	\$191,335,000.01	\$3,131,343,999.45	\$28,749,512.68	\$62,556,062.22

STATE OF NEW YORK
DEBT SERVICE FUNDS
FINANCING AGREEMENTS
FOR THE SIX (6) MONTHS ENDED SEPTEMBER 30, 2009

SCHEDULE 5a

	DEBT	GENERAL DEBT SERVICE FUND (064)	DEPARTMENT OF HEALTH INCOME (319)	LOCAL	MENTAL HEALTH SERVICES (304)	REVENUE	STATE	COMBINED TOTALS		\$ INCREASE / (DECREASE)
	REDUCTION			GOVERNMENT		BOND	UNIVERSITY	6 MONTHS ENDED SEPT. 30		
	RESERVE			ASSISTANCE		TAX	DORMITORY	2009	2008	
	FUND		TAX		TAX	INCOME				
	(064)	(311-01)	(319)	(364)	(304)	(311-02)	(330)			
Special Contractual Financing Obligations:										
Managed by Office of General Services:										
Department of Trans Region 1 Schenectady	\$ --	\$419,735	\$ --	\$ --	\$ --	\$ --	\$ --	\$419,735	\$544,473	(\$124,738)
Hampton Plaza	--	123,281	--	--	--	--	--	123,281	133,281	(\$10,000)
Subtotal	\$ --	\$543,016	\$ --	\$ --	\$ --	\$ --	\$ --	\$543,016	\$677,754	(\$134,738)
Payments to Public Authorities:										
City University Construction	--	153,451,070	--	--	--	--	--	153,451,070	127,422,538	26,028,532
Community Enhancement Facilities Program	--	--	--	--	--	--	--	--	274,291	(274,291)
Dormitory Authority	--	358,437,742	14,847,374	--	111,092,306	149,587,181	50,611,853	684,576,456	671,334,745	13,241,711
Energy Research & Development Authority	--	--	--	--	--	--	--	--	901,993	(901,993)
Environmental Facilities Corporation	--	4,482,970	--	--	--	17,936,899	--	22,419,869	17,253,805	5,166,064
Housing Finance Agency	--	26,117,902	--	--	--	35,447,416	--	61,565,318	66,186,754	(4,621,436)
Local Government Assistance Corporation	--	--	--	57,594,648	--	--	--	57,594,648	84,549,796	(26,955,148)
Metropolitan Transportation Authority:										
Transit and Commuter Rail Projects	--	82,493,859	--	--	--	--	--	82,493,859	82,381,608	112,251
Triborough Bridge & Tunnel Authority:										
Javits Convention Center Project	--	2,518,177	--	--	--	--	--	2,518,177	3,762,531	(1,244,354)
Thruway Authority	--	436,808,274	--	--	--	50,232,462	--	487,040,736	456,456,671	30,584,065
Urban Development Corporation:										
Correctional Facilities	--	35,505,560	--	--	--	--	--	35,505,560	84,767,580	(49,262,020)
Center for Industrial Innovation at RPI	--	409,200	--	--	--	--	--	409,200	509,981	(100,781)
Syracuse University Science and Technology Center	--	465,475	--	--	--	--	--	465,475	514,075	(48,600)
Cornell Univer. Supercomputer Center	--	620,000	--	--	--	--	--	620,000	492,000	128,000
Columbia Univer. Telecommunications Center	--	4,630,000	--	--	--	--	--	4,630,000	3,715,000	915,000
Clarkson University	--	228,265	--	--	--	--	--	228,265	243,312	(15,047)
Debt Reduction Reserve	--	--	--	--	--	--	--	--	24,265,432	(24,265,432)
University Facilities Grant 95 Refunding	--	403,322	--	--	--	--	--	403,322	514,239	(110,917)
Youth Facilities	--	1,564,094	--	--	--	--	--	1,564,094	2,006,277	(442,183)
Economic Development Housing	--	--	--	--	--	25,281,775	--	25,281,775	22,513,826	2,767,949
Sports Facility	--	--	--	--	--	--	--	--	215,214	(215,214)
South Mall	--	--	--	--	--	--	--	--	--	--
State Facilities and Equipment	--	--	--	--	--	32,947,132	--	32,947,132	42,238,782	(9,291,650)
Consolidated Service Contract Refunding	--	49,123,654	--	--	--	--	--	49,123,654	5,658,314	43,465,340
Subtotal	\$ --	\$1,157,259,564	\$14,847,374	\$57,594,648	\$111,092,306	\$311,432,865	\$50,611,853	\$1,702,838,610	\$1,698,178,764	\$4,659,846
Total Disbursements for Special Contractual Financing Obligations	\$ --	\$1,157,802,580	\$14,847,374	\$57,594,648	\$111,092,306	\$311,432,865	\$50,611,853	\$1,703,381,626	\$1,698,856,518	\$4,525,108

**SUMMARY OF THE OPERATING FUND INVESTMENTS
FOR THE MONTH OF SEPTEMBER 2009
AS REQUIRED OF THE STATE COMPTROLLER**
(amounts in millions)

	<u>SEPTEMBER 2009</u>	<u>FISCAL YEAR TO DATE</u>	<u>PRIOR FYTD SEPTEMBER 2008</u>
<u>SHORT TERM INVESTMENT POOL</u>			
AVERAGE DAILY INVESTMENT BALANCE*	\$4,957.7	\$6,346.4	\$11,216.3
AVERAGE YIELD*	0.316%	0.350%	2.263%
TOTAL INVESTMENT EARNINGS	\$1.617	\$13.599	\$127.283

<u>DESCRIPTION</u>	<u>PAR AMOUNT</u>
GOVT. AGENCY BILLS/NOTES	\$0.0
REPURCHASE AGREEMENTS	\$35.6
COMMERCIAL PAPER	\$3,711.8
CERTIFICATES OF DEPOSIT/SAVINGS	\$3,555.7
0% COMPENSATING BALANCE CD's	\$378.0
	<u>\$7,681.1</u>

*Does not include 0% Compensating Balance CD's.

STATE OF NEW YORK
OFFICE OF THE STATE COMPTROLLER
DIVISION OF PAYROLL, ACCOUNTING, AND REVENUE SERVICES
BUREAU OF STATE ACCOUNTING OPERATIONS

**COMPTROLLER'S MONTHLY REPORT TO THE LEGISLATURE ON STATE FUNDS - CASH BASIS OF ACCOUNTING
FISCAL YEAR 2009-2010**

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STATE OF NEW YORK
 HCRA RESOURCES FUND
 STATEMENT OF RECEIPTS AND DISBURSEMENTS BY OBJECT
 FISCAL YEAR 2009-2010

APPENDIX A

	2009 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	6 Months Ended September 30, 2009
OPENING CASH BALANCE	\$239,963,585	\$204,479,921	\$299,679,153	\$152,079,890	\$179,086,295	\$277,199,387	\$239,963,585
RECEIPTS:							
Cigarette Tax	85,019,652	74,639,227	83,659,918	82,626,286	85,414,800	87,211,157	498,571,040
State Share of NYC Cigarette Tax	5,896,000	6,435,000	7,404,000	6,640,000	7,062,000	7,066,000	40,503,000
Insurance Premium Tax	--	--	--	--	--	55,000,000	55,000,000
STIP Interest	158,585	86,022	115,405	89,746	67,795	84,525	602,078
Public Asset Transfers	--	--	95,000,000	--	--	--	95,000,000
Indigent Care Pool	1,103	403	734	368	368	1,105	4,081
Public Goods Pool	287,893,002	398,081,296	318,275,622	314,341,402	307,771,764	337,539,829	1,963,902,915
Hospital Excess Liability Pool	--	--	--	--	--	--	--
Miscellaneous	12,261	25	7,867	55,276	12,123	(20,258)	67,294
Total Receipts	378,980,603	479,241,973	504,463,546	403,753,078	400,328,850	486,882,358	2,653,650,408
DISBURSEMENTS:							
Grants - Social Service	155,337	57,752	44,908	104,350	4,025	38,257	404,629
Medical Assistance Payments	329,114,794	479,135,526	558,317,945	307,228,279	223,400,027	218,257,123	2,115,453,694
Grants - Health	77,101,511	(101,873,402)	69,958,490	65,735,524	72,038,860	76,728,072	259,689,055
Grants - Mental Hygiene	--	--	--	--	--	--	--
Grants - Miscellaneous	128,164	224,739	391,961	162,838	65,119	40,835	1,013,656
Interest - Late Payments	2,434	1,398	352	849	1,372	723	7,128
Personal Service	1,399,108	980,827	791,000	911,891	709,439	1,355,189	6,147,454
Non-Personal Service	5,433,318	5,497,881	8,357,125	2,102,942	4,447,238	2,429,298	28,267,802
Employee Benefits/Indirect Costs	1,129,601	18,020	701,028	--	1,059,678	--	2,908,327
Appropriated Transfers							
Transfers to 339-ES	--	--	--	500,000	490,000	--	990,000
Total Disbursements	414,464,267	384,042,741	638,562,809	376,746,673	302,215,758	298,849,497	2,414,881,745
OPERATING TRANSFERS:							
Transfers to 002	--	--	13,500,000	--	--	19,200,000	32,700,000
Transfers to 003	--	--	--	--	--	--	--
Transfers to 311-02	--	--	--	--	--	3,652,808	3,652,808
Transfers to 339-AP	--	--	--	--	--	--	--
Total Operating Transfers	--	--	13,500,000	--	--	22,852,808	36,352,808
Total Disbursements and Transfers	414,464,267	384,042,741	652,062,809	376,746,673	302,215,758	321,702,305	2,451,234,553
CLOSING CASH BALANCE	\$204,479,921	\$299,679,153	\$152,079,890	\$179,086,295	\$277,199,387	\$442,379,440	\$442,379,440

STATE OF NEW YORK
 HCRA RESOURCES FUND
 STATEMENT OF PROGRAM DISBURSEMENTS
 FISCAL YEAR 2009-2010

APPENDIX B

Program/Purpose	Appropriation Amount (1)	Segregation Amount	April - June Disbursements	July Disbursements	August Disbursements	September Disbursements	Total Disbursements 6 Months Ending September 30, 2009 (3)
COMMUNITY SERVICES PROGRAM	2,771,607						
LONG TERM CARE INSUR EDUC/OUTREACH		2,771,607	732,863	162,838	65,119	16,835	977,655
ADMIN & GRANTS MGMT HCRA RESOURCE	50,000						
LONG TERM CARE INSUR EDUC/OUTREACH		45,000	--	--	--	--	--
ADULT HOMES PROGRAM	120,000						
ADULT HOME RESIDENT COUNCIL PROJECT		120,000	12,000	--	--	24,000	36,000
ADMIN & EXECUTIVE DIRECTION PROGRAM	12,801,000						
HEALTH CARE DELIVERY ADMINISTRATION		739,630	86,160	17,312	40,441	25,231	169,144
HEALTH OCCUPATION DEVELOP/WORK DEMO		1,141,355	118,040	23,125	54,919	34,299	230,383
HEALTH WORKFORCE RETRAINING PROGRAM		2,294,055	254,848	36,185	35,320	38,753	365,106
PILOT HEALTH INSURANCE ACCOUNT		2,462,770	322,112	64,967	151,508	95,799	634,386
PRIMARY CARE INITIATIVES MONITORING		1,134,895	145,676	28,145	68,156	42,218	284,195
AIDS INSTITUTE PROGRAM	188,955,213						
HEALTH CARE SERVICES ACCOUNT		166,053,526	13,284,811	13,981,394	4,665,764	5,263,938	37,195,907
HOSPITAL BASED GRANTS PROGRAM		11,089,797	1,171,105	791,167	505,197	725,043	3,192,512
MATERNAL & CHILD HIV SERVICES		8,978,390	469,793	554,108	695,478	511,703	2,231,082
OPERATIONAL SUPPORT FOR AIDS HOUSING		1,916,500	257,997	104,350	4,025	38,257	404,629
CENTER FOR COMMUNITY HEALTH PROGRAM	119,505,261						
HEALTH CARE SERVICES ACCOUNT		69,820,698	10,156,705	4,791,272	2,856,344	6,346,401	24,150,722
HOSPITAL BASED GRANTS PROGRAM		20,280,823	2,882,716	776,585	720,773	777,162	5,157,236
TOBACCO CONTROL & CANCER SERVICES		5,267,393	810,832	158,488	355,516	238,586	1,563,422
OFFICE OF HEALTH SYSTEMS MANAGEMENT	74,511,518						
EMERGENCY MEDICAL SERVICES ACCOUNT		45,509,503	3,695,173	1,715,095	2,636,857	1,002,461	9,049,586
HEALTH CARE SERVICES ACCOUNT		11,240,000	--	--	--	175,890	175,890
QUALITY INCENTIVE PAYMENT		2,750,000	--	--	--	--	--
HEALTH CARE FINANCING PROGRAM	11,336,800						
PROVIDER COLLECTION MONITORING ACCOUNT		5,972,455	836,929	124,071	284,191	185,956	1,431,147
OFFICE OF HEALTH INSURANCE PROGRAM	17,063,000						
FAMILY HEALTH PLUS		12,256,860	1,939,304	206,619	673,687	607,273	3,426,883
MEDICAID FRAUD HOTLINE/ADMIN.		1,102,500	65,329	7,162	56,090	22,157	150,738
MEDICAL ASSISTANCE PROGRAM	6,730,190,000						
BREAST & CERVICAL CANCER GRANTS		3,780,000	--	--	--	--	--
D&TC RATES FOR R&R GRANTS (4)		3,050,000	--	--	--	--	--
DISABLED PERSONS GRANTS		42,300,000	--	--	--	7,800,000	7,800,000
FAMILY HEALTH PLUS GRANTS		1,047,000,000	22,000,000	172,200,000	34,500,000	--	228,700,000
HOME CARE RATES		8,000,000	--	--	--	--	--
HOME HEALTH R&R RATES GRANTS (5)		100,000,000	--	--	--	--	--
HOME HEALTH WORKFORCE RECRUITMENT		37,000,000	--	--	--	--	--
MEDICAL ASSISTANCE - INDIGENT CARE FUND		1,846,300,000	367,768,265	88,828,279	88,800,027	88,757,123	634,153,694
MEDICAL ASSISTANCE - PAYMENTS GRANTS		207,700,000	82,000,000	--	32,100,000	--	114,100,000
NASSAU & SUFFOLK HOSPITAL DIST GRANTS		5,000,000	--	--	--	--	--
NON-PUBLIC GENERAL HOSP RATES FOR R&R (6)		41,300,000	--	--	--	--	--
NON-PUBLIC NH RATES FOR R&R GRANTS (7)		21,600,000	--	--	--	--	--
NURSING HOME FINANCIAL ASSIST GRANTS		15,000,000	--	--	--	--	--
NYC MEDICAID GRANTS		249,400,000	124,700,000	--	--	--	124,700,000
NYC NON-PUBLIC HOSP MEANINGFUL ACCESS		38,000,000	--	--	--	--	--
NYC PERSONAL CARE WRR RATES GRANTS (8)		136,000,000	--	--	--	--	--
PERSONAL CARE WRR RATES GRANTS (9)		11,200,000	--	--	--	--	--
PHARMACY SERVICES GRANT		1,864,280,000	747,400,000	46,200,000	68,000,000	93,300,000	954,900,000
PHYSICIAN SERVICES GRANT		153,360,000	--	--	--	28,400,000	28,400,000
PRIORITY RESTORATION GRANTS		24,000,000	--	--	--	--	--
PUBLIC HOSPITAL RATES - SECTION 2807C		48,000,000	--	--	--	--	--
SUPPLEMENTAL MED INS PAYMENTS GRANTS		122,400,000	22,700,000	--	--	--	22,700,000
SUPPLEMENTAL RURAL HOSPITAL RATES		7,000,000	--	--	--	--	--
ENHANCED COMMUNITY SERVICES PROGRAM	1,000,000						
ENHANCED COMMUNITY SERVICES ACCOUNT		1,000,000	--	500,000	--	--	500,000
OFFICE OF LONG TERM CARE	8,623,480						
ADULT HOME INITIATIVES		3,592,850	--	--	--	--	--
ENHANCING ABILITIES & LIFE EXPERIENCE		2,659,195	--	--	--	--	--
ELDERLY PHARMACEUTICAL INS COVERAGE PRG	582,300,000						
ELDERLY PHARMACEUTICAL INSURANCE COVER		267,375,000	6,885,058	--	--	--	6,885,058
PAYBILLS	1,513,800						
CHILD HEALTH INSURANCE PROGRAM	955,241,400						
CHILD HEALTH INSURANCE		792,093,280	72,425,350	35,051,536	7,069,698	20,312,404	134,858,988

STATE OF NEW YORK
 HCRA RESOURCES FUND
 STATEMENT OF PROGRAM DISBURSEMENTS
 FISCAL YEAR 2009-2010

APPENDIX B
 (continued)

Program/Purpose	Appropriation Amount (1)	Segregation Amount	April - June Disbursements	July Disbursements	August Disbursements	September Disbursements	Total Disbursements 6 Months Ending September 30, 2009 (3)
HEALTH CARE REFORM ACT PROGRAM	\$ 2,596,830,067						
ADAP/HIV UNINSURED CARE (HRI) (10)		11,760,000	--	--	--	--	--
AREA HEALTH CARE CENTERS		786,934	--	--	--	--	--
ASSEMBLY PRIORITY DISTRIBUTIONS		29,519,519	670,470	55,555	500,000	145,067	1,371,092
AUDIT SERVICE PAYER/PROVIDER COMPLIANCE		11,901,061	615,353	240,699	591,349	720,380	2,167,781
CANCER RELATED SERVICES		42,235,164	2,328,017	979,407	674,168	598,971	4,580,563
CATASTROPHIC HEALTH CARE EXPENSE		3,111,420	--	--	--	--	--
COMMISSIONER EMERGENCY DISTRIBUTIONS		3,480,000	700,000	--	--	--	700,000
COMMISSIONER'S PRIORITY POOL DISTRIB.		62,750,892	362,624	--	--	--	362,624
DIAGNOSTIC & TREAT CTR UNCOMPENSAT CARE		100,779,546	53,697,182	--	581,356	--	54,278,538
DISEASE MANAGEMENT DEMO PROGRAM		3,750,000	1,010,289	--	--	--	1,010,289
DIVERSITY IN MEDICINE/POST BACCALAUREAT		3,920,000	978,299	--	--	981,701	1,960,000
GRADUATE MEDICAL EDUCATION DISTRIB		198,240,000	--	--	--	--	--
HEALTH CARE STABILIZATION PROGRAM		26,995,288	(178,160)	--	888,290	--	710,130
HEALTH FACILITY RESTRUCTURING		39,200,000	19,600,000	--	--	--	19,600,000
HEALTH MAINTENANCE ORG (HMO) DIRECT PAY		39,200,000	(39,200,000)	--	--	--	(39,200,000)
HEALTH WORKFORCE RETRAINING		121,477,420	6,329,379	348,429	198,013	669,967	7,545,788
HEALTHY NY - ADMINISTRATION		1,438,226	(1,438,226)	--	--	--	(1,438,226)
HEALTHY NY - ENTERTAINMENT WORKERS		503,059	(502,712)	--	--	--	(502,712)
HEALTHY NY - GROUP PROGRAM		122,161,378	(122,161,378)	--	--	--	(122,161,378)
INDIVIDUAL SUBSIDY PROGRAM		1,551,130	--	--	--	--	--
INFERTILITY GRANT PROGRAM		2,345,602	--	--	--	--	--
INFERTILITY SRVCS TREATMENTS & PROC		15,059,619	1,337,491	--	157,768	--	1,495,259
LONG TERM CARE DELIVERY DEMO PROJECTS		956,244	135,559	--	23,745	--	159,304
LONG TERM CARE DEMO PROJECTS		750,000	--	--	--	--	--
LONG TERM CARE INSUR EDUC/OUTREACH		2,626,960	17,598	--	132	3,444	21,174
MINORITY PARTICIPATION MED EDUC		192,625	--	--	--	--	--
NYS AREA HEALTH EDUCATION CENTER (AHEC)		3,000,000	--	1,435,584	1,124	1,063,886	2,500,594
OTHER MEDICAL SCHOOL		945,101	--	--	--	--	--
PAY FOR PERFORMANCE INITIATIVES		9,407,859	436,587	3,210	1,685	291	441,773
PHYSICIAN PRACTICE SUPPORT PROGRAM		4,900,000	--	--	--	--	--
PHYSICIANS EXCESS MEDICAL MALPRACTICE		127,400,000	--	--	--	--	--
POISON CONTROL CENTERS		7,400,000	2,387,817	--	--	--	2,387,817
POOL ADMINISTRATOR-SERVICES & EXPENSES		10,703,421	918,076	--	530,875	--	1,448,951
PRIMARY HEALTH CARE SERVICES		2,915,430	--	--	--	--	--
ROSWELL PARK CANCER INSTITUTE		136,550,000	--	--	45,450,000	--	45,450,000
RURAL HEALTH CARE ACCESS DEVELOP		19,880,140	890,385	739,137	489,576	2,843,737	4,962,835
RURAL HEALTH CARE DELIVERY DEVELOP		5,480,367	--	--	--	--	--
RURAL HEALTH NETWORK DEVELOPMENT		14,100,000	1,031,910	1,474,321	465,912	1,325,647	4,297,790
SCHOOL BASED HEALTH CENTERS		3,196,000	--	--	--	--	--
SCHOOL BASED HEALTH CLINICS		6,392,000	--	--	--	--	--
SECTION 405.4 HOSPITAL AUDITS		3,240,000	860,874	--	248,932	--	1,109,806
SENATE PRIORITY DISTRIBUTIONS		30,373,524	61,000	--	75,000	--	136,000
SUPPLEMENTAL GRADUATE MEDICAL EDUCATION		36,480,000	--	975,000	3,067,500	--	4,042,500
TELEMEDICINE DEMONSTRATION PROGRAM		2,950,566	271,695	(35)	--	--	271,660
TOBACCO USE PREVENTION & CONTROL		127,155,825	22,788,622	4,172,668	2,784,934	3,754,917	33,501,141
WORKER RECRUIT/RETAIN PUBLIC HOSPITALS		44,060,000	--	--	--	32,000,000	32,000,000
WORKER/RECRUIT/RETAIN PUBLIC RHCF (11)		3,650,000	--	--	1,146,289	--	1,146,289
TOTAL	\$ 11,302,813,145 (2)	\$ 8,918,210,403	\$ 1,437,069,817	\$ 376,746,673	\$ 302,215,758	\$ 298,849,497	\$ 2,414,881,745
Transfer to the General Fund - State Purposes Account (for administration of the program)		869,890					
TOTAL APPROPRIATED AMOUNT	\$ 11,303,683,035						

(1) Includes amounts appropriated in 2009 as well as prior year appropriations that were reappropriated in the SFY 2009 budget chapters.

(2) Unsegregated appropriation total is \$2,384,602,742.

(3) Disbursements from the HCRA Resource Fund includes direct grant payments to program beneficiaries, services and expenses for administration of grant programs, and transfers to the Public Goods Pool to finance payments made by the State's fiscal agent

(4) Full title is: Diagnostic and Treatment Center Rates Increase for Recruitment and Retention of Health Care Workers

(5) Full title is: Home Health Recruitment and Retention Rates Grants

(6) Full title is: Non-Public General Hospital Rates for Recruitment and Retention of Health Care Workers

(7) Full title is: Non-Public Nursing Home Rates for Recruitment and Retention of Health Care Workers

(8) Full title is: NYC Personal Care Workforce Recruitment and Retention Rates Grants

(9) Full title is: Personal Care Workforce Recruitment and Retention Rates Grants

(10) Full title is: Aids Drug Assistance Program/Human Immunodeficiency Virus - Uninsured Care - Health Research Incorporated.

(11) Full title is: Workers Recruitment and Retention of Residential Health Care Facilities

State of New York
Schedule of Disbursements of Federal Awards - September 2009
American Recovery and Reinvestment Act of 2009

Federal CFDA No.	Federal Agency	Program	September Disbursements	Life-to-Date Disbursements
Education				
10.579	Department of Agriculture	Child Nutrition Discretionary Grants Limited Availability	\$ 172,340.00	\$ 172,340.00
84.391	Department of Education	Special Education Grants to States, Recovery Act	15,449,885.00	15,449,885.00
84.392	Department of Education	Special Education - Preschool Grants, Recovery Act	792,472.00	792,472.00
84.394	Department of Education	State Fiscal Stabilization Fund (SFSF) - Education State Grants, Recovery Act	--	49,900,000.00
		Subtotal	<u>16,414,697.00</u>	<u>66,314,697.00</u>
Energy and Environment				
66.454	Environmental Protection Agency	Water Quality Management Planning	5,632.16	5,632.16
66.458	Environmental Protection Agency	Capitalization Grants for Clean Water State Revolving Funds	11,356,657.99	11,356,657.99
81.042	Department of Energy	Weatherization Assistance for Low-Income Persons	28,397,966.00	28,397,966.00
		Subtotal	<u>39,760,256.15</u>	<u>39,760,256.15</u>
Food and Nutrition Services				
10.568	Department of Agriculture	Emergency Food Assistance Program (Administrative Costs)	--	1,550,447.00
93.705	Health and Human Services	Aging Home-Delivered Nutrition Services for States	127,512.78	241,531.15
93.707	Health and Human Services	Aging Congregate Nutrition Services for States	180,874.89	346,320.66
		Subtotal	<u>308,387.67</u>	<u>2,138,298.81</u>
Health and Social Services				
10.557	Department of Agriculture	Special Supplemental Nutrition Program for Women, Infants & Children (WIC)	--	5,468,978.00
10.561	Department of Agriculture	State Administrative Matching Grants for the Supplemental Nutrition Assistance Program	--	12,138,141.00
84.390	Department of Education	Rehabilitation Services - Vocational Rehabilitation Grants to States, Recovery Act	2,915.00	5,373.00
93.658	Health and Human Services	Foster Care- Title IV-E	--	10,563,599.00
93.659	Health and Human Services	Adoption Assistance	--	12,481,725.00
93.714	Health and Human Services	ARRA - Emergency Contingency Fund for Temporary Assistance for Needy Families (TANF) State Programs	--	140,000,000.00
93.778	Health and Human Services	Medical Assistance Program (FMAP) *	562,220,245.21	4,190,626,911.49
		Subtotal	<u>562,223,160.21</u>	<u>4,371,284,727.49</u>
Housing				
93.710	Health and Human Services	ARRA - Community Services Block Grant	1,948,538.50	19,642,179.50
		Subtotal	<u>1,948,538.50</u>	<u>19,642,179.50</u>
Labor				
17.207	Department of Labor	Employment Service/Wanger-Peyser Funded Activities	1,332,814.89	1,374,294.59
17.225	Department of Labor	Unemployment Insurance	437,227,412.53	2,177,298,858.27
17.235	Department of Labor	Senior Community Service - Employment Program	9,019.50	28,432.50
17.258	Department of Labor	Workforce Investment Act - Adult Program	1,031,510.26	4,041,631.48
17.259	Department of Labor	Workforce Investment Act - Youth Activities	13,449,500.32	31,294,241.24
17.260	Department of Labor	Workforce Investment Act - Dislocated Workers	2,957,281.01	8,602,805.92
		Subtotal	<u>456,007,538.51</u>	<u>2,222,640,264.00</u>
Public Protection				
16.800	Department of Justice	Recovery Act - Internet Crimes against Children Task Force Program (ICAC)	24,494.25	24,494.25
16.802	Department of Justice	Recovery Act - State Victim Compensation Formula Grant Program	7,871.33	14,395.98
16.803	Department of Justice	Recovery Act - Edward Byrne Memorial Justice Assistance Grant (JAG) Program/Grants to States and Territories	25,035.74	25,035.74
		Subtotal	<u>57,401.32</u>	<u>63,925.97</u>
Transportation				
20.205	Department of Transportation	Highway Planning and Construction	20,184,544.72	44,100,100.40
		Subtotal	<u>20,184,544.72</u>	<u>44,100,100.40</u>
TOTAL DISBURSEMENTS \$			<u>1,096,904,524.08</u>	<u>\$ 6,765,944,449.32</u>

* For Federal funds, Federal CMLA regulations require that we draw funds from the US Treasury as checks are 'cleared' instead of when 'issued'. Because of the manner in which we charge federal funds as payments clear our account, there is a timing delay for recording Medicaid payments based on clearances (rather than issuances). For reporting purposes \$18,863,000.00 in outstanding checks are included as Medicaid payments.

**STATE OF NEW YORK - STATEMENT OF CASH FLOW - PUBLIC GOODS POOL
FISCAL YEAR 2009-2010**

	<u>1st Quarter April-June</u>	<u>2009 JULY</u>	<u>2009 AUGUST</u>	<u>2009 SEPTEMBER</u>	<u>2009-2010</u>
OPENING CASH BALANCE	\$ 184,277,333.13	\$ 206,704,455.64	\$ 236,006,303.77	\$ 219,804,849.69	\$ 184,277,333.13
RECEIPTS:					
Patient Services	568,849,458.27	211,123,809.61	185,831,071.00	206,917,884.61	1,172,722,223.49
Covered Lives	367,270,056.55	103,288,511.06	75,469,508.67	90,565,511.29	636,593,587.57
Provider Assessments	13,018,654.96	5,167,790.38	4,357,313.20	4,892,800.88	27,436,559.42
1% Assessments	76,690,876.78	24,813,167.00	25,913,776.98	25,137,092.00	152,554,912.76
DASNY- MOE/Recast receivables	0.00	0.00	0.00	0.00	0.00
Interest Income	41,146.10	14,007.12	10,035.81	11,214.57	76,403.60
NYPHRM	1,133.69	419.86	448.92	324.36	2,326.83
Unassigned	693,032.00	(764,454.58)	(11,844.42)	15,862.00	(67,405.00)
Total Receipts	1,026,564,358.35	343,643,250.45	291,570,310.16	327,540,689.71	1,989,318,608.67
DISBURSEMENTS:					
Program Disbursements:					
Diagnostic and Treatment Centers	0.00	0.00	0.00	0.00	0.00
Rural Health Care Initiatives	0.00	0.00	0.00	0.00	0.00
Poison Control	(2,387,817.00)	0.00	0.00	0.00	(2,387,817.00)
Cancer Related Services	0.00	0.00	0.00	0.00	0.00
Health Work Force Retraining Program	0.00	0.00	0.00	0.00	0.00
Minority Partnership in Medical Education Grants	0.00	0.00	0.00	0.00	0.00
GME Distributions	0.00	0.00	0.00	0.00	0.00
Health Care Recruitment & Retention	0.00	0.00	(1,146,289.00)	(32,000,000.00)	(33,146,289.00)
Poison Control Centers	0.00	0.00	0.00	0.00	0.00
School Based Health Center Grants	0.00	0.00	0.00	0.00	0.00
Professional Education Pool Distributions	0.00	0.00	(4,042,500.00)	0.00	(4,042,500.00)
DSH Cap "pop-up"	0.00	0.00	0.00	0.00	0.00
Total Program Disbursements	(2,387,817.00)	0.00	(5,188,789.00)	(32,000,000.00)	(39,576,606.00)
Administrative Expenses	0.00	0.00	0.00	0.00	0.00
Total Disbursements	(2,387,817.00)	0.00	(5,188,789.00)	(32,000,000.00)	(39,576,606.00)
Excess (Deficiency) of Receipts over Disbursements	1,024,176,541.35	343,643,250.45	286,381,521.16	295,540,689.71	1,949,742,002.67
OTHER FINANCING SOURCES (USES):					
Transfers from Other Pools:					
Medicaid Disproportionate Share	0.00	0.00	0.00	0.00	0.00
Health Facility Assessment Fund	0.00	0.00	0.00	0.00	0.00
Transfers From State Funds:					
061-HCRA Resources Fund	2,387,817.00	0.00	5,188,789.00	32,000,000.00	39,576,606.00
Other	0.00	0.00	0.00	0.00	0.00
Total Other Financing Sources	2,387,817.00	0.00	5,188,789.00	32,000,000.00	39,576,606.00
Transfers to Other Pools:					
Medicaid Disproportionate Share	0.00	0.00	0.00	0.00	0.00
Health Facility Assessment Fund	0.00	0.00	0.00	0.00	0.00
Transfers to State Funds:					
061-HCRA Resources Fund	(729,681,602.49)	(225,616,146.48)	(218,967,586.51)	(248,770,386.33)	(1,423,035,721.81)
061-IN Indigent Care Fund (matched)	(272,351,770.38)	(87,919,630.59)	(88,133,978.65)	(87,957,147.43)	(536,362,527.05)
061-IN Indigent Care Fund (non-matched)	(2,103,862.97)	(805,625.25)	(670,199.08)	(812,295.33)	(4,391,982.63)
Other	0.00	0.00	0.00	0.00	0.00
Total Other Financing Uses	(1,004,137,235.84)	(314,341,402.32)	(307,771,764.24)	(337,539,829.09)	(1,963,790,231.49)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	22,427,122.51	29,301,848.13	(16,201,454.08)	(9,999,139.38)	25,528,377.18
CLOSING CASH BALANCE	\$ 206,704,455.64	\$ 236,006,303.77	\$ 219,804,849.69	\$ 209,805,710.31	\$ 209,805,710.31

Source: HCRA - Office of Pool Administration

**STATE OF NEW YORK - STATEMENT OF CASH FLOW - MEDICAID DISPROPORTIONATE SHARE
FISCAL YEAR 2009-2010**

	<i>1st Quarter April-June</i>	2009 JULY	2009 AUGUST	2009 SEPTEMBER	2009-2010
OPENING CASH BALANCE	\$ 1,103.14	\$ 367.78	\$ 367.87	\$ 1,104.67	\$ 1,103.14
RECEIPTS:					
Interest Income	1,505.04	367.87	1,104.67	1,104.20	4,081.78
Total Receipts	<u>1,505.04</u>	<u>367.87</u>	<u>1,104.67</u>	<u>1,104.20</u>	<u>4,081.78</u>
DISBURSEMENTS:					
Program Disbursements:					
Indigent Care	(265,037,182.94)	(88,356,791.74)	(88,431,139.80)	(88,394,308.58)	(530,219,423.06)
High Need Indigent Care	(8,207,210.97)	0.00	0.00	0.00	(8,207,210.97)
Other	100,244.09	68,697.05	64,123.22	62,026.97	295,091.33
Total Program Disbursements	<u>(273,144,149.82)</u>	<u>(88,288,094.69)</u>	<u>(88,367,016.58)</u>	<u>(88,332,281.61)</u>	<u>(538,131,542.70)</u>
Investment Purchases	0.00	0.00	0.00	0.00	0.00
Total Disbursements	<u>(273,144,149.82)</u>	<u>(88,288,094.69)</u>	<u>(88,367,016.58)</u>	<u>(88,332,281.61)</u>	<u>(538,131,542.70)</u>
Excess (Deficiency) of Receipts over Disbursements	<u>(273,142,644.78)</u>	<u>(88,287,726.82)</u>	<u>(88,365,911.91)</u>	<u>(88,331,177.41)</u>	<u>(538,127,460.92)</u>
OTHER FINANCING SOURCES (USES):					
Transfers from Other Pools:					
Public Goods Pool	0.00	0.00	0.00	0.00	0.00
Health Facility Assessment Fund	0.00	0.00	0.00	0.00	0.00
Transfers From State Funds:					
061-IN HCRA Resources Indigent Care - Matched	136,175,885.20	43,959,815.30	44,066,989.33	43,978,573.72	268,181,263.55
061-IN HCRA Resources Indigent Care - Unmatched	792,379.44	368,464.10	233,037.93	375,134.18	1,769,015.65
265-Federal DHHS Fund	136,175,885.18	43,959,815.29	44,066,989.32	43,978,573.71	268,181,263.50
Other	0.00	0.00	0.00	0.00	0.00
Total Other Financing Sources	<u>273,144,149.82</u>	<u>88,288,094.69</u>	<u>88,367,016.58</u>	<u>88,332,281.61</u>	<u>538,131,542.70</u>
Transfers to Other Pools:					
Public Goods Pool	0.00	0.00	0.00	0.00	0.00
Transfers to State Funds:					
061-HCRA Resources Fund	(2,240.40)	(367.78)	(367.87)	(1,104.67)	(4,080.72)
Total Other Financing Uses	<u>(2,240.40)</u>	<u>(367.78)</u>	<u>(367.87)</u>	<u>(1,104.67)</u>	<u>(4,080.72)</u>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(735.36)	0.09	736.80	(0.47)	1.06
CLOSING CASH BALANCE	<u>\$ 367.78</u>	<u>\$ 367.87</u>	<u>\$ 1,104.67</u>	<u>\$ 1,104.20</u>	<u>\$ 1,104.20</u>

Source: HCRA - Office of Pool Administration

SUMMARY OF OFF-BUDGET SPENDING REPORT

	DISBURSED APRIL '09 (000)	DISBURSED MAY '09 (000)	DISBURSED JUNE '09 (000)	DISBURSED JULY '09 (000)	DISBURSED AUG '09 (000)	DISBURSED SEPT '09 (000)	DISBURSED OCT '09 (000)	DISBURSED NOV '09 (000)	DISBURSED DEC '09 (000)	DISBURSED JAN '10 (000)	DISBURSED FEB '10 (000)	DISBURSED MAR '10 (000)	DISBURSED TOTAL 09-10 (000)
DORMITORY AUTHORITY:													
Education - All Other	625	--	21	--	10	--	--	--	--	--	--	--	656
Education - EXCEL	47,685	15,068	35,405	36,901	1,983	--	--	--	--	--	--	--	137,042
Department of Health - All Other	57	--	62	--	3	--	--	--	--	--	--	--	122
Department of Health - Oxford	--	--	--	--	--	--	--	--	--	--	--	--	--
Judicial Institutes (Pace)	--	--	--	--	--	--	--	--	--	--	--	--	--
CEFAP	763	522	196	31	190	--	--	--	--	--	--	--	1,702
Regional Development:													
CCAP	2,525	562	1,657	1,918	1,184	--	--	--	--	--	--	--	7,846
Multi-modal	2,040	10	98	--	--	--	--	--	--	--	--	--	2,148
GenNYsis	3,376	883	263	--	1,484	--	--	--	--	--	--	--	6,006
RESTORE	--	--	--	17	--	--	--	--	--	--	--	--	17
CUNY Senior Colleges	57,318	12,372	54,944	28,037	22,185	--	--	--	--	--	--	--	174,856
CUNY Community Colleges	12,258	3,450	12,532	8,871	10,541	--	--	--	--	--	--	--	47,652
SUNY Dormitories	14,694	4,399	22,955	19,678	15,893	--	--	--	--	--	--	--	77,619
Upstate Community Colleges	4,297	2,875	7,180	2,933	3,301	--	--	--	--	--	--	--	20,586
Mental Health	12,626	3,369	17,371	23,921	9,706	--	--	--	--	--	--	--	66,993
Mental Retardation	4,846	1,901	5,792	4,042	6,760	--	--	--	--	--	--	--	23,341
Alcoholism & Alcohol Abuse	320	4	204	1,090	725	--	--	--	--	--	--	--	2,343
TOTAL DORMITORY AUTHORITY:	163,430	45,415	158,680	127,439	73,965	--	--	--	--	--	--	--	568,929
EMPIRE STATE DEVELOPMENT CORP:													
Regional Development:													
Centers of Excellence	25	334	278	976	1,321	--	--	--	--	--	--	--	2,934
CCAP	200	762	516	341	396	--	--	--	--	--	--	--	2,215
Empire Opportunity	--	--	--	--	--	--	--	--	--	--	--	--	--
CEFAP	100	9	--	--	10	--	--	--	--	--	--	--	119
SEMATECH	--	--	--	--	--	--	--	--	--	--	--	--	--
State Facilities and Equipment	(56)	--	--	--	--	--	--	--	--	--	--	--	(56)
TOTAL EMPIRE STATE DEVELOPMENT CORP:	269	1,105	794	1,317	1,727	--	--	--	--	--	--	--	5,212
THRUWAY AUTHORITY:													
CHIPS	--	--	24,789	--	--	--	--	--	--	--	--	--	24,789
SHIPS	--	--	--	--	--	--	--	--	--	--	--	--	--
Marchiselli	--	--	9,852	--	--	--	--	--	--	--	--	--	9,852
Multi-modal	--	--	191	--	883	--	--	--	--	--	--	--	1,074
TOTAL THRUWAY AUTHORITY:	--	--	34,832	--	883	--	--	--	--	--	--	--	35,715
TOTAL OFF-BUDGET:	163,699	46,520	194,306	128,756	76,575	--	--	--	--	--	--	--	609,856
TOTAL CEFAP	863	531	196	31	200	--	--	--	--	--	--	--	1,821
ECONOMIC DEVELOPMENT:													
Total CCAP	2,725	1,324	2,173	2,259	1,580	--	--	--	--	--	--	--	10,061
Total Multi-modal	2,040	10	98	--	--	--	--	--	--	--	--	--	2,148
Total GenNYsis	3,376	883	263	--	1,484	--	--	--	--	--	--	--	6,006
Total RESTORE	--	--	--	17	--	--	--	--	--	--	--	--	17
Total Centers for Excellence	25	334	278	976	1,321	--	--	--	--	--	--	--	2,934
Total Empire Opportunity	--	--	--	--	--	--	--	--	--	--	--	--	--
Total Economic Development	8,166	2,551	2,812	3,252	4,385	--	--	--	--	--	--	--	21,166

The Division of the Budget (DOB) is responsible for organizing and presenting the above schedule of 'Off Budget Spending'. Such reported disbursements are drawn from unaudited financial data provided by public authorities. Although the Office of the State Comptroller (OSC) has no reason to believe this information to be unreliable, it is important to note that these program disbursements are financed with public authority bond proceeds deposited directly into public authority accounts and all disbursements are made without any oversight by the OSC. Therefore, and pursuant to the provisions of Chapter 60, §16, of the Laws of 2006; this schedule is provided for information only.