

**STATE OF NEW YORK
OFFICE OF THE STATE COMPTROLLER
OFFICE OF OPERATIONS
DIVISION OF PAYROLL, ACCOUNTING, AND REVENUE SERVICES
BUREAU OF STATE ACCOUNTING OPERATIONS**

**Comptroller's Monthly Report
On State Funds Cash Basis of Accounting
(Pursuant to Sec. 8(9-a) of the State Finance Law)
October 2009**



**THOMAS P. DiNAPOLI
STATE COMPTROLLER**

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(amounts in millions)**

EXHIBIT A

		GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS				YEAR OVER YEAR	
		MONTH OF OCT. 2009	7 MOS. ENDED OCT. 31, 2009	MONTH OF OCT. 2009	7 MOS. ENDED OCT. 31, 2009	MONTH OF OCT. 2009	7 MOS. ENDED OCT. 31, 2009	MONTH OF OCT. 2009	7 MOS. ENDED OCT. 31, 2009	MONTH OF OCT. 2009	7 MOS. ENDED OCT. 31, 2009	MONTH OF OCT. 2008	7 MOS. ENDED OCT. 31, 2008	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:															
Personal Income Tax	(7)	\$1,415.2	\$12,543.5	\$15.0	\$931.6	\$476.7	\$4,491.7	\$ --	\$ --	\$1,906.9	\$17,966.8	\$2,198.8	\$22,692.0	(\$4,725.2)	-20.8%
Consumption/Use Taxes and Fees		635.0	4,738.4	160.5	1,228.3	188.8	1,424.5	107.8	708.4	1,092.1	8,099.6	1,126.0	8,443.5	(343.9)	-4.1%
Business Taxes		154.7	2,547.9	70.9	832.5	--	--	52.8	378.5	278.4	3,758.9	113.0	3,514.7	244.2	6.9%
Other Taxes		61.1	557.7	45.9	45.9	27.1	166.1	19.9	99.7	154.0	869.4	150.9	1,344.1	(474.7)	-35.3%
Miscellaneous Receipts	(9)	153.2	1,824.5	1,126.3	8,402.6	76.8	494.1	216.0	1,591.5	1,572.3	12,312.7	1,562.8	10,059.0	2,253.7	22.4%
Federal Receipts	(1)	0.1	45.3	4,483.0	24,231.7	--	--	240.6	1,116.2	4,723.7	25,393.2	3,666.1	20,777.4	4,615.8	22.2%
Total Receipts		2,419.3	22,257.3	5,901.6	35,672.6	769.4	6,576.4	637.1	3,894.3	9,727.4	68,400.6	8,817.6	66,830.7	1,569.9	2.3%
DISBURSEMENTS:															
Local Assistance Grants:	(2) (7)														
General Purpose		14.3	610.2	--	--	--	--	--	--	14.3	610.2	9.4	561.0	49.2	8.8%
Education		1,098.3	10,090.6	315.9	4,992.5	--	--	6.4	45.8	1,420.6	15,128.9	1,826.7	14,605.1	523.8	3.6%
Social Services:															
Medicaid	(1)(6)	503.4	4,230.3	2,615.5	18,604.0	--	--	--	--	3,118.9	22,834.3	3,289.3	19,475.8	3,358.5	17.2%
Other Social Services		454.5	1,955.7	539.9	2,282.9	--	--	0.1	0.3	994.5	4,238.9	556.8	4,133.3	105.6	2.6%
Health and Environment	(6)	90.0	916.7	192.8	1,571.7	--	--	7.8	77.9	290.6	2,566.3	361.6	2,725.9	(159.6)	-5.9%
Mental Hygiene		45.1	210.5	186.5	836.6	--	--	7.1	37.6	238.7	1,084.7	171.8	1,068.6	16.1	1.5%
Transportation		0.5	43.5	132.1	1,268.7	--	--	54.3	272.9	186.9	1,585.1	163.8	2,104.2	(519.1)	-24.7%
Criminal Justice		10.1	85.3	20.6	200.9	--	--	--	--	30.7	286.2	60.0	246.4	39.8	16.2%
Emergency Management & Security Services		1.2	21.3	9.2	76.0	--	--	--	--	10.4	97.3	6.2	61.1	36.2	59.2%
Miscellaneous		82.7	274.7	77.1	520.8	--	--	57.8	220.9	217.6	1,016.4	1,144.7	1,986.2	(969.8)	-48.8%
Total Local Assistance Grants		2,300.1	18,438.8	4,089.6	30,354.1	--	--	133.5	655.4	6,523.2	49,448.3	7,590.3	46,967.6	2,480.7	5.3%
Departmental Operations:															
Personal Service		628.5	4,137.8	619.1	3,841.7	--	--	--	--	1,247.6	7,979.5	1,347.9	7,643.7	335.8	4.4%
Non-Personal Service		117.3	1,175.3	377.5	2,152.8	2.6	27.4	--	--	497.4	3,355.5	543.0	3,788.3	(432.8)	-11.4%
General State Charges	(8)	314.9	1,717.9	188.4	1,079.4	--	--	--	--	503.3	2,797.3	452.3	3,654.6	(857.3)	-23.5%
Debt Service, Including Payments on															
Financing Agreements	(3)	--	--	--	--	637.3	2,594.6	--	--	637.3	2,594.6	99.7	2,067.9	526.7	25.5%
Capital Projects	(4)	--	--	0.2	5.5	--	--	507.3	3,373.3	507.5	3,378.8	544.2	3,357.0	21.8	0.6%
Total Disbursements		3,360.8	25,469.8	5,274.8	37,433.5	639.9	2,622.0	640.8	4,028.7	9,916.3	69,554.0	10,577.4	67,479.1	2,074.9	3.1%
Excess (Deficiency) of Receipts over Disbursements		(941.5)	(3,212.5)	626.8	(1,760.9)	129.5	3,954.4	(3.7)	(134.4)	(188.9)	(1,153.4)	(1,759.8)	(648.4)	(505.0)	-77.9%
OTHER FINANCING SOURCES (USES):															
Bond Proceeds (net)		--	--	--	--	--	--	--	--	--	--	--	--	--	--
Transfers from Other Funds	(5)	754.0	6,116.2	531.8	3,779.5	988.0	4,046.4	96.9	394.3	2,370.7	14,336.4	1,436.2	14,950.4	(614.0)	-4.1%
Transfers to Other Funds	(5)	(1,008.8)	(3,618.2)	(418.1)	(2,460.9)	(835.6)	(7,681.2)	(113.1)	(620.0)	(2,375.6)	(14,380.3)	(1,442.7)	(14,994.8)	(614.5)	-4.1%
Total Other Financing Sources (Uses)		(254.8)	2,498.0	113.7	1,318.6	152.4	(3,634.8)	(16.2)	(225.7)	(4.9)	(43.9)	(6.5)	(44.4)	0.5	1.1%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses		(1,196.3)	(714.5)	740.5	(442.3)	281.9	319.6	(19.9)	(360.1)	(193.8)	(1,197.3)	(1,766.3)	(692.8)	(504.5)	-72.8%
Beginning Fund Balances (Deficit)		2,430.3	1,948.5	1,663.6	2,846.4	335.8	298.1	(847.4)	(507.2)	3,582.3	4,585.8	7,559.5	6,486.0	(1,900.2)	-29.3%
Ending Fund Balances (Deficit)		\$1,234.0	\$1,234.0	\$2,404.1	\$2,404.1	\$617.7	\$617.7	(\$867.3)	(\$867.3)	\$3,388.5	\$3,388.5	\$5,793.2	\$5,793.2	(\$2,404.7)	-41.5%

GOVERNMENTAL FUNDS FOOTNOTES

October 2009 - Exhibit A Notes

1. On February 17, 2009, President Obama signed into law the American Recovery and Reinvestment Act of 2009 (ARRA). This Act contains provisions for direct federal aid for fiscal relief, consisting of increases in the Federal matching rate for eligible State Medicaid expenditures and funds provided through the Federal State Fiscal Stabilization Fund to restore proposed reductions in education, higher education, and to maintain essential government services. Information on state disbursement resulting from ARRA can be found in Appendix C of this report

2. Special Revenue Federal Funds disbursements include the payments made by the State which will be reimbursed by the Federal Government in November 2009

Federal DHHS (Medicaid)	\$37.7 million
Federal DHHS (All Other)	71.8
Federal USDA/Food and Consumer Services	0.4
Federal DHHS/Block Grant	--
Federal Education	48.5
Federal Miscellaneous Operating Grants	--
Federal Employment and Training Grants	1.8

3. Total debt service disbursements include principal and interest on general obligation bonds and lease-purchase / contractual obligation payments. For a complete analysis of debt payments, please refer to **Schedule 5 and Schedule 5a**.

4. Certain disbursements from Capital Projects Funds are financed by operating transfers from other funds, proceeds of State bonds and notes, and reimbursements received from Public Authorities and the Federal Government. The amounts shown below represent disbursements to be reimbursed in future months from the sources indicated

Urban Development Corporation (Correctional Facilities)	\$58.0 million
Urban Development Corporation (Youth Facilities)	6.5
Housing Finance Agency (HFA)	104.6
Dormitory Authority (Mental Hygiene)	386.4
Dormitory Authority and State University Income Fund	68.4
Federal Capital Projects	244.0
State bond and note proceeds	29.9

5. Operating Transfers constitute legally authorized transfers from a fund receiving revenues to a fund through which disbursements will ultimately be made. The more significant transfers include:

General Fund "Transfers to Other Funds" as follows

State Capital Projects	\$285.1 million
General Debt Service	1,471.6
Banking Services	49.1
Court Facilities Incentive Aid	97.4
State University Income	90.0
NYC County Courts Operating	8.3
Housing Debt Fund	7.6
Alcoholic Beverage Control Account	11.0
Empire State Stem Cell Trust	4.3
Mass Transportation Operating Assistance	19.2

Also included in the General Fund are transfers representing payments for patients residing in State operated Health, Mental Hygiene and State University facilities to Debt Service Funds (\$20.4m), the State University Income Fund (\$173.6m) and the Mental Hygiene Program Account (\$1,377.9m)

Special Revenue Funds "Transfers To Other Funds" includes transfers to Debt Service Funds (\$1,961.5m) representing the federal share of Medicaid payments for patients residing in State operated Health and Mental Hygiene facilities

Also included in Special Revenue funds are transfers to the General Fund from the following

Tribal State Compact Revenue Account	\$17.3 million
Workers Compensation Board	62.7

Statewide Public Safety Communications Account	40.0
Insurance Department Account	15.0
Code Enforcement Account	5.0
Revenue Arrearage Account	15.0
Youth Facility Per Diem	132.3
Banking Department	8.0
OTDA Earned Revenue Account	10.0
DMV Compulsory Account	6.0
Federal Health and Human Services Account	41.0
Training & Education Program on OSHA	7.0
Indigent Legal Services	7.2
Unemployment Insurance Interest & Penalty	5.0
Business Licensing Account	20.0

Debt Service Funds "Transfers To Other Funds" includes transfers to the General Fund from the following funds:

Revenue Bond Tax	\$4,203.5 million
Local Government Assistance Tax	1,353.4
Clean Water/Clean Air	98.0

Also included in Debt Service Funds are transfers to Special Revenue Funds representing receipts in excess of lease-purchase obligations that are used to finance a portion of the operating expenses for Departments of Health (\$80.8m), Mental Hygiene (\$1,731.5m) and the State University (\$178.9m)

Capital Projects Funds "Transfers To Other Funds" includes transfers to the General Fund (\$66.8m), to the General Debt Service Fund (\$553.2m) and to the Revenue Bond Tax Fund (\$50.3m)

6. The State receives moneys that represent refunds, pharmacy rebates, reimbursements, or disallowances of medical assistance payments previously made from appropriated State and Federal funds. These monies are initially credited to an agency escrow account and shortly after receipt are allocated and refunded to State or Federal fund appropriations from which the medical assistance payments were originally made.

At month end, the following balances remained in agency escrow accounts and accounting entries have been made to reduce medical assistance spending and count these monies as financial resources of the funds shown.

Account	Allocation of Month-End Balances	
	General Fund	Special Revenue-Federal
Medicaid Recoveries - Health Facilities	\$ --	\$3,185,630
Medicaid Recoveries - Audit	--	8,832,695
Medicaid Recoveries - Third Parties	--	14,215,462
Pharmacy Rebates	--	4,547,148
Medicare Catastrophic Recovery	--	--
Medicaid "Windfall" Recovery	--	--
Total	\$ --	\$30,780,935

7. A portion of personal income tax receipts is also transferred to the State Special Revenue School Tax Relief (STAR) Fund to be used to reimburse school districts for the STAR property tax exemptions for homeowners and payments to homeowners for the STAR Property Rebate Program. Local Assistance Education grant payments total (\$695.6m) for the month of June, (\$221.0m) for the month of September and (\$12.4m) for the month of October

8. The Health Insurance Fund - Reserve for Rate Fluctuations Account, holds health insurance dividends which are used to offset the State's contribution for employee health insurance premiums. As of October 31, 2009, the Account had a balance of \$549.2m but only \$158.2m in appropriation authority was available to offset the State's contribution for employee health insurance. This will leave a balance of \$391.0m in available cash for future offset or refunds to participating employees and pensioners

GOVERNMENTAL FUNDS FOOTNOTES (continued)

9. Miscellaneous receipts in Governmental Funds include:

	GENERAL FUND	SPECIAL REVENUE	DEBT SERVICE	CAPITAL PROJECTS	7 Months Ended October 31		\$ Increase/ (Decrease)
					2009	2008	
(amounts in millions)							
Abandoned Property:							
Abandoned Property	\$ 207.0	\$ --	\$ --	\$ --	\$ 207.0	\$ 105.0	\$ 102.0
Unclaimed Bottle Deposits	4.2	--	--	--	4.2	--	4.2
Interest Earnings	11.3	16.4	0.7	0.6	29.0	171.2	(142.2)
Receipts from Public Authorities:							
Bond Issuance Fees	68.6	7.2	--	--	75.8	71.7	4.1
Cost Recovery Assessments	--	5.3	--	--	5.3	5.6	(0.3)
Empire State/Urban Development Corporation	--	--	--	0.7	0.7	0.2	0.5
Environmental Facilities Corporation	--	5.0	--	--	5.0	4.8	0.2
Hudson River Park Trust	--	--	--	12.1	12.1	3.5	8.6
Lower Manhattan Development Corporation	--	--	--	--	--	0.4	(0.4)
Metropolitan Transportation Authority	--	--	--	--	--	20.0	(20.0)
Power Authority	103.0	3.3	--	0.2	106.5	63.8	42.7
State of NY Mortgage Agency	8.5	--	--	--	8.5	101.0	(92.5)
Thruway Authority - Policing the Thruway	--	32.8	--	--	32.8	26.2	6.6
Bond Proceeds							
Dormitory Authority	--	21.3	--	663.2	684.5	481.1	203.4
Empire State/Urban Development Corporation	--	--	--	394.5	394.5	247.3	147.2
Environmental Facilities Corporation	--	--	--	36.2	36.2	13.1	23.1
Housing Finance Agency	--	--	--	87.4	87.4	89.4	(2.0)
Thruway Authority	--	--	--	285.1	285.1	192.0	93.1
All Other	0.3	0.8	--	0.2	1.3	10.4	(9.1)
Refunds and Reimbursements:							
Receipts from Municipalities	91.9	203.9	10.3	--	306.1	205.8	100.3
Women, Infants and Children Rebates	--	60.5	--	--	60.5	67.5	(7.0)
HESC Student Loan Recoveries	--	40.5	--	--	40.5	57.0	(16.5)
Administrative Recoveries	34.9	49.6	--	--	84.5	70.8	13.7
Indirect Cost Assessments	69.1	--	--	--	69.1	41.6	27.5
Reimbursements from Cornell University	12.5	--	--	--	12.5	12.8	(0.3)
Hazardous Waste and Oil Spill	--	5.4	--	12.3	17.7	12.3	5.4
Third Party Recoveries	6.8	71.3	--	--	78.1	1.3	76.8
All Other	53.4	15.6	1.1	2.9	73.0	36.8	36.2
Health Care Reform Act:							
Public Goods and Health Care Initiatives Pools	--	2,286.8	--	--	2,286.8	1,872.7	414.1
Public Asset Transfers	--	95.0	--	--	95.0	--	95.0
Revenues of State Departments:							
Patient/Client Care Reimbursements	--	779.5	262.5	--	1,042.0	958.9	83.1
Medical Care Provider Assessments	88.7	424.4	--	--	513.1	424.1	89.0
Industry Assessments - Regular	40.7	605.4	--	17.7	663.8	524.3	139.5
Industry Assessments - Temporary Utility Surcharge	601.8	--	--	--	601.8	--	601.8
Student Tuition, Fees and Other SUNY Revenues	--	1,042.0	219.5	--	1,261.5	1,109.5	152.0
Student Tuition, Fees and Other CUNY Revenues	--	77.1	--	--	77.1	71.6	5.5
EPIC Fees and Rebates	--	119.0	--	--	119.0	115.8	3.2
Miscellaneous Sales, Rentals and Leases	6.0	15.0	--	5.3	26.3	29.3	(3.0)
Gifts and Unclaimed Property	0.5	12.1	--	--	12.6	13.5	(0.9)
All Other	(1.1)	12.6	--	0.2	11.7	15.4	(3.7)
Gaming:							
Lottery - Education	--	1,055.7	--	--	1,055.7	1,011.2	44.5
Lottery - Administration	--	330.3	--	--	330.3	319.8	10.5
Video Lottery Terminal - Education	--	268.4	--	--	268.4	260.1	8.3
Video Lottery Terminal - Administration	--	24.6	--	--	24.6	20.9	3.7
Casinos	--	64.8	--	--	64.8	63.6	1.2
Licenses and Fees	241.6	567.5	--	69.3	878.4	916.9	(38.5)
Fines	174.8	83.5	--	3.6	261.9	218.8	43.1
TOTAL	\$ 1,824.5	\$ 8,402.6	\$ 494.1	\$ 1,591.5	\$ 12,312.7	\$ 10,059.0	\$ 2,253.7

STATE OF NEW YORK
 PROPRIETARY FUNDS
 COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN EQUITY
 (amounts in millions)

EXHIBIT B

	ENTERPRISE		INTERNAL SERVICE		TOTAL PROPRIETARY FUNDS (memorandum only)			
	MONTH OF OCT. 2009	7 MOS. ENDED OCT. 31, 2009	MONTH OF OCT. 2009	7 MOS. ENDED OCT. 31, 2009	MONTH OF OCT. 2009	7 MOS. ENDED OCT. 31, 2009	MONTH OF OCT. 2008	7 MOS. ENDED OCT. 31, 2008
RECEIPTS:								
Miscellaneous Receipts	\$5.7	\$40.4	\$31.8	\$232.5	\$37.5	\$272.9	\$61.8	\$337.5
Federal Receipts (*)(**)	428.0	3,052.0	--	--	428.0	3,052.0	94.9	394.1
Unemployment Taxes	333.7	2,515.3	--	--	333.7	2,515.3	221.5	1,462.3 (***)
TOTAL RECEIPTS	767.4	5,607.7	31.8	232.5	799.2	5,840.2	378.2	2,193.9
DISBURSEMENTS:								
Departmental Operations:								
Personal Service	0.3	4.4	9.0	70.9	9.3	75.3	14.8	78.4
Non-Personal Service	5.0	32.7	33.4	248.4	38.4	281.1	46.4	289.7
General State Charges	0.4	1.2	1.2	25.3	1.6	26.5	2.7	29.8
Unemployment Benefits (**)	729.6	5,492.1	--	--	729.6	5,492.1	284.3	1,821.4 (***)
TOTAL DISBURSEMENTS	735.3	5,530.4	43.6	344.6	778.9	5,875.0	348.2	2,219.3
EXCESS (DEFICIENCY) OF RECEIPTS OVER DISBURSEMENTS	32.1	77.3	(11.8)	(112.1)	20.3	(34.8)	30.0	(25.4)
OTHER FINANCING SOURCES (USES):								
Transfers from Other Funds	--	--	4.9	52.9	4.9	52.9	6.5	46.4
Transfers to Other Funds	--	--	--	(4.0)	--	(4.0)	--	(2.0)
NET SOURCES (USES)	--	--	4.9	48.9	4.9	48.9	6.5	44.4
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	32.1	77.3	(6.9)	(63.2)	25.2	14.1	36.5	19.0
BEGINNING FUND EQUITY (DEFICITS)	(9.9)	(55.1)	(28.9)	27.4	(38.8)	(27.7)	(35.7)	(18.2)
ENDING FUND EQUITY (DEFICITS)	\$22.2	\$22.2	(\$35.8)	(\$35.8)	(\$13.6)	(\$13.6)	\$0.8	\$0.8

(*) Due to economic downturn, Governor Patterson signed the Emergency Unemployment Compensation agreement on July 2, 2008, which extended unemployment compensation benefits and resulted in the increase of the Federal Receipts of \$376.9 million, July through October 2008; and, \$433.5 million, April through July 2009, when the program ended.

(**) A summary of American Recovery and Reinvestment Act (ARRA) disbursements is located in Appendix C.

(***) The increase in Unemployment Taxes and Benefits is due to an increase in unemployment claims filed because of the economic downturn and a change in accounting treatment of Federal Withholding Taxes deducted from Unemployment Benefits. To provide greater transparency and accountability, Federal Withholding Taxes deducted from Unemployment Benefits will be added to Unemployment Benefits paid and Unemployment Taxes received rather than as a reduction of Unemployment Taxes received. To implement this change, Unemployment Taxes and Benefits were increased by \$10.2 million for the month of October 2008 and \$72.5 million for the 7 months ended in October 2008 to reflect Withholding Taxes deducted for comparative purposes.

STATE OF NEW YORK
TRUST FUNDS
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(amounts in millions)

EXHIBIT C

	PENSION		PRIVATE PURPOSE		TOTAL TRUST FUNDS (memorandum only)			
	MONTH OF OCT. 2009	7 MOS. ENDED OCT. 31, 2009	MONTH OF OCT. 2009	7 MOS. ENDED OCT. 31, 2009	MONTH OF OCT. 2009	7 MOS. ENDED OCT. 31, 2009	MONTH OF OCT. 2008	7 MOS. ENDED OCT. 31, 2008
RECEIPTS:								
Miscellaneous Receipts	\$4.7	\$58.5	\$0.2	\$0.7	\$4.9	\$59.2	\$7.9	\$58.7
TOTAL RECEIPTS	<u>4.7</u>	<u>58.5</u>	<u>0.2</u>	<u>0.7</u>	<u>4.9</u>	<u>59.2</u>	<u>7.9</u>	<u>58.7</u>
DISBURSEMENTS:								
Departmental Operations:								
Personal Service	4.1	32.6	--	0.2	4.1	32.8	5.6	30.5
Non-Personal Service	1.1	12.4	--	--	1.1	12.4	2.5	18.2
General State Charges	4.0	17.9	0.1	0.1	4.1	18.0	0.1	10.3
TOTAL DISBURSEMENTS	<u>9.2</u>	<u>62.9</u>	<u>0.1</u>	<u>0.3</u>	<u>9.3</u>	<u>63.2</u>	<u>8.2</u>	<u>59.0</u>
EXCESS (DEFICIENCY) OF RECEIPTS OVER DISBURSEMENTS	<u>(4.5)</u>	<u>(4.4)</u>	<u>0.1</u>	<u>0.4</u>	<u>(4.4)</u>	<u>(4.0)</u>	<u>(0.3)</u>	<u>(0.3)</u>
OTHER FINANCING SOURCES (USES):								
Transfers from Other Funds	--	--	--	--	--	--	--	--
Transfers to Other Funds	--	--	--	--	--	--	--	--
NET SOURCES (USES)	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(4.5)	(4.4)	0.1	0.4	(4.4)	(4.0)	(0.3)	(0.3)
BEGINNING FUND EQUITY (DEFICITS)	<u>--</u>	<u>(0.1)</u>	<u>10.2</u>	<u>9.9</u>	<u>10.2</u>	<u>9.8</u>	<u>9.4</u>	<u>9.4</u>
ENDING FUND EQUITY (DEFICITS)	<u>(\$4.5)</u>	<u>(\$4.5)</u>	<u>\$10.3</u>	<u>\$10.3</u>	<u>\$5.8</u>	<u>\$5.8</u>	<u>\$9.1</u>	<u>\$9.1</u>

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 STATE FISCAL YEAR ENDED MARCH 31, 2010
 FOR SEVEN (7) MONTHS ENDED OCTOBER 31, 2009
 (amounts in millions)

EXHIBIT D

	ALL GOVERNMENTAL FUNDS		
	Financial Plan (*)	Actual	Actual Over (Under) Financial Plan
RECEIPTS:			
Taxes.....	\$30,780	\$30,694.7	(\$85.3)
Miscellaneous Receipts.....	12,417	12,312.7	(104.3)
Federal Receipts.....	25,130	25,393.2	263.2
Total Receipts.....	68,327	68,400.6	73.6
DISBURSEMENTS:			
Local Assistance Grants.....	50,081	49,448.3	(632.7)
Departmental Operations.....	11,332	11,335.0	3.0
General State Charges.....	2,795	2,797.3	2.3
Debt Service.....	2,578	2,594.6	16.6
Capital Projects.....	3,467	3,378.8	(88.2)
Total Disbursements.....	70,253	69,554.0	(699.0)
Excess (Deficiency) of Receipts over Disbursements.....	(1,926)	(1,153.4)	772.6
OTHER FINANCING SOURCES (USES):			
Bond and Note Proceeds, net.....	32	--	(32.0)
Transfers from Other Funds.....	14,274	14,336.4	62.4
Transfers to Other Funds.....	(14,310)	(14,380.3)	70.3
Total Other Financing Sources (Uses).....	(4.0)	(43.9)	(39.9)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	(1,930)	(1,197.3)	732.7
Fund Balances (Deficit) at April 1.....	4,585	4,585.8	0.8
Fund Balances (Deficit) at October 31.....	\$2,655	\$3,388.5	\$733.5

(*) Source: DOB, 2009-10 Mid Year Financial Plan Update dated October 30, 2009.

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 STATE FISCAL YEAR ENDED MARCH 31, 2010
 FOR SEVEN (7) MONTHS ENDED OCTOBER 31, 2009
 (amounts in millions)

EXHIBIT D
 (continued)

	GENERAL			SPECIAL REVENUE		
	Financial Plan (*)	Actual	Actual Over (Under) Financial Plan	Financial Plan (*)	Actual	Actual Over (Under) Financial Plan
RECEIPTS:						
Taxes:						
Personal Income	\$12,637	\$12,543.5	(\$93.5)	\$932	\$931.6	(\$0.4)
Consumption/Use.....	4,743	4,738.4	(4.6)	1,240	1,228.3	(11.7)
Business.....	2,552	2,547.9	(4.1)	836	832.5	(3.5)
Other.....	563	557.7	(5.3)	--	45.9	45.9
Miscellaneous Receipts.....	1,816	1,824.5	8.5	8,560	8,402.6	(157.4)
Federal Receipts.....	45	45.3	0.3	23,999	24,231.7	232.7
Bond and Note Proceeds, net.....	--	--	--	--	--	--
Transfers From:						
PIT in excess of Revenue Bond Debt Service.....	4,226	4,203.5	(22.5)	--	--	--
Sales Tax in excess of LGAC Debt Service.....	1,362	1,353.4	(8.6)	--	--	--
Real Estate Taxes in excess of CW/CA Debt Service.....	74	98.0	24.0	--	--	--
All Other.....	466	461.3	(4.7)	3,772	3,779.5	7.5
Total Receipts.....	28,484	28,373.5	(110.5)	39,339	39,452.1	113.1
DISBURSEMENTS:						
Local Assistance Grants.....	18,621	18,438.8	(182.2)	30,882	30,354.1	(527.9)
Departmental Operations.....	5,313	5,313.1	0.1	5,990	5,994.5	4.5
General State Charges.....	1,702	1,717.9	15.9	1,093	1,079.4	(13.6)
Debt Service.....	--	--	--	--	--	--
Capital Projects.....	--	--	--	6	5.5	(0.5)
Transfers To:						
Debt Service.....	1,473	1,471.6	(1.4)	--	--	--
Capital Projects.....	277	285.1	8.1	--	--	--
State Share Medicaid.....	1,377	1,377.9	0.9	--	--	--
Other Purposes.....	479	483.6	4.6	2,413	2,460.9	47.9
Total Disbursements.....	29,242	29,088.0	(154.0)	40,384	39,894.4	(489.6)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	(758)	(714.5)	43.5	(1,045)	(442.3)	602.7
Fund Balances (Deficit) at April 1.....	1,948	1,948.5	0.5	2,846	2,846.4	0.4
Fund Balances (Deficit) at October 31.....	\$1,190	\$1,234.0	\$44.0	\$1,801	\$2,404.1	\$603.1

(*) Source: DOB, 2009-10 Mid-Year Financial Plan Update dated October 30, 2009.

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 STATE FISCAL YEAR ENDED MARCH 31, 2010
 FOR SEVEN (7) MONTHS ENDED OCTOBER 31, 2009
 (amounts in millions)

EXHIBIT D
(continued)

	DEBT SERVICE			CAPITAL PROJECTS		
	Financial Plan (*)	Actual	Actual Over (Under) Financial Plan	Financial Plan (*)	Actual	Actual Over (Under) Financial Plan
RECEIPTS:						
Taxes.....	\$6,106	\$6,082.3	(\$23.7)	\$1,171	\$1,186.6	\$15.6
Miscellaneous Receipts.....	488	494.1	6.1	1,553	1,591.5	38.5
Federal Receipts.....	--	--	--	1,086	1,116.2	30.2
Bond and Note Proceeds, net.....	--	--	--	32	--	(32.0)
Transfers from Other Funds.....	3,997	4,046.4	49.4	377	394.3	17.3
Total Receipts.....	10,591	10,622.8	31.8	4,219	4,288.6	69.6
DISBURSEMENTS:						
Local Assistance Grants.....	--	--	--	578	655.4	77.4
Departmental Operations.....	29	27.4	(1.6)	--	--	--
General State Charges.....	--	--	--	--	--	--
Debt Service.....	2,578	2,594.6	16.6	--	--	--
Capital Projects.....	--	--	--	3,461	3,373.3	(87.7)
Transfers to Other Funds.....	7,671	7,681.2	10.2	620	620.0	--
Total Disbursements.....	10,278	10,303.2	25.2	4,659	4,648.7	(10.3)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	313	319.6	6.6	(440)	(360.1)	79.9
Fund Balances (Deficit) at April 1.....	298	298.1	0.1	(507)	(507.2)	(0.2)
Fund Balances (Deficit) at October 31.....	\$611	\$617.7	\$6.7	(\$947)	(\$867.3)	\$79.7

(*) Source: DOB, 2009-10 Mid-Year Financial Plan Update dated October 30, 2009.

STATE OF NEW YORK
GOVERNMENTAL FUNDS
COMPARATIVE SCHEDULE OF TAX RECEIPTS
(amounts in millions)

EXHIBIT "E"

	GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS				YEAR OVER YEAR	
	MONTH OF OCT. 2009	7 MOS. ENDED OCT. 31, 2009	MONTH OF OCT. 2009	7 MOS. ENDED OCT. 31, 2009	MONTH OF OCT. 2009	7 MOS. ENDED OCT. 31, 2009	MONTH OF OCT. 2009	7 MOS. ENDED OCT. 31, 2009	MONTH OF OCT. 2009	7 MOS. ENDED OCT. 31, 2009	MONTH OF OCT. 2008	7 MOS. ENDED OCT. 31, 2008	\$ Increase / (Decrease)	% Increase / Decrease
PERSONAL INCOME TAX														
Withholding	\$1,995.5	\$14,434.2	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$1,995.5	\$14,434.2	\$2,092.2	\$14,683.3	(\$249.1)	-1.7%
Estimated payments	62.8	5,489.6	--	--	--	--	--	--	62.8	5,489.6	104.9	9,314.9	(3,825.3)	-41.1%
Final returns	255.8	1,656.3	--	--	--	--	--	--	255.8	1,656.3	340.8	2,528.6	(872.3)	-34.5%
State/City Offsets	(178.8)	119.4	--	--	--	--	--	--	(178.8)	119.4	(46.8)	(204.1)	323.5	158.5%
Other (Assessments/LLC)	72.7	543.6	--	--	--	--	--	--	72.7	543.6	71.3	464.0	79.6	17.2%
Gross Receipts	2,208.0	22,243.1	--	--	--	--	--	--	2,208.0	22,243.1	2,562.4	26,786.7	(4,543.6)	-17.0%
Transfers to School Tax Relief Fund	(15.0)	(931.6)	15.0	931.6	--	--	--	--	--	--	--	--	--	--
Transfers to Revenue Bond Tax Fund	(476.7)	(4,491.7)	--	--	476.7	4,491.7	--	--	--	--	--	--	--	--
Less: Refunds Issued	(301.1)	(4,276.3)	--	--	--	--	--	--	(301.1)	(4,276.3)	(363.6)	(4,094.7)	181.6	4.4%
Total	1,415.2	12,543.5	15.0	931.6	476.7	4,491.7	--	--	1,906.9	17,966.8	2,198.8	22,692.0	(4,725.2)	-20.8%
CONSUMPTION / USE TAXES AND FEES														
Sales and Use	566.5	4,286.9	52.0	430.3	188.8	1,424.5	--	--	807.3	6,141.7	835.0	6,646.7	(505.0)	-7.6%
Auto Rental	--	--	--	9.5	--	--	0.1	24.1	0.1	33.6	--	34.8	(1.2)	-3.4%
Motor Vehicle	--	--	36.0	164.2	--	--	59.4	360.8	95.4	525.0	92.7	443.2	81.8	18.5%
Cigarette/Tobacco Products	45.9	285.1	62.8	561.4	--	--	--	--	108.7	846.5	124.0	785.8	60.7	7.7%
Motor Fuel	--	--	9.7	62.9	--	--	36.0	238.8	45.7	301.7	42.8	298.2	3.5	1.2%
Alcoholic Beverage	19.0	133.7	--	--	--	--	--	--	19.0	133.7	16.5	124.1	9.6	7.7%
Highway Use	--	--	--	--	--	--	12.3	84.7	12.3	84.7	11.7	86.0	(1.3)	-1.5%
Alcoholic Beverage Control Licenses	3.6	32.7	--	--	--	--	--	--	3.6	32.7	3.3	24.7	8.0	32.4%
Total	635.0	4,738.4	160.5	1,228.3	188.8	1,424.5	107.8	708.4	1,092.1	8,099.6	1,126.0	8,443.5	(343.9)	-4.1%
BUSINESS TAXES														
Corporation Franchise	11.1	1,056.5	4.7	194.2	--	--	--	--	15.8	1,250.7	61.1	1,420.5	(169.8)	-12.0%
Corporation and Utilities	7.8	322.8	(6.2)	92.2	--	--	(0.8)	8.2	0.8	423.2	16.5	402.8	20.4	5.1%
Insurance	11.8	520.8	2.3	124.2	--	--	--	--	14.1	645.0	2.6	520.6	124.4	23.9%
Bank	124.0	647.8	27.2	125.1	--	--	--	--	151.2	772.9	(58.5)	522.0	250.9	48.1%
Petroleum Business	--	--	42.9	296.8	--	--	53.6	370.3	96.5	667.1	91.3	648.8	18.3	2.8%
Total	154.7	2,547.9	70.9	832.5	--	--	52.8	378.5	278.4	3,758.9	113.0	3,514.7	244.2	6.9%
OTHER TAXES (*)														
Real Property Gains	--	(0.6)	--	--	--	--	--	--	--	(0.6)	--	0.1	(0.7)	-700.0%
Estate and Gift	59.3	544.9	--	--	--	--	--	--	59.3	544.9	86.9	829.7	(284.8)	-34.3%
Pari-Mutuel	1.5	12.9	--	--	--	--	--	--	1.5	12.9	1.7	14.5	(1.6)	-11.0%
Real Estate Transfer	--	--	--	--	27.1	166.1	19.9	99.7	47.0	265.8	62.2	499.2	(233.4)	-46.8%
Racing and Exhibitions	0.3	0.5	--	--	--	--	--	--	0.3	0.5	0.1	0.6	(0.1)	-16.7%
Metropolitan Commuter Transportation Mobility	--	--	45.9	45.9	--	--	--	--	45.9	45.9	--	--	45.9	100.0%
Total	61.1	557.7	45.9	45.9	27.1	166.1	19.9	99.7	154.0	869.4	150.9	1,344.1	(474.7)	-35.3%
TOTAL TAX RECEIPTS	\$2,266.0	\$20,387.5	\$292.3	\$3,038.3	\$692.6	\$6,082.3	\$180.5	\$1,186.6	\$3,431.4	\$30,694.7	\$3,588.7	\$35,994.3	(\$5,299.6)	-14.7%

(*) Other Taxes include the new Metropolitan Commuter Transportation Mobility Tax. This tax is collected by New York State on behalf of, and transferred to, the MTA.

**STATE OF NEW YORK
GENERAL FUND
STATEMENT OF CASH FLOW
FISCAL YEAR 2009-2010
(amounts in millions)**

EXHIBIT "F"

	2009							2010					7 Months Ended Oct. 31			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2009	2008	\$ Increase/ (Decrease)	% Increase/ Decrease
OPENING CASH BALANCE	\$1,948.5	\$2,799.2	\$36.7	\$1,027.2	\$1,012.7	\$713.2	\$2,430.3						\$1,948.5	\$2,754.0	(\$805.5)	-29.2%
RECEIPTS:																
Personal Income Tax	2,866.5	744.3	2,058.2	1,629.6	1,477.6	2,352.1	1,415.2						12,543.5	14,450.2	(1,906.7)	-13.2%
Consumption/Use Taxes and Fees	614.4	593.7	804.1	613.2	618.4	859.6	635.0						4,738.4	5,046.7	(308.3)	-6.1%
Business Taxes	61.0	(16.0)	1,195.3	34.6	108.5	1,009.8	154.7						2,547.9	2,431.9	116.0	4.8%
Other Taxes	51.0	95.6	64.9	83.0	63.5	138.6	61.1						557.7	844.9	(287.2)	-34.0%
Miscellaneous Receipts	81.4	199.7	253.5	(47.2)	192.2	991.7	153.2						1,824.5	1,130.8	693.7	61.3%
Federal Receipts	5.2	24.4	--	--	15.6	--	0.1						45.3	30.7	14.6	47.6%
Total Receipts	3,679.5	1,641.7	4,376.0	2,313.2	2,475.8	5,351.8	2,419.3	0.0	0.0	0.0	0.0	0.0	22,257.3	23,935.2	(1,677.9)	-7.01%
DISBURSEMENTS:																
Local Assistance Grants:																
General Purpose	--	11.3	427.4	--	0.2	157.0	14.3						610.2	561.0	49.2	8.8%
Education	669.3	2,848.1	2,822.4	236.4	834.8	1,581.3	1,098.3						10,090.6	9,163.7	926.9	10.1%
Social Services:																
Medicaid	805.6	492.0	180.8	628.8	661.4	958.3	503.4						4,230.3	5,906.6	(1,676.3)	-28.4%
Other Social Services	83.9	218.3	143.6	529.6	182.0	343.8	454.5						1,955.7	1,762.4	193.3	11.0%
Health and Environment	119.9	118.7	110.1	189.5	152.3	136.2	90.0						916.7	702.6	214.1	30.5%
Mental Hygiene	13.0	21.7	26.5	28.4	32.7	43.1	45.1						210.5	225.3	(14.8)	-6.6%
Transportation	--	13.0	5.1	0.2	22.4	2.3	0.5						43.5	63.9	(20.4)	-31.9%
Criminal Justice	11.8	13.6	7.3	8.8	11.5	22.2	10.1						85.3	91.0	(5.7)	-6.3%
Emergency Management & Security Services	8.2	2.9	1.4	4.1	0.9	2.6	1.2						21.3	11.2	10.1	90.2%
Miscellaneous	42.1	28.3	13.0	35.2	23.0	50.4	82.7						274.7	305.1	(30.4)	-10.0%
Total Local Assistance Grants	1,753.8	3,767.9	3,737.6	1,661.0	1,921.2	3,297.2	2,300.1	0.0	0.0	0.0	0.0	0.0	18,438.8	18,792.8	(354.0)	-1.9%
Departmental Operations:																
Personal Service	747.7	460.2	515.3	607.5	563.1	615.5	628.5						4,137.8	4,022.9	114.9	2.9%
Non-Personal Service	213.0	187.5	163.3	148.0	188.5	157.7	117.3						1,175.3	1,366.0	(190.7)	-14.0%
General State Charges	386.6	4.1	219.6	268.0	310.3	214.4	314.9						1,717.9	2,381.3	(663.4)	-27.9%
Total Disbursements	3,101.1	4,419.7	4,635.8	2,684.5	2,983.1	4,284.8	3,360.8	0.0	0.0	0.0	0.0	0.0	25,469.8	26,563.0	(1,093.2)	-4.1%
Excess (Deficiency) of Receipts over Disbursements	578.4	(2,778.0)	(259.8)	(371.3)	(507.3)	1,067.0	(941.5)	0.0	0.0	0.0	0.0	0.0	(3,212.5)	(2,627.8)	(584.7)	-22.3%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	1,148.4	436.5	1,391.8	761.0	373.6	1,250.9	754.0						6,116.2	7,353.0	(1,236.8)	-16.8%
Transfers to State Capital Projects	(31.0)	(40.2)	(28.9)	(63.9)	73.2	(108.0)	(86.3)						(285.1)	(500.1)	(215.0)	-43.0%
Transfers to General Debt Service	(487.8)	(91.5)	(30.9)	(14.3)	(36.2)	(257.6)	(553.3)						(1,471.6)	(957.0)	514.6	53.8%
Transfers to All Other State Funds	(357.3)	(289.3)	(81.7)	(326.0)	(202.8)	(235.2)	(369.2)						(1,861.5)	(2,134.4)	(272.9)	-12.8%
Total Other Financing Sources (Uses)	272.3	15.5	1,250.3	356.8	207.8	650.1	(254.8)	0.0	0.0	0.0	0.0	0.0	2,498.0	3,761.5	(1,263.5)	-33.6%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	850.7	(2,762.5)	990.5	(14.5)	(299.5)	1,717.1	(1,196.3)	0.0	0.0	0.0	0.0	0.0	(714.5)	1,133.7	(1,848.2)	-163.0%
CLOSING CASH BALANCE	<u>\$2,799.2</u>	<u>\$36.7</u>	<u>\$1,027.2</u>	<u>\$1,012.7</u>	<u>\$713.2</u>	<u>\$2,430.3</u>	<u>\$1,234.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$1,234.0</u>	<u>\$3,887.7</u>	<u>(\$2,653.7)</u>	<u>-68.3%</u>

STATE OF NEW YORK
GENERAL FUND
CASH FLOW SCHEDULE OF TAX RECEIPTS
FISCAL YEAR 2009-2010
(amounts in millions)

EXHIBIT "F"
TAX RECEIPTS

	7 Months Ended Oct. 31											2009	2008	
	2009 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2010 JANUARY	FEBRUARY			MARCH
PERSONAL INCOME TAX														
Withholdings	\$2,078.6	\$1,987.2	\$2,092.5	\$2,249.9	\$2,007.1	\$2,023.4	\$1,995.5						\$14,434.2	\$14,683.3
Estimated payments (*)	2,652.3	(96.6)	1,321.3	49.5	43.8	1,456.5	62.8						5,489.6	9,314.9
Final returns	1,234.9	40.6	30.7	29.9	24.4	40.0	255.8						1,656.3	2,528.6
State/City Offsets	(25.0)	117.7	240.3	(6.9)	(9.9)	(18.0)	(178.8)						119.4	(204.1)
Other (Assessments/LLC)	129.9	68.3	85.8	69.0	57.8	60.1	72.7						543.6	464.0
Gross Receipts	6,070.7	2,117.2	3,770.6	2,391.4	2,123.2	3,562.0	2,208.0	0.0	0.0	0.0	0.0	0.0	22,243.1	26,786.7
Transfers to School Tax Relief Fund	--	--	(695.6)	--	--	(221.0)	(15.0)						(931.6)	(2,568.8)
Transfers to Revenue Bond Tax Fund	(955.5)	(248.1)	(917.9)	(543.2)	(492.6)	(857.7)	(476.7)						(4,491.7)	(5,673.0)
Refunds issued	(2,248.7)	(1,124.8)	(98.9)	(218.6)	(153.0)	(131.2)	(301.1)						(4,276.3)	(4,094.7)
Total Personal Income Tax	2,866.5	744.3	2,058.2	1,629.6	1,477.6	2,352.1	1,415.2	0.0	0.0	0.0	0.0	0.0	12,543.5	14,450.2
CONSUMPTION/USE TAXES AND FEES														
Sales and Use	551.3	535.0	740.1	543.5	555.6	794.9	566.5						4,286.9	4,627.3
Auto Rental	--	--	--	--	--	--	--						--	--
Motor Vehicle	--	--	--	--	--	--	--						--	--
Cigarette/Tobacco Products	40.8	36.7	39.9	39.3	40.8	41.7	45.9						285.1	270.6
Motor Fuel	--	--	--	--	--	--	--						--	--
Alcoholic Beverage	16.5	17.8	19.4	25.7	16.9	18.4	19.0						133.7	124.1
Highway Use	--	--	--	--	--	--	--						--	--
Alcoholic Beverage Control Licenses	5.8	4.2	4.7	4.7	5.1	4.6	3.6						32.7	24.7
Total Consumption/Use Taxes and Fees	614.4	593.7	804.1	613.2	618.4	859.6	635.0	0.0	0.0	0.0	0.0	0.0	4,738.4	5,046.7
BUSINESS TAXES														
Corporation Franchise	(0.1)	(31.9)	472.7	23.8	62.5	518.4	11.1						1,056.5	1,213.8
Corporation and Utilities	28.5	2.3	130.9	0.4	7.3	145.6	7.8						322.8	300.8
Insurance	1.7	7.8	264.0	(0.8)	32.0	204.3	11.8						520.8	483.5
Bank	30.9	5.8	327.7	11.2	6.7	141.5	124.0						647.8	433.8
Petroleum Business	--	--	--	--	--	--	--						--	--
Total Business Taxes	61.0	(16.0)	1,195.3	34.6	108.5	1,009.8	154.7	0.0	0.0	0.0	0.0	0.0	2,547.9	2,431.9
OTHER TAXES														
Real Property Gains	--	--	--	(0.5)	--	(0.1)	--						(0.6)	0.1
Estate and Gift	50.1	93.8	62.8	81.7	61.0	136.2	59.3						544.9	829.7
Pari-Mutuel	0.8	1.8	2.1	1.7	2.5	2.5	1.5						12.9	14.5
Real Estate Transfer	--	--	--	--	--	--	--						--	--
Racing and Exhibitions	0.1	--	--	0.1	--	--	0.3						0.5	0.6
Metropolitan Commuter Transportation Mobility	--	--	--	--	--	--	--						--	--
Total Other Taxes	51.0	95.6	64.9	83.0	63.5	138.6	61.1	0.0	0.0	0.0	0.0	0.0	557.7	844.9
TOTAL TAX RECEIPTS	\$3,592.9	\$1,417.6	\$4,122.5	\$2,360.4	\$2,268.0	\$4,360.1	\$2,266.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$20,387.5	\$22,773.7

(*) The Department of Taxation and Finance has implemented a new Empire Processing System which allows for more timely distribution adjustments between NYS, NYC and Yonkers. The negative collections are due to adjustments processed in April 2009. Prior to the onset of this new system these adjustments were not processed until receipt of the final PIT returns which occurred later in the Fiscal Year.

**STATE OF NEW YORK
SPECIAL REVENUE FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2009-2010
(amounts in millions)**

**EXHIBIT "G"
COMBINED**

													7 Months Ended Oct. 31			
	2009 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2010 JANUARY	FEBRUARY	MARCH	2009	2008	\$ Increase/ (Decrease)	% Increase/ Decrease
OPENING CASH BALANCE	\$2,846.4	\$3,139.8	\$2,753.8	\$2,691.4	\$3,098.3	\$3,748.7	\$1,663.6						\$2,846.4	\$3,878.6	(\$1,032.2)	-26.6%
RECEIPTS:																
Personal Income Tax	--	--	695.6	--	--	221.0	15.0						931.6	2,568.8	(1,637.2)	-63.7%
Consumption/Use Taxes and Fees	210.1	152.1	181.3	163.2	164.2	196.9	160.5						1,228.3	1,181.9	46.4	3.9%
Business Taxes	56.5	42.0	244.1	65.2	70.6	283.2	70.9						832.5	714.2	118.3	16.6%
Other Taxes (*)	--	--	--	--	--	--	45.9						45.9	--	45.9	100.0%
Miscellaneous Receipts	1,113.0	1,118.8	1,248.9	1,120.2	1,081.0	1,594.4	1,126.3						8,402.6	7,309.7	1,092.9	15.0%
Federal Receipts	2,837.6	3,219.7	3,079.3	3,828.1	3,565.0	3,219.0	4,483.0						24,231.7	19,660.6	4,571.1	23.3%
Total Receipts	4,217.2	4,532.6	5,449.2	5,176.7	4,880.8	5,514.5	5,901.6	0.0	0.0	0.0	0.0	0.0	35,672.6	31,435.2	4,237.4	13.5%
DISBURSEMENTS:																
Local Assistance Grants:																
Education	332.3	563.5	1,065.7	252.3	152.5	2,310.3	315.9						4,992.5	5,435.1	(442.6)	-8.1%
Social Services:																
Medicaid	2,424.6	2,556.9	2,536.7	2,780.4	2,547.5	3,142.4	2,615.5						18,604.0	13,569.2	5,034.8	37.1%
Other Social Services	59.3	214.8	375.7	298.7	501.6	292.9	539.9						2,282.9	2,370.9	(88.0)	-3.7%
Health and Environment	165.3	173.4	212.0	247.6	192.6	388.0	192.8						1,571.7	1,847.3	(275.6)	-14.9%
Mental Hygiene	83.0	64.1	135.5	128.0	110.1	129.4	186.5						836.6	790.6	46.0	5.8%
Transportation	43.6	294.9	191.0	140.3	316.1	150.7	132.1						1,268.7	1,768.3	(499.6)	-28.3%
Criminal Justice	36.3	14.8	28.0	65.9	18.1	17.2	20.6						200.9	155.4	45.5	29.3%
Emergency Management & Security Services	8.2	9.5	7.9	23.2	4.1	13.9	9.2						76.0	49.9	26.1	52.3%
Miscellaneous	57.6	54.6	49.1	98.1	71.9	112.4	77.1						520.8	1,522.8	(1,002.0)	-65.8%
Total Local Assistance Grants	3,210.2	3,946.5	4,601.6	4,034.5	3,914.5	6,557.2	4,089.6	0.0	0.0	0.0	0.0	0.0	30,354.1	27,509.5	2,844.6	10.3%
Departmental Operations:																
Personal Service	562.0	508.8	430.3	528.7	487.9	704.9	619.1						3,841.7	3,620.8	220.9	6.1%
Non-Personal Service	285.4	245.6	356.4	278.1	235.0	374.8	377.5						2,152.8	2,385.2	(232.4)	-9.7%
General State Charges	82.1	387.7	173.3	24.8	50.6	172.5	188.4						1,079.4	1,273.3	(193.9)	-15.2%
Capital Projects	0.9	0.9	0.9	1.0	0.7	0.9	0.2						5.5	4.9	0.6	12.2%
Total Disbursements	4,140.6	5,089.5	5,562.5	4,867.1	4,688.7	7,810.3	5,274.8	0.0	0.0	0.0	0.0	0.0	37,433.5	34,793.7	2,639.8	7.6%
Excess (Deficiency) of Receipts over Disbursements	76.6	(556.9)	(113.3)	309.6	192.1	(2,295.8)	626.8	0.0	0.0	0.0	0.0	0.0	(1,760.9)	(3,358.5)	1,597.6	47.6%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	493.2	633.4	238.6	616.7	737.9	527.9	531.8						3,779.5	3,760.5	19.0	0.5%
Transfers to Other Funds	(276.4)	(462.5)	(187.7)	(519.4)	(279.6)	(317.2)	(418.1)						(2,460.9)	(1,868.9)	592.0	31.7%
Total Other Financing Sources (Uses)	216.8	170.9	50.9	97.3	458.3	210.7	113.7	0.0	0.0	0.0	0.0	0.0	1,318.6	1,891.6	(573.0)	-30.3%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	293.4	(386.0)	(62.4)	406.9	650.4	(2,085.1)	740.5	0.0	0.0	0.0	0.0	0.0	(442.3)	(1,466.9)	1,024.6	69.8%
CLOSING CASH BALANCE	\$3,139.8	\$2,753.8	\$2,691.4	\$3,098.3	\$3,748.7	\$1,663.6	\$2,404.1	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$2,404.1	\$2,411.7	(\$7.6)	-0.3%

(*) Other taxes includes the new Metropolitan Commuter Transportation Mobility Tax. This tax is collected by New York State on behalf of, and transferred to, the Metropolitan Transportation Authority.

**STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2009-2010
(amounts in millions)**

**EXHIBIT "G"
STATE**

	7 Months Ended Oct. 31														\$ Increase/ (Decrease)	% Increase/ Decrease		
	2009 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2010 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	2009			2008	
RECEIPTS:																		
Personal Income Tax	\$ --	\$ --	\$695.6	\$ --	\$ --	\$221.0	\$15.0						\$ --	\$931.6	\$2,568.8	(\$1,637.2)	-63.7%	
Consumption/Use Taxes and Fees	210.1	152.1	181.3	163.2	164.2	196.9	160.5						--	1,228.3	1,181.9	46.4	3.9%	
Business Taxes	56.5	42.0	244.1	65.2	70.6	283.2	70.9						--	832.5	714.2	118.3	16.6%	
Other Taxes (**)	--	--	--	--	--	--	45.9						--	45.9	--	45.9	100.0%	
Miscellaneous Receipts	1,100.8	1,084.7	1,238.6	1,109.8	1,065.0	1,584.5	1,116.2						--	8,299.6	7,193.5	1,106.1	15.4%	
Federal Receipts	--	0.2	--	0.2	--	--	0.1						--	0.5	0.1	0.4	400.0%	
Total Receipts	1,367.4	1,279.0	2,359.6	1,338.4	1,299.8	2,285.6	1,408.6	0.0	0.0	0.0	0.0	0.0	--	11,338.4	11,658.5	(320.1)	-2.7%	
DISBURSEMENTS:																		
Local Assistance Grants:																		
Education	1.6	240.8	781.9	0.4	0.5	2,223.7	84.6						--	3,333.5	3,736.5	(403.0)	-10.8%	
Social Services:																		
Medicaid	373.1	516.6	631.1	357.5	289.9	284.6	413.1						--	2,865.9	1,951.1	914.8	46.9%	
Other Social Services	0.7	0.3	0.2	0.2	0.3	0.4	0.8						--	2.9	7.5	(4.6)	-61.3%	
Health and Environment	90.2	102.6	116.2	125.8	104.4	313.4	109.6						--	962.2	1,194.3	(232.1)	-19.4%	
Mental Hygiene	71.4	55.0	129.9	115.0	89.5	122.6	158.6						--	742.0	696.3	45.7	6.6%	
Transportation	42.5	293.1	188.5	136.7	314.7	149.5	130.6						--	1,255.6	1,754.5	(498.9)	-28.4%	
Criminal Justice	6.2	5.2	5.7	6.3	4.7	3.5	4.2						--	35.8	39.8	(4.0)	-10.1%	
Emergency Management & Security Services	(0.1)	0.1	--	--	--	1.2	--						--	1.2	--	1.2	100.0%	
Miscellaneous	25.5	7.4	4.2	49.0	19.4	25.2	8.1						--	138.8	1,255.6	(1,116.8)	-88.9%	
Total Local Assistance Grants	611.1	1,221.1	1,857.7	790.9	823.4	3,124.1	909.6	0.0	0.0	0.0	0.0	0.0	--	9,337.9	10,635.6	(1,297.7)	-12.2%	
Departmental Operations:																		
Personal Service	490.2	459.2	371.6	483.9	443.6	637.8	571.8						--	3,458.1	3,244.2	213.9	6.6%	
Non-Personal Service	232.9	197.1	303.4	225.5	190.2	252.1	290.3						--	1,691.5	1,883.2	(191.7)	-10.2%	
General State Charges	70.0	349.3	165.1	24.2	42.8	132.5	174.2						--	958.1	1,162.1	(204.0)	-17.6%	
Capital Projects	0.9	0.9	0.9	1.0	0.7	0.9	0.2						--	5.5	4.9	0.6	12.2%	
Total Disbursements	1,405.1	2,227.6	2,698.7	1,525.5	1,500.7	4,147.4	1,946.1	0.0	0.0	0.0	0.0	0.0	--	15,451.1	16,930.0	(1,478.9)	-8.7%	
Excess (Deficiency) of Receipts over Disbursements	(37.7)	(948.6)	(339.1)	(187.1)	(200.9)	(1,861.8)	(537.5)	0.0	0.0	0.0	0.0	0.0	--	(4,112.7)	(5,271.5)	1,158.8	22.0%	
OTHER FINANCING SOURCES (USES):																		
Transfers from Other Funds	513.8	706.7	266.5	651.9	775.8	555.0	692.4						(382.6)	3,779.5	3,760.5	19.0	0.5%	
Transfers to Other Funds	(20.2)	(158.0)	(127.1)	(29.4)	(15.6)	(34.1)	(73.3)						--	(457.7)	(106.7)	351.0	329.0%	
Total Other Financing Sources (Uses)	493.6	548.7	139.4	622.5	760.2	520.9	619.1	0.0	0.0	0.0	0.0	0.0	(382.6)	3,321.8	3,653.8	(332.0)	-9.1%	
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	<u>\$455.9</u>	<u>(\$399.9)</u>	<u>(\$199.7)</u>	<u>\$435.4</u>	<u>\$559.3</u>	<u>(\$1,340.9)</u>	<u>\$81.6</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>(\$382.6)</u>	<u>(\$790.9)</u>	<u>(\$1,617.7)</u>	<u>\$826.8</u>	<u>51.1%</u>	

(*) Intra-Fund transfer eliminations represent transfers from Special Revenue-Federal funds.

(**) Other taxes includes the new Metropolitan Commuter Transportation Mobility Tax. This tax is collected by New York State on behalf of, and transferred to, the Metropolitan Transportation Authority.

**STATE OF NEW YORK
SPECIAL REVENUE FUNDS - FEDERAL
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2009-2010
(amounts in millions)**

**EXHIBIT "G"
FEDERAL**

7 Months Ended Oct. 31

	2009	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2010	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	2009	2008	\$ Increase/ (Decrease)	% Increase/ Decrease
	APRIL									JANUARY				2009	2008		
RECEIPTS:																	
Personal Income Tax	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --						\$ --	\$ --	\$ --	--	
Consumption/Use Taxes and Fees	--	--	--	--	--	--	--						--	--	--	--	
Business Taxes	--	--	--	--	--	--	--						--	--	--	--	
Other Taxes	--	--	--	--	--	--	--						--	--	--	--	
Miscellaneous Receipts	12.2	34.1	10.3	10.4	16.0	9.9	10.1						--	103.0	116.2	(13.2)	-11.4%
Federal Receipts	2,837.6	3,219.5	3,079.3	3,827.9	3,565.0	3,219.0	4,482.9						--	24,231.2	19,660.5	4,570.7	23.2%
Total Receipts	2,849.8	3,253.6	3,089.6	3,838.3	3,581.0	3,228.9	4,493.0	0.0	0.0	0.0	0.0	0.0	--	24,334.2	19,776.7	4,557.5	23.0%
DISBURSEMENTS:																	
Local Assistance Grants:																	
Education	330.7	322.7	283.8	251.9	152.0	86.6	231.3						--	1,659.0	1,698.6	(39.6)	-2.3%
Social Services:																	
Medicaid	2,051.5	2,040.3	1,905.6	2,422.9	2,257.6	2,857.8	2,202.4						--	15,738.1	11,618.1	4,120.0	35.5%
Other Social Services	58.6	214.5	375.5	298.5	501.3	292.5	539.1						--	2,280.0	2,363.4	(83.4)	-3.5%
Health and Environment	75.1	70.8	95.8	121.8	88.2	74.6	83.2						--	609.5	653.0	(43.5)	-6.7%
Mental Hygiene	11.6	9.1	5.6	13.0	20.6	6.8	27.9						--	94.6	94.3	0.3	0.3%
Transportation	1.1	1.8	2.5	3.6	1.4	1.2	1.5						--	13.1	13.8	(0.7)	-5.1%
Criminal Justice	30.1	9.6	22.3	59.6	13.4	13.7	16.4						--	165.1	115.6	49.5	42.8%
Emergency Management & Security Services	8.3	9.4	7.9	23.2	4.1	12.7	9.2						--	74.8	49.9	24.9	49.9%
Miscellaneous	32.1	47.2	44.9	49.1	52.5	87.2	69.0						--	382.0	267.2	114.8	43.0%
Total Local Assistance Grants	2,599.1	2,725.4	2,743.9	3,243.6	3,091.1	3,433.1	3,180.0	0.0	0.0	0.0	0.0	0.0	--	21,016.2	16,873.9	4,142.3	24.5%
Departmental Operations:																	
Personal Service	71.8	49.6	58.7	44.8	44.3	67.1	47.3						--	383.6	376.6	7.0	1.9%
Non-Personal Service	52.5	48.5	53.0	52.6	44.8	122.7	87.2						--	461.3	502.0	(40.7)	-8.1%
General State Charges	12.1	38.4	8.2	0.6	7.8	40.0	14.2						--	121.3	111.2	10.1	9.1%
Capital Projects	--	--	--	--	--	--	--						--	--	--	--	--
Total Disbursements	2,735.5	2,861.9	2,863.8	3,341.6	3,188.0	3,662.9	3,328.7	0.0	0.0	0.0	0.0	0.0	--	21,982.4	17,863.7	4,118.7	23.1%
Excess (Deficiency) of Receipts over Disbursements	114.3	391.7	225.8	496.7	393.0	(434.0)	1,164.3	0.0	0.0	0.0	0.0	0.0	--	2,351.8	1,913.0	438.8	22.9%
OTHER FINANCING SOURCES (USES):																	
Transfers from Other Funds	--	--	--	--	--	--	--						--	--	--	--	--
Transfers to Other Funds	(276.8)	(377.8)	(88.5)	(525.2)	(301.9)	(310.2)	(505.4)						382.6	(2,003.2)	(1,762.2)	241.0	13.7%
Total Other Financing Sources (Uses)	(276.8)	(377.8)	(88.5)	(525.2)	(301.9)	(310.2)	(505.4)	0.0	0.0	0.0	0.0	0.0	382.6	(2,003.2)	(1,762.2)	241.0	13.7%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(\$162.5)	\$13.9	\$137.3	(\$28.5)	\$91.1	(\$744.2)	\$658.9	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$382.6	\$348.6	\$150.8	\$197.8	131.2%

(*) Intra-Fund transfer eliminations represent transfers to Special Revenue-State funds.

**STATE OF NEW YORK
SPECIAL REVENUE FUNDS
CASH FLOW SCHEDULE OF TAX RECEIPTS
FISCAL YEAR 2009-2010
(amounts in millions)**

**EXHIBIT "G"
TAX RECEIPTS**

	2009						2010						7 Months Ended Oct. 31	
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2009	2008
PERSONAL INCOME TAX	\$ --	\$ --	\$695.6	\$ --	\$ --	\$221.0	\$15.0						\$931.6	\$2,568.8
Total Personal Income Tax	--	--	695.6	--	--	221.0	15.0	0.0	0.0	0.0	0.0	0.0	931.6	2,568.8
CONSUMPTION/USE TAXES AND FEES														
Sales and Use	98.1	49.5	63.5	48.9	50.3	68.0	52.0						430.3	482.0
Auto Rental	--	--	1.2	2.4	2.3	3.6	--						9.5	--
Motor Vehicle	19.9	18.3	23.5	20.4	16.9	29.2	36.0						164.2	122.1
Cigarette/Tobacco Products	85.0	74.7	83.6	82.7	85.5	87.1	62.8						561.4	515.2
Motor Fuel	7.1	9.6	9.5	8.8	9.2	9.0	9.7						62.9	62.6
Alcoholic Beverage	--	--	--	--	--	--	--						--	--
Highway Use	--	--	--	--	--	--	--						--	--
Alcoholic Beverage Control Licenses	--	--	--	--	--	--	--						--	--
Total Consumption/Use Taxes and Fees	210.1	152.1	181.3	163.2	164.2	196.9	160.5	0.0	0.0	0.0	0.0	0.0	1,228.3	1,181.9
BUSINESS TAXES														
Corporation Franchise	0.4	1.4	64.3	22.6	18.8	82.0	4.7						194.2	206.7
Corporation and Utilities	8.3	(1.2)	41.2	2.3	1.0	46.8	(6.2)						92.2	93.0
Insurance	0.5	(1.5)	30.1	(0.5)	6.9	86.4	2.3						124.2	37.1
Bank	9.3	(0.3)	63.6	1.2	(0.4)	24.5	27.2						125.1	88.2
Petroleum Business	38.0	43.6	44.9	39.6	44.3	43.5	42.9						296.8	289.2
Total Business Taxes	56.5	42.0	244.1	65.2	70.6	283.2	70.9	0.0	0.0	0.0	0.0	0.0	832.5	714.2
OTHER TAXES (*)														
Real Property Gains	--	--	--	--	--	--	--						--	--
Estate and Gift	--	--	--	--	--	--	--						--	--
Pari-Mutuel	--	--	--	--	--	--	--						--	--
Real Estate Transfer	--	--	--	--	--	--	--						--	--
Racing and Exhibitions	--	--	--	--	--	--	--						--	--
Metropolitan Commuter Transportation Mobility	--	--	--	--	--	--	45.9						45.9	--
Total Other Taxes	--	--	--	--	--	--	45.9	0.0	0.0	0.0	0.0	0.0	45.9	--
TOTAL TAX RECEIPTS	<u>\$266.6</u>	<u>\$194.1</u>	<u>\$1,121.0</u>	<u>\$228.4</u>	<u>\$234.8</u>	<u>\$701.1</u>	<u>\$292.3</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$3,038.3</u>	<u>\$4,464.9</u>

(*) Other Taxes include the new Metropolitan Commuter Transportation Mobility Tax. This tax is collected by New York State on behalf of, and transferred to, the MTA.

**STATE OF NEW YORK
DEBT SERVICE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2009-2010
(amounts in millions)**

EXHIBIT "H"

													7 Months Ended Oct. 31			
	2009 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2010 JANUARY	FEBRUARY	MARCH	2009	2008	\$ Increase/ (Decrease)	% Increase/ Decrease
OPENING CASH BALANCE	\$298.1	\$513.7	\$625.3	\$315.6	\$543.7	\$567.2	\$335.8						\$298.1	\$286.2	\$11.9	4.2%
RECEIPTS:																
Personal Income Tax	955.5	248.1	917.9	543.2	492.6	857.7	476.7						4,491.7	5,673.0	(1,181.3)	-20.8%
Consumption/Use Taxes and Fees																
Sales and Use	180.1	178.3	246.9	180.8	185.1	264.5	188.8						1,424.5	1,537.4	(112.9)	-7.3%
Other Taxes	29.7	30.2	11.6	17.4	23.8	26.3	27.1						166.1	380.7	(214.6)	-56.4%
Miscellaneous Receipts	86.4	35.2	60.1	47.1	66.7	121.8	76.8						494.1	469.4	24.7	5.3%
Total Receipts	1,251.7	491.8	1,236.5	788.5	768.2	1,270.3	769.4	0.0	0.0	0.0	0.0	0.0	6,576.4	8,060.5	(1,484.1)	-18.4%
DISBURSEMENTS:																
Departmental Operations:																
Non-Personal Service	7.1	1.3	6.8	0.3	3.0	6.3	2.6						27.4	37.1	(9.7)	-26.1%
Debt Service, including payments on financing agreements	552.8	194.4	214.2	85.9	208.7	701.3	637.3 (*)						2,594.6	2,067.9	526.7	25.5%
Total Disbursements	559.9	195.7	221.0	86.2	211.7	707.6	639.9	0.0	0.0	0.0	0.0	0.0	2,622.0	2,105.0	517.0	24.6%
Excess (Deficiency) of Receipts over Disbursements	691.8	296.1	1,015.5	702.3	556.5	562.7	129.5	0.0	0.0	0.0	0.0	0.0	3,954.4	5,955.5	(2,001.1)	-33.6%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	810.4	425.6	151.1	565.2	363.3	742.8	988.0						4,046.4	3,299.2	747.2	22.6%
Transfers to Other Funds	(1,286.6)	(610.1)	(1,476.3)	(1,039.4)	(896.3)	(1,536.9)	(835.6)						(7,681.2)	(8,929.2)	(1,248.0)	-14.0%
Total Other Financing Sources (Uses)	(476.2)	(184.5)	(1,325.2)	(474.2)	(533.0)	(794.1)	152.4	0.0	0.0	0.0	0.0	0.0	(3,634.8)	(5,630.0)	1,995.2	35.4%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	215.6	111.6	(309.7)	228.1	23.5	(231.4)	281.9	0.0	0.0	0.0	0.0	0.0	319.6	325.5	(5.9)	-1.8%
CLOSING CASH BALANCE	\$513.7	\$625.3	\$315.6	\$543.7	\$567.2	\$335.8	\$617.7	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$617.7	\$611.7	\$6.0	1.0%

(*) To ensure that all debt service obligations are met and to manage the State's cash flow, DOB has requested agencies and public authorities to prepay debt service and related payments due during the third quarter of the State's fiscal year that are payable from the General Debt Service Fund and the Housing Debt Fund. In October 2009, the State prepaid \$4.1 million of payments due in November 2009, \$549.9 million of payments due in December 2009, and \$1.4 million of payments due through January 4, 2010.

**STATE OF NEW YORK
CAPITAL PROJECTS FUNDS-COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2009-2010
(amounts in millions)**

**EXHIBIT "I"
COMBINED**

													7 Months Ended Oct. 31			
	2009 APRIL (\$507.2)	MAY (\$494.3)	JUNE (\$531.2)	JULY (\$520.8)	AUGUST (\$598.2)	SEPTEMBER (\$625.9)	OCTOBER (\$847.4)	NOVEMBER	DECEMBER	2010 JANUARY	FEBRUARY	MARCH	2009 (\$507.2)	2008 (\$432.8)	\$ Increase/ (Decrease)	% Increase/ Decrease
OPENING CASH BALANCE (DEFICITS)																
RECEIPTS:																
Consumption/Use Taxes and Fees																
Auto Rental	(5.4)	0.1	5.3	5.5	0.1	18.4	0.1					24.1	34.8	(10.7)	-30.7%	
Motor Vehicle	49.1	47.2	56.4	50.5	48.9	49.3	59.4					360.8	321.1	39.7	12.4%	
Motor Fuel	27.7	36.5	35.8	33.4	34.9	34.5	36.0					238.8	235.6	3.2	1.4%	
Highway Use	12.1	10.1	12.1	13.1	13.1	11.9	12.3					84.7	86.0	(1.3)	-1.5%	
Business Taxes																
Petroleum Business	47.2	54.2	56.3	49.2	55.4	54.4	53.6					370.3	359.6	10.7	3.0%	
Transmission	0.4	(0.3)	3.4	0.4	--	5.1	(0.8)					8.2	9.0	(0.8)	-8.9%	
Other Taxes	--	--	19.9	20.0	19.9	20.0	19.9					99.7	118.5	(18.8)	-15.9%	
Miscellaneous Receipts	177.5	240.9	213.4	230.1	346.2	167.4	216.0					1,591.5	1,149.1	442.4	38.5%	
Federal Receipts	128.4	105.7	138.3	165.9	143.5	193.8	240.6					1,116.2	1,086.1	30.1	2.8%	
Total Receipts	<u>437.0</u>	<u>494.4</u>	<u>540.9</u>	<u>568.1</u>	<u>662.0</u>	<u>554.8</u>	<u>637.1</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>3,894.3</u>	<u>3,399.8</u>	<u>494.5</u>	<u>14.5%</u>	
DISBURSEMENTS:																
Local Assistance Grants:																
Education	17.3	16.3	0.8	0.5	2.6	1.9	6.4					45.8	6.3	39.5	627.0%	
Social Services	--	--	--	--	--	0.2	0.1					0.3	--	0.3	100.0%	
Health and Environment	2.4	13.7	9.7	5.0	17.5	21.8	7.8					77.9	176.0	(98.1)	-55.7%	
Mental Hygiene	2.1	6.1	2.8	5.7	6.7	7.1	7.1					37.6	52.7	(15.1)	-28.7%	
Transportation	31.8	17.4	21.5	95.1	33.5	19.3	54.3					272.9	272.0	0.9	0.3%	
Miscellaneous	14.3	15.3	24.3	40.2	27.1	41.9	57.8					220.9	158.3	62.6	39.5%	
Total Local Assistance Grants	<u>67.9</u>	<u>68.8</u>	<u>59.1</u>	<u>146.5</u>	<u>87.4</u>	<u>92.2</u>	<u>133.5</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>655.4</u>	<u>665.3</u>	<u>(9.9)</u>	<u>-1.5%</u>	
Departmental Operations:																
Personal Service	--	--	--	--	--	--	--					--	--	--	--	--
Non-Personal Service	--	--	--	--	--	--	--					--	--	--	--	--
General State Charges	--	--	--	--	--	--	--					--	--	--	--	--
Capital Projects	336.1	455.2	487.5	504.1	465.0	618.1	507.3					3,373.3	3,352.1	21.2	0.6%	
Total Disbursements	<u>404.0</u>	<u>524.0</u>	<u>546.6</u>	<u>650.6</u>	<u>552.4</u>	<u>710.3</u>	<u>640.8</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>4,028.7</u>	<u>4,017.4</u>	<u>11.3</u>	<u>0.3%</u>	
Excess (Deficiency) of Receipts over Disbursements	<u>33.0</u>	<u>(29.6)</u>	<u>(5.7)</u>	<u>(82.5)</u>	<u>109.6</u>	<u>(155.5)</u>	<u>(3.7)</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>(134.4)</u>	<u>(617.6)</u>	<u>483.2</u>	<u>78.2%</u>	
OTHER FINANCING SOURCES (USES):																
Bond Proceeds (net)	--	--	--	--	--	--	--					--	--	--	--	--
Transfers from Other Funds	39.3	51.4	77.7	68.6	(67.8)	128.2	96.9					394.3	537.7	(143.4)	-26.7%	
Transfers to Other Funds	(59.4)	(58.7)	(61.6)	(63.5)	(69.5)	(194.2)	(113.1)					(620.0)	(605.2)	14.8	2.4%	
Total Other Financing Sources (Uses)	<u>(20.1)</u>	<u>(7.3)</u>	<u>16.1</u>	<u>5.1</u>	<u>(137.3)</u>	<u>(66.0)</u>	<u>(16.2)</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>(225.7)</u>	<u>(67.5)</u>	<u>(158.2)</u>	<u>-234.4%</u>	
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	<u>12.9</u>	<u>(36.9)</u>	<u>10.4</u>	<u>(77.4)</u>	<u>(27.7)</u>	<u>(221.5)</u>	<u>(19.9)</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>(360.1)</u>	<u>(685.1)</u>	<u>325.0</u>	<u>47.4%</u>	
CLOSING CASH BALANCE (DEFICITS)	<u>(\$494.3)</u>	<u>(\$531.2)</u>	<u>(\$520.8)</u>	<u>(\$598.2)</u>	<u>(\$625.9)</u>	<u>(\$847.4)</u>	<u>(\$867.3)</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>(\$867.3)</u>	<u>(\$1,117.9)</u>	<u>\$250.6</u>	<u>22.4%</u>	

**STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - STATE
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2009-2010
(amounts in millions)**

**EXHIBIT "I"
STATE**

													7 Months Ended Oct. 31				
	2009 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2010 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	2009	2008	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:																	
Consumption/Use Taxes and Fees																	
Auto Rental	(\$5.4)	\$0.1	\$5.3	\$5.5	\$0.1	\$18.4	\$0.1						\$ --	\$24.1	\$34.8	(\$10.7)	-30.7%
Motor Vehicle	49.1	47.2	56.4	50.5	48.9	49.3	59.4						--	360.8	321.1	39.7	12.4%
Motor Fuel	27.7	36.5	35.8	33.4	34.9	34.5	36.0						--	238.8	235.6	3.2	1.4%
Highway Use	12.1	10.1	12.1	13.1	13.1	11.9	12.3						--	84.7	86.0	(1.3)	-1.5%
Business Taxes																	
Petroleum Business	47.2	54.2	56.3	49.2	55.4	54.4	53.6						--	370.3	359.6	10.7	3.0%
Transmission	0.4	(0.3)	3.4	0.4	--	5.1	(0.8)						--	8.2	9.0	(0.8)	-8.9%
Other Taxes	--	--	19.9	20.0	19.9	20.0	19.9						--	99.7	118.5	(18.8)	-15.9%
Miscellaneous Receipts	177.5	240.8	213.3	229.9	346.1	167.4	215.8						--	1,590.8	1,148.2	442.6	38.5%
Federal Receipts	--	--	--	--	--	--	--						--	--	--	--	--
Total Receipts	308.6	388.6	402.5	402.0	518.4	361.0	396.3	0.0	0.0	0.0	0.0	0.0	--	2,777.4	2,312.8	464.6	20.1%
DISBURSEMENTS:																	
Local Assistance Grants:																	
Education	17.3	16.3	0.8	0.5	2.6	1.9	6.4						--	45.8	6.3	39.5	627.0%
Social Services	--	--	--	--	--	0.2	0.1						--	0.3	--	0.3	100.0%
Health and Environment	2.4	13.7	9.7	5.0	17.5	7.9	7.8						--	64.0	172.8	(108.8)	-63.0%
Mental Hygiene	2.1	6.1	2.8	5.7	6.7	7.1	7.1						--	37.6	52.7	(15.1)	-28.7%
Transportation	1.5	1.4	2.5	56.2	5.9	2.1	2.8						--	72.4	22.8	49.6	217.5%
Miscellaneous	14.3	15.3	24.3	40.2	27.1	41.9	57.8						--	220.9	158.3	62.6	39.5%
Total Local Assistance Grants	37.6	52.8	40.1	107.6	59.8	61.1	82.0	0.0	0.0	0.0	0.0	0.0	--	441.0	412.9	28.1	6.8%
Departmental Operations:																	
Personal Service	--	--	--	--	--	--	--						--	--	--	--	--
Non-Personal Service	--	--	--	--	--	--	--						--	--	--	--	--
General State Charges	--	--	--	--	--	--	--						--	--	--	--	--
Capital Projects	279.3	391.4	362.7	374.6	342.3	487.3	352.9						--	2,590.5	2,653.6	(63.1)	-2.4%
Total Disbursements	316.9	444.2	402.8	482.2	402.1	548.4	434.9	0.0	0.0	0.0	0.0	0.0	--	3,031.5	3,066.5	(35.0)	-1.1%
Excess (Deficiency) of Receipts over Disbursements	(8.3)	(55.6)	(0.3)	(80.2)	116.3	(187.4)	(38.6)	0.0	0.0	0.0	0.0	0.0	--	(254.1)	(753.7)	499.6	66.3%
OTHER FINANCING SOURCES (USES):																	
Bond Proceeds (net)	--	--	--	--	--	--	--						--	--	--	--	--
Transfers from Other Funds	39.3	51.4	77.7	135.5	(67.8)	128.2	96.9						(66.9)	394.3	537.7	(143.4)	-26.7%
Transfers to Other Funds	(59.4)	(58.7)	(61.6)	(63.5)	(69.5)	(194.2)	(113.1)						--	(620.0)	(600.8)	19.2	3.2%
Total Other Financing Sources (Uses)	(20.1)	(7.3)	16.1	72.0	(137.3)	(66.0)	(16.2)	0.0	0.0	0.0	0.0	0.0	(66.9)	(225.7)	(63.1)	(162.6)	-257.7%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(\$28.4)	(\$62.9)	\$15.8	(\$8.2)	(\$21.0)	(\$253.4)	(\$54.8)	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	(\$66.9)	(\$479.8)	(\$816.8)	\$337.0	41.3%

(*) Intra-Fund transfer eliminations represent transfers from Capital Projects-Federal funds.

**STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - FEDERAL
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2009-2010
(amounts in millions)**

**EXHIBIT "I"
FEDERAL**

													7 Months Ended Oct. 31				
	2009 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2010 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	2009	2008	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:																	
Miscellaneous Receipts	\$ --	\$0.1	\$0.1	\$0.2	\$0.1	\$ --	\$0.2						\$ --	\$0.7	\$0.9	(\$0.2)	-22.2%
Federal Receipts	128.4	105.7	138.3	165.9	143.5	193.8	240.6						--	1,116.2	1,086.1	30.1	2.8%
Total Receipts	128.4	105.8	138.4	166.1	143.6	193.8	240.8	0.0	0.0	0.0	0.0	0.0	--	1,116.9	1,087.0	29.9	2.8%
DISBURSEMENTS:																	
Local Assistance Grants:																	
Education	--	--	--	--	--	--	--						--	--	--	--	--
Social Services	--	--	--	--	--	--	--						--	--	--	--	--
Health and Environment	--	--	--	--	--	13.9	--						--	13.9	3.2	10.7	334.4%
Mental Hygiene	--	--	--	--	--	--	--						--	--	--	--	--
Transportation	30.3	16.0	19.0	38.9	27.6	17.2	51.5						--	200.5	249.2	(48.7)	-19.5%
Miscellaneous	--	--	--	--	--	--	--						--	--	--	--	--
Total Local Assistance Grants	30.3	16.0	19.0	38.9	27.6	31.1	51.5	0.0	0.0	0.0	0.0	0.0	--	214.4	252.4	(38.0)	-15.1%
Departmental Operations:																	
Personal Service	--	--	--	--	--	--	--						--	--	--	--	--
Non-Personal Service	--	--	--	--	--	--	--						--	--	--	--	--
General State Charges	--	--	--	--	--	--	--						--	--	--	--	--
Capital Projects	56.8	63.8	124.8	129.5	122.7	130.8	154.4						--	782.8	698.5	84.3	12.1%
Total Disbursements	87.1	79.8	143.8	168.4	150.3	161.9	205.9	0.0	0.0	0.0	0.0	0.0	--	997.2	950.9	46.3	4.9%
Excess (Deficiency) of Receipts over Disbursements	41.3	26.0	(5.4)	(2.3)	(6.7)	31.9	34.9	0.0	0.0	0.0	0.0	0.0	--	119.7	136.1	(16.4)	-12.0%
OTHER FINANCING SOURCES (USES):																	
Transfers from Other Funds	--	--	--	--	--	--	--						--	--	--	--	--
Transfers to Other Funds	--	--	--	(66.9)	--	--	--						66.9	--	(4.4)	(4.4)	-100.0%
Total Other Financing Sources (Uses)	--	--	--	(66.9)	--	--	--	0.0	0.0	0.0	0.0	0.0	66.9	--	(4.4)	4.4	100.0%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	\$41.3	\$26.0	(\$5.4)	(\$69.2)	(\$6.7)	\$31.9	\$34.9	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$66.9	\$119.7	\$131.7	(\$12.0)	-9.1%

(*) Intra-Fund transfer eliminations represent transfers to Capital Projects-State funds.

**STATE OF NEW YORK
ENTERPRISE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2009-2010
(amounts in millions)**

EXHIBIT J

													7 Months Ended Oct. 31	
	2009 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2010 JANUARY	FEBRUARY	MARCH	2009	2008
BEGINNING FUND EQUITY (DEFICITS)	(\$55.1)	\$16.6	\$19.2	(\$59.8)	\$22.0	(\$86.4)	(\$9.9)						(\$55.1)	(\$9.9)
RECEIPTS:														
Miscellaneous Receipts	5.3	4.4	5.5	4.6	5.3	9.6	5.7						40.4	42.5
Federal Receipts (*) (**)	294.2	284.7	501.5	492.7	558.8	492.1	428.0						3,052.0	394.1
Unemployment Taxes	492.9	415.2	283.4	369.7	218.9	401.5	333.7						2,515.3	1,462.3 (***)
Total Receipts	792.4	704.3	790.4	867.0	783.0	903.2	767.4	0.0	0.0	0.0	0.0	0.0	5,607.7	1,898.9
DISBURSEMENTS:														
Departmental Operations:														
Personal Service	0.6	0.3	0.4	0.4	0.3	2.1	0.3						4.4	4.9
Non-Personal Service	3.0	3.8	3.8	4.6	5.6	6.9	5.0						32.7	34.3
General State Charges	0.1	0.3	0.1	0.1	--	0.2	0.4						1.2	1.1
Unemployment Benefits (**)	717.0	697.3	865.1	780.1	885.5	817.5	729.6						5,492.1	1,821.4 (***)
Total Disbursements	720.7	701.7	869.4	785.2	891.4	826.7	735.3	0.0	0.0	0.0	0.0	0.0	5,530.4	1,861.7
Excess (Deficiency) of Receipts over Disbursements	71.7	2.6	(79.0)	81.8	(108.4)	76.5	32.1	0.0	0.0	0.0	0.0	0.0	77.3	37.2
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	--	--	--	--	--	--	--						--	--
Transfers to Other Funds	--	--	--	--	--	--	--						--	--
Total Other Financing Sources (Uses)	--	--	--	--	--	--	--	0.0	0.0	0.0	0.0	0.0	--	--
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	71.7	2.6	(79.0)	81.8	(108.4)	76.5	32.1	0.0	0.0	0.0	0.0	0.0	77.3	37.2
CLOSING CASH BALANCE	\$16.6	\$19.2	(\$59.8)	\$22.0	(\$86.4)	(\$9.9)	\$22.2	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$22.2	\$27.3

(*) Due to economic downturn, Governor Patterson signed the Emergency Unemployment Compensation agreement on July 2, 2008, which extended unemployment compensation benefits and resulted in the increase of the Federal Receipts of \$376.9 million, July through October 2008; and, \$433.5 million, April through July 2009, when the program ended.

(**) A summary of American Recovery and Reinvestment Act (ARRA) disbursements is located in Appendix C.

(***) The increase in Unemployment Taxes and Benefits is due to an increase in unemployment claims filed because of the economic downturn and a change in accounting treatment of Federal Withholding Taxes deducted from Unemployment Benefits. To provide greater transparency and accountability, Federal Withholding Taxes deducted from Unemployment Benefits will be added to Unemployment Benefits paid and Unemployment Taxes received rather than as a reduction of Unemployment Taxes received. To implement this change, Unemployment Taxes and Benefits were increased by \$10.2 million for the month of October 2008 and \$72.5 million for the 7 months ended in October 2008 to reflect Withholding Taxes deducted for comparative purposes.

**STATE OF NEW YORK
INTERNAL SERVICE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2009-2010
(amounts in millions)**

EXHIBIT K

													<u>7 Months Ended Oct. 31</u>	
	2009 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2010 JANUARY	FEBRUARY	MARCH	2009	2008
BEGINNING FUND EQUITY (DEFICITS)	\$27.4	\$36.3	\$20.9	\$9.5	\$4.5	(\$6.2)	(\$28.9)						\$27.4	(\$8.3)
RECEIPTS:														
Miscellaneous Receipts	43.8	31.3	36.9	30.5	33.2	25.0	31.8						232.5	295.0
Total Receipts	43.8	31.3	36.9	30.5	33.2	25.0	31.8	0.0	0.0	0.0	0.0	0.0	232.5	295.0
DISBURSEMENTS:														
Departmental Operations:														
Personal Service	13.1	9.0	8.7	9.6	8.8	12.7	9.0						70.9	73.5
Non-Personal Service	27.3	33.4	47.7	40.9	36.9	28.8	33.4						248.4	255.4
General State Charges	1.7	9.5	--	--	2.4	10.5	1.2						25.3	28.7
Total Disbursements	42.1	51.9	56.4	50.5	48.1	52.0	43.6	0.0	0.0	0.0	0.0	0.0	344.6	357.6
Excess (Deficiency) of Receipts over Disbursements	1.7	(20.6)	(19.5)	(20.0)	(14.9)	(27.0)	(11.8)	0.0	0.0	0.0	0.0	0.0	(112.1)	(62.6)
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	7.2	5.2	8.1	15.0	4.2	8.3	4.9						52.9	46.4
Transfers to Other Funds	--	--	--	--	--	(4.0)	--						(4.0)	(2.0)
Total Other Financing Sources (Uses)	7.2	5.2	8.1	15.0	4.2	4.3	4.9	0.0	0.0	0.0	0.0	0.0	48.9	44.4
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	8.9	(15.4)	(11.4)	(5.0)	(10.7)	(22.7)	(6.9)	0.0	0.0	0.0	0.0	0.0	(63.2)	(18.2)
ENDING FUND EQUITY(DEFICITS)	<u>\$36.3</u>	<u>\$20.9</u>	<u>\$9.5</u>	<u>\$4.5</u>	<u>(\$6.2)</u>	<u>(\$28.9)</u>	<u>(\$35.8)</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>(\$35.8)</u>	<u>(\$26.5)</u>

**STATE OF NEW YORK
PRIVATE PURPOSE TRUST FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2009-2010
(amounts in millions)**

EXHIBIT L

													<u>7 Months Ended Oct. 31</u>	
	2009 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2010 JANUARY	FEBRUARY	MARCH	2009	2008
OPENING CASH BALANCE	\$9.9	\$10.1	\$10.1	\$10.1	\$10.2	\$10.2	\$10.2						\$9.9	\$9.4
RECEIPTS:														
Miscellaneous Receipts	0.2	0.1	--	0.1	--	0.1	0.2						0.7	0.6
Total Receipts	0.2	0.1	--	0.1	--	0.1	0.2	0.0	0.0	0.0	0.0	0.0	0.7	0.6
DISBURSEMENTS:														
Departmental Operations:														
Personal Service	--	0.1	--	--	--	0.1	--						0.2	0.2
Non-Personal Service	--	--	--	--	--	--	--						--	--
General State Charges	--	--	--	--	--	--	0.1						0.1	0.1
Total Disbursements	--	0.1	--	--	--	0.1	0.1	0.0	0.0	0.0	0.0	0.0	0.3	0.3
Excess (Deficiency) of Receipts over Disbursements	0.2	--	--	0.1	--	--	0.1	0.0	0.0	0.0	0.0	0.0	0.4	0.3
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	--	--	--	--	--	--	--						--	--
Transfers to Other Funds	--	--	--	--	--	--	--						--	--
Total Other Financing Sources (Uses)	--	--	--	--	--	--	--	0.0	0.0	0.0	0.0	0.0	--	--
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	0.2	--	--	0.1	--	--	0.1	0.0	0.0	0.0	0.0	0.0	0.4	0.3
CLOSING CASH BALANCE	<u>\$10.1</u>	<u>\$10.1</u>	<u>\$10.1</u>	<u>\$10.2</u>	<u>\$10.2</u>	<u>\$10.2</u>	<u>\$10.3</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$10.3</u>	<u>\$9.7</u>

**STATE OF NEW YORK
PENSION TRUST FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2009-2010
(amounts in millions)**

EXHIBIT M

	2009												2010	
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2009	2008
OPENING CASH BALANCE	(\$0.1)	(\$0.3)	(\$0.1)	(\$1.7)	\$0.1	\$ --	\$ --						(\$0.1)	\$ --
RECEIPTS:														
Miscellaneous Receipts	15.4	5.0	6.8	6.9	4.4	15.3	4.7						58.5	58.1
Total Receipts	15.4	5.0	6.8	6.9	4.4	15.3	4.7	0.0	0.0	0.0	0.0	0.0	58.5	58.1
DISBURSEMENTS:														
Departmental Operations:														
Personal Service	6.0	4.0	4.5	4.0	4.0	6.0	4.1						32.6	30.3
Non-Personal Service	2.0	0.8	3.7	1.1	0.5	3.2	1.1						12.4	18.2
General State Charges	7.6	--	0.2	--	--	6.1	4.0						17.9	10.2
Total Disbursements	15.6	4.8	8.4	5.1	4.5	15.3	9.2	0.0	0.0	0.0	0.0	0.0	62.9	58.7
Excess (Deficiency) of Receipts over Disbursements	(0.2)	0.2	(1.6)	1.8	(0.1)	--	(4.5)	0.0	0.0	0.0	0.0	0.0	(4.4)	(0.6)
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	--	--	--	--	--	--	--						--	--
Transfers to Other Funds	--	--	--	--	--	--	--						--	--
Total Other Financing Sources (Uses)	--	--	--	--	--	--	--	0.0	0.0	0.0	0.0	0.0	--	--
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	(0.2)	0.2	(1.6)	1.8	(0.1)	--	(4.5)	0.0	0.0	0.0	0.0	0.0	(4.4)	(0.6)
CLOSING CASH BALANCE	(\$0.3)	(\$0.1)	(\$1.7)	\$0.1	\$ --	\$ --	(\$4.5)	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	(\$4.5)	(\$0.6)

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FOR THE MONTH OF OCTOBER 2009
(amounts in millions)

SCHEDULE 1

	BALANCE 10/1/09	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE 10/31/09
GENERAL FUND					
001-Local Assistance Account	\$ --	\$0.026	\$2,289.090	\$2,289.064	\$ --
003-State Operations Account	2,321.961	2,241.549	882.961	(2,544.857)	1,135.692
004-Tax Stabilization Reserve	--	--	--	--	--
005-Contingency Reserve	--	--	--	--	--
006-Universal Pre-K Reserve	--	--	--	--	--
007-Community Projects	108.328	--	11.020	1.000	98.308
008-Rainy Day Reserve Fund	--	--	--	--	--
013-Attica State Employee Victims'	--	--	--	--	--
017-Refund Reserve Account	--	--	--	--	--
166-Fringe Benefits Escrow	--	177.655	177.655	--	--
348-Tobacco Revenue Guarantee	--	--	--	--	--
TOTAL GENERAL FUND	2,430.289	2,419.230	3,360.726	(254.793)	1,234.000
SPECIAL REVENUE FUNDS-STATE					
019-Mental Health Gifts and Donations	2.302	0.010	0.011	--	2.301
020-Combined Expendable Trust	72.167	1.195	1.323	0.750	72.789
023-New York Interest on Lawyer Account	14.181	0.702	0.085	--	14.798
024-NYS Archives Partnership Trust	0.221	--	0.061	--	0.160
025-Child Performer's Protection	0.122	0.003	0.047	--	0.078
050-Tuition Reimbursement	4.297	0.066	0.317	--	4.046
052-New York State Local Government Records Management Improvement	5.136	0.875	0.776	--	5.235
053-School Tax Relief	2.541	15.000	12.388	--	5.153
054-Charter Schools Stimulus	2.479	0.001	--	--	2.480
055-Not-For-Profit Short Term Revolving Loan	--	--	--	--	--
056-Hudson River Valley Greenway	0.001	--	--	--	0.001
059-Rehabilitative Alcohol & Substance Abuse Treatment	0.019	--	--	--	0.019
061-HCRA Resources	442.379	391.651	449.900	--	384.130
073-Dedicated Mass Transportation Trust	87.234	61.828	55.137	--	93.925
160-State Lottery	(937.478)	212.824	93.467	--	(818.121)
221-Combined Student Loan	24.539	1.275	3.054	(0.300)	22.460
225-MTA Financial Assistance Fund	16.852	58.487	--	--	75.339
300-Sewage Treatment Program Mgmt. & Administration	(0.848)	--	0.617	(0.076)	(1.541)
301-EnCon Special Revenue	(5.452)	5.299	7.506	2.358	(5.301)
302-Conservation	49.588	25.471	2.640	(1.723)	70.696
303-Environmental Protection and Oil Spill Compensation	2.619	2.963	1.772	(2.790)	1.020
305-Training and Education Program on OSHA	10.703	0.002	5.580	--	5.125
306-Lawyers' Fund for Client Protection	4.753	0.425	0.406	--	4.772
307-Equipment Loan for the Disabled	0.518	0.004	0.008	--	0.514
313-Mass Transportation Operating Assistance	273.431	91.346	75.772	--	289.005
314-Clean Air	(3.576)	2.747	4.604	--	(5.433)
318-New York State Infrastructure Trust	0.066	--	--	--	0.066
321-Legislative Computer Services	9.044	0.130	0.044	--	9.130
328-Biodiversity Stewardship and Research	--	--	--	--	--
332-Combined Non-Expendable Trust	7.124	0.002	0.030	--	7.096
333-Winter Sports Education Trust	1.181	0.001	--	--	1.182
335-Musical Instrument Revolving	0.001	--	--	--	0.001
337-Rural Housing Assistance	--	--	--	--	--
338-Arts Capital Revolving	0.696	0.001	--	--	0.697
339-Miscellaneous State Special Revenue	1,014.437	243.354	756.210	416.224	917.805

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FOR THE MONTH OF OCTOBER 2009
(amounts in millions)

SCHEDULE 1
(continued)

	BALANCE 10/1/09	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE 10/31/09
<u>SPECIAL REVENUE FUNDS-STATE (CONTINUED)</u>					
340-Court Facilities Incentive Aid	15.180	0.006	1.329	(0.187)	13.670
341-Employment Training	0.183	--	--	--	0.183
342-Homeless Housing and Assistance	--	--	--	--	--
345-State University Income	938.863	269.153	463.323	204.862	949.555
346-Chemical Dependence Service	7.694	0.096	--	--	7.790
349-Lake George Park Trust	1.473	0.236	0.053	--	1.656
354-State Police Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention	(30.381)	6.689	0.336	--	(24.028)
355-New York Great Lakes Protection	1.678	--	0.016	--	1.662
359-Federal Revenue Maximization	0.061	--	--	--	0.061
360-Housing Development	10.440	0.006	--	--	10.446
362-NYS/DOT Highway Safety Program	(1.142)	--	0.259	--	(1.401)
365-Vocational Rehabilitation	0.109	0.006	--	--	0.115
366-Drinking Water Program Management and Administration	(2.724)	--	0.479	--	(3.203)
368-NYC County Clerks' Operations Offset	(24.093)	--	1.850	--	(25.943)
369-Judiciary Data Processing Offset	5.968	1.422	1.340	--	6.050
377-IFR / CUTRA	77.361	11.734	4.940	--	84.155
383-Supplemental Jury Facilities	--	--	--	--	--
385-USOC Lake Placid Training	0.006	0.001	--	--	0.007
390-Indigent Legal Services	26.130	2.729	--	--	28.859
482-Unemployment Insurance Interest and Penalty	12.224	0.795	0.411	--	12.608
TOTAL SPECIAL REVENUE FUNDS-STATE	2,140.307	1,408.535	1,946.091	619.118	2,221.869
<u>SPECIAL REVENUE FUNDS-FEDERAL</u>					
261-Federal USDA / Food and Consumer Services	(5.367)	142.568	137.611	--	(0.410)
265-Federal Health and Human Services	(775.710)	3,987.342	2,817.213	(503.916)	(109.497)
267-Federal Education	(45.087)	256.095	258.913	(0.561)	(48.466)
269-Federal DHHS Block Grant	(0.599)	1.681	1.119	--	(0.037)
290-Federal Miscellaneous Operating Grants	259.226	59.527	66.191	(0.884)	251.678
480-Unemployment Insurance Administration	90.980	22.298	22.595	--	90.683
484-Unemployment Insurance Occupational Training	0.066	0.200	0.181	--	0.085
486-Federal Employment and Training Grants	(0.217)	23.252	24.880	--	(1.845)
TOTAL SPECIAL REVENUE FUNDS-FEDERAL	(476.708)	4,492.963	3,328.703	(505.361)	182.191
TOTAL SPECIAL REVENUE FUNDS	1,663.599	5,901.498	5,274.794	113.757	2,404.060
<u>DEBT SERVICE FUNDS</u>					
064-Debt Reduction Reserve	--	--	--	--	--
065-State University Educational Facilities	--	--	--	--	--
304-Mental Health Services	104.655	16.038	--	211.174	331.867
311-General Debt Service	0.541	476.730	631.053	190.352	36.570
315-Grade Crossing Elimination Debt Service	--	--	--	--	--
316-State Housing Debt Service	--	3.348	8.859	5.511	--
319-Department of Health Income	31.455	7.508	--	(9.716)	29.247
330-State University Dormitory Income	180.369	49.853	--	(33.387)	196.835
361-Clean Water/Clean Air	9.397	27.109	--	(21.236)	15.270
364-Local Government Assistance Tax	9.429	188.781	--	(190.321)	7.889
TOTAL DEBT SERVICE FUNDS	335.846	769.367	639.912	152.377	617.678

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FOR THE MONTH OF OCTOBER 2009
(amounts in millions)

SCHEDULE 1
(continued)

	BALANCE 10/1/09	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE 10/31/09
CAPITAL PROJECTS FUNDS					
002-State Capital Projects	--	80.715	182.887	102.172	--
072-Dedicated Highway and Bridge Trust	(288.268)	222.705	149.844	(65.940)	(281.347)
074-SUNY Residence Halls Rehabilitation and Repair	81.872	0.022	2.514	1.926	81.306
075-New York State Canal System Development	2.173	0.001	--	--	2.174
076-Parks Infrastructure	(43.302)	3.853	6.286	--	(45.735)
077-Passenger Facility Charge	0.014	--	--	--	0.014
078-Environmental Protection	43.433	54.429	14.352	(34.107)	49.403
079-Clean Water/Clean Air Implementation	(0.660)	--	0.138	--	(0.798)
080-Hudson River Park	0.088	--	--	--	0.088
101-Energy Conservation Thru Improved Transportation Bond	0.164	--	--	--	0.164
103-Park & Recreation Land Acquisition Bond	--	--	--	--	--
105-Pure Waters Bond	--	--	--	--	--
106-Outdoor Recreation Development Bond	--	--	--	--	--
109-Transportation Capital Facilities Bond	3.392	--	--	--	3.392
115-Environmental Quality Protection Bond	1.902	--	--	--	1.902
118-Rail Preservation and Development Bond	--	--	--	--	--
119-State Housing Bond	--	--	--	--	--
121-Rebuild and Renew New York Transportation Bond	71.314	--	--	(13.987)	57.327
123-Transportation Infrastructure Renewal Bond	5.376	--	--	(0.024)	5.352
124-1986 Environmental Quality Bond Act	15.931	--	--	--	15.931
126-Accelerated Capacity and Transportation Improvement Bond	4.308	--	--	--	4.308
127-Clean Water/Clean Air Bond	12.383	--	--	(1.826)	10.557
291-Federal Capital Projects	(278.855)	240.711	205.824	--	(243.968)
310-Forest Preserve Expansion	0.890	--	--	--	0.890
312-Hazardous Waste Remedial	(77.521)	0.878	7.170	(10.290)	(94.103)
317-Pine Barrens	--	--	--	--	--
322-Lake Champlain Bridges	--	--	--	--	--
327-Suburban Transportation	0.502	--	--	--	0.502
357-Division for Youth Facilities Improvement	(4.921)	--	1.549	--	(6.470)
358-Youth Centers Facility	--	--	--	--	--
374-Housing Assistance	(18.074)	0.816	10.100	--	(27.358)
376-Housing Program	(87.616)	9.757	26.788	--	(104.647)
378-Natural Resource Damage	22.601	0.067	0.105	--	22.563
380-DOT Engineering Services	(13.214)	--	0.510	--	(13.724)
384-State University Capital Projects	113.467	0.030	2.916	5.885	116.466
387-Miscellaneous Capital Projects	23.455	0.062	0.491	--	23.026
388-CUNY Capital Projects	(0.022)	--	--	--	(0.022)
389-Mental Hygiene Facilities Capital Improvement	(398.358)	22.996	11.075	--	(386.437)
399-Correction Facilities Capital Improvement	(39.890)	--	18.140	--	(58.030)
TOTAL CAPITAL PROJECTS FUNDS	(847.436)	637.042	640.689	(16.191)	(867.274)
TOTAL GOVERNMENTAL FUNDS	\$3,582.298	\$9,727.137	\$9,916.121	(\$4.850)	\$3,388.464

STATE OF NEW YORK
 PROPRIETARY FUNDS
 SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND EQUITY
 FOR THE MONTH OF OCTOBER 2009
 (amounts in millions)

SCHEDULE 2

<u>FUND TYPE</u>	<u>FUND EQUITY 10/1/09</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER FINANCING SOURCES (USES)</u>	<u>FUND EQUITY 10/31/09</u>
<u>ENTERPRISE FUNDS</u>					
324-Youth Commissary	\$0.216	\$0.006	\$0.004	\$ --	\$0.218
325-State Exposition Special	1.407	2.008	1.232	--	2.183
326-Correctional Services Commissary	2.356	2.949	3.622	--	1.683
331-Agency Enterprise	3.684	0.177	0.165	--	3.696
351-Sheltered Workshop	1.806	0.144	0.125	--	1.825
352-Patient Workshop	1.108	0.170	0.071	--	1.207
353-Mental Hygiene Community Stores	2.376	0.195	0.201	--	2.370
450-Industrial Exhibit Authority	0.569	0.065	0.192	--	0.442
481-Unemployment Insurance Benefit	(23.468)	761.711	729.629	--	8.614
TOTAL ENTERPRISE FUNDS	(9.946)	767.425	735.241	--	22.238
<u>INTERNAL SERVICE FUNDS</u>					
323-O.G.S. Centralized Services	17.262	11.827	12.642	0.001	16.448
334-Agency Internal Service	(16.799)	14.517	26.029	4.850	(23.461)
343-Mental Hygiene Revolving	0.702	0.091	0.123	--	0.670
347-Youth Vocational Education	0.056	--	--	--	0.056
394-Joint Labor/Management Administration	0.926	--	0.071	--	0.855
395-Audit and Control Revolving	(0.521)	--	0.219	--	(0.740)
396-Health Insurance Revolving	(18.479)	1.222	1.091	--	(18.348)
397-Correctional Industries Revolving	(12.058)	4.102	3.356	--	(11.312)
TOTAL INTERNAL SERVICE FUNDS	(28.911)	31.759	43.531	4.851	(35.832)
TOTAL PROPRIETARY FUNDS	(\$38.857)	\$799.184	\$778.772	\$4.851	(\$13.594)

STATE OF NEW YORK
 FIDUCIARY FUNDS
 SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
 FOR THE MONTH OF OCTOBER 2009
 (amounts in millions)

SCHEDULE 3

<u>FUND TYPE</u>	<u>FUND BALANCE 10/1/09</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER FINANCING SOURCES (USES)</u>	<u>FUND BALANCE 10/31/09</u>
<u>PENSION TRUST FUNDS</u>					
400-Common Retirement-Administration	(\$0.038)	\$4.691	\$9.162	\$ --	(\$4.509)
TOTAL PENSION TRUST FUNDS	(0.038)	4.691	9.162	--	(4.509)
<u>PRIVATE PURPOSE TRUST FUNDS</u>					
021-Agriculture Producers' Security	2.683	0.112	0.009	--	2.786
022-Milk Producers' Security	7.520	0.040	0.015	--	7.545
TOTAL PRIVATE PURPOSE TRUST FUNDS	10.203	0.152	0.024	--	10.331
<u>AGENCY FUNDS</u>					
129-Private Not-For-Profit School Capital Facilities Financing Reserve	--	--	--	--	--
130-School Capital Facilities Financing Reserve	35.732	0.807	12.515	--	24.024
135-Child Performer's Holding	0.065	0.001	--	--	0.066
136-Child Performer's Holding II	0.040	0.002	0.004	--	0.038
152-Employees Health Insurance (*)	559.397	572.123	507.909	--	623.611
153-Social Security Contribution	0.141	116.527	101.636	--	15.032
154-Employee Payroll Withholding Escrow	32.360	476.409	439.203	--	69.566
162-Employees Dental Insurance	19.083	5.353	6.135	--	18.301
163-Management Confidential Group Insurance	1.232	0.627	0.668	--	1.191
165-Lottery Prize	137.543	72.282	71.816	(26.785)	111.224
167-Health Insurance Reserve Receipts	0.080	--	--	--	0.080
169-Miscellaneous New York State Agency	614.591	4.185	52.600	--	566.176
175-Elderly Pharmaceutical Insurance Coverage Escrow	2.879	33.656	34.025	--	2.510
176-CUNY Senior College Operating	68.913	104.005	117.375	--	55.543
179-Medicaid Management Information System Escrow	1,005.965	3,866.420	4,618.339	--	254.046
309-Special Education	--	--	--	--	--
344-State University Collection	227.368	(86.804)	--	--	140.564
382-SUNY Federal Direct Lending Program	(1.485)	1.344	--	--	(0.141)
TOTAL AGENCY FUNDS	2,703.904	5,166.937	5,962.225	(26.785)	1,881.831
TOTAL FIDUCIARY FUNDS	\$2,714.069	\$5,171.780	\$5,971.411	(\$26.785)	\$1,887.653

(*) The Health Insurance Fund - Reserve for Rate Fluctuations Account, holds health insurance dividends which are used to offset the State's contribution for employee health insurance premiums. As of October 31, 2009, the Account had a balance of \$549.2m but only \$158.2m in appropriation authority was available to 'offset' the State's contribution for employee health insurance. This will leave a balance of \$391m in available cash for future 'offset' or refunds to participating employees and pensioners.

STATE OF NEW YORK
SOLE CUSTODY AND INVESTMENT ACCOUNTS
STATEMENT OF CASH RECEIPTS AND DISBURSEMENTS
FOR THE MONTH OF OCTOBER 2009
(amounts in millions)

SCHEDULE 4

<u>FUND TYPE</u>	<u>BEGINNING BALANCE 10/1/09</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>ENDING BALANCE 10/31/09</u>
<u>ACCOUNTS</u>				
060-Tobacco Settlement	\$2.686	\$0.001	\$ --	\$2.687
149-Sole Custody Investment (*)	1,696.144	1,661.530	1,753.819	1,603.855
650-Comptroller's Refund	--	153.983	153.983	--
750-NYS Thruway Authority Operating	0.169	--	--	0.169
TOTAL ACCOUNTS	<u>\$1,698.999</u>	<u>\$1,815.514</u>	<u>\$1,907.802</u>	<u>\$1,606.711</u>

(*) Includes Public Asset Fund resources

Chapter 1 of the Laws of 2002 authorized the conversion of Empire Health Choice, d/b/a Empire Blue Cross and Blue Shield from a not-for-profit corporation to a for-profit corporation. Chapter 1 requires, in part, that upon such conversion, assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to a fund designated as the "Public Asset Fund" and 5 percent transferred to a Charitable Foundation - as set forth in (s) 7317 of the Insurance Law. On December 28, 2005, Wellchoice, Inc. (previously known as Empire Blue Cross, Blue Shield) approved a takeover by Wellpoint, Inc. This conversion was also subject to the same Chapter 1 requirements of assigning assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to the "Public Asset Fund".

As of October 31, 2009, \$15,631,309.23 (representing the remaining balance of the State's 95 percent share of the fair market value of the not-for-profit corporation plus interest) is on deposit in the sole custody account titled Public Asset Fund. In accordance with Section 4301(j)(4)(F) and (O) of the Insurance Law and at the direction of the Director of the Budget, these funds are available for transfer to HCRA Resource Fund (061).

**STATE OF NEW YORK
DEBT SERVICE FUNDS
STATEMENT OF DIRECT STATE DEBT ACTIVITY
FISCAL YEAR ENDED MARCH 31, 2010**

PURPOSE	DEBT OUTSTANDING APRIL 1, 2009	DEBT ISSUED		DEBT MATURED		DEBT OUTSTANDING OCT. 31, 2009	INTEREST DISBURSED	
		MONTH OF OCTOBER	7 MONTHS ENDED OCT. 31, 2009	MONTH OF OCTOBER (*)	7 MONTHS ENDED OCT. 31, 2009		MONTH OF OCTOBER (*)	7 MONTHS ENDED OCT. 31, 2009
GENERAL OBLIGATION BONDED DEBT:								
Accelerated Capacity and Transportation Improvements	\$648,961,405.51	\$ --	\$ --	\$ --	\$54,411,267.07	\$594,550,138.44	\$6,620,801.21	\$20,957,122.80
Clean Water/Clean Air:								
Air Quality	86,783,652.74	--	--	--	9,765,117.23	77,018,535.51	798,164.50	2,490,411.53
Safe Drinking Water	75,187,786.89	--	--	--	15,305,314.04	59,882,472.85	331,066.47	1,918,388.53
Water	504,653,063.02	--	--	--	4,451,078.31	500,201,984.71	1,494,477.79	9,336,701.58
Solid Waste	99,179,187.43	--	--	--	5,984,100.11	93,195,087.32	730,775.35	1,990,644.48
Environmental Restoration	75,363,682.79	--	--	--	132,586.21	75,231,096.58	26,918.89	1,513,155.70
Energy Conservation Through Improved Transportation:								
Rapid Transit and Rail Freight	24,630,987.27	--	--	--	3,553,759.59	21,077,227.68	146,450.65	776,575.29
Environmental Quality Protection (1972):								
Air	21,498,402.83	--	--	--	3,303,164.51	18,195,238.32	337,613.94	787,019.10
Land and Wetlands	47,541,208.24	--	--	57,604.00	6,305,453.40	41,235,754.84	549,561.80	1,613,940.73
Water	125,389,186.41	--	--	242,543.00	12,782,793.33	112,606,393.08	1,497,811.20	4,564,902.61
Environmental Quality (1986):								
Land and Forests	60,589,492.07	--	--	485,086.00	12,404,379.63	48,185,112.44	461,037.70	1,596,662.58
Solid Waste Management	537,288,426.88	--	--	4,200,000.00	33,905,266.90	503,383,159.98	2,469,535.30	10,043,897.06
Housing:								
Low Cost	59,480,151.99	--	--	2,769,000.00	9,311,326.17	50,168,825.82	668,615.76	1,594,884.25
Middle Income	46,002,000.00	--	--	4,390,000.00	4,925,000.00	41,077,000.00	1,031,345.00	2,076,600.00
Outdoor Recreation Development	30,318.00	--	--	30,318.00	30,318.00	--	818.59	1,637.18
Park and Recreation Land Acquisition	40,224.71	--	--	--	4,736.96	35,487.75	--	866.83
Pure Waters	91,335,778.20	--	--	151,589.00	8,878,460.86	82,457,317.34	1,087,577.60	3,237,020.72
Rail Preservation Development	16,583,059.70	--	--	--	4,860,550.28	11,722,509.42	119,474.35	564,946.89
Rebuild and Renew New York Transportation:								
Highway Facilities	323,061,087.80	--	--	--	--	323,061,087.80	--	6,245,279.05
Canals and Waterways	7,737,683.52	--	--	--	--	7,737,683.52	--	123,602.72
Aviation	16,170,986.25	--	--	--	--	16,170,986.25	--	297,980.72
Rail and Port	39,388,920.99	--	--	--	--	39,388,920.99	--	769,703.18
Mass Transit - Dept. of Transportation	11,921,084.46	--	--	--	--	11,921,084.46	--	196,025.37
Mass Transit - Metropolitan Transportation Authority	322,321,343.08	--	--	--	--	322,321,343.08	--	6,227,251.39
Rebuild New York-Transportation Infrastructure Renewal:								
Highways, Parkways, and Bridges	5,432,361.75	--	--	--	292,133.68	5,140,228.07	948.42	106,315.75
Ports, Canals, and Waterways	126,438.48	--	--	--	14,912.47	111,526.01	2,230.52	4,722.01
Rapid Transit, Rail, and Aviation	23,663,282.84	--	--	242,543.00	1,343,195.29	22,320,087.55	345,664.98	896,856.91
Transportation Capital Facilities:								
Aviation	27,107,010.12	--	--	30,317.00	3,546,513.09	23,560,497.03	361,308.66	1,016,004.34
Mass Transportation	25,210,785.49	--	--	--	8,422,572.88	16,788,212.61	178,201.06	867,342.66
Total General Obligation Bonded Debt	\$3,322,678,999.46	\$ --	\$ --	\$12,599,000.00	\$203,934,000.01	\$3,118,744,999.45	\$19,260,399.74	\$81,816,461.96

(*) To ensure that all debt service obligations are met and to manage the State's General Fund cash flow, DOB has requested OSC to prepay debt service payments due from November 1, 2009 to January 4, 2010. In October 2009, the State prepaid \$2.9 million of payments due in November 2009, \$11.5 million of payments due in December 2009, and \$1.4 million of payments due through January 4, 2010.

STATE OF NEW YORK
DEBT SERVICE FUNDS
FINANCING AGREEMENTS
FOR THE SEVEN (7) MONTHS ENDED OCTOBER 31, 2009

SCHEDULE 5a

	DEBT	GENERAL	DEPARTMENT	LOCAL	MENTAL	REVENUE	STATE	COMBINED TOTALS		\$ INCREASE / (DECREASE)
	REDUCTION	DEBT	OF HEALTH	GOVERNMENT	HEALTH	BOND	UNIVERSITY	7 MONTHS ENDED OCT. 31		
	RESERVE	SERVICE	INCOME	ASSISTANCE	SERVICES	TAX	DORMITORY	2009	2008	
FUND	(*)	(319)	TAX	(304)	(311-02)	(330)				
(064)	(311-01)	(319)	(364)	(304)	(311-02)	(330)				
Special Contractual Financing Obligations:										
Managed by Office of General Services:										
Department of Trans Region 1 Schenectady	\$ --	\$450,548	\$ --	\$ --	\$ --	\$ --	\$ --	\$450,548	\$578,925	(\$128,377)
Hampton Plaza	--	123,281	--	--	--	--	--	123,281	133,281	(\$10,000)
Subtotal	\$ --	\$573,829	\$ --	\$ --	\$ --	\$ --	\$ --	\$573,829	\$712,206	(\$138,377)
Payments to Public Authorities:										
City University Construction	--	259,066,835	--	--	--	--	--	259,066,835	129,204,130	129,862,705
Community Enhancement Facilities Program	--	--	--	--	--	--	--	--	274,291	(274,291)
Dormitory Authority	--	368,339,069	14,847,374	--	111,092,306	149,587,181	50,611,853	694,477,783	675,540,623	18,937,160
Energy Research & Development Authority	--	--	--	--	--	--	--	--	901,993	(901,993)
Environmental Facilities Corporation	--	4,482,970	--	--	--	17,936,899	--	22,419,869	17,253,805	5,166,064
Housing Finance Agency	--	26,459,735	--	--	--	35,447,416	--	61,907,151	69,977,793	(8,070,642)
Local Government Assistance Corporation	--	--	--	57,594,648	--	--	--	57,594,648	86,611,084	(29,016,436)
Metropolitan Transportation Authority:										
Transit and Commuter Rail Projects	--	164,982,275	--	--	--	--	--	164,982,275	82,381,608	82,600,667
Triborough Bridge & Tunnel Authority:										
Javits Convention Center Project	--	41,841,609	--	--	--	--	--	41,841,609	3,762,531	38,079,078
Thruway Authority	--	502,506,144	--	--	--	50,232,462	--	552,738,606	516,306,671	36,431,935
Urban Development Corporation:										
Correctional Facilities	--	197,353,905	--	--	--	--	--	197,353,905	87,367,580	109,986,325
Center for Industrial Innovation at RPI	--	4,243,400	--	--	--	--	--	4,243,400	509,981	3,733,419
Syracuse University Science and Technology Center	--	2,650,950	--	--	--	--	--	2,650,950	514,075	2,136,875
Cornell Univer. Supercomputer Center	--	620,000	--	--	--	--	--	620,000	492,000	128,000
Columbia Univer. Telecommunications Center	--	4,630,000	--	--	--	--	--	4,630,000	3,715,000	915,000
Clarkson University	--	1,021,530	--	--	--	--	--	1,021,530	243,312	778,218
Debt Reduction Reserve	--	--	--	--	--	--	--	--	24,265,432	(24,265,432)
University Facilities Grant 95 Refunding	--	1,591,644	--	--	--	--	--	1,591,644	514,239	1,077,405
Youth Facilities	--	18,166,125	--	--	--	--	--	18,166,125	2,006,277	16,159,848
Economic Development Housing	--	--	--	--	--	25,281,775	--	25,281,775	22,513,826	2,767,949
Sports Facility	--	--	--	--	--	--	--	--	215,214	(215,214)
South Mall	--	34,424,808	--	--	--	--	--	34,424,808	--	34,424,808
State Facilities and Equipment	--	--	--	--	--	32,947,132	--	32,947,132	42,238,782	(9,291,650)
Consolidated Service Contract Refunding	--	130,313,730	--	--	--	--	--	130,313,730	5,658,314	124,655,416
Subtotal	\$ --	\$1,762,694,729	\$14,847,374	\$57,594,648	\$111,092,306	\$311,432,865	\$50,611,853	\$2,308,273,775	\$1,772,468,561	\$535,805,214
Total Disbursements for Special Contractual Financing Obligations	\$ --	\$1,763,268,558	\$14,847,374	\$57,594,648	\$111,092,306	\$311,432,865	\$50,611,853	\$2,308,847,604	\$1,773,180,767	\$535,666,837

(*) To ensure that all debt service obligations are met and to manage the State's General Fund cash flow, DOB has requested agencies and public authorities to prepay debt service and related payments due during the third quarter of the State's fiscal year that are payable from the General Debt Service Fund. In October 2009, the State prepaid \$1.2 million of payments due in November 2009 and \$538.4 million of payments due in December 2009.

**SUMMARY OF THE OPERATING FUND INVESTMENTS
FOR THE MONTH OF OCTOBER 2009
AS REQUIRED OF THE STATE COMPTROLLER**
(amounts in millions)

	<u>OCTOBER 2009</u>	<u>FISCAL YEAR TO DATE</u>	<u>PRIOR FYTD OCTOBER 2008</u>
<u>SHORT TERM INVESTMENT POOL*</u>			
AVERAGE DAILY INVESTMENT BALANCE**	\$6,206.0	\$6,326.1	\$11,056.5
AVERAGE YIELD**	0.268%	0.339%	2.219%
TOTAL INVESTMENT EARNINGS	\$1.768	\$15.367	\$143.803

Month-End Portfolio Balances

<u>DESCRIPTION</u>	<u>PAR AMOUNT</u>
GOVT. AGENCY BILLS/NOTES	\$0.0
REPURCHASE AGREEMENTS	\$35.6
COMMERCIAL PAPER	\$2,095.0
CERTIFICATES OF DEPOSIT/SAVINGS	\$3,601.6
0% COMPENSATING BALANCE CD's	\$1,105.0
	<u>\$6,837.2</u>

*Pursuant to §98 of the State Finance Law, the State Comptroller is authorized to invest and keep invested all moneys, in any fund, held by the State. The Short Term investment Pool (STIP) represents an accounting mechanism that allows for the separate accounting of individual funds/subfunds (on deposit in State's general checking account) for the purpose of making short term investments. Chapter 56, Part PP, §16 of the Laws of 2009 amended §4(5) of the State Finance Law to authorize the Short Term Investment Pool to temporarily loan to the General Fund-State Operations Account (003) funds for a period of four months or the end of the fiscal year, which ever is shorter. However, it must be noted that certain funds/subfunds are invested as part of STIP, but are held by the State Comptroller in a fiduciary capacity. Fiduciary fund balances are restricted and may not be used for any State purposes since moneys in such funds are held by the State in a trustee (or fiduciary) capacity or as an agent for individuals private organizations, or non-State governmental units (e.g. local governments, public authorities). Therefore, Fiduciary fund balances are not available to be temporarily loaned to the General Fund-State Operations Account. Fiduciary fund balances are presented in Schedules 3 and 4 of this report.

**Does not include 0% Compensating Balance CD's.

SOURCE: PENSION INVESTMENT AND PUBLIC FINANCE

STATE OF NEW YORK
OFFICE OF THE STATE COMPTROLLER
DIVISION OF PAYROLL, ACCOUNTING, AND REVENUE SERVICES
BUREAU OF STATE ACCOUNTING OPERATIONS

**COMPTROLLER'S MONTHLY REPORT TO THE LEGISLATURE ON STATE FUNDS - CASH BASIS OF ACCOUNTING
FISCAL YEAR 2009-2010**

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STATE OF NEW YORK
 HCRA RESOURCES FUND
 STATEMENT OF RECEIPTS AND DISBURSEMENTS BY OBJECT
 FISCAL YEAR 2009-2010

APPENDIX A

	2009 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER
OPENING CASH BALANCE	\$239,963,585	\$204,479,921	\$299,679,153	\$152,079,890	\$179,086,295	\$277,199,387
RECEIPTS:						
Cigarette Tax	85,019,652	74,639,227	83,659,918	82,626,286	85,414,800	87,211,157
State Share of NYC Cigarette Tax	5,896,000	6,435,000	7,404,000	6,640,000	7,062,000	7,066,000
Insurance Premium Tax	--	--	--	--	--	55,000,000
STIP Interest	158,585	86,022	115,405	89,746	67,795	84,525
Public Asset Transfers	--	--	95,000,000	--	--	--
Indigent Care Pool	1,103	403	734	368	368	1,105
Public Goods Pool	287,893,002	398,081,296	318,275,622	314,341,402	307,771,764	337,539,829
Hospital Excess Liability Pool	--	--	--	--	--	--
Miscellaneous	12,261	25	7,867	55,276	12,123	(20,258)
Total Receipts	378,980,603	479,241,973	504,463,546	403,753,078	400,328,850	486,882,358
DISBURSEMENTS:						
Grants - Social Service	155,337	57,752	44,908	104,350	4,025	38,257
Medical Assistance Payments	329,114,794	479,135,526	558,317,945	307,228,279	223,400,027	218,257,123
Grants - Health	77,101,511	(101,873,402)	69,958,490	65,735,524	72,038,860	76,728,072
Grants - Mental Hygiene	--	--	--	--	--	--
Grants - Miscellaneous	128,164	224,739	391,961	162,838	65,119	40,835
Interest - Late Payments	2,434	1,398	352	849	1,372	723
Personal Service	1,399,108	980,827	791,000	911,891	709,439	1,355,189
Non-Personal Service	5,433,318	5,497,881	8,357,125	2,102,942	4,447,238	2,429,298
Employee Benefits/Indirect Costs	1,129,601	18,020	701,028	--	1,059,678	--
Appropriated Transfers	--	--	--	500,000	490,000	--
Transfers to 339-ES	--	--	--	--	--	--
Total Disbursements	414,464,267	384,042,741	638,562,809	376,746,673	302,215,758	298,849,497
OPERATING TRANSFERS:						
Transfers to 002	--	--	13,500,000	--	--	19,200,000
Transfers to 003	--	--	--	--	--	--
Transfers to 311-02	--	--	--	--	--	3,652,808
Transfers to 339-AP	--	--	--	--	--	--
Total Operating Transfers	--	--	13,500,000	--	--	22,852,808
Total Disbursements and Transfers	414,464,267	384,042,741	652,062,809	376,746,673	302,215,758	321,702,305
CLOSING CASH BALANCE	\$204,479,921	\$299,679,153	\$152,079,890	\$179,086,295	\$277,199,387	\$442,379,440

STATE OF NEW YORK
 HCRA RESOURCES FUND
 STATEMENT OF RECEIPTS AND DISBURSEMENTS BY OBJECT
 FISCAL YEAR 2009-2010

APPENDIX A
 (continued)

	<u>OCTOBER</u>	<u>7 Months Ended October 31, 2009</u>
OPENING CASH BALANCE	\$442,379,440	\$239,963,585
RECEIPTS:		
Cigarette Tax	62,856,975	561,428,015
State share of NYC Cigarette Tax	5,769,000	46,272,000
Insurance Premium Tax	--	55,000,000
STIP Interest	118,969	721,047
Public Asset Transfers	--	95,000,000
Indigent Care Pool	1,104	5,185
Public Goods Pool	322,856,109	2,286,759,024
Hospital Excess Liability Pool	--	--
Miscellaneous	48,535	115,829
Total Receipts	391,650,692	3,045,301,100
DISBURSEMENTS:		
Grants - Social Service	60,941	465,570
Medical Assistance Payments	347,298,766	2,462,752,460
Grants - Health	99,753,744	359,442,799
Grants - Mental Hygiene	--	--
Grants - Miscellaneous	--	1,013,656
Interest - Late Payments	19,162	26,290
Personal Service	987,325	7,134,779
Non-Personal Service	1,734,949	30,002,751
Employee Benefits/Indirect Costs	44,857	2,953,184
Appropriated Transfers		
Transfers to 339-ES	--	990,000
Total Disbursements	449,899,744	2,864,781,489
OPERATING TRANSFERS:		
Transfers to 002	--	32,700,000
Transfers to 003	--	--
Transfers to 311-02	--	3,652,808
Transfers to 339-AP	--	--
Total Operating Transfers	--	36,352,808
Total Disbursements and Transfers	449,899,744	2,901,134,297
CLOSING CASH BALANCE	\$384,130,388	\$384,130,388

STATE OF NEW YORK
 HCRA RESOURCES FUND
 STATEMENT OF PROGRAM DISBURSEMENTS
 FISCAL YEAR 2009-2010

APPENDIX B

Program/Purpose	Appropriation Amount (1)	Segregation Amount	April - June Disbursements	July - September Disbursements	October Disbursements	Total Disbursements 7 Months Ending October 31, 2009 (3)
COMMUNITY SERVICES PROGRAM	\$ 2,771,607	\$	\$	\$	\$	\$
LONG TERM CARE INSUR EDUC/OUTREACH		2,771,607	732,863	244,792	--	977,655
ADMIN & GRANTS MGMT HCRA RESOURCE	50,000					
LONG TERM CARE INSUR EDUC/OUTREACH		45,000	--	--	--	--
ADULT HOMES PROGRAM	120,000					
ADULT HOME RESIDENT COUNCIL PROJECT		120,000	12,000	24,000	--	36,000
ADMIN & EXECUTIVE DIRECTION PROGRAM	12,801,000					
HEALTH CARE DELIVERY ADMINISTRATION		739,630	86,160	82,984	16,683	185,827
HEALTH OCCUPATION DEVELOP/WORK DEMO		1,141,355	118,040	112,343	23,364	253,747
HEALTH WORKFORCE RETRAINING PROGRAM		2,294,055	254,848	110,258	132,228	497,334
PILOT HEALTH INSURANCE ACCOUNT		2,462,770	322,112	312,274	60,949	695,335
PRIMARY CARE INITIATIVES MONITORING		1,134,895	145,676	138,519	50,941	335,136
AIDS INSTITUTE PROGRAM	188,955,213					
HEALTH CARE SERVICES ACCOUNT		166,053,526	13,284,811	23,911,096	7,447,047	44,642,954
HOSPITAL BASED GRANTS PROGRAM		11,089,797	1,171,105	2,021,407	313,870	3,506,382
MATERNAL & CHILD HIV SERVICES		8,978,390	469,793	1,761,289	200,482	2,431,564
OPERATIONAL SUPPORT FOR AIDS HOUSING		2,833,500	257,997	146,632	60,941	465,570
CENTER FOR COMMUNITY HEALTH PROGRAM	119,505,261					
HEALTH CARE SERVICES ACCOUNT		71,150,698	10,156,705	13,994,017	2,158,027	26,308,749
HOSPITAL BASED GRANTS PROGRAM		20,280,823	2,882,716	2,274,520	18,154	5,175,390
TOBACCO CONTROL & CANCER SERVICES		5,267,393	810,832	752,590	158,783	1,722,205
OFFICE OF HEALTH SYSTEMS MANAGEMENT	74,511,518					
EMERGENCY MEDICAL SERVICES ACCOUNT		45,509,503	3,695,173	5,354,413	833,826	9,883,412
HEALTH CARE SERVICES ACCOUNT		11,240,000	--	175,890	469,494	645,384
QUALITY INCENTIVE PAYMENT		2,750,000	--	--	--	--
HEALTH CARE FINANCING PROGRAM	11,336,800					
PROVIDER COLLECTION MONITORING ACCOUNT		5,972,455	836,929	594,218	138,541	1,569,688
OFFICE OF HEALTH INSURANCE PROGRAM	17,063,000					
FAMILY HEALTH PLUS		12,256,860	1,939,304	1,487,579	274,505	3,701,388
MEDICAID FRAUD HOTLINE/ADMIN.		1,102,500	65,329	85,409	17,667	168,405
MEDICAL ASSISTANCE PROGRAM	6,730,190,000					
BREAST & CERVICAL CANCER GRANTS		3,780,000	--	--	--	--
D&TC RATES FOR R&R GRANTS (4)		3,050,000	--	--	--	--
DISABLED PERSONS GRANTS		42,300,000	--	7,800,000	--	7,800,000
FAMILY HEALTH PLUS GRANTS		1,047,000,000	22,000,000	206,700,000	150,240,000	378,940,000
HOME CARE RATES		8,000,000	--	--	--	--
HOME HEALTH R&R RATES GRANTS (5)		100,000,000	--	--	--	--
HOME HEALTH WORKFORCE RECRUITMENT		37,000,000	--	--	--	--
MEDICAL ASSISTANCE - INDIGENT CARE FUND		1,846,300,000	367,768,265	266,385,429	103,058,766	737,212,460
MEDICAL ASSISTANCE - PAYMENTS GRANTS		207,700,000	82,000,000	32,100,000	--	114,100,000
NASSAU & SUFFOLK HOSPITAL DIST GRANTS		5,000,000	--	--	--	--
NON-PUBLIC GENERAL HOSP RATES FOR R&R (6)		41,300,000	--	--	--	--
NON-PUBLIC NH RATES FOR R&R GRANTS (7)		21,600,000	--	--	--	--
NURSING HOME FINANCIAL ASSIST GRANTS		15,000,000	--	--	--	--
NYC MEDICAID GRANTS		249,400,000	124,700,000	--	--	124,700,000
NYC NON-PUBLIC HOSP MEANINGFUL ACCESS		38,000,000	--	--	--	--
NYC PERSONAL CARE WRR RATES GRANTS (8)		136,000,000	--	--	--	--
PERSONAL CARE WRR RATES GRANTS (9)		11,200,000	--	--	--	--
PHARMACY SERVICES GRANT		1,864,280,000	747,400,000	207,500,000	94,000,000	1,048,900,000
PHYSICIAN SERVICES GRANT		153,360,000	--	28,400,000	--	28,400,000
PRIORITY RESTORATION GRANTS		24,000,000	--	--	--	--
PUBLIC HOSPITAL RATES - SECTION 2807C		48,000,000	--	--	--	--
SUPPLEMENTAL MED INS PAYMENTS GRANTS		122,400,000	22,700,000	--	--	22,700,000
SUPPLEMENTAL RURAL HOSPITAL RATES		7,000,000	--	--	--	--
ENHANCED COMMUNITY SERVICES PROGRAM	1,000,000					
ENHANCED COMMUNITY SERVICES ACCOUNT		1,000,000	--	500,000	--	500,000
OFFICE OF LONG TERM CARE	8,623,480					
ADULT HOME INITIATIVES		3,592,850	--	--	--	--
ENHANCING ABILITIES & LIFE EXPERIENCE		2,659,195	--	--	--	--
ELDERLY PHARMACEUTICAL INS COVERAGE PRG	582,300,000					
ELDERLY PHARMACEUTICAL INSURANCE COVER		302,375,000	6,885,058	--	33,637,396	40,522,454
PAYBILLS	1,513,800					
CHILD HEALTH INSURANCE PROGRAM	955,241,400					
CHILD HEALTH INSURANCE		792,093,280	72,425,350	62,433,638	48,765,158	183,624,146

STATE OF NEW YORK
 HCRA RESOURCES FUND
 STATEMENT OF PROGRAM DISBURSEMENTS
 FISCAL YEAR 2009-2010

APPENDIX B
 (continued)

Program/Purpose	Appropriation Amount (1)	Segregation Amount	April - June Disbursements	July - September Disbursements	October Disbursements	Total Disbursements 7 Months Ending October 31, 2009 (3)
HEALTH CARE REFORM ACT PROGRAM	\$ 2,596,830,067	\$	\$	\$	\$	\$
ADAP/HIV UNINSURED CARE (HRI) (10)		11,760,000	--	--	--	--
AREA HEALTH CARE CENTERS		786,934	--	--	--	--
ASSEMBLY PRIORITY DISTRIBUTIONS		29,519,519	670,470	700,622	144,644	1,515,736
AUDIT SERVICE PAYER/PROVIDER COMPLIANCE		13,851,061	615,353	1,552,428	88,000	2,255,781
CANCER RELATED SERVICES		45,335,164	2,328,017	2,252,546	1,560,396	6,140,959
CATASTROPHIC HEALTH CARE EXPENSE		3,111,420	--	--	--	--
COMMISSIONER EMERGENCY DISTRIBUTIONS		4,205,000	700,000	--	--	700,000
COMMISSIONER'S PRIORITY POOL DISTRIB.		62,750,892	362,624	--	--	362,624
DIAGNOSTIC & TREAT CTR UNCOMPENSAT CARE		129,910,646	53,697,182	581,356	739,968	55,018,506
DISEASE MANAGEMENT DEMO PROGRAM		3,750,000	1,010,289	--	--	1,010,289
DIVERSITY IN MEDICINE/POST BACCALAUREAT		3,920,000	978,299	981,701	--	1,960,000
GRADUATE MEDICAL EDUCATION DISTRIB		198,240,000	--	--	--	--
HEALTH CARE STABILIZATION PROGRAM		26,995,288	(178,160)	888,290	--	710,130
HEALTH FACILITY RESTRUCTURING		39,200,000	19,600,000	--	--	19,600,000
HEALTH MAINTENANCE ORG (HMO) DIRECT PAY		39,200,000	(39,200,000)	--	--	(39,200,000)
HEALTH WORKFORCE RETRAINING		121,477,420	6,329,379	1,216,409	662,130	8,207,918
HEALTHY NY - ADMINISTRATION		1,438,226	(1,438,226)	--	--	(1,438,226)
HEALTHY NY - ENTERTAINMENT WORKERS		503,059	(502,712)	--	--	(502,712)
HEALTHY NY - GROUP PROGRAM		122,161,378	(122,161,378)	--	--	(122,161,378)
INDIVIDUAL SUBSIDY PROGRAM		1,551,130	--	--	--	--
INFERTILITY GRANT PROGRAM		2,345,602	--	--	--	--
INFERTILITY SRVCS TREATMENTS & PROC		15,059,619	1,337,491	157,768	--	1,495,259
LONG TERM CARE DELIVERY DEMO PROJECTS		956,244	135,559	23,745	--	159,304
LONG TERM CARE DEMO PROJECTS		750,000	--	--	--	--
LONG TERM CARE INSUR EDUC/OUTREACH		2,976,960	17,598	3,576	--	21,174
MINORITY PARTICIPATION MED EDUC		192,625	--	--	--	--
NYS AREA HEALTH EDUCATION CENTER (AHEC)		5,000,000	--	2,500,594	--	2,500,594
OTHER MEDICAL SCHOOL		945,101	--	--	--	--
PAY FOR PERFORMANCE INITIATIVES		9,407,859	436,587	5,186	866,014	1,307,787
PHYSICIAN LOAN REPAYMENT PROGRAM		1,960,000	--	--	--	--
PHYSICIAN PRACTICE SUPPORT PROGRAM		4,900,000	--	--	--	--
PHYSICIANS EXCESS MEDICAL MALPRACTICE		127,400,000	--	--	--	--
POISON CONTROL CENTERS		7,400,000	2,387,817	--	--	2,387,817
POOL ADMINISTRATOR-SERVICES & EXPENSES		10,703,421	918,076	530,875	491,919	1,940,870
PRIMARY HEALTH CARE SERVICES		2,915,430	--	--	--	--
ROSWELL PARK CANCER INSTITUTE		136,550,000	--	45,450,000	--	45,450,000
RURAL HEALTH CARE ACCESS DEVELOP		25,600,140	890,385	4,072,450	462,374	5,425,209
RURAL HEALTH CARE DELIVERY DEVELOP		5,480,367	--	--	--	--
RURAL HEALTH NETWORK DEVELOPMENT		14,100,000	1,031,910	3,265,880	623,403	4,921,193
SCHOOL BASED HEALTH CENTERS		3,196,000	--	--	--	--
SCHOOL BASED HEALTH CLINICS		6,392,000	--	--	--	--
SECTION 405.4 HOSPITAL AUDITS		3,915,000	860,874	248,932	74,526	1,184,332
SENATE PRIORITY DISTRIBUTIONS		30,373,524	61,000	75,000	--	136,000
SUPPLEMENTAL GRADUATE MEDICAL EDUCATION		44,080,000	--	4,042,500	--	4,042,500
TELEMEDICINE DEMONSTRATION PROGRAM		2,950,566	271,695	(35)	--	271,660
TOBACCO USE PREVENTION & CONTROL		150,065,200	22,788,622	10,712,519	2,109,548	35,610,689
WORKER RECRUIT/RETAIN PUBLIC HOSPITALS		44,060,000	--	32,000,000	--	32,000,000
WORKER/RECRUIT/RETAIN PUBLIC RHCF (11)		3,650,000	--	1,146,289	--	1,146,289
TOTAL	\$ 11,302,813,145 (2)	\$ 9,031,577,878	\$ 1,437,069,817	\$ 977,811,928	\$ 449,899,744	\$ 2,864,781,489
Transfer to the General Fund - State Purposes Account (for administration of the program)	869,890					
TOTAL APPROPRIATED AMOUNT	\$ 11,303,683,035					

(1) Includes amounts appropriated in 2009 as well as prior year appropriations that were reappropriated in the SFY 2009 budget chapters.

(2) Unsegregated appropriation total is \$2,271,235,267.

(3) Disbursements from the HCRA Resource Fund includes direct grant payments to program beneficiaries, services and expenses for administration of grant programs, and transfers to the Public Goods Pool to finance payments made by the State's fiscal agent

(4) Full title is: Diagnostic and Treatment Center Rates Increase for Recruitment and Retention of Health Care Workers

(5) Full title is: Home Health Recruitment and Retention Rates Grant

(6) Full title is: Non-Public General Hospital Rates for Recruitment and Retention of Health Care Workers

(7) Full title is: Non-Public Nursing Home Rates for Recruitment and Retention of Health Care Workers

(8) Full title is: NYC Personal Care Workforce Recruitment and Retention Rates Grants

(9) Full title is: Personal Care Workforce Recruitment and Retention Rates Grants

(10) Full title is: Aids Drug Assistance Program/Human Immunodeficiency Virus - Uninsured Care - Health Research Incorporated.

(11) Full title is: Workers Recruitment and Retention of Residential Health Care Facilities

State of New York
Schedule of Disbursements of Federal Awards - October 2009
American Recovery and Reinvestment Act of 2009

Federal CFDA No.	Federal Agency	Program	October Disbursements	Life-to-Date Disbursements
Education				
10.579	Department of Agriculture	Child Nutrition Discretionary Grants Limited Availability	\$ 302,131.00	\$ 474,471.00
45.025	National Endowment for the Arts	Promotion of the Arts - Partnership Agreements	58,000.00	58,000.00
84.391	Department of Education	Special Education Grants to States, Recovery Act	5,021,527.00	20,471,412.00
84.392	Department of Education	Special Education - Preschool Grants, Recovery Act	359,188.00	1,151,660.00
84.394	Department of Education	State Fiscal Stabilization Fund (SFSF) - Education State Grants, Recovery Act	30,091,676.00	30,091,676.00
84.397	Department of Education	State Fiscal Stabilization Fund (SFSF) - Government Services, Recovery Act	11,552,370.00	61,452,370.00
		Subtotal	<u>47,384,892.00</u>	<u>113,699,589.00</u>
Energy and Environment				
66.454	Environmental Protection Agency	Water Quality Management Planning	10,876.34	16,508.50
66.458	Environmental Protection Agency	Capitalization Grants for Clean Water State Revolving Funds	--	11,356,657.99
81.042	Department of Energy	Weatherization Assistance for Low-Income Persons	12,968,975.93	41,366,941.93
		Subtotal	<u>12,979,852.27</u>	<u>52,740,108.42</u>
Food and Nutrition Services				
10.568	Department of Agriculture	Emergency Food Assistance Program (Administrative Costs)	--	1,550,447.00
93.705	Health and Human Services	Aging Home-Delivered Nutrition Services for States	125,704.24	367,235.39
93.707	Health and Human Services	Aging Congregate Nutrition Services for States	276,474.77	622,795.43
		Subtotal	<u>402,179.01</u>	<u>2,540,477.82</u>
Health and Social Services				
10.557	Department of Agriculture	Special Supplemental Nutrition Program for Women, Infants & Children (WIC)	--	5,468,978.00
10.561	Department of Agriculture	State Administrative Matching Grants for the Supplemental Nutrition Assistance Program	--	12,138,141.00
84.390	Department of Education	Rehabilitation Services - Vocational Rehabilitation Grants to States, Recovery Act	28,088.95	33,461.95
93.563	Health and Human Services	Child Support Enforcement	9,658,715.00	9,658,715.00
93.658	Health and Human Services	Foster Care- Title IV-E	5,496,609.00	16,060,208.00
93.659	Health and Human Services	Adoption Assistance	6,857,629.00	19,339,354.00
93.714	Health and Human Services	ARRA - Emergency Contingency Fund for Temporary Assistance for Needy Families (TANF) State Programs	--	140,000,000.00
93.778	Health and Human Services	Medical Assistance Program (FMAP) *	527,720,126.52	4,699,484,038.01
		Subtotal	<u>549,761,168.47</u>	<u>4,902,182,895.96</u>
Housing				
93.710	Health and Human Services	ARRA - Community Services Block Grant	2,149,427.50	21,791,607.00
		Subtotal	<u>2,149,427.50</u>	<u>21,791,607.00</u>
Labor				
17.207	Department of Labor	Employment Service/Wanger-Peyser Funded Activities	--	1,374,294.59
17.225	Department of Labor	Unemployment Insurance	396,988,057.24	2,574,286,915.51
17.235	Department of Labor	Senior Community Service - Employment Program	90,978.51	119,411.01
17.258	Department of Labor	Workforce Investment Act - Adult Program	1,545,082.48	5,586,713.96
17.259	Department of Labor	Workforce Investment Act - Youth Activities	3,966,099.30	35,260,340.54
17.260	Department of Labor	Workforce Investment Act - Dislocated Workers	2,548,118.78	11,150,924.70
		Subtotal	<u>405,138,336.31</u>	<u>2,627,778,600.31</u>
Public Protection				
12.401	Department of Defense	National Guard Military Operations and Maintenance (O&M) Projects	24,855.65	24,855.65
16.588	Department of Justice	Violence Against Women Formula Grants	112.25	112.25
16.800	Department of Justice	Recovery Act - Internet Crimes against Children Task Force Program (ICAC)	10,056.57	34,550.82
16.801	Department of Justice	Recovery Act - State Victim Assistance Formula Grant Program	74,582.30	74,582.30
16.802	Department of Justice	Recovery Act - State Victim Compensation Formula Grant Program	1,381,459.87	1,395,855.85
16.803	Department of Justice	Recovery Act - Edward Byrne Memorial Justice Assistance Grant (JAG) Program/ Grants to States and Territories	107,182.79	132,218.53
		Subtotal	<u>1,598,249.43</u>	<u>1,662,175.40</u>

State of New York
Schedule of Disbursements of Federal Awards - October 2009
American Recovery and Reinvestment Act of 2009

<u>Federal CFDA No.</u>	<u>Federal Agency</u>	<u>Program</u>	<u>October Disbursements</u>	<u>Life-to-Date Disbursements</u>
Transportation				
20.205	Department of Transportation	Highway Planning and Construction	43,024,349.53	87,124,449.93
		Subtotal	<u>43,024,349.53</u>	<u>87,124,449.93</u>
		TOTAL DISBURSEMENTS \$	<u>1,062,438,454.52</u>	<u>\$ 7,809,519,903.84</u>

* For Federal funds, Federal CMI A regulations require that we draw funds from the US Treasury as checks are 'cleared' instead of when 'issued'. Because of the manner in which we charge federal funds as payments clear our account, there is a timing delay for recording Medicaid payments based on clearances (rather than issuances). For reporting purposes \$7,344,000.00 in outstanding checks are included as Medicaid payments.

APPENDIX D

STATE OF NEW YORK - STATEMENT OF CASH FLOW - PUBLIC GOODS POOL
FISCAL YEAR 2009-2010

	1st Quarter April-June	2nd Quarter July-September	2009 OCTOBER (*)	2009-2010
OPENING CASH BALANCE	\$ 184,277,333.13	\$ 206,704,455.64	\$ 209,805,710.31	\$ 184,277,333.13
RECEIPTS:				
Patient Services	568,849,458.27	603,872,765.22	210,217,871.05	1,382,940,094.54
Covered Lives	367,270,056.55	269,323,531.02	85,979,457.93	722,573,045.50
Provider Assessments	13,018,654.96	14,417,904.46	4,173,186.54	31,609,745.96
1% Assessments	76,690,876.78	75,864,035.98	23,659,018.00	176,213,930.76
DASNY- MOE/Recast receivables	0.00	0.00	0.00	0.00
Interest Income	41,146.10	35,257.50	11,824.14	88,227.74
NYPHRM	1,133.69	1,193.14	260.93	2,587.76
Unassigned	693,032.00	(760,437.00)	164,278.00	96,873.00
Total Receipts	1,026,564,358.35	962,754,250.32	324,205,896.59	2,313,524,505.26
DISBURSEMENTS:				
Program Disbursements:				
Diagnostic and Treatment Centers	0.00	0.00	0.00	0.00
Rural Health Care Initiatives	0.00	0.00	0.00	0.00
Poison Control	(2,387,817.00)	0.00	0.00	(2,387,817.00)
Cancer Related Services	0.00	0.00	0.00	0.00
Health Work Force Retraining Program	0.00	0.00	0.00	0.00
Minority Partnership in Medical Education Grants	0.00	0.00	0.00	0.00
GME Distributions	0.00	0.00	0.00	0.00
Health Care Recruitment & Retention	0.00	(33,146,289.00)	0.00	(33,146,289.00)
Poison Control Centers	0.00	0.00	0.00	0.00
School Based Health Center Grants	0.00	0.00	0.00	0.00
Professional Education Pool Distributions	0.00	(4,042,500.00)	0.00	(4,042,500.00)
DSH Cap "pop-up"	0.00	0.00	0.00	0.00
Total Program Disbursements	(2,387,817.00)	(37,188,789.00)	0.00	(39,576,606.00)
Administrative Expenses	0.00	0.00	0.00	0.00
Total Disbursements	(2,387,817.00)	(37,188,789.00)	0.00	(39,576,606.00)
Excess (Deficiency) of Receipts over Disbursements	1,024,176,541.35	925,565,461.32	324,205,896.59	2,273,947,899.26
OTHER FINANCING SOURCES (USES):				
Transfers from Other Pools:				
Medicaid Disproportionate Share	0.00	0.00	0.00	0.00
Health Facility Assessment Fund	0.00	0.00	0.00	0.00
Transfers From State Funds:				
061-HCRA Resources Fund	2,387,817.00	37,188,789.00	0.00	39,576,606.00
Other	0.00	0.00	0.00	0.00
Total Other Financing Sources	2,387,817.00	37,188,789.00	0.00	39,576,606.00
Transfers to Other Pools:				
Medicaid Disproportionate Share	0.00	0.00	0.00	0.00
Health Facility Assessment Fund	0.00	0.00	0.00	0.00
Transfers to State Funds:				
061-HCRA Resources Fund	(729,681,602.49)	(693,354,119.32)	(214,175,393.35)	(1,637,211,115.16)
061-IN Indigent Care Fund (matched)	(272,351,770.38)	(264,010,756.67)	(108,171,168.15)	(644,533,695.20)
061-IN Indigent Care Fund (non-matched)	(2,103,862.97)	(2,288,119.66)	(509,547.10)	(4,901,529.73)
Other	0.00	0.00	0.00	0.00
Total Other Financing Uses	(1,004,137,235.84)	(959,652,995.65)	(322,856,108.60)	(2,286,646,340.09)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	22,427,122.51	3,101,254.67	1,349,787.99	26,878,165.17
CLOSING CASH BALANCE	\$ 206,704,455.64	\$ 209,805,710.31	\$ 211,155,498.30	\$ 211,155,498.30

Source: HCRA - Office of Pool Administration

(*) No disbursements are reported during October due to timing; October payments were made November 2nd and will be shown in November's report.

**STATE OF NEW YORK - STATEMENT OF CASH FLOW - MEDICAID DISPROPORTIONATE SHARE
FISCAL YEAR 2009-2010**

	<u>1st Quarter</u> <u>April-June</u>	<u>2nd Quarter</u> <u>July-September</u>	<u>2009</u> <u>OCTOBER (*)</u>	<u>2009-2010</u>
OPENING CASH BALANCE	\$ 1,103.14	\$ 367.78	\$ 1,104.20	\$ 1,103.14
RECEIPTS:				
Interest Income	1,505.04	2,576.74	0.00	4,081.78
Total Receipts	<u>1,505.04</u>	<u>2,576.74</u>	<u>0.00</u>	<u>4,081.78</u>
DISBURSEMENTS:				
Program Disbursements:				
Indigent Care	(265,037,182.94)	(265,182,240.12)	0.00	(530,219,423.06)
High Need Indigent Care	(8,207,210.97)	0.00	0.00	(8,207,210.97)
Other	100,244.09	194,847.24	0.00	295,091.33
Total Program Disbursements	<u>(273,144,149.82)</u>	<u>(264,987,392.88)</u>	<u>0.00</u>	<u>(538,131,542.70)</u>
Investment Purchases	0.00	0.00	0.00	0.00
Total Disbursements	<u>(273,144,149.82)</u>	<u>(264,987,392.88)</u>	<u>0.00</u>	<u>(538,131,542.70)</u>
Excess (Deficiency) of Receipts over Disbursements	<u>(273,142,644.78)</u>	<u>(264,984,816.14)</u>	<u>0.00</u>	<u>(538,127,460.92)</u>
OTHER FINANCING SOURCES (USES):				
Transfers from Other Pools:				
Public Goods Pool	0.00	0.00	0.00	0.00
Health Facility Assessment Fund	0.00	0.00	0.00	0.00
Transfers From State Funds:				
061-IN HCRA Resources Indigent Care - Matched	136,175,885.20	132,005,378.35	54,085,584.08	322,266,847.63
061-IN HCRA Resources Indigent Care - Unmatched	792,379.44	976,636.21	73,182.08	1,842,197.73
265-Federal DHHS Fund	136,175,885.18	132,005,378.32	54,085,584.07	322,266,847.57
Other	0.00	0.00	0.00	0.00
Total Other Financing Sources	<u>273,144,149.82</u>	<u>264,987,392.88</u>	<u>108,244,350.23</u>	<u>646,375,892.93</u>
Transfers to Other Pools:				
Public Goods Pool	0.00	0.00	0.00	0.00
Transfers to State Funds:				
061-HCRA Resources Fund	(2,240.40)	(1,840.32)	(1,104.20)	(5,184.92)
Total Other Financing Uses	<u>(2,240.40)</u>	<u>(1,840.32)</u>	<u>(1,104.20)</u>	<u>(5,184.92)</u>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	<u>(735.36)</u>	736.42	108,243,246.03	108,243,247.09
CLOSING CASH BALANCE	<u>\$ 367.78</u>	<u>\$ 1,104.20</u>	<u>\$ 108,244,350.23</u>	<u>\$ 108,244,350.23</u>

Source: HCRA - Office of Pool Administration

(*) No disbursements are reported during October due to timing; October payments were made November 2nd and will be shown in November's report.

SUMMARY OF OFF-BUDGET SPENDING REPORT

	DISBURSED APRIL '09 (000)	DISBURSED MAY '09 (000)	DISBURSED JUNE '09 (000)	DISBURSED JULY '09 (000)	DISBURSED AUG '09 (000)	DISBURSED SEPT '09 (000)	DISBURSED OCT '09 (000)	DISBURSED NOV '09 (000)	DISBURSED DEC '09 (000)	DISBURSED JAN '10 (000)	DISBURSED FEB '10 (000)	DISBURSED MAR '10 (000)	DISBURSED TOTAL 09-10 (000)
DORMITORY AUTHORITY:													
Education - All Other	625	--	21	--	10	293	--	--	--	--	--	--	949
Education - EXCEL	47,685	15,068	35,405	36,901	1,983	5,491	--	--	--	--	--	--	142,533
Department of Health - All Other	57	--	62	--	3	8	--	--	--	--	--	--	130
Department of Health - Oxford	--	--	--	--	--	--	--	--	--	--	--	--	--
Judicial Institutes (Pace)	--	--	--	--	--	--	--	--	--	--	--	--	--
CEFAP	763	522	196	31	190	57	--	--	--	--	--	--	1,759
Regional Development:													
CCAP	2,525	562	1,657	1,918	1,184	977	--	--	--	--	--	--	8,823
Multi-modal	2,040	10	98	--	--	--	--	--	--	--	--	--	2,148
GenNYsis	3,376	883	263	--	1,484	1,815	--	--	--	--	--	--	7,821
RESTORE	--	--	--	17	--	--	--	--	--	--	--	--	17
CUNY Senior Colleges	57,318	12,372	54,944	28,037	22,185	44,320	--	--	--	--	--	--	219,176
CUNY Community Colleges	12,258	3,450	12,532	8,871	10,541	9,961	--	--	--	--	--	--	57,613
SUNY Dormitories	14,694	4,399	22,955	19,678	15,893	18,309	--	--	--	--	--	--	95,928
Upstate Community Colleges	4,297	2,875	7,180	2,933	3,301	5,237	--	--	--	--	--	--	25,823
Mental Health	12,626	3,369	17,371	23,921	9,706	8,162	--	--	--	--	--	--	75,155
Mental Retardation	4,846	1,901	5,792	4,042	6,760	2,931	--	--	--	--	--	--	26,272
Alcoholism & Alcohol Abuse	320	4	204	1,090	725	18	--	--	--	--	--	--	2,361
TOTAL DORMITORY AUTHORITY:	163,430	45,415	158,680	127,439	73,965	97,579	--	--	--	--	--	--	666,508
EMPIRE STATE DEVELOPMENT CORP:													
Regional Development:													
Centers of Excellence	25	334	278	976	1,321	--	--	--	--	--	--	--	2,934
CCAP	200	762	516	341	396	400	--	--	--	--	--	--	2,615
Empire Opportunity	--	--	--	--	--	--	--	--	--	--	--	--	--
CEFAP	100	9	--	--	10	114	--	--	--	--	--	--	233
SEMATECH	--	--	--	--	--	--	--	--	--	--	--	--	--
State Facilities and Equipment	(56)	--	--	--	--	48	--	--	--	--	--	--	(8)
TOTAL EMPIRE STATE DEVELOPMENT CORP:	269	1,105	794	1,317	1,727	562	--	--	--	--	--	--	5,774
THRUWAY AUTHORITY:													
CHIPS	--	--	24,789	--	--	116,558	--	--	--	--	--	--	141,347
SHIPS	--	--	--	--	--	--	--	--	--	--	--	--	--
Marchiselli	--	--	9,852	--	--	8,300	--	--	--	--	--	--	18,152
Multi-modal	--	--	191	--	883	--	--	--	--	--	--	--	1,074
TOTAL THRUWAY AUTHORITY:	--	--	34,832	--	883	124,858	--	--	--	--	--	--	160,573
TOTAL OFF-BUDGET:	163,699	46,520	194,306	128,756	76,575	222,999	--	--	--	--	--	--	832,855
TOTAL CEFAP	863	531	196	31	200	171	--	--	--	--	--	--	1,992
ECONOMIC DEVELOPMENT:													
Total CCAP	2,725	1,324	2,173	2,259	1,580	1,377	--	--	--	--	--	--	11,438
Total Multi-modal	2,040	10	98	--	--	--	--	--	--	--	--	--	2,148
Total GenNYsis	3,376	883	263	--	1,484	1,815	--	--	--	--	--	--	7,821
Total RESTORE	--	--	--	17	--	--	--	--	--	--	--	--	17
Total Centers for Excellence	25	334	278	976	1,321	--	--	--	--	--	--	--	2,934
Total Empire Opportunity	--	--	--	--	--	--	--	--	--	--	--	--	--
Total Economic Development	8,166	2,551	2,812	3,252	4,385	3,192	--	--	--	--	--	--	24,358

The Division of the Budget (DOB) is responsible for organizing and presenting the above schedule of 'Off Budget Spending'. Such reported disbursements are drawn from unaudited financial data provided by public authorities. Although the Office of the State Comptroller (OSC) has no reason to believe this information to be unreliable, it is important to note that these program disbursements are financed with public authority bond proceeds deposited directly into public authority accounts and all disbursements are made without any oversight by the OSC. Therefore, and pursuant to the provisions of Chapter 60, §16, of the Laws of 2006; this schedule is provided for information only.